bioRxiv preprint doi: https://doi.org/10.1101/428433; this version posted September 26, 2018. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

1	
2 3	
4	Mitotic CDK promotes replisome disassembly, fork breakage, and
5	complex DNA rearrangements
6	
7	Lin Deng ^{1,2,3} , R. Alex. Wu ³ , Olga V. Kochenova ³ , David Pellman ^{1,2,4,5,6} , and
8	Johannes C. Walter ^{3,5,6}
9	
10	¹ Department of Pediatric Oncology, Dana-Farber Cancer Institute, Boston, MA 02215, USA
11	² Department of Cell Biology, Harvard Medical School, Boston, MA 02115, USA
12	³ Department of Biological Chemistry and Molecular Pharmacology, Harvard Medical School,
13	Boston, MA 02115, USA
14	⁴ Broad Institute of MIT and Harvard, Cambridge, MA 02142, USA
15	⁵ Howard Hughes Medical Institute, Boston, MA 02115, USA
16	⁶ These authors contributed equally to this work
17	
18	
19	
20	*Correspondence: David Pellman@dfci.harvard.edu (D.P.),
21	Johannes_Walter@hms.harvard.edu (J.C.W.)

bioRxiv preprint doi: https://doi.org/10.1101/428433; this version posted September 26, 2018. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

22 SUMMARY

DNA replication errors generate complex chromosomal rearrangements and thereby 23 contribute to tumorigenesis and other human diseases. Although the events that trigger 24 these errors are not well understood, one candidate is mitotic entry before the 25 completion of DNA replication. To address the impact of mitosis on DNA replication, we 26 employed Xenopus egg extracts. When mitotic CDK (Cyclin B1-CDK1) is used to drive 27 these extracts into mitosis, the E3 ubiquitin ligase TRAIP promotes ubiquitylation of the 28 replicative CMG (CDC45/MCM2-7/GINS) helicase at stalled forks and at forks that have 29 completed DNA synthesis. In both cases, ubiquitylation is followed by CMG extraction 30 from chromatin by the CDC48/p97 ATPase. At stalled forks, CMG removal results in 31 fork breakage and complex end joining events involving deletions and template-32 switching. Our results identify TRAIP-dependent replisome disassembly as a novel 33 trigger of replication fork collapse and propose it underlies complex DNA 34 35 rearrangements in mitosis.

36 HIGHLIGHTS

1. TRAIP-dependent MCM7 ubiquitylation removes all CMGs from chromatin in

38 mitosis

- 39 2. CMG unloading from stalled forks causes replication fork breakage
- 40 3. Replication fork breakage in mitosis causes complex rearrangements
- 4. New model of replication fork collapse

43 INTRODUCTION

Genome evolution occurs through the gradual accrual of genetic changes or in a saltatory manner, with bursts of chromosomal alterations originating from single catastrophic events (Holland and Cleveland, 2012; Leibowitz et al., 2015; Liu et al., 2011; Stephens et al., 2011). Many chromosomal alterations can be traced to DNA breaks that arise during DNA replication (Hills and Diffley, 2014; Mankouri et al., 2013; Techer et al., 2017). However, there is an ongoing debate about when and how replication fork breakage is triggered (Toledo et al., 2017).

51 In normal cells, multiple cell cycle regulatory controls and error correction mechanisms prevent DNA replication errors (Hills and Diffley, 2014). Cells prepare for 52 DNA replication in the G1 phase of the cell cycle, when pairs of MCM2-7 ATPases are 53 recruited to each origin ("licensing"). In S phase, cyclin-dependent kinase (CDK) 54 promotes the association of CDC45 and GINS with MCM2-7, leading to formation of the 55 replicative CMG helicase complex (CDC45-MCM2-7-GINS) ("initiation"). CMG 56 unwinding of the origin nucleates the assembly of two DNA replication forks that travel 57 away from the origin, copying DNA as they go ("elongation"). When converging forks 58 from adjacent origins meet, the replisome is disassembled ("termination"). Replisome 59 disassembly in S phase requires the E3 ubiquitin ligase CRL2^{Lrr1}, which ubiquitylates 60 the MCM7 subunit of CMG, leading to CMG's extraction from chromatin by the p97 61 62 ATPase (Dewar et al., 2017; Sonneville et al., 2017). In the absence of CRL2^{Lrr1}, CMGs persist on chromatin until mitosis, but are then removed by a secondary, p97-dependent 63 pathway that is controlled by an unknown E3 ubiquitin ligase (Sonneville et al., 2017). 64 65 Re-replication is inhibited because *de novo* licensing of origins is suppressed in the S

and G2 phases of the cell cycle. In summary, faithful DNA replication requires the
seamless integration of replication licensing, initiation, elongation, and termination.
Errors in the process are detected by the DNA damage response, which activates repair
mechanisms and prevents entry into mitosis in the setting of incomplete or abnormal
replication.

71 DNA replication forks become stressed in a variety of circumstances, including the activation of oncogenes, the presence of replication-blocking DNA lesions, and 72 nucleotide starvation (Cortez, 2015; Hills and Diffley, 2014; Saldivar et al., 2017). 73 Replication stress, especially when combined with inhibition of checkpoint kinases, can 74 cause replication fork "collapse", which is defined as an irreversible state from which 75 replication cannot be restarted (Cortez, 2015; Hills and Diffley, 2014; Pasero and 76 Vindigni, 2017; Saldivar et al., 2017). Numerous experiments in different eukaryotic 77 organisms indicated that fork collapse involves replisome disassembly (Cortez, 2015). 78 79 However, these studies did not determine which component(s), when lost, trigger collapse, and they did not establish a causal relationship between replisome 80 disassembly and collapse. More recent experiments suggest that fork collapse may not 81 82 involve replisome disassembly (Cortez, 2015; De Piccoli et al., 2012). In some cases, fork collapse is accompanied by breakage of DNA at the fork, but the relationship 83 84 between these two processes is unclear. In summary, there is little agreement on the 85 basic processes that underlie the irreversible inactivation of DNA replication forks.

In vertebrates, the checkpoint kinase ATR protects forks from collapse, but the underlying mechanism has also been vigorously debated (Toledo et al., 2017). A widespread view is that ATR promotes the phosphorylation of unspecified proteins at

forks to prevent their collapse (Cortez, 2015). Another model is that the key function of 89 ATR is to restrain cell cycle progression. One version of this idea is that in the absence 90 of ATR, excessive origin firing leads to exhaustion of the nuclear pool of RPA, followed 91 by fork breakage and replisome collapse (Toledo et al., 2013). Alternatively, ATR might 92 prevent fork collapse by restraining mitotic entry, which would delay the activation of 93 94 mitotic kinases such as PLK1 (Ragland et al., 2013). In agreement with the latter model, genetic studies suggest that, in mammals, restraining cell cycle progression is the 95 essential function of ATR (Ruiz et al., 2016). Mitotic kinases can induce fork breakage 96 by promoting the assembly of a MUS81-containing nuclease complex (Duda et al., 97 2016) or by triggering nuclear envelope breakdown, which grants the normally 98 cytoplasmic GEN1 nuclease access to replication forks (West and Chan, 2018). Thus, 99 there is no consensus on whether ATR prevents fork collapse primarily by local control 100 at the fork or via restraint of cell cycle progression. 101

Replication fork breakage is sometimes beneficial. A prominent example involves 102 commons fragile sites (CFS), genomic loci that replicate late in S phase and are difficult 103 to replicate because they contain few origins of replication and large genes with long 104 105 transcripts (Glover et al., 2017). Common fragile site "expression," the appearance of cytologically visible breaks and gaps, is promoted by low doses of aphidicolin because 106 107 this drug delays duplication of already late-replicating loci. CFS are also among the 108 most frequently rearranged loci in cancer genomes. In aphidicolin-treated cells, CFS colocalize with ultrafine DNA bridges that link the separating sister chromatids in 109 110 anaphase (Baumann et al., 2007; Chan et al., 2007). Depletion of MUS81 exacerbates 111 these segregation errors, inhibits CFS expression, and increases the frequency of

"53BP1 bodies" (Naim et al., 2013; Ying et al., 2013), structures thought to protect 112 damaged DNA at these sites in the ensuing interphase (Harrigan et al., 2011; Lukas et 113 al., 2011). Collectively, the data suggest that when cells enter mitosis before completion 114 of DNA replication at CFS, MUS81 promotes breakage of stalled replication forks. This 115 enables chromosome segregation, but comes with the risk of forming deletions and 116 117 other rearrangements. These findings indicate that CFS expression is beneficial. However, no model has emerged that explains how CFS expression avoids deleterious 118 outcomes such as the generation of acentric or iso-chromosomes. 119

Although breakage of a few stressed forks can be beneficial, concurrent 120 breakage of many forks is deleterious as it leads to catastrophic chromosomal 121 rearrangements. Several lines of evidence also implicate mitotic entry as one potential 122 cause of extensive fork breakage. Cell fusion experiments (Johnson and Rao, 1970) 123 and experiments on cells with micronuclei (Kato and Sandberg, 1968) showed that S 124 phase chromosomes undergo "pulverization" upon exposure to mitotic cytoplasm. 125 Although there was early disagreement about whether chromosome pulverization 126 reflects discontinuous condensation or actual DNA breakage (Rao et al., 1982), recent 127 128 work indicates that fragmentation does occur. First, premature mitotic entry triggered by inhibition of the WEE1 kinase causes extensive fork breakage in a manner that depends 129 upon SLX4 and MUS81 (Dominguez-Kelly et al., 2011; Duda et al., 2016). Second, 130 131 chromothripsis, a mutational process involving extensive chromosome fragmentation and rearrangement, may involve entry into mitosis of micronuclei undergoing DNA 132 133 replication (Crasta et al., 2012; Leibowitz et al., 2015). Extensive fork breakage during 134 mitosis is problematic as both homologous recombination and classical non-

homologous end joining are inactive during mitosis (Hustedt and Durocher, 2016). In
summary, it has become apparent that genome instability in a variety of contexts is
linked to replication fork breakage during mitosis. However, the general question of how
mitosis impacts the normal program of DNA replication remains poorly understood.

Here, we used *Xenopus* egg extracts to explore the relationship between DNA 139 140 replication and mitosis. We find that when extracts containing stressed replication forks are driven into mitosis with Cyclin B1-CDK1, the CMG helicase is ubiquitylated on its 141 MCM7 subunit and subsequently extracted from chromatin by the CDC48/p97 ATPase. 142 We show that the E3 ubiquitin ligase TRAIP is critical for this pathway. TRAIP-143 dependent CMG unloading leads to fork breakage, followed by end joining events that 144 likely involve DNA polymerase θ (Pol θ). Importantly, in the absence of CRL2^{Lrr1}, TRAIP 145 also promotes the removal of CMGs from replisomes that have undergone replication 146 termination, indicating that TRAIP clears the chromosomes of all CMGs in mitosis. 147 Together, our results identify CMG loss from the fork as a new mechanism of replication 148 fork collapse and ultimately fork breakage. We propose that breakage of a few 149 converging forks that have failed to complete DNA synthesis in mitosis helps to maintain 150 chromosome integrity whereas breakage of many forks leads to catastrophic 151 152 rearrangements.

153

154 **RESULTS**

155 Mitotic CDK triggers aberrant processing of stressed DNA replication forks

156 To examine the effect of mitotic CDK on DNA replication, we employed Xenopus egg extracts, which can be permanently arrested in states that mimic S phase or mitosis 157 while also allowing careful monitoring of DNA replication forks. To this end, plasmid 158 DNA was incubated in a high-speed supernatant (HSS) of Xenopus egg extract. HSS 159 promotes the assembly onto DNA of pre-replication complexes (pre-RCs) containing 160 double hexamers of the MCM2-7 ATPase (Figure 1A). The subsequent addition of a 161 nucleoplasmic extract (NPE) leads to the association of CDC45 and GINS with each 162 MCM2-7 hexamer to form two active CMG DNA helicases, which unwind DNA at the 163 164 fork, promoting a single, complete round of DNA replication (Figure 1B, lanes 1-6) (Walter et al., 1998). Because the mitotic CDK Cyclin B1-CDK1 (B1-CDK1) inhibits 165 licensing in egg extract (Hendrickson et al., 1996; Prokhorova et al., 2003), we added it 166 167 after pre-RC formation (Figure 1A) at a concentration that induces chromosome condensation (Figures S1A-S1C) and condensin recruitment (Figures S1D and S1E). 168 169 As we showed previously (Prokhorova et al., 2003), B1-CDK1 increased the rate of 170 DNA replication in NPE (Figure 1B, compare lanes 1-6 and 13-18). This acceleration was due in part to increased origin firing, as shown by enhanced CMG loading (Figure 171 S1F). However, in the absence of other perturbations, all replication products were open 172 173 circular or supercoiled species (Figure 1B, lanes 13-18), indicating that B1-CDK1induced chromatin condensation does not cause aberrant DNA replication. 174

Given the evidence that stressed DNA replication forks undergo breakage during mitosis (e.g. at common fragile sites, see introduction), we added a low concentration of

the replicative DNA polymerase inhibitor aphidicolin (APH; 2.2 µM) to slow fork 177 progression (Figure 1B, lanes 7-12). Intriguingly, the combination of B1-CDK1 and APH 178 (Figure 1B, lanes 19-24) led to the appearance of a new replication product that 179 migrated at the very top of the gel. This aberrant replication product (ARP) comprised 180 ~6% of total replication for a 3 kb plasmid and up to 30% for a 9 kb plasmid (data not 181 182 shown), presumably because the larger plasmid hosts more replication forks. The ARPs were not resolved by Topoisomerase I or Topoisomerase II treatment, indicating they 183 are not plasmid topoisomers (data not shown). Thus, in the presence of replication 184 stress, mitotic CDK induces aberrant DNA replication. 185

186 To mimic incomplete DNA replication in mitosis, as occurs at common fragile sites, we stalled replication forks on either side of defined replication fork barriers before 187 addition of B1-CDK1. First, we replicated a plasmid containing an array of 48 lacO sites 188 (p[*lacO*₄₈]) bound by the *lac* repressor (LacR) (Figure 1C). As expected (Dewar et al., 189 2015), replication forks stalled at the outer edges of the LacR array, generating a "theta" 190 (θ) structure (Figures 1C and 1D, lanes 11-15). Strikingly, in the presence of B1-CDK1, 191 the theta molecules disappeared and ARPs accumulated (Figure 1D, lanes 16-20). 192 193 ARPs were not generated when LacR-mediated fork stalling was prevented with IPTG 194 (Figure 1E), or in the presence of the CDK1 inhibitor (CDK1-i) RO-3306 (Figure S1G). Furthermore, the S-phase CDK, Cyclin E-CDK2, did not induce ARPs (data not shown). 195 196 Second, we induced replication fork stalling with covalent DNA-protein crosslinks 197 (DPCs). We replicated a plasmid substrate (pDPC), which contains two site-specific 198 DPCs on each leading strand template (Figure 1F). As expected (Duxin et al., 2014), in 199 the absence of B1-CDK1, replication of pDPC first yielded theta structures when forks

transiently paused at the DPC. Plasmids then resolved into open circular (OC) species
that persisted due to slow translesion synthesis past the peptide adduct generated by
DPC proteolysis (Figure 1F, upper arrow and Figure 1G, lanes 13-18). In the presence
of B1-CDK1, we again observed a substantial accumulation of ARPs (Figure 1G, lanes
19-24). In summary, mitotic CDK caused aberrant processing of replication forks stalled
by aphidicolin, non-covalent nucleoprotein complexes, and DPCs.

