Trait-based approach to bacterial growth efficiency

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ABSTRACT

14   Bacterial growth efficiency (BGE) is the proportion of assimilated carbon that is converted into

biomass and reflects the balance between growth and energetic demands. Often measured as an

16   aggregate property of the community, BGE is highly variable within and across ecosystems. To

understand this variation, we used a trait-based approach with 20 bacterial isolates enriched from

18   lake communities to determine how consumer and resource identity affect BGE. We used

phenotypic and genomic approaches to characterize the metabolic physiology of each isolate and

20   test for predicted trade-offs between growth rate and efficiency. Across resource types, 20 % of

the variation in BGE could be attributed to the coarse-scale taxonomic resolution of the isolate,

22   while 58 % of the variation could be explained by isolate identity. Resource identity explained a

relatively small amount of variation (7 %) in BGE across isolates but accounted for > 60 % of

24   the variation within an isolate alone. Metabolic trade-offs and genomic features associated with

BGE suggest that BGE is a species trait, which regardless of resource environment, contributes

26   to variation in BGE. Genomic and phylogenetic information from microbiomes may help predict

aggregate community functions such as BGE to better understand the fate of organic matter

28   resources in ecosystems.

INTRODUCTION

32   In most ecosystems, heterotrophic bacteria play a pivotal role in determining whether organic

carbon is respired and thus lost as CO_2 or is instead converted into biomass and retained in food

34   webs (Pomeroy et al. 1998; Ducklow 2008). Many factors control how bacteria process carbon,

but perhaps the most important is reflected in measurements of bacterial growth efficiency

36   (BGE). BGE is the proportion of assimilated organic carbon that is converted into bacterial

biomass (del Giorgio and Cole 1998). When BGE is high, more carbon is turned into biomass

38   where it can be retained for longer periods of time while also serving as a source of energy for

other members of the food web. In contrast, when BGE is low, microbially assimilated carbon

40   has a shorter residence time and is released back to the environment as CO_2.

When measured at the community scale, BGE is notoriously variable among habitats and

42   has proven difficult to predict (del Giorgio and Cole 1998). While it can be influenced by a range

of chemical and physical properties (Apple and del Giorgio 2007; Hall and Cotner 2007; del

44   Giorgio and Newell 2012; Sinsabaugh et al. 2013), variation in BGE may also reflect taxon-

specific differences in microbial metabolism. BGE may vary among microbial taxa for a number

46   of reasons. For example, BGE results from the physiological balance between cellular growth

and energetic demands. As such, bacterial growth strategy is predicted to constrain BGE via

48   physiological trade-offs (Litchman et al. 2015). For example, it has been hypothesized that

oligotrophs have higher maximum growth efficiency than copiotrophs (Roller and Schmidt

50   2015). In addition, consumers that specialize on only a few resources are predicted to be more

efficient at using those resources than more generalist consumers (Dykhuizen and Davies 1980;

52   Glasser 1984). As such, consumer properties such as maximum growth rate and the number of

resources used (i.e., niche breadth) could underlie species-specific differences in BGE.

54   Variation in BGE may also be influenced by the resources used to meet energetic and

growth demands. For example, different resources can affect ATP yield depending on the

56   metabolic pathways that are used by a bacterial population (Fuhrer et al. 2005; Flamholz et al.

2013). Also, it is important to recognize that the energy-producing catabolic processes and

58   biomass-producing anabolic processes are not independent (Russell and Cook 1995). For

example, cells have the potential to produce >30 ATP from a single glucose molecule if the

60   molecule is completely oxidized, but in this scenario, there would be no remaining glucose to

yield new biomass. Instead, to build new biomass, cells must use the intermediate products of

62   glycolysis to form proteins and other cellular material, which diminishes the maximum ATP

yield (Gottschalk 1986). Furthermore, biomass production requires materials (e.g., intermediates

64   of Krebs cycle) and energy. For example, the synthesis of proteins, which constitute ~70% of

cellular dry mass, requires amino acid building blocks and 4 ATP per peptide bond (Tempest and

66   Neijssel 1984; Gottschalk 1986). Therefore, because resources differ in their potential energy

yield and bacteria differ in their ability to extract energy and form biomass from a given

68   resource, BGE should vary based on the resources available to bacteria.

        In this study we measured BGE in a set of bacterial isolates growing with only a single

70   carbon source. In addition to partitioning variation in BGE based on consumer and resource

identity, we tested for hypothesized trade-offs between BGE, growth rate, and niche breadth.

