The Landscape of *Parkin* Variants Reveals Pathogenic Mechanisms and Therapeutic Targets in PD

Wei Yi[1], Emma J. MacDougall[1], Matthew Y. Tang[1], Andrea I. Krahn[1], Ziv Gan-Or[2], Jean-François Trempe[3], Edward A. Fon[1*]

[1]McGill Parkinson Program, Neurodegenerative Diseases Group, Department of Neurology and Neurosurgery, Montreal Neurological Institute, McGill University, Montreal, Quebec, Canada H3A 2B4. Phone: +1-514-398-8398.

[2]Montreal Neurological Institute and Hospital, Department of Neurology & Neurosurgery, Department of Human Genetics, McGill University, Montreal, Quebec, Canada H3A 1A1. Phone: +1-514-398-5845.

[3]Groupe de recherche axé sur la structure des protéines, Department of Pharmacology and Therapeutics, McGill University, Montreal, Quebec, Canada H3G 1Y6. Phone: +1-514-398-6833.

* Correspondence and requests for materials should be addressed to E.A.F. (email: ted.fon@mcgill.ca).

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Abstract

Mutations in *Parkin* (*PARK2*) are the most common genetic cause of early-onset Parkinson's disease (PD). *Parkin* encodes an E3 ubiquitin ligase implicated in the turnover of damaged mitochondria. Hundreds of *Parkin* missense variants have been reported in public databases, yet the role of most of them in PD remains unclear. Here we analyzed *Parkin* variants using clinical, experimental, and structural modeling approaches. For most variants, clinical evidence alone was insufficient to determine pathogenicity. Utilizing a cell model that allows measurement of Parkin-mediated mitophagy and Parkin stability, 51 variants were classified into five groups based on their effect on Parkin function. Most variants were like wildtype and unexpectedly, several enhanced mitophagy. A minority destabilized Parkin or severely disrupted Parkin-mediated mitophagy, most of which were also determined as *pathogenic* based on clinical evidence alone. Structural simulation predicted multiple mechanisms for both the increase and decrease in Parkin function. Interestingly, impaired mitophagy in several of the most common *pathogenic* Parkin variants could be rescued both by naturally-occurring (p.V224A) and structure-guided designer (p.W403A; p.F146A) hyperactive Parkin variants. These findings provide a framework to classify *Parkin* variants based on pathogenicity and suggest that several *pathogenic Parkin* variants represent promising targets for genotype-specific drug design.

Introduction

Parkinson's disease (PD) is the second most common neurodegenerative disease (1) and, although most PD cases are sporadic, a fraction are familial and caused by mutations in different genes (2). Mutations in the *Parkin* (*PARK2*) gene are the most common cause of autosomal recessive early-onset parkinsonism (EOPD) and are believed to result in a loss of Parkin protein function (3). *Parkin* variants include rearrangements and copy number variations, such as deletions and duplications of exons, as well as single nucleotide variants (SNVs) that cause missense, nonsense, or splice site mutations (4, 5). Of these, missense variants are the most frequently reported in PD patients (5) and, because they likely impede Parkin protein function rather than disrupting protein expression, may represent viable targets for therapies that enhance Parkin activity.

To envisage such genotype-specific therapies, the pathogenicity of the many *Parkin* variants in the population first needs to be determined. The American College of Medical Genetics and Genomics for Molecular Pathology (ACMG-AMP) has outlined five standard terminologies to describe variants identified in genes that cause Mendelian disorders (6). "*Pathogenic*" and "*likely pathogenic*" indicate a clear or very likely disease-causing effect of a variant, respectively. Conversely, "*likely benign*," and "*benign*" indicate variants that are not disease-causing. Variants that cannot be assigned to one of these four groups are designated as "*uncertain significance*". Over 200 *Parkin* missense variants have been deposited in public repositories (7-10). However, to date, only a minority have been clearly annotated based on formal criteria.

A clear assignment of a *Parkin* variant requires integrating different lines of evidence

that fall into two broad categories (6, 11). Clinical evidence consists of the association or

segregation of the variant with disease in human cohorts or within families with multiple

affected individuals. Functional evidence refers to the consequence(s) of the variant, using

experimental assays that measure biochemical and cellular properties as well as

computational algorithms that model the effect(s) of the variant based on protein structure

and function. Clinical evidence has a hierarchical relationship relative to functional

evidence and should prevail when a discrepancy or conflict arises between clinical and

functional observations (6, 11, 12).

Parkin is a basally autoinhibited E3 ubiquitin (Ub) ligase, which contains a N-terminal

Ubl domain, connected through a linker to four zinc-coordinating domains, RING0, RING1,

In-Between-RING (IBR), and RING2 (13), which form a core that we abbreviate as R0RBR.

Parkin is activated by PINK1, a mitochondrial kinase that is also implicated in EOPD (1).

