

# The effect of stimulus choice on an EEG-based objective measure of speech intelligibility

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## 1 **ABSTRACT**

2 **Objectives** Recently an objective measure of speech intelligibility, based on brain  
3 responses derived from the electroencephalogram (EEG), has been developed using  
4 isolated Matrix sentences as a stimulus. We investigated whether this objective measure  
5 of speech intelligibility can also be used with natural speech as a stimulus, as this would  
6 be beneficial for clinical applications.

7 **Design** We recorded the EEG in 19 normal-hearing participants while they listened to  
8 two types of stimuli: Matrix sentences and a natural story. Each stimulus was presented  
9 at different levels of speech intelligibility by adding speech weighted noise. Speech  
10 intelligibility was assessed in two ways for both stimuli: (1) behaviorally and (2) objectively  
11 by reconstructing the speech envelope from the EEG using a linear decoder and correlating  
12 it with the acoustic envelope. We also calculated temporal response functions (TRFs)  
13 to investigate the temporal characteristics of the brain responses in the EEG channels  
14 covering different brain areas.

15 **Results** For both stimulus types the correlation between the speech envelope and  
16 the reconstructed envelope increased with increasing speech intelligibility. In addition,  
17 correlations were higher for the natural story than for the Matrix sentences. Similar to the  
18 linear decoder analysis, TRF amplitudes increased with increasing speech intelligibility for  
19 both stimuli. Remarkable is that although speech intelligibility remained unchanged, neural  
20 speech processing was affected by the addition of a small amount of noise: TRF amplitudes  
21 across the entire scalp decreased between 0 to 150 ms, while amplitudes between 150 to  
22 200 ms increased. TRF latency changes in function of speech intelligibility appeared to  
23 be stimulus specific: The latency of the prominent negative peak in the early responses  
24 (50-300 ms) increased with increasing speech intelligibility for the Matrix sentences, but  
25 remained unchanged for the natural story.

26    **Conclusions** These results show (1) the feasibility of natural speech as a stimulus for the  
27 objective measure of speech intelligibility, (2) that neural tracking of speech is enhanced  
28 using a natural story compared to Matrix sentences and (3) that noise and the stimulus  
29 type can change the temporal characteristics of the brain responses. These results might  
30 reflect the integration of incoming acoustic features and top-down information, suggesting  
31 that the choice of the stimulus has to be considered based on the intended purpose of the  
32 measurement.

## 1 INTRODUCTION

33 In current clinical practice speech intelligibility is measured behaviorally by asking the listeners  
34 to recall the words or sentences they heard. By doing so, not only the function of the auditory  
35 periphery is measured, but also working memory, language knowledge, cognition and speech  
36 production. When measuring speech intelligibility to evaluate the function of a hearing aid, it can  
37 be desirable to evaluate the auditory periphery without these extra factors. In addition, the required  
38 active participation of the patient can make these measurements challenging or even impossible  
39 because of poor attention or motivation, especially in small children.

40 To overcome these challenges an objective measure of speech intelligibility, where no input  
41 from the patient is required, would be of great benefit. Previous studies have shown that the  
42 slowly varying speech envelope is essential for speech intelligibility (Shannon et al., 1995),  
43 and that it can be reconstructed from brain responses using electroencephalography (EEG) or  
44 magnetoencephalography (Luo and Poeppel, 2007; Aiken and Picton, 2008; Ding and Simon, 2011).  
45 Correlating the reconstructed envelope from the brain response with the real acoustic envelope,  
46 results in a measure of neural envelope tracking, which is related to speech intelligibility (Luo and  
47 Poeppel, 2007; Ding et al., 2014; Millman et al., 2015; Molinaro and Lizarazu, 2017; Vanthornhout  
48 et al., 2018; Lotzov and Parra, 2019; Lesenfants et al., 2019).

49 Vanthornhout et al. (2018) and Lesenfants et al. (2019) demonstrated the application of this  
50 measure of neural envelope tracking in an objective measure of speech intelligibility using isolated  
51 Matrix sentences as a stimulus. In their studies the same Matrix sentences were used during a  
52 standardized behavioral recall experiment and an EEG measurement, enabling direct comparison of  
53 speech intelligibility to envelope tracking. However, for the purpose of clinical applications, the  
54 use of isolated sentences may be sub-optimal. Sentences do not reflect everyday communication  
55 where syllable, word and sentence rate are less controlled and more semantic top-down processing  
56 is involved. Therefore, an objective measure of speech intelligibility based on fully natural speech  
57 would (1) overcome the patient-related challenges linked to attention and motivation (2) and allow

58 intelligibility measurements of any speech fragment, which is impossible today using behavioral  
59 measurements but may relate better to everyday communication.

60 In this study we investigated whether the objective measure of speech intelligibility by  
61 Vanthornhout et al. (2018) using Matrix sentences can also be conducted with natural running  
62 speech, such as a narrated story. We hypothesized that a difference in neural envelope tracking  
63 between the two stimuli may be related to the interactive process of speech processing. Speech  
64 intelligibility namely relies on the active integration of two incoming information streams (Hickok  
65 and Poeppel, 2007; Anderson et al., 2018): (1) the bottom-up stream that processes the acoustic  
66 features through the auditory pathway until the auditory cortex and (2) the top-down stream  
67 originating in different brain regions. We hypothesized that if neural envelope tracking is mainly a  
68 feed-forward acoustic process, results for Matrix sentences will be enhanced compared to the story  
69 because of the rigid syllable, word and sentence rate reflected in the speech envelope of the Matrix  
70 sentences. If, on the other hand, neural envelope tracking captures the interaction between the  
71 incoming acoustic speech stream and top-down information, results for the story will be enhanced  
72 because of, e.g., increased semantic processing (Di Liberto et al., 2018; Broderick et al., 2018) and  
73 attention (Kerlin et al., 2010; Ding and Simon, 2012; Mesgarani and Chang, 2012; Vanthornhout  
74 et al., 2019).

## 2 MATERIAL AND METHODS

### 75 2.1 Participants

76 Nineteen participants aged between 18 and 28 years (3 men and 16 women) took part in the  
77 experiment after providing informed consent. Participants had Flemish as their mother tongue and  
78 were all normal-hearing, confirmed with pure tone audiometry (thresholds  $\leq 25$  dB HL at all octave  
79 frequencies from 125 Hz to 8 kHz). The study was approved by the Medical Ethics Committee UZ  
80 Leuven / Research (KU Leuven) with reference S57102. All participants were unpaid volunteers.

## 81 **2.2 Auditory stimuli**

82 During the experiment participants listened to three different stimuli: (1) isolated Matrix sentences,  
83 (2) a natural story and (3) another story used to train the linear decoder on.

### 84 **2.2.1 Matrix sentences**

85 Flemish Matrix sentences contain 5 words spoken by a female speaker and have a fixed syntactic  
86 structure of ‘proper name-verb-numeral-adjective-object’, for example, ‘Sofie sees ten blue socks’  
87 with a speech rate of 4.1 syllables/second, 2.5 words/second and 0.5 sentences/second. Each  
88 category of words has 10 alternatives and each sentence consists of a random combination of these  
89 alternatives which induces a rigid and artificial speech rate and reduces semantic context to a bare  
90 minimum. These sentences are gathered into standardized lists of 20 sentences. Speech was fixed at  
91 a level of 60 dBA and the noise level varied across trials. We used speech weighted noise (SWN)  
92 which has the long-term-average spectrum of the stimulus and therefore results in optimal energetic  
93 masking. Matrix sentences are a validated speech material to measure speech intelligibility which  
94 allows us to directly compare EEG results with speech intelligibility, similar to Vanthornhout et al.  
95 (2018) and Lesenfants et al. (2019). However, Matrix sentences have a rigid speech rate and lack  
96 semantic information, resulting in an artificial speech stimulus not representative for everyday  
97 communication.