72   Using genomes of each isolate, we evaluated whether metabolic pathways could explain

differences in BGE among our diverse collection of aquatic bacteria. Last, to test if resources

74   have different effects on the metabolic traits that underlie BGE (production and respiration), we

tested if there were relationships between respiration and production rate when isolates were

76   grown on each resource and if the relationships were unique to each resource. Our trait-based

approach provides a framework for understanding linkages between community structure and

78   function due to the physiological constraints on BGE and suggest that large changes in

community composition or available resources may alter BGE in predictive ways.

METHODS

82   **Bacterial Strains** — Using a novel cultivation approach, we isolated 20 bacterial strains from

lakes in the Huron Mountain Research Preserve (Powell, MI, USA) by incubating inert carbon

84   beads (Bio-Sep Beads) in the water column for one week. Prior to the incubations, the beads

were saturated with a sterile complex carbon substrate, i.e., Super Hume (CropMaster, United

86   Agricultural Services of America, Lake Panasoffkee, Florida, USA). Super Hume is a lignin-rich

resource comprising 17 % humic and 13 % fulvic acids, and has been shown to be an analog of

88   terrestrial DOC in aquatic ecosystems that can be used by diverse bacteria (Lennon et al. 2013).

We used this enrichment technique to select for bacteria with a range of metabolic potential

90   (Ghosh et al. 2009). After the incubation, beads were rolled on R2 agar plates (BD Difco, Sparks

Maryland, USA) and incubated at 25 °C. We picked random colonies from plates and serially

92   transferred until axenic. All strains were preserved in 25 % glycerol at -80 ºC.

We identified each bacterial strain by direct sequencing the 16S rRNA gene. We obtained

94   genomic DNA from log phase cultures using the FastPrep DNA extraction kit according to the

manufacturer's specifications (MP Biomedical). We used 10 ng of genomic DNA to amplify the

96   16S rRNA gene using the 27F and 1492R bacterial primers (See Supplemental for primer

sequences and PCR conditions). We sequenced the PCR products at the Indiana Molecular

98   Biology Institute (IMBI) at Indiana University (Bloomington, Indiana, USA). Raw sequence

reads were quality-trimmed based on a Phred quality score of 25. Forward and reverse reads

100   were manually merged after aligning sequences to the Ribosomal Database Project reference

alignment (Cole et al. 2009) using mothur (Schloss et al. 2009). After merging into full length

102   16S rRNA sequences, alignments were checked using ARB (Ludwig et al. 2004) and sequences

were compared to the Silva All-Species Living Tree Project database (Yilmaz et al. 2014) for

104   taxonomic identification (Fig. 1).

106   **Bacterial Growth Efficiency** — To test for differences in bacterial growth efficiency (BGE)

across our isolates, we measured BGE for each isolate when cultured on one of three different

108   carbon substrates: glucose, succinate, or protocatechuate (Fig. 2). These carbon sources were

chosen based on differences in their bioavailability and structure but also the required pathways

110   for metabolism (see Fig. 2).

To determine BGE we measured bacterial respiration and production rates and then

112   calculated BGE as BP/(BP = BR), where BP is bacterial productivity and BR is bacterial

respiration (del Giorgio and Cole 1998). BP and BR were measured using triplicate cultures of

114   each isolate. Cultures of each isolate were grown in R2 broth (BD Difco, Sparks Maryland,

USA) until mid-log phase. We then transferred 100 µL of culture into 10 mL of M9 with the

116   appropriate carbon source (25 mM C) and allowed 24 h for the cultures to acclimate. We then

transferred 100 µL of culture into 10 mL of fresh carbon-amended M9 and incubated 1-3 h to

118   replenish nutrients. Using these transfers, we were able to establish populations of each isolate at

target cell densities between 10^4 and 10^5 cells mL^{-1}. We used the populations to measure BP and

120   BR, which were normalized to cell density using plate counts of colony forming units. We

measured BP using the ^{3}H-Leucine assay (Smith and Azam 1992) with 1.5 mL of culture. We

122   added ^{3}H-Leucine to a final concentration of 50 mM and incubated for 1 h. Following

incubation, we terminated production with trichloroacetic acid (final concentration 3 mM) and

124   measured leucine incorporation using a liquid scintillation counter. We measured BR using an

automated O_2 measurement system (PreSens Sensor Dish System, PreSens, Regensburg,

126   Germany) on 5 mL of culture based on the rate O_2 consumption during three-hour incubations.

We estimated BR as the slope of O_2 concentration during the incubation using linear regression.

