1 **Title:**

- 2 Inferring the heritability of large-scale functional networks with a multivariate ACE
- 3 modeling approach
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22 Abstract

23 Recent evidence suggests that the human functional connectome is stable at different time 24 scales and unique. These characteristics posit the functional connectome not only as an 25 individual marker but also as a powerful discriminatory measure characterized by high 26 intersubject variability. Among distinct sources of intersubject variability, the long-term 27 sources include functional patterns that emerge from genetic factors. Here, we sought to 28 investigate the contribution of additive genetic factors to the variability of functional 29 networks by determining the heritability of the connectivity strength in a multivariate 30 fashion. First, we reproduced and extended the connectome fingerprinting analysis to the 31 identification of twin pairs. Then, we estimated the heritability of functional networks by 32 a multivariate ACE modeling approach with bootstrapping. Twin pairs were identified 33 above chance level using connectome fingerprinting, with monozygotic twin 34 identification accuracy equal to 57.2% on average for whole-brain connectome. Additionally, we found that a visual (0.37), the medial frontal (0.31) and the motor (0.30)35 36 functional networks were the most influenced by additive genetic factors. Our findings 37 suggest that genetic factors not only partially determine intersubject variability of the 38 functional connectome, such that twins can be identified using connectome 39 fingerprinting, but also differentially influence connectivity strength in large-scale 40 functional networks.

41 Keywords: Connectome fingerprinting; Multivariate modeling; Twin study; Functional
42 connectome

43 Introduction

44 In the past few years, fMRI research has been living a paradigm shift, moving from 45 population inferences to the study of individual differences (Dubois & Adolphs, 2016; 46 Seghier & Price, 2018). Previous studies have paved the way for the study of individual 47 variability in functional connectivity patterns of the human brain (Finn et al., 2015; 48 Miranda-Dominguez et al., 2014; Mueller et al., 2013). In this context, resting-state fMRI 49 (rs-fMRI) showed to be particularly powerful in determining underlying differences in 50 the wiring patterns of functional connectome (FC) profiles. Indeed, connectome-based 51 individual predictions achieved identification accuracies as high as 99% when comparing 52 functional connectivity matrices (Finn et al., 2015). Hence, the endeavor to identify and 53 to characterize the individual functional connectivity architecture has been shown to have 54 an imperative place in the study of individual differences.

55 Recent and mounting evidence suggests that FC profiles are stable at different time scales 56 (Gratton et al., 2018; Jalbrzikowski et al., 2020; Miranda-Dominguez et al., 2018; Sato, 57 White, & Biazoli, 2017). This characteristic posits the FC not only as an individual marker 58 due to the comparably low intrasubject variability but also as a powerful discriminatory 59 measure characterized by the high intersubject variability. Gratton et al. (2018) showed 60 that despite functional networks displaying common organizational features at the group-61 level, the similarity between functional networks substantially increased at the individual 62 level when evaluating the same participant in different tasks and sessions. This evidence 63 supports the fact that individual stable patterns are crucial for explaining the intersubject 64 variability of functional networks. Therefore, these findings suggest that sources of 65 intersubject variability are stable over time, acting as individual signatures or 'fingerprints'. 66

67 Seghier and Price (2018) refer to the presence of distinct sources of intersubject variability 68 that differ in their timescale. In the lower bound, there are sources of variability due to mood states and context. The medium to long-term sources of intersubject variability 69 70 include functional patterns built from the intimate interaction of an individual with the 71 environment and genetic factors (Seghier & Price, 2018), respectively. Interestingly, 72 functional networks show distinct levels of intersubject variability. Networks comprising 73 higher-order associative cortical areas seem to remarkably contribute to the FC 74 distinctiveness (Finn et al., 2015; Jalbrzikowski et al., 2020; Kaufmann et al., 2017; 75 Miranda-Dominguez et al., 2018, 2014; Mueller et al., 2013), which, in turn, might be 76 due to a high intersubject (Gratton et al., 2018; Mueller et al., 2013) and low intrasubject 77 variability (Laumann et al., 2015; Poldrack et al., 2015). On the other hand, functional 78 connectivity within networks that comprises primary sensory and motor regions showed 79 high intrasubject and low intersubject variability (Gratton et al., 2018; Laumann et al., 80 2015; Mueller et al., 2013; Poldrack et al., 2015). The importance of genetic factors to 81 these different levels of intersubject variability, however, is yet to be further investigated. 82 Recent reports suggest that genetic factors crucially influence the intersubject variability 83 in the functional connectome (Colclough et al., 2017; Demeter et al., 2020; Elliott et al., 84 2019; Ge, Holmes, Buckner, Smoller, & Sabuncu, 2017; Miranda-Dominguez et al., 85 2018; Yang et al., 2016). Connectome-based identification analyses were extended to the 86 identification of twin pairs suggesting that part of the intersubject variability is due to 87 genetic factors (Demeter et al., 2020; Miranda-Dominguez et al., 2018). Accordingly, 88 studies indicate that the average heritability of the connectivity strength of the whole-89 brain connectome is between 15% to 25% within the Human Connectome Project dataset 90 (Adhikari et al., 2018; Colclough et al., 2017; Elliott et al., 2019). On the other hand, the

91 heritability of the connectivity strength within some functional networks seems to be
92 much higher (Ge et al., 2017; Teeuw et al., 2019) than in the whole-brain connectome.

93 However, substantial differences in brain parcellation schemas (Arslan et al., 2018; 94 Eickhoff, Yeo, & Genon, 2018; Salehi et al., 2020) undermines the effort to determine 95 the relationship between heritability and the different levels of intersubject variability. 96 Here, we (1) reproduced and extended the identification analysis introduced by Finn et 97 al. (2015) to determine the functional networks that best uncovered individual uniqueness 98 and intersubject similarity among matched twin pairs, and (2) we investigated how the 99 different levels of intersubject variability of functional networks relate to their heritability 100 by using a multivariate ACE modeling approach with bootstrapping. In our approach, 10 101 functional connections (edges) were randomly drawn from the pool of connections and 102 were used as variables in a multivariate ACE model. This model decomposes the variance 103 of each variable (i.e., each edge) and the covariance between variables into additive 104 genetic influences (A, or narrow-sense heritability (Mayhew & Meyre, 2017)), shared 105 environment (C) and external sources of variability (E). Here, we only focused on the 106 partitioning of variance to estimate network heritability, doing so by averaging the 107 decomposition of variances into A, C and E components across variables (i.e., across 108 edges) for each model fit. This process was repeated for many iterations which results in 109 the distributions of means for each component (A, C and E). Additionally, this approach 110 allows one to easily generate null distributions for statistical testing by randomly shuffling 111 monozygotic and dizygotic twin statuses at each iteration (Colclough et al., 2017).