### 98 **2.2.2 Natural story**

99 The natural story we used is ‘De Wilde Zwanen’, written by Hans Christian Andersen and  
100 narrated in Flemish by Katrien Devos (female speaker) with a speech rate of approximately  
101 3.5 syllables/second, 2.5 words/second and 0.2 sentences/second. Speech was fixed at a level  
102 of 60 dBA and the noise level of the SWN varied across trials. The main differences between the  
103 Matrix sentences (2.2.1) and fully natural speech such as this narrated story are:

- 104 1. *Prosody*: Matrix sentences are part of a standardized speech material where every word is  
105 spoken at the same intensity, while the story is naturally spoken with intensity variations as a  
106 consequence.
- 107 2. *Speech rate*: Matrix sentences have a rigid syllable, word and sentence rate, while the story has  
108 a naturally varying speech rate because of different word and sentence lengths.
- 109 3. *Semantic context*: Matrix sentences are a random combination of words, minimizing the use of  
110 semantic context. The story, on the other hand, is coherent speech where the use of top-down  
111 processing is triggered, e.g., knowledge about time, space and characters.
- 112 4. *Lexical prediction*: The permutations of the words are different in each Matrix sentence, but the  
113 words themselves become more familiar to the participants during the experiment, in contrast  
114 to the story.

### 115 2.2.3 Decoder story

116 A children's story, 'Milan', written and narrated in Flemish by Stijn Vranken (male speaker),  
117 was presented to the participants with a speech rate of 3.7 syllables/second, 2.6 words/second  
118 and 0.3 sentences/second. This story is 14 minutes long and was presented at a level of 60 dBA  
119 without noise. The purpose of this story was to have an independent continuous stimulus without  
120 background noise to train a linear decoder on (Vanthornhout et al., 2018) to reconstruct the speech  
121 envelope from the EEG.

## 122 2.3 Behavioral experiment

123 Speech intelligibility was measured behaviorally in order to compare envelope tracking results in  
124 terms of speech intelligibility. We need to measure speech intelligibility for both stimuli separately  
125 because they differ in content and acoustic parameters (speaker, speech rate, intonation). Adding a  
126 similar level of background noise will therefore not result in a similar level of speech intelligibility  
127 (Decruij et al., 2018).

128 Before the EEG experiment we conducted a standardized Matrix test. This standardized test  
129 starts with 2 training lists followed by 3 testing lists of 20 sentences at different Signal-to-Noise  
130 Ratios (SNR): -9.5; -6.5 and -3.5 dB SNR. Participants had to recall the sentence they heard. By  
131 counting the correctly recalled words, a percentage correct per presented SNR was calculated.  
132 Next, a psychometric function was fitted on the data points, similar to what is done in clinical  
133 practice. To measure speech intelligibility for the story, we cannot ask the participants to recall  
134 every word, instead we used a rating method during the EEG experiment. Participants were asked  
135 to rate their speech intelligibility with the following question: 'Which percentage of the words did  
136 you understand?' at the presented SNRs (-12.5; -9.5; -6.5; -3.5; -0.5 and 2.5 dB SNR). In addition  
137 to the recall procedure for the Matrix sentences before the EEG experiment, we also asked 9 of the  
138 19 participants to rate their speech intelligibility for the Matrix sentences during the EEG, similar to  
139 the story.

## 140 **2.4 EEG experiment**

141 Ten participants started the EEG experiment by listening to Matrix sentences followed by the  
142 natural story. The remaining 9 participants did this in the reversed order. The decoder story was  
143 presented in between. The natural story was cut in 7 equal parts of approximately 4 minutes long,  
144 which we presented in chronological order. The first part was always presented in silence to optimize  
145 comprehension of the storyline. The following 6 parts were presented at 6 different SNRs in random  
146 order: -12.5; -9.5; -6.5; -3.5; -0.5 and 2.5 dB SNR. The Matrix sentences were concatenated into 7  
147 lists of 40 sentences with a silent gap between the sentences randomly varying between 0.8 and 1.2  
148 seconds. Each 2-minute trial, containing 40 sentences at a particular SNR, was presented twice to  
149 analyze test-retest reliability. The SNRs were the same SNRs as used for the story, also in random  
150 order. To maximize attention and keep the participants motivated, questions were asked about each  
151 SNR trial, for example, 'What happened after sunset?' (story) or 'Which colors of boats were  
152 mentioned?' (Matrix sentences). The answers were not used for further analysis. After the question,  
153 the participants were asked to rate their speech intelligibility with the following question: 'Which  
154 percentage of the words did you understand?' as mentioned in section 2.3.



## 155 **2.5 Signal processing**

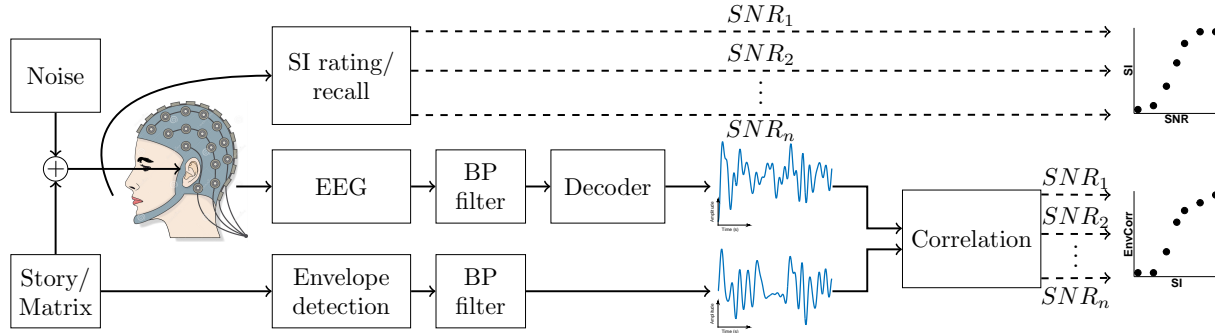
156 In this study we measured neural envelope tracking and linked this to speech intelligibility  
157 and stimulus type (natural story versus isolated Matrix sentences). Neural envelope tracking was  
158 calculated in two ways: We correlated the acoustic speech envelope (2.5.1) with the speech envelope  
159 reconstructed from the EEG respons (2.5.2) with the help of a linear decoder. Secondly, we  
160 calculated temporal response functions (TRFs) to investigate the temporal characteristics of the  
161 brain responses in the EEG channels covering the scalp (2.5.3).

### 162 **2.5.1 Acoustic envelope**

163 The acoustic speech envelope was extracted from the stimulus according to Biesmans et al. (2017),  
164 using a gammatone filterbank followed by a power law. We used a filterbank containing 28 channels  
165 spaced by 1 equivalent rectangular bandwidth with center frequencies from 50 Hz until 5000 Hz.  
166 The absolute value of each sample in each channel was raised to the power of 0.6. All 28 channel  
167 envelopes were averaged which resulted in one single envelope. As a next step, the acoustic speech  
168 envelope was band-pass filtered, similar to the EEG signal, in the delta (0.5-4 Hz) or theta (4-8 Hz)  
169 frequency band with a Chebyshev filter with 80 dB attenuation at 10% outside the passband. Only  
170 these low frequencies were further processed, because they contain the information of interest of  
171 the slowly varying speech envelope.

### 172 **2.5.2 Envelope reconstruction**

173 As a first step the EEG data was downsampled from 8192 Hz to 256 Hz to reduce processing  
174 time and referenced to an average of the electrodes. Next, EEG artefact rejection was done using  
175 a multi-channel Wiener filter (MWF) (Somers et al., 2018). the MWF was calculated on the long  
176 decoder story without noise and applied on the shorter Matrix and coherent story SNR trials. After  
177 artefact rejection, the signal was bandpass filtered, similar to the acoustic speech envelope and the  
178 sample rate was further decreased from 256 Hz to 128 Hz. A schematic overview is shown in Figure  
179 1.



