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2

3 **Title:** Unleashing floret fertility by a mutated homeobox gene improved grain yield during
4 wheat evolution under domestication

5

6 **Authors:**

7 Shun Sakuma^{1,2,3}✉, Guy Golan⁴, Zifeng Guo², Taiichi Ogawa^{1,5}, Akemi Tagiri¹, Kazuhiko
8 Sugimoto^{1,5}, Nadine Bernhardt², Jonathan Brassac², Martin Mascher^{2,6}, Goetz Hensel², Shizen
9 Ohnishi⁷, Hironobu Jinno⁷, Yoko Yamashita⁸, Idan Ayalon⁴, Zvi Peleg⁴, Thorsten
10 Schnurbusch^{2,9}✉, Takao Komatsuda^{1,5}✉

11

12 **Affiliations:**

13 ¹National Institute of Agrobiological Sciences (NIAS), 2-1-2 Kan-non-dai, Tsukuba, Ibaraki 305-8602, Japan; ²Leibniz Institute of
14 Plant Genetics and Crop Plant Research, 06466 Seeland/ OT Gatersleben, Germany; ³Faculty of agriculture, Tottori University,
15 4-101 Koyama-cho Minami, Tottori 680-8553, Japan; ⁴The Robert H. Smith Institute of Plant Sciences and Genetics in Agriculture,
16 The Hebrew University of Jerusalem, Rehovot 7610001, Israel; ⁵Institute of Crop Science, National Agriculture and Food Research
17 Organization, Tsukuba, Ibaraki 305-8518, Japan; ⁶German Centre for Integrative Biodiversity Research (iDiv) Halle-Jena-Leipzig,
18 Deutscher Platz 5e, 04103 Leipzig, Germany; ⁷Kitami Agricultural Experiment Station, Hokkaido Research Organization,
19 Tokoro-gun, Hokkaido, Japan; ⁸Central Agricultural Experiment Station, Hokkaido Research Organization, Yubari-gun, Hokkaido,
20 Japan; ⁹Faculty of Natural Sciences III, Institute of Agricultural and Nutritional Sciences, Martin Luther University
21 Halle-Wittenberg, Von-Seckendorff-Platz 3, 06120 Halle, Germany

22

23 ✉**Corresponding authors:**

24 Shun Sakuma

25 Faculty of agriculture, Tottori University, 4-101 Koyama-cho Minami, Tottori 680-8553, Japan

26 Phone: +81 857-31-5864

27 Email: ssakuma@tottori-u.ac.jp

28

29 Thorsten Schnurbusch

30 Leibniz Institute of Plant Genetics and Crop Plant Research, 06466 Seeland/ OT Gatersleben, Germany

31 Phone: +49-39482-5341

32 Email: schnurbusch@ipk-gatersleben.de

33

34 Takao Komatsuda

35 Institute of Crop Science, National Agriculture and Food Research Organization (NARO), Kannondai 2-1-2, Tsukuba 305 8518,
36 Japan

37 Phone: +81 29-838-7482

38 Email: takao@affrc.go.jp

39

40 **Keywords:**

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42 **Abstract**

43 Floret fertility is a key trait to determine the number of grains per inflorescence in cereals.
44 During wheat (*Triticum* sp.) evolution, floret fertility has been increased and current bread
45 wheat (*T. aestivum* L.) produces three to five grains per spikelet; however, little is known about
46 the genetic basis controlling floret fertility. Here we identify the quantitative trait locus *Grain*
47 *Number Increase 1* (*GNI1*), encoding a homeodomain leucine zipper class I (HD-Zip I)
48 transcription factor. *GNI1* evolved in the Triticeae through gene duplication and
49 functionalization. *GNI1* was predominantly expressed in the most apical floret primordia and
50 parts of the rachilla, suggesting that *GNI1* inhibits rachilla growth and development. *GNI1*
51 expression decreased during wheat evolution, and as a consequence, more fertile florets and
52 grains per spikelet are being produced. Genetic analysis revealed that the reduced-function
53 allele of *GNI1-A* contributes to increase the number of fertile florets per spikelet. The
54 knockdown of *GNI1* in transgenic hexaploid wheat improved fertile floret and grain number.
55 Furthermore, wheat plants carrying the impaired allele increased grain yield under field
56 conditions. Our findings illuminate that gene duplication and functionalization generated
57 evolutionary novelty for floret fertility (i.e. reducing floral numbers) while the mutations
58 towards increased grain production were under selection during wheat evolution under
59 domestication.

60

61 **Significance Statement**

62 Grain number is a fundamental trait for cereal grain yield; but its underlying genetic basis is
63 mainly unknown in wheat. Here we show for the first time a direct link between increased floret
64 fertility, higher grain number per spike and higher plot-yields of wheat in the field. We have
65 identified *GNI1* gene encoding an HD-Zip I transcription factor responsible for increased floret
66 fertility. The wild type allele imposes an inhibitory role specifically during rachilla development,
67 indicating that expression of this protein actively shuts-down grain yield potential; whereas, the
68 reduced-function allele enables more florets and grains to be produced. *GNI1* evolved through
69 gene duplication in Triticeae and its mutations were under parallel human selection during
70 wheat and barley evolution under domestication.

71 \body

72 **Introduction**

73 The tribe Triticeae within the Pooideae subfamily of the Poaceae family consists of
74 approximately 30 genera with 360 species including economically important cereal crops such
75 as bread wheat (*Triticum aestivum* L.), durum wheat [*T. turgidum* ssp. *durum* (Desf.) MacKey],
76 barley (*Hordeum vulgare* L.) and rye (*Secale cereale* L.) (1). Triticeae plants produce an
77 unbranched inflorescence called spike. While most species develop a single spikelet on each
78 rachis node like wheat; others can produce two or more spikelets on each rachis node (2). The
79 wheat spike is consisting of several spikelets with a terminal spikelet at the apex while each
80 spikelet generates an indeterminate number of florets attached to a secondary axis, called
81 rachilla (3, 4). Final grain number per spikelet is determined by the fertility of each floret within
82 a spikelet (5, 6). At the white anther stage, a wheat spikelet normally produces up to ten to 12
83 floret primordia (**Fig. 1A**); however, during development more than ~70% of the florets will
84 undergo degeneration. This phenomenon is known as the floret abortion (6, 7). Despite its
85 prominent importance for grain number determination and the potential for grain yield
86 improvement, little is known about the genetic basis of floret fertility in wheat.

87

88 Two polyploidization events produced allohexaploid bread wheat consisting of three
89 subgenomes (B, A, and D)(8, 9). The wild emmer [*T. turgidum* ssp. *dicoccoides* (Körn.) Thell.;
90 $2n=28$, BBAA] allotetraploid genome arose about 0.36 to 0.5 million years ago, presumably
91 through a single event of hybridization and spontaneous chromosome doubling. The
92 A-subgenome originated from *T. urartu* ($2n=14$, AA-genome) and the B-subgenome from
93 *Aegilops speltoides* ($2n=14$, SS-genome) or an extinct closely-related species. Domesticated
94 emmer wheat (*T. turgidum* ssp. *dicoccum* Schrank) was derived from wild emmer and led to
95 durum wheat, which is most widely cultivated tetraploid wheat. A second polyploidization
96 event ~7,000 years ago between domesticated tetraploid wheat and *Ae. tauschii* ($2n=14$,
97 DD-genome) produced the ancestral allohexaploid wheat (*T. aestivum*; $2n=42$, BBAADD). As
98 the ploidy level increases, more floret/grain number per spikelet was produced in *Triticum*
99 species: one or two grains per spikelet in diploid (*T. urartu* and *T. monococcum*), two or three in
100 tetraploid, and more than three in hexaploid wheat (**Fig. 1B–H**) (10).

