Coherent neuronal dynamics driven by optogenetic stimulation in the primate brain

Ryan A. Shewcraft[1], Heather L. Dean[1], Margaret M. Fabiszak[2], Maureen A. Hagan[3,4],

Yan T. Wong[3,5,6], and Bijan Pesaran[1,7,8,*]

Lead Contact:
Bijan Pesaran, Ph.D.
4 Washington Pl. Rm 809
Center for Neural Science
New York University
New York, NY 10003 USA
Tel: 212.998.3578, Fax 212.995.4011
E-mail: bijan@nyu.edu

Word counts

Summary	149	(<150)
Introduction	726	
Results	2615	
Discussion	1444	
Methods	2114	
References	60,	
Figures	7	
Tables	0	
Total words	4785	

[1] Center for Neural Science; New York University; New York, NY, 10003; United States
[2] Laboratory of Neural Systems; The Rockefeller University; New York, NY, 10065; United States
[3] Biomedicine Discovery Institute; Monash University; Clayton, VIC 3800; Australia
[4] Australian Research Council, Centre of Excellence for Integrative Brain Function; Monash University; Clayton, VIC 3800; Australia
[5] Department of Electrical and Computer Systems Engineering; Monash University; Clayton, VIC 3800; Australia
[6] Department of Physiology; Monash University; Clayton, VIC 3800; Australia
[7] NYU Neuroscience Institute; New York University Langone Health; New York, NY, 10016; United States
[8] Lead contact
[*] Correspondence: bijan@nyu.edu

Summary

Coherent neuronal dynamics play an important role in complex cognitive functions, but the causal role of neuronal coherence is unclear. Optogenetic stimulation allows causal tests of the functional significance of coherent neuronal activity. While non-human primates are important for studying the neural mechanisms of complex cognitive functions, optogenetic perturbation of neuronal dynamics has had limited application in this animal model. Here, we develop a computational framework and perform experiments to test how optogenetic stimulation perturbs coherent neuronal dynamics. We show that stimulation-evoked neural responses arise from temporal windows of excitatory and inhibitory activity that vary parametrically with optogenetic stimulation parameters. We experimentally identify the effective temporal window of excitation and inhibition and use the window in a computational model to design model-based stimulation sequences. These results demonstrate optogenetic perturbations of coherent neuronal dynamics and advance the use of optogenetic tools to study complex cognitive functions in the primate brain.

Introduction

Coherent neuronal dynamics are patterns of neural activity due to correlations in the spiking activity of individual neurons and neural population activity, typically measured using local field potential (LFP) activity (Pesaran et al., 2018). The importance of coherent neuronal dynamics has been emphasized across a range of complex cognitive functions including working memory (Pesaran et al., 2002; Salazar et al., 2012; Siegel et al., 2009), decision making (Hawellek et al., 2016; Pesaran et al., 2008; Wong et al., 2016), coordination (Dean et al., 2012; Hagan et al., 2012), attention (Buschman and Miller, 2007; Gregoriou et al., 2009), and perception (Gray et al., 1989; Womelsdorf et al., 2006). While their precise function remains controversial (Uhlhaas et al., 2009), coherent neuronal dynamics at different frequencies in the beta (12-30 Hz) and gamma (30-100 Hz) bands are thought to play different functional roles (Buschman and Miller, 2007). To more decisively test the role of coherent neuronal dynamics in complex cognitive functions, we need to be able to selectively manipulate temporally-patterned neuronal activity.

Optogenetic stimulation can perturb behavioral processes (Dai et al., 2014; Jazayeri et al., 2012; Stauffer et al., 2016) and neuronal dynamics (Adesnik and Scanziani, 2010; Cardin et al., 2009). Early work tested computational models that predict cell-type-specific manipulations underlie the impact of optogenetic stimulation on coherent neuronal dynamics. In some studies, driving interneurons using pulse trains of optogenetic stimulation perturbed coherent neuronal activity (Cardin et al., 2009; Sohal et al., 2009). In other studies, driving pyramidal neurons using continuous optogenetic stimulation generated coherent dynamics, specifically gamma activity (Lu et al., 2015; Ni et al., 2016). In each case, consistent with the cell-type specific models, the effect of optogenetic stimulation on coherent neuronal dynamics depends on which neurons have been transduced. Importantly, this work indicates that expression of different cell-

type-specific optogenetic constructs is needed to perturb neuronal dynamics at different

frequencies (Cardin et al., 2009). However, how to use optogenetic stimulation to identify and

compare specific functional roles for coherent neuronal dynamics at different frequency ranges

remains unclear. If co-expression of multiple cell-type-specific optogenetic tools in specific

layers is required, identifying functional roles for different temporal patterns of activity will be

particularly challenging. The challenge is especially acute when studying complex cognitive

functions in non-human primates because of the relative lack of cell-type specific tools.

In general, coherent neuronal dynamics are not simply due to laminar, cell-type specific

mechanisms. Coherent neuronal dynamics also depend on the temporal precision and

synchrony of spike timing, and the relative timing of post-synaptic excitatory (E) and inhibitory (I)

responses (Chariker et al., 2018; Gabernet et al., 2005). Stimulating specific cell-types drives

synaptically-mediated responses in a variety of cell-types present in the neuronal network

(Buzsáki and Wang, 2012; Gloveli et al., 2005; Phillips and Hasenstaub, 2016; Pike et al., 2000;

Tukker et al., 2007). As a result, how stimulation drives coherent neuronal dynamics depends

on the relative timing of E-I responses. If so, this suggests that parameters for optogenetic

stimulation pulse trains to generate a particular pattern of coherent neuronal dynamics can be

selected in two complementary ways: 1 - how each stimulation pulse drives an effective

temporal integration window of excitation and inhibition; and 2 – how sequences of stimulation

pulses recruit relative timing of E-I responses appropriate to generating particular coherent

neuronal dynamics.

Motivated by this reasoning, we tested the hypothesis that optogenetic stimulation can

drive coherent neuronal dynamics at different frequencies by varying E-I responses and their

relative timing through the choice of particular stimulation parameters. We first developed a

computational model of coherent neuronal dynamics in response to optogenetic stimulation in

terms of a temporal window of excitation and inhibition—the E-I window. We experimentally

tested the model predictions by expressing ChR2(H134R) in macaque cortex delivered by an

AAV2/5 viral injection, and measuring spiking and local field potential (LFP) responses to

optogenetic stimulation. We first examined the impact of altering the shape of the E-I window by

varying the stimulation pulse duration. We then considered the complementary manipulation of

altering stimulation pulse sequences and present an experimental protocol for designing

stimulation sequences that manipulate coherent neuronal dynamics. The results demonstrate

how to generate coherent dynamics across frequencies in the beta and gamma frequency

bands according to model predictions. This study provides an approach to testing and

comparing the causal role of different naturalistic coherent neuronal dynamics in computation

and behavior.

Results

Modeling optogenetic-stimulation-evoked coherent neuronal dynamics.

Spike-field coherence (SFC) measures coherent neuronal dynamics by estimating the

strength of correlations between spiking activity of individual neurons and neural population

activity in the form of simultaneously-recorded LFP activity. When the spike times of a neuron

can be predicted from fluctuations in LFP activity at a particular frequency, the spiking is said to

be coherent with LFP activity at that frequency. Neurons that fire consistently at a particular

phase of LFP activity are coherent with LFP activity. In short, neuronal coherence is defined by

coherent spiking and LFP activity and can be measured by estimating SFC between spiking and

nearby LFP activity (Pesaran et al., 2018, 2002).

Figure 1 presents a model of optogenetic-stimulation-evoked coherent neuronal

dynamics with the corresponding idealized neural responses. We model neuronal spiking

according to a conditional intensity function, $\lambda(t)$, which represents the instantaneous firing

rate, such that probability of a spike occurring at time t is given by $\lambda(t)\Delta t$ (Brown et al., 2003).

In the absence of optogenetic stimulation, we model background spiking activity as a Poisson

process with a constant rate, λ_0 (**Figure 1A**). We model background LFP activity as a process

with increased power at low frequencies–"brown noise". For simplicity, we further assume that

background LFP activity is uncorrelated with spiking activity and does not affect the value of

$\lambda(t)$.