PINK1 accumulates on damaged mitochondria upon depolarization, where it

phosphorylates nearby Ub(14-17). Parkin binds to phosphorylated Ub (pUb), which recruits

Parkin to mitochondria and facilitates PINK1 phosphorylation of the Parkin N-terminal

ubiquitin-like (Ubl) domain, which in turn fully activates Parkin (18-20). Parkin can then

ubiquitinate multiple outer mitochondrial membrane targets, triggering a feed-forward

amplification loop, that leads to the clearance of damaged mitochondria via autophagy

(mitophagy) (Reviewed in (21-23)). Some *Parkin* missense variants found in patients have

been shown to affect protein folding, Parkin autoubiquitination, protein-protein interactions

or recruitment to mitochondria (24-31). However, as the pathogenic nature of most of these

variants were not clear, the disease relevance of the functional alteration remained to be

determined.

Here, we characterized all *Parkin* missense variants found in public databases

according to ACMG-AMP criteria. We then used a cell-based assay to quantify Parkin-

mediated mitophagy and Parkin protein levels. We also applied structural simulation to

explore the mechanism underlying the observed functional alterations. Integrating these

data, we find that only a minority of *Parkin* variants can be considered *pathogenic*.

Interestingly, we identify several naturally-occurring *Parkin* variants in the population that

increase mitophagy in cells. Remarkably, such hyperactive *Parkin* variants were able to

rescue the impaired function of several common *pathogenic Parkin* variants. Our study

suggests that several *pathogenic* PD-linked *Parkin* mutations represent promising targets

amenable to genotype-specific drug design.

94 Results

95 Most *Parkin* missense variants lack sufficient clinical
96 evidence to determine pathogenicity

To classify *Parkin* variants, we utilized Sherloc (semiquantitative, hierarchical

evidence-based rules for locus interpretation), a classification framework that translated

the ACMG-AMP standards to a set of discrete but related rules with refined weights (11).

Clinical evidence was examined first, as it most directly describes disease (11). Briefly,

data from population databases, including minor allele frequency (MAF) and homozygote

counts, and clinical records in PD-specific databases that report PD patients or unaffected

family members carrying missense variants in *Parkin*, were examined to assign weighted

points as pathogenic or benign to each variant (Supplementary figure 1). The pathogenic

points and benign points were summed-up separately and compared to preset thresholds

to assign the variants with one of the five ACMG-AMP categories (Supplementary figure

2).

From the PD-specific databases, PDmutDB (5, 9) and MDSgene (4, 7), we identified

a total of 75 *Parkin* missense variants reported in PD patients (Figure 1). Most were absent

or very rare in the control cohorts from the original reports, possibly due to the small sizes

of the control cohorts. Therefore, we searched the 75 variants in public population

databases and found that 51 of them were reported in dbSNPs (10) and in the Exome

Aggregation Consortium (ExAC), including high-quality variant calls across 60,706 human

exomes (8) (Figure 1). From ExAC, we also found an additional 164 *Parkin* missense

variants (Figure 1). The classification of the missense variants using clinical evidence

allowed us to clearly designate thirteen variants with PD as *pathogenic* or *likely pathogenic*

and ten variants as *likely benign* or *benign* (Figure 1). In contrast, the large number of

remaining variants lacked sufficient data to be assigned to either the *benign* or *pathogenic*

categories and were therefore designated as of *uncertain significance* (Figure 1).

All ten *likely benign* or *benign* variants were reported in both PD patients as well as in

general population cohorts (Figure 1, 2A-B). Thus, we conclude that their presence in

patients was due to their high prevalence and not pathogenicity. Indeed, five of them had

high MAFs in ExAC, and one variant, p.M192L was absent from ExAC but common in

dbSNP. Their MAF or maximum MAF exceeded the cutoff considered as *high* in the Sherloc

classification system (Supplementary figure 1 and (11)). Four variants had MAFs in ExAC

that were considered *somewhat high*, which is inconsistent with MAF spectrum of over 95%

of previously well-characterized pathogenic variants from Sherloc (Supplementary figure 1

and (11)). All these variants were observed as homozygous in more than two individuals in

the ExAC database, which provided additional corroborating evidence that they were

benign or *likely benign*. Moreover, p.R334C was found as homozygous or compound

heterozygous in unaffected family members from two independent families (32) (33),

leading to assignment of four additional benign points (Figure 2B). Finally, none of the 10

variants showed segregation with PD in families, in further support of their lack of

pathogenicity. Thus, taken together, we suggest that these *likely benign* or *benign* variants

are not responsible for PD in the reported patients.

Thirteen variants were designated as *pathogenic* or *likely pathogenic* based on clinical

evidence (Figure 2A-B). Most were absent or very rare in the population databases. They

were reported as homozygous or compound heterozygous in several patients from multiple

families. These two lines of evidence largely accounted for their designations as

pathogenic or *likely pathogenic*. Of note, the MAFs of two variants, p.R275W and p.G430D

were somewhat high in ExAC. However, their strong segregation with PD in multiple

families supported their classification as *likely pathogenic* (11).

