A human embryonic stem cell model of Aβ-dependent chronic progressive neurodegeneration

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4 Teresa Ubina^{1,4}, Martha Magallanes¹, Saumya Srivastava¹., Charles Warden², Jiing-Kuan Yee^{3.5},

- 5 and Paul M. Salvaterra^{1,5}
- 6 Department of Developmental and Stem Cell Biology¹, Integrated Genomics Core², and
- 7 Department of Diabetes³, Beckman Research Institute of the City of Hope, 1500 E. Duarte Rd.,
- 8 Duarte, CA, 91010, USA and the Irell and Manella Graduate School of Biological Sciences⁵,
- 9 Beckman Research Institute of the City of Hope, 1500 E. Duarte Rd., Duarte, CA 91010, USA
- 10 Biology Department⁴, California State University San Bernardino, University Pkwy, San
- 11 Bernardino, CA 92407, USA
- 12
- 13 Correspondence and contact information:
- 14 Paul M. Salvaterra, Ph.D.
- 15 Department of Development and Stem Cell Biology
- 16 Beckman Research Institute of the City of Hope
- 17 1500 E. Duarte Rd.
- 18 Duarte, CA 91010
- 19 psalv@coh.org
- 20 626-301-8364
- 21 https://orcid.org/0000-0001-6797-680
- 22
- 23
- 24 Author contributions:
- 25 Conceptualization, P.M.S.; Investigation, P.M.S., T.U., M.M., S.S., C.W.; Supervision, P.M.S.,
- 26 J.Y.; Methodology, J.Y., S.S.; Writing-Original Draft P.M.S.; Writing-Review & Editing, PMS,
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31 Abstract

- 32 We describe construction and phenotypic analysis of a human embryonic stem cell model of
- 33 progressive Aβ-dependent neurodegeneration (ND) with potential relevance to Alzheimer's
- 34 disease (AD). We modified one allele of the normal APP locus to directly express a secretory
- 35 form of Aβ40 or Aβ42, eliminating the need for amyloidogenic APP proteolysis. Following
- 36 neuronal differentiation edited cell lines specifically accumulate aggregated/oligomeric A β ,
- 37 exhibit a synaptic deficit and have an abnormal accumulation of endolysosomal vesicles. Edited
- 38 cultures progress to a stage of overt ND. All phenotypes appear at earlier culture times for Aβ42
- ³⁹ relative to Aβ40. Whole transcriptome RNA-Seq analysis identified 23 up and 70 down
- 40 regulated genes (DEGs) with similar directional fold change but larger absolute values in the
- 41 Aβ42 samples suggesting common underlying pathogenic mechanisms. Pathway/annotation
- 42 analysis suggested that down regulation of extracellular matrix and cilia functions are
- 43 significantly overrepresented. This cellular model could be useful for uncovering mechanisms
- 44 directly linking $A\beta$ to neuronal death and as a tool to screen for new therapeutic agents that slow
- 45 or prevent human ND.

46 Introduction

- 47 Alzheimer's disease (AD) is a chronic progressive neurodegenerative disorder with a decade's
- 48 long preclinical phase. Clinical features include memory loss accompanied by progressive
- 49 cognitive dysfunction, cortical atrophy and ultimately death. Neuropathology is well defined by
- 50 a widespread accumulation of two prominent lesions in cortical brain regions: amyloid plaques
- 51 and neurofibrillary tangles. Plaques are composed primarily of higher-ordered aggregates of
- 52 small Aβ peptides derived from amyloidogenic proteolysis of a large transmembrane amyloid
- 53 precursor protein (APP). Tangles are composed largely of hyperphosphorylated aggregates of a
- 54 microtubule stabilizing protein tau, a product of the MAPT gene. All forms of AD exhibit
- 55 accumulation of both A β plaques and neurofibrillary tangles and both are considered necessary
- 56 for a definitive postmortem diagnosis [1]. Both plaque and tangle neuropathology correlate with
- 57 decrements in cognitive function in AD, but our mechanistic understanding of how these lesions
- 58 contribute to progressive neurodegeneration (ND) is still incomplete [2]. The reasons for this
- 59 include the inherent complexity of the disease as well as inadequacies of current animal and
- 60 cellular experimental models.
- 61 There are two general forms of AD: a rare autosomal dominant familial form (FAD) and the
- 62 more prevalent sporadic form (SAD). Both FAD and SAD have a complex genetic component (i.e. ≥ 20 identified side alleles with APOEA being the most meaninent), similarly defined as
- (i.e. >20 identified risk alleles with APOE4 being the most prominent), significant life-style
 associations (obesity, sleep, exercise, etc.), several associated co-morbidities (i.e. diabetes, head
- trauma), and of course the most important correlate of all, old age. This complexity coupled with
- 66 the lengthy time course of the disease process and the relative inaccessibility of patient samples
- 67 makes it challenging to develop effective therapies. Most clinical trials of AD therapeutics have
- failed at an unprecedented rate [3]. Part of this dismal status quo is likely due to our poor
- 69 understanding of basic pathobiology of AD. Preclinical testing of AD drugs is typically done
- 70 using transgenic mice, but these AD models are deficient in at least two important ways. They

71 fail to exhibit progressive neurodegeneration (ND) and do not generally display neurofibrillary

- tangle pathology [4].
- 73 Transgenic rodent AD models (see for example: https://www.alzforum.org/research-
- 74 models/alzheimers-disease) usually rely on over-expression of one or more FAD mutant genes.
- 75 They generally exhibit cognitive phenotypes, synaptic deficits, and accumulation of aggregated
- 76 Aβ through amyloidogenic proteolysis but not progressive ND or tau related pathology
- ⁷⁷ suggesting their use as preclinical models is not practical [5]. Progressive ND and tau related
- 78 pathology are also not generally observed in aged non-human primate models [6]. Considerable
- reform has gone into developing mouse models with tau AD-like tau pathology driven in large
- 80 part by notable differences in human and mouse tau isoforms differences in tau [7] or
- 81 incorporating a mutant tau MAPT gene [8]. MAPT mutations, however, not associated with AD
- 82 can cause other types of non-AD ND disease [9]. Generation of "second generation" knock-
- 83 out/knock-in rodent models, designed in part to eliminate over-expression artifacts common with
- high level transgene expression and humanizing the APP sequence also lack progressive ND or
- tangle pathology but have been useful in highlighting interpretive phenotypic complexity of
- 86 "first generation" models [4].
- 87 A few distinctive AD models have been developed which exhibit progressive Aβ-dependent ND
- 88 using direct over-expression of secretory Aβ coding transgenes. This approach eliminates the
- 89 need for amyloidogenic proteolysis of APP to generate A β . These types of models have been
- 90 well characterized in invertebrate organisms such as *Drosophila* [10] and less extensively in
- 91 mice [11–14]. Direct Aβ over-expression in rodent or *Drosophila* neurons does not significantly
- 92 affect normal brain development but results in an impressive range of putative AD-like
- 93 phenotypes including accumulation of aggregated/oligomeric Aβ42, neurological and memory
- 94 deficits, early and massive autophagy/endosomal/lysosomal abnormalities, mitochondria
- 95 dysfunction and plaque like accumulations of A β [11,13–17]. None of these models exhibits tau
- 96 pathology and they all suggest that phenotypes are exclusive to Aβ42 overexpression since an
- 97 equivalent amount of Aβ40 does not result in similar changes. Direct expression of Aβ may thus
- 98 be an effective way to study the now uncharacterized cascade of $A\beta$ -dependent mechanistic
- 99 changes which are thought to precede ND as postulated in the dominant amyloid cascade
- 100 hypothesis [18]. While this hypothesis is strongly supported by a wealth of supporting evidence
- 101 [19], it remains "controversial" because of seemingly discordant observations made in
- 102 phenotypically deficient animal models or more importantly correlative clinical discrepancies in
- 103 AD patients [20]. The most serious discrepancy often cited is the relative timing of amyloid and
- 104 tangle pathology with respect to cognitive status of patients, however, recent longitudinal
- 105 imaging studies have generated a better time line for pathogenic progression in patients and
- 106 convincingly place $A\beta$ at the beginning, at least in FAD patients [21].
- 107 Explanations for phenotypic deficiencies in current AD models are often attributed to the
- 108 relatively short lifespan of rodents or human species-specific factors. These human differences
- 109 are either known (i.e. isoform differences in tau or A β sequence, etc.) or unknown, but possibly
- related to the complex genetic context of both FAD and SAD and thus not possible to adequately
- 111 model in non-human animals. With the advent of reprograming technology, AD patient derived

112 iPS culture models have been established which now allow phenotypic characterization in a

- 113 human genetic context [22]. Pioneering studies document a number of promising AD-relevant
- 114 phenotypes using cells derived from with FAD patients including increased amyloidogenic Aβ
- 115 production/aggregation, increased ratios of $A\beta 42/A\beta 40$, or lysosomal/endosomal dysfunction
- 116 [23,24]. The AD-relevant phenotypic repertoire has even been extended to include tau related
- 117 pathology when FAD genes are overexpressed in human neural precursor cells or FAD iPS cells
- are differentiated in a 3-D culture format [25,26]. The authors suggest that the AD relevant tau
- 119 phenotypic extension could be due to the more complex "brain-like" cellular organization of 3-D
- 120 cultures and/or decreased removal of extracellular A β during normal culture media replacement
- 121 [27]. Progressive ND, however, was not among the phenotypes of these 3-D cultures. Current
- human iPS models while encouraging are still not suitable to investigate mechanisms linking $A\beta$
- 123 to progressive ND.
- 124 Here, we describe the construction and initial AD-like phenotypic characterization of a new
- human embryonic stem cell model $A\beta$ -dependent ND. We used genomic editing to modify
- parental WiCell WA09 cells (H9) to directly express a secretory form of either Aβ40 or Aβ42
- from one allele of the normal APP gene locus. Expression is thus under control of the normal
- 128 APP promoter, but amyloidogenic processing is not necessary for Aβ production. Following
- 129 neuronal differentiation, edited neurons accumulate intracellular aggregated/oligomeric Aβ but
- 130 the rate is faster for A β 42 edited lines. Aggregated/oligometric A β preferentially localizes near
- 131 fragmented/pyknotic nuclei, even in unedited cells which presumably produce a small amount
- 132 through amyloidogenic processing. Aβ42 edited cells elaborate several other AD-relevant
- 133 phenotypes at a faster rate than $A\beta 40$ lines including synaptic deficits and a greater accumulation
- 134 of endolysosomal vesicles. Importantly, both edited genotypes exhibit progressive ND relative to
- 135 unedited control cells and the rate of progression is faster for A β 42 cultures. Whole
- transcriptome RNA-Seq analysis identified a small set of differentially expressed genes (DEGs)
- 137 in the A β 42 samples compared to unedited samples which had a similar directional fold-change
- 138 in A β 40 samples but a smaller magnitude. This suggests that common genetic pathways may be
- affected since mRNA was isolated at a time when phenotypic changes were more extensive or
- 140 exclusive to $A\beta 42$ samples. Functional annotation and pathway analysis of DEGs identified
- 141 "increased neuronal cell death" and "decreased memory" as the highest and lowest scoring
- 142 functions perturbed in A β 42 edited cells and suggested that disruption of extracellular matrix and
- 143 cilia play a prominent role.

144 Methods

- 145 Genomic Editing
- 146 TALEN (Transcription activator-like effector nuclease) pairs were designed to target DNA
- 147 upstream of the normal APP translation start site using published criteria, their cutting efficiency
- 148 established in HEK293T cells and used to generate a double strand break in the APP target [28].
- 149 Donor templates for homology repair contained homology arms flanking the targeted site along
- 150 with a secretory signal derived from the rat proenkephalin (PENK) gene, a human Aβ40 or Aβ42

151 coding sequence, and a polyA tail. Donor templates also contained a puromycin selection gene

- 152 under control of the human phosphoglycerate kinase.
- 153 H9 (WiCell WA09) human embryonic stem cells were obtained from the WiCell Foundation and
- 154 cultured on a feeder free system (Matrigel). Cells were harvested at appropriate confluency and
- 155 nucleofected with TALEN pairs and donor template using an Amaxa Nucelofector. Nucleofected
- 156 cells were grown for 48 hours, harvested and plated on puromycin resistant feeder cells at a
- 157 dilution of 1/30 for 48 hours and then transferred to puromycin drug selection media for two
- 158 weeks. Approximately ½ of appropriate size colonies were collected for PCR analysis using
- 159 primer pairs that spanned the flanking DNA and the donor plasmid sequences to confirm
- 160 insertion of the expression cassette. The stem cell colonies positive for correct size PCR
- 161 fragments at both the 3' and 5' sites were expanded and analyzed for expression of edit specific
- 162 A β 40 or A β 42 expression using qRT-PCR analysis. The forward primer was specific to the rat
- 163 secretory signal sequence (not present in the human genome) and the reverse primer targets the
- 164 end of the A β 40 sequence. The specific sequences and editing and verification details are
- 165 included in the **Supplemental Methods and Data**.

166 Cell culture

- 167 ESC culture, embryoid body generation and neuronal differentiation were adapted from a well-
- 168 established protocol [29]. Briefly, stem cells were grown in gelatin coated six-well plates on an
- 169 irradiated mouse embryonic fibroblasts feeder layer. Stem cells were maintained in HuES
- 170 medium which was replaced daily and differentiating colonies were manually removed to
- 171 maintain pluripotency. Stem cells were passaged weekly and differentiation was initiated ~1
- 172 week after passage using dissociated cells transferred to a 10 cm culture plate for embryoid body
- 173 (EB) generation. On day 3 cells were grown in Neural Induction Media (NIM) with N2
- 174 supplement and 2 µg/ml heparin. On day 5 media was supplemented with ascorbic acid, trans-
- retinoic acid, Y-27632 ROCK inhibitor, and BDNF. On day 7 smoothened agonist 1.3 was
- added. Media was replaced every 3rd day and after ~28-31 days EBs were collected, rinsed with
- 177 Ca^{2+}/Mg^{2+} free PBS, dissociated into individual cells and plated in either 6 or 24 well culture
- 178 plates precoated with poly-L-ornithine and laminin $(1.7 \times 10^6 \text{ or } 0.34 \times 10^6 \text{ cells per well})$ in neural
- 179 differentiation medium supplemented with 25 μ M β -mercaptoethanol and 25 μ M glutamate.
- 180 Cultures were initially treated with 0.5μ M ethynyl deoxyuridine (EdU) for 24 hrs and weekly
- thereafter up to \sim 50 days to maintain only post mitotic cells. Complete media recipes, suppliers
- 182 and protocol details are included in the **Supplemental Methods and Data**.

183 qRT-PCR

- 184 Total RNA was extracted using the RNeasy Micro Kit from (Qiagen) following the
- 185 manufacturer's protocol. RNA concentration and purity was determined spectrophotometrically
- and cDNA prepared using qScript cDNA SuperMix (Quanta) following the manufacturers
- protocol. All reactions were carried out in a 20 µl reaction mixture containing 12.5 µl iQTM
- 188 SYBR® Green Supermix (Bio-Rad), 2 µM of each forward and reverse primer, 0.25 µg cDNA,
- and DEPC-Treated Water (Ambion) to adjust the final volume to 20µL. Amplification was
- 190 carried out using a BioRad CFX96 TouchTM Real-Time PCR machine in clear 96 well sealed

191 plates and data was collected and analyzed using BioRad CFX Manager (v3.1). Additional

192 details and primer sequences are included in the **Supplemental Methods and Data**.

193 Microscopy, Immunocytochemistry, Live-Dead Analysis and Image Analysis

- 194 Fluorescence samples were observed with a Zeiss Axio Observer microscope (Xenon
- 195 illumination) using either a 20X NA=0.80 plan-apochromat objective or a 40x or 63x plan-
- apochromat objective (NA=1.4, Oil). Optical Z sections were acquired with a Zeiss Axiocam506
- 197 camera using Zeiss Zen Blue microscope control software (SP2). Unstained cultures were
- 198 observed using a Nikon Diaphot inverted microscope equipped with Hoffman modulation
- 199 contrast objectives (HMC EF 10X NA=0.25 or HMC 20X LWD NA=0.4) and images were
- 200 obtained with a SPOT RT230 cooled CCD camera operated by SPOT Advanced Imaging
- 201 Software. Image analysis used semi or fully automated macros implemented in the FIJI version
- of NIH ImageJ (v1.46 or 2) [30]. For visual clarity some images are adjusted for brightness and
- 203 contrast using Adobe Photoshop (CS4 or CS5). Due to variability in the number of cells in
- 204 neuronal clusters both among genotypes differentiated in parallel, as well as across independent
- 205 differentiations, quantitative data were usually normalized to the number or area of DAPI
- 206 staining.