128   We used theoretical respiratory quotients for each resource to convert O_2 depletion into C

respiration assuming aerobic growth.

Taxonomic and Phylogenetic Relationships — We compared differences in BGE across

132   isolates and resources using linear models. First, we used a taxonomic framework to compare

BGE between isolates (Lennon et al. 2012). Isolates were classified into taxonomic groups based

134   on the species tree constructed in ARB. We then used mixed linear models to compare BGE

across taxonomic groups and resources. To test the hypothesis that taxonomy (i.e., at the class

136   level) affects BGE, we nested resources within isolate. To test the hypothesis that resource

identity affects BGE, we nested isolates within resource. We identified the best statistical models

138   based on the variation explained (R^2) and AIC values. Second, we tested if phylogenetic

relationships between isolates explained differences in BGE across isolates. We created a

140   phylogenetic tree based on the full-length 16S rRNA gene sequences. We aligned sequences

using the SINA aligner (Pruesse et al. 2012) and checked alignments using ARB. We generated a

142   phylogenetic tree using the CIPRES science gateway (Miller et al. 2010). The phylogenetic tree

was created using RAxML-HPC v.8 on XSEDE based on the GTRCAT DNA model (Stamatakis

144   2006; Stamatakis et al. 2008). We created a consensus tree based on 1,000 bootstraps using

CONSENSE (Felsenstein 2008). We used Blomberg's K to compare trait variation across the

146   tree and test if phylogenetic relationships between isolates could explain differences in traits

(Blomberg et al. 2003). Blomberg's K is a test for phylogenetic signal that determines if trait

148   variation is better explained by phylogenetic relationships or Brownian motion. Last, to

determine if the distribution of BGE across isolates was unimodal, we used Hartigan's dip test

150   for unimodality (Hartigan and Hartigan 1985). Hartigan's dip test is used to determine if a

distribution is unimodal by testing the null hypothesis that there is a dip in the distribution. A

152   significant Hartigan's dip test would suggest that the distribution is unimodal. Alternatively, the

distribution has an internal "dip" (reported as D). All statistical tests were conducted in the R

154   statistical environment (R Core Team 2012). We used the nlme package (Pinheiro et al. 2016)

for the mixed linear models, the picante package (Kembel et al. 2015) for the phylogenetic

156   methods, and the diptest package (Maechler 2015) for Hartigan's dip test.

158   **Phenotypic Comparisons and Trade-offs** — To test the hypothesis that phenotypic differences

and physiological trade-offs underlie BGE variation, we compared the maximum growth rate

160   (µmax) and niche breadth of each isolate. First, to test whether BGE was affected by growth

strategy (i.e., copiotrophs vs.oligotrophs), we measured the maximum growth rate of each

162   isolate. Bacterial growth rates were measured based on changes in optical density during 18-h

incubations. Bacterial strains were grown in R2 broth in 48-well plates. We incubated plates with

164   continuous shaking and measured optical density every 15 min using a plate reader (BioTek

MX). Growth curves were analyzed by fitting a modified Gompertz growth model (Zwietering et

166   al. 1990; Lennon 2007) to the observed growth curves using maximum likelihood fitting. We

used the model fit as our estimate of μmax.

168   Second, to test whether BGE was affected by niche breadth, we generated carbon usage

profiles using BioLog EcoPlates^{TM} (Garland and Mills 1991). The EcoPlate is a phenotypic

170   profiling tool consisting of 31 unique carbon sources. In addition to the carbon source, each well

contains a tetrazolium dye, which in the presence of NADH will be reduced and change color.

172   We used this colorimetric assay to generate carbon usage profiles for each strain. We

standardized profiles for each strain by subtracting water blanks (average water blank + 1 SD),

174   and relativizing across substrates. Using these data, we calculated resource niche breadth using

Levin's Index (Colwell and Futuyma 1971).