101

102 Genetic diversity of grass inflorescences determines its reproduction system, and hence, number
103 of branches, flowers and grains (11). The grass inflorescences are classified as a "raceme"
104 having one central monopodial axis, a "panicle" with primary and secondary branches, or a
105 "spike" without pedicels (flower stalk). Following cereals domestication, inflorescence

106 architecture has been improved as more reproductive branches, called spikelets, produced more
107 grains (12). Since inflorescence architecture has been a target for selection, a better
108 understanding of the genes that regulate spikelet development could help increase cereal grain
109 yield. The regulation of floret number per spikelet is a main determinant of spikelet architecture.
110 One traditional classification of ‘spikelet’ is whether it contains a determinate or indeterminate
111 number of florets. For example, the spikelet of rice (*Oryza sativa* L.), barley, sorghum
112 (*Sorghum bicolor* L.) and maize (*Zea mays* L.) are determinate, producing only one floret in rice
113 and barley and two florets per spikelet in sorghum and maize. On the other hand, an
114 indeterminate number of florets per spikelet are produced in wheat and oat (*Avena sativa* L.).
115 Interestingly, there are sterile florets in common independent of the determinacy of floret
116 number; e.g. two lateral florets in two-rowed barley, a lower floret in maize and sorghum, or
117 several apical florets in wheat and oat.
118
119 Recent studies have suggested that variations in grain number per spike had a larger effect on
120 wheat grain yield than variations in grain size (13, 14). Quantitative trait loci (QTLs) affecting
121 grain number per spike in wheat have been mapped; but their underlying gene(s) are yet to be
122 discovered (15-18). Genome-wide association analyses among European winter wheats revealed
123 a QTL on chromosome 2AL conferring enhanced grain number per spikelet (19); however the
124 responsible gene has not been elucidated. In this study, we investigated natural variations for
125 grain number per spikelet in polyploid wheats and their wild relatives and identify the
126 underlying gene for improved floret fertility and enhanced grain number. We also explored the
127 evolutionary trajectory of floret fertility during wheat evolution.

128 **Results**

129 **QTL cloning for grain number per spikelet**

130 To understand the genetic regulation in the number of fertile florets per spikelet, a population of
131 recombinant inbred substitution lines derived from a cross between durum wheat cv. Langdon
132 (LDN) and the substitution line DIC-2A(20) was investigated. The DIC-2A contained the
133 chromosome 2A from the wild emmer wheat accession ISR-A in the LDN genetic background
134 and produced two grains per spikelet on average while LDN has 2.4 on average (**Fig. 2A**).
135 Increased grain number per spikelet in LDN was mainly due to increased grains in basal and
136 central spike parts (**Fig. 2B**). QTL analysis detected a single major QTL with a log₁₀ odds
137 (LOD) score of 18.71 on chromosome 2AL, explaining 61% of the phenotypic variance (**Fig.**
138 **2C**). To further limit the region, backcrossed recombinant lines were developed and the QTL
139 was mapped as a simple Mendelian locus, named *Grain Number Increase 1*, *GNII-A* (**Fig. 2D**).
140 Fine mapping delimited the *GNII-A* locus within a 5.4 Mbp interval containing 26 putative
141 genes, including one that encoded an HD-Zip I transcription factor protein, which is the closest
142 wheat homolog of barley *Six-rowed spike 1* gene *vrs1* (21) (**SI Appendix, Table S1**).
143 Comparison of the parental sequences revealed a single amino acid substitution (N105Y: 105
144 asparagine to tyrosine) in the highly conserved homeodomain (**Fig. 2E**). The recombinant plants
145 carrying the LDN allele (105Y) showed a significantly higher grain number per spikelet than
146 the plants carrying the DIC-2A allele (105N) mainly due to an additive effect of the LDN allele
147 (**Fig. 2F**). Interestingly, the mutation in LDN was identical to the one already found in barley
148 six-rowed spike mutant *Int-d.41* allelic at the *vrs1* locus (21), strongly suggesting that the
149 HD-Zip I protein encoded by LDN allele most likely lost or reduced its function.

150

151 To verify the inhibitory role of *GNII-A*, we knocked-down its transcript levels using RNA
152 interference (RNAi). An RNAi construct was transformed into hexaploid wheat variety
153 Bobwhite, which contains the 105N allele. We obtained four transformants carrying
154 independent transgenic events and construct-positive plants showed more florets and grains per
155 spikelet on average than construct-negative plants (**Fig. 2G, H**). Reduced transcript levels of
156 *GNII* were confirmed from all transgenic events (**Fig. 2H**). These results corroborate the
157 hypothesis that functional *GNII-A* inhibits floret development in wheat. Other traits such as
158 plant height, spike number per plant, spike length, spikelet number per spike and grain size were
159 not significantly changed indicating the spatial specificity of the gene function (**SI Appendix,**
160 **Fig. S1**).

161 **Reduced-function allele of *GNI1-A* improved yield**

162 Kitahonami, one of the highest-yielding bread wheat varieties in Japan, also carried the 105Y
163 allele and showed 4.26 grains per spikelet on average (**Fig. 2I**). Pedigree analysis revealed that
164 the cultivars with the 105Y allele had significantly more grains per spikelet than the other
165 alleles (4.03 for 105Y; 3.21 for 105N and 3.07 for 105K), and the 105Y allele seems to be
166 introduced from UK cultivar, Norman (**SI Appendix, Fig. S2**). Through TILLING of
167 Kitahonami, we obtained heterozygous M2 plants with an Y105N mutation. As expected, the
168 homozygous 105N mutant plants had significantly fewer florets/grains per spikelet/spike and
169 grain weight per plant compared with carriers of the 105Y allele from the same M3 families
170 (**Fig. 2I**). The change of grain number per spikelet was mainly from the basal and central parts
171 of spike in agreement with the finding in tetraploid wheat. Other traits were not significantly
172 changed (**SI Appendix, Fig. S3**). These results strongly support the idea that the N105Y
173 mutation of the *GNI1-A* allele contributes to more grains per spikelet due to lowered apical
174 floret abortion during spikelet development.

175

176 To reveal the actual effects of the *GNI1-A* allele on grain yield in the field, yield tests were
177 conducted (**SI Appendix, Fig. S4**). Kitahonami mutants derived from M4 families (105N and
178 105Y) were compared in two environments (Kitami and Naganuma, Hokkaido, Japan) and 4
179 and 3 replications in each site, respectively. The results showed that the plants carrying the
180 105Y allele increased grain plot-yield from 10 to 30% in both sites. Grain number per spike was
181 slightly increased in the 105Y allele and thousand grain weight and number of spikes per plant
182 were not changed (**SI Appendix, Fig. S4**). Plant biomass was significantly reduced for the 105N
183 allele in the Kitami site. Harvest index was increased in the 105Y allele in both fields. This data
184 indicates that the *GNI1-A* 105Y allele contributes to the improved grain yields under field
185 conditions.