Direct activation of transduced neurons leads to excitatory and inhibitory synaptic

currents within the local network of neurons. The resulting currents generate excitatory (E) and

inhibitory (I) currents with different temporal structure in post-synaptic neurons (Cruikshank et

al., 2010; Haider et al., 2013; Higley and Contreras, 2003; Wehr and Zador, 2003). Excitatory

currents lead neurons to fire spikes more frequently. Inhibitory currents lead neurons to fire

spikes less frequently. The relative timing of the E and I currents determines when neurons fire

spikes. Therefore, we model the impact of optogenetic stimulation on spiking as an excitatory-

and inhibitory-dependent temporal change—an excitation-inhibition (E-I) window—in the

conditional intensity function, $\lambda(t)$ (**Figure 1B**, Spike generation).

Figure 1. Excitation and inhibition drive coherent neuronal dynamics. (A) During spontaneous activity, synaptic inputs drive activity in a local network. We model spiking as being generated from a Poisson process with a constant rate and LFP as being generated from a Brown noise process. Under these assumptions, spontaneous spiking is not coherent with spontaneous LFP. **(B)** To model optogenetic stimulation of a population of transduced neurons, we simulated pulsatile responses generated by direct activation of ChR2 channels and synaptic activity. Pulsatile responses are convolved with a stimulation sequence. The summed excitatory and inhibitory (E-I, black) components comprise the simulated LFP. The individual E (blue) and I (red) activity govern the variable spiking rate in the Poisson process which generates coherent spiking. **(C)** Spontaneous activity in the model has no spike-field coherence. **(D)** Optogenetic stimulation drives correlated fields and spiking, leading to frequency selective spike-field coherence.

Since we do not have experimental access to the intracellular conductance changes in response to each stimulation pulse, we estimate the excitatory and inhibitory responses from the shape of the stimulation-evoked LFP. LFP activity predominantly reflects summed post-synaptic potentials (Buzsáki et al., 2012; Pesaran et al., 2018). Thus, the stimulation-triggered

average LFP can reveal the temporal structure of E-I windows that arise from synaptic currents

in the neural population (Okun et al., 2010; Poulet and Petersen, 2008). Motivated by this, we

model the stimulation-driven E-I response using the stimulation-triggered averaged LFP.

In the model, separate E and I responses to stimulation pulses are summed to create

the E-I window. For illustrative purposes, we show the E response preceding the I response but

this can be reversed so that I precedes E (Cruikshank et al., 2010; Haider et al., 2013). The E-I

window is convolved with a pulse train to model the combined E-I response to stimulation. To

simulate spiking responses, the positive- and negative-rectified responses to the pulse train are

passed through a non-linear transformation and summed to generate the time varying firing

rate, $\lambda(t)$. Individual action potentials are then randomly generated at each time point from a

binomial random variable with $p(spike\ at\ time\ t) = \lambda(t)\Delta t$. We separately model LFP activity in

response to optogenetic stimulation as a linear sum of brown noise background activity and

activity due to each stimulation pulse (**Figure 1B**, LFP).

We refer to this model of neuronal coherence as the **E-I window model.** Since we

model spontaneous spiking and LFP as uncorrelated, baseline activity does not contain SFC

(**Figure 1C**). Since optogenetic stimulation evokes E-I windows in which action potentials are

more likely to occur, changes in spike probability are coupled to LFP responses. This coupling

results in spikes that are coherent with LFP activity. The coherence is due to the temporal

dynamics, or shape, of the E-I windows. (**Figure 1D**).

Note that the neuronal coherence described by the E-I window model depends on

predictability between spikes and LFP activity. This construction is consistent with but does not

require invoking an oscillator or other phase-consistent process. This construction is also

consistent with the use of SFC, as opposed to other metrics of phase consistency, to estimate

coherent neuronal dynamics. This is because SFC measures predictability between spikes and

LFP activity. Note also that our approach contrasts with previous work that has used cell-type-

specific optogenetic stimulation to generate neuronal coherence.

Selectively generating coherent neuronal dynamics by varying E-I windows.

175   According to the E-I window model, the frequency of coherent neuronal dynamics is

176   determined by the E-I window. We first verified this prediction computationally by simulating the

177   model. To analyze the impact of E-I windows due to each stimulation pulse, we modelled E-I

178   windows as a weighted sum of excitatory and inhibitory components

$$EI = \beta_{exc} exc - \beta_{inh} inh$$

$$exc = Ae^{-At}\left(\frac{(At)^{\alpha_{exc}}}{\alpha_{exc}!}\right)$$

$$inh = Ae^{-At}\left(\frac{(At)^{\alpha_{inh}}}{\alpha_{inh}!}\right)$$

180   where α_{exc} and α_{inh} govern the temporal dynamics of the excitatory and inhibitory

components, respectively (**Figure 2A**). To test how each stimulation pulse drives an effective E-

I window that alters coherence, we simulated spiking and LFP activity while sweeping through

values for the α_{exc} and α_{inh} parameters and used white noise Poisson stimulation pulse

sequences. In our empirically measured E-I windows, excitatory responses dominated the early

stage followed by inhibitory responses. This is consistent with sensory stimulation which

typically generates an increase in excitation followed shortly by a corresponding inhibitory

response (Higley and Contreras, 2003; Wehr and Zador, 2003). However, inhibition may be

stronger and have faster rise times than excitation in certain circuits or behaviors (Cruikshank et

al., 2010; Haider et al., 2013). This regime would be captured in the E-I window model by

setting $\alpha_{inh} > \alpha_{exc}$. In line with the prediction, the frequency content of SFC in the simulations

varied with the E-I window duration (**Figure 2B-C**). Longer E-I windows generated SFC at lower

frequencies.

The influence of the E-I window on coherence was also present in the LFP activity alone.

We defined a power spectral ratio (PSR) as the ratio of the driven LFP response to the baseline

LFP response. The PSR captures the linearly-dependent influence of optogenetic stimulation on

temporal structure in the neuronal activity. Consistent with SFC, the PSR also varies with E-I

duration (**Figure 2D-E**). Longer duration E-I windows drive increases in power at lower

frequencies. Since driven spiking activity may not be measured at all recording sites in an

experiment, the results of the PSR analysis suggest LFP activity may also measure the driven

coherent dynamics.

Figure 2—figure supplement 1. E-I window shape controls frequency of coherent activity.
(A) Spike-field coherence (left) and power spectral ratio (right) that result from using the E windows
from (Fig 2A) in the model. Right, frequency of peaks in the SFC (blue) and PSR (red) for each E
window. **(B)** The same as (A) using I windows. **(C)** Differences in the peak frequencies for the each
window duration for E (black), I (yellow) and E-I (green) windows.

Using both E- and I- components to simulate stimulation responses provided a wide

range of driven dynamics across a wide range of frequencies (**Figure 2F**). Additional

computational work suggested that the interplay of E- and I-windows was important to this result

(**Figure 2—figure supplement 1**). Taken together, the simulation results suggest that coherent

neuronal dynamics can be selectively generated using optogenetic stimulation to control E-I

window durations, consistent with the E-I window model.

Optogenetic stimulation robustly generates frequency-selective responses.

Next, we tested the E-I window model experimentally. The model suggested that varying

the E-I window duration affects the frequency of driven coherent neuronal dynamics. This raised

the question of how to experimentally vary the E-I window duration. We hypothesized that

varying the duration of the stimulation pulse would drive different E-I windows, and thus alter the

frequency content of the driven coherent neuronal activity.

To test this hypothesis, we injected AAV5-hSyn-ChR2(H134R)-EYFP and recorded

neuronal responses in three alert macaque monkeys quietly seated in a primate chair. We

recorded in the inferior and superior parietal lobules in Monkeys H and J and lateral to the post-

central dimple in Monkey B (**Figure 3A**)**.** We observed strong viral expression at each injection

site with robust labelling of neurons and neuropil (**Figure 3B**). We performed simultaneous *in*

vivo optogenetic stimulation and recording using a fiber optic cable placed on a surgically

thinned dura and adjacent to the intracortical recording electrode (**Figure 3C**). Stimulation

consisted of one second long sequences of light pulses (stimulation epoch) followed by 1-3 s of

no stimulation (baseline epoch) (**Figure 3D**). Optogenetic stimulation reliably generated neural

responses. These responses consisted of action potentials as well as large evoked field

potentials visible on an individual pulse basis in the raw data (**Figure 3E,F,G).**

We measured neuronal responses to stimulation sequences composed of Poisson pulse

trains with different pulse rates and pulse durations. **Figure 4A** presents example neuronal

responses during a stimulation block with 5 ms wide pulses delivered with a 20 pulse/s Poisson

pulse train. Individual stimulation pulses drove spiking activity and evoked LFP responses at the

stimulation site (**Figure 4Ai,ii**). Stimulation drove spiking activity that was coherent with LFP

activity at selective frequencies (**Figure 4Aiii**). To quantify the response to the pulse train, we

computed the power spectrum of LFP activity during the one second long stimulation epoch and

one second prior to stimulation during the baseline epoch (**Figure 4Aiv**). The peri-stimulation

LFP power spectrum revealed a significant increase in power (Chi-squared test, p<0.01). The

PSR shows that power is driven in a selective frequency range (**Figure 4Av**).