Genomic Comparisons — To test the hypothesis that variation in metabolic pathways could

178   explain differences in BGE, we compared the genomes of each isolate. First, we determined the

metabolic pathways found in the genome of each isolate. We characterized each isolate using

180   whole genome sequencing. Genomic DNA libraries for each isolate were prepared using the

Illumina TruSeq DNA sample prep kit using an insert size of 250 base pairs (bp). Libraries were

182   sequenced on an Illimina HiSeq 2500 (Illumina, San Diego, GA) using 100-bp paired-end reads

at the Michigan State University Research Technology Support Facility. We processed raw

184   sequence reads (FASTQ) by removing the Illumina TruSeq adaptors using Cutadapt (Martin

2011), interleaving reads using Khmer (McDonald and Brown 2013), and quality-filtering based

186   on an average Phred score of 30 using the FASTX-toolkit (Hannon Lab 2010). Finally, we

normalized coverage to 25 based on a k-mer size of 25 using Khmer. We assembled the genomes

188   using Velvet (Zerbino and Birney 2008) after optimizing assembly parameters for each isolate

with Velvet Optimizer (Gladman and Seemann 2012). We annotated contigs larger than 200 bp

190   using Prokka (Seemann 2014), and predicted metabolic and physiological functions using

MAPLE with bidirectional best-hit matches (Takami et al. 2012). We identified functional

192   pathway based on the presence of intermediate genes within a pathway. We scored pathways as

functional if more than 80 % of the intermediate genes were recovered in the genomes.

194   To test the hypothesis that metabolic pathways affect BGE, we used multivariate methods

to compare the pathways of each isolate. First, we used PERMANOVA to determine if there

196   were differences in pathways associated with different levels of BGE. When significant

differences were found, we used indicator species analysis (Dufrene and Legendre 1997) to

198   determine which metabolic pathways contributed to group differences in BGE. Next, to

determine if metabolic pathways could explain differences in BGE within a group, we used

200   distance-based redundancy analysis (dbRDA) which is a multivariate technique that tests if a

quantitative predictor can explain differences in multivariate datasets (Legendre and Legendre

202   2012). Because we scored pathways as present or absent, metabolic distances between isolates

were calculated using the Jaccard Index. We tested for significance using a permutation test. If

204   the dbRDA model was significant, we used Spearman's rank-order correlation to test for

correlations between BGE and individual metabolic pathways. We used the vegan R package

206   (Oksanen et al. 2013) for multivariate analyses.

208   **Resource Effects** — To test the hypothesis that resources have different effects on components

of metabolism that affect BGE, we used a linear model to test for a relationship between BR and

210   BP. Because BP required energy through respiration, we used production rate as the dependent

variable and respiration rate as the independent variable. We used an indicator variable linear

212   regression to test for changes in BP rate due to BR. We included resource identity and group

(high- versus low-BGE) as the categorical predictors and BR as the continuous predictor

214   (Lennon and Pfaff 2005). In addition, we included all interactions terms. Respiration and

production rates were $\log_{10}$-transformed to meet model assumptions. Last, to determine if the

216   relationship between BR and BP rates was isometric (proportional scaling, slope = one) or

allometric (disproportional scaling, slope $\neq$ one), we used a one-sample t-test to determine if the

218   slope was different from one. All statistical tests were conducted in the R statistical environment.

220   **RESULTS**

Bacterial Growth Efficiency — Using measures of bacterial productivity (BP) and respiration

222   (BR), we calculated bacterial growth efficiency (BGE) on 20 isolates each on three resources:

glucose, succinate, and protocatechuate (Fig. 3). All isolates belonged to the Proteobacteria

224   phylum with representatives from the Alpha-, Beta-, and Gamma- Proteobacteria subphyla (Fig.

1). Based on mixed-effects linear models we found that isolate and resource identity explained a

226   substantial amount of variation in BGE. Across resources, isolate identity explained 58 % of the

variation in BGE, and 67 % of the variation within resource (AIC = -48), and the taxonomic

228   order of each isolate explained 20 % of the variation in BGE across resources, and 28 % of the

variation within resource (AIC = -94). Across isolates, resource identity only explained 7 % of

230   the variation in BGE across all isolates, but 63% of variation within isolate (AIC = -117).

Based on Blomberg's K, there was weak phylogenetic signal of BGE among our isolates.

232   We did not detect phylogenetic signal for BGE when isolates used succinate ($K < 0.001$, $p = 0.316$) or protocatechuate ($K < 0.001$, $p = 0.257$), but there was a significant phylogenetic signal

234   when isolates used glucose ($K < 0.001$, $p = 0.04$). Based on Hartigan's dip test, we found that there was a bimodal distribution of BGE among our isolates ($D = 0.07$, $p = 0.58$, Supp. Fig. 1).

236   Using this distribution, we split isolates into two groups, which we define as the "high BGE", and "low BGE" groups.