Although multiple missense variants were reported in PD patients, for the majority,

clinical evidence alone was not sufficient to support their pathogenicity (Figure 1). Most

were found only as heterozygous in PD patients, which did not contribute any points

towards pathogenicity (Figure 3A-B and data not shown when their functional analysis was

not performed). Thirteen were reported as homozygous or compound heterozygous in

patients (Figure 3A). Six of them were absent or rare in the population database (p.C289G,

p.K161N, p.C253F, p.C418R, p.W54R, p.E444Q). However, as only one case was found

per variant, the total points assigned did not exceed the threshold to designate them as

likely pathogenic (Figure 3A-B). Seven of them (p.T240M, p.P437L, p.R33Q, p.E310R,

p.P37L, p.R234Q and p.D280N) were reported in multiple cases but had *somewhat high*

MAF in ExAC (Figure 3A). From segregation analysis (Supplementary figure 1), p.T240M

showed strong segregation with PD in multiple families. It also was reported as compound

heterozygous in an unaffected family member (34). Thus, the benign and pathogenic points

for p.T240M exceeded the respective thresholds as *likely benign* or *likely pathogenic*

(Figure 3B). The contradictory criteria for benign and pathogenic assigned it as of *uncertain*

significance, suggesting a low-penetrance variant, a genetic modifier or that additional

corroborating evidence is require for more definitive assignment (Supplementary figure 2).

p.P437L and p.R33Q showed moderate and weak segregation with PD, respectively, and

the remaining four variants showed no clear segregation, leaving each below the *likely*

pathogenic threshold (Figure 3A-B).

Finally, none of the variants found only in the population databases were reported in

PD cases to support their pathogenicity (Figure 3A-B and data not shown when their

functional analysis was not performed). However, because their MAFs did not exceed the

cutoff for the high range, and none of the variants were found as homozygous in more than

two individuals from ExAC, they also lacked enough evidence to be designated as *likely*

benign or *benign* and were thus designated as variants of *uncertain significance* (Figure

1).

Parkin variants can be classified functionally in cells

Next, to determine the effects of the variants on Parkin function, we employed a cell-

based assay to monitor and quantify mitophagy dynamically using fluorescence-activated

cell sorting (FACS) in U2OS cells stably expressing inducible mt-Keima, a pH-sensitive

fluorescent protein that is targeted to mitochondria and exhibits a large shift in its emission

wavelength upon engulfment in the acidic compartment of lysosomes. The cells also

transiently expressed either wildtype (WT) Parkin or one of the Parkin variants fused to

GFP and the shift in mt-Keima emission was measured upon mitochondrial depolarization

with CCCP (Supplementary Figure 3A). The GFP intensity in untreated cells was also

quantified as a measure of steady-state Parkin protein stability (Supplementary Figure 3B).

The level of CCCP induced Parkin-mediated mitophagy and GFP intensity of the Parkin

variants were normalized to that of WT Parkin.

We analyzed all the variants that were designated clinically as *pathogenic* or *likely*

pathogenic, *benign* or *likely benign*, and an additional twenty-eight variants designated as

of *uncertain significance.* These variants represented the most common missense variants

reported in human population from public databases. Moreover, they were reported in 283

out of 309 families or unrelated patients in PD-specific databases. The thirteen *Parkin*

variants classified as *pathogenic* or *likely pathogenic* based on clinical evidence (Figure

2A and 2B) all showed significantly decreased mitophagy (Figure 2C). Seven variants

(p.C253Y, p.R42P, p.C441R, p.C212Y, p.C238W, p.V56E and p.R275W) also showed

decreased GFP intensity (Figure 2C), suggesting reduced protein stability. Of these, all the

variants in the R0RBR formed inclusions to different degrees, detected by fluorescence

microscopy (Supplementary figure 4). In contrast, the p.R42P and p.V56E variants in the

Ubl domain showed lower overall GFP intensity without visible inclusions. Thus, for a

subset of *pathogenic* and *likely pathogenic Parkin* variants, the observed defects in

mitophagy are likely to stem from abnormal protein folding and reduced protein stability. In

contrast, all ten *Parkin* variants classified as *benign* and *likely benign* based on clinical

evidence (Figure 2A and 2B), exhibited similar GFP intensity as WT and most, with three

exceptions, also exhibited WT levels of Parkin-mediated mitophagy (Figure 2C). p.Q34R

and p.A46T displayed decreased mitophagy, whereas p.R334C showed an almost three-

fold increase (Figure 2C). Of the twenty-eight variants classified as of *uncertain*

significance based on clinical evidence (Figure 3A and 3B), fourteen displayed similar GFP

intensity and mitophagy as WT Parkin (Figure 3C). Nine displayed impaired mitophagy,

three of which also showed decreased GFP intensity. Surprisingly, five variants showed

increased mitophagy.