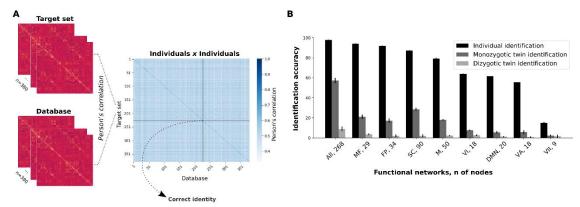
112 **Results**

113 Functional connectivity-based identification analyses

114 Individual identification. Whole-brain functional connectivity matrices were 115 determined by using two distinct parcellation schemas: "Shen" (Shen, Tokoglu, 116 Papademetris, & Constable, 2013) (268 nodes, 71.824 edges) and "Gordon" (Gordon et 117 al., 2014) (333 nodes, 110,889 edges). For brevity, we only report the results using "Shen" 118 parcels with appropriate reference to equivalent results using "Gordon" parcels in the 119 supplementary material. Connectivity-based identifications were performed comparing pairs of resting-state functional connectivity matrices⁴. Resting-state data were acquired 120 121 in two different days for every participant included in this study, resulting in two distinct 122 functional connectivity matrices per participant. These pairs of connectivity matrices 123 were separated into a 'target' and a 'database' set. Individual identification was 124 determined by computing the Pearson's correlation score of a target connectivity matrix 125 from the 'target' set (n=380) with all connectivity matrices from the 'database' set 126 (n=380). Following that, the maximum correlation score among all comparisons between 127 the target matrix and each of the FC matrices from the 'database' set should correspond 128 to the correlation of the functional connectivity matrices of the same participant in 129 different sessions. This process was repeated for all functional connectivity matrices 130 within the 'target' set (Figure 1A). The accuracy of the method was defined by the 131 proportion of correct predicted participants.

Individual identification analyses were determined with whole-brain functional
connectome and individual functional networks (Supplementary Table 1). The resulting
accuracy of whole-brain connectome based individual predictions was 97.8% (SD =
0.4%), in agreement with previous studies (Finn et al., 2015; Waller et al., 2017). We also

investigated the relevance of individual functional networks for individual predictions by sectioning the whole-brain functional connectome into sub-matrices of single networks. From the 8 functional networks previously defined (Finn et al., 2015), the most successful networks were the medial frontal (93.9 \pm 0.5%) and frontoparietal (91.8 \pm 0.3%) networks (Figure 1B and Supplementary Table 1). Note that the visual networks and the default mode network were the ones with the worst individual identification accuracy.





143 Figure 1 - Connectome-based identifications. A) Functional connectivity matrices from 144 different sessions were grouped into two datasets, which could be either the 'target' set or the 145 'database'. Following that, we computed the Pearson's correlation of each individual connectivity 146 matrix from a 'target' set with each connectivity matrix from the 'database'. Therefore, each row 147 within the individuals vs. individuals matrix contains the correlation scores between a target's FC 148 and all functional connectivity matrices of the database. B) Mean identification accuracies for 149 individual and twin identification analyses for all functional networks (whole-brain included). 150 Mean identification for individual prediction was determined from two combinations of 151 'database' and 'target' sets (RESTX × RESTY, where X and Y $\in \{1, 2\}$ and X \neq Y), while the 152 mean twin identification was determined from four combinations (RESTX \times RESTY, where X 153 and $Y \in \{1, 2\}$). Error bars represent the standard deviation. All, whole-brain; MF, medial frontal; 154 FP, frontoparietal; SC, subcortical-cerebellum; M, motor; VI, visual I; DMN, default mode 155 network; VA, visual association; VII, visual II. We also present the number of nodes in each 156 network.

157 Twin identification. Previous studies indicate that functional connectivity among higher-158 order associative brain regions greatly varies across individuals (Gratton et al., 2018; 159 Mueller et al., 2013), even though they are comparably more stable within an individual 160 across sessions (Laumann et al., 2015; Poldrack et al., 2015). Thus, we hypothesized that 161 genetic factors governed sources of high intersubject and low intrasubject variability in 162 the functional connectome. In order to test this hypothesis, we sought to determine 163 whether the FC profiles from pairs of twins were more similar compared to the ones from 164 pairs of unrelated individuals by using connectome-based predictions.

165 First, we evaluated monozygotic twin identification by computing the correlation 166 coefficients of the functional connectivity matrices of monozygotic individuals (n=246) 167 within the 'target' set with all matrices in the database (246x380=93,480 comparisons). 168 Our prediction was based on the selection of the highest correlation score (excluding the 169 correlation scores between functional connectivity matrices of the same individual) for 170 each 'target' participant vs. 'database' iteration. The mean whole-brain based prediction 171 accuracy was 57.2% (SD = 2.6%). This result indicates that the idiosyncratic FC profiles 172 might be genetically determined and they are sufficiently stable so one could identify 173 monozygotic twins well above chance. Indeed, we have performed a permutation test, by 174 exchanging twin pairs' identities 1,000 times, such that for each identification iteration, 175 a new twin pair identity was assigned. The maximum identification accuracy found 176 through these 1,000 permutations was 1.6%, indicating that the whole-brain based 177 identification performance is significantly different from the chance level (p-value < 178 0.001).

179 Later on, we investigated the ability of specific functional networks in discriminating a 180 twin pair from pairs of unrelated individuals (Figure 1B). At this stage, the most 181 successful functional networks were the subcortical-cerebellum $(28.6 \pm 1.5\%)$ and medial 182 frontal $(21.1 \pm 2.2\%)$ networks. Noteworthy, the most successful functional networks on 183 twin identification were amongst the ones that best performed on individual 184 identifications. Nonetheless, a substantial decrease in the successful twin identification 185 rates was observed for functional networks when compared to the whole-brain 186 connectome, and these results were particularly affected by the number of nodes within 187 each network. The least successful functional networks on twin identification were the 188 ones with the least number of nodes, while the networks with a larger number of nodes 189 tended to present higher accuracies. The Pearson's correlation score between the number 190 of nodes of each network and its ability to correctly identify monozygotic twins was r =191 0.95 (p-value = 6.3E-5; Supplementary Table 2), as opposed to a nonsignificant 192 correlation between the number of nodes and individual identification accuracy (r = 0.52, 193 p-value = 0.15). This implies that the ability of a priori defined functional networks to 194 capture similarities in the FC profiles of monozygotic twins differentially relies on the 195 amount of information provided (i.e., by the number of nodes).