206

207 Mitotic processing of stalled replication forks leads to complex chromosomal 208 rearrangements

209 To determine the structure of mitotic ARPs, we replicated the 4.6 kb LacR plasmid in 210 the presence and absence of B1-CDK1 and digested the replication products with AlwNI 211 and AfIII, which cuts the plasmid into a 1.9 kb fragment and a 2.7 kb fragment encompassing the lacO repeats (Figure 2A). In the absence of B1-CDK1, fully 212 replicated 1.9 kb fragments quickly accumulated, whereas the rest of the plasmid 213 214 migrated as a double-Y structure that gradually increased in size due to slow progression of forks through the LacR array (Figure 2B, middle panel, lanes 1-7 and 215 Figure 2C; see also (Dewar et al., 2015) Figure S4). In the presence of B1-CDK1, the 216 217 1.9 kb fragment again accumulated quickly and persisted, demonstrating that this lacOfree region was replicated efficiently (Figure 2B, middle panel, lanes 8-14). However, 218 the double-Y structure containing the lacO array rapidly disappeared. Thus, in the 219 presence of B1-CDK1, DNA processing occurs specifically on molecules containing 220 stalled forks. 221

When the replication products were digested only with AlwNI, we observed B1-222 CDK1-dependent disappearance of the now larger double-Y structure (Figure 2B, 223 bottom panel, lanes 8-14). In addition, we detected a new series of species migrating 224 between ~3 and ~4 kb (Figure 2B, bottom panel; smear). We hypothesized that when 225 replication forks enter the array and slow down or stall, B1-CDK1 promotes their 226 227 collapse and breakage. The resulting double-strand breaks (DSBs) subsequently undergo joining with DSBs from broken forks on other plasmids, generating ARPs 228 (Figures 2C and S2A). If replication forks collapse at the outer edges of the array, the 229 size of the end joining product after AlwNI digestion is close to 3.1 kb because most of 230 the 1.5 kb lacO array is lost; collapse further into to the array generates larger products, 231 accounting for the 3-4 kb range of products observed (Figure S2B). To test this 232 hypothesis, the 3-4 kb species were cloned and sequenced using primers immediately 233 flanking the *lacO* array (Figure S2C). In contrast to control clones (generated from 234 replication in the absence of LacR), all of which contained 48 lacO repeats, the 24 235 clones from the 3-4 kb smear contained fewer than 48 lacO repeats (Figure 2D, 236 products a-n). This result confirms that replication forks collapsed within the *lacO* array 237 238 and then underwent end joining with loss of *lacO* repeats. Seventeen of these products (a-g) involved simple deletions of the *lacO* repeats. Repeats were mostly lost in blocks 239 of four *lacO* sites, the repeating unit within the *lacO* array that also contains four unique 240 241 spacer sequences. This suggests that the deletions might occur via single strand annealing (SSA) (Bhargava et al., 2016), which generates deletions between 242 homologous sequences of this length. The remaining 7 clones had complex 243 rearrangements, including insertions that likely arose from replication template-244

switching events (Figure 2D; product h-n). For example, product h appears to have arisen from fork collapse at the 5th repeat, followed by two successive microhomologymediated strand invasion and copying events, followed by joining to a second fork that broke at the 15th repeat (Figure 2E). Together, the sequencing data strongly suggest that stressed replication forks collapse in the presence of B1-CDK1, generating DSBs that subsequently undergo end joining (Figures 2C and S3A), sometimes after repeated template-switching (Figure 2E).

252

Immunodepletion of DNA Polθ reduces mitotic ARPs

254 We next addressed the mechanism of end joining after mitotic CDK-induced fork 255 collapse. As expected (Hustedt and Durocher, 2016; Peterson et al., 2011), RAD51, 256 which is essential for homologous recombination (HR), did not bind chromatin in the presence of B1-CDK1 (Figure S3A). Accordingly, immunodepletion of RAD51 from egg 257 extracts had no effect on B1-CDK1-induced ARP formation (Figures S3B and S3C), nor 258 259 did inhibition of RAD51 with a BRC peptide derived from BRCA2 (Figure S3D) (Long et al., 2011). Further, classical non-homologous end joining (NHEJ), which is also normally 260 inhibited during mitosis (Hustedt and Durocher, 2016), was not required for ARP 261 262 formation (Figure S3E). The structures of the mitotic ARPs (Figures 2C-2E) suggested that MMEJ (microhomology-mediated end joining, also called alternative end joining) 263 and/or SSA might be responsible for mitotic DSB repair. Indeed, immunodepletion of 264 DNA polymerase Pol0 (Figure 3A), a major mediator of MMEJ known to make errors 265 due to replicative template-switching (Wyatt et al., 2016), decreased ARPs during 266 replication of LacR plasmid (Figures 3B and S3F) and pDPC (Figures 3C and S3G). 267

Although we have so far not rescued this effect with purified Polθ protein, the involvement of Polθ is consistent with the nature of the end joining products shown in Figures 2D-2E. Thus, in mitotic extracts where HR and NHEJ are inactive, MMEJ appears to become a major pathway that mediates joining of DNA ends after fork breakage.

273

274 Chromatin condensation does not cause fork breakage

We next sought to address how mitotic CDK causes fork instability. Chromatin 275 condensation, a central event in mitosis, has long been proposed to cause DNA 276 damage in under-replicated regions (El Achkar et al., 2005; Lukas et al., 2011). We 277 therefore examined the role of chromatin condensation on mitotic fork collapse. 278 279 Although immunodepletion of the condensin subunit SMC2 inhibited B1-CDK1-induced chromosome condensation (Figures S4A-B), it did not affect the formation of ARPs 280 (Figures 4A and S4C). This finding is consistent with our results that condensin 281 282 recruitment did not induce DNA damage in the absence of replication stress (Figures 1B, 1D, 1G and S1C-S1E). These data indicate that chromatin condensation, per se, is 283 neither necessary nor sufficient for fork instability in mitotic egg extracts. 284

285

286 CMG unloading at stalled forks initiates mitotic fork breakage

When replication forks stall on either side of a DNA inter-strand crosslink (ICL) in interphase egg extracts, CMGs are ubiquitylated and unloaded from chromatin by the CDC48/p97 ATPase (Fullbright et al., 2016; Semlow et al., 2016). The loss of CMGs

from the stalled forks enables XPF-dependent ICL incision (Klein Douwel et al., 2014), which unhooks the lesion, leading to the formation of a double-stranded DNA break that is subsequently repaired via homologous recombination (Long et al., 2014). Inspired by this mechanism, we asked whether B1-CDK1-induced fork breakage at single stalled forks is caused by CMG unloading.

As shown previously (Dewar et al., 2015), CMGs that stalled at a LacR array did 295 296 not dissociate from chromatin in interphase extracts (Figure 4B, lane 1). Intriguingly, in the presence of B1-CDK1, CMGs were unloaded efficiently (Figure 4B, lane 5). Addition 297 of the p97 inhibitor NMS-873 (p97-i) prevented B1-CDK1-triggered CMG unloading and 298 299 revealed a ladder of MCM7 species (Figure 4B, lane 7, red bracket) that was collapsed by USP21, a non-specific deubiguitinating enzyme (Figure 4B, lane 8). Therefore, B1-300 CDK1 induces MCM7 ubiguitylation and CMG unloading from single stalled forks, in the 301 absence of replication fork convergence. Strikingly, p97-i suppressed the formation of 302 ARPs on the LacR plasmid (Figure 4C; see below for explanation of OC and SC product 303 formation), strongly suggesting that B1-CDK1-induced CMG unloading triggers 304 replication fork breakage. Consistent with this interpretation, CMG unloading normally 305 preceded replication fork breakage (Figure S4D). As seen for LacR plasmid, p97-i also 306 307 prevented ARP formation on pDPC (Figures 4D and S4E). Our data demonstrate that breakage of stalled DNA replication forks in the presence of mitotic CDK requires p97 308 activity, likely due to its role in CMG unloading. 309

310

311 **TRAIP promotes CMG unloading from stalled forks in mitosis**

We next sought to identify the E3 ubiguitin ligase that promotes MCM7 ubiguitylation in 312 mitosis. Although CRL2^{Lrr1} normally acts on CMGs that encircle dsDNA after passing 313 each other during replication termination (Dewar et al., 2017), it was possible that B1-314 CDK1 might target it to stalled CMGs that encircle ssDNA. However, while the Cullin 315 inhibitor MLN-4924 (Cul-i) blocked CMG unloading during replication termination in 316 317 interphase (Figure S5A, compare lanes 1 and 4), it had almost no effect on mitotic CMG unloading from stalled forks (Figure S5A, compare lanes 3 and 6), indicating the latter 318 process does not involve CRL2^{Lrr1}. Therefore, a Cullin-independent E3 ubiquitin ligase 319 is responsible for MCM7 ubiquitylation upon premature mitotic entry. 320

321 The E3 ubiguitin ligase TRAIP counteracts replication stress to maintain genome integrity (Feng et al., 2016; Harley et al., 2016; Hoffmann et al., 2016; Soo Lee et al., 322 2016), and we recently found that it is bound to replication forks that have stalled at a 323 LacR array (Dewar et al., 2017). We therefore asked whether TRAIP is responsible for 324 CMG unloading from stalled forks in mitosis. Strikingly, immunodepletion of TRAIP from 325 egg extract (Figure 5A) prevented B1-CDK1-induced CMG unloading at stalled forks 326 (Figure 5B, compare lanes 2 and 6), and it eliminated the polyubiquitylation of MCM7 327 328 detected in the presence of p97-i (Figure 5B, compare lanes 4 and 8). Furthermore, 329 TRAIP depletion abolished the formation of ARPs during replication of LacR plasmid (Figure 5C, compare lanes 7-12 and 19-24) and pDPC (Figure S5B). To determine 330 331 whether the effect of TRAIP depletion was specific, we purified recombinant wild type TRAIP protein (rTRAIP^{WT}) from bacteria (Wu et al., submitted). Addition of rTRAIP^{WT} to 332 TRAIP-depleted egg extracts rescued formation of mitotic ARPs (Figure 5D, compare 333 lanes 13-18 to 7-12; and Figures S5C-S5E). We also added back TRAIP carrying the 334

substitution R18C, which was identified in a human patient with primordial dwarfism
(Harley et al., 2016) and that severely reduces the E3 ligase activity of TRAIP (Wu et al.,
submitted). Unlike rTRAIP^{WT}, rTRAIP^{R18C} supported only low levels of ARP formation
on LacR plasmid (Figure 5D, compare lanes 19-24 to 13-18). We conclude that TRAIP
is essential for replication fork collapse at stalled forks in mitosis, most likely due to a
role in MCM7 ubiquitylation and p97-dependent CMG unloading.

