Hidden 'risk' in polygenic scores: clinical use today could exacerbate health disparities

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Abstract

Polygenic risk scores have the potential to improve health outcomes for a variety of

complex diseases and are poised for clinical translation, driven by the low cost of

genotyping (<$50 per person), the ability to predict genetic risk of many diseases with a

single test, and the dramatically increasing scale and power of genetic studies that aid

prediction accuracy. However, the major *ethical* and *scientific* challenge surrounding

clinical implementation is the observation that they are currently of far greater predictive

value in European ancestry individuals than others. The better performance of such risk

scores in European populations is an inescapable consequence of the heavily biased

makeup of genome-wide association studies, with an estimated 79% of participants in

all these existing studies being of European descent. Empirically, polygenic risk scores

perform far better in European populations, with prediction accuracy reduced by

approximately 2- to 5-fold in East Asian and African descent populations, respectively.

This highlights that—unlike specific clinical biomarkers and prescription drugs, which

may individually work better in some populations but do not ubiquitously perform far

better in European populations–clinical uses of prediction today would systematically

afford greater improvement to European descent populations. Early diversifying efforts,

however, show promise in levelling this vast imbalance, even when non-European

sample sizes are considerably smaller than the best-powered studies to date. Polygenic

risk scores provide a new opportunity to improve health outcomes for many diseases in

all populations, but to realize this full potential equitably, we must prioritize greater

inclusivity of diverse participants in genetic studies and open access to resulting

summary statistics to ensure that health disparities are not increased for those already

most underserved.

Keywords: health disparities, genetic risk prediction, polygenic risk scores, diversity,

population genetics, statistical genetics

Polygenic risk scores (PRS), which predict complex traits using genetic data, are of

burgeoning interest to the clinical community as researchers demonstrate their growing

power to improve clinical care, genetic studies of a wide range of phenotypes increase

in size and power, and genotyping costs plummet to less than US$50. Many earlier

criticisms of limited prediction power are now recognized to have been chiefly an issue

of insufficient sample size, which is no longer the case for many outcomes [1]. For

example, polygenic risk scores alone already predict breast and prostate cancer risk in

European descent patients more accurately than current clinical models [2-4]. Additionally,

integrated models of PRS together with other lifestyle and clinical factors have enabled

clinicians to more accurately quantify the risk of heart attack for patients; consequently,

they have more effectively targeted the reduction of LDL cholesterol and by extension

heart attack by prescribing statins to patients at the greatest overall risk of

cardiovascular disease [5-9]. Promisingly, return of genetic risk of complex disease to at-

risk patients does not induce significant self-reported negative behavior or psychological

function, and some potentially positive behavioral changes have been detected [10]. While

we share enthusiasm about the potential of PRS to improve health outcomes through

their eventual routine implementation as clinical biomarkers, we consider the consistent

observation that they are currently of far greater predictive value in individuals of recent

European descent than in others to be the major *ethical* and *scientific* challenge

surrounding clinical translation and, at present, the most critical limitation to genetics in

precision medicine. The scientific basis of this imbalance has been demonstrated in

population genetics simulations, theoretically, and empirically across many traits and

diseases [11-22].

All studies to date using well-powered genome-wide association studies (GWAS) to

assess the predictive value of PRS in European and non-European descent populations

have made a consistent observation: PRS predict individual risk far more accurately in

Europeans than non-Europeans. In complex traits including height, body mass index

(BMI), educational attainment, schizophrenia, and major depression, existing PRS

computed with the largest available GWAS results predict outcomes far more accurately

in new samples of European-descent than they do in non-Europeans, with the clearest

study examples in East Asians and African Americans [15,16,18-24]. Rather than chance or

biology, this is a predictable consequence of the fact that the genetic discovery efforts to

date heavily underrepresent non-European populations globally. The correlation

between true and genetically predicted phenotypes decays with genetic divergence

from the makeup of the discovery GWAS, meaning that the accuracy of polygenic

scores in different populations is highly dependent on the study population

representation in the largest existing 'training' GWAS. Here, we document study biases

that underrepresent non-European populations in current GWAS, and explain the

fundamental concepts contributing to reduced phenotypic variance explained with

increasing genetic divergence from populations included in GWAS.