196 Finally, we performed all the previous analyses for the identification of dizygotic twins. 197 At this time, we selected only the dizygotic individuals (n=134) within the 'target' set, 198 giving 134x380=50,920 comparisons. For the whole-brain based identification, the mean 199 prediction accuracy was 8.9% (SD = 2.3%; p-value < 0.001). This abrupt change in twin 200 identification accuracy indicates that the functional connectivity patterns of monozygotic 201 twins are strictly more similar in comparison to dizygotic twins, which indicates the 202 relevance of shared genetic background. At the level of individual functional networks, 203 identification accuracies dropped even further (Figure 1B), and they were also correlated 204 with the number of nodes of the networks (r = 0.92, p-value < 0.001).

205 Fingerprinting as a function of the number of edges

206 The previous results indicated that twin identification accuracy was correlated with the 207 number of nodes of functional networks, and hence with the number of edges. To further 208 investigate the relationship between the number of edges in connectome fingerprinting 209 and twin identification accuracy, we performed identification analyses using randomly 210 selected subsets of edges, with 100 random selections per subset size (Byrge & Kennedy, 211 2018). Our results show that it is possible to identify an individual with high accuracy 212 using a random subset of edges (Figure 2), with accuracy above 80% using only 500 213 random edges (a similar finding is reported at Byrge & Kennedy, 2018). However, 214 monozygotic twin identification only reaches near 50% accuracy using 10,000 random 215 edges, while dizygotic twin identification accuracy is equal to 8% on average with the 216 same subset size. Noteworthy, monozygotic twin identification accuracy with 500 217 random edges was on average equal to approximately 20%, similar to the prediction 218 accuracy using the medial frontal network (29 nodes and 406 unique edges). On the other 219 hand, prediction accuracy reached 32% with 1,000 random edges and 46% with 5,000 220 random edges. At a similar level, the prediction accuracy of the subcortical-cerebellum 221 network (90 nodes and 4,005 unique edges) was 28.6%.

Therefore, our findings suggest that while it is possible to identify twin pairs above chance, differences seen across functional networks in twin pair identification may be mostly driven by differences in the number of nodes/edges. However, the fact that twin identification accuracy with subsets of random edges could outperform functional networks with a similar amount of edges suggests that edges might be differently influenced by genetic factors.

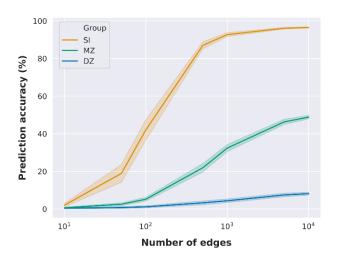




Figure 2 - Identification accuracy as a function of the number of edges. Identification accuracy as a function of subsets of randomly selected edges. Mean identification accuracy and standard deviation are illustrated as a function of the number of edges (we only evaluated 7 different subset sizes: 10, 50, 100, 500, 1,000, 5,000, and 10,000 edges). Mean and standard deviation were determined across 100 random edge selections per subset size.

234 Intra and intersubject variability in the functional connectome

In order to characterize the intra and intersubject variabilities (i.e. among unrelated individuals, monozygotic and dizygotic twin pairs) for the whole-brain connectome and each functional network, we arranged the correlation coefficients in four groups according to their relationship: 1) same individual - SI (n=380); 2) monozygotic twins -MZ (n=246); 3) dizygotic twins - DZ (n=134) and 4) unrelated individuals - UN (n=143,640). The distributions of correlations across all these pairs for the whole-brain and functional networks are illustrated in Figure 3 (Supplementary Figure 1).

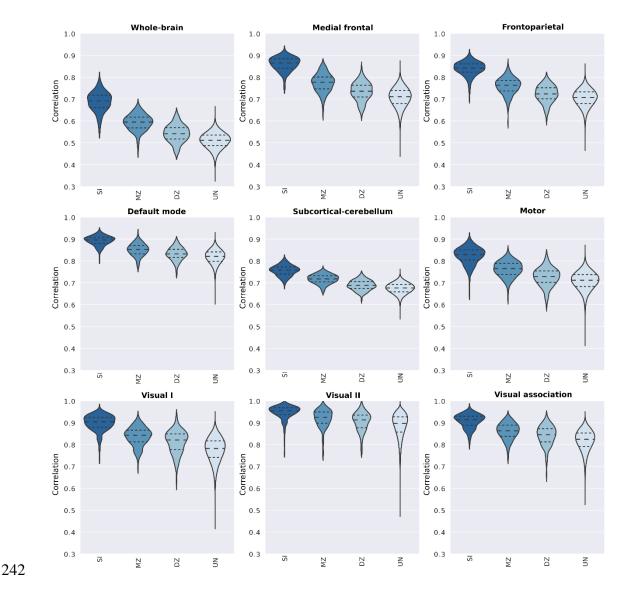


Figure 3 - Distribution of correlation coefficients between pairs of functional connectivity matrices for the whole-brain and individual functional networks. Pearson's correlation scores were determined from pairs of connectivity matrices (REST1 × REST2), and they were grouped based on individuals' genetic relationship. Hence, violin plots show the distribution of the correlation scores between pairs of matrices of the same individual (SI), monozygotic twin (MZ), dizygotic twin (DZ) and unrelated individuals (UN).

As one could expect, the mean of the distributions of correlation scores from the SI group is notably higher than the ones from the remaining groups. This is observed not only for the whole-brain connectome but also for most of the functional networks, especially for the medial frontal and frontoparietal functional networks. In order to characterize the

253 importance of the distance between these distributions - that is, the effect size - to 254 identification analyses, we determined identification accuracy as a function of effect size, 255 Cliff's delta (Cliff, 1993) (Figure 4, Supplementary Figure 2 and Supplementary Table 256 3). In Figure 4, we observe that high prediction accuracy is associated with high effect 257 size, while low prediction accuracy was associated with low effect size. This suggests 258 that high intersubject variability (which is related to low correlation between unrelated 259 individuals' connectivity matrices) and low intrasubject variability (high correlation 260 between the connectivity matrices of the same individual in different sessions) are crucial for high prediction accuracy. Additionally, the higher similarity between monozygotic 261 262 twins in comparison to unrelated individuals (medium to high effect sizes) suggests that 263 a portion of this intersubject variability is heritable and differs across functional networks.

