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Title: Fine tuning of hormonal signaling is linked to dormancy status in sweet cherry flower buds

Running head: ABA and GA signaling predicted to control sweet cherry bud dormancy

Noémie Vimont[1, 2, 3], Adrian Schwarzenberg[2], Mirela Domijan[4], Armel S. L. Donkpegan[1], Rémi Beauvieux[1], Loïck le Dantec[1], Mustapha Arkoun[2], Frank Jamois[2], Jean-Claude Yvin[2], Philip A. Wigge[5], Elisabeth Dirlewanger[1], Sandra Cortijo[3], Bénédicte Wenden[1]

[1]Univ. Bordeaux, INRAE, Biologie du Fruit et Pathologie, UMR 1332, F-33140 Villenave d'Ornon, France; [2]Agro Innovation International - Centre Mondial d'Innovation - Groupe Roullier, 35400 St Malo, France; [3]The Sainsbury Laboratory, University of Cambridge, Cambridge CB2 1LR, United Kingdom; [4]Dept. of Mathematical Sciences, University of Liverpool, Liverpool L69 7ZL, United Kingdom; [5]Leibniz-Institute für Gemüse- und Zierpflanzenbau (IGZ), Plant Adaptation, Grossbeeren, Germany

Author for correspondence:
Bénédicte Wenden
INRAE UMR 1332 BFP
71 avenue Edouard Bourlaux CS20032
33882 Villenave d'Ornon Cedex, France
Tel: +33 557122549
benedicte.wenden@inrae.fr

ABSTRACT

In temperate trees, optimal timing and quality of flowering directly depend on adequate winter dormancy progression, regulated by a combination of chilling and warm temperatures. Physiological, genetic and functional genomic studies have shown that hormones play a key role in bud dormancy establishment, maintenance and release. We combined physiological, transcriptional analyses, quantification of abscisic acid (ABA) and gibberellins (GAs), and modelling to further investigate how these signaling pathways are associated with dormancy progression in the flower buds of two sweet cherry cultivars.

Our results demonstrated that GA-associated pathways have distinct functions and may be differentially related with dormancy. In addition, ABA levels rise at the onset of dormancy, associated with enhanced expression of ABA biosynthesis *PavNCED* genes, and decreased prior to dormancy release. Following the observations that ABA levels are correlated with dormancy depth, we identified *PavUG71B6*, a sweet cherry *UDP-GLYCOSYLTRANSFERASE* gene that up-regulates active catabolism of ABA to ABA-GE and may be associated with low ABA content in the early cultivar. Subsequently, we modelled ABA content and dormancy behavior in three cultivars based on the expression of a small set of genes regulating ABA levels. These results strongly suggest the central role of ABA pathway in the control of dormancy progression and open up new perspectives for the development of molecular-based phenological modelling.

KEY WORDS: Abscisic acid, bud dormancy, gibberellic acid, hormones, modelling, *Prunus avium* L.

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INTRODUCTION

Perennial plants have evolved strategies that enhance survival under the various environmental stresses they face during their growth and reproductive cycles. Among them, dormancy is a quiescent phase that protects meristematic and reproductive tissues from freezing damage. In temperate trees, the transition from active growth to dormancy is often triggered by decreasing photoperiod and/or temperatures depending on the species (Heide and Prestrud 2005, Rohde et al. 2011, Petterle et al. 2013, Singh et al. 2016). Subsequently, the bud dormancy process relies on the integration of cold and warm temperatures between endodormancy, when buds are unable to resume growth even under favorable conditions, and ecodormancy, when bud development is inhibited by unfavorable conditions until optimal growth temperatures and photoperiod are met (Lang et al. 1987). In the current context of climate change, temperate trees are affected by contradictory effects during the dormancy period and shifts in phenological phases are observed: longer growing season and insufficient chilling during winter, both effects potentially having dramatic impact on growth and production (Vitasse et al. 2011, Atkinson et al. 2013, Jochner et al. 2013). Dormancy progression and control by temperature and photoperiod in perennial plants have been a focus for decades and physiological, genetic and functional genomic studies have shed some light onto the mechanisms underlying dormancy control in deciduous trees and other perennial plants (Cooke et al. 2012, Ríos et al. 2014, Beauvieux et al. 2018). Bud dormancy is controlled by a complex array of signaling pathways that integrate endogenous and environmental cues towards a rest/growth decision. Effort to synthesize the available knowledge and data into modelling approaches have led to the development of phenological models based on the dormancy regulation by temperature and photoperiod (Chuine et al. 2016, Chuine and Régnière 2017). However, process-based models of bud dormancy have not changed substantially since 1990 (Hänninen 1990) and the current predictive models rely on very little information about involved mechanisms. Conceptual models for dormancy progression have been proposed based on interactions between respiratory stresses, ethylene and abscisic acid (ABA), which in turn activate gibberellins (GA)-mediated growth through up-regulation of *FLOWERING LOCUS T* (*FT*) expression and resumption of intercellular transport (Ophir et al. 2009, Rinne et al. 2011).

The major role of hormones in the regulation of bud growth cessation, dormancy and activity resumption has been extensively discussed (e.g. Cooke et al. 2012, Beauvieux et al. 2018, Liu and Sherif 2019). Seed and bud dormancy show common features in terms of hormonal control (Powell 1987, Leida, Conejero, et al. 2012, Wang et al. 2016) and GA and ABA balance is often involved in the integration of internal and external cues to control plant growth (Rodríguez-Gacio et al. 2009, Finkelstein 2013, Shu et al. 2018): GAs promote growth, whereas ABA promotes dormancy. Multiple physiological and transcriptomic studies have indeed proposed a central role for ABA in the repression of bud activity during dormancy. ABA would function as a signal in response to autumn short days and decreasing temperatures to induce dormancy onset (Rohde et al. 2002, Rohde and Bhalerao 2007, Ruttink et al.

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2007, Wang et al. 2016, Tuan et al. 2017, Li et al. 2018, Tylewicz et al. 2018). Strong correlation was further shown between ABA and dormancy depth with high ABA levels detected during endodormancy, followed by a decrease in endogenous ABA content during the transition from endodormancy to ecodormancy (Or et al. 2000, Zheng et al. 2015, Wang et al. 2016, Wen et al. 2016, Chmielewski et al. 2017, Li et al. 2018, Zhang et al. 2018, Yamane et al. 2019). Recently, ABA content has been proposed as a determining factor to assess dormancy status in sweet cherry (Chmielewski et al. 2017) and we have shown in a previous study that genes involved in ABA-related pathways were central in the transcriptomic analysis of flower bud dormancy (Vimont et al. 2019). More precisely, recent transcriptomic analyses of genes involved in the precise balance between biosynthesis and catabolism modulating ABA levels have further defined the involvement of ABA in bud dormancy. Indeed, expression patterns for 9-cis epoxycarotenoid dioxygenases (*NCED*), that catalyze the critical step for ABA biosynthesis, and *CYP707A*, encoding cytochrome P450 monooxygenases that inactivate ABA into 8'hydroxy ABA, as well as ABA signaling genes, support ABA involvement in bud dormancy induction and maintenance (Fig. **1a**; Nambara and Marion-Poll 2005, Bai et al. 2013, Zhong et al. 2013, Zhu et al. 2015, Wang et al. 2016, Khalil-Ur-Rehman et al. 2017, Li et al. 2018, Zhang et al. 2018, Zheng et al. 2018, Yu et al. 2020). Similarly, until recently, most of the knowledge gathered on the behavior of the GA pathway during dormancy had been obtained in seeds but reports published in the last years have shed some light on GA regulation throughout bud dormancy in perennial plants. Studies have suggested a major role for GAs in maintaining growth before the induction of dormancy (Junttila and Jensen 1988, Ruttink et al. 2007, Olsen 2010, Eriksson et al. 2015, Singh et al. 2016) and promoting growth during ecodormancy (Wen et al. 2016, Zhang et al. 2018). Interestingly, GA treatments have a controversial effect on dormancy and bud break as shown in various perennial species since GA application may substitute for chilling (Shafer and Monson 1958, Rinne et al. 2011, Zhuang et al. 2013), or have delaying effects on shoot growth and bud break (Hoad 1983, Zheng et al. 2018), suggesting distinct gibberellin functions during dormancy. Although transcriptomic results for *GA2ox*, *GA3ox* and *GA20ox* vary between studies and therefore suggest complex and distinct functions, general patterns could be identified: expression for GA biosynthesis genes *GA 20-oxidases* (*GA20ox*) and *GA 3-oxidases* (*GA3ox*) decreases during dormancy induction then increases after dormancy release and during ecodormancy while GA deactivation *GA 2-oxidases* (*GA2ox*) genes are up-regulated during endodormancy and inhibited after endodormancy is released (Fig. **1b**; Yamaguchi 2008, Bai et al. 2013, Zhong et al. 2013, Zhu et al. 2015, Wen et al. 2016, Khalil-Ur-Rehman et al. 2017, Zhang et al. 2018, Zheng et al. 2018).

In this study we explored potential hormonal markers of dormancy using a combination of physiological and transcriptomic analyses and a new modelling approach. We have focused on the involvement of GA and ABA pathways in sweet cherry flower bud dormancy. We examined the effect of exogenous GA and ABA on dormancy status and monitored endogenous contents for GAs and ABA and its metabolites,

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as well as the expression of genes related to ABA and GA metabolism throughout flower bud dormancy for two cultivars with contrasted dormancy release dates. Following our findings on hormonal control of dormancy, we propose a mathematical model that incorporates the effect of key genes on the dynamics of ABA to estimate dormancy status.