Predictable basis of disparities in polygenic risk score accuracy

Poor generalizability of genetic studies across populations arises from the

overwhelming abundance of European descent studies and dearth of well-powered

studies in globally diverse populations [25-28]. According to the GWAS catalog, ~79% of all

GWAS participants are of European descent despite making up only 16% of the global

population (**Figure 1**). This is especially problematic as previous studies have shown

that Hispanic/Latino and African American studies have contributed an outsized number

of associations relative to studies of similar sizes in Europeans [27]. More concerningly,

the fraction of non-European individuals in GWAS has stagnated or declined since late

2014 (**Figure 1**), suggesting that we are not on a trajectory to correct this imbalance.

These numbers provide a composite metric of study availability, accessibility, and use—

i.e., cohorts that have been included in numerous GWAS are represented multiple

times, which may disproportionately include cohorts of European descent. Whereas the

average sample sizes of GWAS in Europeans continue to grow though, they have

stagnated and remain several-fold smaller in other populations (**Figure S1**).

The relative sample compositions of GWAS result in highly predictable disparities in

prediction accuracy; statistical and population genetics theory predicts that genetic risk

prediction accuracy will decay with increasing genetic divergence between the original

GWAS sample and target of prediction, a function of population history [13,14]. This pattern

can be attributed to several statistical observations which we detail below: 1) GWAS

favor the discovery of genetic variants that are common in the study population; 2)

linkage disequilibrium (LD) differentiates marginal effect size estimates for highly

polygenic traits across populations, even when causal variants are the same; and 3)

environment and demography differ across populations, which may interact to drive

differential forces of natural selection that in turn drive differences in causal genetic

architecture[*]. Of note, the first two of these degrade prediction performance across

populations substantially even when there exist no biological, environmental, or

diagnostic differences.

Common discoveries and low-hanging fruit

First, the power to discover an association in a genetic study depends on the effect size

and frequency of the variant [29]. This power dependence means that the most significant

associations tend to be more common in the populations in which they are discovered

than in other populations [13,30]. For example, GWAS catalog variants are on average

more common in European populations compared to East Asian and African

populations (**Figure 2B**), an observation not representative of genomic variants at large.

Understudied populations offer low-hanging fruit for genetic discovery because variants

that are common in these groups but rare or absent in European populations could not

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[*] We define the causal genetic architecture as the true effects (typically written as β in the GWAS literature) of variants that impact a phenotype that would be identified in a population of infinite sample size. Unlike effect size estimates (usually written as $\hat{\beta}$), true effects are typically modeled as invariant with respect to LD and allele frequency differences across populations.

be discovered even with very large European sample sizes. Some examples include

SLC16A11 and *HNF1A* associations with type II diabetes in Latino populations, *APOL1*

associations with end-stage kidney disease, and associations with prostate cancer in

African descent populations [31-34]. If we assume that causal genetic variants have an

equal effect across all populations—an assumption with some empirical support that

offers the best case scenario for transferability [35-40]—Eurocentric GWAS biases mean

that variants that are common in European populations are preferentially discovered

and associated with risk, and thus account for a larger fraction of the variance in

polygenic risk [13]. Furthermore, imputation reference panels share the same study

biases as in GWAS [41], creating challenges for imputing sites that are rare in European

populations but common elsewhere when the catalog of non-European haplotypes is

substantially smaller. These issues are insurmountable through statistical methods

alone [13], but rather motivate substantial investments in more diverse populations to

produce similar-sized GWAS of biomedical phenotypes as well as sequenced reference

panels in other populations.