Phenotypic Comparisons — Using linear models, we found phenotypic differences between

isolates that were related to BGE. While there was no relationship between BGE and µmax ($F_{1,7}$ $= 0.51$, $r^2 = 0.06$, $p = 0.50$) in the low-BGE group of bacteria, we did identify a significant

negative relationship between BGE and µmax for the high-BGE group of bacteria ($F_{1,7} = 9.52$, r^2 $= 0.54$, $p = 0.015$), and BGE decreased 2.58 % for each per minute increase in µmax. In contrast

to our predictions, there was no relationship between niche breadth (Levin's Index) and BGE for the low-BGE group ($F_{1,7} = 1.47$, $r^2 = 0.17$, $p = 0.27$) or high-BGE group ($F_{1,7} = 0.92$, $r^2 = 0.11$,

$p = 0.37$).

Genomic Comparisons — We found genomic differences between isolates that were related to BGE. First, isolates in the high-BGE group had 13 % more metabolic pathways than isolates in

the low BGE-group ($m_{high} = 72$, $m_{low} = 64$, t-test: $t_{18} = -2.36$, $p = 0.03$). Second, the genomes of the low-BGE group and high-BGE group contained different metabolic pathways

(PERMANOVA, $R^2 = 0.14$, $p = 0.008$). Although we were unable to account for variation in the

metabolic pathways using BGE in the high BGE isolates (dbRDA: $F_{1,7} = 1.05$, $R^2 = 0.13$, $p =$

254   0.39), there was a marginally significant relationship between metabolic pathway composition

and BGE in isolates belonging to the low-BGE group ($F_{1,7} = 2.15$, $R^2 = 0.18$, $p = 0.08$) despite

256   the fact that correlations between individual metabolic pathways and BGE were weak (all rho

values $< |0.7|$).

Resource Effects — Indicator variable linear regression revealed a positive relationship between

260   respiration and production rates (Fig. 5, $F_{9,42} = 4.92$, $R^2 = 0.51$, $p < 0.001$) with there being a

higher y-intercept for the high-BGE group of isolates (Table 1). Resource identity had no effect

262   on the BR-BP relationship, but we did find a significant interaction between the resource

protocatechuate and group (Table 1). Last, we did not find evidence that the slope of the BR-BP

264   relationship was different from one ($t_{42} = -0.26$, $p = 0.79$) suggesting that the two measures of

bacterial metabolism scale proportionately with one another.

DISCUSSION

268   We measured bacterial growth efficiency (BGE) in 20 environmental bacterial isolates on three

resources that varied in their bioavailability, structure, and pathways required for metabolism.

270   While BGE varied among strains, phylogenetic relatedness did not statistically explain

differences in efficiency. Instead, a substantial amount (20 %) of the variation in BGE could be

272   explained by an isolate's taxonomic order while a much smaller amount of the variation (7 %)

could be attributed to resource identity (Fig. 3). We found evidence for a predicted trade-off

274   between maximum growth rate and BGE, but only on the most labile resource (glucose) (Fig. 3).

Even though we found that resource identity explained 63% of the variation in BGE within an

276   isolate, resource identity did not alter the relationship between respiration and production rate

observed across isolates (Fig. 5) suggesting that resource identity has a stronger effect on BGE

278   within a species than across species. Together, we propose that growth efficiency is a

physiological trait independent of resource identity, but resource characteristics may modify

280   species-specific physiological performances. We propose that taxonomic groups of bacteria may

have fundamentally different growth efficiencies such that changes in community composition

282   may alter the fate of carbon resources (i.e., biomass versus CO_2) within the ecosystem.

284   **Bacterial Growth Efficiency as a Trait**

Our results indicate that there are species-specific properties regulating BGE, which may be

286   conserved at higher taxonomic levels. This conclusion is consistent with the view that BGE

represents a complex bacterial trait (i.e., aggregate property of numerous cellular functions) with

288   ecological significance, and that different groups of bacteria have fundamentally different

strategies for carbon allocation. Our phylogenetic analyses suggest that BGE may be an over-

290   dispersed trait (at least with glucose) such that the efficiency of closely related bacteria may be

less similar than expected. One potential explanation for this pattern of over-dispersion is that

292   our culture collection lacked phylogenetic resolution within some of our taxonomic groups (e.g.,

Betaproteobacteria) or that the variation in BGE within a taxonomic group (e.g., order) may not

294   be the same across taxonomic groups. Alternatively, BGE may not be a phylogenetically

conserved trait. Though some traits such as phosphorus acquisition, photosynthesis, and

296   methanogenesis are phylogenetically conserved deep in the microbial tree of life (Martiny et al.

