

1 **Microbial dormancy improves predictability of soil respiration at the seasonal time**
2 **scale**

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4 Salazar A.^{1,2}, Lennon J.T.³, and Dukes J.S.^{1,2,4}.

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6 ¹ Department of Biological Sciences, Purdue University, IN, USA.

7 ² Purdue Climate Change Research Center, Purdue University, West Lafayette, IN, USA.

8 ³ Department of Biology, Indiana University, Bloomington, IN, USA.

9 ⁴ Department of Forestry and Natural Resources, Purdue University, IN, USA.

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11 Corresponding author: Alejandro Salazar. Email: alejandro.salazar-

12 villegas@fulbrightmail.org. Phone number: +3547862781.

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15

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34

35

36 **Abstract**

37

38 Climate change is accelerating global soil respiration, which could in turn
39 accelerate climate change. The biological mechanisms through which soil carbon (C)
40 responds to climate are not well understood, limiting our ability to predict future global
41 soil respiration rates. As part of a climate manipulation experiment, we tested whether
42 differences in soil heterotrophic respiration driven by season or climate treatment (R_H)
43 are linked to 1) relative abundances of microbes in active and dormant metabolic states,
44 2) net changes in microbial biomass and/or 3) changes in the relative abundances of
45 microbial groups with different C-use strategies. We used a flow-cytometric single-cell
46 metabolic assay to quantify the abundance of active and dormant microbes, and the

47 phospholipid fatty acid (PLFA) method to determine microbial biomass and ratios of
48 fungi:bacteria and Gram-positive:Gram-negative bacteria. R_H did not respond to climate
49 treatments but was greater in the warm and dry summer than in the cool and less-dry fall.
50 These dynamics were better explained when microbial data were taken into account
51 compared to when only physical data (temperature and moisture) were used. Overall, our
52 results suggest that R_H responses to temperature are stronger when soil contains more
53 active microbes, and that seasonal patterns of R_H can be better explained by shifts in
54 microbial activity than by shifts in the relative abundances of fungi and Gram-positive
55 and Gram-negative bacteria. These findings contribute to our understanding of how and
56 under which conditions microbes influence soil C responses to climate.

57

58 Key words: Boston-Area Climate Experiment, carbon-climate feedback, microbial
59 biomass, precipitation change, warming.

60

61

62 **Introduction**

63

64 Every year, microbes from terrestrial ecosystems emit approximately 54 Pg C into
65 the atmosphere via soil heterotrophic respiration (Hashimoto et al., 2015). This is more
66 than five times the amount of C released by fossil fuel emissions in 2016 (ca. 10 Pg C; Le
67 Quéré et al., 2016). Even small increases in this soil C flux, if sustained, could contribute
68 to accelerated global warming. Unless suppressed by dry conditions (Allison and
69 Treseder, 2008; Schindlbacher et al., 2012, Suseela et al. 2012), warming generally

70 increases soil respiration (Carey et al., 2016). Evidence from the last 50 years (i.e., since
71 the first soil respiration records) suggests that soil respiration, both total (i.e., plant roots
72 and soil microbes, R_S ; Bond-Lamberty and Thomson, 2010; Zhao et al., 2017; Bond-
73 Lamberty et al., 2018) and heterotrophic (i.e., microbes in root-free soil, R_H ; Hashimoto
74 et al., 2015; Bond-Lamberty et al., 2018) have been increasing with temperature at a rate
75 of approximately 0.04 Pg C y^{-1} (Zhao et al., 2017); 0.1 Pg C y^{-1} in the last 3 decades
76 (Bond-Lamberty and Thomson, 2010). If changes in global temperature and precipitation
77 regimes accelerate turnover of soil C without proportionally increasing C inputs from
78 plant growth, this would generate a positive soil C-climate feedback.

79

80 The mechanisms through which global soil respiration is increasing are not clear,
81 limiting our ability to predict whether this trend is going to accelerate, stabilize, or
82 decrease. Current projections of feedbacks between terrestrial C and climate remain
83 highly uncertain (Friedlingstein et al., 2014), which limits their usefulness to inform
84 climate policy. Recent studies have raised the question of whether or not these
85 uncertainties could be reduced by a better understanding of how microorganisms respond
86 to climatic changes (Bardgett et al., 2008; Wieder et al., 2015).

87

88 Two of the best-studied microbial parameters that can be linked to R_H are
89 microbial biomass (Ileris et al., 2003; Wang et al., 2003; Lee and Jose, 2003; Liu et al.,
90 2009; Zhou et al., 2011) and community composition (Zogg et al., 1997; Monson et al.,
91 2006; Waldrop and Firestone, 2006; Cleveland et al., 2007; Zhou et al., 2011; Don et al.,
92 2017). Assuming that all microbes are active and respiring, one would expect soil R_H to

93 increase with the abundance of soil microorganisms. This idea is supported by
94 observations of simultaneous increases or decreases of microbial biomass and R_H in
95 response to warming (Zhou et al., 2011), moisture (Illeris et al., 2003; Liu et al., 2009),
96 substrate availability (Wang et al., 2003) and plant biomass removal (Zhang et al., 2005).
97 However, other studies have failed to find a consistent relationship between microbial
98 biomass and R_H (Waldrop and Firestone, 2006; Waring and Hawkes, 2015; Birge et al.,
99 2015; Buchkowski et al., 2015), suggesting that microbial parameters besides biomass
100 may contribute to changes in R_H . Hypotheses linking R_H and community composition
101 postulate that a given set of external factors will distinctly favor the growth of particular
102 microbial groups, based on their C needs and C use efficiencies. This idea is supported by
103 observations of, for example, warming increasing the abundance of microbial functional
104 populations specialized in the degradation of labile C, but not recalcitrant C (Zhou et al.,
105 2011).