Linkage disequilibrium

Second, the correlation structure of the human genome, i.e. LD, varies across

populations due to demographic history (**Figure 2A,C-E**). These LD differences in turn

drive differences in effect size estimates (i.e. predictors) from GWAS across

populations, even when causal effects are the same. (Mathematically, the marginal

GWAS estimate $\hat{\beta}_j = \sum_{k=1}^{m} r_{j,k} \beta_k + \epsilon_j$, where $\hat{\beta}_j$ are effect size estimates at SNP *j*, $r_{j,k}$ is

pairwise SNP LD between SNPs *j* and *k*, β_k is the causal SNP effect at nearby SNP *k*,

and ϵ is residual error from bias or noise. More simply, when causal effects are the same

across populations, effect size *estimates* at SNPs tagging these causal variants from which we

construct predictors will differ across populations in proportion to LD between tagging and

causal SNP pairs.) While differences in effect size estimates due to LD differences may

typically be small for most regions of the genome (**Figure 2C-E**), PRS sum across these

effects, also aggregating these population differences. Statistical methods that account

for LD differences across populations may help improve risk prediction accuracy within

each population. While empirical studies suggest that causal effect sizes tend to be

shared [35-40], it may not be feasible to fine-map most variants to a single locus to solve

issues of low generalizability (i.e. using causal rather than correlated effect size

estimates), even with very large GWAS. This is because complex traits are highly

polygenic, meaning most of our prediction power comes from small effects that do not

meet genome-wide significance and/or cannot be fine-mapped, even in many of the

best-powered GWAS to date [42].

History, selection, the environment, and complex interactions

Lastly, other environmental, demographic, and cohort considerations may further

worsen prediction accuracy differences across populations in less predictable ways.

GWAS ancestry study biases and LD differences across populations are extremely

challenging to address, but these issues actually make many favorable assumptions

that all causal loci have the same impact and are under equivalent selective pressure in

all populations. In contrast, other effects on polygenic adaptation or risk scores such as

long-standing environmental differences across global populations that have resulted in

differing responses of natural selection can impact populations differently based on their

unique histories. Additionally, residual uncorrected population stratification may impact

risk prediction accuracy across populations, but the magnitude of its effect is currently

unclear. These effects are particularly challenging to disentangle, as has clearly been

demonstrated for height, where evidence of polygenic adaptation and/or its relative

magnitude is under question [43,44]. Comparisons of geographically stratified phenotypes

like height across populations with highly divergent genetic backgrounds and mean

environmental differences, such as differences in resource abundance during

development across continents, are especially prone to confounding from correlated

environmental and genetic divergence [43,44]. This residual stratification can lead to over-

predicted differences across geographical space [45].

Related to stratification, most polygenic scoring methods do not explicitly address

recent admixture and none consider recently admixed individuals' unique local mosaic

of ancestry—further methods development in this space is needed. Furthermore,

comparing PRS across environmentally stratified cohorts, such as in some biobanks

with healthy volunteer effects versus disease study datasets or hospital-based cohorts,

requires careful consideration of technical differences, collider bias, as well as variability

in baseline health status among studies. It is also important to consider differences in

clinical definition of the phenotypes and heterogeneous constitution of sub-phenotypes

among countries.

Another consideration is the fact that, like other existing biomarkers, the predictive utility

of PRS may change as a function of age, consistent with age-dependent heritability for

some traits [46]. For example, increasing age is associated with higher risk of coronary

artery disease, and higher PRS accelerate this increased risk [47]. Consequently, the age

of intervention e.g. with statins needs to be evaluated in aggregate with other clinical

risk factors that change over time. Autism spectrum disorder and schizophrenia also

have a genetic basis with differing developmental trajectories; their shared genetic

influences decrease with age, whereas the genetic overlap between schizophrenia and

social communication difficulties persists with age [48]. Further work on how prediction

accuracy varies as a function of age across phenotypes is needed.

Differences in environmental exposure, gene × gene interactions, gene × environment

interactions, historical population size dynamics, and other factors will further limit

generalizability for genetic risk scores in an unpredictable, trait-specific fashion [49,50].