The wide range of changes in Parkin levels and mitophagy prompted us to ask whether

we could classify the different variants into discrete groups. Variants that significantly

decreased Parkin protein levels and mitophagy were assigned to Group 1 (Figure 4A and

Supplementary table 1). Variants that severely (0-30% of WT) or moderately (30-60% of

WT) reduced Parkin-mediated mitophagy but that displayed normal protein levels were

assigned to Groups 2 and 3, respectively. Group 4 consisted of variants that were similar

to WT whereas Group 5 consisted of variants with increased (>140% of WT) Parkin-

mediated mitophagy. Interestingly, all the *pathogenic* or *likely pathogenic* variants,

classified based on clinical evidence, were assigned to group 1 or 2 whereas all the *benign*

or *likely benign* variants fell into group 3, 4, or 5 (Figure 4B). In all the PD case reports we

analyzed with *Parkin* variants, almost half displayed normal or hyperactive function, falling

into group 4 and 5 (Figure 4C). This suggests that caution must be exercised when

assigning causality to *Parkin* variants reported only in case reports as this may lead to an

overestimation of the prevalence of Parkin-related PD.

We next examined which lines of clinical evidence were most strongly correlated with

functional alterations. Among the variants that segregated with PD in families

(Supplementary figure 1C), all but one (p.R33Q) severely altered Parkin function and were

assigned to groups 1 or 2 (Figure 4D-E). However, it is important to note that segregation

analysis was only possible in ~30 families, as most reports only involved single cases or

case series (Figure 4F). Conversely, variants that were reported as homozygotes in ExAC

were frequent in Groups 3, 4 and 5 and were not assigned to Groups 1 or 2 (Figure 4G-I).

Finally, the observation of homozygous or compound heterozygous variants in isolated PD

patients (Supplementary figure 5A-C) and a low MAF of variants in population databases

(Supplementary figure 5D-F) would each be expected to suggest pathogenicity. However,

neither of these criteria correlated with the distribution of variants across our 5 functional

groups. Thus, taken together, our data show that variants that segregate with disease in

families impair Parkin function (Groups 1 and 2) whereas variants that occur as

homozygotes in ExAC do not severely reduce Parkin function (Groups 3, 4 and 5).

Integration of clinical and functional evidence refines the classification of *Parkin* variants

Next, we devised a scoring scheme, based on Sherloc, to assign benign or pathogenic points (Supplementary figure 6), according to the functional group to which the *Parkin* variants were assigned in the cellular assays (Figure 4). The pathogenic points and benign points were then added to the corresponding points from clinical evidence (Figure 2B, 3B, and Supplementary figure 1) in order to obtain combined *pathogenic* and *benign* scores from all evidence for each variant (Figure 5A and B).

Using these combined clinical and functional scores, all the *likely pathogenic* variants from clinical evidence were reclassified as *pathogenic* (Figure 5A). Additionally, three *likely benign* variants were reclassified as *benign* (Figure 5A). Most of the variants of *uncertain significance* were reclassified as *likely benign*, while six (including p.T240M) were reclassified as *pathogenic* and 9 remained of *uncertain significance* (Figure 5B). In summary, all the variants that caused functional alterations assigned to groups 1 and 2, as measured in our experimental assay, were reclassified as *pathogenic* whereas most variants assigned to functional groups 3, 4 and 5 were reclassified as either *benign* or *likely benign* (Figure 5C).

Structural analysis of *Parkin* variants reveals pathogenic mechanisms

As the structure of Parkin is known, we simulated the effects of variants on the reported crystal structures of Parkin to understand the mechanism underlying the

functional change. The autoinhibited Parkin, pUb-bound Parkin, p-Ub-bound phospho-

Parkin, pUb -E2 enzyme-bound phospho-Parkin structures were used as they represented

Parkin in different states of activation (19, 20, 35, 36).

Most variants in functional groups 1, 2 and 3 introduced clashes or altered interactions

with nearby residues in at least one of the Parkin structures. In contrast, most variants in

Group 4, which displayed similar function as WT Parkin, introduced no major clashes and

did not affect interactions (Figure 6A-B). However, mild clashes were observed in a few

cases, suggesting that some degree of clashing could be tolerated and possibly

compensated by local conformation changes to maintain overall protein function. For

example, three variants at Arg42 were analyzed. Mutation of Arg42 to proline introduced

massive clashes, whereas substitution to histidine introduced mild clashes and there was

no clash introduced by substitution to cysteine (Supplementary figure 7). Congruently, only

p.R42P was classified as *pathogenic* based on clinical criteria (Figure 2B and 3B) and

impaired functionally in cell-based assays (Figure 2C and 3C), consistent with this mutation

actually unfolding the domain (25, 37).

The steric consequences of variants in Groups 1 and 2 are likely to disrupt several

different aspects of Parkin function (Figure 6C). Seven variants involve cysteines that

coordinate zinc, and their mutations would result in the overall misfolding of the Parkin

protein (35). Five variants impaired key motifs mediating ubiquitination of substrates,

including steric clashes with the E2 binding site on RING1 (p.T240R and p.T240M), and

residues implicated in thioester transfer of Ub in the catalytic RING2 domain (p.T415N,

p.G430D, p.C431F) (38). These types of alterations are likely to cause a complete loss of