106

107 After microbial biomass and community composition, a third microbial parameter
108 that has been increasingly proposed as an explanatory factor of R_H is microbial dormancy
109 (Placella et al., 2012; Manzoni et al., 2014; Wang et al., 2014; Wang et al., 2015; Barnard
110 et al., 2015; He et al., 2015; Salazar et al., 2018). In addition to growing, dying, and
111 changing composition, microbial communities in soil can switch between active and
112 dormant metabolic states (Stenström et al., 2001; Schimel et al., 2007; Lennon and Jones,
113 2011), for example, in response to warming and drying/wetting cycles (Barnard et al.,
114 2015; Salazar et al., 2018). Changes of metabolic state are generally faster than growth,
115 death, and changes in composition (Blagodatskaya and Kuzyakov, 2013). In part because

116 of this, most experiments exploring the relationship between microbial dormancy and R_H
117 have examined short temporal scales (Placella et al., 2012; Aanderud et al., 2015;
118 Barnard et al., 2015; Salazar et al., 2016). Much less is known about the importance of
119 microbial dormancy for R_H at longer (e.g., seasonal) temporal scales, which are more
120 relevant for modeling purposes and society-level decision making.

121

122 In this study, we investigated whether seasonal R_H in a temperate old-field
123 ecosystem is linked to changes in the metabolic state of microbial communities in soil; to
124 net changes in microbial biomass; and/or to changes in the relative abundances of
125 microbial groups that consume and emit soil C at different rates, namely, fungi and
126 bacteria (Six et al., 2006; Sinsabaugh et al., 2016), and Gram-positive and Gram-negative
127 bacteria (Lennon et al., 2012). In addition to the potential changes in total microbial
128 biomass (Devi and Yadava, 2006) and community composition (Waldrop and Firestone,
129 2006) that can occur on a seasonal time scale, we expected seasonal changes in
130 temperature and moisture to affect the metabolic state of microbial communities in soil.
131 Specifically, we expected the abundance of active microbial biomass to be highest when
132 environmental conditions are optimum for microbial processes, and for this to help
133 explain seasonal changes in soil respiration rates.

134

135 **Methods**

136

137 **Study site**

138

139 The study was conducted at the Boston-Area Climate Experiment (BACE),
140 located at the University of Massachusetts' former Suburban Experiment Station in
141 Waltham, Massachusetts (42°23.1'N, 71°12.9'W). The mean annual temperature and
142 precipitation at the site are 10.3 °C and 1063 mm, respectively. The soil at BACE is
143 classified as Mesic Typic Dystrudept (Haven series), with loamy topsoil (45% sand, 46%
144 silt, 9% clay; gravel content: 7%) and a gravelly sandy loam subsoil. The plant
145 community is dominated by non-native grasses and forbs (Hoeppner and Dukes, 2012).

146

147 To guarantee that the measured R_H was caused by microbial activity and not by
148 plant roots, we collected all of our samples from patches of soil that were isolated from
149 roots and plant carbon inputs by “root-exclusion collars.” These collars were made of 30-
150 cm diameter plastic pipe that had been driven 30 cm into the soil in November 2007
151 (Suseela et al., 2012). The collars extended ~4 cm above the soil surface. To prevent
152 plant growth within these root-exclusion collars, we covered the soil surface within each
153 collar with a circle of weed-blocking nylon mesh. This mesh was removed only during
154 R_H measurements and soil sampling. Carbon inputs had been limited in this manner for
155 the previous nine years; by the fourth year of plant exclusion (2011), labile organic
156 matter remaining in the soils was already substantially depleted in comparison to the
157 surrounding soils in which plants grew, as shown by lower rates of substrate-induced
158 respiration (Koyama et al. 2018). Thus, our use of these root-free soils with similar past C
159 inputs enabled a controlled examination of microbial responses, but the sustained lack of
160 plant inputs and the consequently depleted labile organic matter need to be kept in mind
161 when interpreting our results.

162

163 **Experimental design**

164

165 The BACE manipulated climatic conditions in 36 square experimental plots, each
166 2 m x 2 m. A factorial combination of four levels of warming and three levels of
167 precipitation created a total of 12 climate treatments. The experiment consisted of three
168 replicate blocks. Within each block, plots were arranged linearly in three groups of four,
169 with each group receiving one of the three precipitation treatments. The four plots within
170 each group were spaced 1 m apart, with one plot receiving each of the four levels of
171 warming. Each block was located under a single greenhouse frame that served as a mount
172 for infrastructure related to the precipitation treatments.

173

174 Warming was applied with ceramic infrared heaters mounted 1 m above each
175 corner of each plot, and facing towards the center of the plot and down at a 45° angle.
176 The treatments corresponded to the wattage of the heaters surrounding each plot:
177 unheated (0 W), low (200 W), medium (600 W), and high (1000 W) heat. The three
178 heated plots within each group were wired to a single circuit, and the warming system
179 was programmed to attempt to maintain a 4 °C difference between the canopy
180 temperatures of the unheated and high heat plots within each group. The power supplied
181 to the heaters in each group was adjusted every 10 s based on the measured temperature
182 difference between the unheated and high heat plots in that group. Canopy temperatures
183 were measured with infrared radiometers (IRR-PN; Apogee Instruments, Logan, UT,
184 USA) placed at a 45° downward angle, 1 m above the northern edges of the plots. The

185 four warming levels approximately simulated the different warming scenarios projected
186 by the Intergovernmental Panel on Climate Change (IPCC) for the end of this century
187 (Stocker, 2014).

188

189 The precipitation manipulation included ambient, dry (-50% of all precipitation
190 year-round), and wet (+50% growing season rainfall) treatments. Above the dry
191 treatment, rainfall was captured by 15 cm-wide clear polycarbonate slats spaced 15 cm
192 apart that were mounted on the greenhouse frames, >2 m off the ground. From early May
193 to mid-November, the removed rainfall was collected in tanks and immediately applied to
194 the wet treatments with a sprinkler system. Further details of the experiment can be found
195 in Hoepfner and Dukes (2012), Suseela et al., (2012), and Auyeung et al., (2013).

196

197 ***In situ* soil measurements and sampling**

198

199 We made *in situ* soil measurements (R_H , and microbial activity/dormancy) and
200 collected samples for analysis in the laboratory in the summer (June) and fall (October)
201 of 2016. For simplicity, we refer to these measurements by the season in which they were
202 made, but it is important to recognize that they represent snapshots of the conditions at
203 the moment of sampling and not an average of the respective months or seasons.

