

1 **Ecology and the diversification of reproductive strategies in**

2 **viviparous fishes**

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12

13 **Abstract**

14 Shifts in life history evolution can potentiate sexual selection and speciation. However, we rarely
15 understand the causative links between correlated patterns of diversification or the tipping points
16 that initiate changes with cascading effects. We investigated livebearing fishes with repeated
17 transitions from pre- (lecithotrophy) to post-fertilization maternal provisioning (matrotrophy) to
18 identify the potential ecological drivers of evolutionary transitions in life history. Phylogenetic
19 comparative analyses across 94 species revealed that bi-directional evolution along the lecithotrophy-
20 matrotrophy continuum is correlated with ecology, supporting adaptive hypotheses of life history
21 diversification. Consistent with theory, matrotrophy was associated with high resource availability
22 and low competition. Our results suggest that ecological sources of selection contribute to the
23 diversification of female provisioning strategies in livebearing fishes, which have been associated
24 with macroevolutionary patterns of sexual selection and speciation.

25

26 *Keywords:* life history evolution | natural selection | phylogenetic comparative analysis | Poeciliidae

27

28 **Introduction**

29 Evolutionary transitions in maternal provisioning strategies represent a primary axis of reproductive
30 life history variation in viviparous organisms (Wourms 1981; Blackburn 1992). Shifts from an
31 ancestral strategy of females providing all resources for embryonic development prior to fertilization
32 (lecithotrophy) to post-fertilization provisioning (matrotrophy) have been associated with the
33 evolution of complex physiological and morphological adaptations, including placental structures of
34 apposed maternal and embryonic tissues that facilitate nutrient transfer (Wooding and Burton 2008).
35 Matrotrophy and placentas have evolved repeatedly in viviparous animals, including multiple
36 invertebrate (Campiglia and Walker 1995; Hart et al. 1997; Korniusshin and Glaubrecht 2003;
37 Korneva 2005; Ostrovsky et al. 2016) and vertebrate lineages (Steward and Blackburn 1988;
38 Wourms et al. 1988; Wake and Dickie 1998; Wildman et al. 2006). Transitions along the
39 lecithotrophy-matrotrophy continuum may have far reaching consequences, shaping the evolution
40 of other traits and patterns of biological diversification (Zeh and Zeh 2000; Coleman et al. 2009;
41 Pollux et al. 2014; Furness et al. 2015; Furness et al. 2019). What evolutionary forces shape the
42 evolution of matrotrophy, however, remains unclear (Pollux et al. 2009).

43 There are two, non-mutually exclusive hypotheses that view matrotrophy as an adaptation to
44 ecological sources of selection: (1) Matrotrophy has been hypothesized to reduce locomotor costs
45 associated with pregnancy (Magnhagen 1991; Shaffer and Formanowicz 1996; Miles et al. 2000).
46 Specifically, lecithotrophic females are expected to suffer from impaired locomotion throughout
47 gestation, while matrotrophic females with initially small embryos should avoid such costs at least in
48 early stages of pregnancy (Miller 1975; Thibault and Schultz 1978). (2) Resource availability may
49 shape evolution along the lecithotrophy-matrotrophy continuum. Lecithotrophy is expected to be
50 adaptive in environments with fluctuating resource availability (Thibault and Schultz 1978), whereas

51 matrotrophy theoretically maximizes reproductive output when resource availability is high and
52 stable (Trexler and DeAngelis 2003).

53 Livebearing fishes of the family Poeciliidae are an iconic model system for testing
54 hypotheses about the evolution of reproductive strategies at micro- and macroevolutionary scales
55 (Evans et al. 2011). Poeciliids have undergone remarkable diversification in levels of post-
56 fertilization maternal provisioning, with independent origins of matrotrophy in different clades
57 (Reznick et al. 2002; Pollux et al. 2009; Pollux et al. 2014). Research on poeciliid fishes has been
58 instrumental for the advancement of our theoretical and empirical understanding of matrotrophy
59 (Thibault and Schultz 1978; Pollux et al. 2009; Pires et al. 2011), and there is evidence supporting
60 various predictions of both adaptive hypotheses of matrotrophy evolution. Pregnancy in poeciliids
61 has been shown to be associated with locomotor costs (Plaut 2002; Ghalambor et al. 2004;
62 Quicazan-Rubio et al. 2019), and there is evidence that matrotrophy increases streamlining (Fleuren
63 et al. 2018) and may be favored in high predation environments requiring efficient escape responses
64 (Gorini-Pacheco et al. 2017; Hagmayer et al. 2020). At the same time, post-fertilization maternal
65 provisioning also responds to resource availability and female condition (Trexler 1997; Marsh-
66 Matthews and Deaton 2006; Hagmayer et al. 2018), and matrotrophy is associated with significant
67 costs when resources fluctuate (Pollux and Reznick 2011). However, most empirical tests of the
68 potential adaptive function of matrotrophy have been conducted at microevolutionary scales and
69 using just a small number of species, leaving questions about the generalizability of past findings.