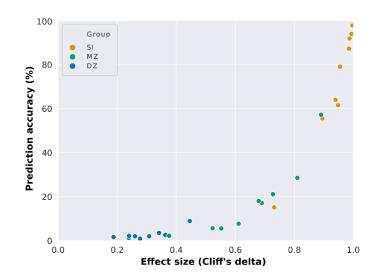


Figure 4 - Dependence of connectome-based predictions on effect size. Mean prediction accuracies from all functional networks (whole-brain included) as a function of the effect size of the difference between the group of interest (same individual – SI, monozygotic twins – MZ, or dizygotic twins – DZ) and unrelated individuals.

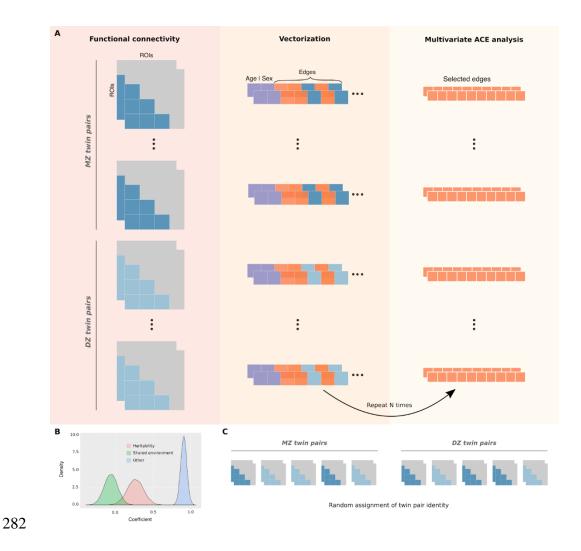
269 Narrow-sense heritability of functional connections

264

270 To further investigate these functional networks, we performed heritability analyses using

a multivariate ACE modeling approach with bootstrapping. High dimensionality is a

272 common hurdle when multivariate processing is considered for regression or inference 273 methods. Hence, univariate analyses are usually preferred to avoid the necessity of 274 increasing computational resources and time due to high dimensional multivariate 275 analyses trade-off, despite the fact that multivariate analyses tend to be more suitable for 276 complex data that includes several thousand of covariates. In neuroscience, the 277 heritability of functional networks is usually determined as the average heritability of 278 individual functional connections (edges) over their constituent brain regions (nodes) 279 (Colclough et al., 2017; Elliott et al., 2019; Ge et al., 2017). Here, we propose a lower-280 dimensional multivariate ACE modeling approach with bootstrapping that allows one to 281 generate a distribution of means for each variance component (Figure 5).



283 Figure 5 – Multivariate ACE model with bootstrapping. A) The lower triangles of mean 284 functional connectivity matrices were vectorized, and the effect of age and sex were regressed out 285 from each edge. In an iterative process, 10 edges were randomly selected and used as variables to 286 fit a multivariate ACE model. This procedure was repeated with reposition for 8,000 times for the 287 whole-brain network (or 1,000 times for each functional network). B) This approach provides 288 distributions of means for each variance component (A, C and E) by taking the average of the 289 heritability estimates across edges at each iteration. C) Null distributions were similarly obtained 290 by randomly shuffling monozygotic and dizygotic twin statuses at each iteration.

291 This multivariate approach involved the random selection of 10 edges (within the 292 functional network of interest) that were used as variables to fit a multivariate ACE model 293 (Figure 5A). The multivariate ACE model decomposes the variance of each edge into 294 additive genetic influences (A, or narrow-sense heritability (Mayhew & Meyre, 2017)), 295 shared environment (C) and external sources of variability (E). Then, we determined the 296 mean of A, C and E components across edges. This procedure was repeated with 297 reposition for 8,000 times for the whole-brain network and 1,000 times for each functional 298 network, which resulted in the final distributions of means for each component (A, C and 299 E) (Figure 5B). Finally, null distributions were similarly obtained by randomly shuffling 300 monozygotic and dizygotic twin statuses at each iteration (Figure 5C).

The heritability distributions with their respective null distributions for all functional networks are illustrated in Figure 6A (Supplementary Figure 3). As expected, the mean heritability of all null distributions was virtually equal to zero. Apart from that, all heritability estimates distributions were significantly different from their respective null distributions (independent t-test, p<.001). Among all functional networks, the visual II has shown to be the most heritable with mean heritability of 0.37 (37% of the variance of the phenotype is attributed to additive shared genetics; Supplementary Table 4), while the

308 subcortical-cerebellum was the least heritable with mean heritability of 0.20 (Figure 6B 309 and Supplementary Table 4, 5 and 6). Additionally, we compared the mean heritability 310 found for all functional networks using our approach with the mean estimates based on 311 univariate models (Figure 6C). As expected, the mean heritability found using our 312 approach is nearly equal to the classic univariate heritability (Supplementary Table 7), 313 which is based on averaging estimates across all functional connections within each 314 functional network. Finally, heritability estimates were not significantly correlated with 315 number of nodes (r = -0.34, p-value = 0.38) nor monozygotic twin identification accuracy 316 (r = -0.33, p-value = 0.39).

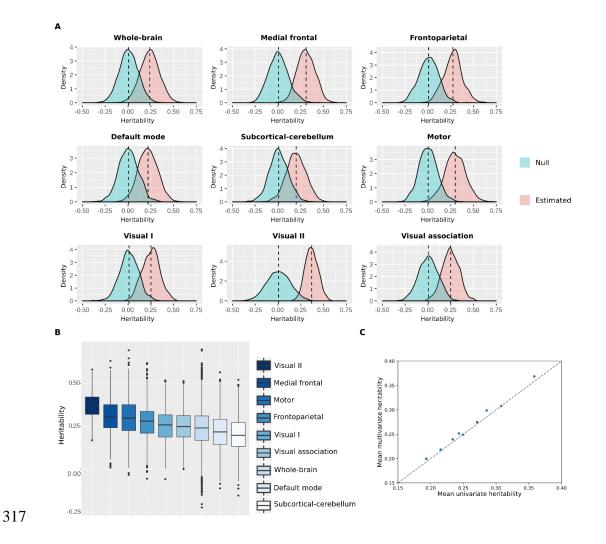


Figure 6 – Heritability distributions for each functional network. A) Heritability estimates
 and null distributions for each functional network. B) Heritability estimates distributions

displayed from the most heritable (visual II) to the least heritable (subcortical-cerebellum). C)
Comparison of the mean heritability found with multivariate ACE models *versus* univariate ACE
models for all functional networks.