204

205 We measured R_H with a LICOR 6400 soil CO₂ flux chamber (LI-COR
206 Biosciences, Inc. Lincoln, NE, USA), in small PVC collars (10 cm in diameter and 5 cm
207 in height, 2 cm into the soil) that we had installed within the root-exclusion collars in

208 April 2016. During the R_H measurements we also measured soil temperature (10 cm)
209 using a thermocouple probe. We measured volumetric soil moisture on the same day
210 using time-domain reflectometry waveguides installed vertically (0-10 cm depth) in the
211 root-exclusion collars.

212

213 We used a soil sampler (2 cm diameter) to collect soil from the top 10 cm
214 immediately after measuring R_H . We used 1 g of the soil for measuring *in situ* active and
215 dormant microbial biomass (see below). We stored the rest of the soil in coolers with ice
216 packs and transported them to Purdue University where we measured microbial biomass
217 and fungi:bacteria and Gram-positive-Gram-negative ratios (see below). At Purdue,
218 samples were stored at 4 °C until all samples were processed.

219

220 **Abundance of active and dormant microbes in soil**

221

222 We used a flow-cytometric single-cell metabolic assay to quantify active and
223 dormant microbial abundance (del Giorgio and Gasol, 2008). Immediately after
224 measuring R_H and collecting soil samples in each plot, we mixed 1 g of soil with 9 mL of
225 distilled, sterile water and vortexed this solution for 1 min. We filtered the solution
226 (particle retention > 11 μm) to remove large debris. We sampled 0.8 mL of the filtered
227 solution and added 0.1 mL of 4',6-diamidino-2-phenylindole (DAPI; 5 μg DAPI mL^{-1}
228 final concentration) and 0.1 mL of 5-cyano-2,3-ditolyl tetrazolium chloride (CTC; 5 mM
229 final concentration) to stain all and respiring-only cells, respectively. DAPI stains the
230 DNA of all viable cells while only metabolically active cells can transform the electron

231 acceptor CTC to the fluorophore CTC-formazan (Kaprelyants and Kell, 1993). We mixed
232 this solution in a shaker for 30 min and then stopped the reaction by storing the samples
233 in coolers with ice packs. Immediately after processing all samples, we shipped them to
234 the flow cytometry facility at Indiana University, USA. To check for potential auto-
235 fluorescence in soil, we also analyzed negative-control unstained samples for each plot.
236

237 To estimate the abundance of active (i.e., DAPI and CTC co-labeled) and dormant
238 (i.e., DAPI-only labeled) cells, we used an LSRII flow cytometer (Becton-Dickinson, San
239 Jose, CA) equipped with Forward Scatter PMT (FSC-PMT) for improved resolution of
240 small particles. We used the FACSDiva v.6.1.3 software for data analysis. DAPI was
241 excited with a 20mW 405nm laser, and detected using a 450/50 filter, while CTC was
242 excited with a 30mW 488nm laser, and detected using a 695/40 filter. To further resolve
243 small particles, we set the LSRII window extension at 2.00 (rather than the default of
244 10.00). To minimize the amount of debris and background included in the sample
245 analysis, we set thresholds at 1000 and 750 for FSC-PMT and SSC parameters,
246 respectively. We ran controls (unlabeled, DAPI, and CTC) for each sample, and saved
247 10,000 events per sample. The sample injection was rinsed after each sample in order to
248 minimize any cross contamination between samples. An example of the analysis output
249 (Figure S1) is shown in the supplementary material.

250

251 We acknowledge three caveats with our approach for measuring abundance of
252 active and dormant cells: 1) we did not measure dead cells, so our estimate of dormant
253 cells could be overestimated by non-CTC stained cells that were not viable, 2) a fraction

254 of the dormant cells in soil could have been activated when suspending samples in water
255 for dye application, which would underestimate the proportion of inactive cells, and 3)
256 Because CTC stains bacteria but not fungi and because we measured abundance of
257 CTC/DAPI labeled cells based on light scattering characteristics of *Escherichia coli* (see
258 supplementary material), our measurements of microbial activity reflect bacteria but not
259 fungi. Based on these caveats, we made the following assumptions: We assumed that the
260 cell structure of most dead cells was compromised, preventing the cells from retaining
261 DAPI-labeled DNA and/or affecting its light scattering characteristics, and we therefore
262 assumed that most dead cells were not counted as dormant. We also assumed that any
263 activation of dormant cells during the exposure to the dyes was minimal (which seems
264 reasonable considering the low fractions of active bacteria – see Results) and similar for
265 all samples (i.e., allowing comparisons among treatments). Because bacteria dominated
266 the root-free soils considered in this study (see Results), we suspect that measurements of
267 bacterial activity are a reasonable indicator of overall soil microbial activity.

268

269 **Microbial biomass and relative abundances of microbial groups**

270

271 We measured microbial biomass, fungi:bacteria ratios, and Gram-positive:Gram-
272 negative ratios with the phospholipid fatty acid (PLFA) method (Hurst et al., 1997). We
273 estimated microbial biomass based on analysis of phospholipid phosphates (PLPO₄) and
274 fungi:bacteria and Gram-positive:Gram-negative ratios based on analysis of
275 phospholipids fatty acids (as in Acosta-Martinez et al., 1999). We extracted lipids from 5
276 g of soil (bags stored at 4 °C) using a chloroform/methanol/phosphate buffer and

277 fractionated phospholipids using column chromatography. We measured PLPO₄
278 colorimetrically at 610 nm (DU[®]730 UV/VIS spectrophotometer, Beckman Coulter, Inc.,
279 Fullerton, CA) and fatty acids via Gas Chromatography-Mass Spectrometric Detection
280 (Agilent 7890, Agilent 5975 MSD, Agilent Technologies Inc., Santa Clara, CA).

