1 Chronic aromatase inhibition increases ventral hippocampal neurogenesis in middle-aged female
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28   Abstract
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Letrozole, a third-generation aromatase inhibitor, prevents the production of estrogens in
the final step in conversion from androgens. Due to its efficacy at suppressing estrogens,
letrozole has recently taken favor as a first-line adjuvant treatment for hormone-responsive breast
cancer in middle-aged women. Though patient response to letrozole has generally been positive,
there is conflicting evidence surrounding its effects on the development of depression. It is
possible that the potential adverse effects of letrozole on mood are a result of the impact of
hormonal fluctuations on neurogenesis in the hippocampus. Thus, to clarify the effects of
letrozole on the hippocampus and behavior, we examined how chronic administration affects
hippocampal neurogenesis and depressive-like behavior in middle-aged, intact female mice.
Mice were given either letrozole (1mg/kg) or vehicle by injection (i.p.) daily for 3 weeks.
Depressive-like behavior was assessed during the last 3 days of treatment using the forced swim
test, tail suspension test, and sucrose preference test. The production of new neurons was
quantified using the immature neuronal marker doublecortin (DCX), and cell proliferation was
quantified using the endogenous marker Ki67. We found that letrozole increased DCX and Ki67
expression and maturation in the dentate gyrus, but had no significant effect on depressive-like
behavior. Our findings suggest that a reduction in estrogens in middle-aged females increases
hippocampal neurogenesis without any adverse impact on depressive-like behavior; as such, this
furthers our understanding of how estrogens modulate neurogenesis, and to the rationale for the
utilization of letrozole in the clinical management of breast cancer.
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51   Keywords: Letrozole; estrogens; depression; neurogenesis; hippocampus; middle-aged females;
52   Ki67; doublecortin
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1. Introduction

Estrogen-suppressive therapy is a common and effective adjuvant treatment of hormone-responsive breast cancer in postmenopausal women. Letrozole, a non-steroidal aromatase inhibitor (AI) that prevents the conversion of androgens into estrogens in the final steps of the estrogen-synthesis pathway, is a first-line treatment of choice. Despite its demonstrated benefits on breast cancer progression, there is conflicting clinical and pre-clinical evidence regarding its adverse effects on mood and cognition. Recently, the effects of letrozole on cognition have attracted more attention, but the evidence for its effects on depression is less understood. Both clinical and pre-clinical trials have found opposing effects of letrozole on mood and behavior (Borbélyová et al., 2017; Chang et al., 2015; Kokras et al., 2018, 2014; Meng et al., 2011). Most animal studies to date have used rodents of varying ages, gonadal hormone status, sex, and duration of treatment, resulting in conflicting data that are poorly understood.

Women are susceptible to developing depression during times of dramatic hormone fluctuations such as postpartum and perimenopause. Suppression of ovarian hormones can induce a depressive-like phenotype in women and rodents (Frokjaer et al., 2015; Mahmoud et al., 2016a), suggesting that a reduction in estrogens renders females more susceptible to depression. Thus, it is possible that the adverse effects of letrozole on mood may be a result of its action on suppressing estrogens.

The hippocampus has a high concentration of estrogen receptors, and is a region that is implicated in the pathoetiology of depression. Estrogens modulate adult hippocampal neurogenesis, with chronic exposure suppressing neurogenesis independent of its effects on upregulating cell proliferation (Mahmoud et al., 2016b). Decreased hippocampal neurogenesis is seen in depressed patients and in animal models of depression, which is restored with antidepressant treatment (Boldrini et al. 2012, Green and Galea, 2008, Mahmoud et al., 2016a). Furthermore, androgens enhance hippocampal neurogenesis in adult male rodents (Hamson et al., 2013) but it is not known whether androgens can modulate neurogenesis in females. Additionally, letrozole modulates cell proliferation in hippocampal dispersion cultures in-vitro from postnatal day 5 rats (Fester et al., 2006). It is possible that changes in neuroplasticity serve as a neural basis for local estrogens to exert their effects on mood. Therefore, we sought to investigate the effects of estrogen suppression due to chronic letrozole treatment on depressive-like behavior and hippocampal neurogenesis in middle-aged female mice.