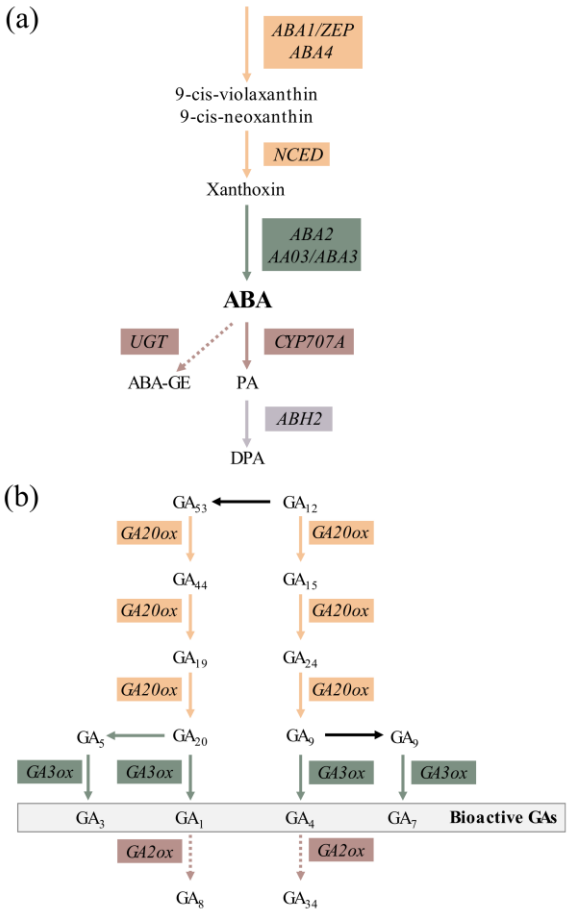

Figure 1
Biosynthesis and catabolism pathway for ABA and GAs. (**a**) ABA is synthesized through the action of five enzymes: zeaxanthin epoxidase (ZEP/ABA1), ABA-deficient4 (ABA4,) 9-cis epoxycarotenoid dioxygenase (NCED), alcohol dehydrogenase (ABA2) and short-chain dehydrogenase/reductase (AAO3/ABA3). ABA is mainly inactivated by ABA 8′-hydroxylase-catalyzed conversion to 8'hydroxy ABA by cytochrome P450 monooxygenases, encoded by *CYP707A* (Nambara and Marion-Poll, 2005). 8'hydroxy ABA is then spontaneously converted to phaseic acid (PA), which is further catabolized to dihydrophaseic acid DPA by a PA reductase (PAR) encoded by *ABA HYPERSENSITIVE2* (*ABH2*). ABA can be conjugated with glucose to inactive ABA-glucose ester (ABA-GE) by UDP-glycosyltransferases (UGT) (Dietz et al., 2000). (**b**) Bioactive GAs (GA_1, GA_3, GA_4 and GA_7) are synthetized by GA 20-oxidases (GA20ox) and GA 3-oxidases (GA3ox) and catabolized by GA 2-oxidases (GA2ox) (Yamaguchi, 2008). ABA: Abscisic acid; GA: Gibberellic acid.

MATERIALS AND METHODS

Plant material

As previously described (Vimont et al. 2019), samples were collected from three different sweet cherry cultivars (*Prunus avium* L.) having very early, early and late flowering dates (respectively, 'Cristobalina', 'Garnet' and 'Regina'). Trees are grown in an orchard located at the Fruit Experimental Unit of INRA in Bourran, South West of France (44° 19′ 56″ N, 0° 24′ 47″ E) under standard agricultural practices. During the sampling season (July 2015 to March 2016), a mix of randomly chosen flower buds (equivalent to a 2 mL volume) were sampled at ten time points spanning the entire period of bud development (Fig. **2a**) for phytohormone quantification (for 'Cristobalina' and 'Regina') and RNA-seq analysis (for the three cultivars). Flower buds were harvested from branches of three ('Cristobalina' and

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'Garnet') or two different trees ('Regina'). A total of 29, 31 and 21 samples were analyzed for 'Cristobalina', 'Garnet' and 'Regina' respectively. Details are available in Table **S1** (Supplementary file at *Tree Physiology* online). Upon harvesting, buds were flash frozen in liquid nitrogen and stored at -80°C prior to performing RNA-seq. Average daily temperatures were recorded by an on-site weather station.

In addition, for the exogenous application of hormones, branches were collected from the late flowering sweet cherry cultivar 'Fertard'. Trees were grown in an orchard located at the Fruit Experimental Unit of INRA in Toulenne, South West of France (48° 51′ 46″ N, 2° 17′ 15″ E) under standard agricultural practices.

We calculated the chill accumulation from September 1st for each sampling date and the dates of dormancy release, using chilling hours (CH), i.e. the sum of hours when temperatures are above 0°C and below 7.2°C (Weinberger 1950), and chill portions (CP, estimated by the Dynamic model; Fishman et al. 1987a, 1987b).

Measurements of bud break and estimation of the dormancy release date

Measurements for the dormancy stages were performed on randomly chosen branches cut every two weeks from November 16th 2015 to April 4th 2016 for 'Cristobalina', 'Garnet', 'Regina' and 'Fertard', and between November 21st 2017 and April 4th 2018 for 'Fertard'. Branches were incubated in water pots placed in a growth chamber (25°C, 16h light/ 8h dark, 60-70% humidity). The water was replaced every 3-4 days. After ten days under these forcing conditions, the percentage of bud break, i.e. flower buds at BBCH stage 53 (Fadón et al. 2015), as illustrated in Fig. **S1a** (Supplementary file at *Tree Physiology* online), was recorded. The date of dormancy release was estimated when at least 50% of the flower buds were at the BBCH stage 53 or higher after ten days under forcing conditions.

Treatments with exogenous hormones and antagonists

To investigate the effects of GA and ABA on dormancy, five branches per modality were randomly harvested from ten 'Fertard' dormant trees on January 19th 2016 and January 29th 2018 (Fig. **S1**). The cherry dormant buds were treated with 5 µM GA_3 (Sigma-Aldrich, ref. 48870), 5 µM GA_4 (Sigma-Aldrich, ref. G7276), 400 µM ABA (Sigma-Aldrich, ref. A1049), 300 µM paclobutrazol (Sigma-Aldrich, ref. 46046), an inhibitor of the GA pathway, and 5 µM fluridone (Sigma-Aldrich, ref. 45511), an inhibitor of the ABA pathway.

All chemicals and a water control were freshly prepared to the desired concentrations in 0.5% of surfactant ("Calanque", Action Pin, Castets, France) to ensure the penetration of active molecules through the bud scales. Chemicals were sprayed on buds to runoff under a fume-hood and branches were left several minutes to allow them to dry. Branches were then transferred in the growth chamber (25°C,

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16h light/ 8h dark, 60-70% humidity) in pots containing water. Bud break measurements were performed on flower buds as mentioned above.

Phytohormones extraction

For each sample (see Table **S1**; Supplementary file at *Tree Physiology* online), 10 mg of frozen pulverised flower buds were weighed in a 2 mL tube. The extraction was carried out as previously described (Ali et al. 2018, Haddad et al. 2018, Lakkis et al. 2019) by adding 1 mL of cold 70% MeOH/ 29% H_2O/1.0% formic acid, containing isotopically labelled internal standards. Then, the tubes were stirred at room temperature for 30 min and centrifuged (5427R, Eppendorf) at 16,000 rpm for 20 minutes at 4°C. The supernatant of each tubes were transferred into new tubes and evaporated to dryness using a Turbovap LV system (Biotage, Sweden). The dried extracts were dissolved with 1 mL of a 2% formic acid solution. The resuspended extracts were purified using a solid phase extraction (SPE) Evolute express ABN 1ml-30 mg (Biotage, UK). The eluate was evaporated to dryness and resuspended in 200 µL of 0.1% formic acid before analysis.

Phytohormones quantification

ABA and conjugates (ABA-GE, PA, DPA) and GAs (GA_1, GA_3, GA_4, GA_7) were quantified by UHPLC-MS/MS as previously described (Lakkis et al. 2019). ABA, ABA-GE, gibberellins (GA_4, GA_7), [$^2H_6$]-ABA, and [$^2H_2$]-GA_4 were purchased from OlchemIn (Olomouc, Czech Republic). DPA, PA, [$^2H_3$]-dihydrophaseic acid (D-DPA), and [$^2H_3$]-phaseic acid (D-PA) were purchased from National Research Council Canada (NRC, Saskatoon, Canada). Phytohormones were analyzed by an UHPLC-MS/MS system. The separation and detection were achieved using a Nexera X2 UHPLC system (Shimadzu, Japan) coupled to a QTrap 6500+ mass spectrometer (Sciex, Canada) equipped with an electrospray (ESI) source. Phytohormones separation was carried out by injecting 2 µL into a Kinetex Evo C18 core-shell column (100 x 2.1mm, 2.6µm, Phenomenex, USA) at a flow rate of 0.7 mL/min, and the column oven was maintained at 40°C. The mobile phases were composed of solvent A Milli-Q water (18 MΩ, Millipore, USA) containing 0.1% formic acid (LCMS grade, Fluka analytics, Germany), and solvent B acetonitrile LCMS grade (Fisher Optima, UK) containing 0.1% formic acid. The gradient elution started with 1% B, 0.0-5.0 min 60% B, 5.0-5.5 min 100% B, 5.5-7.0 min 100 % B, 7.0-7.5 min 1% B, and 7.5-9.5 min 1% B. The ionization voltage was set to 5kV for positive mode and -4.5 kV for negative mode producing mainly $[M+H]^+$ and $[M-H]^-$ respectively. The analysis was performed in scheduled multiple reaction monitoring (MRM) mode in positive and negative mode simultaneously with a polarity switching of 5 ms. All quantitative data were processed using MultiQuant software V 3.0.2 (Sciex, Canada). GA_1, GA_3 were not detected in the samples.

Candidate gene identification

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In order to select and investigate genes involved in the hormonal signaling pathways, we used the functional annotation tools Mercator4 (Schwacke et al. 2019) and eggnog (Huerta-cepas et al. 2017, 2019) on the sweet cherry 'Regina' genome (v1.0; Le Dantec et al. 2020). Results for the annotated sweet cherry genes were crossed-checked using pairwise sequence comparison with *Arabidopsis thaliana* proteins. Genes were identified in the obtained annotation database by key words and gene names from the literature, including Arabidopsis genes identified by Howe and colleagues (Howe et al. 2015). Details on the identified genes are available in Table **S2** (Supplementary file at *Tree Physiology* online).