281

282 We calculated fungi:bacteria ratio as the predominant fungal PLFAs 18:2w6,
283 divided by the sum of the predominant bacteria PLFAs 14:0, i15:0, a15:0, 15:0, 16:0,
284 10Me16:0, i17:0, a17:0, cy17:0, Me18:0, and cy19:0 (Bååth and Anderson, 2003).
285 Similarly, we calculated Gram-positive:Gram-negative ratios as the sum of Gram-
286 positive PLFAs i15:0, a15:0, i17:0, and a17:0, divided by the sum of Gram-negative
287 PLFAs cy19:0 and cy17:0 (Joynt et al, 2006).

288

289 The PLFA method does not allow a highly resolved analysis of the composition
290 and structure of microbial communities in soil. However, previous studies have shown
291 that respiration responses to the environments are conserved at fairly coarse phylogenetic
292 scales (Lennon et al., 2012).

293

294 **Statistical analysis**

295

296 We first analyzed the effects of the warming and precipitation treatments (fixed
297 effects) on R_H, using a mixed-effects model that included time as a fixed effect and block
298 as a random effect. For this we used the *lmer* function from the *lme4* package (Bates et
299 al., 2014) in R, version 3.3.1. We then used a multiple correlation analysis (*lm* function)

300 to estimate how much of the seasonal (fixed effect) differences in R_H were explained by
301 soil temperature, moisture, Total Microbial Biomass (TMB), Active Microbial Biomass
302 (AMB), and the relative abundance of microbial groups (i.e., fungi:bacteria and Gram-
303 positive:Gram-negative ratios). We used the *glmulti* function, from the *glmulti* package
304 (Calcagno and de Mazancourt, 2010), to select the best statistical model. We compared
305 models based on the Bayesian Information Criterion (BIC), which accounts for
306 differences in the number of explanatory variables among models.

307

308 **Results**

309

310 The warming and precipitation treatments affected environmental conditions, but
311 had little effect on R_H in these relatively dry soils. Instead, R_H differed across seasons.
312 These seasonal differences in R_H were explained better by temperature and the abundance
313 of actively respiring cells than by environmental or microbial variables alone.

314

315 **Effect of experimental warming on soil temperature**

316

317 The warming treatments increased ($P < 0.05$; Table S1) soil temperature in both
318 seasons (Figure 1 a and b). Soil temperature was affected by the precipitation treatments
319 as well. Soil temperature was higher ($P < 0.05$) in the dry (and less plant-shaded) plots
320 than in the ambient and wet plots, especially in the Fall ($P = 0.06$). In the summer, soil
321 temperature ranged from 21.5 ± 1.2 °C in the unheated plots to 23.9 ± 2.0 °C in the high
322 heated plots. In the fall, soil temperature ranged from 15.4 ± 1.5 °C in the unheated plots

323 to 17.9 ± 1.7 °C in the high heated plots. Differences in soil temperature between
324 unheated and high heated plots were ca. 2.5 °C in both seasons, while differences
325 between seasons were in average ca. 6 °C. Overall, differences in soil temperature were
326 larger between seasons than across warming treatments.

327

328 **Effect of precipitation manipulation on soil moisture**

329

330 Although there were differences ($P < 0.05$) in soil moisture across seasons and
331 precipitation treatments (Figure 1 c and d; Table S2), soils were fairly dry ($<20\%$ v/v) in
332 all cases. Differences in soil moisture across treatments were larger ($P = 0.02$) in the fall
333 than in the summer. In the fall, soils from the dry treatment (ca. 5% v/v) were drier than
334 those from the ambient and wet plots (ca. 12% v/v in both precipitation treatments across
335 all warming treatments). Averaged across treatments, soils were drier in the summer (6%
336 v/v) than the fall (9% v/v; $P < 0.01$) (see also Figure 2c).

337

338 **Effects of warming and precipitation treatments on R_H**

339

340 R_H did not differ ($P > 0.05$) across the warming and precipitation treatments but it
341 differed ($P < 0.05$) between seasons (Figure 1 e and f; Table S3). In the summer, R_H
342 averaged 2.88 ± 0.30 $\mu\text{mol m}^{-2} \text{s}^{-1}$ across all the warming and precipitation treatments. By
343 the fall, average R_H (1.16 ± 0.05 $\mu\text{mol m}^{-2} \text{s}^{-1}$) had decreased ($P < 0.05$) by more than 50%.
344 Although in the fall R_H tended to increase from dry to wet plots (Figure 1f), this trend
345 (like all other differences across treatments) was not statistically significant (Table S3).

346

347 **Effects of warming and precipitation treatments on microbial parameters**

348

349 Microbial parameters differed between seasons but were unaffected or weakly
350 affected by treatments (Figure 2 and Tables S4-7). Although microbial biomass
351 responded to warming under some precipitation treatments (i.e., marginal interaction, $P =$
352 0.07 ; Figure 2 a and b; Table S4), and active microbial biomass and fungi:bacteria ratios
353 marginally ($P = 0.05$ and $P = 0.06$, respectively) increased with warming, differences in
354 microbial parameters across treatments were small in comparison with the marked
355 differences between seasons. From summer to fall, microbial biomass and Gram
356 positive:Gram negative ratios increased ($P < 0.05$) by 60% (Figures 2 a and b; Table S4)
357 and 16% (Figures 2 g and h; Table S7), respectively; fungi:bacteria ratios did not change
358 (Figure 2 e and f; Table S6); and active microbial biomass decreased ($P < 0.05$) by 40%
359 (Figure 2 c and d; Table S5).

360

361 **Predictors of seasonal R_H**

362

363 Seasonal differences in R_H were primarily explained by temperature and the
364 abundance of metabolically active microbes in soil (Table 1). The statistical model that
365 best fitted our data ($P < 0.05$, BIC = 69.7, Table S4) surprisingly suggests that decreases
366 in R_H between the summer and fall were associated with increases ($P < 0.05$) in TMB,
367 TMB having a different influence on R_H in each season ($P < 0.05$). For reasons that we
368 discuss below, we also analyzed the second best statistical model ($P < 0.05$, BIC = 81.5),

369 which suggests that seasonal decreases in R_H from summer to fall were associated with
370 decreases in temperature and in the abundance of actively respiring cells in soil (Table 1).