2006, 2013), others such as complex carbon metabolism are not (Zimmerman et al. 2013).

298 Therefore, it is possible that BGE may be similar to traits such as complex carbon metabolism

that are not deeply conserved, which appears to be common among complex traits (Martiny et al.

300 2015). Regardless, our data reveal that BGE is a complex bacterial trait that is influenced by

taxonomic affiliation. As such, it may be possible to make predictions about BGE and other

302 ecosystem functions given information about composition of resident microbiomes (Goberna and

Verdú 2016).

Bacterial Growth Efficiency on Different Resources

306 Differences in resource complexity and the metabolic pathways required for degradation may

explain species-specific differences in BGE due to resource identity. Within an isolate, resource

308 identity accounted for 63 % of the variation in BGE. Given that different resources are processed

via different metabolic pathways, resource-based variation in BGE within a species is expected.

310 For example, BGE was higher when isolates were supplied with glucose compared to when they

were supplied with protocatechuate. Glucose is a simple sugar that is able to be metabolized by

312 numerous pathways and converted to acetyl-CoA (White et al. 2012). Protocatechuate, on the

other hand, is a complex aromatic compound that requires a specific metabolic pathway to be

314 converted to acetyl-CoA. Furthermore, because protocatechuate is chemically more complex, it

requires more energy (i.e., ATP) to be degraded than more labile resources such as glucose

316 (Harwood and Parales 1996). Therefore, resource complexity and the metabolic pathways

required may explain the within-isolate variation in BGE. However, across isolates, we did not

318 find resource-specific differences in the relationship between respiration and production rate (Fig

5, Table 1). Such findings suggest that energetic demands required to use different resources may

320 also be species-specific trait. That is, the energetic demands for individual species may be highly

constrained and therefore not change much when growing on different resources. Together, these

322 findings suggest that the effect of resources on the efficiency of entire microbiomes may depend

on the composition of bacteria consuming those resources.

Bacterial Growth Efficiency Groups

326 Across all isolates, we found a bimodal distribution of BGE suggesting that there were two

distinct groups with contrasting efficiencies. One group had low BGE (<5 %) across all

328 treatments, and the other group ranged in BGE from 7-30 % (Fig. 4 & 5). Although, the range of

BGE measured across isolates is similar to the range observed in many ecosystems (del Giorgio

330 and Cole 1998), our results suggest that some species of bacteria grow relatively inefficiently,

irrespective of resource quality. One explanation is that the minimum cellular energetic demand

332 (i.e., cellular maintenance costs) is higher in some bacteria than others (Russell and Cook 1995).

Furthermore, energetic demand may be higher when bacteria are grown in minimal media where

334 they must produce all cellular components from a single carbon resource (Tao et al. 1999).

Alternatively, nutrient concentrations (e.g., phosphorus) and other physical properties (e.g.,

336 temperature) may regulate efficiency (Smith and Prairie 2004) and the effects of these properties

may be species-specific. As such, it is possible that maintenance costs, resource imbalances, and

338 the physical growth conditions affected BGE of our isolates. Differences in low-BGE and high-

BGE isolates was also reflection in genomic content, including the number and presence-absence

340 of metabolic pathways. Together, these findings suggest that there are fundamental differences

between bacterial species that determine BGE, which can be predicted based on genomic

342   content.

344   **Physiological Trade-Offs**

We found evidence to support a predicted trade-off between maximum growth rate and BGE

346   (Fig. 4), which is predicted across microbial and non-microbial systems (Glasser 1984; Roller

and Schmidt 2015). Theoretical models of microbial communities predict a rate-efficiency trade-

348   off (Allison 2014), and this trade-off has been observed across microbial taxa (Lipson 2015).

Physiologically, the trade-off is based on allocation constraints imposed by the balance between

350   energy requirements and biomass yield: organisms with higher maximum growth rates may have

more energetic requirements and thus lower BGE (Russell and Baldwin 1979; Russell and Cook

352   1995). Furthermore, processes that limit respiration, such as oxygen availability, have been

shown to suppress bacterial growth rate (Meyenburg and Andersen 1980). Therefore, respiration

354   rate is likely a major control on biomass production and BGE. Consistent with this, we observed

a power-law relationship between respiration and production rates (Fig. 5; Table 1).

356   Furthermore, this relationship between respiration and production and the non-zero intercept

suggest that there is a minimum respiration rate required before any biomass can be produced,

358   which is commonly interpreted as the cellular maintenance requirement. Therefore, it is possible

that the maintenance energy demand of bacterial species explains the physiological trade-off

360   between maximum growth rate and growth efficiency.