RNA-seq and differential expression analysis

Total RNA was extracted and sequenced as described in Vimont et al. (2019). Sequencing data are available online (Gene Expression Omnibus GSE130426). The quality of raw reads was assessed using FastQC (www.bioinformatics.babraham.ac.uk/projects/fastqc/) and possible adaptor contaminations and low quality trailing sequences were removed using Trimmomatic (Bolger et al. 2014). Raw reads sequences were previously mapped on the peach (*Prunus persica*) reference genome in a published analysis (Vimont et al. 2019). Here, we decided to remap the reads to the to the sweet cherry 'Regina' genome (v1.0; Le Dantec et al. 2020) using STAR (Dobin et al. 2013). Raw counts for each transcript were calculated using HTSeq (Anders et al. 2015). For the number of input reads and the percentage of mapped reads in each sample, please refer to Table **S1**. For each gene, Transcripts Per Million reads (TPM) were calculated (Wagner et al. 2012). TPM for the genes analysed in this study are available in the supplementary data file at *Tree Physiology* online. Differentially expressed genes (DEGs) for each combination of dormancy stages (pre-dormancy, endodormancy, dormancy breaking and ecodormancy) were assessed using DEseq2 R Bioconductor package (Love et al. 2014), in the statistical software R (R Core Team 2018), on filtered data. Genes with an adjusted *p-value* (padj) < 0.05 (Benjamini-Hochberg multiple testing correction method) and a log2Fold change > 1, in at least one of the comparisons, were assigned as DEGs (Table **S3**, Supplementary file at *Tree Physiology* online). We confirmed that the reads mapping from the different cultivars to the sweet cherry sequences of the candidate genes was similar in coverage (Fig. **S2**, Fig. **S3**), therefore supporting the robustness of the expression comparison between cultivars, expressed in TPM.

Modelling

In order to explore the differences in the expression of ABA in the two cultivars, 'Cristobalina' and 'Regina', we took a mathematical modelling approach. We constructed a model incorporating information from the genes involved in the ABA signalling pathway.

Since NCEDs and CYP707As and UGT71B6 have been implicated in the production and catabolism of ABA, respectively, they were considered in the production and decay rates of ABA. ABA level at different times, t, for each cultivar is described by an ordinary differential equation:

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$$\frac{d\,ABA_i(t)}{dt} = p^i_{NCED1} * NCED1_i(t) + p^i_{NCED3} * NCED3_i(t) + p^i_{NCED4} * NCED4_i(t) + p^i_{NCED9} * NCED9_i(t) - \left(p^i_{CYP707A1b} * CYP707A1b_i(t) + p^i_{CYP707A2} * CYP707A2_i(t) + p^i_{CYP707A4a} * CYP707A4a_i(t) + p^i_{CYP707A4b} * CYP707A4b_i(t) + p^i_{CYP707A4c} * CYP707A4c_i(t) + p^i_{UGT71B6} * UGT71B6_i(t)\right) * ABA_i(t)$$

for i=1,2, where i=1 represents the index of cultivar 'Regina' and i=2 is the index of cultivar 'Cristobalina'.

In both cultivars, for the sake of simplicity, it was assumed that the rates are linearly dependent on the gene levels. For example, the rate of NCED1–dependent ABA production in Regina at a time t is described by $p^1_{NCED1} * NCED1_1(t)$ where p^1_{NCED1} is a non-negative rate constant (model parameter). Genes (*NCED*s, *CYP707As* and *UGT*) are treated as the time-dependent parameters of the model and their values are taken from the data. More precisely, going back to the earlier example, the level of *NCED1* in 'Regina', labelled $NCED1_1(t)$, is a function of time t with values calculated from linearly interpolated mean 'Regina' data values of *NCED1*. Initial level of ABA at time 0 in each cultivar, i.e. ABA(0), is taken to be the mean level of ABA on the first day of measurement.

In order to show whether the differential in ABA in the two cultivars could be explained solely by the differences in *NCEDs*, *CYP7074As* and *UGT*, we tested whether there exists a set of parameters where the parameter values for both cultivar models are the same (i.e. $p^1_{NCED1} = p^2_{NCED1}, p^1_{NCED3} = p^2_{NCED3}$ and so on) but for which the model simulations can show the ABA differences seen between the two cultivars. Latin Hypercube Sampling was used to select 100,000 parameter sets of X parameters (X being the number of genes used in the model). Since the gene levels can peak with level (in TPM) of up to 100 times higher than ABA levels (in ng/mg), the production and decay rate constants were bounded above by 0.001 and 0.005, respectively. We selected the parameter set with the lowest sum of the least squares as the best model for simulation and prediction. Once the model solutions were calculated, predictions for the best model were evaluated by comparing predicted ABA levels to measured ABA content in all 'Regina' and 'Cristobalina' samples using root mean square error (RMSE).

To explore which genes may have more or less significant effect on ABA levels during dormancy, we tested different combinations of genes, decreasing the number of genes from 10 to 3. Since testing all possible combinations would be very computationally expensive (for example, just selecting 7 out of 10 genes would require testing 10!/(7!×3!)=120 combinations of models), we decided to take a different approach. For the 9 gene models, we tested all nine model combinations where one gene is removed, and we selected the one with the lowest sum of the least squares as the best model for simulation and prediction, namely the 9 gene model that omits *PavCYP707A2* (Table **S4**, Supplementary file at *Tree*

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Physiology online). At the next step, when testing the 8 gene models, we removed *PavCYP707A2* and one of the other genes in turn. We tested now eight model combinations, repeating our steps above, down to the 3 gene models (see Table **S4** for more details on the tested combinations). Comparison of the best fitting models for each of the 3 to 10 gene models (with details listed in Table **S4**), revealed that a model with 6 genes had the lowest sum of the least squares overall. Finally, using the mean data measurements for *PavNCEDs*, *PavCYP7074As* and *PavUGT71B6* of the cultivar 'Garnet', we used this 6 gene model to predict the levels of ABA in the 'Garnet' cultivar. Since the initial value of ABA content in 'Garnet' cultivar was not measured, we took it arbitrarily to be 1 at the time 0 (this being a value that also falls within the range of the initial ABA levels of the 'Regina' and 'Cristobalina' cultivars).

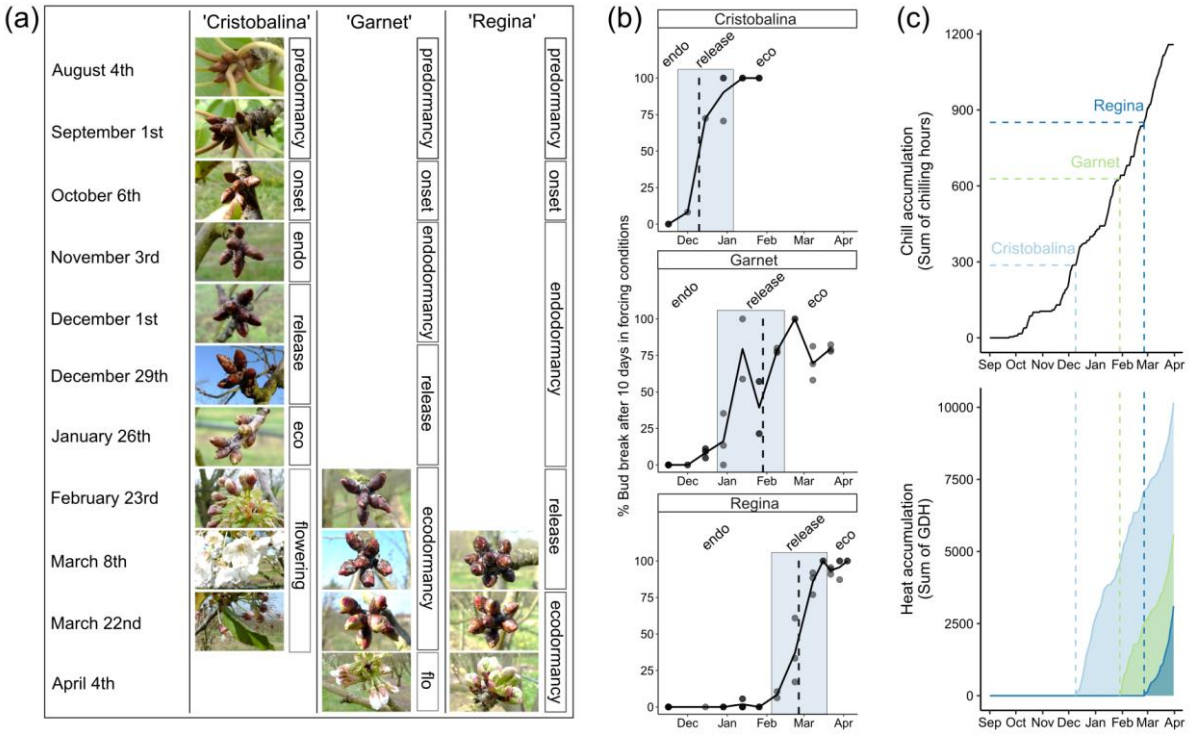

Figure 2
Dormancy status defined for the three sweet cherry cultivars. (a) bud stages at the sampling dates and the corresponding dormancy status as defined in the current study; (b) Evaluation of bud break percentage under forcing conditions was carried out for three sweet cherry cultivars displaying very early, early and late flowering dates: 'Cristobalina', 'Garnet' and 'Regina' respectively. The dotted line corresponds to the dormancy release dates, estimated at 50% of bud break after ten days under forcing conditions. Dots indicate the data points for the biological replicates; (c) Chill and heat accumulation for the three cultivars estimated using the dormancy release date and temperatures. Chill accumulation (calculated as the sum of chilling hours) stops after dormancy release, then followed by heat accumulation (calculated as the sum of growing degree hours, GDH).

RESULTS

Definition of the flower bud dormancy status of three cultivars with different flowering dates

We selected three sweet cherry cultivars based on their different dates of flowering: very early, early and late for 'Cristobalina', 'Garnet' and 'Regina', respectively. The stages of bud dormancy were

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defined based on anatomical and physiological elements (Fig. **2a**). We associated predormancy with developmental stages of the flower buds along green leaves and active growth (July to September). Dormancy onset is often hypothesized to occur at leaf fall (Chmielewski et al. 2017) and we observed leaf senescence at the beginning of October and complete leaf fall at the end of October. Therefore, dormancy onset was set in October and the beginning of endodormancy was established in November (Fig. **2a**). These stages were similar for all three cultivars. By definition, endodormancy correspond to the bud inability to fully develop under growth-inducing conditions while dormancy is considered as released when bud break is triggered by warm temperatures and/or long photoperiod (Lang et al. 1987). Consequently, dormancy status was assessed by forcing tests on branches carrying flower buds (Fig. **2b**). Endodormancy was defined for the dates with no bud break under forcing conditions (Fig. 2). Incomplete bud break within the population of flower buds indicated dormancy release stages while ecodormancy was defined by optimal bud break response to growth conditions (90-100% bud break; Fig. **2**). Here, the three cultivars were much contrasted in the timing of their dormancy phases, 'Cristobalina' exhibiting dormancy release on December 9th (corresponding to a chill accumulation of 287 CH; Fig. **2c**), seven weeks earlier than 'Garnet' (January 29th; 628 CH; Fig. **2c**) and ten weeks earlier than 'Regina' (February 26th; 851 CH; Fig. **2c**).