70 Here, we used phylogenetic comparative analyses of matrotrophy evolution across 94 species
71 spanning the family Poeciliidae. Species in this family are broadly distributed throughout the
72 Americas, found in a wide variety of ecological contexts, and have a well-resolved phylogeny (Meffe
73 and Snelson 1989; Hrbek et al. 2007; Reznick et al. 2017), facilitating comparative analyses that
74 contrast hypotheses about the evolutionary origins of matrotrophy. To do so, we first characterized

75 the evolutionary dynamics of matrotrophy evolution and then used phylogenetic path analysis to test
76 for correlations between ecological variables and variation in matrotrophy.

77

78 **Methods**

79 ***Taxon sampling and phylogenetic framework***

80 Our analyses included 94 species (Table S1), encompassing representatives of all major genera in the
81 family Poeciliidae. These species span a geographic range from the eastern United States south to
82 Argentina, including Caribbean islands (Figure S1). The phylogenetic framework used for analyses
83 was established by previous studies with similar taxon sampling (Pollux et al. 2014; Culumber and
84 Tobler 2017). In brief, sequences for six mitochondrial (*12S*, *COI*, *CytB*, *ND2*, *tRNA^{Valu}*, and
85 *tRNA^{Leu}*) and 11 nuclear genes (*Beta Actin*, *CCND1*, *ENC1*, *GLYT*, *MYH6*, *RAG1*, *Rhodopsin*, *RPS7*,
86 *SH3PX3*, *T36*, and *XSRC*) were obtained from GenBank, aligned, and maximum likelihood
87 phylogenetic analysis was conducted using RAxML-HPC version 8 (Stamatakis 2014) on the
88 CIPRES computer cluster (San Diego State University, San Diego, CA, USA). The resulting best
89 scoring tree was highly consistent with previously published phylogenetic hypotheses for the family
90 Poeciliidae (Hrbek et al. 2007; Pollux et al. 2014; Reznick et al. 2017). Phylogenetic trees were time
91 calibrated with three calibration points spanning the depth of the phylogeny (see Culumber and
92 Tobler 2017 for details), including a primary fossil calibration associated with the split separating the
93 outgroup (*Fundulus*) from all poeciliids (55-99 Ma; Santini et al. 2009) and a secondary fossil
94 calibration separating *Heterandria formosa* from the genus *Poecilia* (9.3-19 Ma; Ho et al. 2016). In
95 addition, the formation of Laguna de Catemaco (Mexico), a crater lake with several endemic species,
96 was used as a constraint on the age of the endemic *Poeciliopsis catemaco* (0.5-2.0 Ma; Mateos et al.
97 2002). Even though bootstrap support values of the best scoring tree were generally strong,

98 phylogenetic comparative methods described below were conducted across 250 trees drawn at
99 random from the bootstrap replicates to account for phylogenetic uncertainty.

100

101 ***Quantifying matrotrophy and sexual selection***

102 Matrotrophy levels for all species included in the analysis were obtained from previously published
103 studies (Pollux et al. 2014; Olivera-Tlahuel et al. 2015). The extent of post-fertilization maternal
104 provisioning was quantified using the matrotrophy index (MI, ln-transformed for all analyses), which
105 is the ratio of offspring mass at birth to the mass of the egg at fertilization (Reznick et al. 2002;
106 Pollux et al. 2009). Offspring of lecithotrophic species typically lose 25-55% of the initial egg mass
107 during development ($MI < 0.75$), while continuous nutrient transfer from mother to offspring
108 during gestation in matrotrophic species leads to $MI > 1$ (Reznick et al. 2002; Pollux et al. 2009).