Theory also predicts a trade-off between resource niche-breadth and growth efficiency

362   (Glasser 1984). This trade-off is based on the assumption that there is an energetic cost to

maintaining numerous metabolic pathways (Johnson et al. 2012). As such, species with more

364   metabolic pathways should have more energetic requirements and thus lower BGE; although, the

effects of genome reduction has been debated (Giovannoni et al. 2005; Livermore et al. 2014). In

366   this study, we did not find evidence of a trade-off between resource niche breadth and BGE (Fig.

4). One possible explanation is that the resources used in our phenotypic assay (i.e. Ecolog

368   plates) did not reflect the full metabolic potential of our isolates. Alternatively, there may not be

a strong trade-off between niche breadth and efficiency, but further experiments with additional

370   isolates and resources would be required to test this prediction more rigorously.

372   **Genomic Signatures**

In addition to the physiological differences documented among our isolates, we found genomic

374   evidence of metabolic pathways that are associated with BGE. Specifically, we found genomic

differences between isolates that belong to low-BGE and high-BGE groups. We discovered that

376   isolates in the high-BGE group had 13 % more metabolic pathways than the low-BGE group.

Furthermore, we identified particular pathways that were unique to each group (Table 2).

378   Together, our findings suggest that there are genomic features that may contribute to or regulate

BGE.

380   In general, the genomic composition of BGE groups appear to reflect differences in

cellular biosynthesis. It is possible that species with particular biosynthesis pathways may

382   generate essential cellular components with less energetic demand. For example, the low-BGE

isolates lacked some metabolic pathways, including pyridoxal biosynthesis and histidine

384   degradation, which were present in the high-BGE group. The pyridoxal biosynthesis pathway

produces vitamin B_6 from erythrose-4-phosphate (Mukherjee et al. 2011). Because vitamin B_6 is

386   essential for growth, the isolates lacking the pyridoxal pathway will use alternatives such as

uptake from the environment if they are auxotrophic (i.e., unable to synthesize) or other

388   synthesis pathways such as the Deoxyxylulose-5-phosphate synthase (DXS) pathway

(Mukherjee et al. 2011). However, the DXS pathway requires pyruvate (a precursor for Krebs

390   cycle) and thus may limit central metabolism and possibly lead to lower BGE. Likewise, the

histidine degradation pathway is used to breakdown histidine into ammonium and glutamate

392   (Bender 2012). Alternatively, glutamate can by synthesized from α-ketoglutarate; however,

because α-ketoglutarate is an intermediate component of Krebs cycle this may limit central

394   metabolism and possibly lead to reduced BGE.

396   **Conclusion**

At the cellular level, BGE reflects the balancing energetic and cellular growth demands. We find

398   evidence of this based on physiological trade-offs (i.e., maximum growth rate) as well as

metabolic pathways. As such, changes in community composition and resource availability have

400   to potential to alter food web and ecosystem function due to changes in BGE. For example,

communities dominated by species with low BGE should yield a net release of CO_2 from the

402   ecosystem. Alternatively, communities comprised of individuals with high BGE should yield a

net increase in ecosystem productivity. However, variation in BGE can arise within a species due

404   to the ways in which it processes different resources. Therefore, changes in the resource supply

will alter the performance of individual taxa, but we predict that these changes will not be as

406   strong as changes in BGE that arise owing to differences in community composition. A trait-

based approach can be used to provide a mechanistic link between the structure and function of

408   bacterial communities.

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568   **Table 1**: Indicator variable linear regression coefficients for model testing if respiration rate (i.e.,

BR), resource identity, and group (high BGE or low BGE) explain differences in production rate

570   (i.e. BP). Glucose and low BGE are used as baseline factors. Resp = respiration rate, Group =

high BGE group. Suc = succinate. Pro = protocatechuate. Model includes all two-way interaction

572   terms.

	Estimate	**Std. Error**	*t*-value	*p*-value
Intercept	-2.62	0.63	-4.13	0.0002
Resp	0.90	0.37	2.43	0.02
Suc	1.43	0.85	1.68	0.10
Pro	2.04	1.32	1.54	0.13
Group	1.86	0.77	2.42	0.02
Resp * Suc	-0.78	0.50	-1.57	0.12
Resp * Pro	0.52	0.62	-0.84	0.41
Resp * Group	0.18	0.49	0.36	0.72
Suc * Group	-0.45	0.70	-0.63	0.53
Pro * Group	-2.16	0.86	-2.51	0.02

576   **Table 2**: Genetic pathways unique to the high BGE isolates. Pathways are functional metabolic

pathways identified from genome sequencing and predicted using Maple. Prob. = probability

578   statistic from indicator species analysis: the probability that the "species" (i.e., pathway), is not

unique to the group.