Exogenous GA application accelerates bud dormancy release

GA and ABA effect on the bud break response to forcing conditions was evaluated on branches carrying dormant flower buds as assessed by forcing tests on the late flowering cultivar 'Fertard' (Fig. **S1**). Results revealed that GA_3 and GA_4 both had significant dormancy alleviating effects, characterized by higher bud break percentage, which was confirmed for GA_3 in a second experiment in 2018 (Fig. **3**, Fig. **S4**). However, no antagonist effect, namely bud break inhibition, was observed after a treatment with paclobutrazol, suggesting that GA biosynthesis was not required for the observed response to warm conditions.

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In both seed and bud dormancy, it is hypothesized that GAs and ABA act antagonistically to control growth resumption and inhibition, respectively. We therefore tested the potential inhibiting effect of ABA on flower bud emergence. We did not observe a significant effect of ABA treatment on dormancy release, but bud break for ABA-treated branches was slightly higher than the control (Fig. **3**, Fig. **S4**). However, inhibiting ABA biosynthesis with fluridone activated bud break, consistent with the established role of ABA in promoting dormancy.

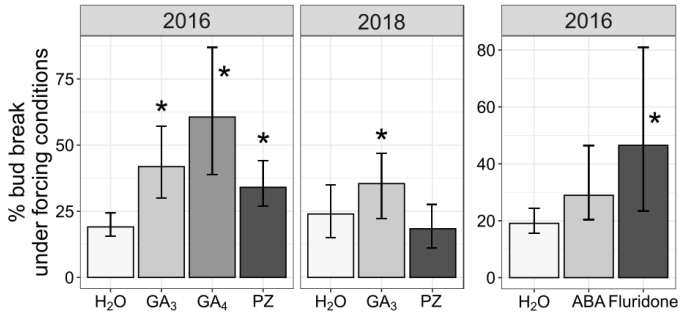

Figure 3
Effect of different GAs, ABA and their inhibitor on the sweet cherry dormancy status. Sweet cherry branches were treated with (a) 5 µM GA_3, 5 µM GA_4, 300 µM, paclobutrazol (GA pathway inhibitor), (b) 400 µM ABA and 5 µM fluridone (ABA pathway inhibitor) and transferred under forcing conditions (25°C, 60-70% humidity, 16 hours light). The percentage of flower bud break was recorded after 20 days. Error bars indicate the data range between the five biological replicates. Asterisks indicate treatments that differ significantly from untreated branches (Kruskal-Wallis test, $p < 0.05$). ABA: Abscisic acid; GA: Gibberellic acid.

GA content changes during flower bud dormancy progression

In recent studies, distinct functions were identified for gibberellins during bud dormancy (Zhuang et al. 2013, Zheng et al. 2018). To test whether these results could be confirmed in sweet cherry buds, GA levels were determined over the whole bud development cycle in the very early and late flowering cultivars, 'Cristobalina' and 'Regina'. In details, we studied the content of bioactive GA_1, GA_3 GA_4 and GA_7 but the levels of GA_1 and GA_3 were undetectable in the samples. GA_4, GA_7 have a similar pattern over dormancy progression (Fig. **4**) rising from July onwards, which corresponds to flower primordia initiation and organogenesis. Bioactive GA levels also increase at the beginning of endodormancy (November – December), reaching their highest concentration during dormancy release. Results show a sharp decrease in the levels of GA_4 and GA_7 overlapping with ecodormancy for 'Cristobalina', which could not be observed for the late cultivar 'Regina', potentially due to the lack of ecodormancy coverage. Interestingly, the levels of GA_4, and GA_7 significantly differed between the two cultivars, especially during endodormancy and when dormancy release was triggered in the early cultivar (Fig. **S5b**). Notable differences in GA_7 content were observed between these two cultivars during the entire time course, in which the late cultivar buds contained more GA_7 than the early cultivar (Fig. **4**) while levels for GA_4 were significantly higher in the early cultivar during endodormancy (Fig. **S5b**). Among the quantified active GAs, GA_4 was detected at levels between three and eight times higher than GA_7. GA_4 was

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detected at higher levels in the early cultivar 'Cristobalina', with a relatively high level reached just after dormancy release in December. By contrast, levels of GA_7 were higher in 'Regina', but with the maximal concentration measured just after dormancy release.

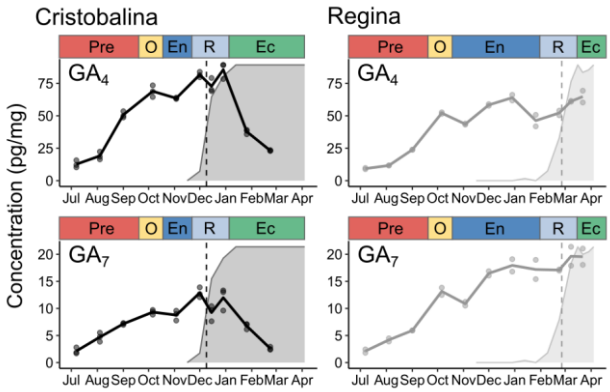

Figure 4
Levels of endogenous bioactive GA_4 and GA_7 in the flower buds of two sweet cherry cultivars during bud development. Black: 'Cristobalina', grey: 'Regina'. Background areas correspond to the dormancy depth evaluated as the percentage of bud break under forcing conditions (see Fig. 2b). Dotted lines represent dormancy release. GA: Gibberellic acid; Pre: Predormancy; O: dormancy onset; En: Endodormancy; R: Dormancy release; Ec: Ecodormancy.

Expression of GA pathway-related genes have distinct patterns during sweet cherry bud dormancy

To better understand the mechanisms linked to the GA pathway during dormancy progression, we investigated genes involved in GA biosynthesis pathway, degradation, signal transduction and response. We found eleven *PavGA20ox* and five *PavGA3ox* for GA biosynthesis genes in the peach database (Table **S2**) but only four genes were differentially expressed over the dormancy period: *PavGA20ox1b*, *PavGA20ox1c*, *PavGA3ox1a* and *PavGA3ox4* (Fig. **5**, Table **S3**). Interestingly, *PavGA20ox1c* expression increased in December for both cultivars, regardless of their dormancy status but decreased prior to dormancy release. The marked increase in *PavGA20ox1c* expression could be correlated with the production of GAs around December (Fig. **4**). The last step of active GA biosynthesis relies on the activity of GA3ox essentially for the production of GA_1 and GA_4. Expression for *PavGA3ox4* increases as early as dormancy onset (October), followed by a sharp increase during endodormancy, reaching its highest expression value at maximum dormancy depth in December, for both cultivars (Fig. **5**). *PavGA3ox4* is then downregulated during or after dormancy release, with a marked lag between the two cultivars, potentially linked to their separate dormancy release date (Fig. **5**). Interestingly, *PavGA20ox1b* and *PavGA3ox1a* were both expressed during late ecodormancy, but with no obvious correlation with high GA levels (Fig. **4**). These results suggest that GA biosynthesis may not be activated after dormancy release, which is consistent with the previous observation that GA biosynthesis may not be needed for the initiation of ecodormancy. We identified four differentially expressed *PavGA2ox* genes, involved in GA inactivation (Fig. **5**, Tables **S2**, **S3**). *PavGA2ox2*, *PavGA2ox8a* and *PavGA2ox8c* genes were expressed before dormancy and during the early stages of dormancy. *PavGA2ox8b* and *PavGA2ox8c* were highly expressed during endodormancy, concomitantly with *PavGA3ox4* expression, thus

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suggesting a balance between synthesis and degradation that closely controls the levels of bioactive GAs.