109

110 ***Evolutionary dynamics of maternal provisioning strategies***

111 To characterize the evolutionary dynamics of matrotrophy evolution, we conducted ancestral state
112 reconstructions of MI using PHYTOOLS (Revell 2012). To evaluate the directionality of matrotrophy
113 evolution (increases *vs.* decreases in MI) between each node and its descendants, we extracted trait
114 reconstructions for each node of the tree and calculated ΔMI as the observed (tip) or inferred (node)
115 matrotrophy values subtracted from the values of its most recent ancestral node.

116

117 ***Identifying ecological correlates of variation in maternal provision strategies***

118 To test the competing hypotheses for matrotrophy evolution, we assembled a set of relevant
119 environmental predictor variables. According to the locomotor hypothesis, matrotrophy should be
120 associated with environments that favor high locomotor performance, such as habitats with high
121 predation pressure or fast water currents (Reznick et al. 2007; Gorini-Pacheco et al. 2017). Hence,

122 predictor variables included metrics of hydrology (based on the topography of each species' range)
123 and predation (number of predatory fish species overlapping each species' range). According to the
124 resource availability hypothesis, matrotrophy should be associated with environments where
125 resources are abundant and competition is low (Trexler and DeAngelis 2003). Hence, we quantified
126 climate (including estimates of temporal variability in temperature and precipitation patterns in each
127 species' range), average net primary productivity (NPP), and competition (number of poeciliid
128 species overlapping each species' range). The potential effects of different environmental variables
129 on variation in maternal provisioning strategies was evaluated with phylogenetic path analysis as
130 outlined below.

131

132 *Quantifying hydrology, climate, and net primary productivity*

133 Assembly of hydrological and climate variables associated with each species' range was based on
134 georeferenced occurrence points. We obtained 73,398 locality points from multiple sources
135 (<http://fishnet2.net/>, <http://gbif.org/>, primary literature), representing the known distributions for
136 all 94 species included in our study. We first removed duplicate points and retained a maximum of
137 100 randomly sampled localities within the native range of each species, which is sufficient to
138 capture environmental variation even in wide-ranging species (van Proosdij et al. 2015). We further
139 verified that all data points for a given species were at least 1 km apart to match the spatial
140 resolution of environmental data. Any locality that did not meet this criterion was either removed
141 for species with <100 localities or replaced by another randomly drawn locality for species with
142 >100 localities. For all locality records, we then extracted values for three hydrological variables
143 (elevation, slope and compound topographic index; Hydro1k:
144 <https://lta.cr.usgs.gov/HYDRO1K/>), 19 climatic variables (Worldclim: <http://worldclim.org/>), and
145 an estimate of net primary productivity (<https://lpdaac.usgs.gov/>) at a spatial resolution of $\sim 1 \text{ km}^2$

146 (30 arcsec) in ArcMap version 10.2.2 (ESRI Inc, Redlands, CA, USA). For each species, we
147 calculated the median value for all 23 variables. Climatic and hydrological variables were then
148 subjected to separate phylogenetic principal component analyses (pPCA) using a correlation matrix,
149 as implemented in the PHYTOOLS package in R (Revell 2012). In addition to the estimate of net
150 primary productivity associated with each species' range, we retained two pPCA axes accounting for
151 76% of variation in hydrology (Table S2) and three pPCA axes accounting for 81% of variation in
152 climate (Table S3).

153

154 *Quantifying competition and predation*

155 Quantifying the actual biotic interactions for a large number of species distributed across the vast
156 geographic scale included in this study is virtually impossible. Hence, we developed two simple,
157 objectively quantifiable metrics to approximate levels of competition and predation. We assumed
158 that competitive interactions for the focal species primarily occur with other species of the family
159 Poeciliidae (Alberici da Barbiano et al. 2010; Torres-Dowdall et al. 2013) and that the intensity of
160 competition is a function of the number of coexisting species. Hence, we first analyzed overlap of
161 distributional ranges to characterize patterns of sympatry (defined as range overlap values greater
162 than zero; Weber et al. 2016). We created geo-referenced distributional range maps for each species
163 by generating a convex hull around each species' known occurrence points (see above) using
164 ArcMap. The resulting species-specific distributions were then intersected to determine the total
165 number of competitor species exhibiting a range overlap with a focal species. Similarly, we created a
166 metric estimating the levels of predation by determining the total number of piscivorous fish species
167 exhibiting a range overlap with each focal species. To do so, we obtained 271,148 locality points
168 (<http://gbif.org/>) of 7,170 species across 1,602 genera and 26 families in the superclass
169 Osteichthyes that coincide with the distribution of poeciliids. Since distributional polygons of some