Parkin function in either PINK1-Parkin mediated mitophagy or other potential Parkin

pathways. Four variants specifically disrupted the conformational change that occurs

during activation by PINK1. p.K161N and p.K211N introduced no or mild steric clashes,

but mutation of the basic lysine to neutral asparagine eliminate the interaction with the

acidic phosphate in pUbl (Supplementary figure 8A and (19, 20)). p.G284R introduces

major clashes with pUb, thus impairing binding and recruitment to mitochondria

(Supplementary figure 8B). p.R275W disrupts interaction with the helix that mediates pUb

binding (Supplementary figure 8C). This would destabilize the protein, and indeed the

protein levels of R275W are lower than WT (Figure 2C). This helix becomes more exposed

following pUb binding and may be involved in the allosteric release of the Ubl (19, 20), and

Arg275 was also suggested to bind ubiquitin charged on an E2 enzyme (36), suggesting a

combined effect of impaired activation and protein destabilization. The clashes caused by

p.R42P and p.V56E are predicted to misfold the Ubl domain and thus destabilize the

protein, as demonstrated earlier for p.R42P which was found to be insoluble (25).

Meanwhile they may also hinder conformational change during activation by preventing

Ubl phosphorylation and the binding of pUbl on RING0. One variant, p.P437L, introduced

very mild clashes in RING2 in the structure, and the exact molecular mechanism of

p.P437L in causing decreased mitophagy was unclear.

Several variants in functional Group 3 that moderately decreased Parkin-mediated

mitophagy might also act by hindering Parkin activation by PINK1. p.A46T could disrupt

the interaction of pUbl with RING0 (Supplementary figure 8D). Arg104 is located in the

newly identified activation element (ACT) in the linker (20), which binds RING0 and helps

stabilize the interaction with pUbl. p.R104W disrupted a short helix in the ACT and induced

clashes with the pUbl (Supplementary figure 8E and(20)). p.G359D disrupted the glycine-

rich loop in the IBR domain that interacts with pUb (Supplementary figure 8F). These

clashes may be compensated by minor local conformation changes, and thus lead to

milder disruptions in mitophagy and protein stability.

Structure-guided *designer* hyperactive Parkin mutants can rescue mitophagy in pathogenic variants

We next hypothesized that some of the *pathogenic* variants may represent targets for

genotype-specific therapy. As a proof of concept, we introduced two artificially designed

mutations, W403A and F146A, which destabilized the REP (repressor element of

Parkin):RING1 and the RING0:RING2 interfaces, respectively. Both of these mutations

have been shown to accelerate mitophagy by promoting the conformational changes that

occur at these interfaces during Parkin activation by PINK1 (35, 39). We tested whether

these *hyperactive* mutations could rescue the mitophagy defects seen in the *pathogenic*

Parkin variants. As reported previously, mutating F146A or W403A alone enhanced

mitophagy compared to WT Parkin (Figure 7A) (14, 35, 39). Remarkably, introducing

F146A or W403A *in cis* with p.R42P, p.V56E, p.K161N, p.K211N, p.R275W p.P437L or

p.T240M rescued mitophagy (Figure 7A). Variants p.R42P, p.V56E and p.R275W each

lower Parkin levels, likely by disrupting protein stability (Figure 2C). However, introduction

of F146A or W403A did not restore Parkin levels to WT (Supplementary figure 9),

suggesting the rescue of mitophagy was mediated by Parkin activation *per se* rather than

by enhancing Parkin protein stability. The *pathogenic* variants p.K161N and p.K211N are

involved in binding the pUbl during activation (19, 20). The fact that both these variants

can be rescued by F146A or W403A suggests that destabilization of the REP:RING1 or

the RING0:RING2 interface can bypass the tethering of the Ubl to RING0, which occurs

during Parkin activation.

In contrast, neither F146A nor W403A could rescue any of the 7 *pathogenic* cysteines

variants involved in zinc coordination (Figure 6C). This is not surprising as mutating these

cysteines are predicted to severely disrupt overall Parkin folding and stability (Figure 2C

and3C). Similarly, variants that disrupted key catalytic or activation sites could not be

rescued. For instance, neither F146A nor W403A could rescue p.T415N, p.G430D or

p.C431F, which are involved in thioester transfer of Ub onto the catalytic RING2 domain

(Figure 6C). Not surprisingly, p.G284R also could not be rescued as it disrupts binding to

pUb, which is an essential receptor for recruiting Parkin to damaged mitochondria (40).

Interestingly, whereas both p.T240M and p.T240R are predicted to interfere with E2 Ub-

conjugating enzyme binding to RING1 (Figure 6C) and showed similar severe defects in

mitophagy (Figure 2C and 3C), only the former could be rescued by F146A or W403A

(Figure 7A). Both variants created clashes in the E2 binding site (Supplementary figure 10).

However, arginine created a positive charge at the interface, increasing the electrostatic

repulsion of E2. Methionine is less bulky and neutral, and its flexibility could allow some

weak interactions with E2 to remain, perhaps explaining its rescue by F146A or W403A.

Overall, the defects in mitophagy of seven of the 19 *pathogenic* variants could be

rescued by the designed activating mutations. These seven variants are responsible for

over 75% of reported PD patients carrying *pathogenic* missense variants (Figure 7B) and

were the most frequent *pathogenic* missense variants in the general population (Figure

7C). Mimicking the effects of F146A or W403A could therefore be useful for starting point

for designing a treatment for patients with PD caused by these *Parkin* variants.