To understand how TRAIP is regulated, we monitored its binding to chromatin. 341 As we showed previously (Dewar et al., 2017), in interphase egg extract TRAIP is 342 associated with replisomes that have stalled at a LacR array (Figure 5B, lane 1). 343 344 Therefore, TRAIP is present at forks before they are exposed to B1-CDK1. Upon addition of B1-CDK1, TRAIP was lost from the chromatin, but not when CMG unloading 345 was inhibited with p97-i (Figure 5B, compare lanes 2 and 4). Interestingly, chromatin-346 bound TRAIP did not increase in the presence of B1-CDK1 and p97-i compared to the 347 level observed before B1-CDK1 addition (Figure 5B, compare lanes 1 and 4). The data 348 suggest that TRAIP is normally part of the replisome and that it is activated by mitotic 349 CDK, whereupon it promotes MCM7 ubiguitylation and CMG unloading. 350

351

TRAIP promotes fork progression through a LacR array

As discussed above, p97-i not only prevented the collapse of LacR-stalled forks in mitotic extracts (Figure 4C), but also promoted the conversion of theta structures normally seen in interphase extract (Figure 4C, lanes 6-10) into mature replication products--open circular (OC) and supercoiled plasmid (SC) monomers (Figure 4C, lanes 16-20). Therefore, when CMG unloading is blocked in mitotic extracts, the

replisome progresses through the LacR array more efficiently than in interphase extract. 358 We wondered whether this enhanced fork progression depends on TRAIP. To this end, 359 we combined p97-i treatment with TRAIP depletion. In this setting, theta structures 360 accumulated, and the appearance of mature replication products was strongly reduced 361 (Figure 5E, compare lanes 7-12 and 19-24), indicating that TRAIP promotes efficient 362 replication fork progression through a LacR array. Thus, our data suggest that TRAIP-363 dependent CMG ubiquitylation not only promotes CMG unloading but also more efficient 364 disruption of replication barriers when CMG unloading is blocked. 365

366

Fork breakage in mitotic extracts is distinct from programmed incisions during ICL repair

369 The breakage of single stalled forks in mitotic extracts shown here is similar to the breakage of forks that have converged on cisplatin ICLs in interphase egg extracts in 370 that both events require TRAIP-dependent CMG unloading (Wu et al., submitted). We 371 therefore asked whether mitotic fork breakage also requires FANCI-FANCD2, XPF-372 ERCC1, or SLX1-SLX4, which promote DNA incisions during ICL repair. As shown in 373 Figures S5F and S5G, immunodepletion of FANCI-FANCD2 did not prevent mitotic ARP 374 375 formation on LacR plasmid, nor did depletion of SLX4, XPF, or MUS81 (data not shown). We speculate that there might be redundancy among SLX1, XPF, and MUS81 376 for mitotic fork breakage, or that other nucleases are involved. Our results indicate that 377 while ICL incisions and B1-CDK1-dependent replication fork collapse both require 378 TRAIP-dependent CMG unloading, these processes are otherwise mechanistically 379 distinct. 380

bioRxiv preprint doi: https://doi.org/10.1101/428433; this version posted September 26, 2018. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

381

TRAIP promotes CMG unloading from terminated replisomes in mitosis

383 In S phase, CMG unloading during replication termination requires the E3 ubiquitin ligase CRL2^{Lrr1}. However, in worms lacking CRL2^{Lrr1}, CMGs persist on chromatin until 384 late prophase, when they are unloaded from chromatin by p97 (Sonneville et al., 2017). 385 This observation indicates that an alternative ubiquitylation pathway acts to unload 386 terminated CMGs in mitosis, but the relevant E3 ubiquitin ligase has not been identified. 387 To determine whether TRAIP is involved in this pathway, we first addressed whether 388 Xenopus egg extracts recapitulate mitotic unloading of CMGs that have undergone 389 replication termination. To this end, we replicated a plasmid in interphase egg extracts 390 391 in the presence of Cullin inhibitor MLN-4924 (Cul-i). In this condition, DNA synthesis went to completion (Figure S6A), but CMG unloading was blocked due to inhibition of 392 CRL2^{Lrr1} (Figure 6A, compare lanes 1 and 2; (Dewar et al., 2017)). Importantly, upon 393 394 the addition of B1-CDK1, CMG was unloaded despite the presence of Cul-i (Figure 6A, lane 6), and this unloading was blocked by p97-i (Figure 6A, lane 8). Therefore, as seen 395 in worms, frog egg extracts support CRL2^{Lrr1}-independent CMG unloading in a mitotic 396 environment. Interestingly, in the presence of p97-i, MCM7 was ubiguitylated even more 397 extensively than in interphase extract (Figure 6A, compare lanes 7-8 and 3-4 and Figure 398 S6B, compare lanes 5-6 and 1-2). The hyper-ubiquitylation was insensitive to Cul-i 399 (Figure 6A, lane 8), consistent with it being CRL2^{Lrr1}-independent. Importantly, TRAIP 400 depletion inhibited B1-CDK1-induced CMG unloading from terminated forks (Figure 6B, 401 compare lanes 1 and 4 and Figure S6C, compare lanes 1 and 4) and MCM7 hyper-402 ubiquitylation (Figure 6B, compare lanes 2 and 5). These defects were reversed by 403

404 rTRAIP^{WT} but not rTRAIP^{R18C} (Figures 6B and S6C). Therefore, in the absence of 405 CRL2^{Lrr1} activity, TRAIP promotes an alternative pathway to unload terminated CMGs in 406 mitosis. Together, our results suggest that in mitosis, TRAIP removes all CMGs from 407 chromatin, whether they have terminated or stalled (Figure S6D), with the latter case 408 leading to fork breakage and complex end joining events (Figure 7).

410 **DISCUSSION**

Numerous lines of evidence indicate that when cells enter mitosis before DNA replication is complete, replication forks collapse and break. However, the mechanism of collapse and how it affects genome stability remain obscure. Our data provide direct evidence that TRAIP-dependent replisome disassembly causes fork breakage, and they suggest a new model for the avoidance of genome instability when cells enter mitosis with unreplicated DNA.

Our findings suggest that TRAIP promotes CMG unloading in diverse contexts. In 417 mitosis, TRAIP targets both stalled CMGs, which encircle ssDNA, and terminated 418 CMGs, which probably encircle dsDNA (Figure S6Di and ii; (Dewar et al., 2015)). We 419 propose that in the presence of mitotic CDK, TRAIP promotes the ubiquitylation and 420 unloading of all CMGs, regardless of their configuration on DNA. Interestingly, TRAIP 421 also functions in interphase: when two forks converge on an ICL, TRAIP is required to 422 ubiquitylate CMG, and ubiquitylated CMG in turn dictates the choice between two 423 mechanisms of ICL repair (Wu et al., submitted; Figure S6Diii). Although TRAIP 424 promotes CMG ubiquitylation in multiple contexts, it does not target CMGs that have 425 terminated in interphase, a function performed by CRL2^{Lrr1} (Figure S6Div; Dewar et al. 426 2017), nor does it appear to target CMG at single moving or stalled forks in interphase, 427 which would cause premature fork collapse. Thus, TRAIP appears to be more selective 428 429 in interphase than in mitosis, and future work will explore the basis of this difference.

There is currently no consensus on how replisome disassembly relates to replication fork collapse (Cortez, 2015; Toledo et al., 2017). *A priori*, the simplest mechanism of fork collapse would involve the loss of an essential replisome component

that cannot be reloaded in S phase. If such a component also protects the fork, its loss 433 would inevitably also cause breakage. MCM2-7 is the prime candidate, as it is the only 434 known replication factor that cannot be loaded *de novo* in S phase (Deegan and Diffley, 435 2016). Consistent with this idea, we find that unloading of CMG (whose core component 436 is MCM2-7) precedes fork breakage, and inhibition of CMG unloading via TRAIP 437 438 depletion or p97-i addition suppresses breakage. To rigorously determine whether MCM7 ubiguitylation is necessary to promote CMG unloading and breakage, it will be 439 important to mutate relevant ubiquitylation sites in MCM7. However, our mass 440 spectrometry analysis has not identified ubiquitylated lysine residues in MCM7 (data not 441 shown). Nevertheless, we provide the first evidence that CMG unloading is causally 442 linked to replication fork breakage. We propose that loss of CMG might represent a 443 common trigger of fork collapse that also leads to breakage due to exposure of the fork 444 to one or more nucleases. It will be interesting to determine how this pathway relates to 445 the loss of RPA at the fork, which has also been proposed to trigger fork collapse and 446 breakage (Toledo et al., 2013). 447

After stressed forks undergo breakage in mitotic extract, the newly formed DNA 448 449 ends undergo two classes of joining events, as revealed by DNA sequencing. The first class involves deletions of blocks of four *lacO* sites, the repeating unit within the *lacO* 450 array. These products are most readily explained by single-strand annealing, and they 451 452 are probably favored by the highly repetitive nature of the *lacO* array. SSA is usually RAD52 dependent (Bhargava et al., 2016), and RAD52 has recently been shown to 453 mediate DNA repair synthesis during mitosis (Bhowmick et al., 2016). However, we 454 455 have not been able to test the involvement of RAD52 due to an inability to raise useful

antibodies against Xenopus RAD52. The second class of end joining products involves 456 multiple template-switching events that are mediated by short stretches of micro-457 homology, indicative of DNA Pole-mediated DNA end joining (TMEJ (Wyatt et al., 458 2016)). Consistent with this idea, mitotic aberrant replication products were reduced in 459 Pol0-depleted extracts but not when homologous recombination (HR) or classical non-460 461 homologous end joining (NHEJ) was inhibited. Our observation that broken forks appear to be processed primarily by SSA and TMEJ is consistent with the finding that HR and 462 NHEJ are inhibited in mitosis (Figure S3A and (Hustedt and Durocher, 2016; Ochs et 463 al., 2016; Peterson et al., 2011)). Notably, we detected only short-tract template 464 switches typical of TMEJ. If, before end joining, template-switching events mediated by 465 Pol0 or other factors were followed by more processive DNA synthesis that is templated 466 near the break, duplications could result that resemble copy number alterations 467 observed in human cancer and congenital disease (Carvalho and Lupski, 2016; 468 Leibowitz et al., 2015). 469

When converging forks are unable to complete DNA replication by anaphase, as 470 seen at common fragile sites (CFS), chromosome non-disjunction and aneuploidy can 471 472 result. We identify two mechanisms by which TRAIP might help avoid this outcome. First TRAIP enhances CMG's ability to overcome replisome barriers (Figure 5E), 473 promoting the completion of replication before anaphase. Second, if the obstacle cannot 474 475 be overcome, the activation of TRAIP stimulates CMG unloading and fork breakage. We propose that breakage occurs preferentially on the two leading strand templates 476 because these are normally protected by CMG (Fu et al., 2011) and therefore exposed 477 478 after CMG dissociation (Figure S7). In this scenario, one intact daughter chromosome is

immediately restored by gap filling, and the other is regenerated via joining of the two 479 broken ends, albeit with sister chromatid exchange and at the cost of of a small deletion 480 (Figure S7, left branch). Importantly, this mechanism avoids the formation of acentric 481 and dicentric chromosomes that would result from random breakage of the forks (Figure 482 S7, right branch) and thus helps account for the fact that breakage at CFS is mostly 483 beneficial (Bhowmick and Hickson, 2017; Minocherhomji et al., 2015; Naim et al., 2013; 484 Ying et al., 2013). Strikingly, CFS expression induces chromosomal alterations that 485 exhibit key features expected of our model, including submicroscopic deletions covering 486 the CFS locus, microhomologies at the breakpoint junctions, and a very high frequency 487 of sister chromatid exchanges (Glover et al., 2017) (Figure S7, left branch). Unlike our 488 biased breakage and end joining model, break-induced replication models of CFS 489 expression (Bhowmick et al., 2016; Minocherhomji et al., 2015) do not readily account 490 for the high incidence of sister chromatid exchanges at CFS, and they would not be 491 beneficial at CFS located distant from chromosome ends. 492

We speculate that TRAIP-dependent CMG unloading contributes to other 493 genome instability phenomena that were previously linked to mitotic DNA replication. 494 495 These include: chromosome breakage that occurs when cells in the S and M phases are fused (Duelli et al., 2007; Johnson and Rao, 1970; Rao et al., 1982), or when mitotic 496 CDK is prematurely activated in S phase by WEE1 inhibition (Dominguez-Kelly et al., 497 498 2011; Duda et al., 2016); and chromothripsis in micronuclei that are still engaged in replication when they enter mitosis (Crasta et al., 2012; Leibowitz et al., 2015; Ly et al., 499 500 2017). In these cases, massive chromosomal breakage is deleterious as it leads to 501 genome instability or cell death. Notably, chromosome fragmentation in the presence of

WEE1 inhibitor and common fragile site expression are both MUS81-dependent (Dominguez-Kelly et al., 2011; Duda et al., 2016; Naim et al., 2013; Ying et al., 2013). In contrast, fork breakage in our experiments was not inhibited by MUS81 depletion. Whether this reflects a real difference in these processes, incomplete MUS81 depletion in extracts, or greater redundancy with other nucleases in extracts remains to be determined. It will be interesting to test the hypothesis that TRAIP underlies many different genome instability phenomena in mitosis.