323 **Discussion**

324 Here, we found that the functional connectivity profiles of twin pairs were more similar 325 than of unrelated individuals, although the degree of similarity varied across functional 326 networks. Indeed, we demonstrated that functional networks have distinct discriminatory 327 power in connectome fingerprinting analyses, in both individual and twin identifications, 328 although in the latter differences in identification performances may be mostly driven by 329 differences in the number of nodes/edges. We also found that high intersubject variability 330 (i.e. variability of a trait between individuals) is crucial for connectome fingerprinting. 331 Finally, our multivariate ACE modeling approach suggests that the heritability of 332 functional networks are consistent throughout the brain, although our findings suggest 333 that functional networks are differentially influenced by additive genetic factors. 334 Altogether, we were able to establish the influence of genetic factors to intersubject 335 variability of functional networks by leveraging a multivariate ACE model in addition to 336 the multivariate connectome fingerprinting approach.

337 Intra and intersubject variability trade-off in connectome fingerprinting

Evidence suggests that the different levels of inter and intrasubject variability in functional networks contribute to their distinctiveness, such that high intersubject (Gratton et al., 2018; Mueller et al., 2013) and low intrasubject (Laumann et al., 2015; Poldrack et al., 2015) variability in higher-order associative networks are often related to their high discriminability (Finn et al., 2015; Jalbrzikowski et al., 2020; Kaufmann et al., 2017; Miranda-Dominguez et al., 2018, 2014; Mueller et al., 2013) and the opposite 344 pattern to the low discriminability of primary sensory and motor networks (Gratton et al., 345 2018; Laumann et al., 2015; Mueller et al., 2013; Poldrack et al., 2015). We confirmed 346 that higher-order associative networks were the most discriminatory, while visual 347 networks were the least discriminatory, although they showed similar levels of 348 intrasubject variability. This finding was similarly seen in twin pair identifications, 349 although in the latter the prediction accuracy was positively correlated with the number 350 of nodes defining each functional network. To further investigate the inter and 351 intrasubject variability trade-off in connectome fingerprinting, we determined the 352 prediction accuracy as a function of the difference between the similarity scores of 353 functional networks derived from the same individual - in different resting-state sessions 354 - and unrelated individuals. We found that high identification accuracy requires high 355 intersubject variability, suggesting that although the stability of idiosyncratic functional 356 connectivity patterns is relevant and seen across all functional networks, fingerprinting 357 seems to rely prominently on high intersubject variability.

358

Genetic influence on functional networks

359 To investigate the impact of additive genetic factors in determining stable patterns of 360 intersubject variability, we performed an alternative approach to the univariate ACE 361 model. In our multivariate ACE model, a fixed number of edges were randomly and 362 iteratively selected to fit the model, and the mean heritability estimate was determined by 363 averaging individual edges heritability at each of those iterations. Therefore, 8,000 364 models were fitted to estimate the heritability of the whole-brain network, as opposed to 365 fitting 35,778 univariate models. In addition to that, 1,000 models were generated for 366 each functional network, totaling 16,000 models (8,000 models for the whole-brain 367 network + 8 * 1,000 models), which is still far less than fitting 35,778 univariate models. 368 We also observed a gain in statistical power with our approach (this is illustrated by the

369 narrower confidence intervals of the multivariate model – Supplementary Table 4 – as 370 opposed to the univariate version – Supplementary Table 7). Additionally, our modeling 371 approach provides a straightforward way for building null distributions by randomly 372 shuffling twin statuses at each iteration as the final step before heritability estimation. 373 Therefore, we believe that the contribution of this method is twofold: it reduces the 374 number of models to be fitted for the estimation of the heritability of functional networks 375 and it also provides a straightforward way for building null distributions.

376 We found that the functional networks that were the most influenced by additive genetic 377 factors were not the ones that best performed on twin identifications. This is particularly 378 prominent for the visual II and subcortical-cerebellum functional networks. The first has 379 shown to be highly influenced by additive genetic factors, but it had a poor performance 380 on monozygotic twin identification and individual identification. This indicates that the 381 intersubject variability was low, thus being difficult to discriminate between pairs of 382 connectomes from UN/Twin/SI groups. However, a great portion of this low intersubject 383 variability might be due to additive genetic factors. On the other hand, the subcortical-384 cerebellum network has shown lower heritability but the best performance on twin 385 identification (after whole-brain network). A possible explanation for this finding is that 386 a high intersubject variability allowed a better discrimination between unrelated 387 individuals *versus* twin pairs, even though a smaller portion of its intersubject variability 388 was due to additive genetic factors. Nonetheless, our findings also suggest that twin 389 identification accuracy of functional networks varies with the number of edges, indicating 390 that the inconsistency seen between twin identification accuracy and heritability is 391 perhaps an artefact associated with the confounding effect of number of edges on twin 392 identification.

393 Finally, heritable patterns of functional connectivity strength of individual edges may 394 emerge from underlying brain anatomy. Anatomical features of the brain have been 395 shown to be highly heritable (Panizzon et al., 2009; Roshchupkin et al., 2016; Strike et 396 al., 2015; Thompson et al., 2001). This suggests that the similarity of brain anatomy in 397 twins might lead to better alignment of their brain structure to a template space as opposed 398 to unrelated individuals. Therefore, when functional units of the brain are determined by 399 a group-based parcellation, variability in functional connectivity strength partly reflects 400 how well a template parcel matches the actual functional unit of a given individual. For 401 example, a given region A in a group-based parcellation could not only overlap with 402 distinct regions across unrelated individuals, but also consistently overlap with a similar 403 area in twins (Anderson et al., 2020). This could lead to the greater similarity of individual 404 edges between twins and higher inter-subject variability across unrelated individuals just 405 because regions being selected are ultimately different. We believe that assessing 406 heritability of functional connectivity patterns using individualized parcellations (Glasser 407 et al., 2016; Kong et al., 2019) might shed some light into this issue.