207 Antibody staining

- 208 Cells were grown on polyornithine/laminin coated 15mm No.1 glass coverslips (Fisher
- 209 Scientific) placed in 6 well plates. Cells were fixed with 4% paraformaldehyde for 20 minutes
- followed by washing in PBS (3x, 5 min.) and coverslips were stored in 0.03% NaN₃ in PBS at
- 211 4°C until observation. Coverslips were incubated with blocking buffer (0.3% Triton X-100 and
- 212 5% Bovine Serum Albumin in PBS) for ~2 hr. at room temperature, washed briefly with PBS
- and incubated overnight at 4°C with primary antibody diluted in 0.3% Triton X-100, 1% bovine
- serum albumin in PBS (antibody dilution buffer). Coverslips were washed with PBS (3x5 min.)
- 215 with antibody dilution buffer and incubated with fluorescent labeled secondary antibodies for
- 216 two hours at room temperature, washed with PBS, incubated with DAPI $(1\mu g/\mu l)$ for 5 minutes
- at room temperature, washed with PBS (2x, 5 min.) and mounted onto glass slides using DAKO
- 218 Fluorescent Mounting Medium. Additional coverslips were stained after eliminating either the
- 219 primary or secondary antibody to serve as negative staining controls. Specific antibody staining
- 220 details and image analysis parameters are included in the Supplemental Methods and Data.

221 Live-Dead Analysis

- 222 Neuronal viability was estimated by measuring the relative proportion of live/dead cells in
- 223 neuronal clusters grown on coverslips or directly in culture wells using a commercial
- 224 fluorescence assay (ThermoFisher LIVE/DEADTM Viability/Cytotoxicity Kit, for mammalian
- cells, #L322) according to the manufacturer's directions. Additional details and image analysis
- 226 parameters are included in the **Supplemental Methods and Data**.

227 Statistical Analysis

- 228 We used Prism (v7, Graph Pad) for statistical analyses (descriptive statistics, ANOVA, variance
- estimates and correlation) and graphic preparation.

230 RNA-Seq

- 231 Stem cells were differentiated for 36 or 38-days and total RNA was extracted using the RNeasy
- 232 Micro Kit (Qiagen) following the manufacturer's protocol. RNA concentration and purity were
- 233 determined using a NanoDrop ND-1000 spectrophotometer and processed for RNA-Seq analysis
- by the City of Hope Genomic Core Facility. Detailed processing and analysis protocols are
- included in the **Supplemental Methods and Data**. The sequencing data files have been
- deposited in the NIH GEO database (GSE119527).

237 Results

- 238 Model construction
- 239 We used TALEN genomic editing to modify the normal wild-type APP gene in WiCell WA09
- 240 (H9) human embryonic stem cells (hES). This cell line was chosen because of its widespread
- 241 use in stem cell studies, the availability of many well characterized neuronal differentiation
- 242 protocols and because the APOE genotype contains one copy of an ɛ4 allele which is the major
- 243 genetic risk factor for SAD [31]. The APOE genotype ($\varepsilon 4/\varepsilon 3$) was confirmed using allele
- specific PCR analysis (not shown). The editing strategy is shown schematically in Fig. 1.
- 245 TALEN pairs were designed to induce a double strand break (DSB) within the first exon of the
- 246 *App* locus upstream of the normal *App* transcriptional start site. The DSB was repaired by
- 247 homologous recombination in the presence of donor plasmids that contained a secretory signal
- sequence derived from the rat preproenkephalin gene (PENK, *Rattus norvegicus*) fused in frame
- to either a human A β 40 or A β 42 coding sequence and followed by a polyA tail just upstream of
- a puromycin drug selection gene. This insertion cassette was flanked by left and right homology
- arms to direct insertion into the normal *App* locus.
- 252 [Fig. 1. Genomic editing of APP gene locus. TALEN pairs were designed to target and induce a
- 253 double strand break (DSB) in the first exon upstream of the normal APP translation initiation
- codon (APP ATG). The DSB was repaired by homologous recombination in the presence of
- 255 plasmids containing the coding sequence for either A β 40 or A β 42 fused in frame with a rat
- preproenkephalin secretory signal sequence (SS) and followed by a polyA tail (not shown).
- 257 Repair plasmids additionally included a PGK puromycin drug selection gene (Puro) and were
- flanked by left and right homology arms homologous to APP flanking sequences (HAL, HAR).
 Cassette insertions were confirmed by genomic PCR using specific primers in either the HAL (5)
- 259 Cassette insertions were confirmed by genomic PCR using specific primers in either the HAL (5')
- or the HAR (3') and a site in the insertion cassette. This editing strategy simultaneously
- inactivates one APP allele and replaces it with a cassette that directly expresses a secretory
- 262 form of either A β 40 or A β 42 under normal APP regulatory control. The specific sequences and
- 263 other details are included in the **Supplemental Methods and Data**.]
- 264 Successful editing resulted in inactivation of the modified *App* allele and its replacement with
- direct expression of either secretory A β 40 or A β 42. Importantly, the parental and edited cell
- 266 lines are essentially isogenic ensuring that phenotypic differences are directly attributable to the
- 267 specific edits. The rat PENK secretory signal sequence is not present in the human genome
- allowing PCR analysis to specifically detect edited Aβ transcripts. Following translation, the
- signal peptide is completely removed by normal secretory pathway processing resulting in direct

- 270 production of either an A β 40 or A β 42 peptide [11,15] eliminating any requirement for
- amyloidogenic APP processing by β and γ secretase. Since the edits are introduced directly into
- the normal APP locus, expression will be under control of the normal APP regulatory DNA. This
- 273 distinguishes our model from others that generally used exogenous promoters to drive
- 274 overexpression. We hypothesized that this model could potentially speed up proteotoxic $A\beta$
- accumulation on a time scale suitable for working with cultured human neurons while potentially
- 276 minimizing overexpression artifacts.
- 277 Proper editing was initially identified by PCR screening of multiple subclones using 3' and 5'
- 278 specific primers and confirmed by genomic sequencing. Since subcloning as well as TALEN
- editing has the potential to generate off-target effects (primarily indels) or other mutations,
- although at extremely low levels [32], we phenotypically characterized two independently
- isolated subclones for each edited genotype in parallel. We noted no consistent phenotypic
- differences between subclones suggesting that the differences we describe are genotype specific
- 283 (i.e. due to direct expression of either A β 40 or A β 42). All edited cell lines used in this study
- were heterozygous for the edit ensuring that normal APP will still be expressed from the
- unedited allele.

286 A β and APP expression

- 287 We used qRT-PCR to measure edit specific expression of secretory Aβ using a forward primer
- specific to the rat PENK secretory signal peptide which is absent from the human genome and a
- 289 reverse primer to the end of the $A\beta 40$ sequence which is present in both edits. As expected, no
- edit specific transcripts were detected in unedited H9 cells (Fig. 2A). Significant levels were
- found in undifferentiated stem cells, EB stage cells or differentiated neurons. The relative
 expression levels were similar for both edited genotypes at these three developmental stages
- expression levels were similar for both edited genotypes at these three developmental stagesindicating that they are under the same regulatory control. We additionally confirmed that only
- secretory $A\beta 42$ expression could be detected in $A\beta 42$ edited lines using a reverse primer specific
- 295 to the unique 5' nucleotides in A β 42 (not shown). Undifferentiated stem cells show an
- intermediate expression level, consistent with normal APP expression previously reported at this
- stage [33]. Transcript abundance decreased significantly during EB formation and increased to
- the highest levels in 10-day old neuronally differentiated cultures. The relative ratio of edit
- 299 specific A β mRNA for stem cells, embryoid bodies and differentiated neurons was ~20:1:100.
- 300 We expect that A β protein levels would likely be highest in differentiated neurons (i.e. ~ 5-fold
- 301 greater than in stem cells).

302 [Fig. 2. (A) Direct expression levels of edit specific Aβ are similar for both edited genotypes

303 and dynamic during early stages of differentiation. Stem cell cultures have intermediate

- 304 expression, embryoid bodies have significantly less expression and differentiated neurons have
- 305 the highest, reaching maximal expression by ~10-20 days after EB dissociation and plating in
- 306 neural differentiation medium. The relative ratios of A β expression were ~1: 0.05: 5 for the 3
- 307 developmental stages. There were no significant differences in expression level comparing edit
- 308 specific Aβ40 and Aβ42 at any stage (ANOVA, Dunnett's correction). No significant secretory Aβ
- 309 expression was detected in unedited H9 samples. Data were from 6 independent stem cell

- 310 cultures, 4 EB stage cultures and 22 individual 10-20 day old differentiated neuronal cultures.
- 311 **(B) Editing does not affect APP expression from unedited alleles**. We used primer pairs
- 312 spanning 3 different APP exons. The pattern of expression was similar for all 3 genotypes and
- average relative expression for the primer pairs was 1: 0.71: 0.5 for H9: Aβ40: Aβ42 and is
- 314 consistent with expected inactivation of one APP due to editing. Expression of edit specific AB
- 315 was ~30-fold less than APP expression and is replotted from (A) for comparison. Data were
- 316 from 4 independent differentiations of H9 cells and 8-20 differentiations for edited genotypes
- 317 taken from 10-34-day old cultures. In (A) expression was measured by qRT-PCR using a forward
- 318 primer specific to the secretory signal sequence (not present in the human genome) and
- reverse primer to a sequence common to Aβ40 and Aβ42. In (**B**) the forward and reverse
- 320 primers spanned indicated exons in the APP sequence. Bars are mean normalized expression
- 321 (MNE) relative to GAPDH (±STD).]
- 322 We additionally measured APP expression in 10-day old differentiated neurons using forward
- 323 and reverse primers that span different adjacent exons along the length of the normal neuronal
- 324 APP transcript (Fig. 2B). Different exon spanning primer pairs detected APP transcripts over an
- 325 approximately ~8-fold range, but the pattern was similar for all 3 genotypes. The average
- 326 relative APP expression for all 3 primer pairs compared to H9 was 0.71 for A β 40 and 0.5 for
- $A\beta 42$ a result is consistent with expected inactivation of only the edited APP allele. This
- 328 confirms that editing does drastically affect APP expression from the unedited allele.
- 329 Unexpectedly, however, direct A β expression was ~30-fold lower relative to APP expression
- 330 (the A β data is replotted from Fig. 2A). This could be due to weakening of a regulatory element
- in the first intron of APP [34] or alternatively to negative interference of the drug selection gene
- 332 present in the insertion cassette [35]. Whatever the reason, direct expression levels for edit
- 333 specific $A\beta$ are significantly lower than APP.
- 334 Unfortunately, we were unable to reliably measure $A\beta$ protein levels in either
- immunoprecipitated culture supernatants (10 ml of immunoprecipitated sample pooled from 5
- 336 samples every 2 days from a single well of a 12 well culture plate), or in guanidine hydrochloride
- 337 or formic acid cell extracts (prepared from 2 individual 12 well cultures) using commercial
- 338 ELISA assay kits (Invitrogen, Aβ40 #KHB3481, sensitivity 6 pg/ml; Aβ42 #KHB3441,
- 339 sensitivity = 10 pg/ml). These negative results are consistent with our qRT-PCR analysis and
- 340 suggest that A β peptide levels in our cultures are significantly lower than those generated by
- amyloidogenic APP processing in differentiated neuronal culture models derived from human
- 342 FAD iPS cells or cells transduced with FAD genes [25,36,37].
- 343 Early development and culture differentiation
- AD is a chronic and progressive neurodegenerative disease that only appears later in life. We
- 345 observed no consistent genotype specific differences in morphology of ES stage culture,
- 346 embryoid body (EB) formation or the earliest stages of culture in neuronal differentiation
- 347 medium (see Supplemental Methods and Data, Fig. S1). Additionally, earlier stage embryoid
- bodies (7 day old) lose their initial positive staining for OCT4 (stem cell marker) and acquire
- 349 Nestin staining (early neural differentiation marker) at a similar time independent of editing (see

- 350 Supplemental Fig. S1). The appearance of differentiation markers in 10-day old cultures is
- 351 shown in Fig. 3. The total cell number (DAPI), DCX positive cells (doublecortin, early stage
- neuronal differentiation) and NeuN positive cells were not significantly different among the tree
- 353 genotypes (ANOVA, Dunnett correction). We conclude that genomic editing and APP
- heterozygosity do not appear to affect neurogenesis or early neural development in our cultures
- and that the majority of cells (60-70%) can be classified as neurons after 10 days. Hereafter all
- culture ages for differentiated cells are specified relative to EB dissociation and plating taken as
- 357 day 0.

358 [Fig. 3. Editing does not significantly affect early stage neuronal differentiation. (Left)

- 359 Representative images of 10-day old cultures stained with antibodies to DCX (doublecortin,
- 360 green) to visualize early stage neuronal differentiation, NeuN (red) to visualize more mature
- 361 neurons and DAPI (blue) to assess total cell number. (Right) Quantification of positively stained
- 362 cells for each marker indicate that there were no genotype specific differences (ANOVA,
- 363 Dunnett's correction). Bars are the mean (SEM) of 3 biological replicates. Scale bar = $30 \mu m$.]
- 364 Consistent with the neuronal maker data the morphological appearance of all three genotypes, as
- 365 well as the independent edited clones, remains quite similar up to about 30-days of culture (Fig.
- 4). One day old cultures have only isolated cells, a few of which appear to exhibit short
- 367 processes. By ~15 days, cells appear to self-organize into loosely defined neural clusters (NC)
- 368 and elaborate neural processes, some connecting to adjacent clusters. The size of the NCs
- increases slightly between 20 and 30 days and begins to appear more 3-D dimensional. Many
- NCs are connected to each other by neural processes at this stage. The size of NCs in both edited
- 371 genotypes often appeared slightly larger compared to H9 cultures, but this was not statistically
- 372 significant (ANOVA, Dunnett corrected) and absent by 40 days.

373 [Fig. 4. Representative Hoffman interference contrast images of unedited H9 parental cells

and two independently isolated clones for each edited genotype (Aβ40:#31, #41 and

- **Aβ42:#14, #26) at different culture ages**. Isolated cells in 1-day cultures begin to cluster
- 376 together a few days after plating. By ~10-15 days of differentiation all 3 genotypes form more
- 377 recognizable neuronal clusters (NC) which are attached to the culture surface and elaborate
- neural processes which connect with adjacent NCs. Morphologic appearance of all 3 genotypes
 was generally similar up to ~30-40 days of culture. The absolute size of NCs varied across
- independent differentiations, however, there were no significant differences among the 3
- 381 genotypes up to ~30 days of age (ANOVA, Dunnett correction). After ~20-30 days, Aβ42
- $_{382}$ genotypes begin to exhibit a granular and darker appearance (especially evident in the A β 42
- 383 clone #26 30 day image) and the somal regions are no longer firmly attached to the culture
- 384 surface but tethered by their neuronal processes. After 50-60 days, essentially all A β 42
- $_{385}$ genotypes exhibit this type of morphology as do many of the A $\beta40$ cultures at culture times
- 386 greater than ~70-90 days. We did not observe any consistent clone specific differences for
- 387 edited genotypes. Scale bars = 10 μm for 1-day culture and 100 μm for other ages.]