**Figure 1.** Overview of the experimental setup using the linear decoder analysis. We presented the Matrix sentences and a story at different Signal-to-Noise Ratio's (SNR). Participants listened to the speech while their EEG was measured. To obtain a measure of neural envelope tracking we correlated the reconstructed envelope with the acoustic envelope after band-pass filtering (BP filter). We compared the envelope tracking results with the behavioral speech intelligibility (SI) scores.

180 To enable reconstruction of the speech envelope from the neural data as a measure of neural  
181 envelope tracking, a linear decoder was created using the mTRF toolbox (Lalor et al., 2006, 2009).  
182 As speech elicits neural responses with some delay, the decoder not only attributes weights to  
183 each EEG channel (spatial filter), but it also takes the shifted neural responses of each channel  
184 into account (temporal filter), resulting in a matrix  $R$  containing the shifted neural responses of  
185 each channel. If  $g$  is the linear decoder and  $R$  the shifted neural data, the reconstruction of the  
186 speech envelope  $\hat{s}(t)$  was obtained by  $\hat{s}(t) = \sum_n \sum_{\tau} g(n, \tau) R(t + \tau, n)$  with  $t$  the time index,  $n$   
187 ranging over the recording electrodes and  $\tau$  ranging over the integration window, i.e., the number  
188 of post-stimulus samples used to reconstruct the envelope. The decoder was calculated by solving  
189  $g = (RR^T)^{-1}(Rs^T)$  with  $s$  the speech envelope and applying ridge regression to prevent overfitting.  
190 We used an integration window of 250 ms post-stimulus resulting in the decoder matrix  $g$  of 64  
191 (EEG channels) x 33 (time delays within the integration window). The decoder was created using  
192 the Milan story (14 minutes) without any noise.

193 As a last step the envelope was reconstructed by applying the decoder to both test stimuli,  
194 the Matrix sentences and the natural story, at various noise levels. Each SNR trial consisted of 2

195 presentations of 80 seconds of speech (silences excluded). To measure how similar this reconstructed  
196 envelope was to the acoustic envelope as a measure for neural envelope tracking, we calculated the  
197 bootstrapped Spearman correlation using Monte Carlo sampling after removing the silences in the  
198 stimulus and the corresponding part in the EEG. Removing the silences is necessary as the Matrix  
199 sentences contain quasi-regular silent gaps between the sentences which would be a confound.

200 The significance level of the correlation was calculated by correlating random permutations of  
201 the real and reconstructed envelope 1000 times and taking percentile 2.5 and 97.5 to obtain a 95%  
202 confidence interval.

### 203 2.5.3 Temporal response function estimation

204 The analysis above integrates all neural activity over channels and time lags and requires a decoder  
205 trained on a separate story. To have a closer look at the spatiotemporal profile of the neural responses  
206 and remove the assumption that neural processing is similar for the decoder story and the test stimuli  
207 in different noise conditions, we calculated TRFs. A TRF is a linear filter that describes how the  
208 acoustic speech envelope of the stimulus is transformed into neural responses. This is the inverse  
209 approach of the previously mentioned envelope reconstruction where analysis is done from EEG to  
210 stimulus.

211 We calculated a TRF for every electrode channel in every participant. The first signal processing  
212 steps are identical to the envelope reconstruction model starting with downsampling to 1024 Hz,  
213 artefact rejection with MWF and filtering (0.5-8 Hz). Next, TRFs were calculated using the boosting  
214 algorithm (David et al., 2007; Brodbeck et al., 2018) with an  $l_2$  error norm (using the Eelbrain  
215 source code (Brodbeck, 2017)) as described in detail by David et al. (2007). After calculation, the  
216 TRFs were convolved with a rotationally symmetric Gaussian kernel of 5 samples long ( $SD=2$ ). To  
217 analyze the TRFs in the time domain, we investigate the latency and amplitude of the negative and  
218 positive peaks occurring directly after the stimulus onset (Ding and Simon, 2011; Obleser and Kotz,  
219 2011; Ding and Simon, 2012; Ding et al., 2014).

## 220 **2.6 Experimental setup**

221 Recordings were made in a soundproof and electromagnetically shielded room. Speech was  
222 presented bilaterally at 60 dBA and the setup was calibrated using a 2cm<sup>3</sup> coupler of the artificial  
223 ear (Brüel & Kjør 4152, Denmark) for each stimulus. The stimuli were presented using APEX 3  
224 (Francart et al., 2008), an RME Multiface II sound card (Germany) and Etymotic ER-3A insert  
225 phones (Illinois, USA). First the participants did a behavioral test to measure their speech  
226 intelligibility. Next, a 64-channel BioSemi ActiveTwo (the Netherlands) EEG recording system was  
227 used for the EEG recordings at a sample rate of 8192 Hz. Participants sat in a comfortable chair and  
228 were asked to move as little as possible during the recordings. We inserted a small break between  
229 the behavioral and the EEG part and between the Matrix sentences and the story if necessary.

## 230 **2.7 Statistical Analysis**

231 Statistical analysis was performed using MATLAB (version R2016b) and R (version 3.3.2)  
232 software. The significance level was set at  $\alpha=0.05$  unless otherwise stated.

233 For the behavioral tests and envelope reconstruction we compared dependent samples (e.g. test-  
234 retest) using a nonparametric Wilcoxon signed-rank test. For every filter band and stimulus we  
235 tested the correlation between envelope reconstruction and speech intelligibility using Spearman's  
236 rank correlation. Next, we assessed the relationship between speech intelligibility, envelope  
237 reconstruction, filter band and stimulus by constructing a linear mixed effect (LME) model with the  
238 following formula:

$$239 \quad corr \sim SI + stimulus + band + SI : band + SI : stimulus + SI : band : stimulus$$

240 where *corr* is defined as the Spearman correlation between the reconstructed and the acoustic  
241 envelope, with random effect of intercept of the participants and fixed and interaction effects of *SI*  
242 (speech intelligibility), *stimulus* (Matrix sentences or natural story) and *band* (the delta or theta filter  
243 band). As a control, we constructed the exact same model, but in function of SNR instead of SI.

244 To control if every chosen fixed and random effect benefited the model the Akaike Information  
245 Criterion (AIC) was calculated. The model with the lowest AIC was selected and its residual plot  
246 was analyzed to assess the normality assumption of the LME residuals. Unstandardized regression  
247 coefficients (beta) with 95% confidence intervals and p-value are reported in the results section.