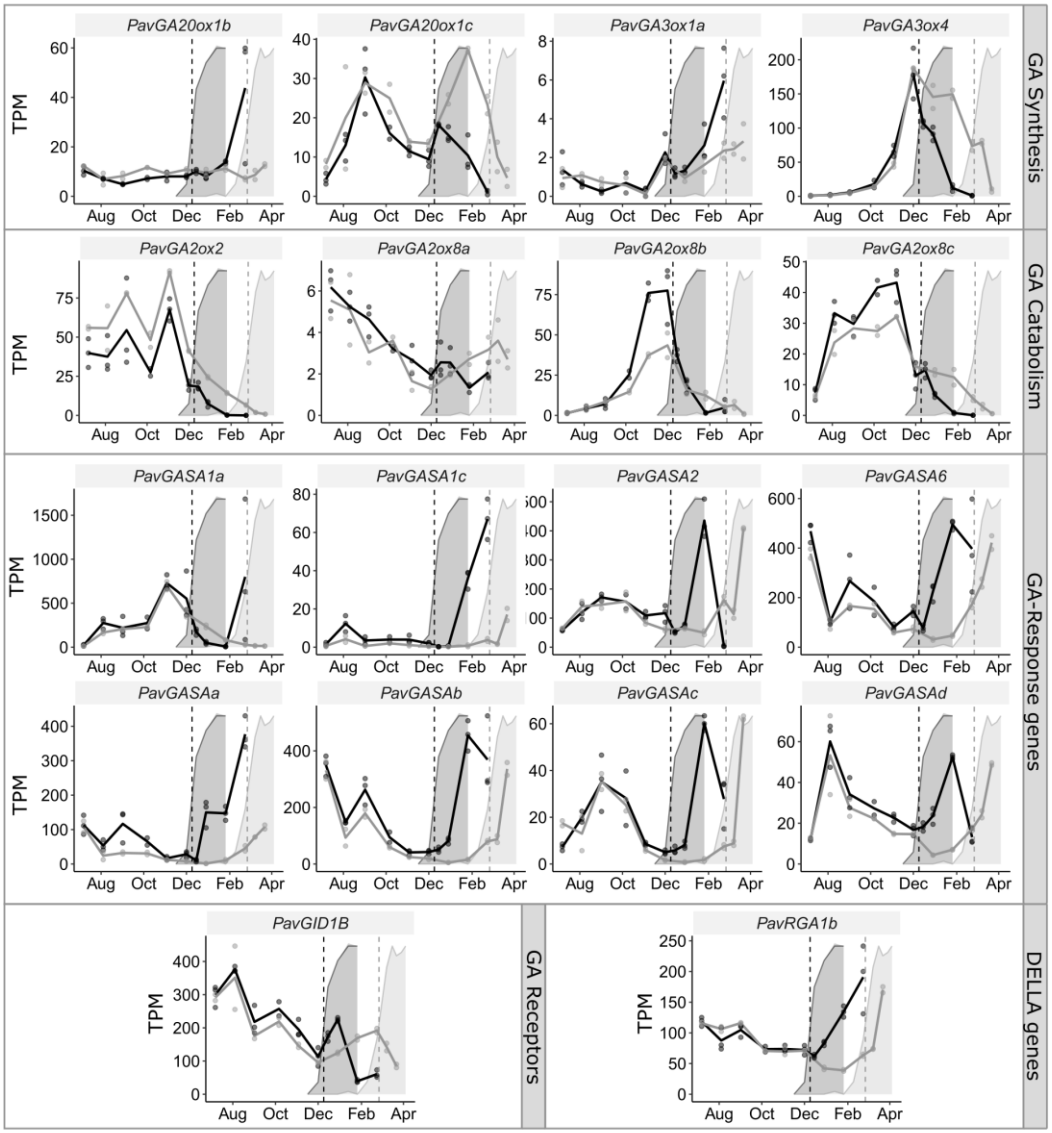

Figure 5 Transcriptional dynamics of genes associated with GA pathway in the flower buds of two sweet cherry cultivars during bud development. Expression of specific genes involved in GA biosynthesis pathway, degradation, signal transduction and response are represented in TPM (Transcripts Per Million reads). Black: 'Cristobalina', grey: 'Regina'. Background areas correspond to the dormancy depth evaluated as the percentage of bud break under forcing conditions (see Fig. 2b). Dotted lines represent dormancy release.GA: Gibberellic acid; GA20ox: GA 20-oxidases, GA3ox: GA 3-oxidases; GA2ox: GA 2-oxidases; GID: GA INSENSITIVE DWARF; GASA: GA Stimulated Arabidopsis; RGA: REPRESSOR OF GA.

In terms of GA signaling, our results show that the identified GA receptor-related *GA INSENSITIVE DWARF1B* (*PavGID1B*) is highly expressed during the predormancy (July, August) and early stages of dormancy (September, October; Fig. **5**). For 'Cristobalina', expression of the receptor gene sharply decreased after endodormancy was released. Ten GA-response genes, *GA Stimulated Arabidopsis* (*GASA*), potentially regulated by GAs (Aubert et al. 1998), were identified in the transcript dataset (Table **S2**) and we analyzed the eight genes differentially expressed during flower bud cycle (Fig. **5**,

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Table **S3**). Expression patterns are diverse but for the majority (*PavGASA1c*, *6*, *a*, *b*, *c*, d), a decrease in expression was detected during deep dormancy (November, December), thus suggesting that GA-activated pathways are inhibited during dormancy, despite high contents in GAs (Fig. **4**). More strikingly, all *PavGASA* genes were sharply upregulated during dormancy release. However, one notable exception is *PavGASA1a* that is highly activated specifically during dormancy (Fig. **5**). The repression of GA by DELLA proteins is well characterized in annuals (Zentella et al. 2007), so to further investigate GA pathway, we identified five genes coding for predicted DELLAs, namely *PavRGA1a*, *b*, *PavRGL3a*, *b*, *c* (Table **S2**). Among them, only *PavRGA1b* was differentially expressed during bud development, characterized by a marked expression increase during dormancy release and ecodormancy (Fig. **5**).

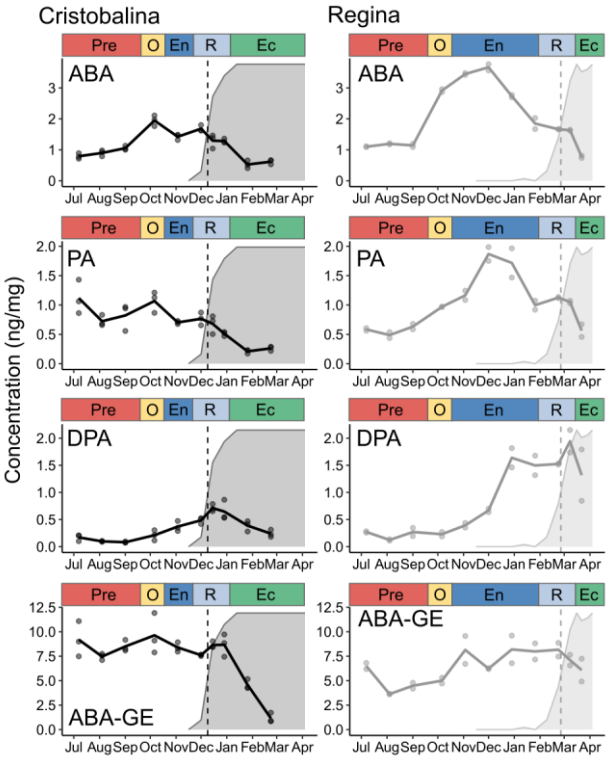

Figure 6
Levels of endogenous bioactive ABA and ABA conjugates in the flower buds of two sweet cherry cultivars during bud development. Black: 'Cristobalina', grey: 'Regina'. Background areas correspond to the dormancy depth evaluated as the percentage of bud break under forcing conditions (see Fig. 2b). Dotted lines represent dormancy release. ABA: Abscisic acid; PA: phaseic acid; DPA: dihydrophaseic acid, ABA-GE: ABA-glucose ester; Pre: Predormancy; O: dormancy onset; En: Endodormancy; R: Dormancy release; Ec: Ecodormancy.

ABA levels rise at the onset of dormancy

Several studies have highlighted a strong correlation between ABA content and dormancy status and to address this issue in sweet cherry flower buds, we measured ABA levels, as well as PA and DPA, which are catabolites of ABA, in both cultivars. Results show an increase in ABA and PA content during the early stages of dormancy, reaching their highest levels in October and December for 'Cristobalina' and 'Regina', respectively, which is approximately two months prior to dormancy release for both cultivars. This ABA peak is followed by a decrease in ABA levels, accompanied by increased levels of DPA, preceding dormancy release (Fig. **6**). ABA, PA and DPA levels detected during dormancy for the early cultivar 'Cristobalina' are significantly lower than for the late cultivar (Fig. **S5a**). We can therefore hypothesize that dormancy depth may be correlated with ABA contents in sweet cherry buds.

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379 Esterification of ABA with glucose was also monitored and the concentrations of ABA-GE were higher
380 in both cultivars compared with ABA and its conjugates. The ABA-GE content was constantly high in
381 'Regina' over the whole cycle, with a slight increase during endodormancy induction, while it markedly
382 decreased in 'Cristobalina' during ecodormancy (Fig. **6**, Fig. **S5a**). However, this observation could be
383 due to a low coverage of ecodormancy in the 'Regina' samples. Throughout pre-dormancy stages, ABA-
384 GE content was significantly higher in the early cultivar 'Cristobalina' compared to the late cultivar
385 'Regina' (Fig. **S5a**).

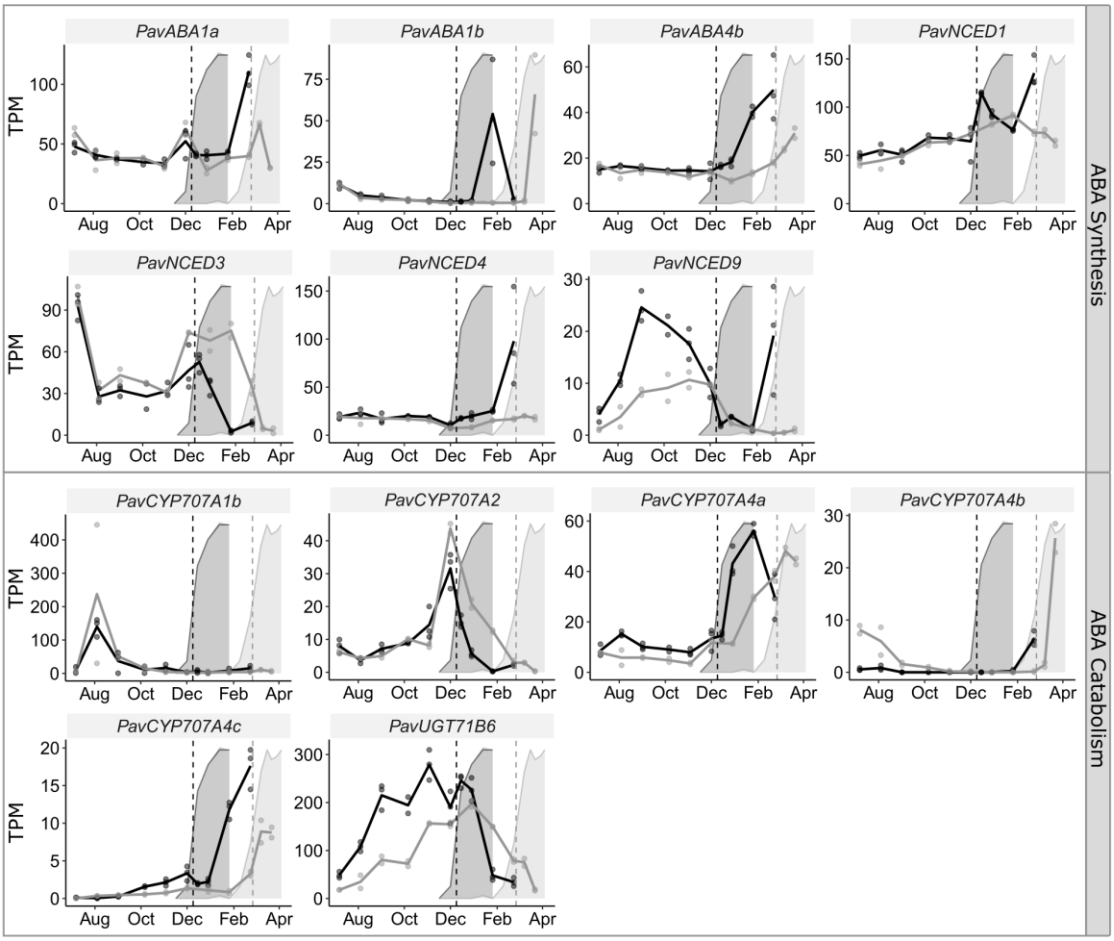

Figure 7 Transcriptional dynamics of genes associated with ABA biosynthesis and catabolism in the flower buds of two sweet cherry cultivars during bud development. Expression of specific genes are represented in TPM (Transcripts Per Million reads). Black: 'Cristobalina', grey: 'Regina'. Background areas correspond to the dormancy depth evaluated as the percentage of bud break under forcing conditions (see Fig. 2b). Dotted lines represent dormancy release. ABA: Abscisic acid; NCED: 9-cis epoxycarotenoid dioxygenase; UGT: UDP-GLYCOSYLTRANSFERASE.