Complex traits do not behave in a genetically deterministic manner, with some

environmental factors dwarfing individual genetic effects, creating outsized issues of

comparability across globally diverse populations; among psychiatric disorders for

example, whereas schizophrenia has a nearly identical genetic basis across East

Asians and Europeans (r_g=0.98) [40], substantially different rates of alcohol use disorder

across populations is partially explained by differences in availability and genetic

differences impacting alcohol metabolism [51]. While non-linear genetic factors explain

little variation in complex traits beyond a purely additive model [52], some unrecognized

nonlinearities and gene × gene interactions can also induce genetic risk prediction

challenges, as pairwise interactions are likely to vary more across populations than

individual SNPs. Mathematically, we can simplistically think of this in terms of a two-

SNP model, in which the sum of two SNP effects is likely to explain more phenotypic

variance than the product of the same SNPs. Some machine learning approaches may

thus modestly improve genetic prediction accuracy beyond current approaches for

some phenotypes [53], but these approaches are most likely to improve prediction

accuracy for atypical traits with simpler architectures, known interactions, and poor

prediction generalizability across populations, such as skin pigmentation [54].

Limited generalizability of genetic prediction across diverse populations

Previous work has assessed prediction accuracy across diverse populations in several

traits and diseases for which GWAS summary statistics are available. These

assessments are becoming increasingly feasible with the growth and public availability

of global biobanks for quantitative traits as well as diversifying priorities from funding

agencies [55,56]. As of yet, multi-ethnic work has been slow in most disease areas [57],

limiting even the opportunity to assess prediction utility in non-European cohorts.

Nonetheless, we have assembled prediction accuracy statistics from several studies

using the largest European GWAS to predict several phenotypes in target European

and non-European cohorts. For example, multiple schizophrenia studies consistently

predicted risk on average 2.2-fold worse in East Asians relative to Europeans, (i.e.

$\mu=0.46$, $\sigma=0.06$), using summary statistics from a Eurocentric GWAS [15,18] (**Figure S2**),

despite the fact that there is no significant genetic heterogeneity in schizophrenia

between the two populations [40]. This finding is even more pronounced in African

Americans, consistent with higher genetic divergence from Europeans than between

Europeans and East Asians [30]. Across several phenotypes with a range of genetic

architectures in which empirical evaluations were available, including BMI, educational

attainment, height, and schizophrenia, prediction accuracy using European GWAS

summary statistics was on average 4.5-fold less accurate in African Americans than in

Europeans (i.e. μ=0.22, σ=0.09, **Figure S2**) [15,16,19-22]. By extension, prediction accuracy

is expected to be even lower in African Americans with higher than average African

ancestry or among populations with greater divergence from Europeans (e.g. some

southern African populations). These enormous disparities are not simply

methodological issues, as various approaches (e.g. pruning and threshold versus

LDPred) and accuracy metrics (R^2 for quantitative traits and various pseudo-R^2 metrics

for binary traits) illustrate this consistently poorer performance in populations distinct

from the discovery sample across a range of polygenic traits (**Table S4**). We assessed

how prediction accuracy decayed across globally diverse populations for 17

anthropometric and blood panel traits in the UK Biobank (UKBB) when using European-

derived summary statistics (**Methods**); consistent with previous studies, we find that

relative to European prediction accuracy, genetic prediction accuracy was far lower in

other populations (**Figure 3**, notably 1.6-fold lower in Hispanic/Latino Americans, 1.7-

fold lower in South Asians, 2.5-fold lower in East Asians, and 4.9-fold lower in Africans

on average).

Prioritizing diversity shows early promise for polygenic prediction

Early diversifying GWAS efforts have been especially productive for informing on these

questions surrounding risk prediction. Rather than varying the prediction target dataset,

some GWAS in diverse populations have increased the scale of non-European

summary statistics and also varied the study dataset in multi-ethnic PRS studies. For

example, a BioBank Japan (BBJ) GWAS study (N=158,284) showed that compared to a

2× larger European GWAS (N=322,154), the variance in BMI explained in an

independent Japanese cohort with Japanese GWAS summary statistics was on

average 1.5-fold greater than with European GWAS summary statistics (R^2=0.154 vs

0.104 at $p < 0.05$, respectively) [23]. Relatedly, an East Asian schizophrenia study

(N=22,778 cases and 35,362 controls) showed that compared to an effectively 3× larger

European study, prediction accuracy in East Asians was on average 1.3-fold higher

than with European summary statistics (liability R^2=0.029 vs 0.022, respectively) [40].