371

372 Overall, temperature and moisture explained seasonal soil respiration better than
373 microbial processes alone, but incorporation of microbial data increased explanatory
374 power (Figure 3). Our results suggest that, on average, $\log(R_H)$ increased by $0.11 \mu\text{mol}$
375 $\text{m}^{-2} \text{s}^{-1}$ per 1°C increase in soil temperature (Table 1) and that the magnitude of this
376 response increased with the abundance of active microbes in soil (Figure 4). This model
377 explained 35% (adjusted R^2) of the variation in R_H .

378

379 **Discussion**

380

381 Recently, the policy relevance of soil C-climate feedbacks has motivated a wide
382 range of research on mechanisms that regulate soil C cycling, and their associated
383 temporal scales. One of those mechanisms is the metabolic activation and deactivation of
384 soil microbes in response to favorable and stressful environmental conditions. Previous
385 studies have demonstrated that soil respiratory responses to temperature and moisture at a
386 temporal scale of hours to days are associated with microbes switching between active
387 and dormant metabolic states (Placella et al., 2012; Barnard et al., 2015; Salazar et al.,
388 2016). However, less is known about the importance of these mechanisms over longer
389 timescales. The results of this study suggest that the abundance of active microbes in soil
390 changes at the seasonal scale too, and that these shifts affect soil respiration rates.

391

392 The abundances of total and active microbes in soil can change across seasons. In
393 our study, AMB (and R_H) was greater in the summer than in the fall. However, TMB was
394 greater in the fall than in the summer. Increases in TMB between June and October could
395 have been partially caused by increases in soil moisture, which likely facilitated access of
396 microbes to nutrients. Increases in soil moisture during the still warm end of the summer
397 could have stimulated microbial growth, but decreases in temperature in the fall likely
398 induced a large proportion of microbes in the soil to enter dormancy. This could explain
399 why AMB was higher in the summer than in the fall, even though TMB was lower. We
400 know of only one other study that simultaneously monitored TMB and AMB at the
401 seasonal scale. In it, Van de Werf and Verstraete (1987) found TMB to increase by 29%
402 from June to August in a fallow topsoil, while AMB remained practically unchanged
403 (Van de Werf and Verstraete, 1987). However, in winter-wheat soil both TMB and AMB
404 increased from June to August (Van de Werf and Verstraete, 1987). This suggests that
405 seasonal changes in TMB and AMB can also be affected by soil type and/or agricultural
406 practices (see also Girvan et al., 2003). Similarly, in the first year of a two-year warming
407 study in a temperate forest (Schindlbacher et al., 2011), microbial biomass increased
408 (both in heated and unheated treatments) by 30% from July to September, while
409 microbial metabolic activity (measured as soil respiration rates per concentration of
410 microbial biomass) decreased by 50%. Interestingly, in the second year, these trends
411 reversed (Schindlbacher et al., 2011). Together, our results and these observations show
412 that the amount of total and active microbial biomass in soil do not necessarily change in
413 the same direction and at the same time across seasons.
414

415 Seasonal changes in microbial biomass can happen in parallel with changes in
416 community composition. In our study, increases in TMB (and decreases in AMB)
417 between summer and fall were accompanied, on average, by a decrease in fungi:bacteria
418 ratios (Figure 2 e and f) and an increase in Gram positive:Gram negative ratios (Figure 2
419 g and h). The change in fungi:bacteria ratio could have been caused by warmer summer
420 temperatures favoring fungi over bacteria (Zhang et al., 2005, Castro et al., 2010) and/or
421 by faster bacterial growth between the summer and the fall as soil moisture increased
422 (Figure 1 c and d). Although fungi play a key role in soil C cycling in some systems such
423 as nutrient-rich forest soil (Baldrian et al., 2012), the root-free soil from this experiment
424 was dominated by bacteria (fungi:bacteria ratios were always < 0.1). This suggests that
425 changes within the bacterial community may have been more important for soil C cycling
426 than relative changes in the abundance of fungi and bacteria.

427

428 Our multiple regression analysis suggests two alternative, and possibly
429 complementary, explanations for why the microbial parameters discussed above
430 contribute to seasonal R_H . The statistical model that best fitted our data suggests a
431 relationship between seasonal decreases in R_H with increases in TMB. An inverse
432 relationship between TMB and R_H could reflect pulses of R_H caused by active microbes
433 recycling necromass C (Geyer et al., 2016). It is plausible that the more severe dryness in
434 the summer than in the fall in our study, led to elevated microbial mortality and therefore
435 to a larger abundance of necromass C accessible to active microbes. However, given the
436 capacity of microbes to adjust their metabolism and remain viable under stressful
437 conditions, the contributions of cell lysis to soil C fluxes is probably insignificant

438 (Halverson et al., 2000). We do not know of any other biological process that could
439 explain this result and therefore recommend caution when interpreting its causality. On
440 the other hand, the statistical model that provided the second-best fit to our data suggests
441 that seasonal decreases in R_H from summer to fall were driven by decreases in soil
442 temperature and in the abundance of metabolically active microbes in soil. This is
443 consistent with theory of microbial physiology (Stenström et al., 2001; Schimel et al.,
444 2007; Lennon and Jones, 2011) and with experiments conducted at short temporal scales
445 (Placella et al., 2012; Aanderud et al., 2015; Barnard et al., 2015; Salazar et al., 2016). If
446 our one-time measurements of active/dormant biomass from summer and fall are close to
447 the respective seasonal averages, our results would indicate that temperature and
448 microbial metabolism data alone are powerful in predicting seasonal R_H .