We showed that in the absence of CRL2^{Lrr1} activity, TRAIP triggers the unloading 509 of terminated CMGs in mitosis. Therefore, TRAIP likely represents the activity that 510 removes CMGs from late prophase chromosomes in LRR-1-deficient worms (Sonneville 511 et al., 2017). Terminated CMGs that remain on chromatin probably encircle dsDNA 512 (Dewar et al., 2015) and thus may prevent strand separation during transcription or 513 replication in the next cell cycle. Thus, we propose that TRAIP-dependent unloading of 514 terminated CMGs that evaded the action of CRL2^{Lrr1} may also promote genome 515 maintenance. Whether the dwarfism phenotype observed in patients with hypomorphic 516 TRAIP mutations results from defective ICL repair (Wu et al., submitted), defective CMG 517 518 unloading from stalled forks in mitosis, persistence of a few terminated CMGs into the next cell cycle, or the absence of other TRAIP-dependent processes remains to be 519 established. 520

Although it had been widely thought that the checkpoint kinase ATR supports cell viability and suppression of replication fork collapse via phosphorylation of proteins at the fork, no ATR substrates have been identified that definitively validate this mechanism (Cortez, 2015; Pasero and Vindigni, 2017; Saldivar et al., 2017). An

alternative view is that the primary role of ATR in stabilizing forks is indirect (Toledo et 525 al., 2017). Thus, it has been proposed that ATR inhibition of late origin firing prevents 526 exhaustion of the nuclear RPA pool, causing fork deprotection and breakage (Toledo et 527 al., 2013). Another idea is that suppression of mitotic entry is the means by which ATR 528 stabilizes forks, including in the absence of exogenous replication stress (Eykelenboom 529 530 et al., 2013; Ragland et al., 2013; Ruiz et al., 2016). Consistent with the latter model, ATR is not required to stabilize stalled DNA replication forks in egg extracts that are 531 permanently arrested in interphase (Luciani et al., 2004). Moreover, we show that when 532 stressed forks are exposed to mitotic CDK, forks break, even without ATR inhibition or 533 RPA depletion. Collectively, our data are most consistent with the idea that there is no 534 intrinsic requirement for ATR in stabilizing forks, as long as these are not exposed to 535 mitotic CDK activity. It will be interesting to determine whether mitotic entry and RPA 536 exhaustion activate distinct programs of replication fork collapse and breakage. 537

In summary, our data suggest that when TRAIP becomes active in mitosis, a 538 short temporal window opens in which replication forks can overcome remaining 539 obstacles and terminate. The window closes when all CMGs are ubiquitylated and 540 541 extracted from chromatin. Normally, CMG removal and fork breakage promotes chromosome segregation and genome integrity, but when too many forks are present, 542 massive DNA fragmentation results, leading to cell death or transformation. Collectively, 543 544 our results suggest that TRAIP serves a crucial role in minimizing the conflict between incomplete DNA replication and mitosis. 545

- 546
- 547

bioRxiv preprint doi: https://doi.org/10.1101/428433; this version posted September 26, 2018. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

548 **ACKNOWLEDGMENTS**

We thank James Dewar, Emily Low, Justin Sparks, Kyle Vrtis, Daniel Finley, Puck 549 Knipscheer, and Jan-Michael Peters for experimental protocols or reagents. We thank 550 Alan D'Andrea, Randy King, Ralph Scully, Karim Labib, and members of the Pellman 551 and Walter laboratories for helpful discussion and critical reading of the manuscript. 552 R.A.W. was supported by postdoctoral fellowship 131415-PF-17-168-01-DMC from the 553 American Cancer Society. D.P. was supported by NIH grant CA213404. J.C.W. was 554 supported by NIH grants GM080676 and HL098316. D.P. and J.C.W. are investigators 555 of the Howard Hughes Medical Institute. 556

557

558 **AUTHOR CONTRIBUTIONS**

559 D.P. initiated the project. L.D., D.P., and J.C.W. designed the experiments, interpreted 560 the results, and prepared the manuscript. O.V.K. contributed Figures 6 and S6A-C; 561 R.A.W. contributed rTRAIP^{WT} and rTRAIP^{R18C} proteins; L.D. performed all other 562 experiments.

563

564 **DECLARATION OF INTERESTS**

565 The authors declare no competing interests.

566 **REFERENCES**

567 Baumann, C., Korner, R., Hofmann, K., and Nigg, E.A. (2007). PICH, a centromere-568 associated SNF2 family ATPase, is regulated by Plk1 and required for the spindle 569 checkpoint. Cell *128*, 101-114.

- 570 Bhargava, R., Onyango, D.O., and Stark, J.M. (2016). Regulation of Single-Strand 571 Annealing and its Role in Genome Maintenance. Trends Genet *32*, 566-575.
- 572 Bhowmick, R., and Hickson, I.D. (2017). The "enemies within": regions of the genome 573 that are inherently difficult to replicate. F1000Res *6*, 666.
- 574 Bhowmick, R., Minocherhomji, S., and Hickson, I.D. (2016). RAD52 Facilitates Mitotic 575 DNA Synthesis Following Replication Stress. Mol Cell *64*, 1117-1126.
- 576 Blow, J.J., and Laskey, R.A. (1986). Initiation of DNA replication in nuclei and purified 577 DNA by a cell-free extract of Xenopus eggs. Cell *47*, 577-587.
- 578 Budzowska, M., Graham, T.G., Sobeck, A., Waga, S., and Walter, J.C. (2015). 579 Regulation of the Rev1-pol zeta complex during bypass of a DNA interstrand cross-link. 580 EMBO J *34*, 1971-1985.
- 581 Carvalho, C.M., and Lupski, J.R. (2016). Mechanisms underlying structural variant 582 formation in genomic disorders. Nat Rev Genet *17*, 224-238.
- 583 Chan, K.L., North, P.S., and Hickson, I.D. (2007). BLM is required for faithful 584 chromosome segregation and its localization defines a class of ultrafine anaphase 585 bridges. EMBO J *26*, 3397-3409.
- 586 Cortez, D. (2015). Preventing replication fork collapse to maintain genome integrity. 587 DNA Repair (Amst) 32, 149-157.
- Crasta, K., Ganem, N.J., Dagher, R., Lantermann, A.B., Ivanova, E.V., Pan, Y., Nezi, L.,
 Protopopov, A., Chowdhury, D., and Pellman, D. (2012). DNA breaks and chromosome
 pulverization from errors in mitosis. Nature *482*, 53-58.
- 591 De Piccoli, G., Katou, Y., Itoh, T., Nakato, R., Shirahige, K., and Labib, K. (2012). 592 Replisome stability at defective DNA replication forks is independent of S phase 593 checkpoint kinases. Mol Cell *45*, 696-704.
- 594 Deegan, T.D., and Diffley, J.F. (2016). MCM: one ring to rule them all. Curr Opin Struct 595 Biol 37, 145-151.
- 596 Dewar, J.M., Budzowska, M., and Walter, J.C. (2015). The mechanism of DNA 597 replication termination in vertebrates. Nature *525*, 345-350.

598 Dewar, J.M., Low, E., Mann, M., Raschle, M., and Walter, J.C. (2017). CRL2Lrr1 599 promotes unloading of the vertebrate replisome from chromatin during replication 500 termination. Genes Dev *31*, 275-290.

Dominguez-Kelly, R., Martin, Y., Koundrioukoff, S., Tanenbaum, M.E., Smits, V.A., Medema, R.H., Debatisse, M., and Freire, R. (2011). Wee1 controls genomic stability during replication by regulating the Mus81-Eme1 endonuclease. J Cell Biol *194*, 567-579.

Duda, H., Arter, M., Gloggnitzer, J., Teloni, F., Wild, P., Blanco, M.G., Altmeyer, M., and
 Matos, J. (2016). A Mechanism for Controlled Breakage of Under-replicated
 Chromosomes during Mitosis. Dev Cell 39, 740-755.

Duelli, D.M., Padilla-Nash, H.M., Berman, D., Murphy, K.M., Ried, T., and Lazebnik, Y.
 (2007). A virus causes cancer by inducing massive chromosomal instability through cell
 fusion. Curr Biol *17*, 431-437.

⁶¹¹ Duxin, J.P., Dewar, J.M., Yardimci, H., and Walter, J.C. (2014). Repair of a DNA-protein ⁶¹² crosslink by replication-coupled proteolysis. Cell *159*, 346-357.

El Achkar, E., Gerbault-Seureau, M., Muleris, M., Dutrillaux, B., and Debatisse, M. (2005). Premature condensation induces breaks at the interface of early and late replicating chromosome bands bearing common fragile sites. Proc Natl Acad Sci U S A *102*, 18069-18074.

Eykelenboom, J.K., Harte, E.C., Canavan, L., Pastor-Peidro, A., Calvo-Asensio, I.,
 Llorens-Agost, M., and Lowndes, N.F. (2013). ATR activates the S-M checkpoint during
 unperturbed growth to ensure sufficient replication prior to mitotic onset. Cell Rep *5*,
 1095-1107.

Feng, W., Guo, Y., Huang, J., Deng, Y., Zang, J., and Huen, M.S. (2016). TRAIP regulates replication fork recovery and progression via PCNA. Cell Discov *2*, 16016.

Fu, Y.V., Yardimci, H., Long, D.T., Ho, T.V., Guainazzi, A., Bermudez, V.P., Hurwitz, J.,
van Oijen, A., Scharer, O.D., and Walter, J.C. (2011). Selective bypass of a lagging
strand roadblock by the eukaryotic replicative DNA helicase. Cell *146*, 931-941.

Fullbright, G., Rycenga, H.B., Gruber, J.D., and Long, D.T. (2016). p97 Promotes a
 Conserved Mechanism of Helicase Unloading during DNA Cross-Link Repair. Mol Cell
 Biol 36, 2983-2994.

Glover, T.W., Wilson, T.E., and Arlt, M.F. (2017). Fragile sites in cancer: more than meets the eye. Nat Rev Cancer *17*, 489-501.

Graham, T.G., Walter, J.C., and Loparo, J.J. (2016). Two-Stage Synapsis of DNA Ends during Non-homologous End Joining. Mol Cell *61*, 850-858. Harley, M.E., Murina, O., Leitch, A., Higgs, M.R., Bicknell, L.S., Yigit, G., Blackford, A.N.,

- Zlatanou, A., Mackenzie, K.J., Reddy, K., *et al.* (2016). TRAIP promotes DNA damage
 response during genome replication and is mutated in primordial dwarfism. Nat Genet
 48, 36-43.
- Harrigan, J.A., Belotserkovskaya, R., Coates, J., Dimitrova, D.S., Polo, S.E., Bradshaw,
 C.R., Fraser, P., and Jackson, S.P. (2011). Replication stress induces 53BP1containing OPT domains in G1 cells. J Cell Biol *193*, 97-108.
- Hendrickson, M., Madine, M., Dalton, S., and Gautier, J. (1996). Phosphorylation of
 MCM4 by cdc2 protein kinase inhibits the activity of the minichromosome maintenance
 complex. Proc Natl Acad Sci U S A 93, 12223-12228.
- Hills, S.A., and Diffley, J.F. (2014). DNA replication and oncogene-induced replicative
 stress. Curr Biol *24*, R435-444.
- Hoffmann, S., Smedegaard, S., Nakamura, K., Mortuza, G.B., Raschle, M., Ibanez de
 Opakua, A., Oka, Y., Feng, Y., Blanco, F.J., Mann, M., *et al.* (2016). TRAIP is a PCNAbinding ubiquitin ligase that protects genome stability after replication stress. J Cell Biol
 212, 63-75.
- 649 Holland, A.J., and Cleveland, D.W. (2012). Chromoanagenesis and cancer:
- 650 mechanisms and consequences of localized, complex chromosomal rearrangements. 651 Nat Med *18*, 1630-1638.
- Hustedt, N., and Durocher, D. (2016). The control of DNA repair by the cell cycle. Nat Cell Biol *19*, 1-9.
- Johnson, R.T., and Rao, P.N. (1970). Mammalian cell fusion: induction of premature chromosome condensation in interphase nuclei. Nature 226, 717-722.
- 656 Kato, H., and Sandberg, A.A. (1968). Chromosome pulverization in human cells with 657 micronuclei. J Natl Cancer Inst *40*, 165-179.
- Klein Douwel, D., Boonen, R.A., Long, D.T., Szypowska, A.A., Raschle, M., Walter, J.C.,
 and Knipscheer, P. (2014). XPF-ERCC1 acts in Unhooking DNA interstrand crosslinks
 in cooperation with FANCD2 and FANCP/SLX4. Mol Cell *54*, 460-471.
- Knipscheer, P., Raschle, M., Smogorzewska, A., Enoiu, M., Ho, T.V., Scharer, O.D.,
 Elledge, S.J., and Walter, J.C. (2009). The Fanconi anemia pathway promotes
 replication-dependent DNA interstrand cross-link repair. Science *326*, 1698-1701.
- Lebofsky, R., Takahashi, T., and Walter, J.C. (2009). DNA replication in nucleus-free Xenopus egg extracts. Methods Mol Biol *521*, 229-252.
- Leibowitz, M.L., Zhang, C.Z., and Pellman, D. (2015). Chromothripsis: A New Mechanism for Rapid Karyotype Evolution. Annu Rev Genet *49*, 183-211.

Liu, P., Erez, A., Nagamani, S.C., Dhar, S.U., Kolodziejska, K.E., Dharmadhikari, A.V., Cooper, M.L., Wiszniewska, J., Zhang, F., Withers, M.A., *et al.* (2011). Chromosome catastrophes involve replication mechanisms generating complex genomic rearrangements. Cell *146*, 889-903.

- Long, D.T., Joukov, V., Budzowska, M., and Walter, J.C. (2014). BRCA1 promotes unloading of the CMG helicase from a stalled DNA replication fork. Mol Cell *56*, 174-185.
- Long, D.T., Raschle, M., Joukov, V., and Walter, J.C. (2011). Mechanism of RAD51dependent DNA interstrand cross-link repair. Science 333, 84-87.
- Luciani, M.G., Oehlmann, M., and Blow, J.J. (2004). Characterization of a novel ATRdependent, Chk1-independent, intra-S-phase checkpoint that suppresses initiation of replication in Xenopus. J Cell Sci *117*, 6019-6030.
- Lukas, C., Savic, V., Bekker-Jensen, S., Doil, C., Neumann, B., Pedersen, R.S., Grofte, M., Chan, K.L., Hickson, I.D., Bartek, J., *et al.* (2011). 53BP1 nuclear bodies form around DNA lesions generated by mitotic transmission of chromosomes under replication stress. Nat Cell Biol *13*, 243-253.
- Ly, P., Teitz, L.S., Kim, D.H., Shoshani, O., Skaletsky, H., Fachinetti, D., Page, D.C., and Cleveland, D.W. (2017). Selective Y centromere inactivation triggers chromosome shattering in micronuclei and repair by non-homologous end joining. Nat Cell Biol *19*, 686 68-75.
- Mankouri, H.W., Huttner, D., and Hickson, I.D. (2013). How unfinished business from Sphase affects mitosis and beyond. EMBO J *32*, 2661-2671.

Minocherhomji, S., Ying, S., Bjerregaard, V.A., Bursomanno, S., Aleliunaite, A., Wu, W.,
Mankouri, H.W., Shen, H., Liu, Y., and Hickson, I.D. (2015). Replication stress activates
DNA repair synthesis in mitosis. Nature.