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- 389 At culture times of 40-50 days, A β 42 NCs usually had a more granular appearance and were
- darker than the other genotypes. In one case we also observed this morphologic change as early
- as 30 day (see Fig. 4, $A\beta 42$ clone #26). This morphologic appearance was more prominent in
- 392 A β 42 NCs older than 60 days and thus appears to specific to the A β 42 edited cells. The
- 393 neuronal soma for both edited genotypes lost firm attachment after ~60-70 days but still
- remained loosely tethered to the culture dish through their neural processes. This could be easily
- 395 observed when gently moving the culture dish and was never seen in the unedited H9 cultures.
- 396 Notably, we were not able to culture viable cells for either edited genotype for any time longer
- than 120 days. In contrast, unedited H9 cultures could be maintained for >266 days. Editing thus
- 398 decreases the survival time of neurons and results in specific morphologic changes, especially
- 399 apparent for $A\beta 42$ edits. The absolute size of NCs had considerable variation in independent
- 400 differentiations but this was a property of all 3 genotypes. These morphologic descriptions were
- 401 generalized from observations made by 3 different investigators on 15 independent
- 402 differentiations over a period of >2 years using several different lots of media and supplements.
- 403 Alzheimer's related phenotypes
- 404 Accumulation of aggregated/oligomeric Aβ and pyknotic nuclei
- 405 The main objectives of this study were to document putative AD-related phenotypes resulting
- 406 from direct A β expression in human neurons and to compare the extent of phenotypic differences
- 407 between A β 40 and A β 42. The most commonly observed AD-related phenotypes present in most
- 408 animal models as well as several iPS culture models is the accumulation of aggregated $A\beta$
- 409 produced by amyloidogenic APP proteolysis (see [4,22] for reviews).
- 410 We double stained cultures with an anti-A β antibody (7A1a) which specifically recognizes low
- 411 and high molecular weight aggregates/oligomers of Aβ40 or Aβ42 [17,38] and anti-Tuj1
- 412 (TUBB3 gene product) to confirm neuronal cellular identity. In 32 day old cultures the level of
- 413 7A1a positive staining is genotype specific (Fig. 5A). The relative area of 7A1a staining
- 414 (normalized to Tuj1) was minimal in H9, intermediate in A β 40 and significantly higher in A β 42
- 415 cultures. Compared to unedited H9 cultures, the area of 7A1a staining was ~2 fold higher in
- 416 A β 40 cultures (but not statistically different from H9) and ~3 fold higher in A β 42 cultures
- 417 (p<0.0016) at 32 days (Fig. 5B). At a later culture age (63 d) the average accumulation of 7A1a
- 418 positive staining relative to H9 increased to \sim 3 fold in A β 40 and \sim 4.5 fold in A β 42 cultures.
- 419 Accumulation of aggregated/oligometric A β is thus progressive and faster for A β 42 relative to
- 420 Aβ40 cultures. This result is consistent with the biophysical aggregation properties of these 2
- 421 peptides *in vitro* [39] and since both edited genes are expressed at comparable levels suggests
- 422 that Aβ42 may be removed at a slower rate. Both edited genotypes have less Tuj1 positive
- 423 staining which was especially evident in older cultures but not in older H9 cultures (5A).
- 424 [Fig. 5. Accumulation of aggregated/oligomeric Aβ is time dependent and more prominent in
- 425 Aβ42 relative to Aβ40 edited cultures and is associated with pyknotic nuclei, even in unedited
- 426 **H9 samples.** (A) Maximum intensity Z-projections of NCs fluorescently stained with anti-Tuj1
- 427 (neuronal, green) and anti-A β 7A1a (aggregated/oligomeric A β , red) antibodies in 32 or 63 day
- 428 old cultures. Consistently, the area of 7A1a positive staining is greater in A β 42 NCs,

- 429 intermediate in Aβ40 NCs and much lower in unedited H9 cultures. Staining is primarily
- 430 intracellular and initially appears as small puncta which are more obvious in areas of lower
- 431 staining intensity. (B) Box and whisker plot of relative 7A1a staining in individual NCs
- 432 (normalized to Tuj1 staining). The line in the box is the median value, whiskers are the range.
- 433 Data is from 4 independent differentiations. NCs from Aβ42 cultures have significantly greater
- 434 accumulation of aggregated/oligomeric Aβ at 32-days (ANOVA, Dunnett correction) relative to
- 435 H9. Accumulation in A β 40 cultures appears higher than H9 but are not significant at this age.
- 436 Mean relative accumulation of 7A1a staining ±SEM were: H9 = 1±0.235, A β 40 = 3.77±0.704,
- 437 $A\beta 42 = 6.93 \pm 1.63$. In 63-day old cultures, both A $\beta 40$ and A $\beta 42$ are significantly different
- 438 relative to H9. The mean relative areas are: H9 = 1±0.157, A β 40 = 2.34±0.287, A β 42 =
- 439 3.959±0.337). (C) 7A1a staining is present primarily in areas near pyknotic/fragmented DAPI
- stained nuclei (i.e. small intensely fluorescent structures, arrowheads) and absent from cells
- 441 with normal nuclei (i.e. large, weak DAPI fluorescence, arrows). Images are from a single optical
- section of a 32-day old A β 42 sample (top row) with a magnified view (bottom row) of the
- indicated rectangular area. (D) Association of 7A1a and pyknotic nuclei is not dependent on
- editing. (Left), images of fragmented or intact nuclei from A β 42 or H9 cultures. (Right), spatial
- distribution of 7A1a fluorescence relative to the center of mass for DAPI staining. Bars are the
- 446 mean (SEM) area of 7A1a staining in individual concentric circles centered on the DAPI staining.
- 447 Data is from at least 60 nuclei or pyknotic nuclei from 3 independent differentiations of 32/34
- day old cultures. Scale bar in **A** = 10 μ m, **C**= 20 μ m, **D** = 4 μ m.]

449 7A1a staining was primarily intracellular and appeared to be in close proximity to pyknotic

- 450 nuclei characteristic of dead or dying cells (i.e. nuclear condensation and fragmentation).
- 451 Normal neuronal nuclei are large and only weakly stained with DAPI while pyknotic bodies are
- 452 smaller and have intense DAPI fluorescence. Fig. 5C shows this spatial relationship in a 32-day

453 old A β 42 culture. Larger areas of 7A1a staining were generally absent in areas near normal

454 nuclei but common near pyknotic nuclei. Whenever 7A1a staining was occasionally present

- 455 close to normal nuclei the staining area was small and punctate (possibly vesicular).
- 456 We also noticed that the few cells in unedited H9 cultures with 7A1a positive staining also
- 457 seemed to be near pyknotic nuclei (Fig. 5D, left panel). We tested this spatial relationship by
- 458 placing a counting grid of concentric circles (radius increased in 2 μm increments) over the
- 459 center of mass for normal pyknotic bodies in H9 and Aβ42 cultures. The area of 7A1a staining
- 460 in each ring relative to the distance from the center of mass is plotted as a histogram in Fig. 5D
- 461 (right panel). Pyknotic nuclei have more 7A1a staining nearby relative to normal intact nuclei.
- 462 Surprisingly, this relationship is quite similar for both A β 42 edited and unedited H9 cultures.
- 463 This suggests that pyknosis may be caused by aggregated/oligomeric Aβ42 derived from either
- 464 direct expression or through APP amyloidogenic processing.
- 465 Synaptic density
- 466 A decrement in the number of synapses is a consistent and early AD phenotype that correlates
- 467 well with cognitive decline, even during preclinical disease stages [40]. Several transgenic

- 468 mouse models exhibit synaptic deficits, but we are unaware of this phenotype being described in
- 469 human cell culture models. We stained 34-day old cultures with anti-synapsin 1 antibody (a
- 470 presynaptic marker) to estimate the number of synapses present in neuronal clusters from the
- 471 different genotypes. As shown in Fig. 6, all 3 genotypes at this culture stage have a significant
- 472 number of synapsin positive puncta. There are, however, ~50% fewer synapsin positive puncta in
- 473 A β 42 edited samples (p < 0.0147) relative to unedited H9 samples. A β 40 samples had ~20%
- 474 fewer synapsin puncta, but did not reach significance. There is thus a graded genotype
- 475 dependent difference in the number of synapsin puncta at this culture stage: H9> A β 40>> A β 42.
- 476 We did not distinguish if the A β 42 synaptic deficiency was due to decreased synaptogenesis or
- 477 increased synaptic loss. Our results establish that synaptic number is reduced to a greater extent
- 478 in A β 42 compared to A β 40 cultures a result that is consistent with the concept that A β
- 479 negatively affects synaptic capacity [40].

480 [Fig. 6. Aβ42 edited NCs have fewer synapsin1 stained puncta in 34-day old cultures. Images

- 481 are maximum intensity projections of 3 adjacent 0.05 μ m spaced optical sections stained with
- 482 anti-synapsin1 (synaptic marker, green) and anti-NeuN (mature neurons, red) antibodies and
- 483 DAPI (total cells, blue). Synapsin1 positive puncta were counted in individual NCs from 3
- 484 different differentiations normalized DAPI and analyzed (ANOVA, Dunnett corrected). The
- 485 number of synapsin1 puncta was significantly less for A β 42 cultures. The relative number of
- 486 puncta ±SEM were: H9 = 1±0.198, A β 40 = 0.618±0.065, A β 42 = 0.492±0.081. Data was from 3
- 487 independent differentiations. Scale bar = 20 μ m.]

488 Progressive ND

- 489 AD is a chronic progressive disease with end stage neuronal cell death, a phenotype that has
- 490 been particularly difficult to document in most current experimental models. We used a
- 491 fluorescent live/dead assay to assess neuronal viability at 3 different culture ages. Representative
- 492 morphological and fluorescent images of the same field are shown in Fig. 7 (top). Despite a
- 493 normal morphologic appearance and similar numbers of neurons in 10-day old cultures, we
- found a slightly higher proportion of ethidium homodimer fluorescence (dead cells) in A β 42
- 495 cultures even at this early culture stage (Fig. 7, bottom). At an intermediate culture age (34-39
- 496 days) when A β 42 neuronal clusters have significantly fewer synapsin puncta, the relative
- 497 ethidium homodimer fluorescence was greater in A β 42 compared to either A β 40 or H9 cultures.
- 498 When maintained for longer times (i.e. $> \sim 60$ days) both A β 40 and A β 42 edited cultures exhibit
- 499 significantly more relative ethidium homodimer fluorescence compared to unedited H9 cultures.
- 500 Since most cells under our culture conditions are neurons (~70-90% Tuj1 positive), we conclude
- 501 that editing results in progressive ND. This phenotype appears at a faster rate for A β 42 cells
- 502 relative to $A\beta 40$ cells and is dependent on editing. No viable cells remained in edited culture
- 503 older than 120 days while H9 cultures still appeared healthy even after 266 days. This edit
- 504 specific progressive ND also appears to be chronic because of the extended time necessary for its 505 elaboration.

506 [Fig. 7. Aβ42 and Aβ40 edited cultures undergo progressive ND. (Top) Hoffman extended

507 depth-of-field images (left) with a corresponding fluorescent maximum intensity projection

- 508 (right) at 3 different culture ages. Green fluorescence (calcein-AM) and red fluorescence
- 509 (ethidium homodimer was used to estimate live or dead cells. (Bottom) Quantitation of
- 510 relative ratio of dead/live cells. Relative to unedited H9 cultures there are significantly more
- 511 dead neurons in A β 42 samples at all three culture ages (ANOVA, Dunnett corrected). A β 40
- 512 samples have significantly more dead neurons but only in cultures older than 60 days. Mean
- 513 values (±SEM) for 10-day old samples were: H9 = 1.173 ± 0.289 , A β 40 = 3.4 ± 0.643 , A β 42 =
- 514 4.49±1.471; for 34-39-day old samples: H9 = 23.9±3.226, A β 40 = 20.79±2.025, A β 42 =
- 515 35.00 \pm 2.974 and for >60-day old samples: H9 = 16.02 \pm 1.612, A β 40 = 32.36 \pm 3.016, A β 42 =
- 516 38.46±1.588. Each data point represents an individual NC collected from a total of 8 individual
- 517 differentiations. The line inside the box is the median and the whiskers are the range. Scale bar
- 518 = 100 μm.]
- 519 Endolysosomal pathway phenotypes
- 520 Dysfunction of the endolysosomal pathway, plays an important role in several neurodegenerative
- 521 diseases, including AD [41]. Pathway dysfunction is a consistent feature of several animal and
- 522 cellular AD models [23,42] as well as an early phenotype in AD [43] and can be inferred by
- 523 accumulation of an abnormal number or size of characteristic vesicles.
- 524 Using vesicle type specific antibody staining we counted the relative number of punctate
- 525 vesicular structures in neurons. Fig. 8 presents representative images and analysis for 38 and 62-
- 526 day old cultures stained with anti-lysosomal associated membrane protein 1 (LAMP1) antibody.
- 527 There was a \sim 2-fold increase in LAMP1 positive puncta in 38-day old A β 42 cultures relative to
- 528 either A β 40 or unedited H9 cultures. This finding agrees with the reduced neuronal viability in
- 529 A β 42 samples and synapsin1 puncta at this culture stage. In older cultures (62 days) the number
- of Lamp1 puncta relative to unedited H9 cells was decreased ~60% in A β 42 and ~50% in A β 40
- samples (although not significant). This decrease thus correlates with ND present in both edited
- 532 genotypes in older cultures. Abnormal accumulation of lysosomal related vesicles may thus be a
- 533 consequence of direct A β expression in human neurons.
- 534 [Fig. 8. The number of LAMP1 positive vesicles is affected by editing. (Left), fluorescence
- 535 maximum intensity projections of 2 adjacent optical sections stained with anti-LAMP1 antibody
- 536 (green) or DAPI (blue) at 2 different culture times. (Right) The relative number of LAMP1
- 537 positive puncta (normalized to DAPI) in individual NCs was greater in 38 day old Aβ42 samples
- relative to H9. In 62 day cultures both Aβ42 and Aβ40 (not significant) samples have fewer
- 539 LAMP1 objects relative to H9 (ANOVA, Dunnett corrected). Data are from 3 independent
- 540 differentiations of 38 day cultures and 2 independent differentiations of 62 day cultures. Mean
- 541 values (±SEM) for 38 day samples were: H9 = 1±0.139, A β 40 = 0.84±0.059, A β 42 = 2.064±0.142
- 542 and for 62 day samples H9 = 1±0.205, A β 40 = 0.553±0.106, A β 42 = 0.453±0.101. The line inside
- 543 the box is the median and the whiskers are the range. Scale bar = 10 μ m.]
- 544 The number of Rab5 stained puncta, a marker for early endosomes necessary for vesicular
- 545 maturation leading to lysosomal fusion [44] is shown in Fig. 9A. The pattern is similar to
- 546 LAMP1 puncta. There was a significant increase in Rab5 puncta in 38-42 day old Aβ42 relative

547 to H9 samples and a non-significant increase in $A\beta 40$ samples. Both edited genotypes also

- showed a significant decrease in Rab5 puncta in older 63-day cultures. Fig. 9B additionally
- shows puncta counts for Rab3A, a synaptic vesicular gene important for regulating normal
- synaptic neurotransmission [45] and LC3B, an autophagosome vesicle marker necessary for
- delivering mature autophagic/endosomal vesicles to lysosomes for cargo digestion which has
- been associated with AD [46]. The number of Rab3A puncta were not significantly different
- among any of the genotypes in 43-day old cultures but both genotypes exhibit a reduction in 63
- 554 day old cultures. Both A β 40 and A β 42 samples had a reduction in LC3B puncta in 43 day old
- 555 cultures (only $A\beta 40$ was significant) as well as in 63 day cultures.
- 556 [Fig. 9. The number of other endolysosomal vesicles is affected by editing. Rab5 positive
- objects in NCs is greater in 38-42 day old A β 42 samples. At a later culture age (62 days) both
- 558 A β 40 and A β 42 samples have fewer LAMP1 objects. **A**. (Left), maximum intensity projections of
- 2 adjacent optical sections (1 μm spacing) stained with anti-Rab5 antibody (early endosome
- 560 marker, red) and DAPI (blue). (**Right**) The relative number of Rab5 puncta (normalized to DAPI)
- 561 is greater in 38-42 day cultures for A β 42 edited samples and less for both A β 42 and A β 40 edited
- samples in 63 day cultures (ANOVA, Dunnett corrected). Data is from individual NCs from 3
- independent differentiations for 38-42 day cultures and 2 independent differentiations for 63
- 564 day cultures. Mean values (±SEM) for 38-42 day samples are: H9 = 1±0.1448, A β 40 =
- 565 2.39 \pm 0.2767, A β 42 = 4.80 \pm 1.333 and for 63 day samples are: H9 = 1 \pm 0.1584, A β 40 =
- 566 0.586±0.071, A β 42 = 0.4198±0.0341. **B**. The relative number of Rab3A and LC3B puncta were
- 567 more variable but both decreased primarily in older cultures. Individual NCs from 2
- 568 independent differentiations were stained with either anti-Rab3A (synaptic vesicle associated
- 569 marker) or LC3B (autophagosome marker) antibody. There was a decrease in LC3B objects in
- 570 A β 40 samples at 43 days and a decrease in both A β 40 and A β 42, as well as LC3B puncta, in 63
- 571 day cultures (ANOVA, Dunnett corrected). Bars are mean ±SEM, N=5-20). Scale bar = 20 μ m.]
- 572 Taken together these results indicate that endolysosomal pathway dysfunction is associated with
- 573 A β edited samples and that A β 42 samples appear to be affected at earlier times and to a greater
- 574 extent than A β 40 samples. These changes are not likely due to changes in gene expression for
- 575 key vesicular genes since qRT-PCR analysis did not find any genotype specific changes in gene
- 576 expression (see Supplemental Fig. S2). Since we are directly expressing $A\beta$ in edited cultures,
- 577 these potential AD related phenotypes are also likely to be largely independent of APP
- amyloidogenic processing which occurs in large part within endolysosomal vesicles [47].
- 579 Somal accumulation of phospho-tau
- 580 Accumulation of hyperphosphorylated tau (p-tau) and formation of paired helical filaments is a
- 581 pathological hallmark of late stage AD [7]. We examined the immunocytochemical staining of
- 582 62 day old cultures with an antibody specific for tau phosphorylation on serine 244, known to be
- 583 increased by A β [48]. Image analysis of the total area of p-tau staining (normalized to DAPI)
- 584 was not significantly different between A β 42 and H9 cultures. The cellular distribution of the
- staining, while consistent with a redistribution of p-tau from neural processes to cell soma (Fig.