408 Parcellation schema

409 The individual and twin identification analyses resulted in high prediction accuracy using 410 both parcellation schemas, "Shen" and "Gordon". Notably, individual identification 411 accuracies using "Shen" parcellation schema is about the same as in previous studies 412 (Finn et al., 2015; Waller et al., 2017), even though we have a more homogenous sample. 413 At the network-level, higher-order associative networks were particularly better at 414 discriminations. This result further supports that associative networks accommodate 415 higher intersubject variability in comparison to sensorimotor networks (Gratton et al., 416 2018). Despite that, we observed that the default mode network (DMN) defined by both 417 parcellation schemas differed in performance during identification analyses. For 418 "Gordon" parcels, the DMN figured among the most distinctive networks, similarly to 419 other associative networks. However, this pattern was not observed using "Shen" parcels, 420 in which the defined DMN figured among the worst functional networks on individual 421 predictions. This distinction could be due to the different number of nodes attributed to 422 DMN in both schemas. Another finding is that the heritability level of functional networks 423 differed between parcellations, although the mean heritability of the whole-brain 424 functional network was 0.18 using "Gordon" parcels and 0.24 using "Shen" parcels 425 (Supplementary Table 4). This suggests that different brain areas definition greatly 426 impact on heritability estimates, which is a potential topic for further investigation.

427 Using "Gordon" parcellation, we found that the cingulo parietal and retrosplenial 428 temporal networks were the most influenced by additive genetic factors, whilst the 429 somato-sensory mouth and salience networks were the least ones. On the other hand, 430 Miranda-Dominguez et al. (2018) found that the retrosplenial temporal and somato-431 sensory mouth were the most heritable, and the visual and salience networks the least 432 heritable. Additionally, their heritability estimates ranged from 0.11 to 0.14, with the 433 heritability of the whole-brain network being equal to 0.20 (Miranda-Dominguez et al., 434 2018); while our estimates ranged from 0.47 to 0.12. These differences are likely due to 435 differences in heritability estimation approaches; whilst we used the conventional ACE 436 modeling approach, they used three-way repeated-measures ANOVAs. Although the 437 heritability estimates we obtained using "Shen" parcels were more homogeneous, we 438 were still able to capture the different levels of heritability of functional networks, 439 suggesting that our approach is suitable for capturing such differences. Additionally, 440 using a similar methodology, Colclough et al. (2017) found that the heritability of the 441 connectivity strength averaged over parcels was 0.17 for the whole-brain network, and 442 Elliot et al. (2019) found a value of about 0.20. This suggests that, although heritability

estimates of functional networks vary depending on the parcellation being used, the
whole-brain functional network heritability seems to be reasonably consistent across
studies using different methodologies and parcellations.

446 *Limitations*

447 The effect of head motion on rsfMRI functional connectivity has been assessed over the 448 last decade, and evidence suggests that head motion parameters systematically affect 449 functional connectivity estimates. Van Dijk, Sabuncu, & Buckner (2012) found that 450 increasing mean motion was significantly associated with decreased functional 451 correlation strength among regions in the DMN and the frontoparietal control network, 452 even after regressing out six parameters from the rigid body head motion correction at the 453 preprocessing stage. On the other hand, high levels of head motion were associated with 454 increased local functional connectivity. Finally, their findings suggested that aspects of 455 head motion may behave as trait, which was further investigated by Couvy-Duchesne and 456 colleagues. In Couvy-Duchesne et al. (2014), the influence of additive genetics and 457 environment factors on three head motion parameters have been estimated, and their 458 findings suggest that head motion is partially heritable. These findings effectively suggest 459 that head motion not only systematically affects functional connectivity but it is also 460 partially heritable, indicating that head motion may bias heritability estimates of 461 functional connectivity strength.

The effect of additional preprocessing steps on the confounding effect of head motion in functional connectivity has been systematically investigated (Siegel et al., 2017). Researchers found that extra preprocessing steps to the HCP minimally preprocessed dataset have substantially reduced the correlation of head motion with functional connectivity. Here, we have similarly added extra preprocessing steps to the HCP minimally preprocessed dataset, including CompCor, temporal band-pass filtering, and

468 participants' movement parameters were used as first-level covariates to regress out their 469 linear components from the BOLD time series. However, it is important to note that 470 complete removal of the spurious effect of motion through regression is difficult (if not 471 impossible). Thus, we believe that the field would benefit from more studies that 472 systematically assess the effect of removing motion parameters at different stages on 473 heritability estimates of functional connectivity.

474 Future directions

475 Our multivariate ACE model suggests that part of the intersubject variability seen in 476 functional networks is due to genetic factors. Transcriptomics and genomics approaches 477 have indicated that many brain disorders are, at least partly, determined by the genetic 478 background (Gandal et al., 2018; Kasten et al., 2018; Prata, Costa-Neves, Cosme, & 479 Vassos, 2019; Sims, Hill, & Williams, 2020). Additionally, disruptions in the human 480 functional and structural connectomes have been associated with neurological conditions, 481 such as amyotrophic lateral sclerosis (ALS) (Chenji et al., 2016), Parkinson's disease 482 (Gratton et al., 2019; Hall et al., 2019), and epilepsy (Lee et al., 2018). Specifically, 483 neurotoxic accumulation of amyloid plaques in Alzheimer's disease has been located in 484 areas consistent with cortical hubs, indicating that while cortical hubs are fundamental 485 for information processing, they also bring vulnerability to the human brain (Buckner et 486 al., 2009). Also, many compelling studies have linked psychiatric disorders to 487 fundamental connectome disruptions (van den Heuvel & Sporns, 2019). Despite their 488 unique functional and structural connectivity patterns, these conditions also exhibit some 489 shared patterns that differ from healthy connectomes. The common features of many of 490 these disorders make it difficult to diagnose them and to determine the mechanisms 491 behind their onset, particularly for psychiatric disorders. Thus, detailed scrutiny of the 492 human connectome and genome may lead to a promising new era for precision medicine

in psychiatry and neurology. Connectome fingerprinting in addition to heritability
analyses may allow for the search of connectome features that bring general and specific
vulnerabilities to the human brain, which may be highly heritable, and are central factors
among brain disorders (van den Heuvel & Sporns, 2019).