Thus, even when studies in non-European populations are only a fraction the size of the

largest European study, they are likely to have disproportionate value for predicting

polygenic traits in other individuals of similar ancestry.

Given this background, we performed a systematic evaluation of polygenic prediction

accuracy across 17 quantitative anthropometric and blood panel traits and five disease

endpoints in British and Japanese individuals [23,58,59] by performing GWAS with the exact

same sample sizes in each population. We symmetrically demonstrate that prediction

accuracy is consistently higher with GWAS summary statistics from ancestry-matched

summary statistics (**Figure 4, Figure S8–12**). Keeping in mind issues of comparability

described above, we note that BBJ is a hospital-based disease-ascertained cohort,

whereas UKBB is a healthier than average population-based cohort; thus, differences in

observed heritability among these cohorts (rather than among populations) due to

differences in phenotype precision likely explain lower prediction accuracy from the BBJ

GWAS summary statistics for anthropometric and blood panel traits, but higher

prediction accuracy for five ascertained diseases (**Table S5**). Indeed, other East Asian

studies have estimated higher heritability for some quantitative traits than BBJ using the

same methods, such as for height (h^2 = 0.48±0.04 in Chinese women) [60]. Some

statistical fluctuations in the relative differences in prediction accuracy across

populations are likely driven by differences in heritability measured in each population

and/or trans-ethnic genetic correlation[†] (**Figure S4-7**, **Table S5–8**). These correlation

estimates indicate that effect sizes were mostly highly correlated across ancestries, with

a few traits that were somewhat lower than excepted (e.g. height and BMI, with

ρ_{ge}=0.69 and 0.75, respectively); effect sizes can be different across populations due to

natural selection differences, gene-gene interactions, gene-environment interactions,

statistical noise, and/or other phenomena [61]. Prediction accuracy was far lower in

individuals of African descent in the UK Biobank (**Figure S10 and S13**) using GWAS

summary statistics from either European or Japanese ancestry individuals, consistent

with reduced prediction accuracy with increasing genetic divergence (**Figures 3 and 4**).

These population studies demonstrate the power and utility of increasingly diverse

GWAS for prediction, especially in populations of non-European descent.

While many other traits and diseases have been studied in multi-ethnic settings, few

have reported comparable metrics of prediction accuracy across populations.

Cardiovascular research, for example, has led the charge towards clinical translation of

PRS [1]. This enthusiasm is driven by observations that a polygenic burden of LDL-

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[†] Trans-ethnic genetic correlation compares the estimated correlation of common variant effect sizes at SNPs common in two populations, here using Popcorn [61].

increasing SNPs can confer monogenic-equivalent risk of cardiovascular disease, with

polygenic scores improving clinical models for risk assessment and statin prescription

that can reduce coronary heart disease and improve healthcare delivery efficiency [5,6,8].

However, many of these studies have been conducted exclusively in European descent

populations, with few studies rigorously evaluating population-level applicability to non-

Europeans. Those existing findings indeed demonstrate a large reduction in prediction

utility in non-European populations [11], though often with comparisons of odds ratios

among arbitrary breakpoints in the risk distribution that make comparisons across

studies challenging. To better clarify how polygenic prediction will be deployed in a

clinical setting with diverse populations, more systematic and thorough evaluations of

the utility of PRS within and across populations for many complex traits are still needed.

These evaluations would benefit from rigorous polygenic prediction accuracy

evaluations, especially for diverse non-European patients [62-64].