2. Methods

2.1 Subjects

Nineteen C57/Bl6J female mice 10-12 months of age were obtained from the Animal Care Centre at the University of British Columbia. All animals were maintained on a 12h light/dark cycle (lights on at 07:00h), group housed (2-3) and given ad libitum access to food (Purina chow) and water. All procedures were performed in accordance with ethical guidelines set by the Canadian Council on Animal Care, and approved by the Animal Care Committee at the University of British Columbia.

2.2 Drug preparation and treatment

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All animals received daily intraperitoneal (i.p.) injections of 1mg/kg letrozole or saline
vehicle for 21 days (see Fig. 1A; dose chosen due to Aydin et al., 2008, Kokras et al., 2014,
2018). Letrozole was dissolved in 0.9% saline at 0.1mg/mL, dissolved with aid of ultrasonic
bath. We chose to give i.p. injections rather than oral administration and it is important to
acknowledge that route of administration can influence neural and behavioral consequences of
drug treatment. However, injections are more likely to give consistent drug quantities compared
to oral routes of administration (Kott et al., 2016; Ingberg et al., 2012; Pawluski et al 2014),
thereby ensuring accurate dosing.
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112 2.3 Behavioral Testing
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114   Behavioral Testing occurred during days 18-20 of 21 days of letrozole or saline
115 treatment, with the exception of the Sucrose Preference Test, which was administered weekly. A
116 timeline of the procedures is shown in Figure 1A.
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Figure 1: A) Experimental timeline. B) Photomicrograph of the hippocampal region being
analyzed viewed at 40x magnification. GCL: granule cell layer. DG: dentate gyrus. C and D)
Mean density of doublecortin (DCX)-expressing cells in the dentate gyrus (DG). Letrozole
significantly increased the density of DCX-expressing cells in the ventral region (C), but not
dorsal (D). E-F) Representative photomicrographs of DCX-expressing cells, viewed at 400X
magnification. FST: forced swim test; TST: tail suspension test; SPT sucrose preference test.
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128 Forced Swim Test (FST) and Tail Suspension Test (TST)
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FST and TST were conducted as described previously (Can et al. 2011; Can et al. 2012;
Saeedi Saravi et al., 2016). Each mouse was subjected to a single 6-minute FST and TST session
on separate days. FST was conducted in a vertical glass beaker (30cm height x 20cm diameter)
filled with clean water (24°C) at a depth of 15cm. In the TST session, mice were suspended by
their tails above the ground with a 17cm strip of tape within a 3-walled rectangular chamber.
Both tests were videotaped and scored using BEST collection software (Educational Consulting,
Hobe Sound, FL, USA) by an individual blind to treatment condition. Percent time spent in
mobile and immobile behaviors were analyzed, excluding the first two minutes (Can et al.,
2011).
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140 Sucrose Preference Test (SPT)
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Each mouse was habituated to a 1% sucrose solution and the two-bottle procedure by
introducing two identical bottles with water and 1% sucrose (counterbalanced) into their home
cage for a 48h period. After acclimatization, the test was administered for three days before the
start of treatment (baseline) and then once a week for 3 weeks over the course of letrozole
treatment as previously done (Gross and Pinhasov, 2016; Mahmoud et al. 2016 ; Strekalova et al.
2006; Wainwright et al. 2016). Briefly, mice were single housed and simultaneously food and
water deprived for 4h. Mice were then presented with 2 bottles for 12h between 20:00h and
08:00h, after which they were re-paired with cage mates. Sucrose preference was calculated
using the formula: sucrose preference=(sucrose consumed/(sucrose + water consumed))x100.
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152 2.4 Tissue Collection
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Twenty-four hours after TST, mice were given an overdose of sodium pentobarbital, and
blood was collected by cardiac puncture. Mice were transcardially perfused with 0.9% saline
followed by 4% paraformaldehyde. Brains were extracted and post-fixed in paraformaldehyde
overnight at 4°C. Brains were transferred to 30% sucrose and stored at 4°C. Brains were sliced in
30µm coronal sections using a Leica SM2000R microtome (Richmond Hill, Ontario, Canada).
Sections were stored in antifreeze (20% glycerol and 30% ethylene glycol in 0.1M PBS) at -
20°C until processing.
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162 2.5 Doublecortin (DCX) Immunohistochemistry
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Sections were rinsed in phosphate buffered saline (PBS) and treated with 0.6% hydrogen
peroxide in dH20 for 30 minutes. Sections were rinsed and incubated for 24h at 4°C in primary
antibody solution: 1:1000 goat anti-doublecortin (Santa Cruz Biotechnology, Santa Cruz, CA,
USA), 0.04% Triton-X in PBS, and 3% normal rabbit serum. Sections were then rinsed and
incubated in secondary antibody solution for 24h at 4°C: 1:1000 rabbit anti-goat (Vector
Laboratories, Burlington, ON, Canada) in 0.1M PBS. Then, sections were rinsed and incubated
in an avidin-biotin complex (ABC Elite Kit, 1:1000, Vector Laboratories) in PBS for 2hr.
Sections were rinsed and subsequently 2 x 2min in 0.175M sodium acetate buffer.
Immunoreactants were visualized using diaminobenzadine (DAB) in the presence of nickel
(DAB peroxidase substrate kit, Vector), mounted on slides, dried, dehydrated and coverslipped.