Characterization of naturally-occurring hyperactive Parkin variants

We identified 6 naturally-occurring variants that, considering all the evidence, were

classified as *likely benign* or *benign* and showed enhanced Parkin-mediated mitophagy.

The Parkin structure shows that Arg234 and Arg256 are located at the interface between

the REP and RING0. The p.R234Q and p.R256C variants are predicted to destabilize the

interface, thus mimicking the W403A *designer* mutant used above (Supplementary Figure

11A). Additionally, p.M458L may destabilize the RING0:RING2 interface, mimicking the

effects of our other *designer* mutation, F146A (Supplementary Figure 11B). Thus, 3 of the

6 naturally-occurring variants are likely to activate Parkin via mechanisms akin to those

involved in the hyperactive mutants designed previously, based on structural predictions

(39). Because these variants occur naturally in the population, our findings demonstrating

rescue of mitophagy suggest that targeting these sites and mechanisms are likely to be

tolerated and potentially therapeutic in PD. p.P37L also moderately increased Parkin-

mediated mitophagy, but the structural basis of the increased activity was unclear, as this

variant does not create any steric clash and thus should not affect interactions of the Ubl

with RING1 or interactions of the pUbl with RING0 (Supplementary Figure 11C and 11D).

Mutation of R334 to a cysteine could affect the coordination of a nearby zinc in the IBR,

which may stabilize the interaction with phospho-ubiquitin and thereby enhance Parkin

activity (Supplementary Figure 11E).

Finally, unlike the 5 other naturally-occurring hyperactive variants, p.V224A has not

been reported in PD patient databases and showed the highest (almost 3-fold above WT)

Parkin-mediated mitophagy activity (Figure 3C). The Val224 residue is localized in the pUb

binding pocket, with its side-chain facing towards the phosphorylated Ser65 residue of pUb

and the mutation to alanine could modulate the affinity of Parkin for phospho-ubiquitin

(Supplementary Figure 11F). We therefore examined the ability of hyperactive p.V224A to

rescue the defects in mitophagy of the *pathogenic* variants. Introducing the p.V224A

variant *in cis* did not affect the protein level of most *pathogenic* variants, except for

p.G284R (Figure 8A). This may stem from an additive destabilizing effect of the double

mutant on Parkin folding as both p.V224A and p.G284R are located within the same pUb

binding motif. Introducing the p.V224A variant partially rescued the mitophagy defects of

p.R42P, p.V56E and p.K161N (Figure 8B). How the predicted effects of V224A on pUb-

binding could partially compensate for defects in Ubl- and pUbl-mediated activation by

p.R42P, p.V56E and p.K161N remains to be elucidated. Moreover, the rescue of p.K161N

but not p.K211N cannot be easily explained since both are predicted to interfere with pUbl

binding (Supplementary figure 7A) and tethering of the Ubl to RING0 during activation (19,

20). Similarly, p.V224A could not rescue the mitophagy deficit in p.R275W and p.G284R

variants, predicted to disrupt pUb binding, nor could it rescue any of the remaining

pathogenic variants (Figure 8B). Thus, compared with F146A or W403A, p.V224A was less

effective at rescuing the *pathogenic* variants, suggesting that the pUb-binding site may be

a less promising target than releasing the autoinhibited conformation of Parkin for

activating mitophagy.

Discussion

Parkin mutations are the most common cause of recessive early-onset PD (EOPD)

(3). Although *Parkin* loss-of-function is well established in EOPD (4, 41), causality for any

given missense variant has been more difficult to ascertain. In this study, we have

integrated clinical, experimental and structural modeling approaches to map out the

landscape of *Parkin* variants in the general population and in PD patients. Our hope is that

this work will help provide a more cohesive framework to guide basic science studies

exploring the molecular and cellular functions of the *PINK1/Parkin* pathway and to guide

clinicians caring for patients carrying specific *Parkin* variants. We also hope that the work

will inform structure-based drug design to develop Parkin activators and help guide Parkin

allele- and genotype-specific clinical studies.

For the over 200 *Parkin* variants reported in public databases (4, 5, 8), we found that

only a minority of variants could be clearly designated as *likely pathogenic*, *pathogenic*,

likely benign or *benign* based on clinical evidence alone. While this may not seem

surprising for variants found only in population databases such as ExAC, where

accompanying clinical information is scant, we found a similar situation for variants

reported in patients. Indeed, 52 out 75 variants reported in PDmutDB and MDSgene

remained of *uncertain significance* after having been subjected to the Sherloc algorithm,

the variant classification framework derived from ACMG standards that we used in this

study. The overarching message from these observations is that the mere presence of

Parkin variants in PD patients, PD kindreds or PD-specific databases should be interpreted

with caution and not automatically taken to imply pathogenicity. Rather, we propose that

clinical evidence available for new variants should be subjected to the same rigorous

classification scheme presented here to determine pathogenicity.