497 Finally, it is important to acknowledge that although we found differences in heritability 498 estimates across functional networks, such estimates of heritability could be susceptible 499 to different models of heritability. For example, heritability could be better explained with 500 an AE model, in which variance is decomposed into additive genetic factors (A) and 501 external sources of variability (E) only. Additionally, the low reliability of individual 502 edges' connectivity strength (Noble, Scheinost, & Constable, 2019; Noble et al., 2017) 503 and higher reliability of the connectome as whole suggests that common (shared among 504 edges) and specific (non-shared) sources of genetic variance may differ. The multivariate 505 ACE model used here has been used before to estimate the genetic correlation between 506 two traits, cortical surface area and cortical thickness (Panizzon et al., 2009). However, 507 we believe that a common pathway model would be the most suitable model to study 508 common sources of genetic variance of many edges (Couvy-Duchesne et al., 2014). 509 Therefore, although we found differences in how additive genetic factors may be 510 influencing intersubject variability of functional networks, such estimates are not definite. 511 Critically, different models' assumptions may potently lead to inconsistent findings of 512 heritability estimates for large-scale functional networks, and future refinements of such 513 estimates (using meta-analysis, for instance) should consider them.

514 Materials and Methods

515 Database and participant information

516 In this study, we used the dataset from the "1200 subjects data release" of the Human 517 Connectome Project - HCP (Van Essen et al., 2013). We restricted our analysis to 518 monozygotic (MZ) and dizygotic (DZ) individuals as indicated by genotyping 519 information. So, we initially selected all MZ and DZ individuals from the original sample. 520 From this subsample, we excluded the participants who did not have both resting-state 521 fMRI sessions (ICA-FIX versions) available, and who did not have the twin within the 522 group. Therefore, our final sample size was n=380. Table 1 summarizes the demographic 523 data.

524

	Monozygotic	Dizygotic	
	(n=246)	(n=134)	
Age, y			
$Mean \pm SD$	29.4 ± 3.3	29.1 ± 3.5	
Range (min-max)	22 - 36	22 - 35	
Sex, n (%)			
Female	144 (58.5)	78 (58.2)	
Male	102 (41.5)	56 (41.8)	

525 **Table 1 - Demographic information.**

527 Data acquisition

528 The acquisition protocol has been previously described (Van Essen et al., 2013). In 529 summary, functional and structural data were acquired in a 3T Siemens Skyra scanner 530 using a 32-channel head coil. Resting-state data were collected in two separated sessions 531 (REST1 and REST2) in different days, each session containing two runs of 15 minutes. 532 In this protocol, participants had to keep their eyes open with a relaxed fixation on a 533 projected bright cross-hair in a dark background. Each run within a session is 534 distinguished by the oblique axial acquisition, of which one run used phase encoding in 535 a right-to-left (RL) direction and the other used phase encoding in a left-to-right (LR) 536 direction.

537 Data pre-processing

538 **Pre-processing pipeline**

539 For this study, we used the spatial and temporal pre-processed rs-fMRI timecourses 540 (Glasser et al., 2013; Smith et al., 2013), which have undergone the steps of artifact 541 removal, motion correction, and registration to standard space. Furthermore, we applied additional pre-processing steps by using the CONN toolbox (v.17.f) (Whitfield-Gabrieli 542 543 & Nieto-Castanon, 2012), which included: structural segmentation, functional outlier 544 detection (intermediate setting: 5 for z-score scan-to-scan global signal changes and 0.9 545 mm for scan-to-scan head-motion composite changes), and functional smoothing. 546 Following that, a component-based noise correction method (CompCor) (Behzadi, 547 Restom, Liau, & Liu, 2007) and a temporal band-pass filtering (preserving frequencies 548 between 0.01 and 0.10Hz) were applied. For spatial smoothing, a Gaussian with the full 549 width at half maximum (FWHM) equal to 6mm was used. We also included participant

550 movement parameters as first-level covariates to regress out their linear components from

the BOLD time series.

552 Parcellations and functional networks

Timecourses were calculated as the mean signal within the regions of interest (ROIs) defined by different parcellation schemas used: "Gordon" (Gordon et al., 2014) and "Shen" (Shen et al., 2013). Both "Gordon" and "Shen" schemas are data-driven parcellation schemas. The first defines 333 ROIs clustered in 12 functional networks (Supplementary Table 1), in addition to 47 ROIs not assigned to any specific network.

558 The latter defines 268 ROIs clustered in 8 networks (Supplementary Table 1).

559 Functional connectivity matrices

Finally, for the two resting-state sessions, data from both the left-right (LR) and right-left (RL) phase-encoding runs were used to calculate the connectivity matrices. To obtain the connectivity matrices, ROI-to-ROI bivariate correlation connectivity measures were computed for all ROIs defined by both parcellation methods, obtaining two symmetric connectivity matrices for each session for each participant.

565 Individual identification

566 The identification analysis was based on previous work (Finn et al., 2015) with few 567 alterations. Initially, two databases were created containing the functional connectivity 568 matrices for each session (REST1 and REST2). The individual identification was 569 determined by computing the Pearson's correlation of each individual connectivity matrix 570 from one database with all the other connectivity matrices from the second database 571 (RESTX × RESTY, where X and Y $\in \{1, 2\}$ and X \neq Y). For a pair of functional 572 connectivity matrices linearly transformed in a column vector (vectorization), T_i and D_n , 573 where T_i is the connectivity matrix of a target participant i, and D_n is the connectivity

574 matrix of a participant (n=1, ..., 380) from the other database, the Pearson's correlation 575 coefficient r is:

576
$$r_{i,N} = \frac{\sum_{j=1}^{e} (T_{i_j} - \underline{T}_i)(D_{N_j} - \underline{D}_N)}{\sqrt{\sum_{j=1}^{e} (T_{i_j} - \underline{T}_i)^2} \sqrt{\sum_{j=1}^{e} (D_{N_j} - \underline{D}_N)^2}}$$
Equation 1

577 where *e* is the number of edges. In order to predict the identity of the target participant, 578 the maximal Pearson's correlation coefficient was selected (Figure 1A). Additionally, we 579 also investigated the contribution of single networks to identification accuracy by sub-580 sectioning the functional connectivity matrices into sub-matrices of single networks. To 581 perform this, we selected only connection within a specified network. Then, we calculated 582 the Pearson's correlation coefficients, similarly to the previous approach. Results are 583 reported as mean \pm SD.

584 Twin identification

585 The twin pair identification algorithm was based on the previous individual identification 586 analysis. At this stage, we removed the correlations corresponding to the same individual 587 in different sessions, that is the diagonal of individuals \times individuals matrices, and then 588 performed a new set of identification analyses. In this condition, if the chosen maximum 589 correlation value belonged to the target subject's twin, the prediction was considered 590 correct. Monozygotic and dizygotic twins were analyzed separately, and all conditions 591 (RESTX × RESTY, where X and Y $\in \{1, 2\}$) were tested. Results are reported as mean 592 ± SD.