Figure 4. Neuronal dynamics driven by optogenetic stimulation. (A) Neuronal responses to 5
ms pulse duration, 20 Hz pulse rate, periodic pulse train. (i) Raster (top) and PSTH (bottom) of the
response to single light pulses (blue). (ii) Mean evoked potential for all the stimulation pulses that
were the last in a sequence and not preceded by a prior stimulation pulse within 50 ms, normalized
by subtracting the value of the LFP at the onset of the light. Dotted line indicates 0 mV. (iii) Spike-
field coherence for multi-unit activity and LFP measured on the same electrode. Dotted horizontal
line shows expected coherence under the null hypothesis that coherence is zero. (iv) Power
spectral density (PSD) of 1 s of data during stimulation (black line) and spontaneous activity prior to
stimulation (grey line). The dotted lines are error bars determined by the Chi-squared test for
p=0.01. Solid gray lines above the PSD illustrate frequency bands where the stimulus PSD was
significantly different from the spontaneous PSD (p<0.01). (v) Power spectral ratio showing
frequency specific increases in power due to stimulation. **(B)** Same as (A) for 20 ms, 20 pulses/s
pulse rate, Poisson pulse train. **(C)** Population mean of peak frequency for the SFC (red) and PSR
(black) for each pulse duration across all subjects. The mean SFC peak location was measured
from the average SFC across the population. The PSR peak location was measured individually for
each recording site then averaged across the population. Error bars show the standard error of the
mean. **(D)** Population mean of the bandwidth of driven activity measured in by the width of the PSR
for each pulse duration across all subjects. Error bars show the standard error of the mean.

Longer pulse durations generated longer duration changes in firing rate (**Figure 4Bi**).

Interestingly, LFP activity during wider pulses showed longer periods of excitation and inhibition

(**Figure 4Bii**). The longer-duration E-I window was associated with more selective changes in

SFC and the LFP power spectrum (**Figure 4Biii-v**). Therefore, stimulation sequences with

different pulse durations can be used to selectively generate coherent neuronal dynamics,

consistent with changing the E-I window duration. Thus, the E-I window model predicted the

relationship between the E-I windows and the stimulation-evoked coherent neuronal dynamics.

Optogenetic stimulation generates pulse duration-dependent responses.

We averaged SFC across a population of recording sites with driven spiking activity in response to stimulation using Poisson pulse trains with 5, 10, 15, and 20 ms duration pulses. SFC varied with pulse duration, consistent with the example site (**Figure 4C**). In addition, we analyzed LFP responses across recording sites with driven evoked potentials in the three animals for 2, 5, 8, 10, 12, 15, 18, and 20 ms duration pulses. As with the example site, the frequency content of the driven LFP varied with pulse duration across the population (**Figure 4C**).

The frequency of driven coherence decreased with pulse duration ($r^2 = 0.88$, p = 0.062) (**Figure 4C**, red). Consistent with the SFC, the frequency driven LFP response also depended on pulse duration ($r^2 = 0.60$, p = 6.7×10^{-9}) (**Figure 4C**, black). Longer duration pulses drove more neuronal coherence at lower frequencies and did so in narrower bands ($r^2 = 0.36$, p = 6.07×10^{-5}) (**Figure 4D**). Importantly the center frequency did not depend on the mean pulse rate for Poisson stimulation (**Figure 4—figure supplement 1**). The decoupling of frequency from pulse rate means that the amount of coherence can be controlled separately from the center frequency. These results show that coherent neuronal dynamics in either beta or gamma frequency bands can be generated by stimulating the same population of neurons using stimulation pulses of different durations.

Figure 4—figure supplement 1. The response dynamics do not depend on pulse rate. Average and SEM of the standard deviation of the first fitted Gaussian for all stimulus sequences with a 10 ms pulse width across all recording sites.

E-I windows are site-specific.

E-I windows also differ across stimulation sites, potentially due to variations in

expression and local network properties (**Figure 5A**). Hence at a single stimulation site, different

pulse durations generate coherent neuronal activity at different frequencies, (**Figure 5B,C**).

However, **Fig 5D** illustrates how responses to the same pulse duration at other sites may differ.

A short pulse at Site B could drive a narrower response, similar to **Figure 5C**, but with different

relative timing of excitatory and inhibitory components. The differences in E-I timing result in

coherent neuronal dynamics with different frequency structure.

Figure 5. Site specific E-I windows generate different dynamics. (A) Transduction patterns and
network connectivity can vary within a single injection site or across injection sites or subjects. **(B)**
At the example site from Fig 3 (Site A) a 20 ms pulse drives a longer evoked response in the LFP
(dotted gray). This can be modelled as an E-I window (black) with excitatory (blue) and inhibitory
(red) components that have extended durations. This empirical E-I window generates coherent
dynamics at low frequencies with a more narrow bandwidth. The E-I window parameters are
A=0.097, α_{exc}=2, α_{inh}=4, β_{exc}=4.548, β_{inh}=4.730. **(C)** Same as (B) for Site A, with a 5 ms wide pulse.
The E-I window parameters are A=0.097, α_{exc}=1, α_{inh}=3, β_{exc}=2.568, β_{inh}=3.654. **(D)** Same as (C)
for a different site. The E-I window parameters are A=0.075, α_{exc}=1, α_{inh}=2, β_{exc}=4.312, β_{inh}=3.445.

Model-based generalization of local response dynamics.

The presence of site-specific E-I windows suggests that, in order to generate coherence

in a frequency band of interest, the stimulation pulse train needs to be tailored to the E-I window

at that site. However, if empirically searching through the space of stimulation parameters at

each site is required, selectively manipulating coherence would be time-consuming. A model-

based procedure is needed to compute the site-specific stimulation parameters without an

empirical-search.

Figure 6. Using the E-I window model for model-based generalization. (A) Example E-I
window for empirical data using a 10 ms pulse duration temporally scaled to simulate E-I windows
for 5 ms (blue), 20 ms (green), and 50 ms (purple) pulses. **(B)** Right, Simulated PSRs for 2-50ms
pulses using an example excitation window from the 10 ms condition. Black dots show the actual
PSR peak location from the experimental data. Right, PSRs for simulated responses for 5 ms, 10

ms, 20 ms, and 50 ms pulse widths conditions. Lighter, thinner lines show PSRs for experimental
data. **(C)** Same as B for simulated SFC. (D) E-I window model parameter for all pulse widths in
(B,C).

To test whether a model-based procedure can select site-specific pulsatile stimulation

parameters, we examined how stimulation parameters could be generalized across response

sites. Using empirically-derived responses from one set of parameters in the E-I window model

to estimate responses to other parameters allows for model-based, site-specific selection of

pulsatile stimulation parameters. To do this, we temporally scaled the E-I response for a 10 ms

pulse to provide an estimate of the temporal window of excitation to any arbitrary pulse duration

(**Figure 6A**). Scaling longer pulse durations, which have excitation windows that capture high

frequency suppression, provides a better fit to experimental data than scaling shorter pulses

(**Figure 6—figure supplement 1**). Performing simulations using the E-I window model with E-I

windows that are temporally scaled versions of the experimental test condition produces a map

that shows which frequencies can be generated using pulsatile Poisson stimulation (**Figure 6B,**

left). The E-I window model generates dynamics that match empirical results (**Figure 6B**, left;

black dots). Importantly, different pulse durations can be used to generate selective responses

in bands typically associated with beta and gamma frequencies (**Figure 6B,** right). The E-I

window model also generates spiking that is coherent with LFP activity at beta and gamma

frequencies and varies with pulse width (**Figure 6C**). The frequency content of the response is

driven by parameter choice for the E-I window (**Figure 6D**). This offers a way to separately test

the contribution of each of these frequency bands to behavior.

Model-based prediction of responses when varying stimulation pulse sequences.