- Naim, V., Wilhelm, T., Debatisse, M., and Rosselli, F. (2013). ERCC1 and MUS81 EME1 promote sister chromatid separation by processing late replication intermediates
 at common fragile sites during mitosis. Nat Cell Biol *15*, 1008-1015.
- Ochs, F., Somyajit, K., Altmeyer, M., Rask, M.B., Lukas, J., and Lukas, C. (2016).
 53BP1 fosters fidelity of homology-directed DNA repair. Nat Struct Mol Biol 23, 714-721.
- Pasero, P., and Vindigni, A. (2017). Nucleases Acting at Stalled Forks: How to Reboot
 the Replication Program with a Few Shortcuts. Annu Rev Genet *51*, 477-499.
- Peterson, S.E., Li, Y., Chait, B.T., Gottesman, M.E., Baer, R., and Gautier, J. (2011). Cdk1 uncouples CtIP-dependent resection and Rad51 filament formation during M-
- 701 phase double-strand break repair. J Cell Biol *194*, 705-720.
- Prokhorova, T.A., Mowrer, K., Gilbert, C.H., and Walter, J.C. (2003). DNA replication of mitotic chromatin in Xenopus egg extracts. Proc Natl Acad Sci U S A *100*, 13241-13246.

Ragland, R.L., Patel, S., Rivard, R.S., Smith, K., Peters, A.A., Bielinsky, A.K., and Brown, E.J. (2013). RNF4 and PLK1 are required for replication fork collapse in ATR-

deficient cells. Genes Dev 27, 2259-2273.

Rao, P.N., Johnson, R.T., and Sperling, K. (1982). Premature Chromosome
 Condensation: Application in Basic, Clinical, and Mutation Research (New York,
 Academic Press).

Raschle, M., Knipscheer, P., Enoiu, M., Angelov, T., Sun, J., Griffith, J.D., Ellenberger,
T.E., Scharer, O.D., and Walter, J.C. (2008). Mechanism of replication-coupled DNA
interstrand crosslink repair. Cell *134*, 969-980.

Raschle, M., Smeenk, G., Hansen, R.K., Temu, T., Oka, Y., Hein, M.Y., Nagaraj, N.,
Long, D.T., Walter, J.C., Hofmann, K., *et al.* (2015). DNA repair. Proteomics reveals
dynamic assembly of repair complexes during bypass of DNA cross-links. Science *348*,
1253671.

Ruiz, S., Mayor-Ruiz, C., Lafarga, V., Murga, M., Vega-Sendino, M., Ortega, S., and
 Fernandez-Capetillo, O. (2016). A Genome-wide CRISPR Screen Identifies CDC25A as
 a Determinant of Sensitivity to ATR Inhibitors. Mol Cell *62*, 307-313.

Saldivar, J.C., Cortez, D., and Cimprich, K.A. (2017). The essential kinase ATR: ensuring faithful duplication of a challenging genome. Nat Rev Mol Cell Biol *18*, 622-636.

Semlow, D.R., Zhang, J., Budzowska, M., Drohat, A.C., and Walter, J.C. (2016).
Replication-Dependent Unhooking of DNA Interstrand Cross-Links by the NEIL3
Glycosylase. Cell *167*, 498-511 e414.

Sonneville, R., Moreno, S.P., Knebel, A., Johnson, C., Hastie, C.J., Gartner, A.,
Gambus, A., and Labib, K. (2017). CUL-2LRR-1 and UBXN-3 drive replisome
disassembly during DNA replication termination and mitosis. Nat Cell Biol *19*, 468-479.

Soo Lee, N., Jin Chung, H., Kim, H.J., Yun Lee, S., Ji, J.H., Seo, Y., Hun Han, S., Choi,
M., Yun, M., Lee, S.G., *et al.* (2016). TRAIP/RNF206 is required for recruitment of
RAP80 to sites of DNA damage. Nat Commun 7, 10463.

Stephens, P.J., Greenman, C.D., Fu, B., Yang, F., Bignell, G.R., Mudie, L.J., Pleasance,
E.D., Lau, K.W., Beare, D., Stebbings, L.A., *et al.* (2011). Massive genomic
rearrangement acquired in a single catastrophic event during cancer development. Cell
144, 27-40.

Techer, H., Koundrioukoff, S., Nicolas, A., and Debatisse, M. (2017). The impact of replication stress on replication dynamics and DNA damage in vertebrate cells. Nat Rev Genet *18*, 535-550.

Toledo, L., Neelsen, K.J., and Lukas, J. (2017). Replication Catastrophe: When a Checkpoint Fails because of Exhaustion. Mol Cell *66*, 735-749. Toledo, L.I., Altmeyer, M., Rask, M.B., Lukas, C., Larsen, D.H., Povlsen, L.K., Bekker-Jensen, S., Mailand, N., Bartek, J., and Lukas, J. (2013). ATR prohibits replication catastrophe by preventing global exhaustion of RPA. Cell *155*, 1088-1103.

Walter, J., and Newport, J. (2000). Initiation of eukaryotic DNA replication: origin
 unwinding and sequential chromatin association of Cdc45, RPA, and DNA polymerase
 alpha. Mol Cell *5*, 617-627.

- 746 Walter, J., Sun, L., and Newport, J. (1998). Regulated chromosomal DNA replication in 747 the absence of a nucleus. Mol Cell *1*, 519-529.
- West, S.C., and Chan, Y.W. (2018). Genome Instability as a Consequence of Defects in
 the Resolution of Recombination Intermediates. Cold Spring Harb Symp Quant Biol.
- 750 Wyatt, D.W., Feng, W., Conlin, M.P., Yousefzadeh, M.J., Roberts, S.A., Mieczkowski, P.,
- Wood, R.D., Gupta, G.P., and Ramsden, D.A. (2016). Essential Roles for Polymerase
- theta-Mediated End Joining in the Repair of Chromosome Breaks. Mol Cell 63, 662-673.
- Ying, S., Minocherhomji, S., Chan, K.L., Palmai-Pallag, T., Chu, W.K., Wass, T.,
 Mankouri, H.W., Liu, Y., and Hickson, I.D. (2013). MUS81 promotes common fragile site
 expression. Nat Cell Biol *15*, 1001-1007.

757 FIGURE LEGENDS

758 Figure 1. Mitotic CDK triggers aberrant processing of stalled DNA replication

759 forks in Xenopus egg extracts

- 760 (A) Schematic of experimental approach to test effect of B1-CDK1 on DNA replication.
- 761 APH, DNA polymerase inhibitor aphidicolin.
- 762 (B) A 3 kb pBlueScript plasmid was replicated according to (A) and products were
- real separated on a native agarose gel followed by autoradiography. Unless stated
- otherwise, the '0 minute' time point refers to NPE addition.
- 765 (**C**) Schematic of DNA replication for LacR-bound p[*lacO*₄₈] plasmid.
- (**D**) $p[lacO_{48}]$ was replicated according to (C) under the indicated conditions.
- (E) p[/acO₄₈] was replicated according to (C) in the presence of LacR and/or IPTG (10
- ⁷⁶⁸ mM, 15 min incubation in NPE before mixing with "licensing" mixture), as indicated.
- (F) Schematic of replication for pDPC, containing four 46 kDa M.Hpall DNA
 methyltransferases at the indicated positions. Products formed in the presence and
 absence of B1-CDK1 are indicated.
- (G) pControl or pDPC was replicated according to (F) using the indicated conditions.
- From (A) to (G), B1-CDK1 was added to "licensing" mixture at a concentration of 50
- ng/ μ L and its final concentration in the overall reaction is 16.7 ng/ μ L. RI, replication intermediate; OC, open circle; SC: supercoil; θ , theta structure; ARP, aberrant
- replication product.

See also Figure S1.

778

Figure 2. Mitotic processing of stalled replication forks leads to complex DNA
 rearrangements

(A) Structure of the 4.6 kb p[*lacO*₄₈] plasmid. Numbers mark the length of the indicated
 DNA segments in kilo-basepairs (kb).

(B) p[*lacO*₄₈] was replicated in the presence of Buffer or B1-CDK1. At the indicated time
 points, replication products were isolated and digested with AlwNI and AfIII, or AlwNI, as
 indicated. Numbers label the size of linear fragments in kb; Y, double-Y or single-Y
 structure (see panel C).

(C) Model explaining the restriction products observed in (B). Although the model favor
 the fork breakage on the leading strand, the possibility of fork breakage on the lagging
 strand has not been excluded. A more detailed model is in Figure S2A.

(D) The smear of ~3-4 kb mitotic DNA replication products generated after AlwNI digestion in (B) was self-ligated, cloned and sequenced. The controls are replication products of the same plasmid from a mitotic reaction lacking LacR. The *lacO* repeats, shown as white boxes, are separated by four unique spacers shown in different colors. Inset, DNA sequences of the *lacO* repeat and four spacers. The detailed structure of the entire *lacO* array is shown in Figure S2C.

(E) A model for the generation of product h in (D) from multiple template-switching
 events.

⁷⁹⁸ See also **Figure S2**.

799

Figure 3. Depletion of DNA polymerase θ disrupts the generation of aberrant
 replication product in mitosis

(A) Mock-depleted and Polθ-depleted *Xenopus* egg extracts were blotted for Polθ and
 MCM7, alongside a serial dilution of mock-depleted extracts. Asterisk, background
 band.

(B) LacR-bound p[*lacO*₄₈] was replicated in mock-depleted or Polθ-depleted extracts
 with or without B1-CDK1 treatment. Overall DNA replication and ARP were quantified in
 Figure S3F.

808 (C) pDPC was replicated in mock-depleted or Polθ-depleted egg extracts with or without

809 B1-CDK1 treatment. Overall DNA replication and ARP were quantified in Figure S3G.

In (B) and (C), OC, open circle; SC, supercoil; θ, theta structure; ARP, aberrant
 replication product.

- 812 See also Figure S3.
- 813

Figure 4. Mitotic replication fork collapse requires p97-dependent CMG unloading

(A) LacR-bound p[*lacO*₄₈] was replicated in mock-depleted or condensin SMC2 depleted extracts with or without B1-CDK1 treatment.

(B) LacR-bound p[*lacO*₄₈] plasmid was replicated and treated as schemed. Chromatinbound proteins were recovered and blotted with the indicated antibodies. Red bracket,
ubiquitylated MCM7. Histone H3 served as a loading control. Note that the MCM7
antibody cross-reacts with USP21.

(C) LacR-bound p[*lacO*₄₈] was replicated in the presence or absence of p97-i and B1 CDK1, as indicated.

(D) pDPC was replicated in the presence or absence of p97-i and B1-CDK1, as
 indicated. ARP, OC+SC and overall DNA replication were quantified in Figure S4E.

In (A), (C) and (D), OC, open circle; SC: supercoil; θ, theta structure; ARP, aberrant
 replication product.

- 827 See also Figure S4.
- 828

Figure 5. E3 ubiquitin ligase TRAIP promotes mitotic CMG unloading from a stalled replication fork

(A) Mock-depleted and TRAIP-depleted egg extracts were blotted for TRAIP and
 MCM7 alongside a serial dilution of mock-depleted extracts.

(B) LacR-bound p[*lacO*₄₈] plasmid was replicated in mock-depleted or TRAIP-depleted
 egg extracts and treated as schemed. Chromatin-bound proteins were recovered and
 blotted with the indicated antibodies.

(C) LacR-bound p[*lacO*₄₈] was replicated in mock-depleted or TRAIP-depleted extracts
 with or without B1-CDK1 treatment.

(D) LacR-bound p[*lacO*₄₈] was replicated in mitotic mock-depleted or TRAIP-depleted
egg extracts with or without recombinant rTRAIP^{WT} or rTRAIP^{R18C}, as indicated.
rTRAIP^{WT} and rTRAIP^{R18C} were added to NPE at a concentration of 21 ng/μL (~7-fold of
endogenous TRAIP, see assessment in Figure S5C). Matched buffer was added to
reactions without recombinant protein. Note that addition of rTRAIP^{WT} at endogenous
level into TRAIP-depleted extracts also strongly rescued mitotic ARPs, see Figures S5D
and S5E.

(E) LacR-bound p[*lacO*₄₈] was replicated in mock-depleted or TRAIP-depleted mitotic
 egg extracts with DMSO or p97-i treatment.

847 See also Figure S5.

bioRxiv preprint doi: https://doi.org/10.1101/428433; this version posted September 26, 2018. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

848

849 Figure 6. TRAIP mediates unloading of terminated CMGs in mitosis

(A) p[*lacO*₄₈] plasmid, in the absence of LacR, was replicated and treated as schemed.

851 Chromatin-bound proteins were recovered and blotted with the indicated antibodies.

Red brackets indicate the levels of MCM7 ubiquitylation.

(B) p[*lacO*₄₈] plasmid, in the absence of LacR, was replicated in mock-depleted or TRAIP-depleted egg extracts supplemented with or without rTRAIP^{WT} (~4-fold of endogenous TRAIP), or rTRAIP^{R18C} (~9-fold of endogenous TRAIP), followed by indicated treatments. Chromatin-bound proteins were recovered and blotted with the indicated antibodies. Red bracket indicate the level of MCM7 ubiquitylation.

858 See also Figure S6.

859

Figure 7. Model of CMG unloading, fork breakage and complex DNA rearrangements upon premature mitotic entry

When a replication fork encounters a replication barrier (indicated as a red hexagonal 862 STOP sign), the replisome containing CMG and TRAIP is stably stalled during 863 864 interphase. Upon mitotic entry, E3 ubiquitin ligase TRAIP is activated (directly or indirectly) to cause CMG ubiquitylation on MCM7 subunit, which in turn triggers CMG 865 extraction/unloading from chromatin by CDC48/p97 ATPase. Loss of CMG leads to 866 867 incision by so far unknown DNA nuclease(s), followed by error-prone DSB repair by MMEJ and/or SSA, which results in complex DNA rearrangements such as deletions 868 and insertions from template-switching events. 869

870

871

bioRxiv preprint doi: https://doi.org/10.1101/428433; this version posted September 26, 2018. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

872 **METHODS**

No statistical methods were used to predetermine sample size. All experiments were performed at least twice independently using separate preparations of *Xenopus* egg extracts. A representative result is shown.