593 Statistical significance assessment

594 To assess the statistical significance of twin identification analyses, we performed a 595 permutation testing. To ensure the independence of the dataset, we permuted the twin 596 pairs' identities, such that for each row of the 'individuals vs. individuals' matrix (Figure 597 1A) a new twin pair identity was assigned. The permutation process was repeated 1,000598 times for each functional network.

599 *Effect size*

600 The distribution of correlation scores between pairs of connectivity matrices (i.e. 601 correlation among the vectorized form of the connectivity matrices) was determined by 602 grouping these scores based on familial relationship: 1) same individual - SI; 2) 603 monozygotic twins - MZ; 3) dizygotic twins - DZ and 4) unrelated individuals - UN. 604 Following that, the effect size of the differences between the distributions of correlation 605 values was measured through the calculation of Cliff's delta. This a non-parametric effect 606 size measure based on all pairwise differences (Cliff, 1993), which gives how often values 607 from one distribution are larger than the ones from a second distribution (Equation 2).

608

609
$$Delta(d) = \frac{Sum(x_1 > x_2) - Sum(x_1 < x_2)}{n_1 n_2}$$
 Equation 2

610

611 Therefore, the number of times that values from one group are higher than the ones from 612 a second group is calculated for all possible combinations of values between the two 613 groups (n_1n_2) , where n_1 and n_2 are the number of values within the distribution 1 and 2. 614 respectively). The final Cliff's delta value is the difference between the previous 615 calculations divided by all possible combinations. Thus, a positive and high value of d 616 $(d_{\text{maximum}} = 1)$ mean that values within distribution 1 are mostly higher than the ones within distribution 2, a negative and high absolute value of d ($d_{minimum} = -1$) means the other way 617 618 round, that values within distribution 1 are mostly lower than the ones within distribution 619 2, and d = 0 means that the distribution 1 and 2 are equal.

620 Heritability analyses

621 Functional connectivity measures from two different days (REST1 and REST2) were 622 averaged, giving a functional connectivity matrix per participant. As mentioned before, 623 whole-brain functional connectivity matrices were determined by using two distinct 624 parcellation schemas: "Shen" (Shen et al., 2013) (268 nodes, 71,824 edges) and "Gordon" 625 (Gordon et al., 2014) (333 nodes, 110,889 edges). The first step involved the vectorization 626 of functional connectivity matrices' lower triangle ("Shen" - 35,778 edges; "Gordon" -627 55,278 edges). The heritability analyses were performed using the umx package (Bates, 628 Maes, & Neale, 2019), after regressing out the effect of age and sex using 629 'umx residualize'.

630 Heritability of functional networks was estimated using a multivariate ACE model, 631 'umxACEv' from umx package (Bates et al., 2019), with bootstrapping. Specifically, 632 'umxACEv' model allocates observed phenotypic variability of each variable and 633 between variables (variance/covariance matrix) into three latent factors: A (additive 634 genetic factors $-h^2$), C (shared environment $-c^2$) and E (measurement error or external 635 sources of variability $-e^2$) (Neale & Cardon, 1992; Panizzon et al., 2009). This model 636 outputs a variance/covariance load matrix for each component (A, C and E). In each 637 component matrix, the diagonal represents the proportion of variance that that factor 638 explains of each variable's phenotypic variability, while off-diagonal terms give the 639 proportion of the covariance between variables. Here, we only focused on the partitioning 640 of variance for the estimation of network heritability, doing so by averaging the estimates 641 in the diagonal of each model fit.

In each iteration of model fitting, a subset of 10 edges was randomly selected and used to
fit the previously described ACE model. This procedure was repeated with reposition for
8,000 times (or 12,000 times when "Gordon" parcels was used) for whole-brain, and

645 1,000 times for each functional network. The number of iterations was determined such 646 that every edge would be selected at least twice (i.e. 8,000 iterations * 10 edges = 80,000). 647 This approach provides distributions of means of each component (A, C and E) for each 648 functional network. Finally, null distributions were similarly obtained by randomly 649 shuffling monozygotic and dizygotic twin statuses at each iteration (Colclough et al., 650 2017). Independent t-student tests were performed separately to evaluate whether each 651 functional network's heritability distribution significantly differed from their respective 652 null distribution.

653 Code availability

654	All	source	codes	will	be	available	at	
655	https://github.com/felenitaribeiro/fingerprinting_twinStudy							

656 <u>https://github.com/frcsantos/heritability</u> upon publication of this manuscript.

657 Citation diversity statement

658 Recent work in neuroscience and other fields identified a bias in citation practices such 659 that papers from women and other minorities are under-cited relative to the number of 660 such papers in the field (Caplar, Tacchella, & Birrer, 2017; Dion, Sumner, & Mitchell, 661 2018; Dworkin et al., 2020; Maliniak, Powers, & Walter, 2013; Mitchell, Lange, & Brus, 662 2013). Here we sought to proactively consider choosing references that reflect the 663 diversity of the field in thought, form of contribution, gender, and other factors. Gender 664 of the first and last author of each reference was predicted by using databases that store the probability of a name being carried by a man or a woman (Dworkin et al., 2020). By 665 666 this measure (and excluding self-citations to the first and last authors of our current 667 paper), our references contain 10.31% woman(first)/woman(last), 18.36% man/woman, 668 21.55% woman/man, and 49.78% man/man. We look forward to future work that could669 help us to better understand how to support equitable practices in science.

670 Acknowledgments

This work was supported by the Universidade Federal do ABC (UFABC) and Coordination of Improvement of Higher Education Personnel (CAPES). Data were provided by the Human Connectome Project, WU-Minn Consortium (Principal Investigators: David Van Essen and Kamil Ugurbil; 1U54MH091657) funded by the 16 NIH Institutes and Centers that support the NIH Blueprint for Neuroscience Research; and by the McDonnell Center for Systems Neuroscience at Washington University.

677 Author contributions

- 678 F.L.R., F.R.C.S and C.E.B. conceptualized the study. F.L.R. and F.R.C.S. designed and
- 679 performed the analyses with support from W.H.L.P. F.L.R. wrote the original draft. All
- authors revised and edited the manuscript. J.R.S., W.H.L.P., and C.E.B provided support
- 681 and guidance with data interpretation.

682 Competing Interests

683 The authors declare no competing interests.

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