449

450 Although Gram-positive:Gram-negative ratios did not contribute to the best-
451 fitting models of R_H (Tables 1 and S8), changes within the bacterial community could
452 help to explain the relationship between AMB and R_H . Increases in Gram-positive:Gram-
453 negative ratio from summer to fall could have been associated with different capabilities
454 of the bacterial groups to cope with moisture stress. Gram-positive bacteria have a
455 peptidoglycan-rich cell wall that makes them more resistant to dry conditions than Gram-
456 negative bacteria (Halverson et al., 2000; Fuchslueger et al., 2014). Considering that soils
457 in our experiment were relatively dry (< 20% v/v) in both seasons, it is likely that Gram-
458 negative bacteria were more severely affected by moisture stress than their thick-cell-wall
459 counterparts. Some Gram-negative bacteria have higher maximum respiration rates than
460 Gram-positive bacteria (e.g. Acidobacteria vs. Actinobacteria, respectively; Lennon et al.,

461 2012). It is possible that from summer to fall there were larger decreases in the
462 abundance of metabolically active microbes with high maximum respiration rates but low
463 resistance to dryness (e.g. Acidobacteria), relative to bacterial groups with low maximum
464 respiration rates but high resistance to dryness (e.g. Actinobacteria). However, not all
465 Gram-negative bacteria have higher maximum respiration rates than Gram-positive
466 bacteria. Gram-positive Firmicutes have higher maximum respiration rates than Gram-
467 negative Acidobacteria, Bacteroidetes and Proteobacteria (Lennon et al., 2012).
468 Therefore, decreases in R_H between summer and fall could also have been associated
469 with metabolic deactivation of microbes with high maximum respiration rates and high
470 resistance to dryness. We would need a more resolved composition analysis to know
471 which (if any) of these alternative explanations was the case in our study. Nonetheless,
472 our results suggest that, as soil moisture levels change, the abundance of microbial
473 groups with different levels of resistance to dryness could influence the size of the
474 microbial pool that remains metabolically active.

475

476 Finally, our findings suggest that the effect of temperature on R_H gets stronger
477 with the abundance of metabolically active microbes in soil. Microbes that are pushed to
478 enter dormancy by moisture stress are practically unaffected by changes in temperature.
479 However, the metabolic rates (e.g. respiration) of active microbes are sensitive to
480 temperature (Anderson and Domsch, 1985) and therefore it is reasonable to expect that a
481 greater abundance of active microbes in soil makes R_H more sensitive to temperature.
482 This builds on previous observations of R_H being less sensitive to warming (and

483 precipitation) under dry, presumably water-stressed conditions (Schindlbacher et al.,
484 2012, Suseela et al., 2012; Koyama et al. 2018).

485

486 In summary, we found that 1) seasonal changes in total microbial biomass in soil
487 do not necessarily reflect changes in the amount of microbial biomass that is
488 metabolically active and capable of driving soil C processes, 2) the metabolic state of soil
489 microbial communities can be more important for seasonal R_H than the relative
490 abundances of microbial groups such as fungi and bacteria (Gram-positive and Gram-
491 negative), and 3) the magnitude of the temperature effect on R_H increases with the
492 abundance of metabolically active microbes in soil. This work builds on recent research
493 distinguishing active from dormant microbes and highlighting the importance of the
494 metabolically active community for microbe-driven processes. Although few studies to
495 date have linked microbial metabolic state patterns with rates of soil CO_2 efflux, our
496 findings suggest the possibility that recent increases in global soil respiration rates could
497 be linked to climate-driven increases in the abundance of metabolically active microbes
498 in soil.

499

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774 **Tables**

775

776 **Table 1.** Statistics of the best explanatory model for seasonal R_H . Significance codes: P <

777 0.001 '***', 0.001 < P < 0.01 '**'.

	Estimate	Std. Error	t-value	P
Intercept	-0.894	0.614	-1.455	0.152
Temp	0.107	0.025	4.254	< 0.001***
Temp:Moisture	-7.429	6.058	-1.226	0.226
Temp:log(AMB)	0.008	0.003	2.730	0.009**

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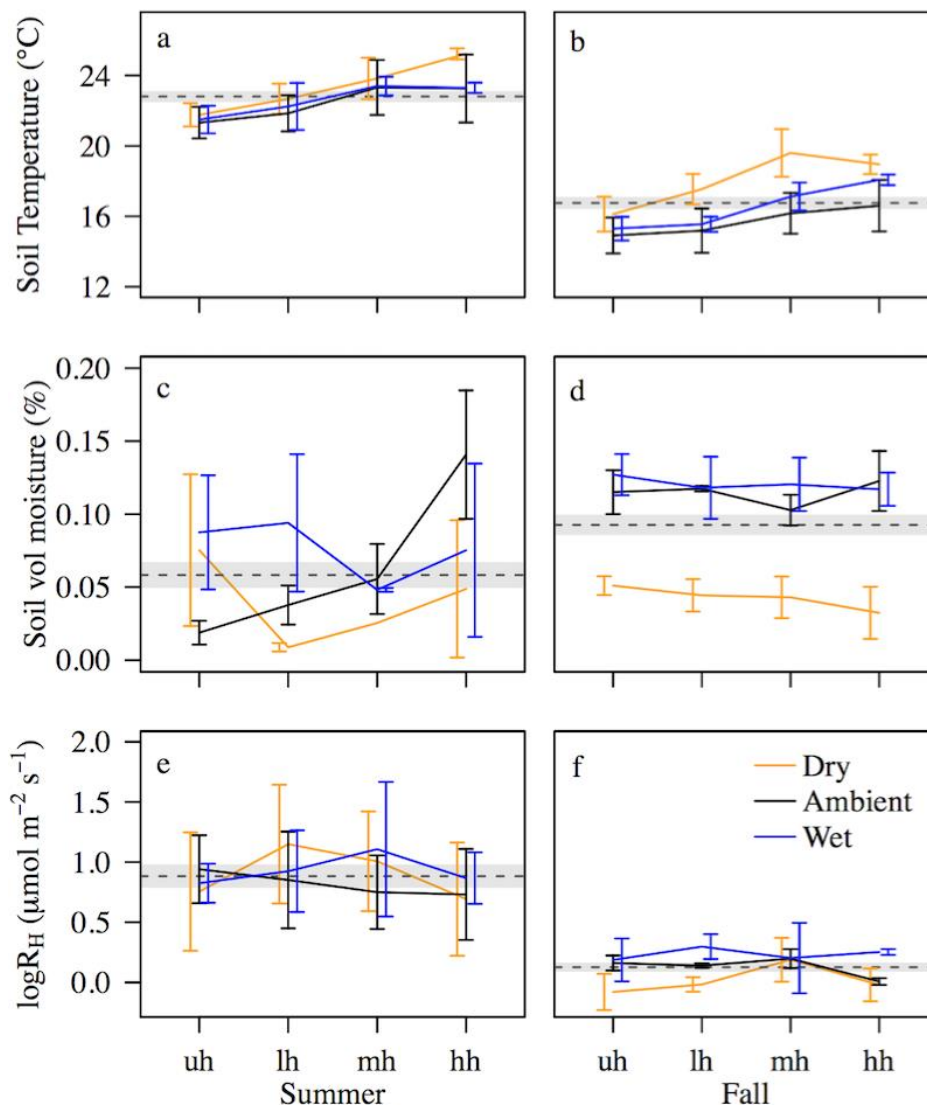
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795 **Figures**