186

187 ***GNI1* transcripts accumulate in rachilla**

188 *GNI1* mRNA was localized by *in situ* hybridization of einkorn wheat in spikelet meristems,
189 which generates floret meristems on its lower flanks (**Fig. 3A–F**). After the differentiation of 2nd
190 and 3rd floret primordia at the terminal spikelet stage, *GNI1* signals were localized in the
191 spikelet meristem and apical floret (**Fig. 3B**). *GNI1* signals continued to localize to the spikelet
192 meristem at the white anther stage and were clearly detected in the rachilla bearing the florets
193 (**Fig. 3C–E**). These transcript data imply that *GNI1* inhibits apical floret development in
194 spikelets beyond floret 3 at least partly by inhibiting rachilla growth and development.

195

196 Quantitative RT-PCR analysis showed that *GNII-A* was predominantly expressed in immature
197 spikes (**Fig. 3G**). Peak mRNA levels were found from the white to green anther stage
198 corresponding to maximum floret primordia number (6). This pattern was conserved among
199 diploid einkorn and tetraploid wheat (**SI Appendix, Fig. S5**); however, *GNII-D* showed half of
200 the *GNII-A* transcript levels and *GNII-B* transcript levels were negligible in the organs
201 examined although its gene structure is conserved (**SI Appendix, Fig. S6**).

202
203 To better understand the underlying molecular mechanism related to the *GNII-A* mutation, an
204 RNA-seq profiles of the 105N and 105Y alleles derived from Kitahonami-mutant M4 families
205 were compared. The results revealed that nitrogen metabolic processes, sucrose metabolic
206 processes, and G-protein beta/gamma-subunit complex binding related genes were up-regulated
207 in the 105Y allele compared to 105N mutant allele supporting the increased grain number
208 phenotype in 105Y (**Datasets S1 and S2**). In accordance with the improved floret fertility found
209 in 105Y, we found that the florigenic *Flowering locus T* homolog, *FT-D1*, was upregulated;
210 while both other homoeo-alleles, *FT-A1* and *FT-B1*, were expressed at the same level in spikes
211 at white and green anther stages. Very similar expression patterns were observed in public
212 RNA-seq database (**SI Appendix, Fig. S7**). These results suggest that *FTI* may function as a
213 floral promoting factor during early floret development in wheat; while differential upregulation
214 of *FT-D1* might be the consequence of higher floral activity in a *GNII-A* 105Y allele
215 background.

216 217 **Natural variation of *GNII-A* in wheat**

218 To interrogate natural variation at the *GNII-A* locus, 72 tetraploid wheat accessions including
219 wild and domesticated emmer and durum wheat were sequenced. The panel revealed nine
220 haplotypes (**Fig. 4A, SI Appendix, Table S2**). Only durum wheat carried the 105Y allele and
221 showed a significantly higher number of grains per spikelet compared with wild and
222 domesticated emmer wheat (**Fig. 4B**). Furthermore, we examined grains per spikelet in three
223 different growth conditions. The results indicated that lines carrying the 105Y allele had a
224 higher number of grains per spikelet in all environments with high broad-sense heritability (H_{bs}
225 = 0.8), and stable trait expression even under low-yielding conditions (Ruhama site; **Fig. 4C**). In
226 bread wheat, sequencing revealed three haplotypes among 210 European winter wheat cultivars.
227 Hap1 and 2 contained the 105N allele and Hap3 contained the 105Y allele (**Fig. 4D, SI**
228 **Appendix, Table S3**). Number of florets at the green anther stage, indicative of maximal grain
229 number potential, was not significantly different between these three haplotypes (**Fig. 4E**).
230 Cultivars carrying Hap3 showed more grains per spikelet at apical (2.97) and central (3.95)

231 positions of the spike and on average (3.52) compared to the other cultivars carrying Hap1 (2.45
232 at apical, 3.58 at central, and 3.18 on average) and Hap2 (2.53 at apical, 3.64 at central, and 3.22
233 on average)(**Fig. 4F**). Furthermore, Hap3 cultivars showed more grains per spike, a higher spike
234 fertility index and ratio between spike and stem dry weight due to reduced stem and leaf dry
235 weight (*SI Appendix, Fig. S8*).

236

237 **Evolution of *GNI1* in Triticeae**

238 To shed more light on the evolution of *GNI1* (i.e. *HOX1*) in Triticeae, diverse collections of
239 wild species were sequenced by nucleotide sequence capture. All 14 genera examined in this
240 study had *HOX2* homologs, which is in line with the fact that *HOX2* is highly conserved in the
241 orthologous region in grass species (22). *HOX1* homologs were only present in seven genera;
242 *Hordeum*, *Dasyphyrum*, *Secale*, *Taeniatherum*, *Aegilops*, *Amblyopyrum*, and *Triticum* (*SI*
243 *Appendix, Fig. S9*). There were no taxa among Triticeae carrying only *HOX1*. These results
244 indicate that the duplication of the ancestral gene may have occurred after the divergence of
245 Triticeae. There might be two gene lineages: one including seven genera, such as
246 *Psathyrostachys*, *Pseudoroegneria*, *Agropyron*, maintained one copy without duplication event,
247 while the other seven genera, such as *Hordeum*, *Secale*, *Triticum*, retained two copies.

248

249 To query the relationship between the number of fertile florets and ploidy level, we investigated
250 diploid, tetraploid and hexaploid wheat relatives. As expected, a higher ploidy level increased
251 floret fertility except for *Aegilops* species. Diploid A-genome progenitor, *T. urartu* produced
252 three florets and a single grain per spikelet (**Fig. 5A, B**), while *Aegilops* species (S-,
253 D-genomes) showed three to six florets and one to four grains per spikelet (**Fig. 5A, B**).
254 Tetraploid wheat showed four to five florets and two to three grains. Hexaploid wheat showed
255 five to seven florets and three to five grains (**Fig. 5A, B**). To further test the relationship
256 between fertile floret number and *GNI1* transcript levels, qRT-PCR was performed. We found a
257 negative trend between the number of florets or grains per spikelet and *GNI1* mRNA expression
258 level (**Fig. 5C**), suggesting that the lower *GNI1* expression results in higher number of fertile
259 florets in both diploid and polyploid wheat. The implication was a functional diversification not
260 only between *HOX1* and *HOX2* but also among *HOX1* genes in the *HOX1*-carriers in the
261 process of their speciation.

262 **Discussion**

263 In this study, we show that the *GNI1* gene is a key determinant of grain number by controlling
264 floret fertility in wheat. *GNI1* encodes an HD-Zip I transcription factor that is preferentially
265 expressed during rachilla growth and development. Reduced-function mutation of *GNI1*
266 increases the grain number and yield without adverse effects on the number of spikes, number
267 of spikelet per spike and grain size. These results strongly suggest that improving floret fertility
268 (i.e. relieving floret abortion) is a promising breeding strategy for enhancing grain yield in the
269 unbranched "spike" type inflorescence like wheat. Increased floret numbers were observed in
270 mutated *APETALA2* (*AP2*) gene family transcription factors, such as *ids1* in maize and *Q* in
271 wheat, which control the spikelet meristem fate; however, their floret fertility is relatively low
272 (23, 24). This indicates that determining appropriate number of floret primordia, not
273 indeterminate number is useful for improving grain number. Optimization of both HD-Zip I (i.e.
274 *GNI1*) and *AP2* genes may help improving floret fertility, but its relationships remain elusive.
275 Identifying network genes under the control of *GNI1* and investigating the other genes
276 responsible for increased floret fertility will be important to further enhance grain yield in
277 wheat.