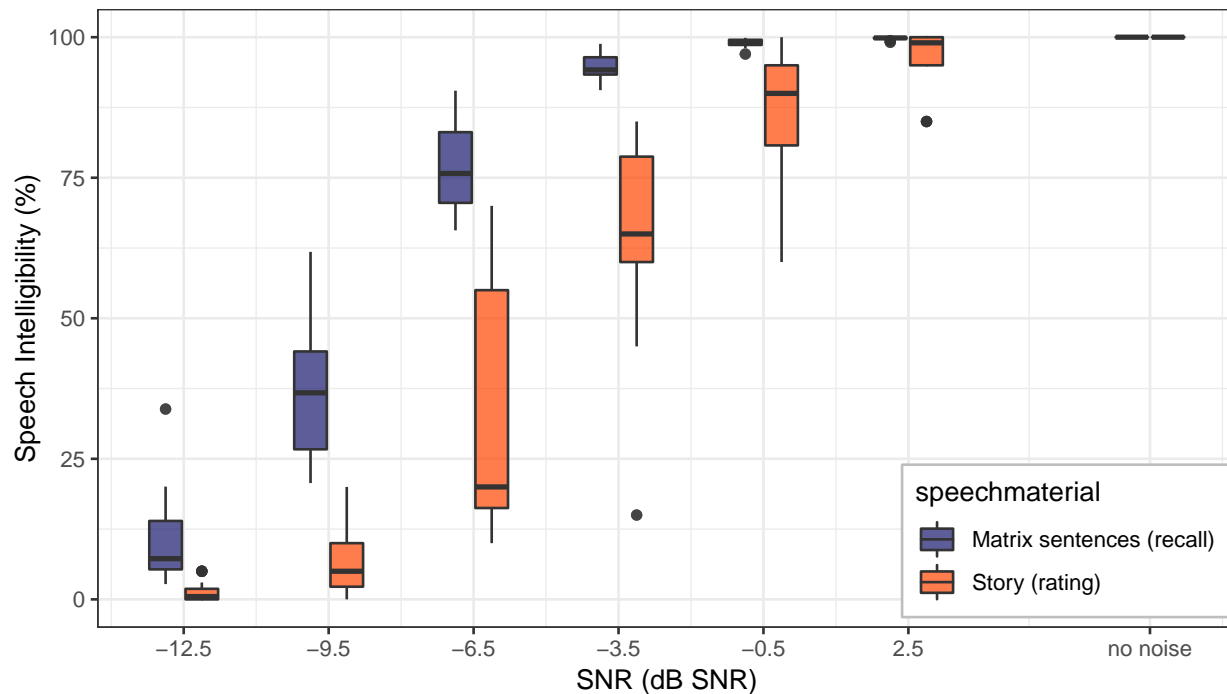
248 To investigate which part of the TRF was significantly different from zero, we conducted a  
249 cluster-based permutation test. To explore significant differences between stimuli we conducted a  
250 positive and negative cluster-based analysis with a post hoc Bonferroni adjustment to correct for  
251 the positive and negative test. These tests are explained in detail by Maris and Oostenveld (2007).  
252 Spearman's rank correlation was used to investigate the possible change of amplitude and latency of  
253 the temporal-occipital peaks over time.

### 3 RESULTS

#### 254 3.1 Behavioral speech intelligibility

255 During the experiment we measured speech intelligibility behaviorally at different SNRs for every  
256 participant. Figure 2 shows that the natural story (rating method) was significantly more difficult  
257 than the Matrix sentences (recall method) ( $p < 0.001$ ,  $CI(95\%) = [15.99; 23.34]$ ,  $n=19$ , Wilcoxon  
258 signed-rank test). This indicates that the same SNR does not result in the same level of speech  
259 intelligibility for the different stimuli. To be able to compare the coherent story with the Matrix  
260 sentences, we need to account for this.

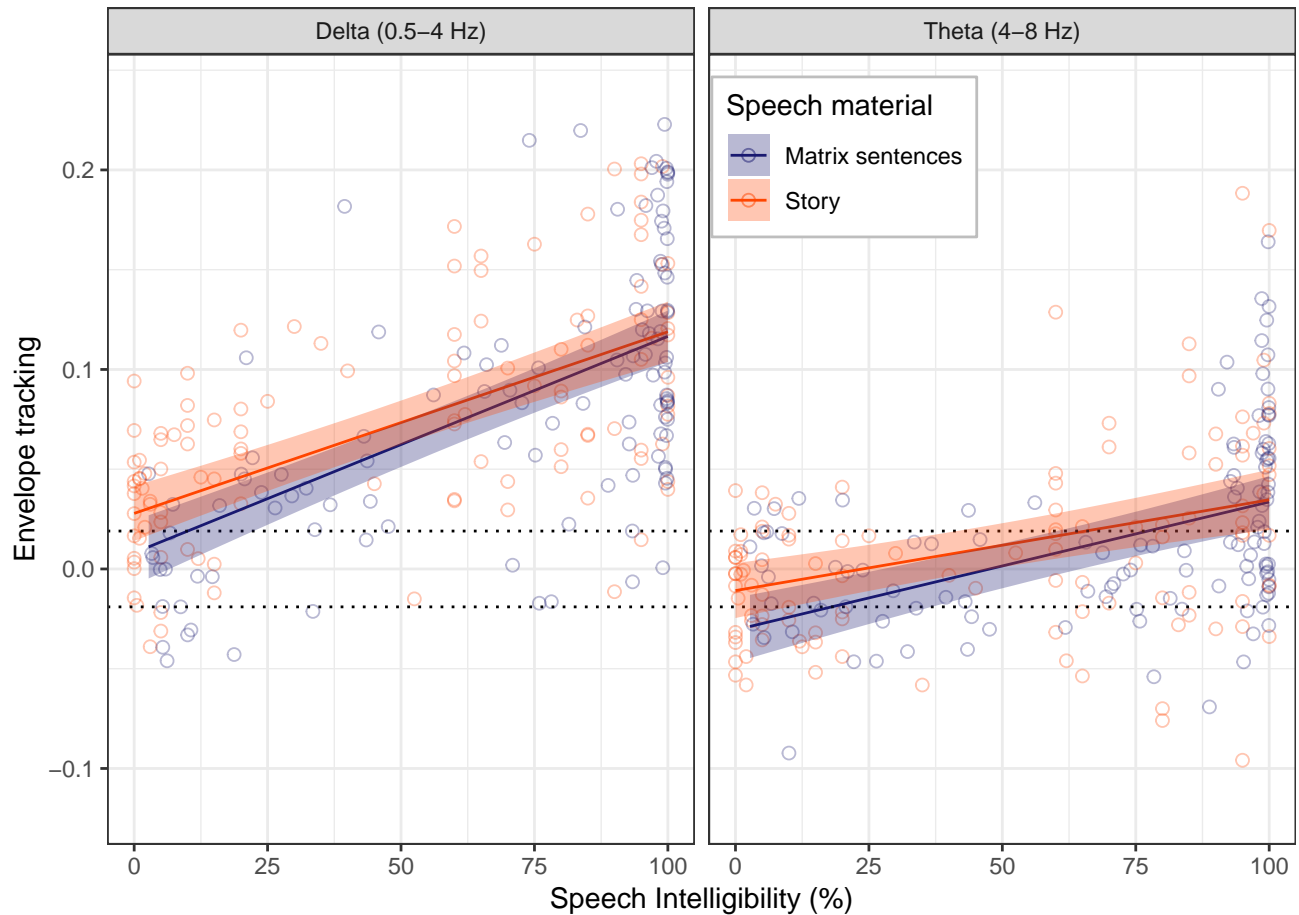
261 To check whether the used method to measure speech intelligibility, rate (story) versus recall  
262 (Matrix sentences), did not influence the results, we asked 9 of the participants to rate their speech  
263 intelligibility for the Matrix sentences, similar to the story, in addition to the standardized recall  
264 method. Comparing their rate and recall scores for the same Matrix sentences at 3 SNRs did not  
265 reveal any significant difference (-9.5 dB SNR:  $p=0.19$ ,  $CI(95\%)=[-11.50; 22.00]$ ; -6.5 dB SNR:  
266  $p=0.06$ ,  $CI(95\%)=[-29.50; 1.50]$ ; -3.5 dB SNR:  $p=0.41$ ,  $CI(95\%)=[-9.00; 2.75]$ ;  $n=9$ , Wilcoxon  
267 signed-rank test).



**Figure 2.** A comparison between the Matrix sentences and the story reveals that the story is more difficult to understand when adding background noise.

### 268 3.2 Envelope reconstruction

269 To measure neural envelope tracking, we calculated the Spearman correlation between the  
270 reconstructed envelope and the acoustic envelope. A test-retest analysis showed no significant  
271 difference between test and retest correlations ( $p=0.746$ ,  $CI(95\%) = [-0.004; 0.006]$ , Wilcoxon  
272 signed-rank test), therefore we averaged the correlation of the test and retest conditions resulting in  
273 one correlation per participant per SNR per stimulus. We also conducted a chance level analysis  
274 to investigate whether there is a difference in chance level between both stimuli. A difference in  
275 chance level would imply that the decoder would show a preference to one of the two stimuli. To  
276 obtain the chance level we reconstructed the envelope of the story similar to the standard analysis.  
277 Next we correlated the reconstructed envelope of each story trial with the acoustic envelope of all  
278 trials of both the story (except for the used trial) and the Matrix sentences. No significant difference  
279 was found between the chance level of the stimuli ( $p=0.534$ ,  $CI(95\%)=[-0.005; 0.003]$ , Wilcoxon



**Figure 3.** Neural envelope tracking increases with increasing speech intelligibility and by using natural speech as a stimulus. The shading represents two times the standard error of the fit and the dotted line is the significance level of the correlation ( $\pm 0.019$ ).