Translational genetic prediction may uniquely exacerbate disparities

Our impetus for raising these statistical issues limiting the generalizability of PRS across

population stems from our concern that, while they are legitimately clinically promising

for improving health outcomes for many biomedical phenotypes, they may have a larger

potential to raise health disparities than other clinical factors for several reasons. The

opportunities they provide for improving health outcomes means they inevitably will and

should be pursued in the near term, but we urge that a concerted prioritization to make

GWAS summary statistics easily accessible for diverse populations and a variety of

traits and diseases is imperative, even when they are a fraction the size of the largest

existing European datasets. *Individual* clinical tests, biomarkers, and prescription drug

efficacy may vary across populations in their utility, but are fundamentally informed by

the same underlying biology [65,66]. Currently, guidelines state that as few as 120

individuals define reference intervals for clinical factors (though often smaller numbers

from only one subpopulation are used) and there is no clear definition of who is "normal"

[65]. Consequently, reference intervals for biomarkers can sometimes deviate

considerably by reported ethnicity [67-69]. Defining ethnicity-specific reference intervals is

clearly an important problem that can provide fundamental interpretability gains with

implications for some major health benefits (e.g. need for dialysis and development of

Type 2 diabetes based on ethnicity-specific serum creatinine and hemoglobin A1C

reference intervals, respectively) [68]. Simply put, some biomarkers or clinical tests scale

directly with health outcomes independent of ancestry, and many others may have

distributional differences by ancestry but are equally valid after centering with respect to

a readily collected population reference.

In contrast, PRS are uniformly less useful in understudied populations due to

differences in genomic variation and population history [13,14]. No analogous solution of

defining ethnicity-specific reference intervals would ameliorate health disparities

implications for PRS or fundamentally aid interpretability in non-European populations.

Rather, as we and others demonstrate, PRS are unique in that even with multi-ethnic

population references, these scores are fundamentally less informative in populations

more diverged from GWAS study cohorts.

The clinical use and deployment of genetic risk scores needs to be informed by the

issues surrounding tests that currently would unequivocally provide much greater

benefit to the subset of the world's population which is already on the positive end of

healthcare disparities[‡]. Conversely, African descent populations, which already endure

many of the largest health disparities globally, are often predicted marginally better, if at

all, compared to random (**Figure 4F**). They are therefore least likely to benefit from

improvements in precision healthcare delivery from genetic risk scores with existing

data due to human population history and study biases. This is a major concern globally

and especially in the U.S., which already leads other middle- and high-income countries

in both real and perceived healthcare disparities [70]. Thus, we would strongly urge that

any discourse on clinical use of polygenic scores include a careful, quantitative

assessment of the economic and health disparities impacts on underrepresented

populations that might be unintentionally introduced by the use of PRS and raise

awareness about how to eliminate these disparities.

How do we even the ledger?

What can be done? The single most important step towards parity in PRS accuracy in

diverse populations is by vastly increasing the diversity of participants included and

analyzed in genetic studies. Regulatory protections against genetic discrimination are

necessary to accompany calls for more diverse studies; while some already exist in the

U.S., including for health insurance and employment opportunities via the Genetic

Information Nondiscrimination Act (GINA), stronger protections in these and other areas

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[‡] To maximally benefit all populations, the largest existing GWAS results should be used. Downsampling European GWAS for the sake of parity results in worse predictors for all individuals.

globally will be particularly important for minorities and/or marginalized groups. An equal

investment in GWAS across all major ancestries and global populations is the most

obvious solution to generate a substrate for equally informative risk scores but is not

likely to occur any time soon absent a dramatic priority shift given the current imbalance

and stalled diversifying progress over the last five years (**Figure 1, Figure S1**). While it

may be challenging or in some cases infeasible to acquire sample sizes large enough

for PRS to be equally informative in all populations, some much-needed efforts towards

increasing diversity in genomics that support open sharing of GWAS summary data

from multiple ancestries are underway. Examples include the *All of Us* Research

Program, the Population Architecture using Genomics and Epidemiology (PAGE)

Consortium, as well as some disease-focused consortia, such as the T2D-genes and

Stanley Global initiatives on the genetics of type II diabetes and psychiatric disorders,

respectively[§]. Supporting data resources such as imputation panels, multi-ethnic

genotyping arrays, gene expression datasets from genetically diverse individuals, and

other tools are necessary to similarly empower these diverse studies to most effectively

improve predictive accuracy for all populations. The lack of supporting resources for

diverse ancestries creates financial challenges for association studies with limited

resources, e.g. raising questions about whether to genotype samples on GWAS arrays

that may favor European allele frequencies versus sequence samples, and how dense

of an array to choose or how deeply to sequence [71,72].