386

387 **Analysis of genes involved in ABA pathway**

388 We further explored the genes involved in ABA synthesis and catabolism. Expression for genes involved
389 in the multiple ABA biosynthesis steps, *PavABA1a*, *PavABA1b*, *PavABA4b*, *PavNCED1* and
390 *PavNCED4*, is not correlated with ABA levels while expression patterns for *PavNCED3* and

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391 *PavNCED9* genes seem strongly linked to ABA contents and dormancy status (Fig. **7**). In particular,
392 *PavNCED9* expression shows a sharp increase during dormancy onset and a marked decay before
393 dormancy release. On the other hand, low ABA levels are associated with increased expression for ABA
394 catabolism genes *PavCYP707A1b* and *PavCYP707A4s* before and after dormancy respectively (Fig. **7**).
395 By contrast, *PavCYP707A2* is characterized by a sharp increase in December, followed by a marked
396 decrease during dormancy release in 'Cristobalina' but before induction of dormancy release in
397 'Regina'. In addition, we identified *PavUGT71B6* (Supporting Information Table **S2**), a sweet cherry
398 ortholog of the *Arabidopsis thaliana UDP-glycosyltransferase 71B6*, that preferentially glycosylates
399 ABA into ABA-GE (Priest et al., 2006). *PavUGT71B6* was considerably upregulated in the early
400 cultivar compared to the late cultivar, with a gradual increase between July and deep dormancy followed
401 by a decrease in expression during endodormancy release (Fig. **7**).

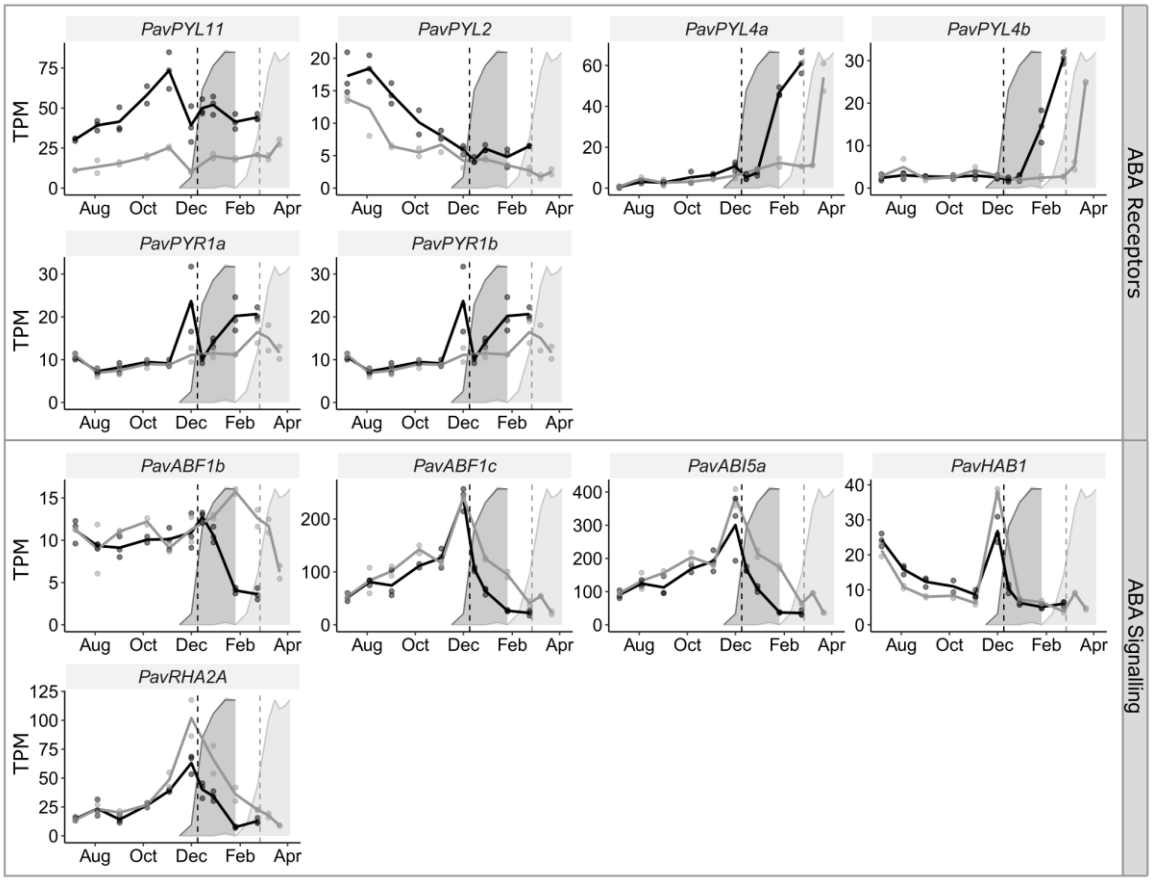

Figure 8 Transcriptional dynamics of genes associated with ABA signalling in the flower buds of two sweet cherry cultivars during bud development. Expression of specific genes are represented in TPM (Transcripts Per Million reads). Black: 'Cristobalina', grey: 'Regina'. Background areas correspond to the dormancy depth evaluated as the percentage of bud break under forcing conditions (see Fig. 2b). Dotted lines represent dormancy release. ABA: Abscisic acid; PYR: PYRABACTIN RESISTANCE; PYL: PYR-like; ABF: ABSCISIC ACID RESPONSIVE ELEMENTS-BINDING PROTEIN; ABI; ABA INSENSITIVE; HAB: homology to ABI2; RHA2A: RING-H2 A.

402

403 In addition, we examined sweet cherry gene predictions for genes involved in ABA signaling (Fig. **8**).
404 Among the eight ABA receptors *PYR/PYL* genes identified for sweet cherry (Table **S2**), six were

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differentially expressed during flower bud dormancy (Fig. **8**, Table **S3**). In particular, *PavPYL11* expression was correlated with ABA levels in 'Cristobalina', increasing after dormancy onset and decaying after dormancy release (Fig. **8**), but not in 'Regina'. However, for the *PavPYL2* and *PavPYL4a/b* genes, the expression was activated before and after endodormancy, respectively. In terms of ABA-mediated signals, differentially expressed ABA response genes, *PavABF1c*, *PavABI5a*, *PavHAB1* and *PavRHA2A*, had similar patterns with a sharp increase in expression in December and a marked inhibition after the peak (Fig. **8**).

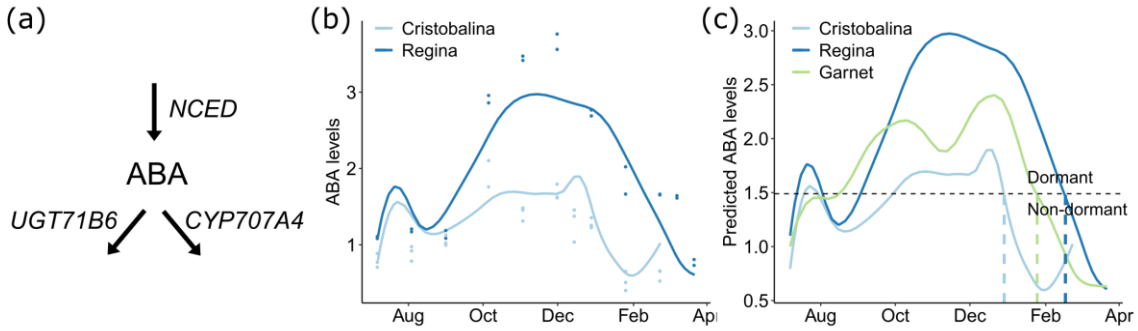

Figure 9 Modelling ABA. (**a**) Conceptual model used to simulate ABA content. ABA synthesis is controlled by PavNCED1, PavNCED3, PavNCED4 and PavNCED9 proteins; ABA is deactivated by 8'-hydroxylases PavCYP707A4 and PavUGT71B6. The assumption is that enzymatic activity is proportional to gene expression levels. (**b**) Simulated content of ABA using the model (lines) with means of data (circles) for cultivars 'Cristobalina' and 'Regina'. The best model was obtained for simulation with parameters: $p^{1,2}_{NCED1} = 0.000942, p^{1,2}_{NCED3} = 0.00073$, $p^{1,2}_{CYP707A1b}$= 0.000251, $p^{1,2}_{CYP707A4a}$= 0.002085, $p^{1,2}_{CYP707A4c}$= 0.001876 and $p^{1,2}_{UGT71B6}$= 0.0001043. (**c**) Simulated levels of ABA for cultivars 'Cristobalina' and 'Regina', that were used to calibrate the model, and for 'Garnet'. Arbitrary level of ABA set at 1.49 ng/mg (black dash line) is reached by 'Cristobalina', 'Garnet' and 'Regina' simulations on December 29th, January 25th and February 17th, respectively (colored dash lines). ABA: Abscisic acid; NCED: 9-cis epoxycarotenoid dioxygenase; UGT: UDP-GLYCOSYLTRANSFERASE.