280 signed-rank test). In addition, the 95% confidence interval of the difference between the chance  
281 level of the stimuli is similar to the test-retest variability ( $CI(95\%) = [-0.005; 0.006]$ ), indicating that  
282 there is no important effect.

283 We analyzed neural envelope tracking in the delta (0.5-4 Hz) and the theta (4-8 Hz) band for the  
284 Matrix sentences and the natural story at various levels of speech intelligibility. Figure 3 shows that  
285 when speech intelligibility increases, the correlation between the acoustic and the reconstructed  
286 envelope, i.e. neural envelope tracking, increases for every filter band and every stimulus tested  
287 ( $p < 0.001$ , table 1, Spearman rank correlation).

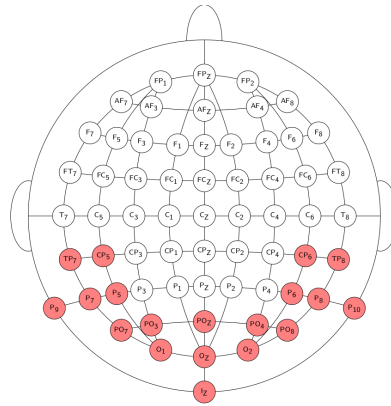
288 To additionally investigate the influence of stimulus choice, we created an LME model as a  
289 function of speech intelligibility. The analysis shows that neural envelope tracking is enhanced  
290 for the story compared to the Matrix sentences (fixed effect stimulus,  $p=0.010$ , LME, table 2).  
291 This enhancement does not significantly depend on the level of speech intelligibility or filter band  
292 (interaction effect SI:stimulus,  $p=0.155$ ; interaction effect SI:band:stimulus,  $p=0.912$ ; LME, table  
293 2). Further, neural envelope tracking in the delta band (0.5-4 Hz) is higher than in the theta band  
294 (4-8 Hz) (fixed effect band,  $p<0.001$ , LME, table 2) with a steeper slope in the delta band (0.5-4 Hz)  
295 (interaction effect SI:band,  $p<0.001$ , LME, table 2).

296 When conducting the same analysis using SNR as a predictor for speech intelligibility, the same  
297 fixed and interaction effects were found to be significant as for the SI analysis (table 3). This shows  
298 that even at the same SNR neural envelope tracking for the natural story is enhanced compared to  
299 the Matrix sentences, making it impossible to disentangle between the effects of SNR and SI with  
300 the current data.

### 301 **3.3 Temporal response function**

302 The analysis above integrates all different time lags and channels to obtain an optimal  
303 reconstruction of the envelope and requires a decoder trained on a separate story. In the following  
304 analysis we focus on how the neural responses follow the envelope in the time and spatial domain  
305 and remove the assumption that neural processing is similar for the decoder story and the test stimuli  
306 by investigating TRFs. TRFs were calculated on an individual level. This resulted in 868 TRFs per  
307 participant (64 channels x 2 stimuli x 7 SNRs). To visualize topographies, we averaged the TRFs  
308 per stimulus per SNR over participants. To investigate the time-course of the TRFs, we averaged  
309 TRFs for a temporal-occipital channel selection (Figure 4). This selection is based on the TRF  
310 results shown in Figure 5. A cluster-based permutation test (Maris and Oostenveld, 2007) shows the  
311 TRF samples significantly different from zero, highlighted in bold in Figure 6.





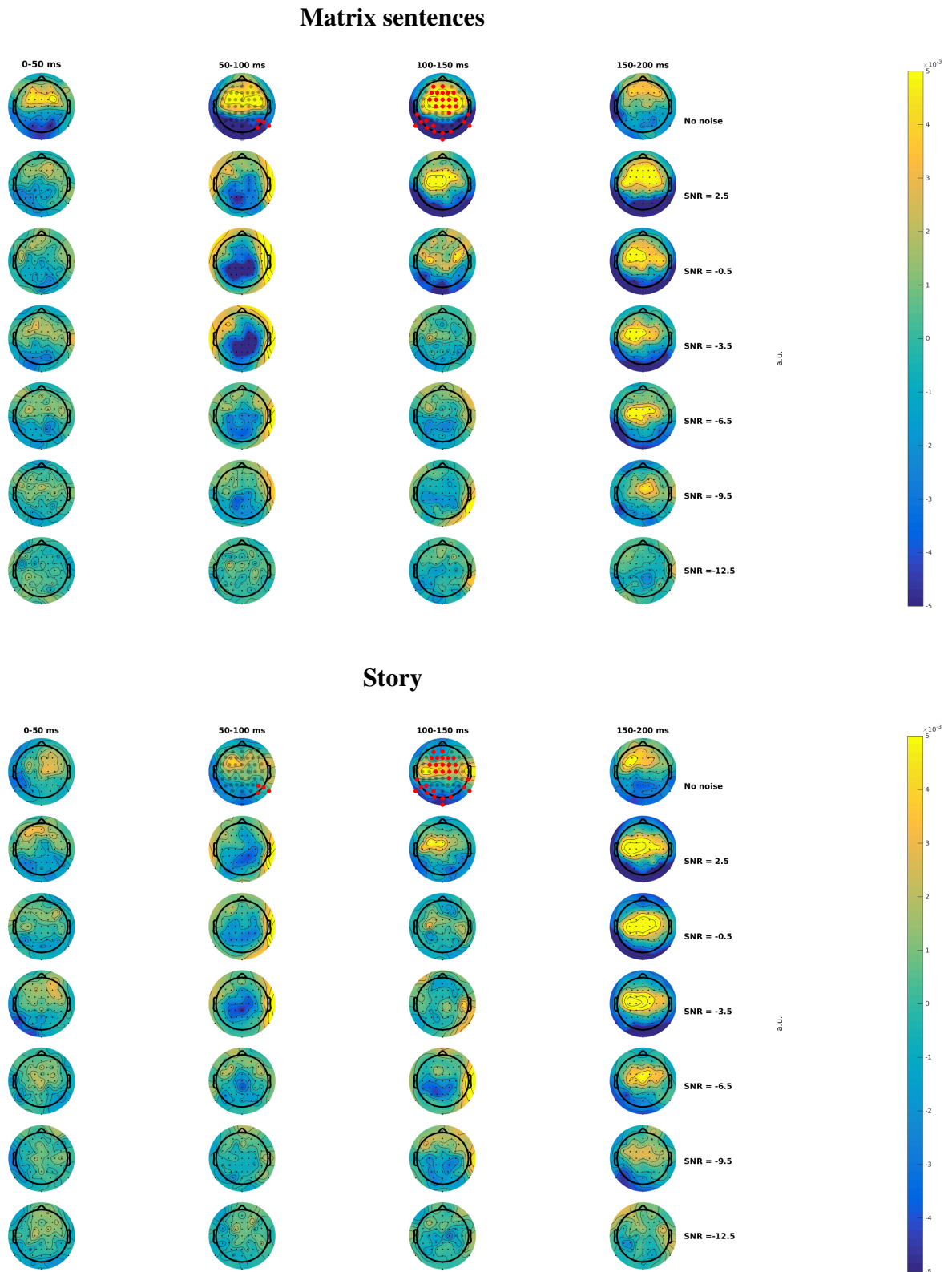
**Figure 4.** Electrode selection: 64 active electrodes placed according to the 10-20 electrode system. The locations of the electrodes that were selected for the calculation of the occipital-temporal TRF are indicated in red.