876

Protein purification. To purify biotinylated LacR, the LacR-Avi expressing plasmid 877 pET11a[LacR-Avi] (Avidity, Denver, CO) and biotin ligase expressing plasmid pBirAcm 878 (Avidity, Denver, CO) were co-transformed into T7 Express cells (New England 879 Biolabs). Cultures were supplemented with 50 mM biotin (Research Organics, 880 Cleveland, OH). Expression of LacR-Avi and the biotin ligase was induced by addition 881 of IPTG (Isopropyl β-D-thiogalactoside, Sigma, St. Louis, MO) to a final concentration of 882 1 mM. Biotinylated LacR-Avi was then purified as described (Dewar et al., 2015). BRC 883 (a ~35 amino acid peptide derived from BRCA2 that binds RAD51) and BRC*** (BRC 884 peptide with mutations at RAD51 binding sites), a gift of K. Vrtis, were purified as 885 reported (Long et al., 2011). rTRAIP and rTRAIP-R18C were expressed from a 6xHis-886 SUMO plasmid in bacteria and purified as described (Wu et al. submitted). Other 887 888 proteins used in this study were Cyclin B1-CDK1 (Life Technologies Cat #PR4768C and EMD Millipore Cat #14-450M) and Cyclin E-CDK2 (EMD Millipore Cat #14-475). USP21 889 890 was a gift from D. Finley.

891

DNA constructs. The 4.6 kb p[$lacO_{48}$] plasmid (a generous gift of K. Vrtis) contains an array of 48 *lacO* sites which can be bound by the *lac* repressor (LacR) to form replication barriers. The pDPC plasmid (4.3 kb), a generous gift of J. Sparks, was

constructed based on a previous protocol (Duxin et al., 2014). Control plasmid (pControl)
used in Figure 1G has the same DNA sequence as pDPC, but lacks crosslinks.

897

Xenopus egg extracts and DNA replication. Egg extracts were prepared using Xenopus laevis (Nasco Cat #LM0053MX). All experiments involving animals were approved by the Harvard Medical School Institutional Animal Care and use Committee (IACUC) and conform to relevant regulatory standards. *Xenopus* egg extracts including Low Speed Supernatant (LSS), High Speed Supernatant (HSS), and Nucleoplasmic egg extract (NPE) were prepared as described (Blow and Laskey, 1986; Lebofsky et al., 2009).

To assess the effects of mitotic cyclins, demembranated sperm chromatin from 905 Xenopus laevis males was incubated in LSS (4,000 sperms/µL LSS) for 40 minutes at 906 room temperature to form nuclei. The reactions were subsequently incubated with a 907 range of concentrations of mitotic B1-CDK1. Nuclear envelope integrity and chromatin 908 condensation were monitored by microscopy after Hoechst staining (see below). The 909 concentration (50 ng/µL) that triggered nuclear envelopment breakdown and 910 911 chromosome condensation was chosen to trigger mitotic entry in subsequent experiments. 912

For interphase DNA replication, sperm chromatin or plasmid DNA was first incubated in HSS (final concentration of 7.5-15.0 ng DNA/ μ L HSS) for 30 minutes at room temperature to license the DNA for replication ("licensing"), followed by the addition of 2 volumes of NPE to initiate CDK2-dependent replication. To radiolabel the nascent strands during replication, NPE was supplemented with trace amounts of [α -

³²P]-dATP. Mitotic DNA replication was performed essentially as described (Prokhorova 918 et al., 2003). Briefly, after 30 minutes, 0.9 volumes of licensing reaction was incubated 919 with 0.1 volumes of mitotic B1-CDK1 for 30 minutes at room temperature, followed by 920 addition of 2 volumes of NPE. In the "licensing" mixture, the concentration of B1-CDK1 921 was 50 ng/µL, and its concentration in the final replication reaction was 16.7 ng/µL. 922 923 Unless stated otherwise, the '0 minute' time point refers to the moment of NPE addition. 2 µL aliguots of replication reaction were stopped with 5 µl of stop solution A (5% SDS, 924 80 mM Tris pH8.0, 0.13% phosphoric acid, 10% Ficoll) supplemented with 1 µl 20 925 mg/ml Proteinase K (Roche, Nutley, NJ). Samples were incubated for 1 hour at 37°C 926 prior to electrophoresis on a 0.9% native agarose gel. Gels were dried and radioactivity 927 was detected using a phosphorimager (Lebofsky et al., 2009). 928

To induce replication fork stalling using LacR, one volume of $p[lacO_{48}]$ (200 929 $ng/\mu L$) was incubated with one volume of recombinant LacR (36 μ M) for 45-60 minutes 930 at room temperature. Next, 0.1 volumes of the mixture was combined with 0.9 volumes 931 of HSS for licensing, followed by addition of 2 volumes of NPE for initiation of 932 replication. To inhibit the binding of LacR to the lacO array, IPTG was added to NPE to 933 934 a final concentration of 10 mM and incubated for 15 minutes prior to use in replication (Figure 1E) or added into replication reactions after fork stalling (Figure 4G) at the 935 indicated time. 936

For replication assays with inhibitors, NPE was supplemented with inhibitors for 15 minutes at room temperature before addition to the licensing mixture. Inhibitors were used at the following final concentrations in replication reaction: Aphidicolin (Sigma Cat #A0781-5MG), 2.2 μ M or 0.97 μ M, as indicated; CDC7 inhibitor PHA-767491 (Sigma

Cat #PZ0178), 266 μ M; p97 inhibitor NMS-873 (Sigma Cat #SML1128-5MG), 266 μ M; DNA-PKcs inhibitor NU-7441, 133 μ M; BRC or BRC***, 1 μ g/ μ L; Cullin inhibitor MLN-4924 (Active Biochem Cat #A-1139), 266 μ M. For the Cdk1 inhibition assay in Figure S2B, CDK1 inhibitor RO-3306 (EMD Millipore Cat #217699-5MG) was incubated with the replication reaction containing stalled replication forks for 5 minutes before the addition of B1-CDK1.

947

Immunodepletion and Western blotting. Immunodepletions using antibodies against 948 Xenopus laevis FANCD2 (Knipscheer et al., 2009), FANCI (Duxin et al., 2014), SMC2 949 (antigen: Ac-CSKTKERRNRMEVDK-OH, New England Peptide), TRAIP (antigen: Ac-950 CTSSLANQPRLEDFLK-OH, New England Peptide), Pol0 (antigen: residues 1212 to 951 1506, Abgent), and RAD51 (Long et al., 2011) were performed as described previously 952 (Budzowska et al., 2015). Briefly, Protein A Sepharose Fast Flow beads (GE 953 Healthcare) were incubated with antibodies at 4°C overnight. For mock depletion, an 954 equivalent quantity of nonspecific rabbit IgGs was used. Five volumes of pre-cleared 955 HSS or NPE were then mixed with one volume of the antibody-bound sepharose beads. 956 957 For FANCI-D2 depletion of HSS and NPE, two rounds of depletion using both FANCI and FANCD2 antibodies were performed at room temperature for 20 minutes each. 958 Depletions for other proteins were performed at 4°C, with two rounds for HSS and three 959 960 rounds for NPE. For each round, a mixture of antibody-bound beads and egg extract was rotated on a wheel for 40 minutes. Immunodepleted extracts were collected and 961 962 used immediately for DNA replication. Depletion efficiency was assessed by Western 963 blotting. Western blots from depletion or plasmid/sperm chromatin pull-downs were

probed using antibodies against SMC2, TRAIP, FANCI (Duxin et al., 2014), FANCD2
(Knipscheer et al., 2009), MCM7 (Dewar et al., 2017), MCM6 (Dewar et al., 2017),
RAD51 (Long et al., 2011), ORC2 (Dewar et al., 2017), CDC45 (Walter and Newport,
2000), SLD5 (Dewar et al., 2017) and Histone H3 (Cell Signaling Technology #9715S).

Sperm chromatin spin-down assay. Sperm chromatin spin-down was performed as 969 previously described (Raschle et al., 2015). Briefly, chromatin and associated proteins 970 were isolated by centrifugation through a sucrose cushion, washed three times, 971 resuspended in 2x SDS sample buffer (100 mM Tris pH 6.8, 4% SDS, 0.2% 972 bromophenol blue, 20% glycerol, 10% β-mercaptoethanol) and boiled at 95°C for 3-5 973 minutes. In Figure S3A, chromatin was spun down 20 minutes after NPE addition for the 974 Buffer control and at 9 minutes after NPE addition for the B1-CDK1 treatment (final 975 concentration, 16.7 ng/ μ L), at which point replication was ~50% complete for both 976 977 reactions. In Figure S1D, chromatin and associated proteins were isolated from HSS.

978

Plasmid pull-down assay. Plasmid pull-down assays were performed as described 979 980 (Budzowska et al., 2015). Briefly, streptavidin-coupled magnetic beads (Dynabeads M-280, Invitrogen; 6 µl beads slurry per pull-down) were washed three times with wash 981 982 buffer 1 (50 mM Tris pH 7.5, 150 mM NaCl, 1 mM EDTA pH 8, 0.02% Tween-20). 983 Biotinylated LacR was incubated with the beads (12 pmol per 6 µL beads) at room 984 temperature for 40 min. The beads were then washed four times with pull-down buffer 1 (10 mM Hepes pH 7.7, 50 mM KCl, 2.5 mM MgCl2, 250 mM sucrose, 0.25 mg/mL BSA, 985 986 0.02% Tween-20) and resuspended in 40 µL of the same buffer. At the indicated times,

 $4 \mu L$ samples of the replication reaction were withdrawn and gently mixed with Biotin-LacR-coated beads. The suspension was immediately placed on a rotating wheel and incubated for 30-60 minutes at 4°C. The beads were washed three times with wash buffer 2 (10 mM Hepes pH 7.7, 50 mM KCl, 2.5 mM MgCl₂, 0.25 mg/mL BSA, 0.03% Tween-20). The beads were resuspended in 40 μ L of 2× SDS sample buffer and boiled at 95°C for 3-5 minutes. Chromatin-bound proteins were separated by SDS-PAGE and analyzed by Western blotting.

994

De-ubiquitination assay. Plasmid pull-downs were performed as described above, except that after the wash steps with wash buffer 2, chromatin-bound proteins were resuspended in 20 μ L of USP21 buffer (150 mM NaCl, 10 mM DTT, 50mM Tris pH 7.5) and split into two 10 μ L aliquots. Each aliquot was incubated with 1 μ L of the nonspecific deubiquitinase USP21 or buffer at 37°C for 60 minutes. The reactions were stopped by addition of 2x SDS sample buffer and boiled at 95°C for 3-5 minutes.

1001

Restriction digestion. 2 µL aliquots of replication reactions were stopped in 20 µL of stop solution B (50 mM Tris pH 7.5, 0.5% SDS, 25 mM EDTA), and replication products were purified as previously described (Raschle et al., 2008). Purified products were digested with restriction enzymes as *per* the manufacturer's instructions. Digestion reactions were stopped in 0.5 volumes of stop solution C (5% SDS, 4 mg/mL Proteinase K) and incubated for 60 minutes at room temperature. Digested products were separated on a 1% native agarose gel and visualized by autoradiography.

1009

1010 **Sequencing.** LacR-bound $p[lacO_{48}]$ plasmid was replicated in the presence of mitotic B1-CDK1 for 120 minutes. Replication products were purified and digested with AlwNI 1011 (single cut on the parental DNA) for 60 minutes at 37°C, as described above. After 1012 separation on a 0.9% native agarose gel, bands smaller than the 4.6 kb full-length linear 1013 1014 fragment were extracted and self-ligated with T4 DNA ligase. The ligation products were 1015 transformed into *E.coli* DH5 α . As a control, p[*lacO*₄₈] was replicated without LacR for 120 minutes in the presence of B1-CDK1. Replication products (containing only open 1016 circular and supercoiled species) were processed as above, and the only band (4.6 kb) 1017 1018 after AlwNI restriction was purified for cloning. Clones from both treatments were 1019 sequenced by Sanger method with Forward primer: 5'-AAGGCGATTAAGTTGGGTAA-1020 3' and Reverse primer: 5'-CATGTTCTTTCCTGCGTTATCCCCTGA-3'.

1021

Microscopy. 1 µL of nuclear assembly reactions containing LSS egg extract and sperm 1022 chromatin was mixed with 1 µL of Hoechst 3300 (2.5 µg/mL) for 5 minutes before 1023 imaging. Images in Figures S1A and S1C were single focal planes acquired by a wide 1024 field Nikon Eclipse E600 microscope equipped with a Nikon 40x Plan Apo NA 1.0 oil 1025 1026 objective. Images in Figure S4B were maximum projections from stacks of z-series acquired with a 0.5 µm step size. Images were collected using a 60x Plan Apo NA 1.4 1027 oil objective with a CoolSnapHQ2 CCD camera (Photometrics) on a Yokogawa CSU-22 1028 1029 spinning disk confocal system (Nikon Instruments, Melville, NY). Fluorophores were excited by a 405 nm laser. 1030

1031

bioRxiv preprint doi: https://doi.org/10.1101/428433; this version posted September 26, 2018. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

Data quantification. Autoradiographs and Western blots were quantified using ImageJ
1.48v (National Institute of Health). The quantification methods for individual results are
described in the figure legends.