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2.6. Ki67 Immunohistochemistry
Sections were rinsed in phosphate buffered saline (PBS) and treated with 0.6% hydrogen
peroxide in dH2O for 30 minutes. Sections were rinsed and incubated for 45 minutes at 90°C in
2X saline-sodium citrate buffer. Sections were rinsed with PBS and then incubated for 1 hour at
room temperature in 2% normal horse serum and 0.2% Triton-X in PBS. Sections were rinsed
with PBS and incubated for 20 hours at 4°C in primary antibody solution 1:3000 mouse anti-
Ki67 (BD Biosciences, San Jose, CA, USA), 2% normal horse serum, and 0.2% Triton-X in
PBS. Sections were then rinsed with PBS and incubated for 1 hour at room temperature in
secondary antibody solution 1:1000 anti-mouse (Vector Laboratories, Burlington, ON, Canada),
2% normal horse serum, and 0.1% bovine serum albumin in PBS. Sections were then rinsed in
PBS and incubated for 1 hour at room temperature in avidin-biotin complex (ABC Elite Kit,
1:500, Vector Laboratories, Burlington, ON, Canada) in PBS. Sections were rinsed with PBS.
Immunoreactants were visualized using diaminobenzidine (DAB peroxidase substrate kit,
Vector) and rinsed with PBS. Sections were then mounted on slides, dried, dehydrated, and
coverslipped.
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2.7 Microscopy, cell quantification, and cell phenotyping
An investigator blinded to treatment condition quantified DCX- and Ki67-expressing
cells. DCX-expressing cells were quantified in the granule cell layer of the dentate gyrus in every
10th section along the rostral-caudal axis, using the 40x objective on an Olympus CX22LED
brightfield microscope. Raw counts were multiplied by 10 to get an estimate of the total number
of DCX-expressing cells, separately in dorsal and ventral regions. Ki67-expressing cells were
quantified in the granule cell layer of two dorsal and two ventral slices along the rostral-caudal
axis, using the 100x objective on a Nikon E600 microscope. Areas of the granule cell layer of
each slice counted were quantified using ImageJ (NIH, Bethseda, MD) and used for density
calculations (number of cells per mm^3).
DCX morphology (Figure 2A) was analyzed using the 100× objective on an Olympus
CX22LED brightfield microscope. 50 DCX-expressing cells (25 dorsal GCL and 25 ventral
GCL) were randomly selected for each animal, and categorized into one of three maturational
stages based on previously established criteria (Plumpe et al. 2006): proliferative (no process or
short process), intermediate (medium process with no branching), or post-mitotic (long processes
with branching into the GCL and molecular layer).
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208 2.8 Determination of estrous cycle phase and serum 17β-estradiol levels
209   Vaginal cells were collected by lavage on days 17-21 of the experimental timeline.
210 Estrous cycle phase was determined as described previously (Brummelte and Galea, 2010).
211 Serum 17β-estradiol was quantified by radioimmunoassay kit according to manufacturer's
212 instructions (DSL-4800 Ultra-sensitive Estradiol RIA, Beckmann Coulter, Missisauga, ON).
213
2.9 Data Analyses
All statistical analyses were performed using Statistica software (Tulsa, OK). Behavioral
tests (TST, FST), density of Ki67- and DCX-expressing cells, and morphology of DCX-
expressing cells were each analyzed using repeated measures analysis of variance (ANOVA)
with drug treatment (letrozole or vehicle) as the between-subjects factor and behavior (immobile,
mobile), region (dorsal, ventral) or cell type (type 1,2,3) as within-subjects factor with age as a