586 10, top) was only observed in late stage A β 42 cultures already exhibiting significant ND. The

- apparent "redistribution" may thus be due to an accompanying decrease in neural processes of
- dead or dying neurons. The level of tau expression (MAPT gene product) measured by RNA-Seq
- analysis was relatively similar among the genotypes in earlier age cultures (Fig. 10, bottom).
- 590 Increased hyperphosphorylation and redistribution of tau which has previously been observed in
- iPS AD cell models [25,26] but does not appear to be a significant phenotype of direct $A\beta$
- 592 expression.

593 [Fig. 10. Older A β 42 cultures show apparent distribution of phospho-tau in cell soma

594 compared to H9 cultures where it is localized in neurites. (Top) Fluorescence images from 3

- representative fields for each genotype taken from a 62 day old culture stained with anti-
- 596 phospho-tau antibody (green) and DAPI (blue). This apparent difference is likely due to a
- 597 significant decrease in neurites on dead or dying cells present in A β 42 cultures rather than a
- redistribution of signal. The area of phospho-tau staining (normalized to DAPI) was not
- significantly different between H9 and A β 42 samples (p=0.9078, N>15, t test). Scale bar = 20
- 600 μm. (**Bottom**), RNA-Seq analysis indicates no significant difference in relative MAPT expression
- 601 (coding for tau) among the genotypes (ANOVA, Dunnett corrected). Data points are from
- 602 independent RNA-Seq samples (±SEM).]

603 Aβ-dependent differential gene expression

- 604 The edited cell lines present a particularly favorable opportunity for whole transcriptome RNA-
- 605 Seq analysis to identify differentially expressed genes (DEGs) that may be mechanistically
- 606 linked to Aβ-dependent ND. They are not confounded by uncontrolled amyloidogenic APP
- 607 proteolysis, overexpression of non-Aβ fragments and are near isogenic. We performed RNA-
- 608 Seq expression using mRNA isolated from 36-38-day old cultures. This is a stage where
- 609 phenotypes are either exclusive (i.e. reduced number of synapses, reduced neuronal viability and
- 610 increased accumulation of lysosomes and endosomes) or more penetrant (greater accumulation
- 611 of aggregated A β) for the A β 42 editing compared to A β 40 editing. RNA isolated from 3
- 612 independent H9 culture samples served as the reference control to identify DEGs for each edited
- 613 genotype. All three genotypes are heterozygous for the major sporadic AD risk allele (i.e. $\varepsilon 4/\varepsilon 3$)
- and thus in an appropriate human genetic context relevant to a large proportion of SAD cases
- 615 [49].
- 616 We tested differential expression for 18,259 genes (i.e. genes that had an FPKM > 0.1 in 50% of
- 617 samples). Results of hierarchical clustering along with an expression heat-map for the batch
- 618 centered sample medians of individual samples are shown in Fig. 11A. The 4 A β 42 samples
- 619 cluster together on the same branch of the dendrogram. One A β 40 sample (#31.1) clusters
- 620 adjacent to the A β 42 group while the other (#41.1) appears more like unedited H9 samples
- 621 indicating that whole transcriptome expression is more similar among individual $A\beta 42$ edited
- 622 samples relative to either A β 40 or unedited H9 samples which agrees with phenotypic
- 623 penetrance at this culture age. DEGs may thus be mechanistically associated with $A\beta 42$ -
- 624 dependent affected pathways related to these phenotypes.

625 We defined DEGs by first identifying genes that vary between A β 42 vs H9 and then filtering

- 626 genes with a similar directional change for A β 40 that using a more liberal criteria (to avoid
- 627 keeping genes marginally not significant in the Aβ40 vs H9 comparison) (see **Supplemental**
- 628 Methods and Data for full details). All 93 DEGs for the $A\beta 42$ vs H9 comparison are shown in
- Fig. 11B as a fold change (FC) heat map. There were 23 UP and 70 DN (down) regulated genes
- 630 which were used for functional/annotation enrichment analysis. This number is rather small
- 631 compared to numerous other AD related studies of DEGs in patient samples or even iPS cell
- 632 lines where thousands of DEGs are often identified [23,50–52]. Note that the directional FC
- 633 (fold-change) was similar for most genes in the A β 40 samples compared to A β 42. The Pearson
- 634 correlation coefficients for log2 ratios of all A β 42 vs H9 compared to A β 40 vs H9 genes was 635 0.5434 (all genes, linear-regression p-value < 0.0001, Fig. 11C, top), and the correlation
- 636 coefficient for the DEG FC values is 0.3183 (differentially expressed genes, linear regression p-
- k value = 0.0019, Fig.11C, bottom). Aβ dependent changes in gene expression thus appear similar
- 638 for Aβ42 and Aβ40 samples. A complete list of all detected genes, the genotype specific
- average log2 RPKM values, log2 ratios of the H9 DEG comparisons, FC values, statistics and
- 640 DEG status is included as **Supplemental Table S1**.
- 641 [Fig. 11. Differentially expressed genes in 34-day old cultures. A. Cluster analysis of
- 642 differentially expressed genes (Pearson dissimilarity metric). Aβ42 samples cluster together
- 643 while Aβ40 and H9 samples overlap. **B**. Heat map of significant DEGs from RNA-Seq analysis of
- 644 Aβ42 vs H9 comparison and Aβ40 vs H9 comparison. Up (UP) regulated genes (red) and down
- 645 (DN) regulated genes (green) were sorted by the magnitude of the indicated fold change (FC)
- 646 values for the A β 42 vs H9 comparison. There is a general correspondence in the directional FC
- values with a relatively larger FC in the A β 42 samples. **C**. Pearson correlation confirms
- 648 significant co-variation of the log2 ratios of all genes (top, significant DEGS in color) as well as
- 649 the FC values for significant UP and DN regulated genes (bottom).]
- GO enrichment analysis of the UP and DN regulated genes for the A β 42 vs H9 comparison did
- not identify functional enrichment for UP genes after correcting for FDR. The statistical power
- of this approach, however, is likely limited when using a small number (23) of input DEGs. For
- DN genes, however, 13 out of 70 (19%) were related to cilia functions and were significantly
- overrepresented (i.e. FDR<0.05) (CCDC114, CFAP100, CFAP126, CFAP45, CFAP70, DAW1,
- DNAAF1, DNAH11, DNAI2, SPAG17, STOML3, TEKT1, USH2A). Interestingly, 5 of these
- 656 "cilia" genes (DAW1 DNAH11 DNAI2 GDA TEKT1) were also differentially expressed in a
- 657 hippocampal AD vs non-AD RNA-Seq study [50] suggesting that cilia related pathways may
- also be affected in AD. Using unadjusted p-values, microtubule and cytoskeletal genes were also
- 659 over represented (CCDC114, CFAP100, CFAP126, CLIC5, DNAAF1, DNAH11, DNAI2,
- 660 GAS2L2, PARVG, SPAG17, TEKT1, USH2A) as well as genes associated with vesicle lumen
- 661 (COL11A1, COL8A1, ERP27). Overrepresented molecular functions included neurotrophin
- receptor associated terms (NTRK1) and peptidase regulatory roles (CD109, SERPINA3,
- 663 SERPIND1). The complete GO results are included in **Supplemental Table 2**.

664 We also used GATHER (<u>http://changlab.uth.tmc.edu/gather/gather.py</u>) to broaden the search for

- relationships/pathways in the A β 42 DEGs. Two GO terms were statistically significant for UP
- 666 genes (FDR<0.05): GO:0007267: cell-cell signaling (ADRA1B, CPNE6, CXCL14, MME,
- TNFSF10, UTS2) and *GO:0007154*: cell communication (ADRA1B, COL19A1, CPNE6,
- 668 CXCL14, DKK1, GRP, HAPLN1, MME, STAC2, TNFSF10, UTS2). DN genes included two
- overlapping GO terms: GO:0015698: inorganic anion transport and GO:0006820: anion transport
- 670 (CLIC5 COL11A1 COL8A1 SLC12A1). KEGG pathways with an FDR<0.25 included
- hsa04080: Neuroactive ligand-receptor interaction (ADRA1B, GRP, UTS2) and hsa05010:
- Alzheimer's disease [MME] for UP genes. DN genes were hsa04512: ECM- receptor interaction
- 673 (COK11A1, FNDC1). Complete GATHER results are included in **Supplemental Table S3**.
- 674 GSEA KEGG analysis (<u>http://software.broadinstitute.org/gsea/index.jsp</u>) is an additional way to
- discover potential pathway relationships and are not limited by by using a small list of input
- 676 genes since input can be a rank order list of FC values for all detected genes. We performed
- 677 GSEA using a rank ordered FC list (18,233 genes) and compared these to all KEGG pathways.
- 678 The Aβ42 vs H9 list identified 118/170 KEGG gene sets that were upregulated. Twenty-two had
- a nominal p value <0.05 and 3 of these had an FDR <25%. The top scoring KEGG pathway was
- 680 NEUROACTIVE LIGAND RECEPTOR INTERACTION (hsa04080, Normalized Enrichment
- 681 Score=2.12, p<0.01, FDR=0.014). Our gene list included 79 of the 219 (36%) genes in this
- 682 pathway suggesting widespread changes in neuroactive ligand receptor signaling was a
- 683 consequence of direct A β 42 expression. This can plausibly be related to the DN regulated
- 684 expression of "cilia" related genes since primary cilia in neurons are believed to be a major
- 685 organelle signaling hub known to express a host of neuroactive ligand receptors [53]. No KEGG
- 686 pathways reached significance (FDR<0.05) for DN genes or for a separate analysis of ranked
- $A\beta 40 \text{ vs H9 DEG FC values.}$ Summary results for the top 20 GSEA KEGG pathways for $A\beta 42$
- 688vs H9 genes along with details of the KEGG NEUROACTIVE LIGAND RECEPTOR
- 689 INTERACTION pathway are included in **Supplemental Table S4**.
- 690 We also analyzed DEGs using Ingenuity Pathway Analysis (IPA). Remarkably, for the Aβ42 vs
- H9 comparison, the highest and lowest z scores were obtained for the functions "Increased
- 692 Neuronal Cell Death" (z = 1.658) and "Decreased Memory" (z = -2.213), two biological
- 693 processes with obvious relevance to AD. Fig. 12 shows the individual DEGs identified by this
- analysis color coded by intensity for FC values. The "Decreased memory" and "Increased
- 695 neuronal cell death" pathways are connected through the overlap of DKK1 and NTRK1. An IPA
- analysis for disease related pathways returned the "Neuroprotective Role of THOP1 in AD" as
- 697 the top scoring canonical pathway (p = 9.95 E-3). This pathway was also significant for a
- hippocampal DEG analysis of LOAD RNA-Seq data [50]. Thimet oligopeptidase (product of
- THOP1) is reportedly neuroprotective for Aβ toxicity in cortical neurons and can degrade
- soluble A β but not aggregated A β 42 [54,55]. The DEGs in the A β 42 vs H9 comparison represent
- only a small fraction of the 40 genes in this pathway. They were MME (aka NEP, neprylisin)
- and SERPINA3 (aka ACT) (indicated on the bottom of Fig. 12). MME is not directly related to
- decreased memory in IPA, but is included because of its potential indirect relationship through
- GRP [56]. SERPINA3 is a member gene of the "Neuronal cell death" category in IPA and both

- genes are part of the extracellular arm of the THOP1 in AD pathway in IPA. MME, is an $A\beta$
- degrading enzyme with increased expression in the A β 42 edited cells and SERPINA3, is a serine
- protease inhibitor with decreased expression which co-localizes with A β in AD plaques [57].
- 708 [Fig. 12. DEGs are potentially related to Alzheimer's relevant pathways and functions. (Top),
- 709 IPA pathway analysis of DEGs in the A β 42 vs H9 comparison identified "decreased memory" (z =
- -2.213) and "increased neuronal cell death" (z = 1.658) as the lowest and highest scoring
- functional pathways. Individual genes are shown as graphic symbols representing molecule
- type and color coded by FC values (red=UP, green=DN). (Bottom), the most relevant IPA disease
- 713 related canonical pathway was "Neuroprotective role of THOP1 in Alzheimer's disease" (p =
- 9.95 E-03, overlap = 2 of 40 total genes in this pathway). The pathway genes were MME (aka
- 715 NEP, neprilysin) an A β degrading metalloproteinase and SERPINA3 (aka ACT, alpha-1
- antitrypsin) a protease inhibitor found in AD plaques (Top, circled in blue). MME is not directly
- 717 included in the IPA "decreased memory" function but can potentially be indirectly related
- 718 through its relationship to GRP.]
- There is no general consensus regarding a "signature" set of AD related DEGs, especially those
- that related to early LOAD pathogenic mechanisms making it challenging to relate our
- expression data with patient samples likely to contain signals from many different non-neuronal
- cell types, co-morbidities and many complex combinations of genetic variance. Nevertheless we
- did find some encouraging comparisons. For example, the GeneCards database
- 724 (<u>https://www.genecards.org</u>) has 6,672 genes identified as "Alzheimer's related genes". This is a
- rather large list not restricted to DEG analysis but also including GWAS hits as well as other
- types of associations. For our UP genes we found 10/23 (43%) that overlapped (TNFSF10,
- 727 DKK1, GRP, CALHM2, MME, ALDH1A2, CXCL14, PPP1R17, TMEM255A, HAPLN1) and
- 17/70 (24%) DN genes (SHISA2, DNAH11, SERPIND1, SCN1A, APOL1, HP, ERP27,
- SERPINA3, STXBP6, CFAP70, PARVG, GDA, PCP4, NTRK1, TMC5, STOML3, RARRES3)
- 730suggesting some potential AD relevance. A recent RNA-Seq analysis of hippocampal tissue
- from SAD vs non-SAD patient samples [50] identified 2,064 DEGs. We found only 3 out 23
- (13%) UP genes overlapped (not statistically significant; Fisher's exact test, p=0.46) (HAPLN1,
- 733 CPNE6, TNFSF10). In contrast, 22 out of 70 (31%) DN genes overlapped (Fisher's exact test, p
- $734 = 2.5 \times 10^{-6}$ (DAW1, FAM216B, GDA, TCTEX1D1, PCP4, CCDC114, LRRC71, A4GALT,
- 735 MAP3K19, TEKT1, CD109, TMC5, RARRES3, LINC00880, PARVG, ANKRD66, FNDC1,
- 736 DNAH11, C11orf88, ANKUB1, DNAI2, SERPINA3). Four of these DN genes had an opposite
- directional FC, while others agreed with our DEGs. This significant overlap suggests that DEGs
- in our A β -dependent neuronal model may thus have relevance to the AD, including the possible
- 739 involvement of cilia dysfunction as mentioned above.

740 Discussion

- 741 The plethora of genes, molecules, cell types and pathways implicated in extensive AD patient
- and experimental model organism studies have not yet identified critical factors that initiate and
- sustain the progressive clinical and pathological decline characteristic of this neurodegenerative
- 744 disorder. Many investigators believe that Aβ accumulation plays a key role in initiating

- pathogenic processes, however the specific aggregated/oligomeric state responsible is
- 746 controversial and we lack a clear understanding of mechanisms and pathways that link Aβ to
- ND. Reasons for this are twofold: AD is an extremely complex disorder and most experimental
- models do not exhibit progressive ND as a phenotype [4]. Interesting exceptions to this
- phenotypic deficiency are mouse [11–14] or invertebrate [10] models that directly overexpress
- Aβ rather than relying on its production via APP amyloidogenic proteolysis. Aβ42 direct
- expression models all exhibit chronic progressive ND. Human iPS AD models appear to be a
- 752 promising way to experimentally investigate AD mechanisms in a human genetic context
- 753 [22,58–60] but unfortunately they also fail to progress to ND.
- In the current study, we used genomic editing to obtain isogenic hES cell lines that differ only in
- a single allele of the normal APP locus. This approach permitted a comparative analysis of
- phenotypes relative to unedited parental near isogenic cells, as well as independent analysis of
- 757 direct expression of an equivalent amount of Aβ40 or Aβ42 since both edited alleles are under
- control of the endogenous rather strong APP promoter. We thus expected the strength and timing
- of direct expression would match APP itself but this was not the case. Developmental timing of
- respression agreed well with APP however expression levels of edited alleles were both ~30 fold
- 161 lower. Our model is thus significantly different from other direct expression models where
- strong exogenous promoters are used that may complicate phenotypic interpretation because of
- potential overexpression artifacts. Overexpression of FAD genes, or even wild type APP, seems
- to susceptible to this complication in transgenic mouse AD models [4]. APP proteolysis is a
- complex process with both amyloidogenic and non-amyloidogenic pathways producing a host of
- other fragments that exhibit a variety of documented or potential phenotypic consequences [61].
- The phenotypic results we describe are due to direct expression and thus not likely to be
- 768 confounded by non-A β peptides. No amyloidogenesis is required for A β generation and APP
- 769 expression is reduced \sim 50% by our editing strategy.
- The near isogenic nature of our cell lines ensures that phenotypic results are additionally not
- confounded by genetic variance, known to have small but significant cumulative effects on AD
- risk. This is an uncontrolled variable in some patient derived iPS models. Additionally, our cell
- 173 lines may have potential relevance to SAD since no AD FAD related mutants [62] were used and
- the cells all have the APOE $\varepsilon_3/\varepsilon_4$ genotype which is associated with a large fraction of SAD
- cases [49]. We extensively characterized two independently isolated clones for each edited
- genotype and did not observe significant phenotypic differences within each edited genotype. It
- is therefore unlikely that phenotypes are a result of off target effects or low level mutations
- which may occur during stem cell editing [32].