Modelling suggests ABA levels control onset and duration of dormancy

Based on observations that ABA levels are correlated with dormancy depth, ABA content has been proposed as an indicator to assess dormancy status in sweet cherry (Chmielewski et al. 2017). We further investigated the dynamics of ABA biosynthesis and catabolism to estimate dormancy onset and duration. First, we assumed that ABA synthesis is mainly controlled by *PavNCED1*, *3*, *4* and 9 while ABA is converted to PA by 8'-hydroxylases *PavCYP707A* and esterified into ABA-GE by *PavUGT71B6* (Fig. **9a**). Since data for protein activity are not available, we used transcript levels as a proxy for enzymatic activity. We then used an Ordinary Differential Equation (ODE) approach to model how dormancy may be regulated by ABA levels. Among all tested gene combinations, the best model simulates ABA content based on the expression of only six genes, namely *PavNCED1*, *PavNCED3*, *PavCYP707A1b*, *PavCYP707A4a*, *PavCYP707A4c* and *PavUGT71B6* (Table **S4**, Fig. **S6**). The mathematical model, based on identical parameter set for both cultivars, shows a good fit to the data, with a global RMSE equal to 0.38 for all data, and 0.33 and 0.44 for 'Cristobalina' and 'Regina' respectively indicating that

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the differential in ABA levels between the two cultivars may be explained by the differences in gene expression of the relevant enzymes (Fig. **9b**). To validate the model, we simulated ABA levels for a third cultivar 'Garnet' that was examined along 'Cristobalina' and 'Regina'. Endodormancy was released on January 21st for 'Garnet', an early flowering cultivar. Based on expression data for *PavNCED1* and *3*, *PavCYP707A1b, PavCYP707A4a*, *PavCYP707A4c* and *PavUGT71B6* genes (Fig. **9**, Fig. **S7**), the model simulated ABA levels for 'Garnet' during dormancy (Fig. **9c**). Simulated ABA content for 'Garnet' increases during dormancy onset, reaches high values during endodormancy and decreases before dormancy release, later than for the very early cultivar 'Cristobalina', and earlier than for the late cultivar 'Regina'. Highest estimated levels for ABA are lower in 'Garnet' than in 'Regina' but higher than 'Cristobalina'.

Observed and simulated levels of endogenous ABA at the date of dormancy release show a good match for both 'Cristobalina' and 'Regina' cultivars (Fig. **6**, Fig. **9b**). ABA levels are low before dormancy onset but as they increase dormancy is triggered; high ABA levels maintain dormancy but they decrease under chilling temperatures and endodormancy is released as ABA content falls. Model simulations show a peak in July, which is missing from the measured ABA levels. The Garnet ABA simulated levels lie between those of 'Cristobalina' and 'Regina' levels, thus if ABA content is related to dormancy release, then our modelling predicts that under a chosen fixed threshold of ABA, Garnet dormancy release will occur between the other two release dates. For example, ABA simulated levels of 'Cristobalina', 'Regina' and 'Garnet' would fall below the average threshold of 1.49 ng/mg on December 29th, February 17th and January 25th, respectively. Choice of any other threshold during this period will result in the same date order. Such ordering is observed in our data for dormancy release (Fig. **2a**); 'Cristobalina' and 'Regina' release dates are December 9th and February 26th, while 'Garnet' release date is the January 29st; thus opening the possibility that there is a threshold for ABA concentration that determines the dormancy status. Since we can account for the dormancy behavior of different cultivars based on the expression of a small number of key genes regulating ABA levels, this underscores the central role of this phytohormone in the control of dormancy progression.

DISCUSSION

Sweet cherry specific GA signaling during bud dormancy

We have shown that GA_3 and GA_4 have significant dormancy alleviating effects in sweet cherry cultivars, similar to previous observations in hybrid aspen (*Populus tremula* × *Populus Tremuloides*; Rinne et al. 2011), Japanese apricot (*Prunus mume*; Zhuang et al. 2013) and peach (Donoho and Walker 1957), but opposed to null or inhibitory effects on dormancy release previously reported in hybrid aspen and grapevine (Rinne et al. 2011, Zheng, et al. 2018). In addition, high levels of GA_4 and GA_7 were detected in sweet cherry flower buds, similarly to results obtained in pear (Ito et al. 2019), whereas GA_1

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and GA_3 were undetectable in the samples, contradictory to the high GA_1 and GA_3 levels recorded in grapevine and Japanese apricot buds during dormancy (Zhang et al. 2018, Zheng et al. 2018). These observations are consistent with the hypothesis that GAs act in a complex manner, with differential effects depending on concentrations and developmental phases, as discussed in Zheng et al. (Zheng et al. 2018). In addition, GA effects might be species or even cultivar-specific, with complex interactions with environmental factors, such as temperature or photoperiod. Further investigation on the regulation of the GA-related pathway during dormancy in sweet cherry, under contrasted environmental conditions, will bring key elements to the current hypotheses. Furthermore, given the complexity of GA quantification, it is possible that key GA dynamics might have been missed in the present study and therefore, expression of GA-pathway-related genes might be more reliable. Here, based on our results, we propose our hypotheses on GA signaling involving different transcriptional cascades during sweet cherry flower bud dormancy:

i) During predormancy stages (July to September), GA_4 and GA_7 content progressively increases, associated with an increase in *PavGA20ox1c* expression, and the activation of GA signaling, as revealed by the increased expression of GA-response genes *PavGASA2*, *6*, *b*, *c* and *d*. Enhanced GA content potentially triggers the expression of *PavGA2ox8c* to catalyze GA deactivation as part of the feedback regulation (Yamaguchi 2008). In Arabidopsis, proteins GASA4 and GASA6 are involved in flower development and cell elongation, respectively, in response to GA signaling (Roxrud et al. 2007, Zhong et al. 2015) therefore we can hypothesize that gibberellin signaling, mostly driven by *PavGA20ox1c* in this phase may control flower bud organogenesis and development.

ii) After endodormancy is induced, there is a marked increase in GA_4 and GA_7 contents. Gibberellin homeostasis seems to be actively controlled during endodormancy through enhanced expression of biosynthesis gene *PavGA3ox4* and deactivation genes *PavGA2ox8b* and *PavGA2ox8c*. Consistently, the absence of paclobutrazol effect on bud break suggest that GAs are synthesized prior to dormancy release. Interestingly, previous studies have shown that cellular transport is blocked during dormancy (Rinne et al. 2011, Tylewicz et al. 2018) and we can therefore hypothesize that although GAs may be present in the bud, their growth-promoting effect is physically inhibited. In agreement with acute GA deactivation, only one GA-response gene is activated during this phase, *PavGASA1a*, thus suggesting a very specific response. Expression for the five GA-related genes up-regulated during endodormancy sharply decrease during dormancy release, thus supporting the hypothesis that this is an endodormancy-specific regulation.

iii) As observed in the early cultivar ‘Cristobalina’, levels for GA_4 and GA_7 decrease during ecodormancy, associated with low, if not null, expression for *PavGA20ox1c* and *PavGA3ox4* synthesis genes, as well as *PavGA2ox8b*, *PavGA2ox8c*, *PavGA2ox* deactivation genes and *PavGID1B* receptor. Interestingly, the GA-response *PavGASA* genes and the DELLA gene *PavRGA1b* are markedly

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activated during ecodormancy, thus suggesting that GA-stimulated pathways are up-regulated. Indeed, despite decreasing GA_4 and GA_7 levels during ecodormancy, GA-response pathways seem to be mostly activated when *GA2ox* genes are down-regulated after dormancy release so further investigation on the control of GA deactivation during dormancy could unravel a potential regulation by cold accumulation. In addition, bioactive GAs, including GA_1 and GA_3, that were not detected in the current study might be key actors in the growth resumption stage of ecodormancy in sweet cherry. Acute GA response occurring during ecodormancy, including activation of *GASA* genes, was previously reported in grapevine (Zheng et al. 2018), oak (Ueno et al. 2013), pear (Yang et al. 2019) and Japanese apricot (Zhang et al. 2018). Despite the fact that DELLA genes' activity is mainly regulated by protein stability, the up-regulation of *PavRGA1b* is consistent with the GA response during ecodormancy, associated with a decrease in *PavGIDB* expression. These results suggest that the GA homeostasis, critical during active growth, is controlled in sweet cherry by the DELLA proteins, that target GA biosynthesis and receptor genes and impacts GA balance through a feedback regulation, as previously shown in Arabidopsis and rice (Gagne et al. 2002, Ueguchi-Tanaka et al. 2007, Zentella et al. 2007).

Dormancy depth is correlated with endogenous ABA content

Exogenous application of ABA on the sweet cherry dormant bud showed that buds at this specific stage were not affected by ABA treatment, in contrast to the observation that ABA treatment had a significant effect on grapevine bud break (Zheng et al. 2015). However, as shown by the high expression of genes involved in ABA degradation, including *PavCYP707A2*, high catabolism ability during endodormancy might have limited the effect of exogenous ABA. It might also suggest that ABA response is saturated in the context of very high ABA levels during endodormancy, therefore limiting the effect of additional ABA. Nevertheless, we observed that dormancy release was triggered in buds treated with fluridone that inhibits ABA biosynthesis, as previously reported in pear (Yang et al. 2020), thus suggesting that high ABA levels may act to maintain dormancy. This was further confirmed by the observed elevated ABA levels correlated with endodormancy in both cultivars, as well as the differences in ABA levels between the early and late flowering cultivars. Our results are consistent with the hypothesis that dormancy is triggered and maintained when ABA levels are above a threshold, taking into account the potential heterogeneity within a population of flower buds. Bud dormancy may subsequently be released if ABA levels fall below the threshold. Consequently, such a dormancy release threshold would be reached earlier in the season for early cultivars with less ABA (Wen et al. 2016) or when ABA levels are lower due to various environmental conditions (Chmielewski et al. 2017). Recent reports have indeed shown how low or high levels of ABA closely drive the depth of dormancy by controlling the blockage of cellular transports (Tylewicz et al. 2018, Singh et al. 2019).