In addition to analyzing clinical evidence, we extensively characterized the cellular

effects of the 51 *Parkin* variants most commonly found in patient and population

sequencing databases (Over 90% of all PD patients carrying Parkin missense variants in

disease databases; Sum of MAF as 28.177% out 28.533% in ExAC). Using mitophagy and

steady-state Parkin protein levels as readouts in a flow cytometry-based assay, we were

able to classify the variants into 5 functional groups. To our knowledge, a systematic

analysis integrating clinical evidence with cellular function, on this scale, has not been

reported previously for *Parkin* (24-31). Notably, all the variants designated as *pathogenic*

or *likely pathogenic* based on clinical evidence also displayed severe mitophagy defects in

cells (functional groups 1 and 2). Conversely, all variants designated clinically as *benign* or

likely benign displayed mitophagy function in the WT range or showed only a slight

reduction (functional groups 3, 4 and 5). While this may seem *a priori* as self-evident,

several alternative functions of Parkin in cells have been proposed and the role of

mitophagy in PD has yet to be definitively established (21, 22, 42, 43). Thus, while this

work does not refute the biological importance of such alternative functions, the tight

correlation between the clinical impact of the variants and their effects on mitophagy

provides further evidence that mitophagy can be used as a robust and disease-relevant

readout of Parkin function that likely reflects a key pathogenic process in PD.

Assignment of the *Parkin* variants to functional groups in cells allowed us to determine

which clinical features best correlate with and could be used to predict pathogenicity.

Segregation of variants with PD in families turned out to be very strongly associated with

defects in mitophagy, whereas variants that occurred as homozygotes in ExAC never

displayed defects in mitophagy in cells. Therefore, we propose that these two clinical

criteria be used as strong predictors of pathogenicity of *Parkin* variants. In contrast, neither

the number of variants in PD patients nor the MAF in population databases correlated with

cellular function, suggesting that these clinical criteria would not be useful predictors of

pathogenicity. Perhaps more importantly, integrating clinical with functional evidence from

cells allowed us to re-assign 19 of the 28 variants, designated as of *uncertain significance*

based on clinical evidence alone, to one of the benign and pathogenic categories. It also

allowed us to reclassify 6 of the "*likely*" variants to their respective more definitive *benign*

and *pathogenic* categories. Together, these findings attest to the power of using this sort

of iterative combined clinical and experimental approach to stratify variants.

Beyond stratification, given what is already known about the structure and function of

the Parkin protein, the work enables in-depth mechanistic exploration of how *pathogenic*

variants can lead to dysfunction. This is something that has been sorely lacking and may

have important implication for how best to target Parkin and design activator for future

therapy. As one might expect, most missense variants that led to severe defects in

mitophagy (Functional groups 1 and 2) introduced clashes with nearby residues. Almost

all the variants that also led to reduced steady-state Parkin levels (Functional group 1)

introduced major clashes, likely leading to protein destabilization and, for several, the

formation of inclusions in cells. These inclusions-forming variants occurred in zinc-

coordinating cysteine residues within RING domains, which are required for proper protein

folding. Additional variants are predicted to impede pUb-binding, E2-binding, Ubl folding,

the RING0-pUbl interface or Parkin catalytic activity centered around residue C431. In

contrast, variants that led to only minor or no changes in Parkin function in cells (Functional

groups 3 and 4), for the most part did not introduce clashes or only minor clashes that likely

could be tolerated or compensated by local rearrangements in nearby residues. Taken

together, the important point is that, for most of the *pathogenic* variants, the mechanisms

by which they interfere with function can be rationalized based on the Parkin structure.

As an important proof of concept, we showed that the function of several pathogenic

Parkin variants, defective in mitophagy in cells, could be rescued when expressed *in cis*

with mutations that we had previously designed to enhance Parkin activity, based on its

structure(35, 39). Notably, many of the most commonly occurring variants were the ones

that could be rescued, something that bodes well for patients carrying these variants,

should a therapeutic mimicking W403A or F146A become available. The variants that could

be rescued could be stratified according to structure and mechanism. For instance,

alterations in residues involved in zinc coordination, in catalytic activation or in pUb-binding

could not be rescued. In contrasts, alterations in residues involved in Ubl folding or in the

pUbl-RING0 interface in the active Parkin structure could be fully rescued. Similarly,

p.R104W in the ACT motif, which is predicted to destabilize the pUbl-RING0 interface led

to only a minor defect in mitophagy. Together, these data suggest that binding of the pUbl

to RING0, as seen in the recent crystal structures of the active configuration of Parkin(19,

20), may not be absolutely required for activation and could potentially be bypassed by a

therapeutic that disrupted the REP-RING1 or the RING0-RING2 interfaces. Interestingly,

both p.T240R and p.T240M variants in the E2-binding site of RING1, abolish mitophagy in

cells. However, while p.T240R could be rescued, p.T240M could not. This likely stems from

the additional electrostatic effects of the arginine compared to the methionine substitution

in repulsing the E2 enzyme. Congruently with these structural and functional findings

suggesting that p.T240R is more severe, clinical evidence led to the assignment of

p.T240R as *likely pathogenic* whereas p.T240M was classified as *of uncertain significance*.