779 Neurodegeneration

- 780 One principal finding of this study is that direct expression of secretory Aβ40 or Aβ42 in
- cultured human neurons is sufficient to result in a host of AD-like phenotypes up to and
- including progressive ND. Progressive ND has not been adequately modeled in other non-
- human animal [6] or current human cellular AD models [22,60] and this phenotypic deficiency
- has led in part to some of the controversy regarding the role of A β in AD [20,63]. The amyloid
- hypothesis [18] proposes that $A\beta$ is a primary driver for a host of downstream pathogenic

- cascades terminating in ND. Direct A β expression is a simple test of this postulate and our 786
- 787 results strongly support it, with the caveat that intraneuronal accumulation, rather than
- 788 extracellular action appears to be responsible for phenotypic changes. Significant experimental
- 789 evidence supports an intraneuronal site of action for A β 42 proteotoxicity [64].
- 790 Some major competing hypotheses suggest other key non-AB mechanisms mediate ND such as
- 791 tau-hyperphosphorylation, neurofibrillary tangle formation, generation of non-AB proteolytic
- 792 fragments of APP or pathological action of non-neuronal cell types (i.e. astrocytes or microglial).
- 793 Our results suggest that these AD associated pathologies are not necessary for progressive ND in
- 794 cultured human neurons. We did not observe neurofibrillary tangles and only a modest tau
- 795 redistribution in cultures where ND was nearly complete. Additionally, neurons appear to be the
- 796 near exclusive cell type present in our cultures suggesting that $A\beta$ proteotoxicity is neuronal
- 797 autonomous. Importantly, direct expression of A β is also necessary to generate the phenotypes
- 798 we describe, including ND, since neurons derived from unedited parental cells could be
- 799 maintained up to 266 days while no edited neurons survived beyond 120 days. Finally, the ND
- 800 we observe is chronic and progressive like AD and thus differs from the well-established acute
- 801 cellular toxicity of non-physiological concentrations Aβ.
- 802 AD is not considered a developmental disease, but rather a condition restricted to old age. This
- 803 presents a significant challenge for stem cell derived culture models. We could detect significant
- 804 expression of edited A β transcripts in stem cell cultures, during the ~1-month long EB process
- 805 and during the initial stage of neuronal differentiation. However, we did not observe any major
- 806 morphologic or molecular differences related to this early exposure to AB. Stem cell and
- 807 differentiation markers, neurogenesis and neuronal marker gene expression were similar for all 3
- 808 genotypes in 10 day post EB cultures. We did note that cultures of both edited genotypes 809 sometimes appeared to self-organize into neuronal collections at slightly earlier times than
- 810 unedited H9 cells (i.e. the cells were often closer together and more likely to bear small process
- 811
- at the earliest differentiation stages (i.e. up to \sim 7-10 days of in neural differentiation media). This
- 812 is consistent with a reported "neurogenic" effect of ES cells exposed to AB [65]. Direct AB
- 813 expression thus does not appear to adversely affect neuronal developmental processes in our
- 814 culture system or the ability of neurons to self-organize into NCs.

815 Phenotypic timeline

- 816 The earliest AD-related phenotypic change we observed for edited relative to unedited cultures
- 817 was a greater accumulation of aggregated/oligomeric Aβ. This was more prominent at earlier
- 818 times for AB42 cultures and correlated with the rate of decreased neuronal viability. AB42
- 819 samples had small but significant reduction in neuronal viability even at 10 days while Aβ40
- 820 samples did not. By 35 days the level of aggregated/oligomeric Aβ was significantly higher in
- 821 AB42 cultures which also had significant more ND. 35-day AB40 cultures appear to trend
- 822 toward reduced viability but did not reach statistical significance. Direct expression of AB42
- thus is more toxic to human neurons relative to Aβ40. This agrees with the known in vitro and 823
- 824 in vivo propensity of AB42 to more rapidly form aggregates or oligomers relative to AB40 and

suggests that accumulation of aggregated/oligomerized Aβ likely explains the differential rate of
 ND.

827 Levels of aggregated Aβ42 were maximal in 32-day old Aβ42 cultures, intermediate in Aβ40

828 cultures and only present in small amounts in unedited cells. Since both peptides are produced

829 under the same genetic control, our data suggest that cellular mechanisms for Aβ removal may

830 operate more efficiently for $A\beta 40$ than for $A\beta 42$ in human neurons, a result consistent with other

direct expression models [11,16]. The low levels of aggregated Aβ accumulation in unedited

cells do not appear to be progressive and ND is not a prominent feature of these cultures

833 providing additional support for a direct relationship between accumulation of

834 aggregated/oligomerized A β and eventual ND.

835 Interestingly, the aggregated Aβ appears to be primarily intracellular and appears to accumulate

836 initially in small vesicles, a result consistent with early endosomal accumulation of $A\beta$ in AD

now believed to be a major site of pathological amyloidogenic processing [64]. Both edited

genes contain a normal secretory signal sequence to route peptide production through the normal

secretory vesicular pathway, similar to APP. Since we were unable to detect peptide in culture

840 media we don't know if $A\beta$ peptides were secreted at comparable levels and/or if they were then

841 reinternalized in endocytic vesicles. In other direct expression AD models A β 42 appears to be

preferentially retained or endocytosed by neurons relative to $A\beta 40$ [11,17]. Secretion and

843 reuptake of $A\beta$ has also been suggested in cultured neurons or early stage AD patient samples

844 [66].

845 With respect to endocytosis, we saw a significant increase in accumulation of both lysosomes

846 (LAMP1 positive structures) and early endosomes (Rab5 positive structures) in Aβ42 edited

847 neurons suggesting abnormalities in these particular vesicular pathways. Early endosomes

state containing A β may potentially mature and fuse with lysosomes which are in part a common

849 intracellular vesicular transport pathway. Altered endo/lysosomal pathway function has

previously been reported in early stage AD brain as well numerous mouse AD models and FAD

iPS models [36,43]. The low internal pH of maturing endosomes and lysosomal/endosomal

fusions would likely promote A β aggregation. The 7A1a antibody we used in this study

specifically detects aggregated A β 42 in vesicle compartments in *Drosophila* neurons [17]. The

increased number of lysosomes and endosomes was not maintained at longer culture times,

possibly because of increased ND at these later stages. This is consistent with proposals for how

intracellular A β aggregates could eventually form extracellular plaque structures, a defining

feature of AD pathology [17,67]. Notably we did not observe extracellular plaque like structures

possibly because they may be removed during frequent media changes. A cause-effect

relationship between extracellular plaques and AD pathology has not been definitively

established.

861 A β and pyknosis

862 An interesting correlation we observed was the close spatial association of

863 aggregated/oligometric A β and pyknotic neuronal nuclei. This type of nuclear fragmentation is a

defining characteristic of apoptotic cell death, but is also seen in other types of cell death [68].

Notably, this correlation was not strictly dependent on direct AB expression since it was 865 866 observed in unedited samples. Pyknosis may thus be related to low levels of amyloidogenic APP 867 processing in the unedited neurons. The mechanism(s) of neuronal death in AD is not 868 completely established, however, some evidence for apoptosis has been described in more 869 accessible cell culture and animal models [69]. Direct injection of small amounts of A β 42 870 peptide or an episome expressing AB42 into the cytoplasm results in acute toxicity of primary 871 cultured human neurons. Cell death was mediated through p53/BAX dependent apoptosis and 872 associated with significant evidence of condensed nuclear chromatin [70]. In this same study, 873 A β 40 was not toxic in contrast to our results. The A β 40 toxicity we describe, however, only 874 manifests at a significantly later culture time relative to AB42 cultures and the cytoplasmic

- 875 injection results were obtained only a short time after injection perhaps explaining this 876 difference.
- 877

Direct AB40 expression suggests a human specific phenotype

878 The delayed toxicity we observe for A β 40 diverges significantly from findings in both rodent

- 879 and *Drosophila* direct A β overexpression models where A β 40 expression appears to be
- 880 relatively limited in its ability to generate AD-like phenotypes [11,13–15]. In Drosophila, high
- 881 levels of A β 40 over expression in cholinergic neurons appear beneficial as they extend the
- 882 lifespan [16]. The absence of AB40 toxicity in flies is likely due to increased secretion or
- 883 intracellular removal relative to AB42 which preferentially aggregates within intracellular
- 884 endolysosomal vesicles [17]. Rodent direct Aβ40 models produce human peptide (from the
- 885 transgene) in the context of endogenous production of rodent A β peptides (from the endogenous
- 886 mouse gene). These peptides have 3 different specific amino acids that could modify the human
- 887 peptides ability to form toxic aggregates or oligomers. Different combinations of A^β peptides 888 are known to interfere with the rate of formation of aggregates/oligomers as well as their
- 889 structural type [71]. This is consistent with the possibility that shorter AB40 peptides (or
- 890 sequence divergent rodent peptides) could prevent toxicity of AB42 in rodent models. The
- 891 Drosophila homologue of APP does not contain any AB sequence so it would not be subject to
- 892 the same process. Additionally, a recent human-mouse hybrid AD model also observed human
- 893 specific ND in response to mouse neuronal production of FAD derived amyloidogenic Aß [72].

894 Our unedited cultures clearly produce small amounts of aggregated AB and this process also

895 likely occurs in edited cells (i.e. APP expressed from the unedited allele). It is possible that a

- 896 small amount of amyloidogenic derived AB could act as a "seed" to stimulate additional
- 897 aggregation/oligomerization of directly expressed AB40 and AB42. Such a process could
- 898 account for the ND properties of our model. Further study will be required to examine this
- 899 possibility. Many AD related studies use incompletely defined oligomers of AB isolated from
- 900 AD brain that seem to have the ability to specifically initiate several AD-like phenotypes [73].
- 901 The exact structure of proteotoxic A β assemblies are still imprecisely defined, but generally
- 902 believed to be smaller oligomers. It will be interesting to compare the AB structures present in
- 903 our cultures with those isolated from AD brain.

904 Synaptic deficits

- 905 We document a deficit in synapsin1 stained puncta in 34-day old cultures which was specific for
- 906 Aβ42 edited cells at this culture age. Synaptic deficits are an early AD phenotype but have rarely
- 907 been reported in human AD culture models [22]. Other experimental models attribute synaptic
- 908 deficits to an increased production of A β associated with increased synaptic activity, or a
- 909 complex relationship to amyloidogenesis (sometimes involving non-Aβ40 or Aβ42 APP derived
- 910 proteolytic products) or even a tau dependence [40]. The deficit we observe is most likely a
- 911 direct result of Aβ42 aggregation/oligomerization since amyloidogenic processing is likely to be
- 912 minimal in edited cultures. Additionally, we do not observe tau related phenotypes until much
- 913 later culture ages suggesting that the synaptic deficit is not mediated by tau dependent
- pathogenic processes. Importantly, we did not distinguish between the failure to form synapses
- 915 or their increased removal which would require additional observations.
- 916 Phenotypic changes appear to be neuron specific
- 917 We used H9 ES cells as the parental genotype in this study since they are widely used in many
- 918 neuronal differentiation protocols, have been successfully edited and have a SAD associated
- 919 APOe4/APOe3 genotype [49]. An ongoing challenge in constructing relevant neurodegenerative
- stem cell models is differentiation to specific cell types involved in the disease [60]. Many types
- 921 of neurons degenerate in AD and we initially tested a differentiation protocol designed to
- 922 produce an enrichment of cholinergic basal forebrain-like neurons that was used to generate H9
- 923 derived neurons susceptible to Aβ42 oligomers [74]. Unfortunately, we could not reliably obtain
- 924 differentiated neurons that could be maintained in culture for more than a few weeks. Successful
- 925 generation of cholinergic neurons was also minimal, suggesting that protocols to generate basal
- fore brain neurons may still need improvement [75].
- 927 The work presented in this communication used a protocol originally developed to generate
- 928 enrichment of limb motor neurons [29], a neuronal type not generally affected in AD. We note
- however that RNA-Seq data suggests a neuronal population significantly more complex than
- primarily limb motor neurons. First, low levels of CHAT expression were detected and only
- 931 ~10-20% of NeuN positive cells were positive for staining with an anti-choline acetyltransferase
- antibody (data not shown). No muscle cells are present and their absence, especially in longer
- 933 term cultures would likely have a significant effect on the ultimate state of motor neuron
- differentiation. We detect expression of GAD1 and GAD2, TH and SLC17A7, suggesting
- additional non-motor neuron neurotransmitter phenotypes are present. It is likely that we derived
- a neuronal cell population biased towards caudal, rather than rostral differentiation. For example,
- caudal HOXB4, HOXB6 and HOXA1 levels were high but not GLRA1 and MNX1. Likewise,
- some but not all rostral genes agree with a more rostral fate (i.e. high levels of rostral CUX1,
- 939 SATB2, RELN and DAB1 but low levels of TBR1 FOXG1 and NKX2-1). A direct comparison
- 940 of these two general classes of neurons in iPS cultures established greater early stage AD-related
- 941 phenotypic elaboration in rostral neurons, however the differences were not large and were
- 942 possibly related to differences in the rate of amyloidogenesis rather than the direct expression we
- 943 use here [76].

It is clear from RNA-Seq data that our cultures are primarily neuronal (ie. high level expression 944 945 of DCX, TUBB3 and MAPT) in good agreement with ~90% of cells reliably staining with 946 antibody to either NeuN, Tuj1 or DCX. We also detected very low and marginally significant 947 expression of astrocytic markers ALDH1L2, GFAP; oligodendrocyte marker OLIGO2 and 948 microglial marker TREM2 and AIF1 but did not observe any cells with characteristic 949 morphology of these glial cell types. One necessary modification we made to the original 950 differentiation protocol [29] was a weekly 24 hour exposure to EdU to suppress mitotic cell 951 overgrowth (continued up to ~50-60 days of differentiation). This treatment is likely to eliminate 952 the bulk of late appearing glial cell types. The cell population we studied should therefore be 953 considered as "mixed" but primarily or exclusively neuronal. Supplementary Table S5 954 contains the relative expression derived from RNA-Seq read data for selected cell type specific 955 genes normalized to the mean expression for all detected genes and includes data for a few

956 selected primary AD genes.