1035

1036

1037 SUPPLEMENTAL FIGURE LEGENDS

1038 Figure S1, related to Figure 1.

(A) To determine the concentration of mitotic B1-CDK1 that efficiently induces nuclear 1039 envelope breakdown and chromatin condensation, de-membranated Xenopus sperm 1040 chromatin was incubated in LSS (low speed supernatant) for 40 minutes to allow the 1041 formation of pseudo nuclei. The indicated final concentrations of B1-CDK1 were then 1042 added into the reactions for 30 minutes before Hoechst staining and imaging. 50 ng/µL 1043 of B1-CDK1 was sufficient to induce nuclear envelope breakdown and chromatin 1044 condensation and it was used for subsequent experiments unless otherwise indicated. 1045 Scale bar, 10 µm. 1046

(B) Percentage of intact nuclei remaining at the indicated time points after treatment
with the indicated concentration of B1-CDK1 (n>1,000). The '0 minute' time point refers
to Buffer or B1-CDK1 addition. The value at each time point was normalized to the
value at 0 minute in each treatment.

1051 (**C**) Chromatin condensation assay in membrane-free HSS. Sperm chromatin was 1052 incubated in HSS for 30 minutes, and then treated with 50 ng/ μ L of B1-CDK1 for 30 1053 minutes followed by Hoechst staining and imaging. Scale bar, 10 μ m.

(D) Sperm chromatin spin-down assays in HSS. Sperm chromatin was incubated with
 HSS for 30 minutes and treated with Buffer or 50 ng/µL of B1-CDK1 for another 30
 minutes. Chromatin DNA was recovered and chromatin-bound proteins were blotted
 with indicated antibodies. Unrelated lanes were cropped as indicated by the gap.

(E) Plasmid pull-down assays in HSS. pBlueScript (3 kb) was incubated with HSS at a
 concentration of 7.5 ng/µL for 30 minutes and treated with Buffer or 50 ng/µL of B1 CDK1 for another 30 minutes. Plasmid was recovered and chromatin-bound proteins
 were blotted with indicated antibodies. Unrelated lanes were cropped as indicated by
 the gap.

(F) Plasmid pull-down assay to assess origin firing. pBlueScript was incubated with HSS 1063 for 30 minutes and treated with buffer or 50 ng/µL of B1-CDK1 for another 30 minutes 1064 before addition of NPE. The p97 inhibitor NMS-873 (p97-i) was added into NPE (final 1065 concentration, 266 µM) and incubated for 15 minutes. Treatment of p97-i blocked the 1066 unloading of CMG helicases from chromatin and trapped ubiguitylated MCM7 on 1067 chromatin, seen as a smear. Right panel shows the guantification of the CDC45 and 1068 Histone H3 signals. Increased CDC45 loading with B1-CDK1 treatment suggested more 1069 1070 origin firing.

1071 (**G**) LacR-bound p[*lacO*₄₈] was replicated in interphase egg extracts for 60 minutes and 1072 then treated with DMSO or Cdk1 kinase inhibitor (CDK1-i, 333 μ M RO-3306) for 5 1073 minutes before the addition of Buffer or 50 ng/ μ L B1-CDK1. At the indicated times, 1074 samples were withdrawn and replication products were tracked by electrophoresis and 1075 autoradiography. ARP, aberrant replication product; θ , theta structure.

1076

1077 Figure S2, related to Figure 2.

(A) Model for mitotic processing of replication forks stalled by *lacO*-LacR barriers, 1078 explaining the restriction analysis (Figure 2B) and sequencing data (Figure 2D). After 1079 replication fork stalling, B1-CDK1 induces fork collapse and double-strand breaks 1080 1081 (DSBs) at the edges of the *lacO* array. The broken DNA ends, with certain number of 1082 lacO repeats, lead to either intra- or inter-molecular end joining. Inter-molecular end joining generates the aberrant replication products (ARPs). The initial end joining 1083 products can also be subject to cycles of fork collapse and end joining. Outcomes other 1084 1085 than those illustrated here are possible but may not be detected because our sequencing strategy depends on the ability to recover plasmids by cloning. Although it 1086 1087 has not been addressed whether the leading or lagging strand templates break, the results on CMG unloading described in Figure 7, as drawn (see below and text for 1088 details) favor the leading strand breakage. 1089

(B) Schematic of B1-CDK1-induced fork breakage at different locations in the *lacO* array. Breakage at the outer edges (left) and joining of the resulting one-ended breaks
 creates large deletions of the array, whereas breakage closer to the midpoint of the
 array causes smaller deletions (right).

(C) Sequence and structure of the 48 *lacO* repeats in p[*lacO*₄₈]. Each *lacO* repeat is highlighted in yellow. Unique spacer sequences between *lacO* repeats are highlighted in red, green, purple and blue, respectively, as depicted in Figures 2D and 2E. The sequence in grey indicates a unique spacer in the middle of the *lacO* array. Sequencing primers used in Figure 2D are indicated.

1099

1100 Figure S3, related to Figure 3.

(A) B1-CDK1 treatment inhibits chromatin-loading of RAD51. Sperm chromatin was replicated in egg extracts and sampled when 50% replication was completed (20 minutes for Buffer and 9 minutes for B1-CDK1). To inhibit DNA replication, CDC7 inhibitor (CDC7-i, 399 μ M of PHA-767491) was added to NPE and incubated for 15 minutes. Chromatin-bound proteins were recovered by chromatin spin-down and detected by blotting with indicated antibodies.

(B) Mock-depleted and RAD51-depleted egg extracts were blotted with RAD51 and
 MCM7 antibodies. Serial dilutions of mock-depletion were used to assess the level of
 RAD51 depletion. Arrowhead indicates RAD51.

1110 (**C**) LacR-bound $p[lacO_{48}]$ was replicated in mock-depleted or RAD51-depleted egg 1111 extracts in the absence or presence of B1-CDK1.

(D) pBlueScript was replicated in egg extracts with the indicated treatments. BRC
 peptide binds and blocks RAD51's interaction with BRCA2, which prevents HR mediated DSB repair. BRC*** peptide harbors three mutations at RAD51 binding sites
 and is unable to inhibit RAD51 (Long et al., 2011).

(E) LacR-bound p[*lacO*₄₈] was replicated with the indicated treatments. To inhibit NHEJ,

a DNA-PK inhibitor (DNA-PK-i, 133 µM NU-7441) was added to NPE.

1118 **(F)** Quantification of overall DNA replication and ARP for Figure 3B.

(**G**) Quantification of overall DNA replication and ARP for Figure 3C.

In (C-E), ARP, aberrant replication product; θ , theta structure; OC, open circle; SC,

supercoil; RI, replication intermediate.

1122

1123 Figure S4, related to Figure 4.

(A) Mock-depleted and SMC2-depleted *Xenopus* egg extracts were blotted for SMC2
 and MCM7 alongside a serial dilution of mock-depleted extracts.

(B) Effect of SMC2 depletion on B1-CDK1-induced chromatin condensation in HSS.

1127 Sperm chromatin was incubated in mock-depleted or SMC2-depleted HSS with Buffer

or B1-CDK1 for 30 minutes prior to Hoechst staining and imaging. Scale bar, 10 μm.

1129 (C) pBlueScript was replicated in mock-depleted or SMC2-depleted egg extracts with a

low dose of aphidicolin in the absence or presence of B1-CDK1. The absence of SMC2

had no effect on mitotic ARP formation.

(D) A time course to relate the timing of CMG unloading to replication fork collapse and 1132 ARP formation during replication with B1-CDK1. LacR-bound p[lacO₄₈] was replicated in 1133 egg extracts for 30 minutes before the addition of Buffer or B1-CDK1. Plasmid pull-1134 downs were performed from "cold" reactions lacking radio-labeled nucleotides in parallel 1135 with "hot" reactions containing $[\alpha^{-32}P]$ -dATP. Plasmid pull-down samples were blotted 1136 for indicated proteins. Replication products were detected by autoradiography after gel 1137 electrophoresis. The red bracket indicates ubiquitylated MCM7, which is detectable 1138 1139 before the appearance of the ARP. The black bracket marks potential collapsed replication forks with the B1-CDK1 treatment. 1140

(E) Quantification of ARP, OC+SC, and overall DNA replication during replication ofpDPC in Figure 4D.

In (C) and (D), RI, replication intermediate; ARP, aberrant replication product; OC, open
circle; SC, supercoil; θ, theta structure.

1145

1146 **Figure S5, related to Figure 5.**

(A) LacR-bound p[*lacO*₄₈] was replicated and treated as schemed. Chromatin-bound
proteins were recovered and blotted with the indicated antibodies. IPTG was used to
release LacR from *lacO* array therefore induce replication termination. Cul-i was used to
inhibit CRL2^{Lrr1}-dependent CMG ubiquitylation during interphase replication termination.
(B) pDPC was replicated in mock-depleted or TRAIP-depleted egg extracts in the

presence or absence of B1-CDK1.

(C) Serial dilutions of NPE and rTRAIP^{WT} purified from *E. coli* were blotted with TRAIP
 and MCM7 antibodies. Arrow head marks TRAIP signal and asterisk indicates a
 background band in NPE. The concentration of TRAIP in NPE is 3.0-4.5 ng/µL.

(**D**) LacR-bound $p[/acO_{48}]$ was replicated in mitotic mock-depleted or TRAIP-depleted egg extracts with or without rTRAIP^{WT} as indicated. rTRAIP^{WT} was added to NPE at endogenous level (3.6 ng/µL). Matched buffer was added to reactions without rTRAIP^{WT}.

(E) pDPC was replicated in mitotic mock-depleted or TRAIP-depleted egg extracts with
 or without rTRAIP^{WT}, as indicated. rTRAIP^{WT} was added to NPE at endogenous level
 (3.6 ng/μL). Matched buffer was added to reactions without rTRAIP^{WT}.

(F) Mock-depleted and FANCI-D2-double depleted egg extracts were blotted with
 indicated antibodies. Serial dilution of mock-depleted extract was used to assess the
 level of FANCI-D2 depletion.

(**G**) LacR-bound $p[lacO_{48}]$ was replicated in mock-depleted or FANCI-D2-depleted egg extracts in the absence or presence of B1-CDK1. The depletion of FANCI-FANCD2 had no effect on ARP formation.

In (B), (D), (E) and (G), ARP, aberrant replication product; θ, theta structure; OC, open
circle; SC, supercoil.

1171

1172 **Figure S6, related to Figure 6.**

1173 (A) p[*lacO*₄₈], in the absence of LacR, was replicated in egg extracts used in Figures 6A

and 6B. DNA replication was complete in 20 minutes. RI, replication intermediate; OC,

1175 open circle; SC, supercoil.

(B) A 3.1 kb plasmid (pJD152 in (Dewar et al., 2015)) was replicated in mock-depleted
or TRAIP-depleted extracts in the presence or absence of p97-i (to trap terminated and
ubiquitylated CMGs on chromatin) followed by Buffer or B1-CDK1 treatment.
Chromatin-bound proteins were recovered and blotted with indicated antibodies. Red
brackets indicate the levels of MCM7 ubiquitylation. Note the dramatic smear of MCM7
ubiquitylation in the presence of B1-CDK1 in mock (compare lanes 6 and 2) and the
shrinkage with TRAIP depletion (compare lanes 14 and 6).

1183 (**C**) LacR-bound p[*lacO*₄₈] plasmid was replicated in mock-depleted or TRAIP-depleted 1184 egg extracts with or without recombinant rTRAIP^{WT} (~4-fold of endogenous TRAIP), or 1185 rTRAIP^{R18C} (~9-fold of endogenous TRAIP), and treated as schemed. Chromatin-bound 1186 proteins were recovered and blotted with the indicated antibodies.

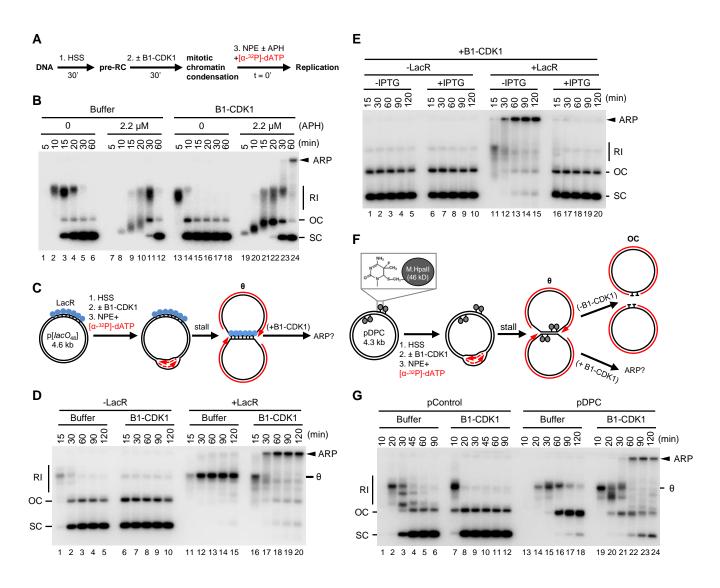
(D) Comparison of CMG unloading pathways. Mitotic CMG unloading at single stalled fork (i) occurs when a single stalled CMG on ssDNA enters mitosis. TRAIP is activated by mitotic CDK to trigger CMG ubiquitylation. Mitotic termination (ii) occurs when CRL2^{Lrr1} is deficient (Sonneville et al., 2017). CMGs at terminated replication forks are ubiquitylated upon mitotic entry in a TRAIP-dependent manner. During interphase ICL