covariate. Serum 17β-estradiol levels, uterine mass and adrenal mass were analyzed using a
student's t-test. Percent sucrose preference and percent change in body mass were analyzed with
a repeated measures ANOVA with drug treatment as the between-subjects factor and week as the
within-subjects factor. Post-hoc analyses used the Newman-Keuls test, and a priori tests utilized
Bonferroni corrections. We also tested for violations of normality (Kolmogorov-Smirnov test)
and homogeneity of variance for each variable. These assumptions were not violated, so
parametric statistics were conducted. Pearson product moment correlations were also conducted
on variables of interest.

3. Results

3.1 Letrozole upregulated the density of immature neurons (DCX-expressing cells) and cell
proliferation (Ki67-expressing cells) in the dentate gyrus
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Letrozole treatment significantly increased the density of DCX-expressing cells (main effect
of treatment ($F_{(1,16)}=8.12$, $p<0.011$, $\eta_p^2= 0.34$) in the granule cell layer of the dentate gyrus
(Figure 1C). Indeed, letrozole treatment increased the density of DCX-expressing cells in the
ventral ($p=0.004$; cohen's $d=1.09$; Figure 1C) more so than the dorsal region ($p=0.06$, Cohen's
$d=0.20$; Figure 1D). Overall there were more DCX-expressing cells in the dorsal compared to the
ventral region (main effect of region: $p=0.02$, $\eta_p^2= 0.22$).
Letrozole treatment increased the number of Ki67-expressing cells, regardless of region
(main effect of treatment $F_{(1,17)}=6.28$, $p=0.023$, $\eta_p^2= 0.27$; Figure 2C). There were no other
significant main or interaction effects (p's>0.3). Because proestrous state can increase cell
proliferation (Tanapat et al., 1999) we ran a t-test to determine if proestrous state was associated
with increased Ki67-expressing cells but this was not significant ($t(17)=1.59$, $p=0.13$).
243
3.2 Letrozole decreased the proportion of proliferative DCX-expressing cells and increased the
proportion of more mature DCX-expressing cells in the ventral dentate gyrus

A priori analysis revealed letrozole decreased the percentage of proliferative (type 1, Figure
2B) DCX-expressing cells compared to saline in the ventral region ($p<0.012$; Cohen's $d=1.17$)
but not the dorsal region ($p=0.77$; Cohen's $d=0.12$). There was a non-statistically significant
increase in the proportion of intermediate (type 2, Figure 2B) DCX-expressing cells ($p=0.06$) in
the letrozole-treated mice, but no other statistically significant differences between groups (p's
>0.2).

Figure 2 A) Photomicrograph at 1000x of the three types of DCX-expressing cells based on morphology: Type 1 cell, proliferative; Type 2 cell, intermediate;Type 3 cell, post-mitotic, modified definitions from Plumpe et al., 2006. B) Percentage of DCX-expressing cells in the DG in each maturational stage. Letrozole decreased the proportion of type 1 (proliferative) DCX-expressing cells compared to controls, with a non-significant increase the proportion of type 2 (intermediate) DCX-expressing cells (p<0.06). C) Mean density of ki67-expressing cells in the dentate gyrus. Letrozole significantly increased the total density of ki67-expressing cells. D) Photomicrograph taken at 1000x of a cluster of Ki67-expressing cells. *p<0.05. ± SEM.

3.3   Letrozole had no significant effect on behavior in the SPT, TST, and FST
    In the FST, TST, or SPT there were no significant differences in behaviors between groups (all p's > 0.15, Figure 3A-C).

267 **Figure 3 A-C:** Letrozole had no significant effect on any measure of depressive-like behavior
268 (all p's > 0.15). A) Percent time spent mobile and immobile in the forced swim test. B) Percent
269 time spent mobile and immobile in the tail suspension test. C) Percent change from baseline
270 sucrose preference over 3 weeks of the sucrose preference test. ±SEM.