278
279 HD-Zip class I genes, including *GNI1* in wheat, have evolved through a series of gene
280 duplications, functionalizations and mutations (25-27). *GNI1* evolved through gene duplication
281 in Triticeae after the separation of Triticeae and Bromoae. Only seven genera, such as *Hordeum*,
282 *Secale*, *Triticum*, out of fourteen genera tested in this study experienced the gene duplication.
283 Seven genera, such as *Psathyrostachys*, *Pseudoroegneria*, *Agropyron*, maintained one copy
284 without duplication event. This notion was well supported by plenty of repetitions (species and
285 accessions) from each genus. Truncated HD-Zip I gene sequences were not evident from the
286 exome sequencing, indicating that the missing of the HD-Zip I in the second group was not a
287 consequence of spontaneous, independent deletions of the genes, but rather they were not
288 duplicated from the origin of their common ancestor. Interestingly, only plants of the first group
289 (with duplicated copies) were domesticated as cereal crops.

290
291 *GNI1* is the ortholog of the barley *Vrs1* gene, which controls lateral floret fertility. *Vrs1* inhibits
292 the development of lateral florets, especially pistil (21, 27), whereas loss-of-function mutations
293 produce up to three times as many grains per rachis node. While *Vrs1* mRNA signals
294 accumulate in rachilla and pistil (27), *GNI1* mRNA is highly accumulating during rachilla
295 growth and development, suggesting that this is its ancestral role. In addition, barley *Vrs1* may
296 have acquired a specific transcriptional expression pattern characteristic for the evolution of

297 lateral spikelet/floret/pistil development within the genus *Hordeum*. Our expression analysis
298 further suggests that *Aegilops* species (S and D genome) are likely to be pseudogenized,
299 whereas diploid *Triticum* (A genome) and *Hordeum* (H genome) species are functionalized (27).
300 These *GNI1/Vrs1*-mediated floral changes follow a theory of regulatory evolution: that
301 morphological diversity is driven by changes in gene expression pattern that minimize
302 pleiotropic effects while simultaneously maximizing adaptation (28, 29).
303
304 The single amino acid substitution in a conserved domain causing the reduced-function
305 mutation (105Y) of *GNI1-A* was selected after the divergence of durum wheat. Previous study
306 showed that six-rowed barley is originated from domesticated two-rowed type through
307 mutations of *Vrs1* (21). Taken together, these data suggest that the mutation for increased grain
308 number have undergone parallel selection during post-domestication of wheat and barley (30).
309 Its high allele frequency among durum wheats (96%) supports the notion for a selection towards
310 increased grain number. The mutant allele (105Y) is absent in any wild and domesticated
311 emmer wheat analyzed so far (among 64 accessions) suggesting that the mutation had negative
312 effect on plant's fitness under natural conditions. During wheat evolution under domestication,
313 the mutant allele become more beneficial in human-made environment, i.e. increased yields,
314 and such strong selection pressure resulted in fixation of the mutant allele in durum wheat. The
315 wildtype (105N) and mutant (105Y) alleles occurred in a 2:1 ratio in the European winter bread
316 wheat gene pool, suggesting that the 105Y allele may have entered the breeding programs more
317 recently. *GNI1* heritability was high and its allele effect was stable across environments (**Fig.**
318 **4C and SI Appendix, Fig. S4**), supporting the usefulness of the reduced-function allele in
319 wheat breeding programs to increase grain yield around the world.

320 **Methods**

321 **QTL mapping**

322 A population of 94 Recombinant Inbred Substitution Lines (RISLs) derived from a cross
323 between durum wheat [*T. turgidum* ssp. *durum* (Desf.) MacKey] cv. Langdon (LDN) and the
324 chromosome substitution line DIC-2A, which contains the chromosome 2A of wild emmer
325 wheat [*T. turgidum* ssp. *dicoccoides* (Körn.) Thell.] accession FA-15-3 (ISR-A) against the
326 LDN genetic background (20), was used for QTL analysis. The experiment was conducted in an
327 insect-proof screen-house at the experimental farm of the Hebrew University of Jerusalem in
328 Rehovot, Israel (34°47' N, 31°54' E; 54 m above sea level). A complete random block design,
329 with three replicates (single plant) was applied. Three spikes per plant were characterized for
330 number of spikelets and number of grains per spike. Number of grains per spikelet was
331 calculated accordingly. Linkage analyses and map construction were performed based on the
332 evolutionary strategy algorithm included in the MultiPoint package
333 (<http://www.MultiQTL.com>), as previously described (31). QTL analysis was performed with
334 the MultiQTL package, using the general interval mapping for RIL-selfing populations. The
335 hypothesis that one locus on the chromosome has an effect on a given trait (H_1) was compared
336 with the null hypothesis (H_0) that the locus had no effect on that trait. Once the genetic model
337 was chosen, 10,000 bootstrap samples were run to estimate the standard deviation of the main
338 parameters: locus effect, its chromosomal position, its LOD score and the proportion of
339 explained variation (PEV).

340

341 **Fine mapping**

342 For fine mapping of *GNI1-A* locus, three RISLs containing the DIC-2A allele in the QTL
343 interval (RISL #4, #63, #102) were backcrossed to LDN and then self-pollinated to produce
344 BC₁F₂. To identify recombinants within the genomic region including the *GNI-1A* locus, 1006
345 BC₁F₂ plants were screened with microsatellites markers *Xgwm558* and *Xcfa2043*. In the next
346 generation, BC₁F₃ families (8 seedlings per family) were screened again with markers *Xgwm558*,
347 *Xcfa2043* and *Xhbg494*. Homozygous recombinants were genotyped with 12 additional markers
348 (**SI Appendix, Table S4**) developed based on polymorphism between wild and domesticated
349 emmer wheat genomes (32). The phenotype of 17 BC₁F₄ homozygous recombinants was scored
350 in the field. A split plot, complete random block design ($n=5$), was carried out in insect-proof
351 screen-house at the experimental farm of the Hebrew University of Jerusalem in Rehovot, Israel.
352 Each plot (1×1 meter) contained twenty plants per line. Five spikes per plot were characterized
353 for number of spikelets per spike and number of grains per spike. Number of grains per spikelet
354 was calculated accordingly.

355

356 To examine the effect of the *GNI1-A* allele across environments, three field experiments were
357 analyzed. The first experiment was conducted in 2015-16 in Rehovot, with 13 RISLs and their
358 parental lines, LDN and DIC-2A. Two field experiments were conducted in 2016-17, in
359 Rehovot and in Ruhama (31°29' N, 34°42' E, 166m above sea level) using 18 BC₁F₄ lines and
360 LDN. All experiments were conducted as described above.