957 Tau related phenotypes

- 958 We did not observe genotype specific differences in the levels of phospho-tau, only a
- 959 redistribution from neural processes to primarily somal regions in edited samples likely related to
- 960 fewer neuronal processes in dead/dying neurons at late culture times. In contrast, human cell
- models of FAD iPS cells grown as organoids or FAD genes overexpressed in neural precursor
- 962 cells differentiated in a 3-D matrix successfully elaborate aspects of tau related phenotypes, even
- AD-like neurofibrillary tangle formation [25,26]. The 3-D culture format has been suggested to be critical for these tau phenotypes, so the absence of tau pathology in our model could simply
- be a result of the comparatively small size of the NCs (i.e. $\sim 10-16 \,\mu\text{m}$ of thickness and a range of
- 966 lateral dimensions which varies ~2-3 fold among independent differentiations). An alternative
- 967 explanation, however is possible. Tau related pathology may be related primarily to non-A β
- 968 dependent APP fragments [77]. These non-AB APP fragments are likely present in higher
- amounts in both the FAD organelle model as well as the FAD overexpression model but not in
- 970 our edited cells. Consistent with this possibility, cell models constructed with a Down syndrome
- 971 genotype elaborate both $A\beta$ and tau pathology but similar to these organoid and matrix 3D
- models, synaptic deficits and ND are not [78]. Increased phosphorylation of tau, but not
- 973 neurofibrillary tangles, was a feature of degenerating human neurons transplanted into the brain
- 974 of FAD expressing mice [72]. Clearly additional work is needed to understand the complex
- 975 relationship between $A\beta$ and tau. It may be significant however that a mouse/human hybrid
- model and our model suggest that extensive tau pathology is not strictly necessary for
- 977 progressive A β -dependent ND.
- 978 APOE allele type
- 979 APOE ε4 and its relationship to AD pathogenesis is complex and incompletely understood,
- 980 especially with respect to specific brain cell types mediating AD-like phenotypes [79]. APOE
- allele type involves both $A\beta$ -dependent and independent roles and has been associated with
- 982 increased neuronal amyloidogenic A β production as well as important astrocyte or microglial
- roles in A β removal [80–82]. Since our cultures do not depend on amyloidogenesis and are
- 984 primarily neuronal, these mechanisms are unlikely to contribute to edit specific phenotypic

985 changes. More likely, APOE ε 4 may facilitate neuronal uptake of potentially secreted A β 42 via

- endocytosis [83,84]. Alternatively, Aβ may be retained within recycling endosomes since
- 987 accumulation of aggregated/oligomeric Aβ appears to be initially localized to small putative
- 988 vesicular compartments and we were unable to detect it in culture media. Either of these
- 989 possibilities agree with the endolysosomal dysfunction phenotypes we observed and are
- 990 consistent with an intraneuronal role for $A\beta$ toxicity. While a pathologic role for intraneuronal
- 991 Aβ was initially contentious, it is now well supported by a variety of evidence, but still lacks a
- 992 specific mechanism [64].
- Neurons under stress are known to increase APOE expression [79] and we did see a modest
- 994 increase in edited cell lines relative to H9 cells (see **Supplemental Table S5**). CRISPR edited
- 995 homozygous ε4 human iPS derived neurons have a toxic gain-of-function phenotype which was
- sufficient to cause ND of GABAergic neurons [85]. This phenotype was human specific, was
- 997 accompanied by increased amyloidogenesis and also had increased neuronal phospho-tau which
- 998 was not related to increased Aβ production. Another recent study used independent cell type
- specific differentiation of edited iPS cells to examine neuronal APOE allele type dependent
- 1000 phenotypes [86] and confirmed that ε 4 astrocytes and microglial-like cells can clear extracellular
- 1001 A β but also established significant ϵ 4 dependent changes in neuronal gene expression some of 1002 which were related to synaptic function which could be relevant to the synaptic deficits we
- 1002 which were related to synaptic function which could be relevant to the synaptic deficits w 1003 observed.
- 1004 We also measured very low levels of glial specific marker genes (GFAP, OLIG2 and TREM2
- and AIF1; see Supplementary Table S5) so we cannot definitively exclude contributions from
- 1006 these non-neuronal cell types without additional observations, however, no positive anti-GFAP
- 1007 staining was detected at any culture stage (data not shown).
- 1008 Gene Expression
- 1009 DEGs that showed a more significant change with greater magnitude in A β 42 compared to A β 40
- 1010 samples suggesting that common pathways may be affected. This agrees well with the exclusive
- 1011 and/or more penetrant phenotypic changes in A β 42 samples at the time mRNA was isolated for
- 1012 RNA-Seq analysis. There thus appears to be a strong relationship between $A\beta$ -dependent
- 1013 phenotypes and DEGs in these cultures.
- 1014 Are they also related to AD? We identified a relatively small number of genes compared to
- 1015 extensive AD whole transcriptome expression profiling or other iPS cellular AD models where
- 1016 hundreds to thousands of genes can be differentially expressed [50,87]. This numerical
- 1017 difference could be explained by many factors including intrinsic genetic variance, differences in
- 1018 tissue and cell type sampling, co-morbidities or life style differences not represented in an
- 1019 isogenic culture model. Perhaps more likely, patient material reflects the full spectrum of AD
- 1020 phenotypes, including tau pathology and non-neuronal inflammatory glial responses while our
- 1021 cultures are more likely to represent earlier A β -specific putative disease related processes.
- 1022 Despite these considerations, comparison of our data with patient derived RNA-Seq expression
- 1023 data shows some selective overlap. Comparison to an AD vs non-AD study of temporal cortex
- samples [88] revealed that 88% (82/93) of our DEGs overlap with 51% (42) having the same

1025 directional FC. A comparison to a hippocampal study [50] identified 39% (36) genes with

1026 overlap and 67% (24) of these had the same directional negative FC (i.e. decreased expression).

1027 IPA analysis surprisingly identified "increased neuronal loss" and "decreased memory" two

1028 processes with obvious relevance to AD and identified the involvement of the neuroprotective

role of THIOP1in AD as a significant disease related pathway. These comparisons and analysis

1030 suggest potential relevance or the DEGs to AD which seems more prominent for down regulated

1031 genes.

1032 Annotation/enrichment analysis indicates that several of our downregulated DEGs related to

1033 cilia, an organelle not usually associated with AD. This suggests that cilia dysfunction may be

1034 caused by direct $A\beta$ expression in edited cultures. Five overlapping cilia related genes are also

downregulated in AD hippocampus [50] (DAW1, DNAH11, DNAI2, GDA, TEKT1) suggesting
 that this organelle could also be compromised in patients. Neurons usually contain a primary

that this organelle could also be compromised in patients. Neurons usually contain a primarynon-motile cilia [53] believed to function as a major signaling center integrating environmental

1038 information through a wide variety of localized G-protein coupled and other types of neuroactive

1039 ligand receptors [89]. Notably, the KEGG Neuroactive Ligand Receptor Interaction pathway (see

1040 Supplemental Table S4), the top scoring pathway we identified by GSEA, suggesting that

1041 neuroactive signaling is broadly disrupted in our AB42 edited cultures. Ciliary functions have

1042 been best studied in sensory neurons which contain specialized types of primary cilia.

1043 Interestingly, olfactory neurons have been shown to have A β -dependent connectivity defects

1044 [90] and be a primary problem in certain types of retinal degeneration [53]. Cerebellar ciliary

1045 dysgenesis is prominent in dominant spinocerebellar ataxia type 11 caused by a dominant

1046 mutation in tau kinase 2 (TTBK2) [91]. These observations suggest that ciliary dysfunction may

1047 thus be intimately related to ND. Neuronal cilia play important roles in neurogenesis, axon

1048 guidance, establishment/maintenance of cell polarity, and even synaptic and memory functions

1049 [92,93]. Additionally, cilia have a striking similarity to dendritic spines that includes their

1050 protein and membrane composition as well as their receptive functions [94]. Our results suggest

1051 that disruption of primary cilia may be an important aspect of $A\beta$ -dependent ND which should

1052 be examined in future studies.

1053 Future AD therapeutic development may critically depend on identifying specific molecular and

1054 cellular mechanisms coupling A β to progressive ND [95]. The culture model we describe here

1055 may thus be a useful new tool to identify these largely unknown details. This simple neuronal

1056 culture model could additionally be a useful tool to identify new therapeutic targets and agents so

1057 desperately needed by the growing population of AD patients [96]. While cell culture models

1058 may never be able to generate the full complexity of AD disease phenotypes, they are likely to be

1059 important for solving many pieces of the puzzle and the exact pathogenic role of AB

1060 accumulation within or around human neurons seems like an important step forward.

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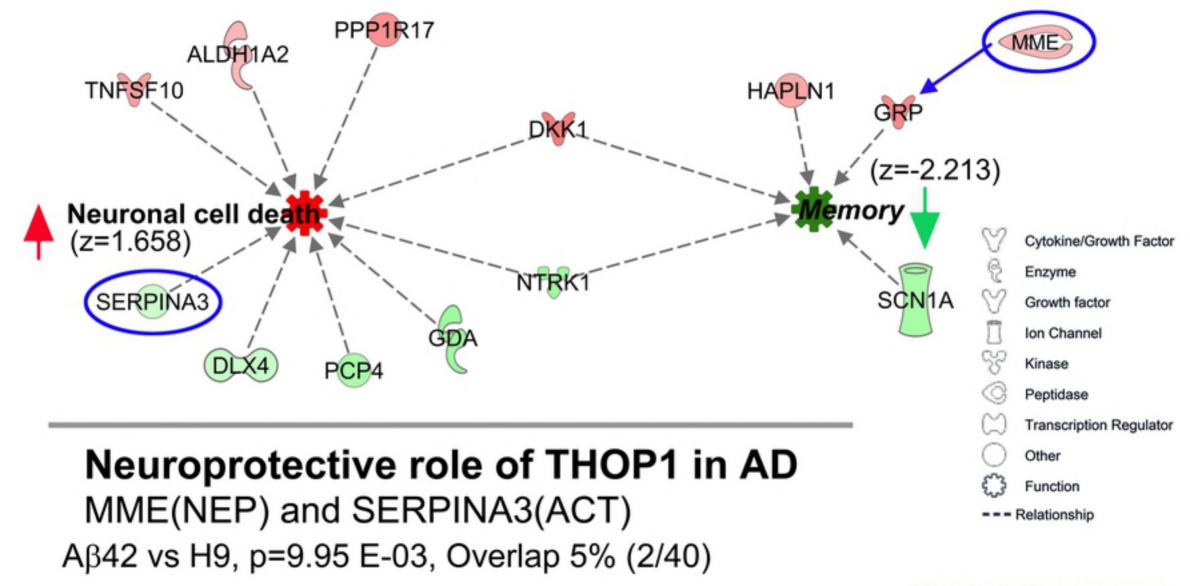
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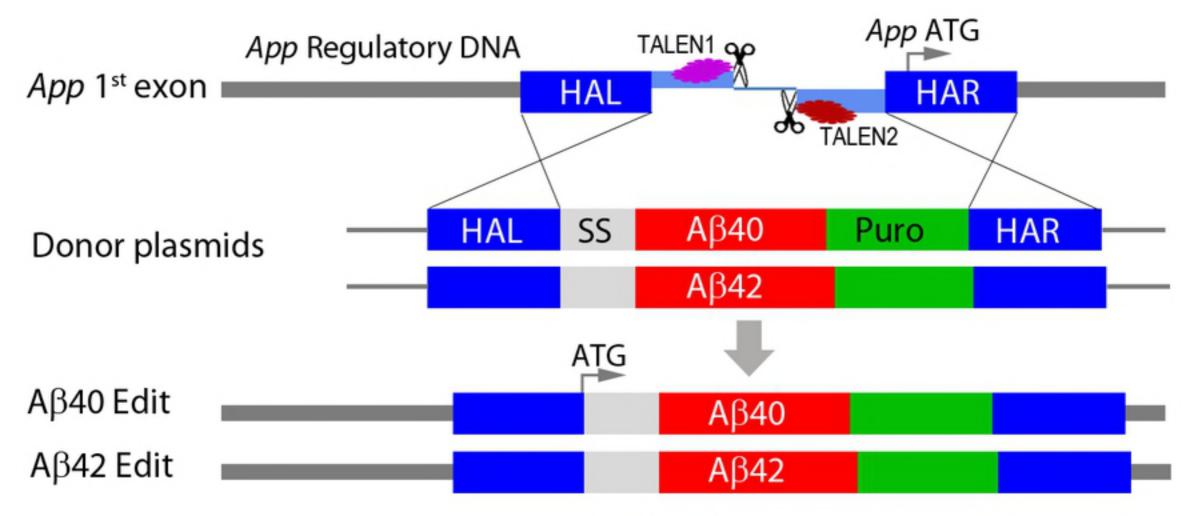
1378 Competing interests

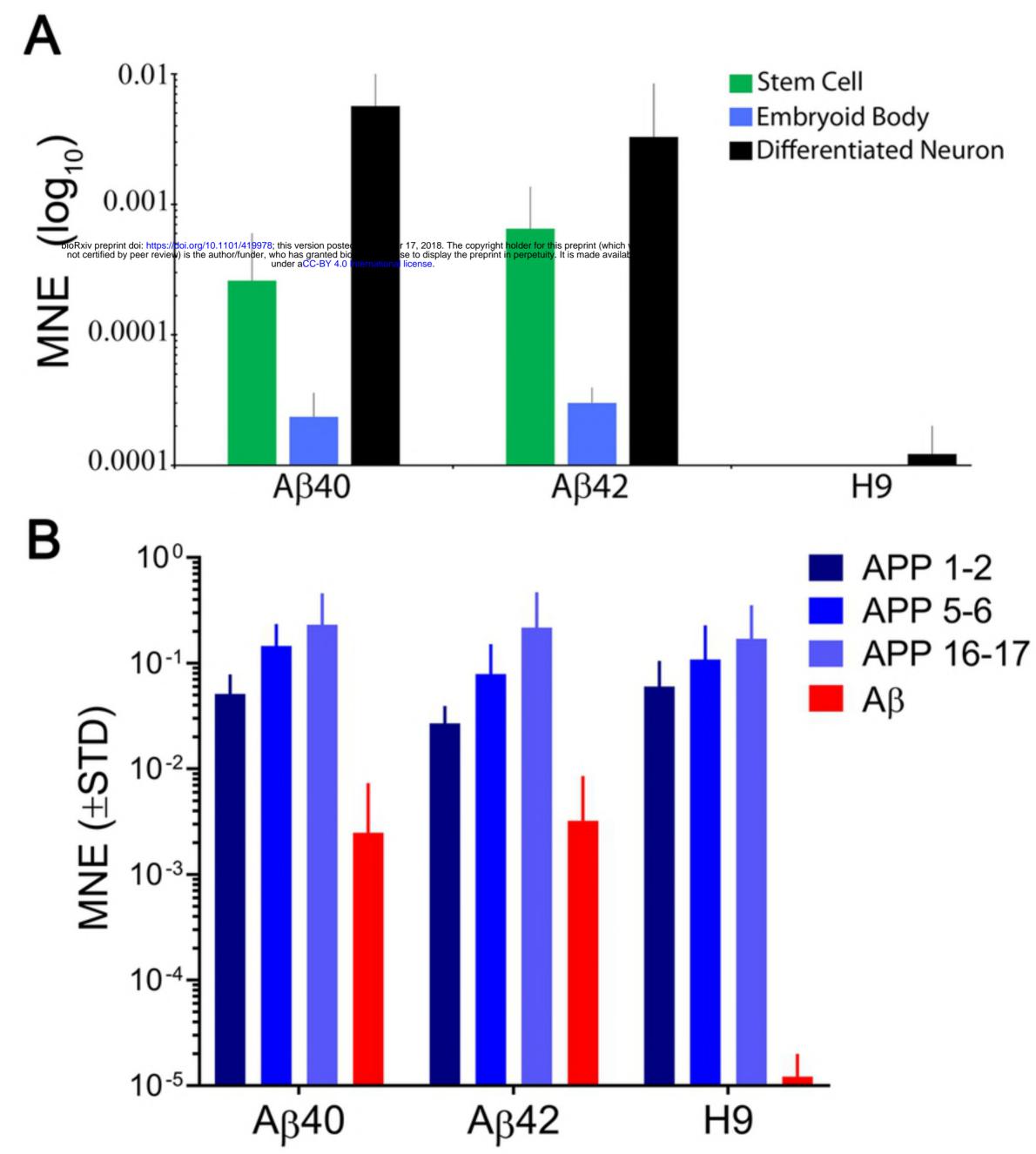
1379 The authors declare no competing interests or conflicts.