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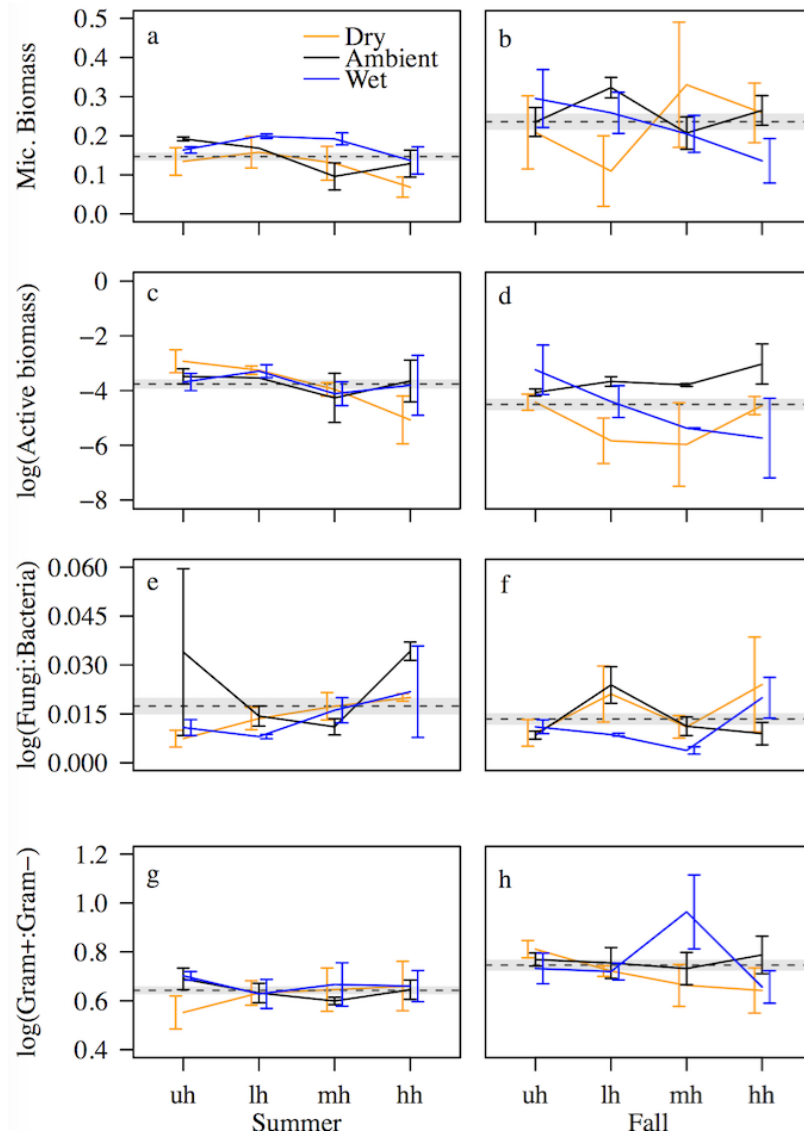
799 **Figure 1.** Soil temperature (a,b), moisture (c,d), and RH (e,f) in summer (a,c,e) and fall

800 (b,d,f) across warming and precipitation treatments. uh: unheated, lh: low heat, mh:

801 medium heat, and hh: high heat. Statistics in Tables S1-3. Values are means \pm SE.

802 Dashed lines and shaded areas indicate averaged \pm SE values in each season. No error bar

803 is shown in the mh-dry treatment in panel c because of missing data.



804

805 **Figure 2.** Microbial biomass (a,b; in phospholipid phosphate g^{-1} soil), Active biomass

806 (c,d; in phospholipid phosphate g^{-1} soil), Fungi:Bacteria ratios (e,f), and Gram

807 positive:Gram negative ratios (g,h), in summer (a,c,e,g) and fall (b,d,f,h) across warming

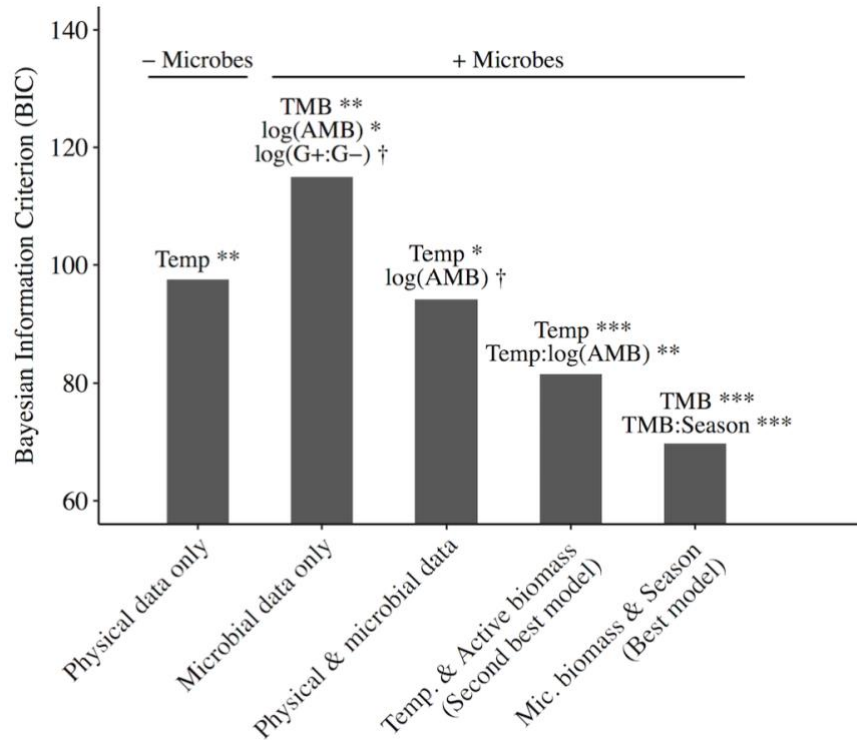
808 and precipitation treatments. uh: unheated, lh: low heat, mh: medium heat, and hh: high