### 312 3.3.1 Effect of SNR on TRF

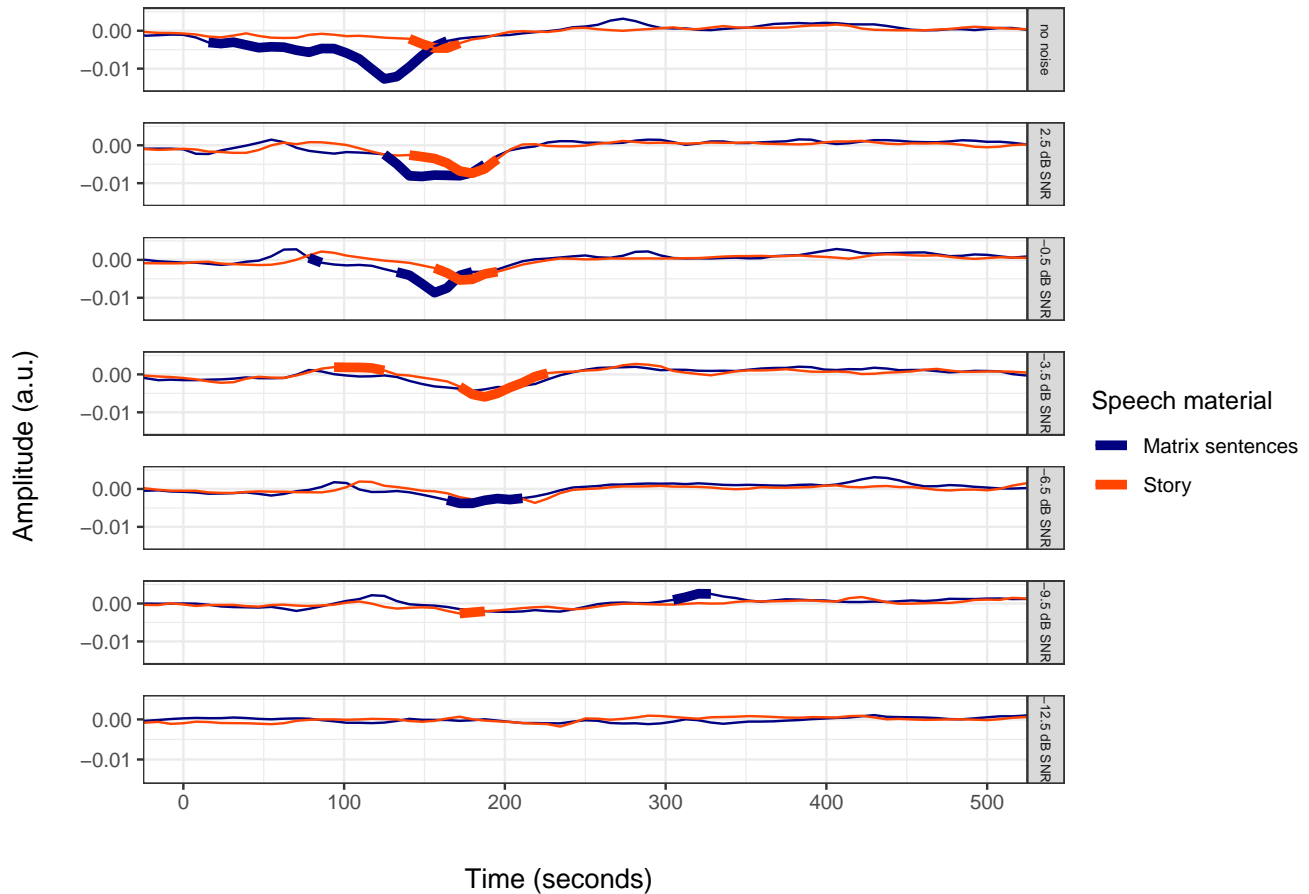
313 Figure 5 shows the spatiotemporal activation profile of respectively the Matrix sentences and the  
314 natural story. In the no-noise condition both stimuli show positive central and negative parieto-  
315 occipital amplitudes over time. When a small amount of noise is added and speech intelligibility  
316 remains almost unchanged from the no-noise condition (SNR=2.5 dB SNR; Matrix sentences:  
317 median SI=99.9%, sd=0.2; Story: median SI=99.0%, sd=4.7), the amplitudes across the entire scalp  
318 decrease between 0 to 150 ms, while amplitudes between 150 to 200 ms increase in both stimuli.  
319 Between 50 and 100 ms amplitudes even swap polarities.

320 When more noise is added and speech intelligibility decreases positive central and negative  
321 parieto-occipital activation decreases, especially in the 150 tot 200 ms timelag (Figure 5). In the  
322 50 to 100 ms timelag, on the other hand, the negative central activation increases with decreasing  
323 speech intelligibility and reaches a maximum at SNR=-3.5 dB SNR.

324 To zoom in on the amplitude changes over time, we visualized an average TRF for the  
325 temporal-occipital channels per SNR in Figure 6. When speech intelligibility is very low  
326 (SNR<-12.5 dB SNR) both stimuli have very low responses over time. When speech is understood



**Figure 5.** Topographies for the story and the Matrix sentences at different SNRs and different time lags varying from 0 until 200 ms. Significant differences between the Matrix sentences and the story are highlighted in red.



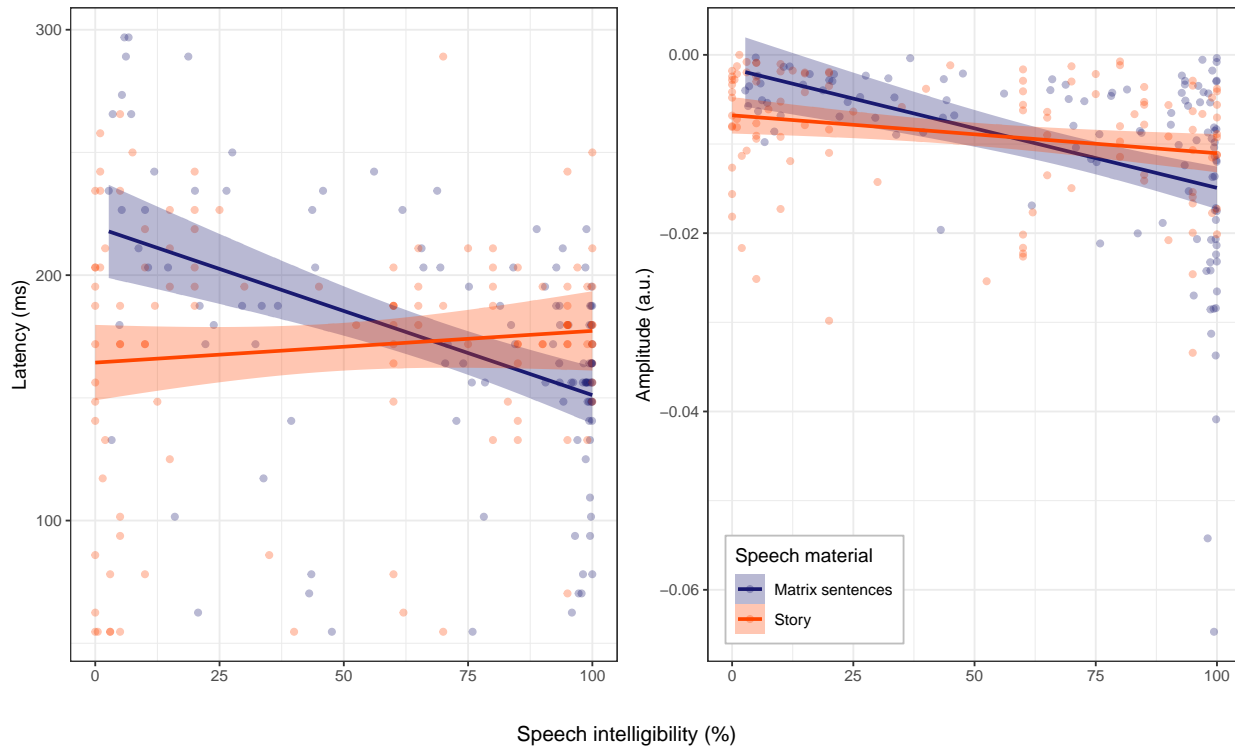
**Figure 6.** Time-course of the temporal-occipital TRFs over participants for the Matrix sentences and the story. TRF samples significantly different from zero are highlighted in bold.