170 focal species overlapped with marine habitats (particularly in poeciliid species occurring along the
171 Gulf of Mexico and in both island and mainland localities), we first removed species primarily
172 inhabiting marine environments as well as non-native species, retaining 5,019 native freshwater
173 species in 853 genera (Table S4). To identify potential predators, we conducted a genus-level
174 literature search of dietary habits using relevant monographs (Greenfield and Thomerson 1997;
175 Bussing 1998; Boschung et al. 2004; Miller et al. 2005; van der Sleen and Albert 2017), supplemented
176 by the primary literature when necessary. We retained 131 genera that included species with evidence
177 for piscivory (867 species; 73,421 locality points). Values for the number of competitors and
178 predators were square-root-transformed prior to analyses.

179

180 *Analytical framework*

181 We investigated hypotheses about the hierarchical relationships among abiotic and biotic
182 environmental factors and matrotrophy using phylogenetic path analysis as implemented in the R
183 package PHYLOPATH (van der Bijl 2018). We developed 18 models based on *a priori* hypotheses
184 about the effects of hydrology, climate, NPP, competition, predation, and interactions between
185 predictor variables relevant in the context of the locomotor cost and resource limitation hypotheses
186 of matrotrophy evolution (Figure S2). As with other phylogenetic analyses described above, path
187 analyses were run across 250 random trees. PHYLOPATH implements model selection with covariance
188 inflation criterion, CIC_c (Rodriguez 2005). Models with an average $\Delta CIC_c < 2$ were considered
189 equally supported (Burnham and Anderson 2002). Joint effects of net primary productivity and
190 competition were visualized using non-parametric thin-plate spline regression to create a surface of
191 matrotrophy variation (Arnold 2003). Estimation of matrotrophy surfaces was performed using the
192 FIELDS package in R, with smoothing parameter $\lambda = 0.005$ (Nychka et al. 2007).

193

194 **Results**

195 *Evolutionary dynamics of matrotrophy evolution during diversification of poeciliid fishes*

196 Ancestral state reconstructions (ASR) were used to compare inferred ancestral states of matrotrophy
197 to variation in matrotrophy observed in extant taxa (Figure 1A). ASRs across 250 trees
198 demonstrated that lecithotrophy is not the ancestral provisioning strategy (Figure 1B). The inferred
199 ancestral state was clearly toward the matrotrophic end of the spectrum (with a net weight gain
200 during development) and distinctly above the levels of post-fertilization provisioning observed in
201 most extant taxa (Figure 1B). This does not mean that matrotrophy evolved prior to lecithotrophy,
202 but rather that the common ancestor of extant poeciliids had already evolved some degree of post-
203 fertilization provisioning. Examining the direction of shifts in post-fertilization provisioning
204 strategies between all nodes and their descendants revealed that reductions of post-fertilization
205 provisioning were just as common as increases in matrotrophy (Figure 1C).

206

207 *Ecological correlates of matrotrophy evolution*

208 We contrasted a series of hypotheses about the hierarchical relationships among different abiotic
209 and biotic environmental variables and matrotrophy using phylogenetic path analysis. Model
210 selection identified two models with average $\Delta\text{CIC}_c < 2$ across the 250 trees (Figure S3), including
211 NPP, competition, and predation as predictor variables for variation in MI (Figure 2A). Both models
212 indicated that NPP positively correlated with competition ($r = 0.325$, 95% CI = 0.324 – 0.326 for
213 both supported models), which in turn was negatively correlated with matrotrophy (top model: $r = -$
214 0.030, 95% CI = -0.034 – -0.025; secondary model: $r = -0.077$, 95% CI = -0.081 – -0.075). In
215 addition, there was a positive relationship between NPP and matrotrophy (top model: $r = 0.024$,
216 95% CI = 0.022 – 0.025; secondary model: $r = 0.023$, 95% CI = 0.021 – 0.024). Simultaneously
217 visualizing the effects of NPP and competition on matrotrophy indicated that high levels of