The frequency of driven coherent neuronal dynamics can also be altered in a

complementary manner by changing the temporal properties of the stimulation sequences. To

generate narrow-band responses, we use a quasi-periodic stimulation pulse train where the

probability of generating a stimulation pulse is given by $P(stim) = \beta(1 + \alpha \sin(2\pi Ft))\Delta t$ (**Figure**

7A). Periodic stimulation can also be used to generate narrow responses. However, periodic

stimulation trains have power at the fundamental frequency and its harmonics, which can drive

responses outside of the desired frequency (**Figure 7B**). Quasi-periodic stimulation can reduce

the stimulation harmonic content, while preserving the response at the frequency of interest

leading to selective drive (**Figure 7C**). Quasi-periodic stimulation robustly generates that

frequency specificity on a trial-by-trial basis (**Figure 7D**). Therefore, a quasi-periodic algorithm

can generate frequency selective drive over short, behaviorally relevant periods of time.

Figure 7. E-I window model predictions for quasi-periodic stimulation. (A) Quasi-periodic stimulation to generate stimulation pulses according to probability P(stim) = β(1+αsin(2πFt))Δt. β is the baseline stimulation rate and α is the modulation depth of stimulation. F is the frequency of interest. Δt is the sampling rate of the simulation pulse train. Increasing α increases stimulation intensity at frequency F. **(B)** Simulated PSR for fully periodic stimulation (F = 35 Hz) with 15 ms evoked potentials. Inset, Linear model prediction. **(C)** Simulated PSR for quasi-periodic stimulation (F = 35 pulse/s, α = 20, β = 5). **(D)** Trial-by-trial PSRs for the simulated responses from C. **(E)** Simulated PSR when varying modulation depth α. Other parameters as in C. **(F)** Simulated SFC when varying modulation depth α (F = 25 Hz). **(G)** Simulated PSR when varying frequency F. Other parameters as in C. **(H)** Simulated PSR using evoked potentials from 2 ms pulses for F = 65 Hz (red) compared with results from C (blue).

Quasi-periodic stimulation offers other benefits. With periodic stimulation, increasing stimulation intensity by stimulating more frequently can also increase the frequency of driven responses. Quasi-periodic stimulation allows frequency-selective stimulation intensity to be controlled separately from the frequency of interest itself. Increasing the modulation depth, α, results in increased driven power and coherence around the frequency of interest and not at other frequencies (**Figure 7E,F**). Changing the frequency of interest is achieved by varying F in

order to generate a range of behaviorally relevant frequencies (**Figure 7G and Figure 7—**

figure supplement 1).

Figure 7—figure supplement 1. Stimulations for low frequency selective drive. PSR for
simulations using quasi-periodic stimulation (F = 10 pulse/s) with 50 ms evoked potentials. Evoked
potentials from 10 ms, 20 pulse/s conditions at an example recording site were stretched by a
factor of 5 to simulate responses to a 50 ms pulse.

One concern highlighted by our results is that responses to square wave pulse trains

have low power at nodes determined by the inverse of the pulse duration. These nodes occur

where the stimulation sequence has no energy, at frequencies that are multiples of $\dfrac{1}{pulse\ width}$

, as determined by the Fourier transform of a square wave. Since response linearity predicts

that responses are shaped by the stimulation pulse shape, if the pulse shape has low power at

a given frequency, then a periodic or quasi-periodic pulse train will not drive responses at that

frequency (**Figure 7G**, green). Conversely, if the pulse shape has a peak in power at a given

frequency, then the response will be greater compared to stimulation at other desired

frequencies with the same pulse duration (**Figure 7G**, blue). To address this concern, we

propose that the pulse duration should be chosen according to the desired response frequency

(**Figure 7H**).

Discussion

Here, we investigate how optogenetic stimulation of non-human primate cortical neurons evokes coherent neuronal dynamics. We propose that optogenetically-evoked dynamics are consistent with the recruitment of a combination of excitatory and inhibitory responses leading to an effective temporal window for spiking – the E-I window. We develop the E-I window model and show the specific frequency of optogenetic stimulation-evoked coherence varies parametrically with stimulation parameters. Experimental results from optogenetically-stimulating non-human primate cortex confirmed model predictions and revealed that varying the pulse duration alters the stimulation driven E-I windows. These results show that varying optogenetic stimulation parameters alone can selectively generate coherent dynamics across a range of behaviorally relevant frequencies—beta and gamma—by varying the effective E-I window.

Cell-type specific manipulations

Our work shows that pan-neuronal optogenetic stimulation generates E-I windows that drive coherent neuronal dynamics. Previous work suggests that controlling activity in a single cell-type is necessary for driving coherence (Adesnik and Scanziani, 2010; Cardin et al., 2009; Lu et al., 2015; Sohal et al., 2009). However, direct stimulation of specific types of neurons recruits synaptically-mediated responses from other strongly connected neurons in the network (Phillips and Hasenstaub, 2016). This recruited ensemble shapes the frequency content of coherent neuronal dynamics (Kato et al., 2017). Thus, stimulation-evoked coherence measured under cell-type-specific optogenetic stimulation may also be designed according to an effective E-I window. Whether the E-I window model is as effective in predicting the impact of cell-type specific manipulations that target either E or I cells is an open question and will likely depend on the strength of recurrent connectivity in the network.

E-I window mechanisms of driven coherence

The E-I window model offers a mechanism for how E-I window affects spike timing and

driven coherent neuronal dynamics. The model makes use of an LFP-based conditional

intensity function in order to capture the E-I window and couple spike timing and LFP activity.

We estimated the net E-I window in response to stimulation using LFP activity. LFP activity can

capture the E-I window because field potentials arise from synchronous neuronal activity that

occurs due to temporally structured fluctuations of local excitation and inhibition (Buzsáki and

Wang, 2012; Csicsvari et al., 2003; Hasenstaub et al., 2005). Moreover, the stimulation-evoked

LFPs reveal the temporal structure of stimulation-induced post-synaptic potentials (Okun et al.,

2010; Poulet and Petersen, 2008),. Experimental agreement with the E-I window model

predictions reinforces the idea that driven coherent neuronal dynamics depends on a

mechanism involving the E-I window. The agreement also shows that stimulation-evoked LFP

activity is effective in capturing the phenomenology of the stimulation-driven E-I balance without

relying on precise measurements of the E and I components. Overall, our work suggests that

optogenetic stimulation controls spike timing and LFP activity through the generation of E-I

windows.

E-I window based stimulation compared with other stimulation pulse sequences

Continuous and periodic pulse optogenetic stimulation sequences have previously been

used generate beta and gamma activity and study its effects on behavior (Adesnik and

Scanziani, 2010; Cardin et al., 2009; Ni et al., 2016; Sohal et al., 2009). These forms of

stimulation implicitly control the E-I window using the properties of the stimulation pulse and

stimulation sequence. However, using continuous constant and pulsatile periodic stimulation

drives responses at only particular frequencies (Adesnik and Scanziani, 2010; Cardin et al.,

2009; Lu et al., 2015), likely related to properties of the local network (Buzsáki and Wang,

2012). The driven frequency may vary across brain regions and subjects (Lu et al., 2015; Ni et

al., 2016), and some stimulation sequences may not flexibly generate activity in certain

frequency bands of interest. Using the E-I window model to determine the stimulation pulse

duration allows us to generate coherent activity across a broader range of behaviorally-relevant

frequencies.

Another issue is that periodic pulsatile and continuous stimulation tend to generate

oscillatory dynamics in narrow frequency band (Adesnik and Scanziani, 2010; Cardin et al.,

2009). However, empirically observed coherent neuronal activity is not strictly oscillatory.

Oscillatory activity requires the presence of consistent phase relationships over extended time

period (Burns et al., 2011; Chariker et al., 2018; Pesaran et al., 2018; Xing et al., 2012).

Coherent neural activity is a broader term than oscillatory neural activity, and only requires the

presence of statistically significant dependencies at specific frequency bands. Empirically

observed neural coherence is dynamic and episodic, with fluctuations in phase and frequency,

and can be narrow-band or broad-band. By contrast, the E-I window model describes

manipulations of coherent neural activity patterns which are not necessarily oscillatory or

narrow-band.