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272 3.4 Letrozole significantly decreased uterine mass and serum 17β-estradiol levels, but did not
273 influence estrous cycle

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Letrozole-treated mice had significantly lower uterine mass than controls (t(17)=2.55,
p=0.02; Cohen's d=0.966; Table 1). Consistent with this outcome, letrozole decreased 17β-
estradiol levels (letrozole: 14.4 ± 1.5) compared to controls (18.9±1.9; t(8)=1.78, p=.05, one-
tailed, Cohen's d=1.15). The values of estradiol were low likely due to age. There was no
significant effect of letrozole on body mass from baseline to the last day or adrenal mass (p's >
0.41; Table 1). In the saline group, 6 mice were in constant diestrus, 2 in estrus and 1 was
cycling, while in the letrozole group 4 were in constant diestrus, 4 in constant estrus and 2 were
cycling. These distributions were not significantly different according to a chi-square (χ^2=1.35,
p=0.51). There were no significant effects of letrozole to influence body or adrenal mass (p's <
0.11; Table 1).

Table 1. Serum 17β-estradiol concentrations, body, and organ mass across both groups.
Letrozole decreased uterine mass and serum 17β-estradiol levels.

	Saline	**Letrozole**
Uterine mass (g)	0.06 ±0.005	0.045 ±0.005*
Body Mass (g) baseline	26.3 ±0.66	25.65 ±0.81
Body Mass (g) last day	26.3 ±0.55	26.05 ±0.95

Adrenal mass (g)	0.0063±0.0008	0.007±0.0002
17β-estradiol levels (pg/ml)	18.9±1.9	14.4± 1.5*

* Significantly different from saline-treated groups.

3.5 Correlations

There were no significant correlations between neurogenesis markers and behavior (P's >0.3).

4. Discussion

We found that letrozole increased the density of immature neurons in the ventral dentate
gyrus of middle aged females. This is consistent with findings that long-term ovariectomy in
middle-aged female rats increased, while estrogens decreased the survival of immature neurons
(Barha et al., 2015). Additionally, we found that letrozole lowered the proportion of the least
mature DCX-expressing neurons. This suggests that letrozole increased the rate of maturation of
immature neurons, promoting survival past the proliferative phase into the intermediate and post-
mitotic phase, consistent with a trend for letrozole to increase type 2 DCX-expressing cells. As
DCX is expressed for 28 days in mice (Snyder et al., 2009), this would have entailed that some
of the more mature cells were produced without letrozole exposure. However, when we
examined cell proliferation using the endogenous marker Ki67, we found that letrozole increased
cell proliferation in the dentate gyrus, regardless of region. Ki67 is expressed for approximately
24 h in every part of the cell mitotic cycle except for G_0 and the initial stages of G_1. The
discrepancy between the length of expression of Ki67 and DCX (1 day and up to 28 days,
respectively), likely accounts for the opposing effects seen on DCX-expressing type 1 cells
versus Ki67-expressing, as type 1 cells likely expressed DCX for a longer timeframe. It is also
important to acknowledge that Ki67 may have been influenced by TST, whereas type 1 DCX
cells could have been influenced throughout the last 4 days of behavior testing. It is also possible
that the stress effects associated with behavior testing could influence Ki67 expression.
However, females do not normally show a decrease in cell proliferation in response to acute
stress (Falconer and Galea, 2003, Tzeng et al., 2014), unlike males (Falconer and Galea, 2003,
Tzeng et al., 2014). Finally, we find no significant correlations between Ki67-expression and
immobility in the TST or FST. The lack of association between variables suggests that the stress
of testing did not influence Ki67 expression in middle-aged female mice.
Studies from the Rune laboratory have found that letrozole decreases cell proliferation in
hippocampal cultures taken from postnatal day 5 Wistar rats (Fester et al., 2006) and decreases
synapses in immature (postnatal day 9) and adult intact female Wister rats (Bender et al., 2010).
These findings are consistent with our findings that letrozole decreased the percentage of
proliferative (Type 1) DCX-expressing cells, but inconsistent with our results that chronic
letrozole increased cell proliferation (Ki67-expressing cells). However, these findings taken all
together, suggest that there are age and possibly sex-related differences in the effects of letrozole
on cell proliferation and neurogenesis in the hippocampus. Future studies should determine the