218 matrotrophy occurred when NPP was high and competition was low (Figure 2B). In the best
219 supported model, NPP was also positively correlated with predation ($r = 0.184$, 95% CI = 0.183 –
220 0.185), which in turn was negatively correlated with matrotrophy ($r = -0.082$, 95% CI = -0.087 –
221 0.078). Notably, however, the directionality of the relationship between predation and matrotrophy
222 was opposite to the predictions of the locomotor cost hypothesis, which posits that matrotrophy
223 should enhance locomotor performance and be favored in high-predation environments. Although
224 the effects sizes in the path analyses were relatively small, they were significantly different from zero,
225 indicating that ecology has played a role in matrotrophy evolution.

226

227 **Discussion**

228 Shifts from pre- to post-fertilization maternal provisioning represent a major axis of life history
229 evolution in viviparous organisms (Wourms 1981; Blackburn 1992). Even though life history
230 evolution is generally assumed to progress from oviparity to lecithotrophic viviparity to
231 matrotrophic viviparity (e.g., Furness et al. 2015), our analyses indicated that decreases in levels of
232 matrotrophy were just as common as increases. Such bi-directional evolution along the
233 lecithotrophy-matrotrophy continuum has been documented in other viviparous taxa (e.g., Dulvy
234 and Reynolds 1997; Reznick et al. 2007) and parallels secondary losses of obligate viviparity in fishes
235 and reptiles (Parenti et al. 2010; Recknagel et al. 2018). The standard model of linear life history
236 evolution therefore needs reevaluation to acknowledge that the evolution of these traits is more
237 complex and dynamic than generally appreciated.

238 Our analyses further suggested that ecological sources of selection are important in driving
239 bi-directional evolution along the lecithotrophy-matrotrophy continuum. Phylogenetic path analysis
240 identified three biotic variables that were associated with variation in matrotrophy (resource
241 availability, competition, and predation), all of which are well-documented drivers of life history

242 diversification in animals (Stearns 1976; Martin 1995; Wilson 2014). However, the relationship
243 between matrotrophy and predation was opposite to the predictions of the locomotor hypothesis,
244 even though microevolutionary analyses have indicated that matrotrophy can be favored in high
245 predation environments requiring efficient escape responses (Gorini-Pacheco et al. 2017; Hagmayer
246 et al. 2020). Instead, our results provided support for the resource availability hypothesis,
247 demonstrating that high levels of matrotrophy coincided with low competition and high resource
248 availability. This finding is consistent with the predictions of theoretical models, which predict
249 increases in matrotrophy in environments with abundant and stable resources (Trexler and
250 DeAngelis 2003), and empirical observations (Pollux and Reznick 2011). In addition, experimental
251 studies have shown that maternal provisioning strategies in poeciliids respond to resource availability
252 and female body condition (Trexler 1997; Marsh-Matthews and Deaton 2006; Hagmayer et al. 2018).

253 Our results largely align with previous theoretical and empirical studies; however, there are
254 some caveats that warrant additional consideration. Most importantly, it remains to be tested
255 whether metrics of resource availability, competition, and predation used here to facilitate
256 continental-scale analyses accurately reflect selective regimes experienced by different species. The
257 challenges of quantifying complex variation in biotic interactions across the vast spatial and
258 phylogenetic scales covered in this study highlights the need for microevolutionary analyses and
259 experimental approaches with a broader taxon sampling that allow for a better understanding of
260 causal relationships (Culumber and Tobler 2018). For example, while there is experimental evidence
261 for the fitness costs of matrotrophy under fluctuating resource conditions (Pollux and Reznick
262 2011), we still lack any empirical evidence indicating that matrotrophy provides fitness benefits over
263 lecithotrophy under high and stable resource conditions (Pollux et al. 2009). In addition, it remains
264 to be experimentally tested how resource availability and competition potentially interact in
265 determining the success of different maternal provisioning strategies, especially because resource

266 stress and competitive interactions may have non-additive effects (Hart and Marshall 2013; van
267 Egmond et al. 2018).