A related issue is that in order to test the role of both broad-band and narrow-band

activity in behavior, we need to be able to generate both within a single experiment. Since

periodic pulsatile and continuous stimulation tend to generate oscillatory dynamics in narrow

frequency bands they and may not be effective for testing hypotheses about broad-band

dynamics. Additionally, periodic stimulation has power at harmonic frequencies, which can drive

responses outside of the desired frequency range and confound results. We show that the

choice of stimulation pulse sequence can alter the bandwidth of driven coherence while

minimizing power outside of desired frequencies. This leads to an important strength of the

model-based approach: E-I window-based optogenetic stimulation can identify and compare

specific functional roles for coherent neuronal dynamics at different frequency ranges with

needing multiple optogenetic constructs. The E-I window model predicts how stimulation

parameters can be selected to shape synaptic excitation and inhibition and generate the desired

coherent neuronal dynamics. Thus, the model-based approach to parameter selection also

mitigates the need for time-consuming empirical searching through the space of stimulation

parameters.

Potential bias in estimates of coherent neuronal dynamics from LFP activity

The choice to use extracellular recordings for LFP activity and spiking may bias our

measurements towards activity in pyramidal neurons. LFP activity is most sensitive to post-

synaptic potentials in neurons with separated current sources that generate an open field

geometry (Pesaran et al., 2018). Thus, contributions from neurons with extended dendritic

architectures, such as pyramidal neurons, are more heavily weighted by LFP activity.

Additionally, pyramidal neurons typically have larger cell bodies than other cortical neurons and

thus have more easily measurable action potentials. Therefore, our measurement of both

spiking activity and LFP, and thus SFC, may be biased toward contributions from pyramidal

neurons. In addition to LFP activity, alternative decompositions of neural data, such as

projections of population activity onto lower dimensional subspaces, may be used to estimate

coherence. Such estimates may be more effective at identifying spiking that is coherent with

pre- and post-synaptic activity in neuronal ensembles containing both open and closed field

neurons.

Limitations of non-light based stimulation

Are forms of stimulation other than optogenetic stimulation useful for studying coherent

neuronal dynamics and behavior? Electrical and magnetic stimulation approaches have been

used to precisely generate temporal structure in neuronal activity (Casarotto et al., 2010; Choi et

al., 2016; Radman et al., 2007; Schmidt et al., 2014) and study the impact of temporally

patterned stimulation on behavior (Bari et al., 2013; Van Acker et al., 2013). However, a

significant difficulty in interpreting the results of causal manipulations is that stimulating neuronal

networks can lead to a variety of non-linear and dynamic response properties in addition to

coherent dynamics (Millard et al., 2013; Muldoon et al., 2016; Nassi et al., 2015). Consequently,

simultaneous recordings of neuronal activity during stimulation are needed to confirm the impact

of stimulation sequences on coherent neuronal dynamics as opposed to other features of the

neuronal responses. Sequences of electrical and magnetic stimulation pulses can generate

substantial recording artifacts that are difficult to suppress, especially for field potentials,

obscuring responses and introducing significant confounds (Mueller et al., 2014; Olsson et al.,

2005). Our results show that, unlike electrical or magnetic stimulation, optogenetic stimulation

can be used in a manner that introduces few, if any, artifacts to simultaneous

electrophysiological recordings and allows us to control for non-linear and dynamic response

properties.

In conclusion, we systematically modeled neuronal responses to optogenetic stimulation

in non-human primate frontal cortex. We highlight the role of generating specific E-I windows by

selecting stimulation parameters—pulses and pulse sequences—to selectively perturb coherent

neuronal activity. Importantly, we do so in a general manner without necessarily targeting

optogenetic expression to specific types of neurons. In general, our results show that combining

experimental measures with model-based predictions can be used to study how optogenetic

stimulation drives network dynamics across a wide range of experimental designs, including

using different brain regions, model species, and stimulation protocols. These results

demonstrate that the ability to selectively generate episodic dynamics in behaviorally-relevant

frequency ranges in the primate brain can be useful for understanding how coherent neuronal

dynamics give rise to complex, flexible behaviors.

Methods:

Animals

Recordings were taken from two male rhesus macaques (*Macaca mulatta*) (Monkey J: 12 years, 6.5 kg; Monkey H: 11 years, 10.5 kg) and one male cynomolgus macaque (*Macaca fascicularis*) (Monkey B: 4 years, 5 kg). Monkeys J and H had been part of previous studies using the contralateral intraparietal sulcus and the ipsilateral frontal cortex. Monkey B had not been part of previous experiments. All animals were in normal health and were group housed with other conspecifics at the facility. All surgical and animal care procedures were approved by the New York University Animal Care and Use Committee and were performed in accordance with the National Institute of Health guidelines for care and use of laboratory animals.

Injection surgery

We performed injections of optogenetic viral vectors 6-25 weeks prior to recording. Subjects were maintained at a constant depth of anesthesia with isofluorane (1-2**%**). Monkey J and H were injected in existing craniotomies. In Monkey B, after mobilizing the scalp and other soft tissue, we made craniotomies over motor cortex to provide access for injections. Injections were made through 26 s gauge stainless steel cannulas (Hamilton) that were inserted through a thinned dura. For each injection, one microliter of AAV5-hSyn-ChR2(h134R)-EYFP was injected at a rate of 0.05 μl/min. We made injections at 2-3 depths spaced 500-750 μm across 2-4 cortical sites per animal. We injected at multiple sites simultaneously to increase total number of injections sites and thus increase the probability of having successful transduction at one or more sites. We waited 20 minutes between each injection to allow for the virus to diffuse through the tissue. After injections, chambers were reclosed with the chamber cap and periodically opened for cleaning and maintenance while the viral vector was expressing.

Optical Stimulation

We illuminated the injection site with a 473 nm Laser (Shanghai Sanctity Laser)

connected to a multi-mode fiber optic cable (200 μm core, BFL-200, Thor Labs). One end of the

fiber optic cable was terminated with an FC/PC connecter and connected to a collimator (PAFA-

X-4-A, Thor Labs) for focusing light from the laser. At the other end of the cable we removed a

small amount of buffer with a microstripper (T12S21, Thor Labs) and cleaved it with a diamond

knife (S90R, Thorlabs) to ensure a flat edge for minimal power-loss.

The fiber optic was placed on top of the thinned dura. The cable was held in the

microdrive and placed in the same guide tube as the recording electrode. We did not insert the

fiber into the brain in order to minimize damage to the neural tissue. Light power at the tip varied

from 16-20.5 mW. (510-653 mW/mm^2), as measured prior to each experiment using a power

meter (PM100D, Thor Labs). Evoked potentials were visually inspected to ensure that there

were no signs of artifacts from the Becquerel effect, including rapid onset or offset of neural

responses locked to the timing of the light(Cardin et al., 2010). Light based artifacts typically

have a capacitive charging and discharging profile, which has a rapid onset and offset tightly

coupled to the onset and offset of the device. We ensured that the onset of the response and

the inflection point were not aligned with the times when the light was turned on and off. The

neural response is slower than the duration of the light pulse and thus continues to increase for

a brief period after the light has been turned off. The onset is delayed because the ChR2

channel dynamics are slower than the chemical response and thus the membrane potential

does not start to change immediately. The initial response recruits network activity, which has a

slow time course. This is particularly evident for short light pulses (<=5 ms). When there is no

artifact, the response inflection point occurs after the light is turned off. This is less clear for

longer pulse widths (>15 ms) because adaptation and other properties of channel kinetics can

lead to a decrease in the response prior to the end of stimulation.

Light pulse patterns were generated by proprietary software running in LabVIEW

(National Instruments) and converted to analog signals using a DAQ card (USB-6251, National

Instruments). Stimulation trials consisted of 1 s long bursts of square wave pulses. For each

block of trials, we controlled three stimulation parameters: pulse width, pulse rate and pulse

distribution. We varied pulse widths from 1-20 ms, pulse rates from 5-80 Hz and used both

Poisson and periodic pulse distributions.

In rodents, both measurement and simulation have proposed that ~1% of light power

density remains after light has passed through approximately 1 mm of brain tissue (Aravanis et

al., 2007; Huber et al., 2008; Yizhar et al., 2011). However, primate brain tissue may have

slightly different optical properties, thus altering the light transmission. In addition, we stimulated

transdurally which may have additional effects on the light. However, we were able to record

purportedly directly driven spiking (low-latency, low-jitter) as far as 1.5mm below the cortical

surface (not shown), suggesting that we were activating neurons into layer 3.

We tested each recording site in pseudo-randomly interleaved blocks of recordings.

Each block consisted of 30-60 repetitions of 1 s long sequences of square wave pulses (bursts),

with 1-3 s of no stimulation between each stimulation burst (**Fig 3C**). We held other stimulation

parameters (pulse rate, duration and intensity) constant within each block.