809 heat. Statistics in Tables S4-7. AMB, and Fungi:Bacteria and Gram positive:Gram

810 negative ratios in statistical models were log transformed to meet assumptions. Values

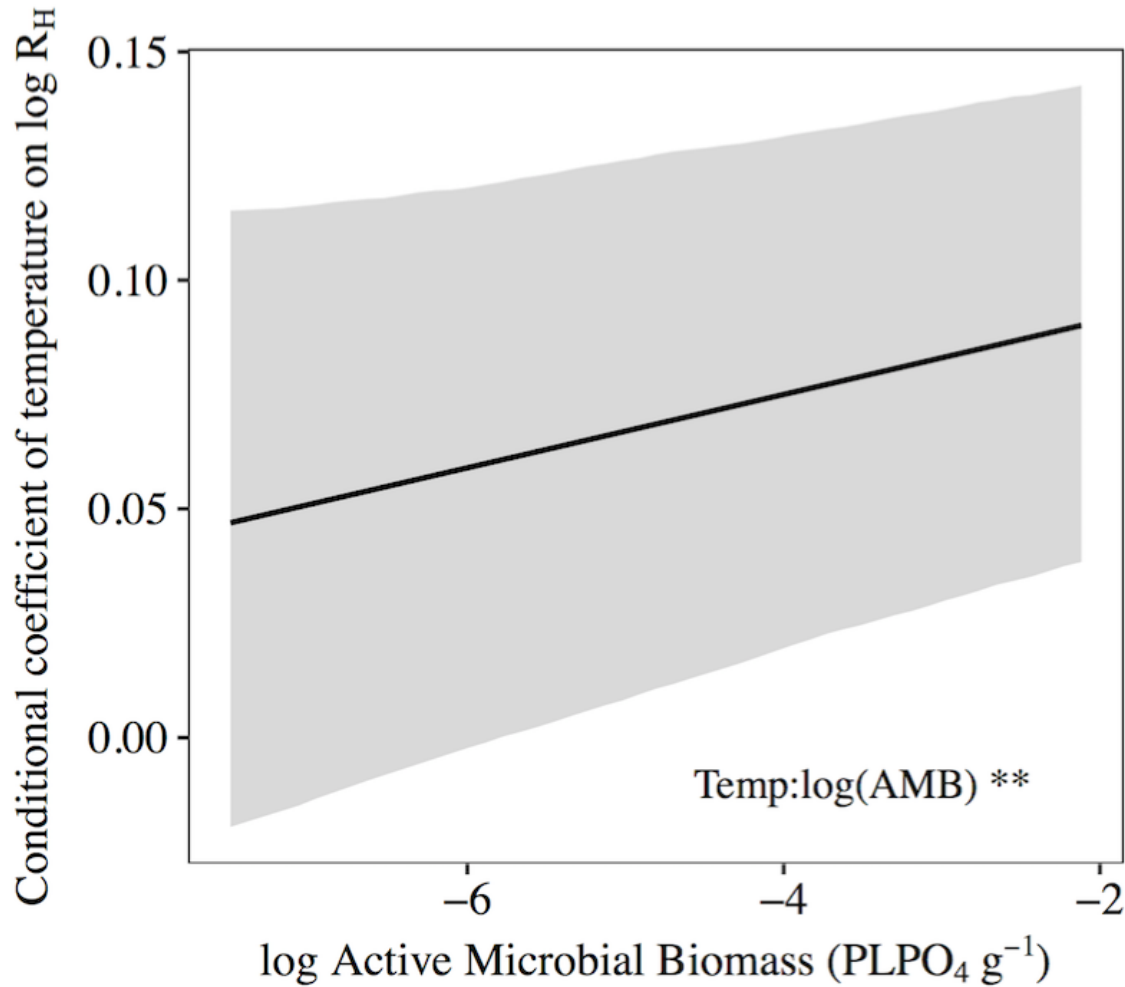
811 are means \pm SE. Dashed lines and shaded areas indicate averaged \pm SE values in each

812 season. No error bars in lh-ambient treatment in panels a and c because of missing data.



813

814 **Figure 3.** Goodness of fit, as measured by the Bayesian Information Criterion (BIC), of
815 models of $\log(R_H)$ that include different categories of explanatory variables. Lower
816 scores indicate better model fits. Categories include models fitting $\log(R_H)$ as a function
817 of only physical conditions (as a function of temperature and moisture; statistics in Table
818 S10); only values related to microbes: TMB, $\log(\text{AMB})$, $\log(\text{Fungi:Bacteria})$, and
819 $\log(\text{Gram-positive:Gram-negative})$; statistics in Table S9); with microbes and physical
820 conditions (as a function of temperature, moisture, TMB, $\log(\text{AMB})$, $\log(\text{Fungi:Bacteria})$,
821 and $\log(\text{Gram-positive:Gram-negative})$; statistics in Table S11); the second best model
822 (as a function of temperature and the interaction between temperature and $\log(\text{AMB})$;
823 statistics in Table 1); and the best (but see discussion) model (as a function of TMB, and
824 the interactions between $\log(\text{AMB})$ and moisture, and TMB and season; statistics in
825 Table S8). Significance codes: $P < 0.001$ '***', $0.001 < P < 0.01$ '**', $0.01 < P < 0.05$ '*',
826 $0.5 < P < 0.1$ '†'.



827

828 **Figure 4.** Changes in the coefficient of soil temperature, in the two-way interaction term
829 with AMB (Table 1), conditional on AMB (*interplot* function in R). AMB in statistical
830 model was log transformed to meet assumptions. Grey area indicates 95% confidence
831 intervals.