We show that during the predormancy and dormancy onset stages, ABA levels are correlated with an increase in the expression of *PavNCED9*, then *PavNCED3* genes in both cultivars. Consistently with elevated ABA levels, genes encoding ABA receptors (*PavPYL12, 8*), as well as ABA response genes,

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are highly expressed during deep dormancy. One interesting result is that *PavNCED9* expression peak coincides with the highest ABA levels in October in 'Cristobalina' and in December in 'Regina', approximately two months before dormancy is released. This suggests that the deepest dormancy state might occur earlier in 'Cristobalina' than in 'Regina' and therefore question whether endodormancy is indeed induced simultaneously in both cultivars. Further physiological observations during the early stages of dormancy induction, including flower primordia developmental context (Fadón et al. 2018), could help better understanding the observed differences. Afterwards, genes involved in ABA degradation (*CYP707A*) are highly expressed during dormancy release and positively correlated with a decrease of ABA content. Similar results were reported in other *Prunus* species (Zhang et al. 2015, Wang et al. 2016, Tuan et al. 2017), thus supporting the hypothesis that ABA signaling pathways play a major role in the regulation of dormancy induction, maintenance and release.

Presently, a remaining question to elucidate is what drives the decrease in ABA levels around dormancy release. Which mechanisms are involved in the down-regulation of *PavNCEDs* and up-regulation of *PavCYP707As* through chill accumulation? Firstly, several reports indicate that ABA might regulate its own accumulation and high levels of ABA attained during endodormancy could up-regulate the expression of catabolic genes such as *PavCYP707A4*, leading to a global decrease in ABA content. Secondly, *DORMANCY-ASSOCIATED MADS-BOX* (*DAM*) genes have been strong candidates for a key role in dormancy promotion and maintenance (Rodriguez et al. 1994, Bielenberg et al. 2008). *DAM* genes are highly expressed during dormancy and their expression is inhibited by chill accumulation (Jiménez et al. 2010, Hao et al. 2015), but more interestingly, recent studies have highlighted the direct effect of *DAM* on the activation of ABA biosynthesis (Tuan et al. 2017, Yamane et al. 2019). Further *in vitro* assays suggest a potential regulation between CBF proteins and *DAM* promoters (Niu et al. 2015, Zhao et al. 2018), which could suggest that when *CBF* genes are transiently activated in response to low temperatures, they modulate the expression of *DAM* genes that subsequently upregulate *NCED* genes and ABA biosynthesis in the first stages of dormancy. However, this may not explain the inhibiting effect of prolonged cold temperatures on *DAM* genes expression and ABA levels. Subsequently, more similarly to mechanisms controlling vernalization in annual plants (Horvath 2009), chill accumulation may induce chromatin modifications that silences *DAM* genes (Leida et al. 2012, Ríos et al. 2014, de la Fuente et al. 2015) and inhibits ABA production, consistently with decreasing ABA levels observed as soon as January. Interestingly, a recent study conducted in hybrid aspen shows that chilling and long photoperiods induces a decrease in ABA levels, which subsequently drives the decrease in *SHORT VEGETATIVE PHASE-LIKE* (*SVL*) expression (Singh et al. 2018, 2019). *SVL* shows similarity to *SHORT VEGETATIVE PHASE* (*SVP*) and *DAM* genes and this mechanism suggests that ABA might also act on the expression of *DAM* genes. Regulation of *DAM* and ABA pathways by long term cold temperatures should be further investigated to better understand how temperature variations control dormancy progression in sweet cherry flower buds.

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568 **A contrasted ABA synthesis and conjugation balance between early and late cultivars**

569 Overall, although the dynamics of expression for *PavNCED* and *PavCYP707A* genes effectively explain
570 the increasing and decreasing pattern of ABA levels between dormancy induction and release, we further
571 investigated whether they could account for the significant differences observed for ABA levels between
572 the two cultivars. Contrasted expression patterns for *PavNCED3*, *PavCYP7074a* and *PavCYP7074c*
573 were not sufficient to explain the noticeably contrasted ABA accumulation during endodormancy
574 between the two cultivars. Differences in ABA catabolites between cultivars were also observed. While
575 PA content followed the same pattern as ABA, DPA was particularly high during dormancy release,
576 inversely correlated with the decreasing ABA levels. Interestingly, Weng and colleagues (Weng et al.
577 2016) exposed the compensatory effect of PA under low ABA conditions, in which PA is recognized
578 by ABA receptors (PYL5 and 2) allowing a supplementary growth inhibition effect. In sweet cherry,
579 increased *PavCYP707A2* expression may explain the higher levels of PA during dormancy in 'Regina'
580 and might therefore result in even deeper dormancy by the inhibitory combination of ABA and PA.
581 Since free ABA levels may be controlled through conjugation as well (El Kayal et al. 2011, Chmielewski
582 et al. 2018, Liu and Sherif 2019), we investigated the conversion of ABA to ABA-GE and we found a
583 *PavUGT71B6* gene characterized by strikingly higher expression levels for the early cultivar compared
584 to the late cultivar. UGT71B6 orthologs in Arabidopsis and Adzuki bean act specifically for ABA
585 conjugation into ABA-GE (Xu et al. 2002, Priest et al. 2006), so up-regulation of *PavUGT71B6*
586 expression in 'Cristobalina' during dormancy may explain the higher content of ABA-GE. We can
587 therefore hypothesize that the low ABA content in the early cultivar may be due to active catabolism of
588 ABA to ABA-GE.

589 **Towards new phenology approaches based on molecular mechanisms**

590 Following our observations that ABA levels were correlated with dormancy status and that dynamics of
591 expression for ABA synthesis and catabolism may explain the differences observed between cultivars,
592 we have successfully modeled ABA content and dormancy behavior in three cultivars exhibiting
593 contrasted dormancy release dates. Indeed, ABA had been proposed as an indicator for dormancy release
594 in sweet cherry (Chmielewski et al. 2017) but to our knowledge, this is the first attempt to simulate
595 dormancy onset and duration using molecular data. Only a small number of key genes regulating ABA
596 were sufficient to account for all variations in ABA levels and dormancy progression overtime and
597 between cultivars. However, although we could verify the model on dormancy release dates in one
598 independent cultivar, additional experiments with precise ABA levels evaluation will be necessary to
599 validate the actual prediction of ABA levels based on gene expression associated with the dormancy
600 status in 'Garnet'. In addition, previous analyses have shown that ABA levels are highly variables
601 between years (Chmielewski et al. 2017) therefore further analyses are needed to explore and validate
602 the current model.

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603 Antagonistic actions of ABA and GA have been extensively studied in seed dormancy (Shu et al. 2018)
604 and the ABA/GA ratio is often proposed as a determinant factor in the control of rest and growth
605 responses, including dormancy release (Zhang et al. 2018). Therefore, integrating GA signaling into the
606 bud dormancy model might be necessary to better account for the regulation of dormancy release. For
607 example, it is possible that high GA levels around dormancy release play a role by overcoming the ABA-
608 dependent growth inhibition. Interaction between GA and ABA pathways might also be critical in the
609 response to environmental conditions during dormancy, including intertwined regulations of hormone
610 biosynthesis (Shu et al. 2013, Yue et al. 2017). This was applied in a very innovative model for seed
611 germination based on the endogenous hormone integration system (Topham et al. 2017). The hormonal
612 balance between GA and ABA is regulated by endogenous and environmental signals towards the
613 developmental switch that triggers termination of dormancy and germination. Accordingly, ON/OFF
614 systems, like dormancy or flower initiation, can be modelled as developmental switches triggered in
615 response to quantitative inputs after a threshold has been reached (Wilczek et al. 2009, Donohue et al.
616 2015, Bassel 2016). In our current model, we propose a first step for mechanistic modelling of dormancy
617 onset and release based on expression data and ABA quantification. The next steps, in addition to the
618 integration of GA signaling and its crosstalk with ABA, will be to provide information on temperature-
619 mediated control of the regulatory cascades. Recent research led on Arabidopsis, allowed by high-
620 throughput sequencing techniques, has hastened the pace for the incorporation of molecular data into
621 phenology models (Satake et al. 2013, Kudoh 2016, Antoniou-Kourounioti et al. 2018, Nishio et al.
622 2020), thus opening new roads for perennial studies.

623 **DATA AVAILABILITY**

624 RNA-seq data: Gene Expression Omnibus GSE130426

625 TPM data for the 81 genes analyzed in this work are available for all samples in the **supplementary**
626 **datafile**

627

628 **SUPPLEMENTARY DATA**

629 **Figure S1** Chilling and dormancy status during the treatments with exogenous hormones and antagonist
630 on sweet cherry cultivar 'Fertard'

631 **Figure S2** Coverage of the mapped reads for each base of the sequences of ABA-related genes for the
632 three sweet cherry cultivars

633 **Figure S3** Coverage of the mapped reads for each base of the sequences of GA-related genes for the
634 three sweet cherry cultivars

635 **Figure S4** Effect of GAs, ABA and their inhibitor on the bud break percentage under forcing conditions

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Figure S5 Concentration ratio of ABA, ABA conjugates and GAs levels between 'Regina' and 'Cristobalina'

Figure S6 ABA levels predictions for the best models using different sets of biosynthesis and catabolism genes

Figure S7 Transcriptional dynamics of genes involved in ABA synthesis and degradation in the flower buds of the sweet cherry cultivar 'Garnet'

Table S1 Description of the flower bud samples used for hormone quantification and RNA-seq and total number of mapped reads for RNA-seq data

Table S2 Details of the genes related to ABA and GA pathways analyzed in the project

Table S3 Differentially expressed genes

Table S4 Parameters and residual sum of squares for the best models corresponding to the different gene sets tested to predict abscisic acid levels

Supplementary Data File Expression data in transcripts per million reads (TPM) for the genes analyzed in the project

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AUTHORS' CONTRIBUTION

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667 BW, SC, ED and PAW designed the original research. NV produced and analyzed the transcriptional
668 data under the supervision of SC. RB conducted the analysis on exogenous application. LLD provided
669 the sweet cherry genome on which ASLD performed the mapping and count analysis of RNA-seq data.
670 AS and NV performed the phytohormones extraction and quantification. MA, FJ and JCY supervised
671 the phytohormones analyses. NV and BW wrote the manuscript with the assistance of all the authors.

672

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