Poisson and periodic stimulation pulse trains were generated *in silico* using the same

pulse width and rate parameters. Simulations consisted of 1000 trials for each combination of

parameters.

Electrophysiology

We recorded neural signals from awake, alert macaques while they were seated, head-

fixed in a primate chair (Rogue Research) in a dark, quiet room. Neural signals were recorded

on glass-coated tungsten electrodes (Alpha-Omega, impedance: 0.7-0.8 MΩ at 1 kHz) held in a

FlexMT microdrive (Alpha Omega). Neural signals were acquired at 30 kHz on an NSpike DAQ

system and recorded using proprietary data acquisition software. Neural recordings were

referenced to a metal wire placed in contact with the dura and away from the recording site. We

recorded from one site in monkey B (pre-motor cortex) and three sites in each Monkeys J and H

(parietal cortex). We recorded driven LFP activity at all sites and driven multi-unit activity at one

site in each subject.

Fitting E-I Windows

E-I windows were fit to the form

620
$$EI = Ae^{-At}\left(\frac{\beta_{exc}\left(At\right)^{\alpha_{exc}}}{\alpha_{exc}!} - \frac{\beta_{inh}\left(At\right)^{\alpha_{inh}}}{\alpha_{inh}!}\right)$$

621   which has an excitatory component

622
$$E = Ae^{-At}\left(\frac{\beta_{exc}\left(At\right)^{\alpha_{exc}}}{\alpha_{exc}!}\right)$$

623   and an inhibitory component

624
$$I = Ae^{-At}\left(\frac{\beta_{inh}\left(At\right)^{\alpha_{inh}}}{\alpha_{inh}!}\right).$$

For the pure simulations, A, β_{exc} and β_{inh} were set to 1. To fit empirical E-I windows, all

parameters were fit using a grid search to minimize the squared error between the modelled

window and the empirical window.

E-I Window Model

To simulate LFPs using a excitation window model, we generated $\frac{T}{dt}$ samples of

Brownian noise as background activity, with T =1 s and dt =1 ms. We estimated an E-I window

for each recording site by fitting the stimulation pulse evoked LFP response to the following

model:

$$EI = \beta_{exc}exc - \beta_{inh}inh$$

$$exc = Ae^{-At}\left(\frac{(At)^{\alpha_{exc}}}{\alpha_{exc}!}\right)$$

$$inh = Ae^{-At}\left(\frac{(At)^{\alpha_{inh}}}{\alpha_{inh}!}\right)$$

For excitatory- and an inhibitory-only simulations, β_{inh} and β_{exc} were set to zero, respectively.

We simulated the driven LFP by convolving the site-specific E-I window with a sequence of

delta pulses determined by the stimulation parameters. The driven LFP was and added to the

background activity to generate the total simulated LFP activity.

We modelled spiking activity that was coherent with the LFP using a Poisson point

process with a conditional intensity function. The conditional intensity function models stochastic

spiking governed by a time-varying instantaneous firing rate modelled as

$$\lambda(t) = (\lambda_E(t) + \lambda_I(t) + \lambda_0) \cdot dt$$

$$\lambda_E(t) = f(E(t)) = e^{E(t)}$$

$$\lambda_I(t) = g(I(t)) = e^{I(t)}$$

where λ_0 is the baseline firing rate, and dt is the sampling rate. $E(t)$ and $I(t)$ are the time

courses of optogenetically driven E and I response, respectively, that generate the fluctuations

in the conditional intensity function, $\lambda(t)$. $E(t)$ and $I(t)$ is determined by convolving the E-I

window with a Poisson process generated from the stimulus sequence parameters, then

positively or negatively rectifying the E-I response to get the separate E and I responses. After a

spike we used a fixed refractory period by forcing $\lambda(t) = 0$ for 3ms.

Simulations of the excitation window model were run using custom scripts in MATLAB

using a 1 ms time step. For each trial, we simulated 1 s of baseline activity and 1 s of

stimulation. We simulated 1000 trials for set of stimulus parameters.

Pre-processing in vivo data

Broad-band neural activity was split into multi-unit signals and local field potentials (LFP)

by high-pass and low-pass filtering, respectively, at 300 Hz. LFP signals were down-sampled to

1 kHz. Multi-unit activity (MUA) was computed by applying a 3.5 standard deviation threshold to

the multi-unit signals to identify putative spikes. All waveforms that exceeded this peak were

labelled as multi-unit action potentials.

Data Analysis

We computed multi-unit peri-stimulus time histograms (PSTH) by aligning MUA to the

onset time of light pulses. Individual spikes were collected in 1 ms bins and the corresponding

histogram was smoothed by convolution with a Gaussian function with a standard deviation of 1

ms. In order to avoid corruption from nearby pulses, evoked potentials were computed by

averaging LFPs aligned to the onset time of light pulses only for pulses that occurred at the end

of a pulse train and did not have a pulse preceding it by less than 50 ms.

Spectral analysis was performed using multi-taper methods (Mitra and Pesaran, 1999).

The accuracy of the spectral quantities we used does not depend on the center frequency of the

estimate for all frequencies above the minimum resolvable frequency, given by the frequency

smoothing in Hz (Jarvis and Mitra, 2001). For each recording, power spectra were computed

using a 1 s analysis window and ± 1.5 Hz frequency smoothing. We computed power spectra

for the LFP responses during entire 1 s burst (stimulation epoch) and for 1 s prior to the burst

(baseline epoch). Power spectra were computed for neural signals for the baseline and

stimulation epochs. Recording sites with power spectra that did not exhibit a significant

difference were not included in analysis. The exclusion criteria for recording sessions did not

depend on the frequency content of the driven LFP response—the desired effect—but rather

only that there was any driven LFP response at all. Sites were excluded if there was no change

in the LFP power between baseline and stimulation periods for the 10ms pulse width condition,

which was likely due to the electrode being placed on the periphery of light cone and thus failing

to record driven neural activity. We explicitly confirm this in our experiments by moving the

electrode and observing driven responses. We computed the spectrogram for each recording

using a ± 250 ms analysis window, stepped 50 ms between windows, with ± 2.5 Hz frequency

smoothing aligned to the start of the burst. We computed the spike-field coherence for each

recording using a 1 s analysis window with ± 3 Hz frequency smoothing averaged across at

least 30 repetitions.

Power Spectral Ratio

We also computed a spectral ratio by dividing each of these power spectra by the

baseline power spectrum of the neural response. In typical linear systems analysis, the

dynamical system is quiescent unless driven by input. However, spontaneous neural activity is

present *in vivo*. Therefore, to understand the impact of stimulation the analysis needs to account

for the presence of ongoing neural activity. We modeled the recorded neural activity during

stimulation, $y(t)$, as a sum of the ongoing spontaneous activity $s(t)$, and the stimulation

response $T\big[u(t)\big]$, where T is the transfer function of the neural system and $u(t)$ is the

stimulus input.

692
$$y(t) = s(t) + T\big[u(t)\big] \tag{1}$$

693   Due to the linearity of the Fourier transform, we can rewrite this equation in the Fourier domain

694   as

695
$$Y(f) = S(f) + H(f)U(f) \tag{2}$$

696   We then quantified the effects of stimulation on LFP power by computing an index given by the

697   ratio of peri-stimulation power to baseline power. Since the ongoing activity spectrum, $S(f)$,

698   can be estimated as the baseline LFP power spectrum, the spectral ratio is approximately

699   proportional to the effect of stimulation without ongoing activity

700
$$SpectralRatio = \frac{Y(f)}{S(f)} = \frac{S(f) + H(f)U(f)}{S(f)}$$
(3)

701
$$SpectralRatio = 1 + \frac{H(f)U(f)}{S(f)}$$
(4)

702
$$SpectralRatio - 1 \propto H(f)U(f)$$
(5)

703 For the example recording, we can naively predict the neural response as a sum of

704 spontaneous activity and a linear response to the pulse train

705
$$Y_p(f) = S(f) + aX(f)$$
(6)

706 where a is a scaling factor. The power ratio for the predicted response is proportional to the

707 spectrum of the stimulus.

708
$$SpectralRatio = \frac{Y_p(f)}{S(f)} = \frac{aX(f)}{S(f)} + 1$$
(7)

709
$$SpectralRatio - 1 \propto X(f)$$
(8)

Power spectral ratios for the neural data for each recording were fit using a sum of

Gaussians model, in order to identify the peaks and widths of the power increases. This was

done using the peakfit.m MATLAB function (O'Haver, 2014) with Gaussian functions used to

model the peaks. Each fitted Gaussian has two parameters, the mean and standard deviation,

so we collected these for the components of the model for each recording. The mean was used

to measure the frequency of a peak of an increase in the power spectrum, while the standard

deviation provided an estimate of the width of the power that was increased.