361

362 **Transformation of wheat**

363 We used a 323-bp fragment from the *GNI1-A* as RNA interference (RNAi) trigger. This
364 fragment was amplified using specific primers (*SI Appendix, Table S4*) and cloned it into a
365 pENTR D-TOPO vector (Thermo Fisher Scientific, Waltham, MA USA). The fragment was
366 transferred by LR recombination reaction into a pANDA-b vector in which transgene
367 expression was under control of the maize *Ubiquitin1* promoter (33). Transgenic wheat plants
368 were produced by a particle bombardment method using immature embryos of spring-type
369 bread wheat variety Bobwhite S-26 obtained from CIMMYT (34). Transgene-positive plants
370 were confirmed by PCR using vector specific primers (*SI Appendix, Table S4*).

371

372 **TILLING**

373 Winter-type bread wheat cv. Kitahonami was used as donor plants for mutagenesis. The
374 mutations were induced by gamma-ray irradiation (250 Gy) using mature grains. M1 plants
375 were grown in greenhouse and all M2 grains were harvested. The DNA was extracted from M2
376 plants and used as template of TILLING. *GNI1-A* specific primers (*SI Appendix, Table S4*)
377 were used to amplify the fragment. M3 family was evaluated in the NIAS experimental field in
378 Tsukuba, Japan (36°01' N, 140°06' E).

379

380 **Yield trials**

381 Kitahonami mutants (105Y allele and 105N allele) at M4 generation were used. The plants were
382 grown in the Kitami Agricultural Experiment Station (43°44'N, 143°43'W, Kitami, Hokkaido,
383 Japan) and the Central Agricultural Experiment Station (43°3'N, 141°45'W, Naganuma,
384 Hokkaido, Japan). At Kitami, each genotype consisted of four plots (5.4m² per plot, 200 grains
385 per m²). At Naganuma, each genotype consisted of three plots (4.8m² per plot, 200 grains per
386 m²). 156 kg N, 175 kg P, 70 kg K/ha at Kitami and 140 kg N, 125 kg P, 50 kg K/ha at
387 Naganuma were applied.

388

389 **RNA extraction and Absolute Quantitative Real-Time PCR**

390 Immature spikes were developmentally staged by observation under the stereoscopic
391 microscope (35). Total RNA was extracted using TRIzol (Invitrogen) and quantified using
392 NanoDrop 1000 (Thermo Fisher Scientific). To remove genomic DNA contamination, RNA
393 was treated with RNase-free DNase (Takara Bio). First-strand cDNA was synthesized with
394 SuperScript III (Invitrogen) and first-strand cDNA derived from 25 ng RNA was used as
395 template. Transcript levels of each gene were measured by quantitative real-time PCR using a
396 StepOne Real-Time PCR System (Applied Biosystems) and THUNDERBIRD SYBR qPCR
397 Mix Kit (Toyobo) according to the manufacturers' protocols. Primers used for qRT-PCR are
398 listed in *SI Appendix, Table S4*. Each gene fragment was cloned into pBluescript II KS (+).
399 Plasmid DNA harboring each gene fragment was used to generate standard curves for absolute
400 quantification. qRT-PCR analysis was performed at least three times for each sample.
401 Biological replicates of at least three independent RNA extractions per sample were performed.
402 The *Actin* gene was used to normalize the RNA level for each sample.

403

404 ***In situ* mRNA hybridization analysis**

405 The *GNII* gene segment comprising part of the 3'-UTR (300 bp) was amplified from cDNA
406 isolated from immature wheat spikes using specific primers (*SI Appendix, Table S4*). The PCR
407 product was cloned into the pBluescript II KS (+) vector (Stratagene). Two clones with different
408 insert orientations were linearized with *EcoRI* and were used as templates to generate antisense
409 and sense probes using T3 RNA polymerase. *In situ* hybridization was conducted as in
410 Komatsuda, *et al.* (21).

411

412 **RNA-sequencing and analysis**

413 For RNA-sequencing (RNA-seq), immature inflorescences at the white anther stage and green
414 anther stage of Kitahonami M4 families (105Y allele and 105N allele) were collected. Total
415 RNA was extracted using TRIzol (Invitrogen) and treated with DNase I (Roche). Quality of
416 RNA was measured using an Agilent 2100 Bioanalyzer (Agilent Technologies). RNA-seq
417 libraries were prepared using the NEBNext Ultra™ RNA Library Prep Kit for Illumina (NEB,
418 USA) and sequenced using a HiSeq 4000 (Illumina).

419

420 Transcript abundance (transcripts per million reads) was quantified by pseudo-alignment against
421 the representative transcripts of high-confidence and low-confidence genes annotated on the
422 IWGSC RefSeq V1 pseudomolecules (36) using Kallisto version 0.43.1 (37). Abundance
423 estimates were imported into the R statistical environment (38). Analysis of differential gene
424 expression was carried out with Limma-voom (39, 40). Differentially expressed genes (DEGs)

425 were required to have \log_2 fold change ≥ 2 or ≤ -2 between contrasted conditions and an
426 adjusted P -value ≤ 0.05 after Benjamini-Hochberg correction. Enrichment of gene ontology
427 (GO) terms was analyzed with topGO (41) using the GO term assignment of IWGSC, 2018.

428

429 **Haplotype analysis**

430 For resequencing, 72 tetraploid wheat accessions including 35 wild emmer, 14 domesticated
431 emmer and 23 durum were used (**SI Appendix, Table S2**). Out of 72, 30 accessions were
432 evaluated for the grain number per spikelet. A haplotype analysis was performed based on
433 resequencing data of the *GNII-A* (1,053 bp) from tetraploid wheat. Sequence alignments were
434 performed with ClustalW using MEGA7 software (42). A median-joining network (43) was
435 constructed using the software programs DNA Alignment version 1.3.3.2 and Network version
436 5.0.0.1 (Fluxus Technology) with default parameters (epsilon = 0; frequency . 1 criterion =
437 inactive; The ratio of transversion:transition = 1:1; Criterion = Connection cost; External
438 rooting = inactive; MJ square option = inactive). A total of 210 European winter bread wheat
439 cultivars (**SI Appendix, Table S3**) were used for resequencing *GNII-A* with specific primers (**SI**
440 **Appendix, Table S4**). Phenotypic data from Guo, *et al.* (19) was reanalyzed with *GNII-A*
441 haplotype data (**Dataset S3**).

442

443 **Phylogenetic analysis**

444 For phylogenetic analysis, 139 individuals of 35 species and 14 genera of Triticeae and
445 *Brachypodium* and *Bromus* as outgroup taxa were used (**SI Appendix, Table S5**). The sequence
446 capture was performed as previously described(44). For each taxon, a set of overlapping probes
447 was designed to cover each sequence at least four times. Probe sequences are listed in **Dataset**
448 **S4**.