Group	Prob.	Pathway	Reference Function
	0.01	M00124	Pyridoxal biosynthesis, erythrose-4P → pyridoxal-5P
High BGE	0.03	M00045	Histidine degradation, histidine → N-formiminoglutamate → glutamate
	0.03	M00565	Trehalose biosynthesis, D-glucose-1P → trehalose

584   **Fig. 1:** Maximum likelihood phylogenetic tree of lake bacterial isolates used to study BGE

variation. Nearest relatives and other type-strains are included as taxonomic references. Isolates

586   are organized by and labeled with taxonomic class. The outgroup (*Aquifex*) is included as the tree

root. Scale bar represents 0.01 base substitutions.

588   **Fig. 2:** Carbon resources used to study BGE variation in environmental isolates. **A**: Glucose –

the baseline resource used to compare BGE across isolates. Glucose can be degraded by the

590   Embden-Meyerhof-Parnas, pentose phosphate, or Entner-Doudoroff pathway. Ultimately, these

pathways produce pyruvate (and then acetyl-CoA), which enters Krebs cycle and is used to

592   produce energy and intermediate for biomass synthesis, when cells are grown aerobically.

Alternatively, glucose can be fermented into organic acids (e.g., lactate), but these reactions yield

594   less energy (Gottschalk 1986). **B**: Succinate – is a simple organic acid. Succinate is an

intermediate of Krebs cycle and thus it does not require previous degradation. Additionally,

596   succinate can be used directly to produce energy via succinate dehydrogenase (White et al.

2012). **C**: Protocatecuate – is a complex resource with a aromatic core. Typically, it is degraded

598   to acetyl-CoA and Succinyl-CoA via the β-ketoadipate pathway (Harwood and Parales 1996).

Protocatechuate is commonly used to study aromatic resource degradation in ecosystems, and the

600   β-ketoadipate pathway is commonly found in bacteria across the phylum Proteobacteria (Buchan

et al. 2000).

604   **Fig. 3:** Bacterial growth efficiency (BGE) of each isolate for each resource. BGE was calculated

based on measured production (BP) and respiration (BR) rates using the following equation:

606   BGE = BP / (BP + BR). Cladogram is based on the consensus phylogeny. Taxonomic class and

order are included based on the ribosomal database taxonomy: α = Alphaproteobacteria. β =

608   Betaproteobacteria, γ = Gammaproteobacteria, *Xan.* = Xanthomonadales, *Aero.* = Aeromondales,

Pseudo. = Pseudomondales.

    Fig. 4: Phenotypic traits associated with BGE. A: Maximum growth rate, a measure of growth

612   strategy, demonstrates a trade-off (negative relationship) with BGE in the high BGE group ($F_{1,7}$

= 9.52, r^2 = 0.54, p = 0.015), but not the low BGE group ($F_{1,7}$ = 0.51, r^2 = 0.06, p = 0.50). B:

614   Levin's Index, a measure of niche breadth, does not demonstrate a trade-off with BGE in either

the high or low BGE groups (high: $F_{1,7}$ = 0.92, r^2 = 0.11, p = 0.37; low: $F_{1,7}$ = 1.47, r^2 = 0.17, p =

616   0.27). High and low BGE groups were determined based on bimodal distribution of BGE.

618   **Fig. 5**: Relationship between respiration and production rates. Respiration and production rates

were compared using an indicator variable linear regression ($F_{9,42}$ = 4.92, R^2 = 0.51, p < 0.001).

620   According to the regression model, production rate increases with respiration rate proportionally

(i.e., slope not significantly different from one, t_{42} = -0.26, p = 0.79). In addition, group (high vs.

622   low BGE) was a significant factor and isolates in the high BGE group had a greater y-intercept

(p = 0.02). Symbols indicates isolate group (high and low BGE), and color indicates the resource

624   being used. Symbol size is scaled by growth efficiency.

 FIGURES

Fig. 1:

0.01

Fig. 2:

A	B	C
Glucose	Succinate	Protocatecuate

Fig. 3:

638 **Fig. 4:**

Fig. 5:

646