327 a negative peak can be found. Figure 7 shows the latency and amplitude results of this peak on a  
328 participant level over speech intelligibility. It was determined individually by selecting the most  
329 negative amplitude of the TRF between 50 and 300 ms. With decreasing speech intelligibility  
330 the amplitude of the negative peak per participant decreases for both stimuli (Matrix sentences:  
331 Spearman rank correlation=0.49,  $p < 0.001$ ; Story: Spearman rank correlation=0.26,  $p = 0.005$ ).

### 332 3.3.2 Effect of stimulus type on TRF

333 Besides the decreasing amplitude, latency also decreases for the Matrix sentences with decreasing  
334 speech intelligibility (Spearman rank correlation=0.46,  $p < 0.001$ ). For the natural story, on the other

335 hand, latency is not significantly related to speech intelligibility (Spearman rank correlation=0.02,  
336  $p=0.835$ )).



**Figure 7.** Latency and amplitude of the negative peak of the temporal-occipital TRF between 50 and 300 ms per participant over speech intelligibility.

337 Next to the difference between the Matrix sentences and the story concerning latency changes,  
338 other stimulus dependent differences can be found. First, a positive and negative cluster analysis  
339 (Maris and Oostenveld, 2007) over all participants revealed significant differences ( $\alpha=0.025$ )  
340 between both stimuli in the no-noise condition with larger amplitudes for the Matrix sentences in  
341 the central and parieto-occipital channels, highlighted in red in Figure 5. In contrast to this stimuli  
342 driven difference in the no-noise condition, no significant differences between both stimuli could  
343 be found in the presence of background noise. Second, in addition to the prominent negative peak  
344 between 100 and 200 ms, a positive significant peak arises around 300 ms for the Matrix sentences  
345 at -9.5 dB SNR (Figure 6), while this is not the case for the story.

## 4 DISCUSSION

346 In this study we investigated whether the objective measure of speech intelligibility by Vanthornhout  
347 et al. (2018) using Matrix sentences can also be conducted with natural speech as this would be  
348 beneficial for clinical applications. To that end, we tested 19 normal-hearing participants. They  
349 listened to both the Matrix sentences and a natural story at varying levels of speech intelligibility  
350 while their EEG was recorded. We found that it is feasible to use natural speech as a stimulus for  
351 the objective measure of speech intelligibility and that noise and the stimulus type can change the  
352 temporal characteristics of the brain responses over the scalp.

### 353 **4.1 The same SNR does not result in similar speech intelligibility for different** 354 **stimuli**

355 As a first step we measured speech intelligibility behaviorally for both stimuli at different noise  
356 levels. The results show that the same SNR does not result in similar speech intelligibility for the  
357 different stimuli. The story was found to be more difficult to understand than Matrix sentences.  
358 Although we controlled for the sex of the speaker and chose stimuli with similar speech rates and  
359 spectrum, the difference could still be due to different acoustic features such as for example prosody.  
360 The Matrix sentences namely are part of a standardized speech material where every word is spoken  
361 at the same intensity. The story, on the other hand, is narrated for children and has more variations.  
362 An additional reason to explain this difference is lexical prediction. Even though the permutations  
363 of the words are different in each Matrix sentence, the words themselves are all equally likely and  
364 familiar to the participants, in contrast to the story. Perhaps drawing from a larger pool of words for  
365 the Matrix sentences might have led to more similar intelligibility ratings between stimuli. Finally,  
366 speech intelligibility for both stimuli was measured in a different way: rating (story) versus recall  
367 (Matrix sentences). Similar to the rating and recall results for the Matrix sentences of Decruy et al.  
368 (2018), we did not find a statistical difference between both measuring methods applied on the same  
369 Matrix sentences.

## 370 **4.2 Neural envelope tracking as an objective measure of speech intelligibility**

371 We found that the correlation between the reconstructed and the acoustic envelope increased with  
372 speech intelligibility for both the Matrix sentences and the story. This supports the results of Luo  
373 and Poeppel (2007); Ding and Simon (2013); Ding et al. (2014); Molinaro and Lizarazu (2017);  
374 Vanthornhout et al. (2018); Lotzov and Parra (2019) where an increase in speech intelligibility  
375 was also found to accompany an increase in envelope tracking and demonstrates that the objective  
376 measure of speech intelligibility using Matrix sentences by Vanthornhout et al. (2018) can be  
377 conducted with fully natural speech.

378 Next, the tracking results in the delta band were significantly higher than in the theta band while  
379 the significance levels remain the same, resulting in a steeper slope of envelope tracking as a  
380 function of speech intelligibility in the delta band. This difference in correlation magnitude between  
381 the frequency bands could be explained by the fact that the modulation spectrum of both stimuli has  
382 most energy in the delta band (Luo and Poeppel, 2007; Aiken and Picton, 2008).

383 When investigating the differences between both stimuli, we found that the use of natural speech  
384 enhanced neural envelope tracking compared to Matrix sentences. This suggests that neural envelope  
385 tracking might capture the interaction between the incoming acoustic speech stream and top-down  
386 information (Hickok and Poeppel, 2007; Gross et al., 2013) such as for example semantic processing  
387 (Di Liberto et al., 2018; Broderick et al., 2018). A potential confound is that we used different SNRs  
388 for the two stimulus types (to control for intelligibility). This means that the differences in envelope  
389 tracking could be related simply to SNR rather than other stimulus properties. To investigate this,  
390 we conducted the same analysis, but with SNR as predictor instead of intelligibility, and again found  
391 significantly increased envelope tracking for the story stimulus. This shows that SNR by itself does  
392 not account for the full difference between the two stimulus types. However, apart from different  
393 SNRs, other confounding factors could be present where we cannot control for. First, although the  
394 acoustics of the stimuli were matched in terms of sex and speech rate of the speaker and spectrum  
395 of the stimulus, acoustic differences like prosody are still present, as discussed in paragraph 4.1.

396 Second, despite the questions asked to motivate the participants, the reduced correlations for the  
397 Matrix sentences could be linked to attention. Because listening to concatenated sentences can  
398 be boring, attention loss could occur which reduces neural envelope tracking (Ding and Simon,  
399 2012; Kong et al., 2014; Petersen et al., 2017; Vanthornhout et al., 2019). For the natural story, on  
400 the other hand, attention could be less of an issue as attending this speech is entertaining possibly  
401 resulting in higher correlations.

### 402 **4.3 The effect of noise and stimulus type on neural envelope tracking**

403 In addition to envelope reconstruction to show the feasibility of natural speech as a stimulus for  
404 the objective measure (4.2), we conducted a TRF analysis. This analysis enables us to investigate  
405 the temporal characteristics of the brain responses over the entire scalp and removes the assumption  
406 that neural processing is similar for the decoder story and the test stimuli. The topographies in  
407 Figure 5 of both stimuli show a negative activation in the temporal-occipital channels and positive  
408 activation in the central channels. This is a typical topography of auditory evoked far-field potentials  
409 (Picton, 2011). The large negative peak within the 100 to 200 ms time lag (Figure 6) could be the  
410 so-called N100, usually occurring at a latency between 70-150 ms (Picton, 2011).