449

450 The sequence assembly was performed using GENEIOUS v. 10.0.9 (45). For the diploid and
451 autotetraploid individuals, the reads were mapped simultaneously to barley *Vrs1* (AB478778)
452 and *HvHox2* (AB490233) using the “Medium-Low Sensitivity” parameter. Only reads for
453 which both sequences of a pair mapped were kept. For heterozygous diploid and allotetraploid
454 individuals, the haplotype phasing consisted of mapping followed by *de novo* assembly to
455 obtain two alleles or homoeologues per locus as previously described (46) with the following
456 modifications: diploid heterozygous individuals were phased individual-wise. As a control the
457 two obtained alleles from each individual were then used as references for mapping the
458 individual’s reads. For allotetraploid individuals, the phasing was performed only on the
459 individual of a species with the highest sequence coverage. The retrieved homoeologues were

460 then used as references (KU-8939, PI 428093). To control for potential chimeras the resulting
461 assemblies were carefully inspected and their contigs were aligned with MAFFT v. 7.308 (47).
462 For *Triticum aestivum*, *GNII* and *TaHox2* from Chinese Spring were used as references for read
463 mapping. All assemblies were inspected for misalignments and coverage inconsistencies.
464 Consensus sequences were called using the 'Highest Quality' threshold in GENEIOUS.
465
466 The multiple sequence alignment was performed in GENEIOUS v. 10.2.3 using MAFFT. The
467 alignment was checked by eye and trimmed to the coding sequences. The best-fit model of
468 nucleotide substitution was selected using JMODELTEST2 (48). The Bayesian information
469 criterion (49) selected K80 + G out of 24 tested models. The Bayesian phylogenetic inferences
470 was performed with MrBayes version 3.2.6 (50) on CIPRES (Cyberinfrastructure for
471 Phylogenetic Research) Science Gateway 3.3 (51, 52). Two parallel Metropolis coupled Monte
472 Carlo Markov chain analyses with four chains were run for six million generations sampling
473 trees every 500 generations. Convergence of the runs was assessed using the standard deviation
474 of split frequencies being <0.01. The continuous parameter values sampled from the chains
475 were checked for mixing using Tracer v1.6 (<http://beast.bio.ed.ac.uk/Tracer>). A consensus tree
476 was computed in MrBayes after removal (burn-in) of the first 25% of trees. The consensus tree
477 was visualized in FIGTREE v. 1.4.2 (<http://tree.bio.ed.ac.uk/software/figtree>) using the node
478 representing the most recent common ancestor of *Brachypodium* and the Triticeae as the root.

479

480 **Accession numbers**

481 The gene sequences are available from DNA Data Bank of Japan (DDBJ) under accession
482 numbers AB711370 – AB711394, AB711888 – AB711913 and NCBI GenBank under
483 accession numbers MH134165 – MH134483. The RNA-seq data have been submitted to the
484 European Nucleotide Archive under accession number PRJEB25119.

485

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503

504 **Author contributions**

505 S.S., G.G., Z.P., T.S. and T.K. designed research. S.S., G.G., Z.G., T.O., A.T., K.S., N.B., J.B.,
506 G.H., S.O., H.J., Y.Y., I.A., Z.P. performed experiments. M.M. analyzed NGS data. S.S., T.S.
507 and T.K. wrote the manuscript with contributions from all coauthors.

508

509 **References**

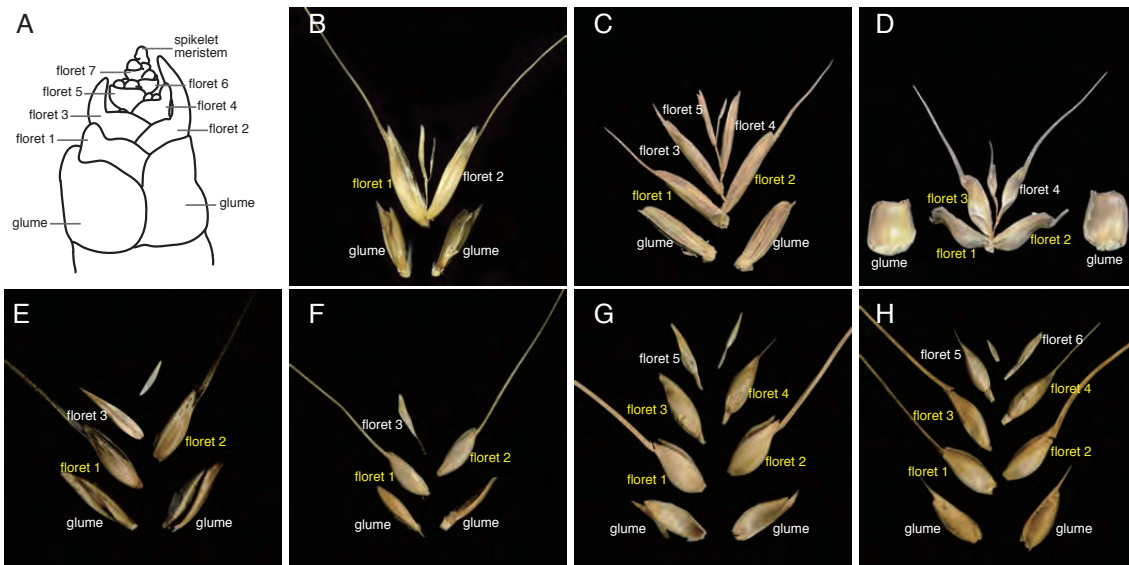
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637 **Figures**

638



639

640 **Fig. 1.** Structure of wheat spikelet. (A) Schematic model of wheat spikelet at white anther stage

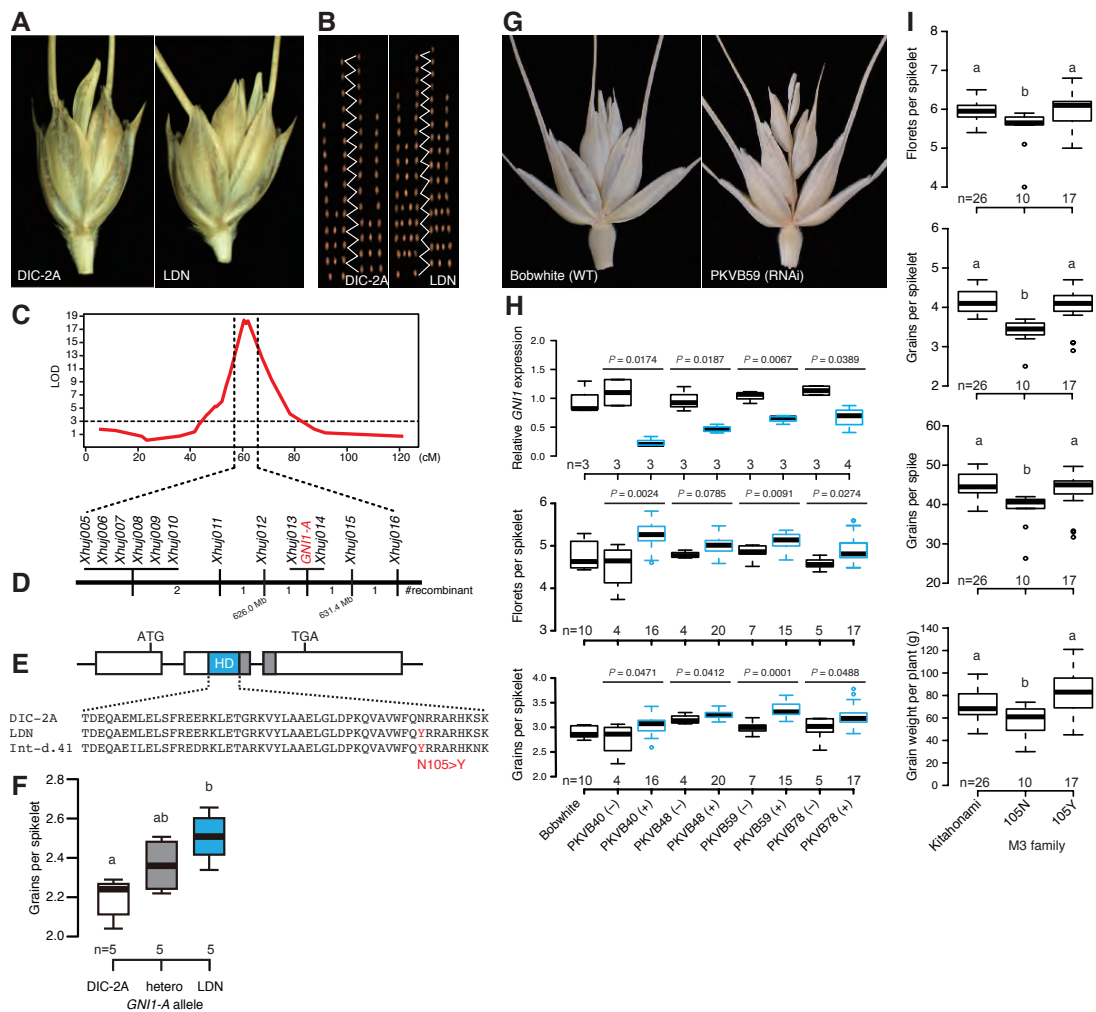
641 (35). (B–D) Diploid progenitors of bread wheat. (B) *Triticum urartu*. (C) *Aegilops speltoides*.