[§] For these diverse studies in the U.S. and globally, genetically determined ancestry and populations are important to delineate from self-identified race/ethnicity, as only controlling for the former can account for stratification of allele frequencies within a population.

Additional leading global efforts also provide easy unified access linking genetic, clinical

record, and national registry data in more homogeneous continental ancestries, such as

the UK Biobank, BioBank Japan, China Kadoorie Biobank, and Nordic efforts (e.g. in

Danish, Estonian, Finnish, and other integrated biobanks). Notably, some of these

biobanks such as UK Biobank have participants with considerable global genetic

diversity that enables multi-ethnic comparisons; although minorities from this cohort

provide the largest deeply phenotyped GWAS cohorts for several ancestries, these

individuals are often excluded in current statistical analyses in favor of single ancestries,

large sample sizes, and the simplicity afforded by genetic homogeneity. These

considerations notwithstanding, there are critical needs and challenges for expanding

the scale of genetic studies of heritable traits in diverse populations; this is especially

apparent in Africa where humans originated and retain the most genetic diversity, as

Africans are understudied but disproportionately informative for genetic analyses and

evolutionary history [27,73]. The most notable investment here comes from the Human

Heredity and Health in Africa (H3Africa) Initiative, increasing genomics research

capacity in Africa through more than $216 million in funding from the NIH (USA) and

Wellcome Trust (UK) for genetics research led by African investigators [56,74]. The

increasing interest and scale of genetic studies in low- and middle-income countries

(LMICs) raises ethical and logistical considerations about data generation, access,

sharing, security, and analysis, as well as clinical implementation to ensure these

advances do not only benefit high-income countries. Frameworks such as the

H3ABioNet, a pan-African bioinformatics network designed to build capacity to enable

H3Africa researchers to analyze their data in Africa, provide cost-effective examples for

training local scientists in LMICs [75].

The prerequisite data for dramatically increasing diversity also hypothetically exist in

several large-scale publicly funded datasets such as the Million Veterans Project and

Trans-Omics for Precision Medicine (TOPMed), but with problematic data access issues

in which even summary data from GWAS within and across populations are not publicly

shared. Existing GWAS consortia also need to carefully consider the granularity of

summary statistics they release, as finer scale continental ancestries and phenotypes in

large, multi-ethnic projects enable ancestry-matched analyses not possible with a single

set of summary statistics. While there is an understandable patient privacy balance to

strike when sharing individual-level data, GWAS summary statistics from all publicly

funded and as many privately funded projects as possible should be made easily and

publicly accessible to improve global health outcomes. Efforts to unify phenotype

definitions, normalization approaches, and GWAS methods among studies will also

improve comparability.

To enable progress towards parity, it will be critical that open data sharing standards be

adopted for all ancestries and for genetic studies of all sample sizes, not just the largest

European results. Locally appropriate and secure genetic data sharing techniques as

well as equitable technology availability will need to be adopted widely in Asia and

Africa as they are in Europe and North America, to ensure that maximum value is

achieved from existing and ongoing efforts that are being developed to help counter the

current imbalance. Simultaneously, ethical considerations require that research capacity

is increased in LMICs with simultaneous growth of diverse population studies to balance

the benefits of these studies to scientists and patients globally versus locally to ensure

that everyone benefits. Methodological improvements that better define risk scores by

accounting for population allele frequency, LD, and/or admixture differences

appropriately are underway and may help considerably but will not by themselves bring

equality. All of these efforts are important and should be prioritized not just for risk

prediction but more generally to maximize the use and applicability of genetics to inform

on the biology of disease. Given the acute recent attention on clinical use of PRS, we

believe it is paramount to recognize their potential to improve health outcomes for all

individuals and many complex diseases. Simultaneously, we as a field must address the

disparity in utility in an ethically thoughtful and scientifically rigorous fashion, lest we

inadvertently enable genetic technologies to contribute to, rather than reduce, existing

health disparities.