268

269 **Conclusions**

270 The role of ecological sources of selection as key drivers in life history evolution is well established
271 at microevolutionary scales (Partridge and Harvey 1988; Reznick et al. 1990). Our study revealed that
272 ecology also correlates with maternal provisioning strategies at macroevolutionary scales, suggesting
273 that adaptation to resource availability and competition could explain life history diversification in
274 livebearing fishes and potentially in other viviparous taxa (Wourms 1981; Blackburn 1992). Our
275 findings do not exclude the possibility that other evolutionary forces are also at play. For example,
276 variation in maternal provisioning strategies has been associated with parent-offspring conflict
277 (Schrader and Travis 2008; Ala-Honkola et al. 2011; Pollux et al. 2014), potentially leading to
278 antagonistic coevolution between maternal and embryonic traits that impact nutrient transfer during
279 pregnancy (Crespi and Semeniuk 2004; Furness et al. 2015). Further studies will consequently need
280 to explore how ecological sources of selection might interact with parent-offspring conflict to shape
281 the evolution of matrotrophy. Disentangling the drivers of matrotrophy evolution will be particularly
282 interesting because changes in female provisioning strategies can potentiate (or impede) sexual
283 selection and speciation (Zeh and Zeh 2000; Zeh and Zeh 2008) and explain broad-scale patterns of
284 diversification in viviparous taxa (Furness et al. 2019).

285

286 **Data Accessibility**

287 Data used to conduct this study will be archived on Dryad upon acceptance of the manuscript.

288

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292

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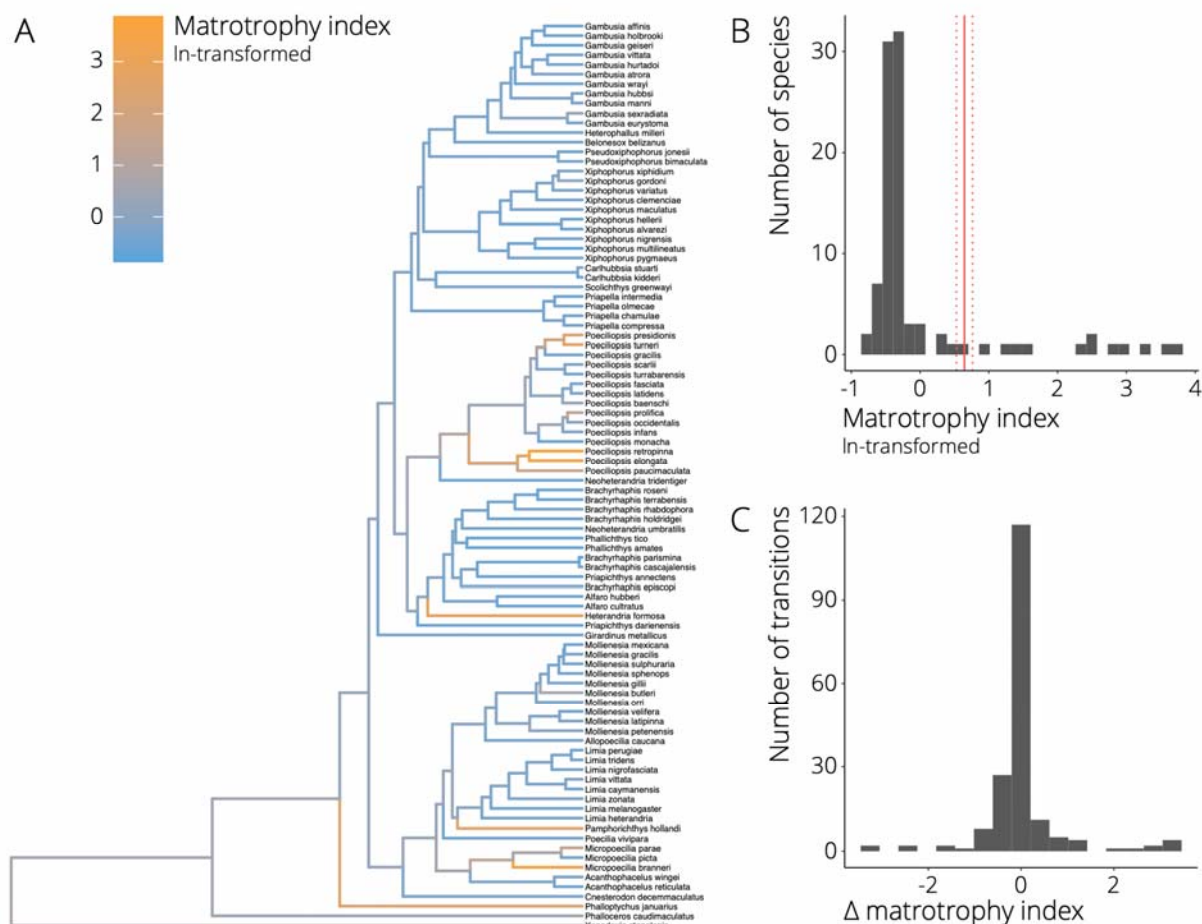
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474