642 (D) *Ae. tauschii*. (E–G) Tetraploid wheat. (E) Wild emmer wheat; *T. turgidum* ssp. *dicoccoides*.

643 (F) Domesticated emmer wheat; *T. turgidum* ssp. *diccocus*. (G) Durum wheat; *T. turgidum* ssp.

644 *durum*. (H) Hexaploid bread wheat; *T. aestivum*. Yellow-labelled florets indicate fertile florets

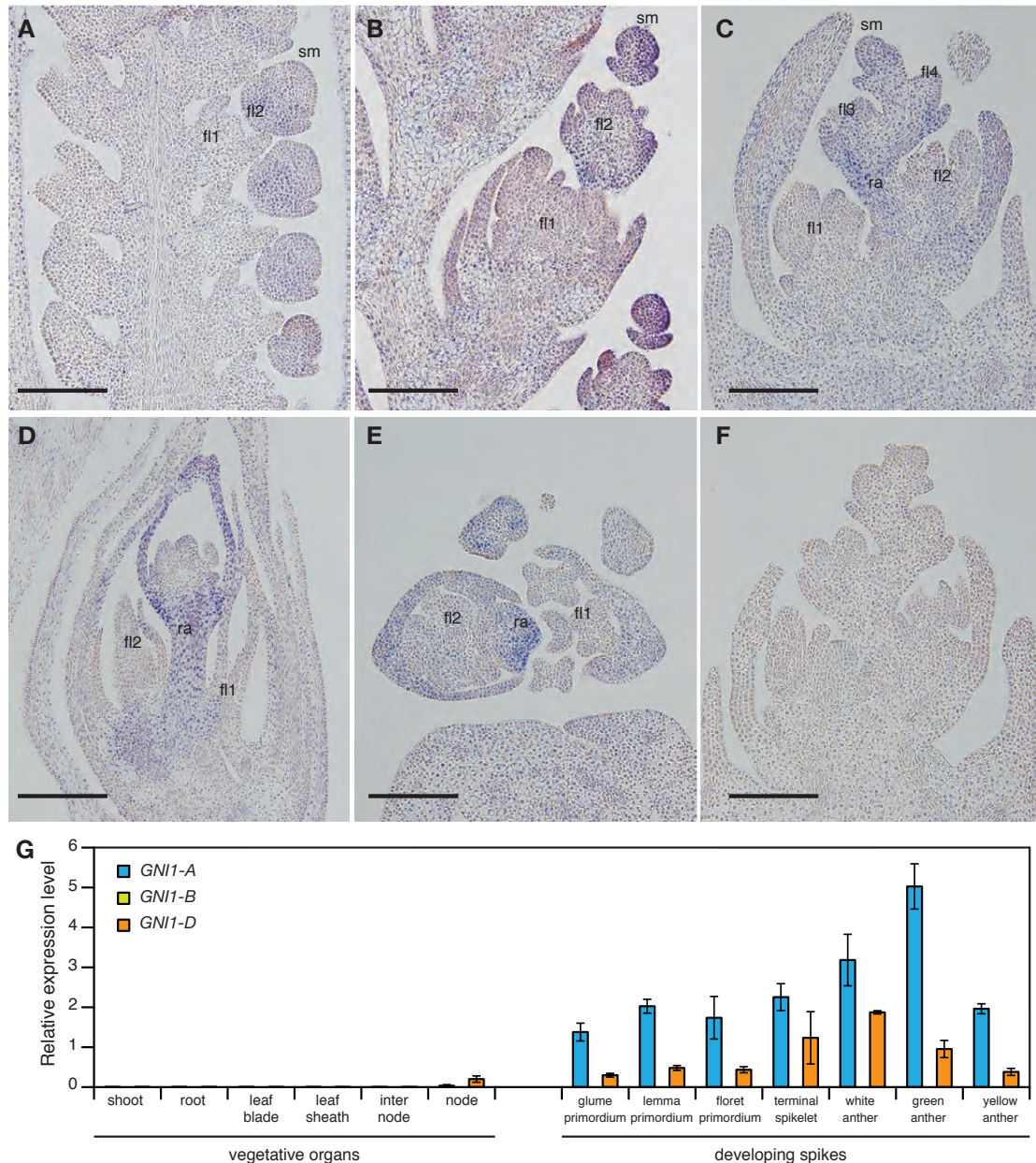
645 producing grain.



646

647 **Fig. 2.** Gene identification responsible for increased grain number per spikelet. (A)

648 Representative spikelet of DIC-2A and LDN. (B) Number of grains along the spike. (C) QTL
 649 for grain number per spikelet on chromosome 2AL. (D) Fine mapping of the *GNII-A*. (E) Gene
 650 structure of *GNII-A*. The LDN and *Int-d.41* allele carries a single amino acid substitution at the
 651 homeodomain (HD). (F) Additive effect of the *GNII-A* alleles in backcrossed recombinant
 652 lines. (G) Spikelet structure of Bobwhite and transgenic plant with *GNII-A* RNAi construct. (H)
 653 Relative *GNII* expression level (up), number of florets (middle) and grains (bottom) per spikelet
 654 of transgenic (+) and non transgenic (-) plants at T1 generation. *P*-values are determined by
 655 Student's *t*-tests. (I) Phenotypes of TILLING mutants in the M3 family. 105N indicate revertant
 656 mutant (functional) allele and 105Y wildtype (reduced-function) allele as Kitahonami. Box
 657 edges represent the 25% quantile and 75% quantile with the median values shown by bold lines.
 658 Whiskers extend to data less than 1.5 times the interquartile range, and remaining data are
 659 indicated by circles. Averages with different letters in (F) and (I) indicate significant differences
 660 as determined by Tukey HSD ($P \leq 0.05$).