impact of chronic letrozole on the survival of new neurons independent of its effects on cell
proliferation, in an age- and sex-dependent manner.
Interestingly, letrozole's effects on the density of DCX-expressing cells were seen
exclusively in the ventral hippocampus. The ventral hippocampus is implicated in modulating
stress and affect (Fanselow and Dong, 2010). Curiously, survival of new neurons in females is
increased in the ventral hippocampus with trace eyeblink conditioning involving a shock (Dalla
et al. 2009), but not after pattern separation (Yagi et al., 2016) or Morris water maze training
(Chow et al., 2013). It would be interesting to examine whether the increase in cell proliferation
with letrozole affects fear or appetitive-based conditioning and cognition. Given this, coupled
with our lack of findings on affective behavioral measures, it suggests neural consequences of
letrozole may be seen either prior to any affective behavioral changes, or on different types of
behavior with more prolonged letrozole exposure.
Chronic letrozole had no significant effect on measures of depressive-like behavior
(FST,TST, SPT) in the present study, consistent with other studies examining immobility in the
FST (Kokras et al., 2018, 2014; Meng et al., 2011). However, acute letrozole in young,
ovariectomized female rats had an antidepressant effect in the FST, while chronic letrozole had
no such effect (Kokras et al., 2014). Chronic letrozole in young, ovariectomized mice increased
anxiety in the open field test and elevated plus maze (Meng et al., 2011) while chronic letrozole
in middle-aged cycling female rats had no effect on anxiety in the elevated plus maze
(Borbélyová et al., 2017). Collectively these results suggest that chronic letrozole treatment has
no significant effect on depressive-like behavior in middle-aged females. Our values in
immobility in the FST were high even for saline-injected females (~60%) which is much
different from control values for FST in female rats of approximately 20% immobility
(Mahmoud et al., 2016). However, our findings are consistent with data in mice with studies
indicating levels of immobility in untreated mice around 50-90% of immobility (Akanmuet al
2007; Can et al., 2012; Frye, 2011, Liou et al., 2012). There are known differences between mice
and rats in the FST (Molendijk and de Kloet ER, 2019) but to our knowledge no one has
described the differences in baseline immobility time as a function of mice versus rats. In the
future, the issue of interpretation of the FST would benefit from a careful review of the literature
on FST findings in mice versus rats. Future studies should consider the effects of letrozole on
depressive-like behavior in the context of a challenge such as chronic stress or cancer, because
situations that increase inflammation could impact the effects of letrozole and may provide more
robust effects on behavior.
It is possible that an increase in testosterone that accompanies aromatase inhibition is
responsible for mitigating negative behavioral effects of estrogen depletion. We did attempt to
measure serum testosterone in this study, but the levels in serum were below detection threshold
in middle-aged females and future studies should examine testosterone levels in brain after
chronic letrozole treatment in middle-aged female mice. Castrated male rats are more susceptible
to developing depressive-like endophenotypes following chronic stress (Wainwright et al.,
2011). Similarly, in men, hypogonadism is associated with depressive symptoms, and androgen
treatment can ameliorate these symptoms (Zarrouf et al., 2009). Furthermore, testosterone given
to surgically menopausal women can elevate mood (Sherwin, 1988). Further studies could
evaluate the role of testosterone on mood after aromatase inhibition in middle-aged females.
Finally, in our study we saw no significant effects of letrozole on depressive-like or coping
strategies in middle-aged females, but other studies have seen effects of acute letrozole on

memory in female mice (Tuscher et al., 2016) and the testing of the effects of chronic letrozole
on decision making may be warranted.
370
371 5. Conclusion
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We demonstrate that in middle-aged female mice, chronic letrozole increased
hippocampal neurogenesis but had no effect on depressive-like behavior. Future studies could
investigate more behaviors that may be regulated by ventral neurogenesis in the hippocampus
such as fear motivated learning (Dalla et al., 2009). Furthermore, it is important for studies to
investigate the mechanisms behind potential neuropsychiatric effects of aromatase inhibitors on
middle-aged women, which may shed light on the impact of adjuvant cancer treatments on
quality of life.
380
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