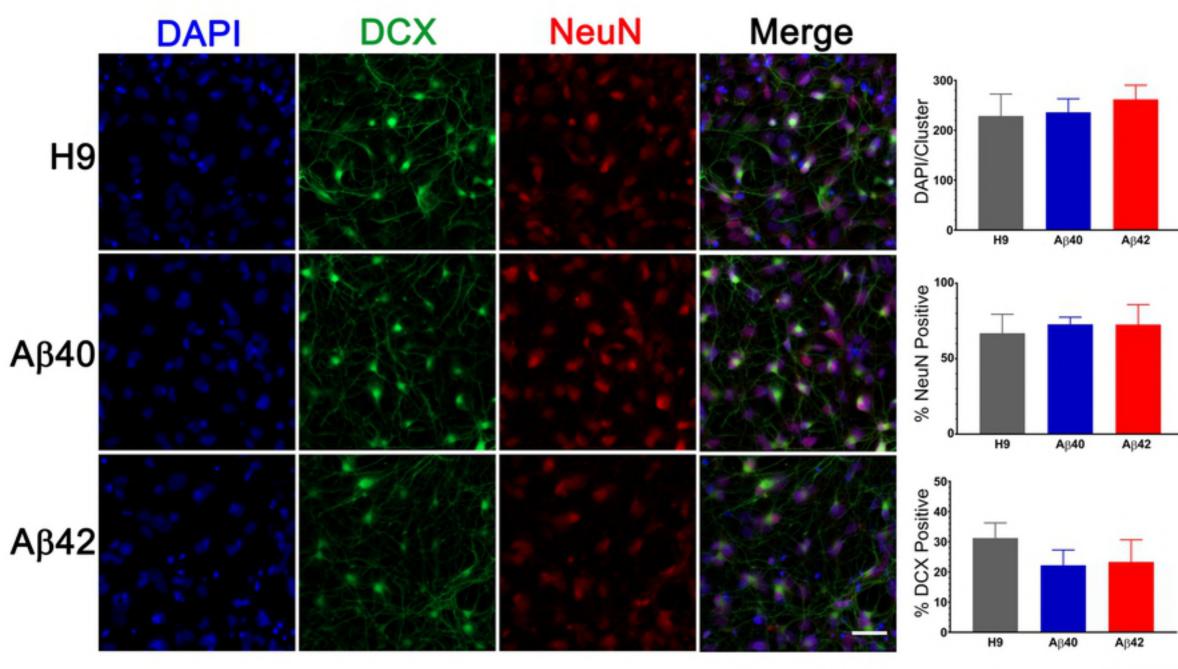
1380 Availability of materials

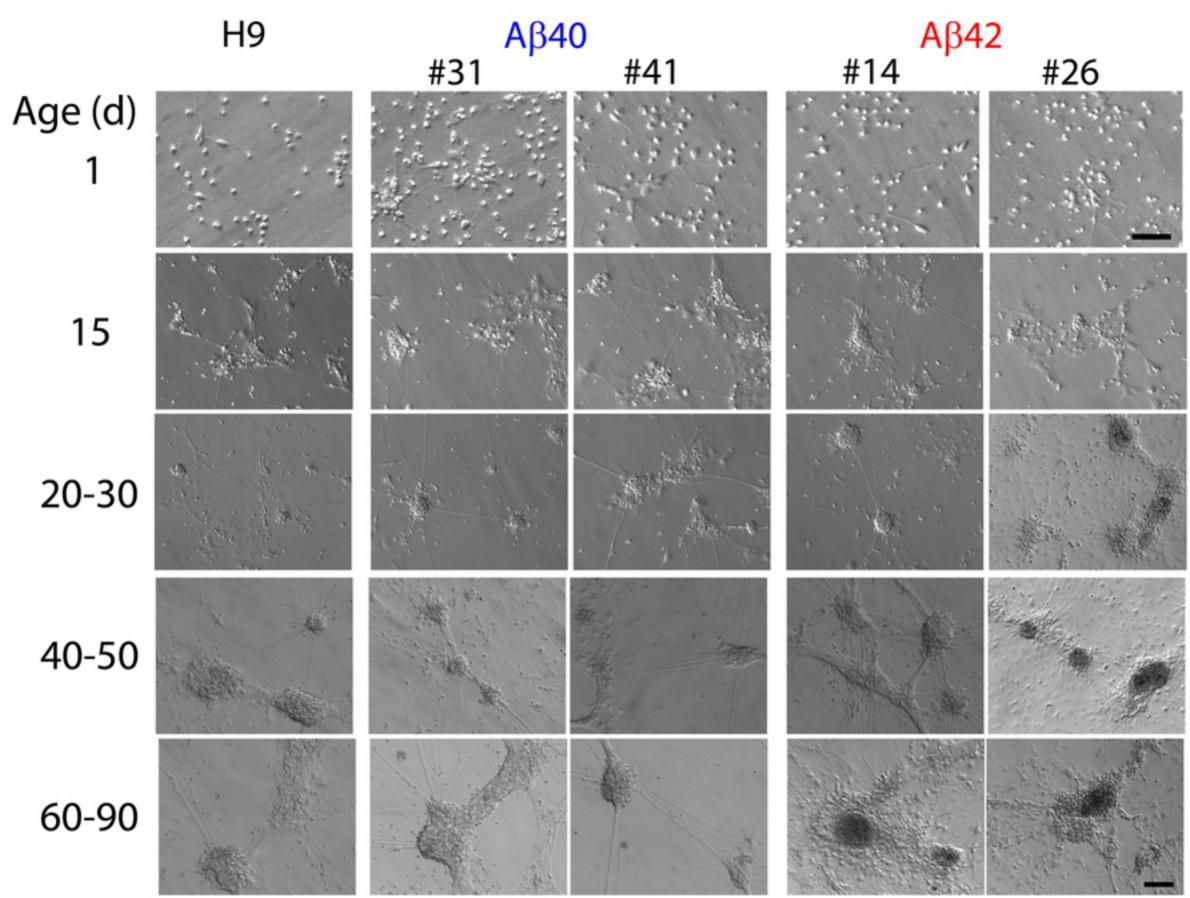
- 1381 The cell lines generated in this study will be made freely available to qualified investigators
- 1382 subject to completing a City of Hope Material Transfer Agreement.