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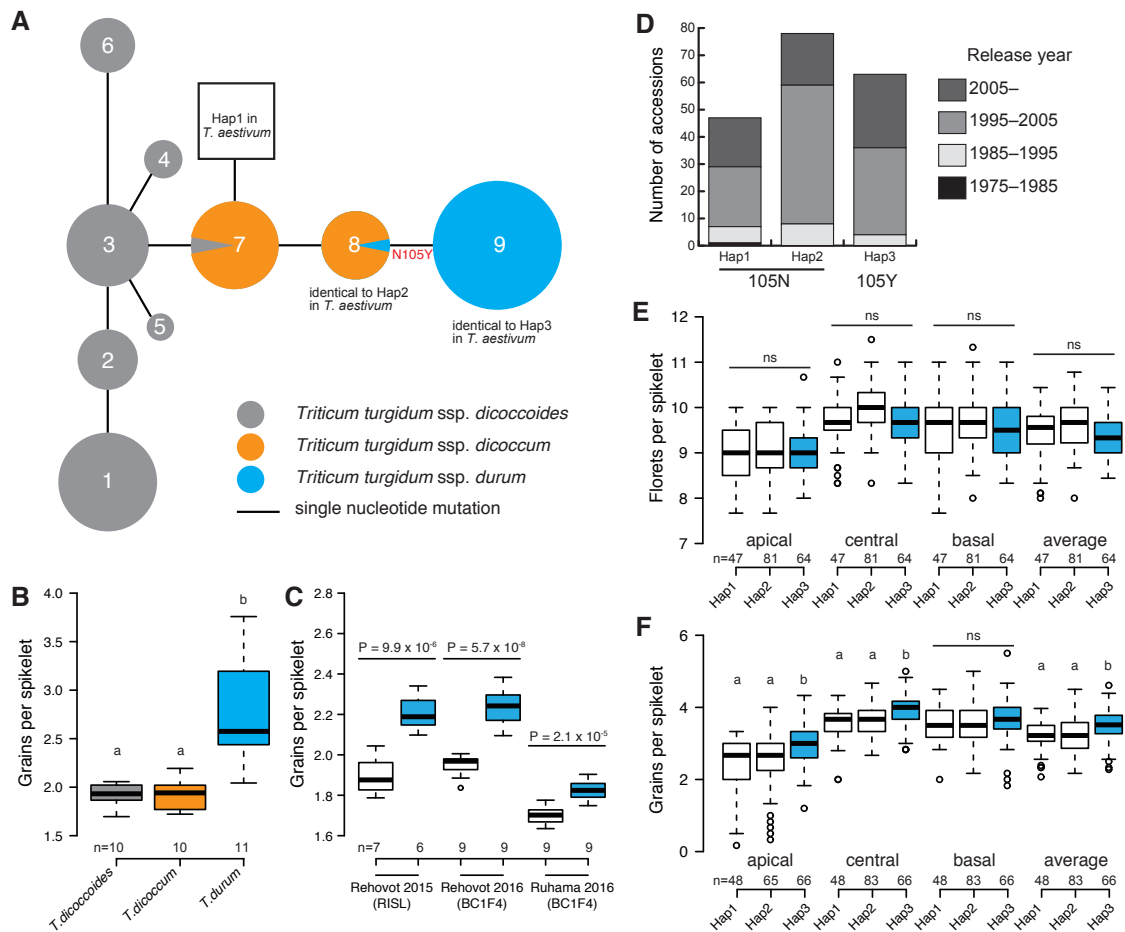
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Fig. 3. Expression pattern of *GNII*. (A–F) *In situ* hybridization of *GNII* during spike development of einkorn wheat. (A) Longitudinal section at floret primordium stage. (B) Terminal spikelet stage. (C) White anther stage. (D) Green anther stage. (E) Cross section at white anther stage. (F) Control with sense probe. (G) Relative expression levels of *GNII* in bread wheat cv. Bobwhite. Means \pm SE of three biological replicates are shown. sm: spikelet meristem, fl: floret, ra: rachilla. Scale bars = 200 μ m.



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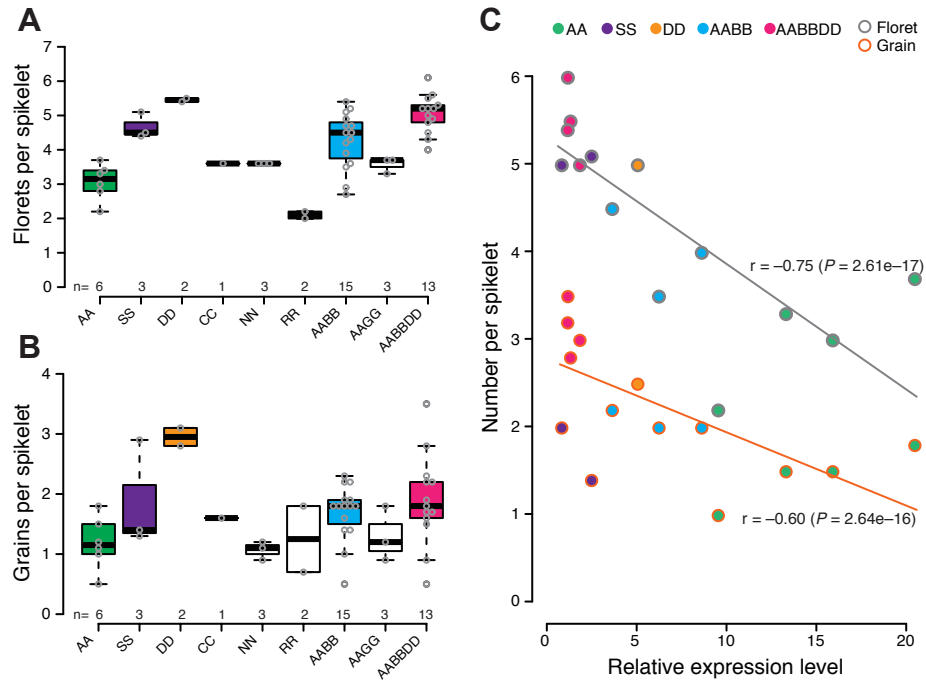
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Fig. 4. Natural variation of *GNII-A*. (A) Haplotype network analysis of *GNII-A* in tetraploid wheat. The median-joining network was constructed from the haplotypes based on the resequencing of 35 wild emmer, 14 domesticated emmer and 23 durum wheat accessions. Circle sizes correspond to the frequency of individual haplotypes. Lines represent genetic distances between haplotypes. (B) Grains per spikelet in tetraploid wheat. (C) Effect of *GNII-A* allele in tetraploid wheat across environments. 13 RISLs and 18 BC1F4 lines were used. *P*-values are determined by Student's *t*-tests. (D) Frequency of three haplotypes in 210 EU winter bread wheat cultivars. (E) Number of florets per spikelet at green anther stage. (F) Hap3 (105Y) cultivars showed more grains per spikelet. Different letters in (B), (E) and (F) indicate significant differences as determined by Tukey HSD ($P \leq 0.05$). ns; not significant.



679

680 **Fig. 5.** Functions of *GNII* in diploid, tetraploid and hexaploid species. (A) Floret number per

681 spikelet. (B) Grain number per spikelet. (C) Relationship between floret/grain number per

682 spikelet and expression level of *GNII*.