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Figures

Figure 1 – Ancestry of GWAS participants over time compared to the global

population. Cumulative data as reported by the GWAS catalog [27]. A notable caveat is

that because some cohorts are included in numerous studies, some individuals are

represented multiple times. This bias in multiple counting is especially likely for publicly

available cohorts, which are more likely to be of European or East Asian descent.

Individuals whose ancestry is "not reported" are not shown.

Figure 2 – Demographic relationships, allele frequency differences, and local LD patterns between population pairs. Data analyzed from 1000 Genomes, in which population labels are: AFR = continental African, EUR = European, and EAS = East Asian. A) Cartoon relationships among AFR, EUR, and EAS populations. B) Allele frequency distributions in AFR, EUR, and EAS populations of variants from the GWAS catalog. C-E) Color axis shows LD scale (r^2). LD comparisons between pairs of populations show the same region of the genome for each comparison (representative region is chr1, 51572kb-52857kb) among pairs of SNPs polymorphic in both populations, illustrating that different SNPs are polymorphic across some population pairs, and that these SNPs have variable LD patterns across populations.

Figure 3 – Prediction accuracy relative to European ancestry individuals across 17 quantitative traits and 5 continental populations in UKBB. All phenotypes shown here are quantitative anthropometric and blood panel traits, as described in **Table S1**, including sample sizes. Bars show mean values, and error bars show standard errors of the means.

Figure 4 – Polygenic risk prediction accuracy in Japanese, British, and African

descent individuals using independent GWAS of equal sample sizes in the

BioBank Japan and UK Biobank. All target prediction cohorts are withheld from the

GWAS and thus independent. Sample sizes in each GWAS are identical by design

between BBJ and UKBB (**Table S1 and S3**). To optimize signal to noise, each point

shows the maximum R^2 (i.e. best predictor) across five p-value thresholds. R^2 values for

all p-value thresholds are shown in **Figures S8-S12**. Prediction accuracy tends to be

higher in the UK Biobank for quantitative traits than in BioBank Japan and vice versa for

disease endpoints, likely because of concomitant phenotype precision and

consequently observed heritability for these classes of traits (**Table S5-S7**)[**].

Abbreviations are as follows: AFib = atrial fibrillation, BMI = body mass index, CRC =

colorectal cancer, DBP = diastolic blood pressure, Hb = hemoglobin, Ht = Hematocrit,

MCH = mean corpuscular hemoglobin, MCHC = mean corpuscular hemoglobin

concentration, MCV = mean corpuscular volume, RA = rheumatoid arthritis, RBC = red

blood cell count, SBP = systolic blood pressure, T2D = type 2 diabetes, WBC = white

blood cell count. A) Explanatory diagram showing the different discovery and target

cohorts/populations, and quantitative traits versus disease endpoints. B) Genetic

prediction accuracy for five diseases in Japanese individuals using summary statistics

from GWAS of independent BioBank Japan versus UK Biobank samples. C) Genetic

prediction accuracy for the same five diseases in independent British individuals using

summary statistics from GWAS of independent BioBank Japan versus the UK Biobank

samples. D) Genetic prediction accuracy for 17 anthropometric and blood panel traits in

Japanese individuals using summary statistics from GWAS of independent BioBank

Japan versus UK Biobank samples. E) Genetic prediction accuracy for the same 17

anthropometric and blood panel traits in independent British individuals using summary

statistics from GWAS of independent BioBank Japan versus the UK Biobank samples.

F) Genetic prediction accuracy for 17 anthropometric and blood panel traits in African

descent individuals in the UK Biobank using summary statistics from GWAS of

independent BioBank Japan versus UK Biobank samples.

[**] Thalassemia and sickle cell disease are unlikely to explain a significant fraction of these prediction differences, as few individuals have been diagnosed with these disorders via ICD-10 codes (**Table S11**).

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