DATA AND SOFTWARE AVAILABILITY

Software is available in a GitHub repository linked from http://www.pesaranlab.org. Data are

available upon reasonable request.

Acknowledgements:

This work was supported, in part, by US National Institutes of Health (NIH) BRAIN Initiative grant R01-NS104923, NIH R01-EY024067, NIH P30-EY013079, NIH Training Grant T32-EY007136, a Scholar Award from the McKnight Endowment Fund for Neuroscience (BP) and the Alfred P Sloan Foundation (BP).

Author Contributions:

Conceptualization: R.A.S., H.L.D., Y.T.W., M.A.H., and B.P.; Methodology: R.A.S., H.L.D., Y.T.W., M.A.H., M.M.F. and B.P.; Software: R.A.S., H.L.D., and B.P.; Formal Analysis: R.A.S. and B.P.; Investigation: R.A.S. and B.P.; Resources: R.A.S., H.L.D., and B.P.; Writing – Original Draft: R.A.S. and B.P.; Writing – Review & Editing: R.A.S., H.L.D., Y.T.W., M.A.H., M.M.F. and B.P.; Visualization: R.A.S. and B.P.; Supervision: H.L.D., Y.T.W., M.A.H., and B.P.; Funding Acquisition: B.P.

Competing Financial Interests:

The authors declare no competing financial interests.

References

Adesnik H, Scanziani M. 2010. Lateral competition for cortical space by layer-specific horizontal

circuits. *Nature* **464**:1155–1160. doi:10.1038/nature08935

Aravanis AM, Wang L-P, Zhang F, Meltzer L a, Mogri MZ, Schneider MB, Deisseroth K. 2007.

An optical neural interface: in vivo control of rodent motor cortex with integrated fiberoptic

and optogenetic technology. *J Neural Eng* **4**:S143-56. doi:10.1088/1741-2560/4/3/S02

Bari BA, Ollerenshaw DR, Millard DC, Wang Q, Stanley GB. 2013. Behavioral and

electrophysiological effects of cortical Microstimulation parameters. *PLoS One* **8**:1–13.

doi:10.1371/journal.pone.0082170

Brown EN, Barbieri R, Eden UT, Frank LM. 2003. Likelihood methods for neural data analysis

In: Feng J, editor. Computational Neuroscience: A Comprehensive Approach. Boca Raton:

CRC Press. pp. 253–286.

Burns SP, Xing D, Shapley RM. 2011. Is gamma-band activity in the local field potential of V1

cortex a "clock" or filtered noise? *J Neurosci* **31**:9658–9664.

doi:10.1523/JNEUROSCI.0660-11.2011

Buschman TJ, Miller EK. 2007. Top-down versus bottom-up control of attention in the prefrontal

and posterior parietal cortices. *Science* **315**:1860–2. doi:10.1126/science.1138071

Buzsáki G, Anastassiou CA, Koch C. 2012. The origin of extracellular fields and currents —

EEG, ECoG, LFP and spikes. *Nat Rev Neurosci* **13**:407–420. doi:10.1038/nrn3241

Buzsáki G, Wang X-J. 2012. Mechanisms of Gamma Oscillations. *Annu Rev Neurosci* **35**:203–

225. doi:10.1146/annurev-neuro-062111-150444

Cardin JA, Carlén M, Meletis K, Knoblich U, Zhang F, Deisseroth K, Tsai L-H, Moore CI. 2010.

Targeted optogenetic stimulation and recording of neurons in vivo using cell-type-specific

expression of Channelrhodopsin-2. *Nat Protoc* **5**:247–254. doi:10.1038/nprot.2009.228

Cardin JA, Carlén M, Meletis K, Knoblich U, Zhang F, Deisseroth K, Tsai L-H, Moore CI. 2009.

Driving fast-spiking cells induces gamma rhythm and controls sensory responses. *Nature*

459:663–7. doi:10.1038/nature08002

Casarotto S, Lauro LJR, Bellina V, Casali AG, Rosanova M, Pigorini A, Defendi S, Mariotti M,

Massimini M. 2010. EEG responses to TMS are sensitive to changes in the perturbation

parameters and repeatable over time. *PLoS One* **5**. doi:10.1371/journal.pone.0010281

Chariker L, Shapley R, Young L-S. 2018. Rhythm and Synchrony in a Cortical Network Model. *J*

Neurosci **38**:8621–8634. doi:10.1523/JNEUROSCI.0675-18.2018

Choi JS, Brockmeier AJ, McNiel DB, Kraus LM Von, Príncipe JC, Francis JT. 2016. Eliciting

naturalistic cortical responses with a sensory prosthesis via optimized microstimulation. *J*

Neural Eng **13**:056007. doi:10.1088/1741-2560/13/5/056007

Cruikshank SJ, Urabe H, Nurmikko A V, Connors BW. 2010. Pathway-Specific Feedforward

Circuits between Thalamus and Neocortex Revealed by Selective Optical Stimulation of

Axons. *Neuron* **65**:230–245. doi:10.1016/j.neuron.2009.12.025

Csicsvari J, Jamieson B, Wise KD, Buzsáki G. 2003. Mechanisms of gamma oscillations in the

hippocampus of the behaving rat. *Neuron* **37**:311–322. doi:10.1016/S0896-6273(02)01169-

8

Dai J, Brooks DI, Sheinberg DL. 2014. Optogenetic and electrical microstimulation

systematically bias visuospatial choice in primates. *Curr Biol* **24**:63–69.

doi:10.1016/j.cub.2013.11.011

Dean HL, Hagan MA, Pesaran B. 2012. Only Coherent Spiking in Posterior Parietal Cortex

Coordinates Looking and Reaching. *Neuron* **73**:829–841.

doi:10.1016/j.neuron.2011.12.035

Gabernet L, Jadhav SP, Feldman DE, Carandini M, Scanziani M. 2005. Somatosensory

integration controlled by dynamic thalamocortical feed-forward inhibition. *Neuron* **48**:315–

27. doi:10.1016/j.neuron.2005.09.022

Gloveli T, Dugladze T, Saha S, Monyer H, Heinemann U, Traub RD, Whittington MA, Buhl EH.

2005. Differential involvement of oriens/pyramidale interneurones in hippocampal network

oscillations in vitro. *J Physiol* **562**:131–147. doi:10.1113/jphysiol.2004.073007

Gray CM, König P, Engel AK, Singer W. 1989. Oscillatory responses in cat visual cortex exhibit

inter-columnar synchronization which reflects global stimulus properties. *Nature*.

doi:10.1038/338334a0

Gregoriou GG, Gotts SJ, Zhou H, Desimone R. 2009. High-Frequency, Long-Range Coupling

Between Prefrontal and Visual Cortex During Attention **324**:1207–1211.

Hagan MA, Dean HL, Pesaran B. 2012. Spike-field activity in parietal area LIP during

coordinated reach and saccade movements. *J Neurophysiol* **107**:1275–1290.

doi:10.1152/jn.00867.2011

Haider B, Häusser M, Carandini M. 2013. Inhibition dominates sensory responses in the awake

cortex. *Nature* **493**:97–100. doi:10.1038/nature11665

Hasenstaub A, Shu Y, Haider B, Kraushaar U, Duque A, McCormick DA. 2005. Inhibitory

postsynaptic potentials carry synchronized frequency information in active cortical

networks. *Neuron* **47**:423–435. doi:10.1016/j.neuron.2005.06.016

Hawellek DJ, Wong YT, Pesaran B. 2016. Temporal coding of reward-guided choice in the

posterior parietal cortex. *Proc Natl Acad Sci* **113**:13492–13497.

doi:10.1073/pnas.1606479113

Higley MJ, Contreras D. 2003. Nonlinear integration of sensory responses in the rat barrel

cortex: an intracellular study in vivo. *J Neurosci* **23**:10190–200.

doi:10.1523/JNEUROSCI.23-32-10190.2003

Huber D, Petreanu L, Ghitani N, Ranade S, Hromádka T, Mainen Z, Svoboda K. 2008. Sparse

optical microstimulation in barrel cortex drives learned behaviour in freely moving mice.