475 Figure 1. A. Best-scoring maximum likelihood tree of 94 species in the family Poeciliidae. The

476 ancestral state reconstruction of matrotrophy is mapped onto the phylogeny, with blue colors

477 depicting lecithotrophy and orange colors matrotrophy (as indicated by the color scale of ln-

478 transformed matrotrophy index values). B. Frequency histogram of the distribution of matrotrophy

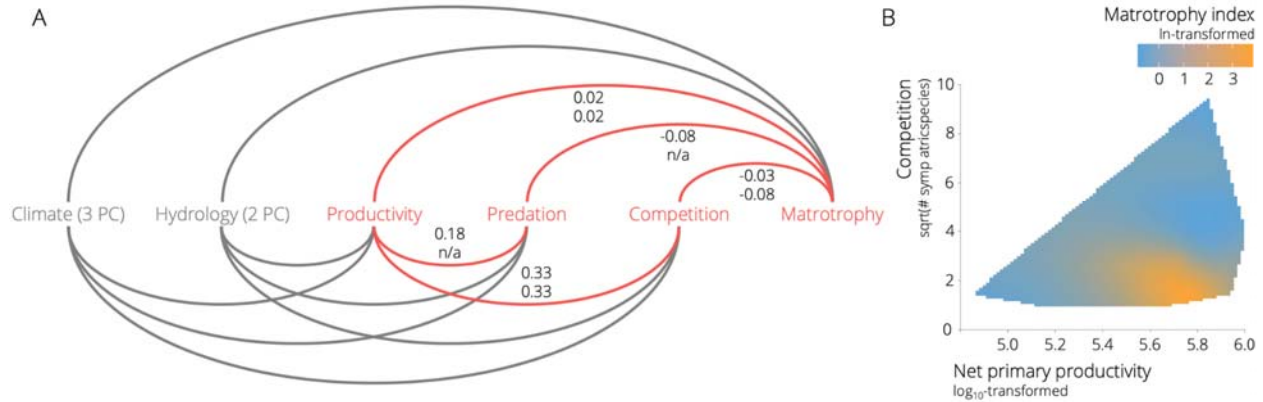
479 index values in extant poeciliid species. The solid red line represents the average ancestral state

480 reconstruction for the matrotrophy index across 250 random trees with dotted lines indicating the

481 95% confidence interval for the estimate. C. Frequency histogram depicting the relative change in

482 matrotrophy index between all nodes and their descendants.

483



484

485 Figure 2. A. Representation of the full model used for phylogenetic path analysis (see Figure S2 for a
486 comprehensive depiction of alternative models). Highlighted in red are variables and paths

487 associated with the top models exhibiting $\Delta\text{CIC}_c < 2$. Numbers represent correlation coefficients (r)

488 between variables for the top model (top number) and the secondary model (bottom number). Note

489 that the factor predation was absent from the secondary model. B. Landscape of matrotrophy

490 variation as a function of net primary productivity and competition. Colors correspond to variation

491 in matrotrophy, with blue colors depicting maternal provisioning strategies toward the lecithotrophy

492 end of the spectrum and orange colors strategies toward the matrotrophy end (as indicated by the

493 color scale of ln-transformed matrotrophy index values).