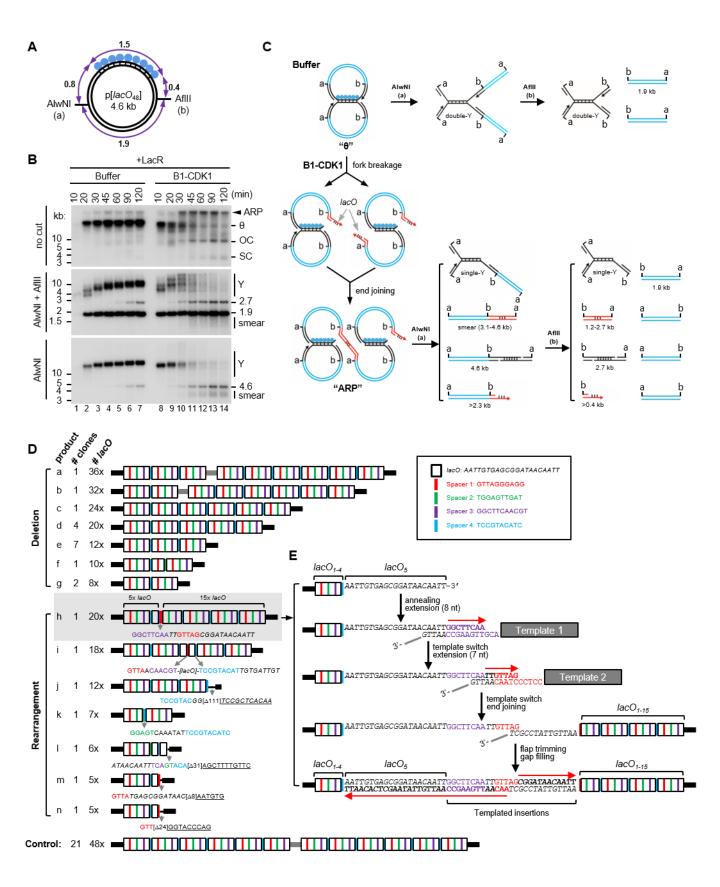
repair (iii) (Wu et al., submitted), when two CMGs on ssDNA converge at ICL, TRAIP is 1192 activated, independent of CDK1 activity (data not shown) and promotes CMG 1193 ubiguitylation. During replication termination in interphase (iv), two CMGs bypass each 1194 other and translocate from ssDNA to dsDNA, triggering CRL2^{Lrr1}-dependent CMG 1195 ubiquitylation (Dewar et al., 2015; Dewar et al., 2017; Sonneville et al., 2017). The 1196 1197 cartoons highlight the requirement of E3 ubiquitin ligase activity rather than physical localization for CMG ubiquitylation. In contrast to CRL2^{Lrr1} which is specifically recruited 1198 to replisome during interphase replication termination, TRAIP travels with the replisome. 1199

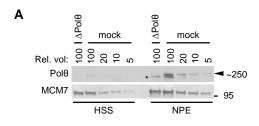
1200

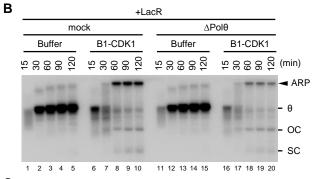
1201 Figure S7. Related to Figure 7

When replication forks stall on either side of a hard-to-replicate region (e.g. a common 1202 fragile site), entry into mitosis causes CMG unloading and efficient fork breakage. 1203 Because CMG binds the leading strand template, we propose that CMG unloading 1204 leads to breakage of both stalled forks on the leading strand templates (left pathway). 1205 One intact sister chromatid is rapidly restored by gap filling (dashed blue line). The other 1206 chromatid is restored by alternative end joining of the two broken ends, yielding sister 1207 1208 chromatid exchange and a deletion that encompasses the segment of unreplicated DNA. Template switching before end joining could generate duplications at the 1209 breakpoint. In contrast, if stalled forks are broken randomly (right pathway), 1210 1211 unproductive outcomes will be frequent, including the formation of acentric and dicentric isochromosomes (shown). Furthermore, if only one fork is broken, acentric arms can be 1212 1213 generated (not shown).

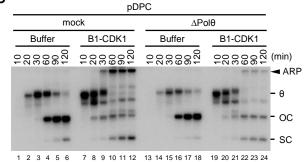


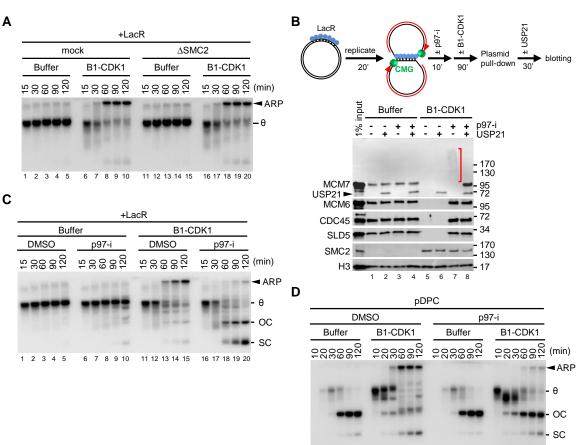




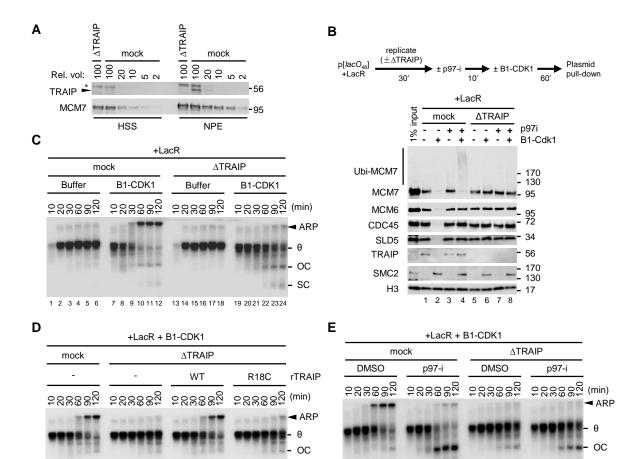


С





1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24

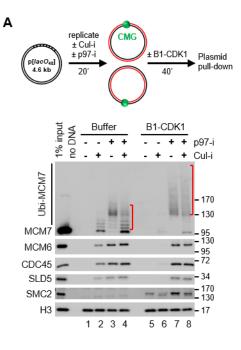


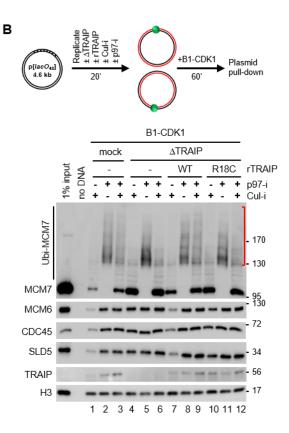
- SC

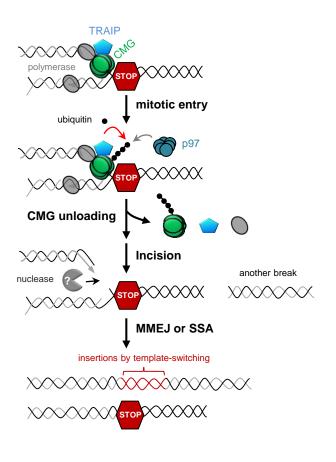
1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24

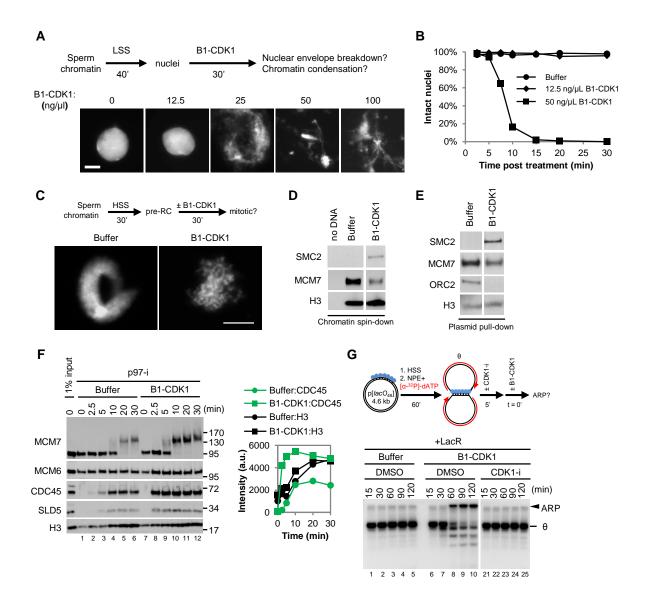
1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24

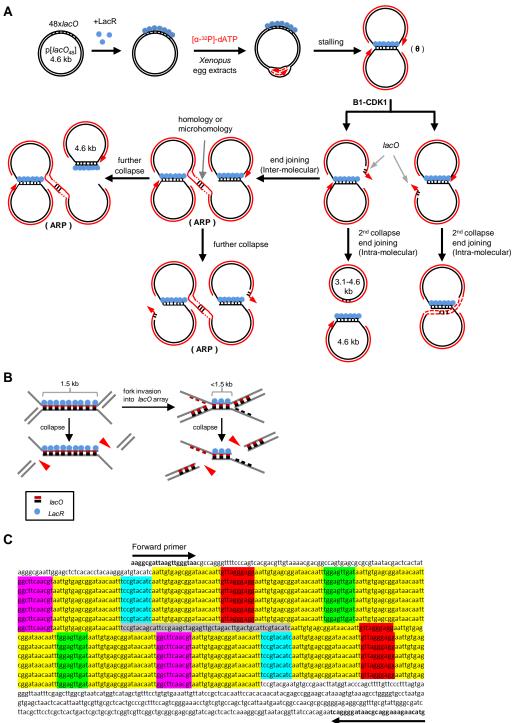
- SC



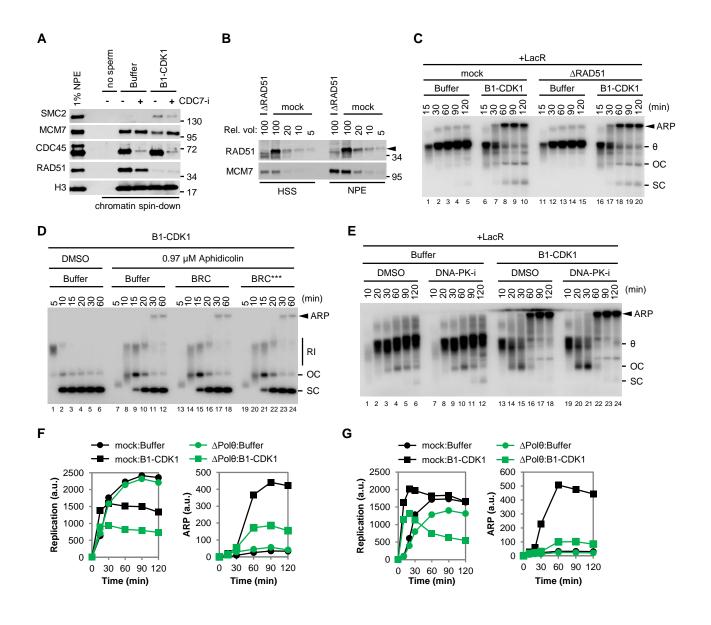


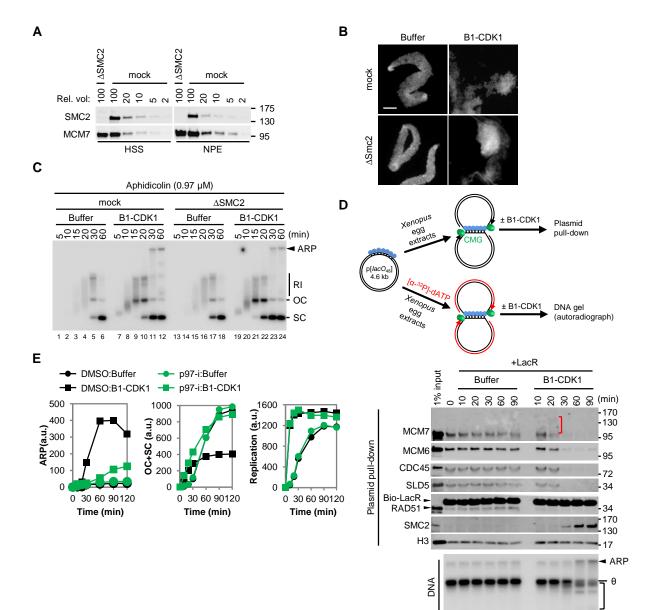






Reverse primer

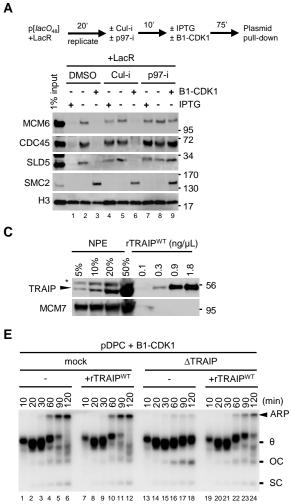


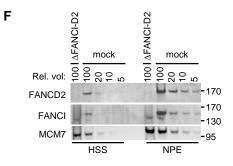


7 8 9 10 11

2 3 4 5 6







pDPC						
ΔTRAIP		mock				
Buffer	B1-CDK1	Buffer	B1-CDK1			
²⁰ 80320	2000021 2000021	12000021 2000021	120 20 20 20 20 20 20 20 20 20 20 20 20 2	(min) I ARP		
				- 0		
			- names	- OC		
				- SC		
123456	7 8 9 10 11 12	13 14 15 16 17 18	19 20 21 22 23 24	Ļ		

D

+LacR + B1-CDK1						
mock		∆TRAIP				
-	+rTRAIP ^{WT}	-	+rTRAIP ^{WT}			
120 90 120 120	120 90 120 120	120 120 120	120 90 120 120	(min)		
1000	111	CONTRACT.	1000	ARP		
			******	- θ		
				- OC		
				- SC		
1 2 3 4 5 6	7 8 9 10 11 12	13 14 15 16 17 18	19 2021 22 2324			

G

+LacR							
ma	ock	∆FANCI-D2					
Buffer	B1-CDK1	Buffer	B1-CDK1				
10 20 90 120	10 20 90 120	10 20 90 120	120 20 120 120	(min)			
		·····································		■ ARP			
				- ө			
			- agains	- ос			
				- sc			
1 2 3 4 5 6	7 8 9 10 11 12	13 14 15 16 17 18	19 20 21 22 23 24	L			