Nature **451**:61–4. doi:10.1038/nature06445

Jarvis MR, Mitra PP. 2001. Sampling properties of the spectrum and coherency of sequences of

action potentials. *Neural Comput* **13**:717–49. doi:10.1162/089976601300014312

Jazayeri M, Lindbloom-Brown Z, Horwitz GD. 2012. Saccadic eye movements evoked by

optogenetic activation of primate V1. *Nat Neurosci* **15**:1368–1370. doi:10.1038/nn.3210

Kato HK, Asinof SK, Isaacson JS. 2017. Network-Level Control of Frequency Tuning in Auditory

Cortex. *Neuron* **95**:412-423.e4. doi:10.1016/j.neuron.2017.06.019

Lu Y, Truccolo W, Wagner FB, Vargas-Irwin CE, Ozden I, Zimmermann JB, May T, Agha NS,

Wang J, Nurmikko A V. 2015. Optogenetically induced spatiotemporal gamma oscillations

and neuronal spiking activity in primate motor cortex. *J Neurophysiol* **113**:3574–3587.

doi:10.1152/jn.00792.2014

Millard DC, Wang Q, Gollnick CA, Stanley GB. 2013. System identification of the nonlinear

dynamics in the thalamocortical circuit in response to patterned thalamic microstimulation

in vivo. *J Neural Eng* **10**:066011. doi:10.1088/1741-2560/10/6/066011

Mitra PP, Pesaran B. 1999. Analysis of dynamic brain imaging data. *Biophys J* **76**:691–708.

doi:10.1016/S0006-3495(99)77236-X

Mueller JK, Grigsby EM, Prevosto V, Iii FWP, Rao H, Deng Z, Peterchev A V, Sommer MA,

Egner T, Platt ML, Grill WM. 2014. Simultaneous transcranial magnetic stimulation and

single-neuron recording in alert non-human primates. *Nat Publ Gr* **17**:1130–1136.

doi:10.1038/nn.3751

Muldoon SF, Pasqualetti F, Gu S, Cieslak M, Grafton ST, Vettel JM, Bassett DS. 2016.

Stimulation-Based Control of Dynamic Brain Networks. *PLoS Comput Biol* **12**.

doi:10.1371/journal.pcbi.1005076

Nassi JJ, Avery MC, Cetin AH, Roe AW, Reynolds JH. 2015. Optogenetic Activation of

Normalization in Alert Macaque Visual Cortex. *Neuron* **86**:1504–17.

doi:10.1016/j.neuron.2015.05.040

Ni J, Wunderle T, Lewis CM, Desimone R, Diester I, Fries P. 2016. Gamma-Rhythmic Gain

Modulation. *Neuron* **92**:240–251. doi:10.1016/j.neuron.2016.09.003

O'Haver T. 2014. A Pragmatic Introduction to Signal Processing. *Univ Maryl Coll Park* 1–121.

Okun M, Naim A, Lampl I. 2010. The Subthreshold Relation between Cortical Local Field

Potential and Neuronal Firing Unveiled by Intracellular Recordings in Awake Rats. *J*

Neurosci **30**:4440–4448. doi:10.1523/JNEUROSCI.5062-09.2010

Olsson RH, Buhl DL, Sirota AM, Buzsaki G, Wise KD. 2005. Band-tunable and multiplexed

integrated circuits for simultaneous recording and stimulation with microelectrode arrays.

IEEE Trans Biomed Eng **52**:1303–11. doi:10.1109/TBME.2005.847540

Pesaran B, Nelson MJ, Andersen R a. 2008. Free choice activates a decision circuit between

frontal and parietal cortex. *Nature* **453**:406–9. doi:10.1038/nature06849

Pesaran B, Pezaris JS, Sahani M, Mitra PP, Andersen RA. 2002. Temporal structure in

neuronal activity during working memory in macaque parietal cortex. *Nat Neurosci* **5**:805–

811. doi:10.1038/nn890

Pesaran B, Vinck M, Einevoll GT, Sirota A, Fries P, Siegel M, Truccolo W, Schroeder CE,

Srinivasan R. 2018. Investigating large-scale brain dynamics using field potential

recordings: analysis and interpretation. *Nat Neurosci*. doi:10.1038/s41593-018-0171-8

Phillips EAK, Hasenstaub AR. 2016. Asymmetric effects of activating and inactivating cortical

interneurons. *Elife* **5**:1–22. doi:10.7554/eLife.18383

Pike FG, Goddard RS, Suckling JM, Ganter P, Kasthuri N, Paulsen O. 2000. Distinct frequency

preferences of different types of rat hippocampal neurones in response to oscillatory input

currents. *J Physiol* **529**:205–213. doi:10.1111/j.1469-7793.2000.00205.x

Poulet JFA, Petersen CCH. 2008. Internal brain state regulates membrane potential synchrony

in barrel cortex of behaving mice. *Nature* **454**:881–885. doi:10.1038/nature07150

Radman T, Su Y, An JH, Parra LC, Bikson M. 2007. Spike timing amplifies the effect of electric

fields on neurons: implications for endogenous field effects. *J Neurosci* **27**:3030–6.

doi:10.1523/JNEUROSCI.0095-07.2007

Salazar RF, Dotson NM, Bressler SL, Gray CM. 2012. Content-Specific Fronto-Parietal

Synchronization During Visual Working Memory. *Science (80-)* **1097**.

doi:10.1126/science.1224000

Schmidt SL, Iyengar AK, Foulser AA, Boyle MR, Fröhlich F. 2014. Endogenous cortical

oscillations constrain neuromodulation by weak electric fields. *Brain Stimul* **7**:878–89.

doi:10.1016/j.brs.2014.07.033

Siegel M, Warden MR, Miller EK. 2009. Phase-dependent neuronal coding of objects in short-

term memory. *Proc Natl Acad Sci U S A* **106**:21341–21346. doi:10.1073/pnas.0908193106

Sohal VS, Zhang F, Yizhar O, Deisseroth K. 2009. Parvalbumin neurons and gamma rhythms

enhance cortical circuit performance. *Nature* **459**:698–702. doi:10.1038/nature07991

Stauffer WR, Lak A, Yang A, Borel M, Paulsen O, Boyden ES, Schultz W. 2016. Dopamine

Neuron-Specific Optogenetic Stimulation in Rhesus Macaques. *Cell* **166**:1564-1571.e6.

doi:10.1016/j.cell.2016.08.024

Tukker JJ, Fuentealba P, Hartwich K, Somogyi P, Klausberger T. 2007. Cell Type-Specific

Tuning of Hippocampal Interneuron Firing during Gamma Oscillations In Vivo. *J Neurosci*

27:8184–8189. doi:10.1523/JNEUROSCI.1685-07.2007

Uhlhaas PJ, Pipa G, Lima B, Melloni L, Neuenschwander S, Nikolić D, Singer W. 2009. Neural

synchrony in cortical networks: history, concept and current status. *Front Integr Neurosci*

3:17. doi:10.3389/neuro.07.017.2009

Van Acker GM, Amundsen SL, Messamore WG, Zhang HY, Luchies CW, Kovac A, Cheney PD.

2013. Effective intracortical microstimulation parameters applied to primary motor cortex for

evoking forelimb movements to stable spatial end points. *J Neurophysiol* **110**:1180–9.

doi:10.1152/jn.00172.2012

Wehr M, Zador AM. 2003. Balanced inhibition underlies tuning and sharpens spike timing in

auditory cortex. *Nature* **426**:442–446. doi:10.1038/nature02116

Womelsdorf T, Fries P, Mitra PP, Desimone R. 2006. Gamma-band synchronization in visual

cortex predicts speed of change detection. *Nature* **439**:733–736. doi:10.1038/nature04258

Wong YT, Fabiszak MM, Novikov Y, Daw ND, Pesaran B. 2016. Coherent neuronal ensembles

are rapidly recruited when making a look-reach decision. *Nat Neurosci* **19**:1–11.

doi:10.1038/nn.4210

Xing D, Shen Y, Burns S, Yeh C-I, Shapley R, Li W. 2012. Stochastic Generation of Gamma-

Band Activity in Primary Visual Cortex of Awake and Anesthetized Monkeys. *J Neurosci*

32:13873-13880a. doi:10.1523/JNEUROSCI.5644-11.2012

Yizhar O, Fenno LE, Davidson TJ, Mogri M, Deisseroth K. 2011. Optogenetics in neural

systems. *Neuron* **71**:9–34. doi:10.1016/j.neuron.2011.06.004