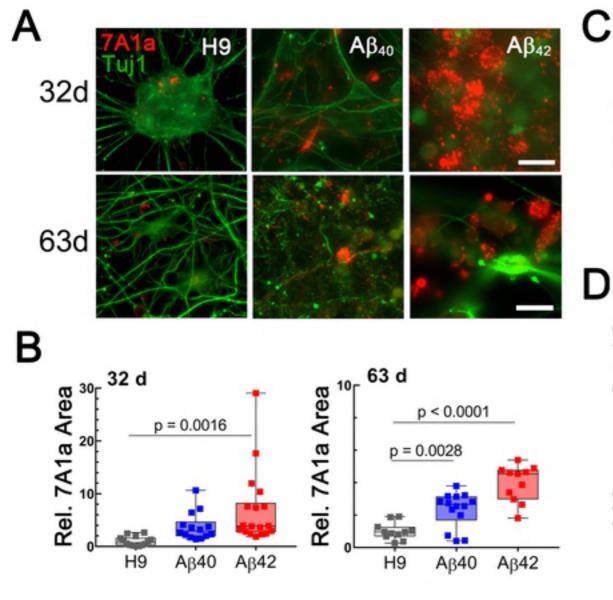


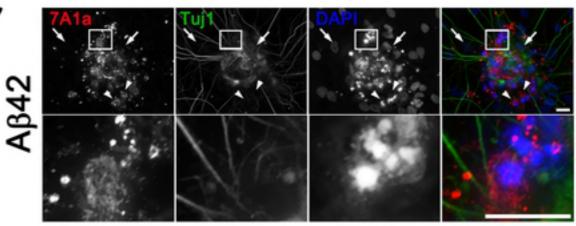


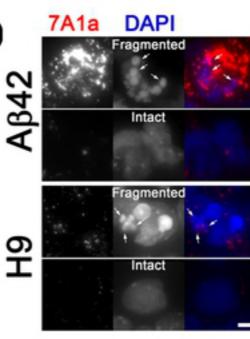


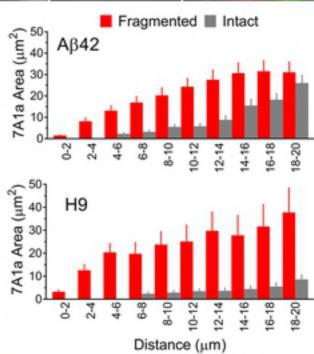




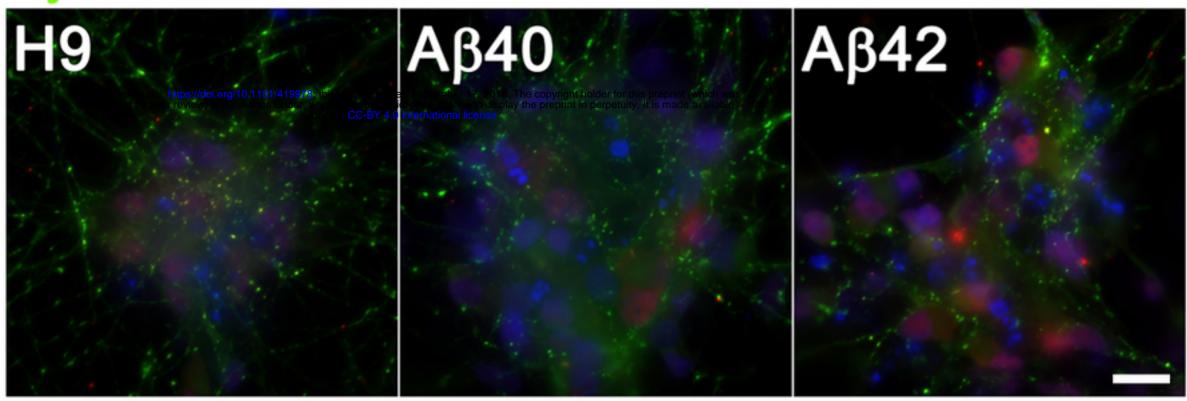


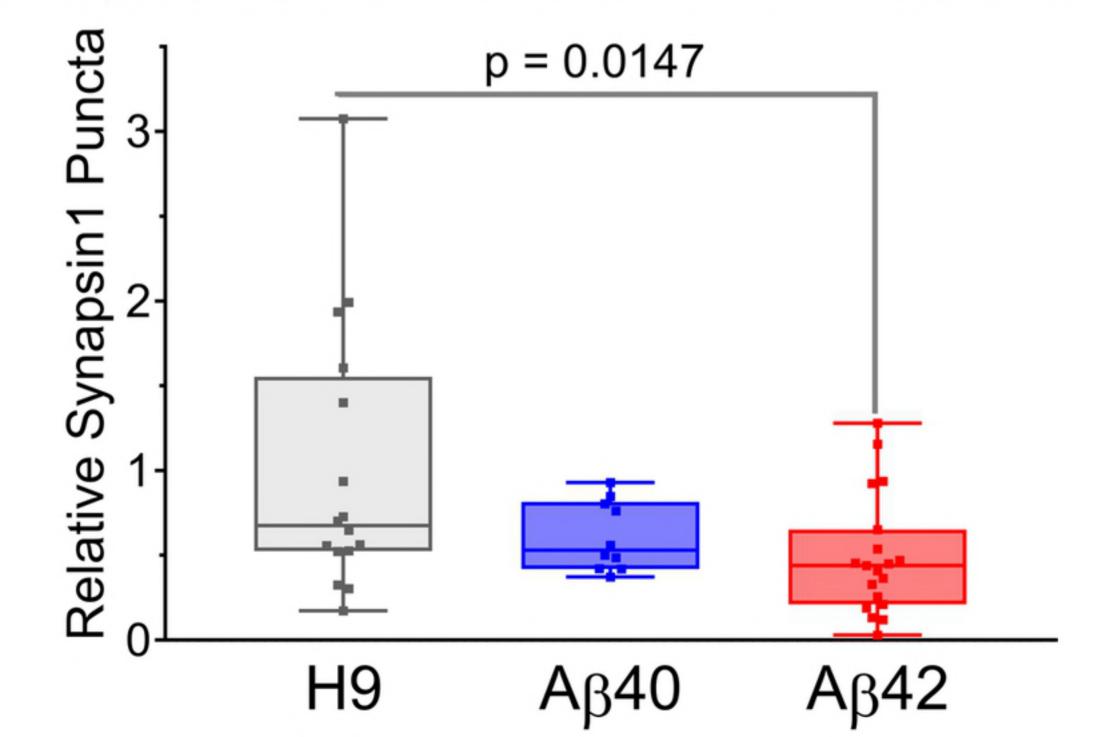






Syn1 NeuN DAPI

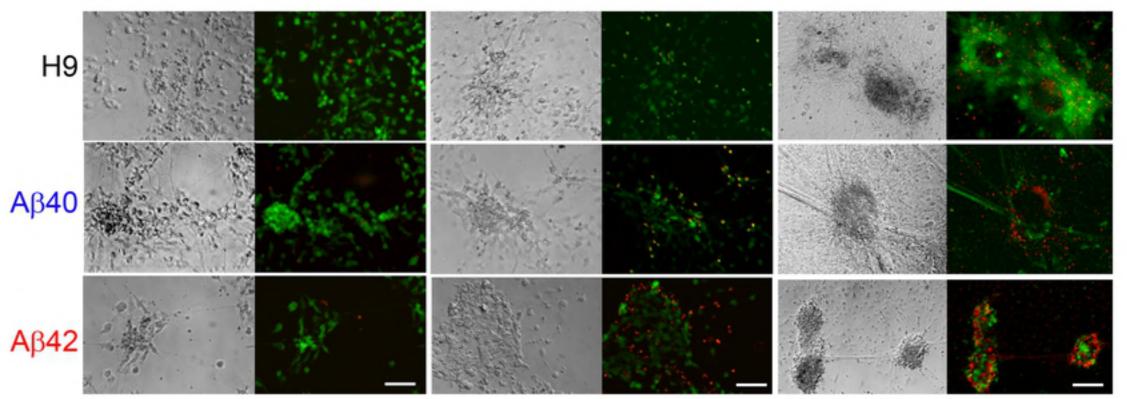


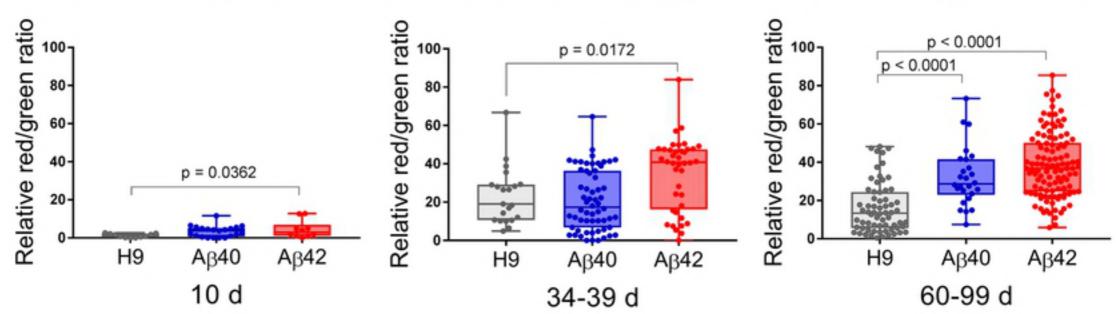


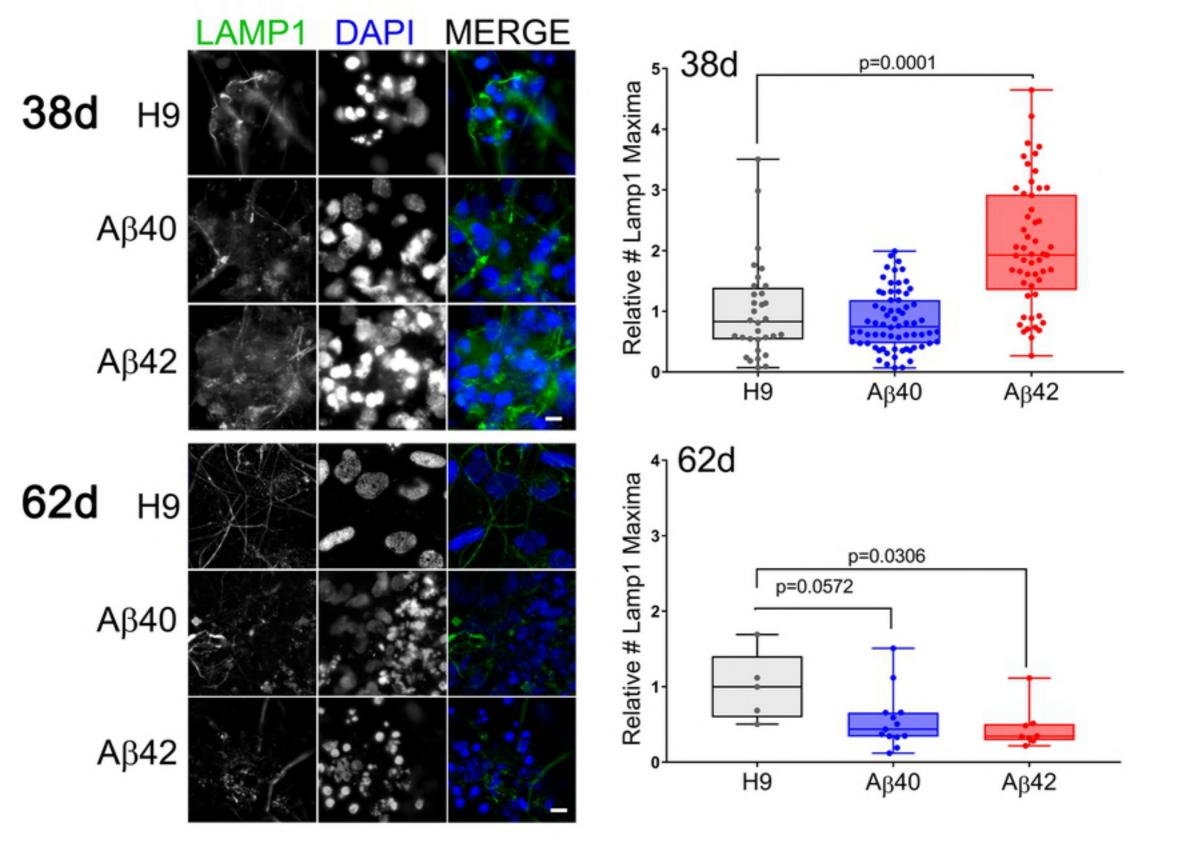
10 d

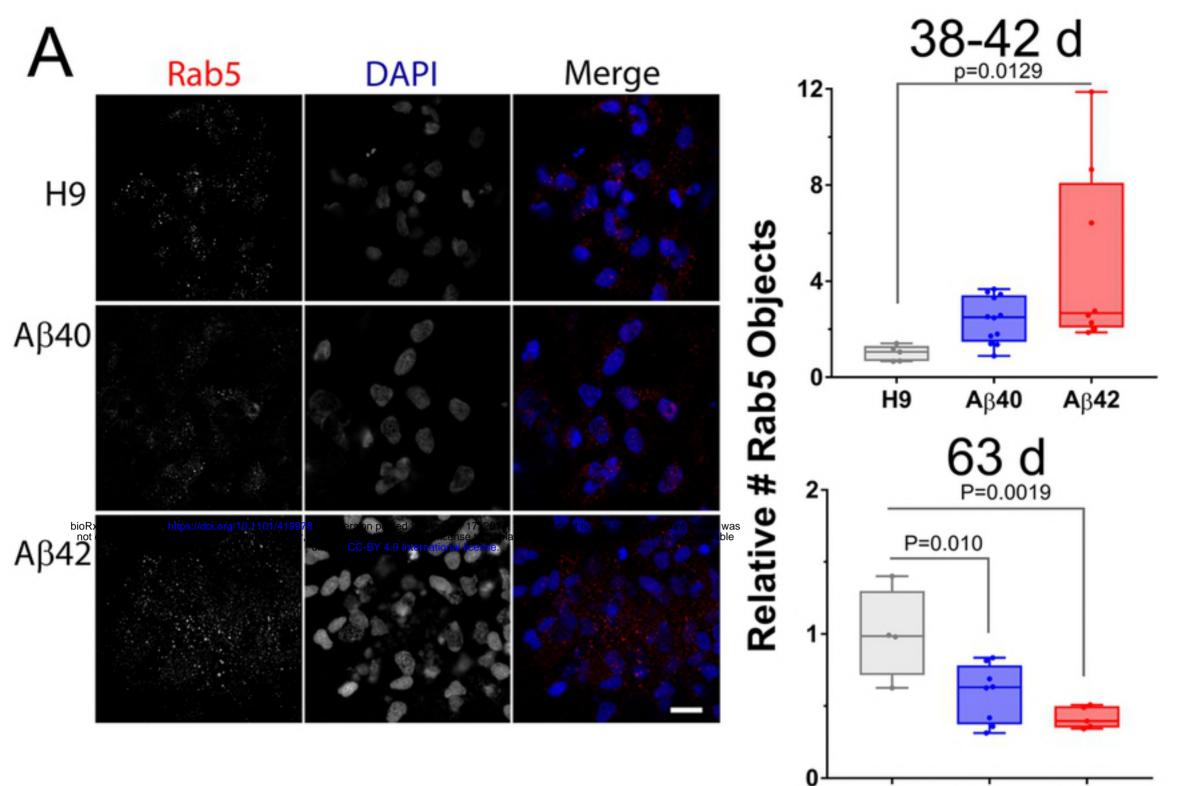
32-34 d

99 d









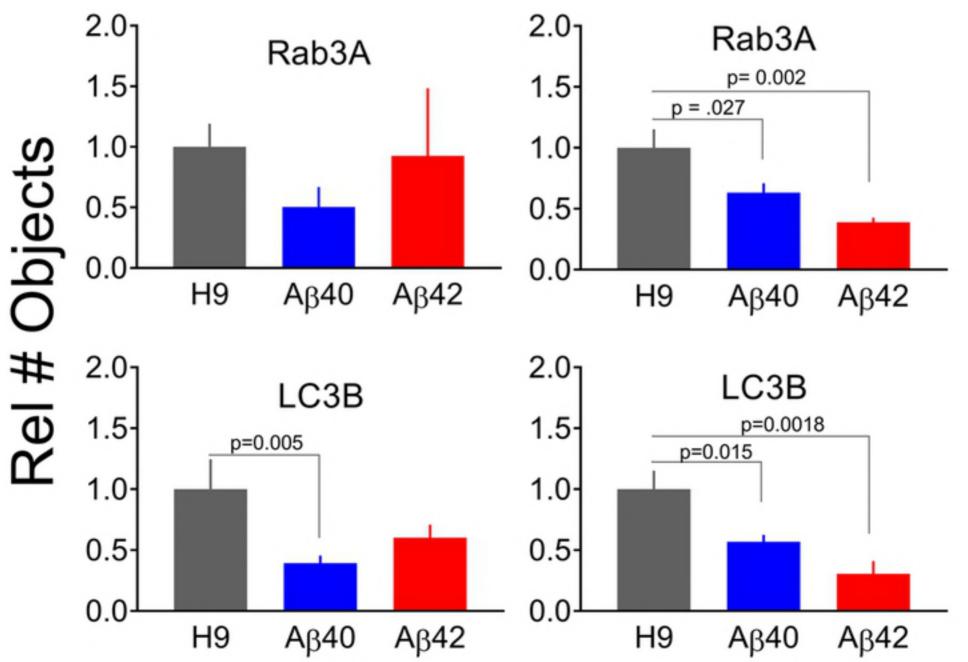
H9

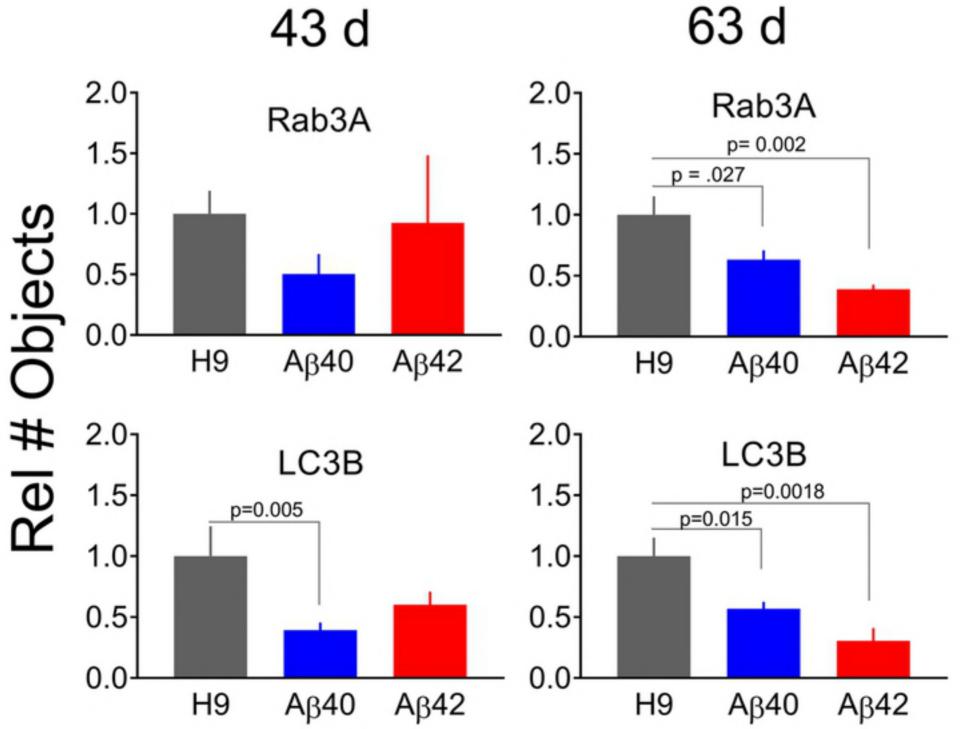
Αβ**40**

Αβ**42**

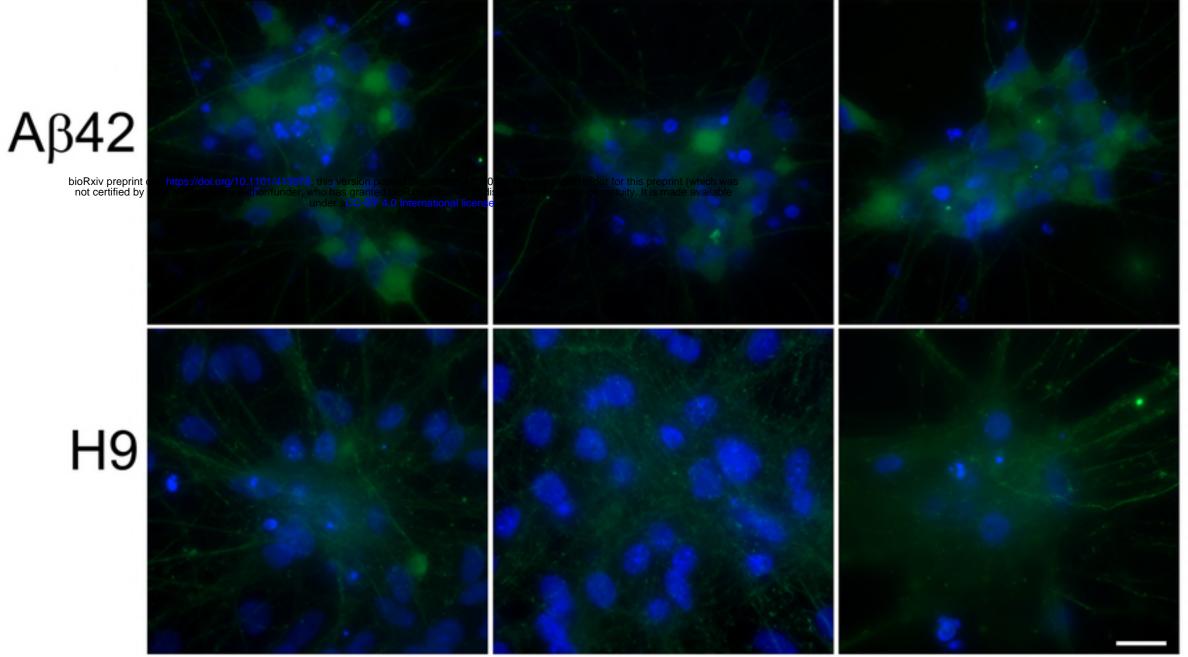




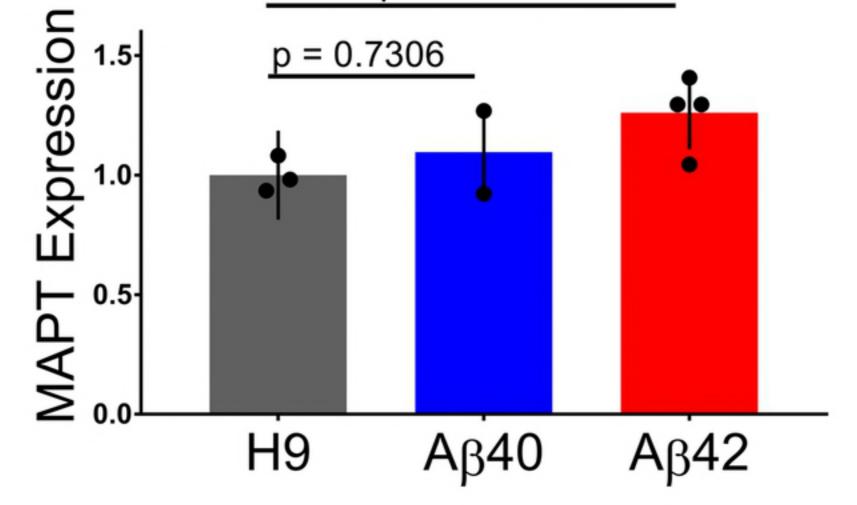


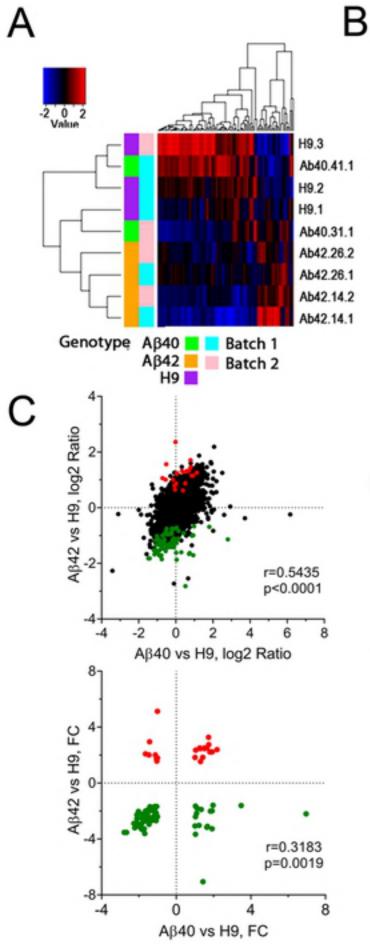


pTauS244 DAPI



p = 0.1153





	Aβ42 UP						
	42 ¹⁵ H9 40 ¹⁵ H9						
	2245	AOVS					
ZNF841	5.13	-1.01					
DKK1	3.27	1.73					
GRP	2.95	-1.43					
PPP1R17	2.75	1.74					
TMEM255A	2.51	1.64					
STAC2	2.50	1.26					
UTS2	2.50	1.51					
ADRA1B	2.46	1.51					
CXCL14	2.46	1.28					
TNFSF10	2.39	2.19					
SP8	2.36	1.05					
CPNE6	2.35	1.04					
HAPLN1	2.22	1.92					
HOXA11-AS	2.22	1.80					
PRR32	2.08	-1.65					
ABI3BP	2.01	-1.12					
PART1	2.01	-1.46					
FEZF1	1.84	1.42					
MME	1.83	1.01					
ALDH1A2	1.79	-1.01					
CALHM2	1.74	-1.06					
COL19A1	1.57	-1.04					
NR2E1	1.54	1.30					
FC							
6 3 0							

Αβ42 DN								
A2 ^{V5H9} A0 ^{V5H9} A2 ^{V5H9} A0 ^{V5H9}								
	2225	40 VS		225	1015	¢.		
SERPINA3	-1.59	1.97	NEK5	-2.41	-1.01	1		
CXorf57	-1.61	3.48	RARRES3	-2.41	1.03			
DNAI2	-1.65	1.03	CD109	-2.45	-1.54			
ANKUB1	-1.68	-1.05	TMC5	-2.45	-1.26			
EGFLAM	-1.68	-1.16	MAP3K19	-2.53	-1.36			
DLX4	-1.69	-1.41	TEKT1	-2.53	-1.84			
C11orf88	-1.78	-1.43	KIAA2012	-2.55	-2.11			
KCNMB1	-1.79	1.11	DNAAF1	-2.57	-1.39			
USH2A	-1.83	-1.17	A4GALT	-2.58	-1.09			
PARD6G-AS1	-1.89	1.34	LRRC71	-2.66	-1.27			
DNAH11	-1.92	-1.16	CCDC114	-2.68	-1.73			
COL11A1	-1.93	-1.42	CFAP47	-2.69	-1.08			
PCDHA3	-1.93	-1.25	PCP4	-2.69	-1.36			
FNDC1	-1.95	-1.33	CFAP161	-2.71	-1.62			
GAS2L2	-1.95	-1.56	SCN1A	-2.75	-1.43			
NHLRC4	-1.95	-1.39	CFAP70	-2.89	-1.84			
ZNF280D	-1.95	-1.36	GALNT3	-2.89	-2.08			
COL8A1	-1.99	1.92	TCTEX1D1	-2.89	-2.27			
FMOD	-2.00	-1.65	STOML3	-2.95	-1.46			
APOL1	-2.01	1.83	NTRK1	-3.03	1.22			
ANKRD66	-2.03	-2.03	SLC12A1	-3.05	-2.00			
SHISA2	-2.14	-1.08	INSRR	-3.07	1.01			
CFAP100	-2.16	1.09	GDA	-3.10	-1.99			
SPAG17	-2.20	-1.54	ZNF585B	-3.10	1.67			
ZNF506	-2.20	6.96	HP	-3.16	1.64			
C2orf50	-2.22	-1.87	SERPIND1	-3.20	-2.22			
AKAP14	-2.23	-1.14	CCDC162P	-3.27	-1.72	1		
RNF212	-2.25	-1.53	FAM216B	-3.27	-1.87			
PARVG	-2.27	-2.11	ZNF542P	-3.27	1.92			
LINC00880	-2.30	-1.06	VWA3A	-3.32	-1.82			
CFAP45	-2.33	-1.66	C10orf105	-3.53	-2.79			
TYMP	-2.35	-1.12	STXBP6	-3.53	-2.66			
CFAP126	-2.38	-1.82	DAW1	-3.61	-1.69			
C5orf66	-2.41	-1.61	CLIC5	-3.66	1.03			
ERP27	-2.41	-1.05	ZNF135	-7.06	1.43			
FC								

0 -3 -6