#### 411 **4.3.1 Effect of SNR and speech intelligibility on TRFs**

412 Generally we found, similar to envelope reconstruction, high TRF amplitudes over the entire scalp  
413 when speech intelligibility is high (SI=100%) and reduced amplitudes when speech intelligibility  
414 decreased for both stimuli, again showing feasibility of natural speech as a stimulus for the objective  
415 measure of speech intelligibility. Most remarkable are the TRF amplitudes between 150 to 200 ms,  
416 which consistently decrease with decreasing speech intelligibility, perhaps indicating a time window  
417 sensitive to speech intelligibility. Another peculiarity are the noise induced topographic changes.  
418 When a small amount of noise is added and speech intelligibility remains almost unchanged from  
419 the no-noise condition (SNR=2.5 dB SNR; Matrix sentences: SI=99.9%; Story: SI=99.0%), TRF  
420 amplitudes across the entire scalp decrease between 0 to 150 ms, while amplitudes between 150 to  
421 200 ms increase. Moreover, TRF amplitudes between 50 and 100 ms even switch polarities in the

422 presence of noise. These results possibly reveal noise induced changes related to enhanced attention  
423 and listening effort (Ding and Simon, 2012; Kong et al., 2014; Petersen et al., 2017; Obleser and  
424 Kotz, 2011).

#### 425 4.3.2 Effect of stimulus type on TRFs

426 Stimulus related differences can be found when comparing topography results between both  
427 stimuli. TRF amplitudes are larger for the Matrix sentences in the central and parieto-occipital  
428 channels compared to the story in the no-noise condition. In the presence of background noise,  
429 even at a very high SNR, no significant difference can be found anymore. A possible hypothesis  
430 could be the interaction between the incoming acoustic speech stream and top-down information  
431 (Hickok and Poeppel, 2007; Gross et al., 2013): In the no-noise condition Matrix sentences are  
432 mainly processed in a feed-forward acoustical way. The enhanced TRF amplitudes could be caused  
433 by the fixed syntactical 5-word structure of the Matrix sentences, resulting in a more rigid word  
434 and sentence rate compared to the story. However, when noise is added, more effort has to be paid  
435 to listen to the Matrix sentences. This changes listening to the Matrix sentences from a bottom-up  
436 process to an interactive bottom-up and top-down process similar to the story, diminishing the  
437 differences between both stimuli.

438 Another stimulus related difference is the latency pattern over speech intelligibility. The latency  
439 of the N100 peak decreases with increasing speech intelligibility for the Matrix sentences, while the  
440 latency remains unchanged for the story. A latency decrease with increasing speech intelligibility,  
441 similar to the Matrix sentences, has been reported in literature by Petersen et al. (2017) and Kong  
442 et al. (2014), but is not supported by Ding and Simon (2012). This different pattern between the  
443 Matrix sentences and the story could be explained by two factors. (1) Top-down processing: This  
444 is present for the story the entire time, for the Matrix sentences, on the other hand, it increases  
445 with increasing noise level. Top-down processing requires more time, which could result in delayed  
446 TRFs. (2) Attention: Listening to concatenated Matrix sentences might be boring, especially when  
447 speech intelligibility decreases, which could result in attention loss and less listening effort known



448 to delay neural processing of speech (Ding and Simon, 2012; Kong et al., 2014; Petersen et al.,  
449 2017; Vanthornhout et al., 2019).

450 A last result to point out is the positive peak around 300 ms for the Matrix sentences at -9.5 dB SNR  
451 (SI=49%) (Figure 6). P300 can occur when a participant tries to detect a target stimulus (Picton,  
452 1992, 2011). As the Matrix sentences do not contain semantic context, which makes content  
453 questions not possible, counting questions were asked at every SNR trial, for example, 'Which  
454 colors of boats were mentioned?'. We hypothesize that the question type, content questions for the  
455 story versus counting questions for the Matrix sentences, accounts for this P300 difference. As a  
456 consequence, the type of questions to ask is also an important factor to take into account for future  
457 research.

#### 458 **4.4 Implications for the objective measure of speech intelligibility**

459 In this study we showed that the objective measure of speech intelligibility by Vanthornhout  
460 et al. (2018) using Matrix sentences can also be conducted with natural speech as a stimulus. This  
461 paves the way towards intelligibility measurements of any speech fragment, which is impossible  
462 today using behavioral measurements but may relate better to everyday communication and would  
463 be beneficial for clinical applications. In addition, we found an enhancement in neural envelope  
464 tracking when using natural speech as a stimulus instead of Matrix sentences. This suggests that  
465 neural envelope tracking might reflect the integration of incoming acoustic features and top-down  
466 information, which indicates that the choice of the stimulus has to be considered based on the  
467 intended purpose of the measurement. To conduct research, for example, and investigate neural  
468 speech processing in noise, a story could be an interesting choice as neural envelope tracking is more  
469 pronounced because of better sustained attention, more listening effort and/or semantic processing.  
470 However, when comparing speech intelligibility outcomes in a clinical setting, for example to fit  
471 hearing aids, top-down processing effects are undesired and should be ruled out and the Matrix  
472 sentences could be used instead.

## 473 **4.5 Conclusion**

474 We found increasing neural envelope tracking with increasing speech intelligibility for both  
475 stimuli with an additional enhancement for natural speech compared to Matrix sentences. These  
476 results show (1) the feasibility of natural speech as a stimulus for the objective measure of speech  
477 intelligibility, (2) that neural envelope tracking is enhanced using a story compared to Matrix  
478 sentences and (3) that noise and the stimulus type can change the temporal characteristics of the  
479 brain responses.

**Table 1.** Spearman rank correlation between neural envelope tracking and speech understanding

Speechmaterial	Filter band	Correlation	p-value
Matrix sentences	Delta (0.5-4 Hz)	0.62	p<0.001
Natural story	Delta (0.5-4 Hz)	0.59	p<0.001
Matrix sentences	Theta (4-8 Hz)	0.46	p<0.001
Natural story	Theta (4-8 Hz)	0.41	p<0.001

**Table 2.** Linear Mixed Effect Model of envelope reconstruction in function of SI

Linear mixed effect model (factor)	beta value	CI(95%)	p-value
Fixed effect SI	$1.08 \times 10^{-3}$	$\pm 1.90 \times 10^{-4}$	p<0.001
Fixed effect stimulus	$1.97 \times 10^{-2}$	$\pm 1.49 \times 10^{-2}$	p=0.010
Fixed effect band	$-3.87 \times 10^{-2}$	$\pm 1.41 \times 10^{-2}$	p<0.001
Interaction effect SI:stimulus	$-1.74 \times 10^{-4}$	$\pm 2.39 \times 10^{-4}$	p=0.155
Interaction effect SI:band	$-4.43 \times 10^{-4}$	$\pm 2.14 \times 10^{-4}$	p<0.001
Interaction effect SI:band:stimulus	$-1.28 \times 10^{-5}$	$\pm 2.25 \times 10^{-4}$	p=0.912

Speech Intelligibility (SI), Confidence Interval (CI)

**Table 3.** Linear Mixed Effect Model of envelope reconstruction in function of SNR

Linear mixed effect model (factor)	beta value	CI(95%)	p-value
Fixed effect SNR	$7.75 \times 10^{-3}$	$\pm 1.39 \times 10^{-3}$	$p < 0.001$
Fixed effect stimulus	$-1.25 \times 10^{-2}$	$\pm 1.06 \times 10^{-2}$	$p = 0.022$
Fixed effect band	$-8.10 \times 10^{-2}$	$\pm 1.06 \times 10^{-2}$	$p < 0.001$
Interaction effect SNR:stimulus	$-1.01 \times 10^{-3}$	$\pm 1.83 \times 10^{-3}$	$p = 0.284$
Interaction effect SNR:band	$-3.20 \times 10^{-3}$	$\pm 1.83 \times 10^{-3}$	$p < 0.001$
Interaction effect SNR:band:stimulus	$-1.40 \times 10^{-6}$	$\pm 2.13 \times 10^{-3}$	$p = 0.999$

Speech-to-Noise Ratio (SNR), Confidence Interval (CI)

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