This highlights the importance of carefully considering clinical, functional and structural

data for each variant as they may have profound implications, not only for prognosis but

also for patient stratification for therapy.

One of the most surprising findings of our study was that several naturally-occurring

variants exhibited a 1.5- to almost 3-fold enhancement Parkin-mediated mitophagy in our

assay. This could not simply be explained by increased Parkin protein levels or by the fact

that our assay involved overexpression. Indeed, except for certain pathogenic variants that

destabilized Parkin, most variants, including these hyperactive one, displayed steady-state

levels that were within a narrow window around WT. These hyperactive variants provide

an important proof of principle that there are, presumably healthy, people out there in the

population living with enhanced Parkin activity. While all of these variants were classified

clinically as either *benign* or *of uncertain significance*, because they are so rare, it is unlikely

that it will be possible to test at a population level whether they are in fact protective against

PD. On a mechanistic level, 3 of the 6 naturally-occurring hyperactive variants likely act by

disrupting the REP-RING1 or RING0-RING2 interfaces, similarly to and to the same extent

(roughly 1.5-fold) as p.W403A and p.F146A, respectively. These findings add further

support to the idea that targeting these sites may be viable strategies for Parkin

enhancement. The most strongly activating variant was V224A, which increases mitophagy

by nearly 3-fold and occurs very near the site for pUb-binding. This was surprising as pUb-

binding serves as a critical receptor needed to recruit Parkin to mitochondria and, to date,

every reported mutation in this motif, abolished or dramatically reduced mitophagy.

Moreover, when expressed *in cis*, V224A partially rescued certain but not all the mutants

that were rescued by W403A and F146A. The mechanism by which V224A enhances

Parkin function and rescues defects elsewhere in the protein remain unknown. In the future,

it will be important to test whether this involves an enhancement in pUb-binding or some

other downstream allosteric effect. Similarly, it will be important to determine the

mechanisms by which the two remaining hyperactive variants, P37L and R334C, enhance

Parkin function. Moreover, as we only sampled 51 of the over 200 *Parkin* variants in the

population in this study, it is conceivable that other yet-to-be-discovered hyperactive

variants will provide further mechanistic insight into Parkin activation and help identify

additional therapeutic sites within the protein to target.

Materials and methods

Classification of *Parkin* missense variants

We utilized Sherloc (semiquantitative, hierarchical evidence-based rules for locus interpretation), a variant classification framework derived from ACMG standards (6) to assign *Parkin* missense variants into five categories: *pathogenic*, *likely pathogenic*, *benign*, *likely benign* and of *uncertain significance* (11). We considered two broad categories of evidence for the classification, clinical and functional. The procedures for evaluating and scoring these lines of evidence are summarized as root-decision trees in Supplementary figures 1,2,6

For clinical evidence, information regarding missense variants in *Parkin* reported in the population database ExAC (http://exac.broadinstitute.org/gene/ENSG00000185345) and the disease-specific databases, PDmutDB (http://www.molgen.vib-ua.be/PDmutDB) (5, 9), and MDSgene (http://www.mdsgene.org/) (7) were searched. We also searched dbSNP (http://www.ncbi.nlm.nih.gov/snp) and the Exon variant server (EVS, http://evs.gs.washington.edu/EVS/) for missense variants that were found in the disease databases but not in ExAC. The homozygote count, MAF in ExAC and maximal MAF in dbSNP, EVS and subpopulations in ExAC were calculated and used to assign points to the variants according to the decision tree in Supplementary figure 1A. The clinical cases reported in PDmutDB and MDSmutDB were evaluated according to the decision tree in Supplementary figure 1B-C. The original references were traced back for the indexed families or individuals reported in these databases. Indexed cases reported in both

databases cited from the same reference were only evaluated once. Indexed cases

reported in a more recent paper showing the same information (same number of family

members with same genotype and phenotype) as a case in an older reference were

considered as the same family and the older report was used.

For functional evidence, the effects of the variants in the cellular assay were assigned

points according to the decision tree in Supplementary figure 6. We imposed a 2.5-point

cap on functional evidence to ensure that functional data which lacked supporting clinical

evidence would not be sufficient on its own to reach the threshold required (3 benign points

or 4 pathogenic points; supplementary figure 2) to assign a variant into the *pathogenic* or

benign categories (11).

Cell culture, cloning and mutagenesis

Human osteosarcoma U2OS cells stably expressing GFP-Parkin were a gift from Dr.

Robert Screaton (Sunnybrook Research Institute). U2OS cells stably expressing mtKeima

were created by transfecting plasmid DNA using jetPRIME (Polyplus), followed by selection

with G418 for 2 weeks and sorting using flow cytometry (39). Cells were maintained in

DMEM supplemented with 10% fetal bovine serum (FBS), 4mM L-glutamine and 0.1%

Penicillin/Streptomycin, in a 37℃ incubator with 5% CO_2. All GFP-Parkin variants were

generated using PCR mutagenesis according to the manufacturer's protocol (Agilent

Technologies). Constructs were verified by sanger sequencing.

Mitophagy assay

Mitophagy was examined using FACS-based analysis of mitochondrially targeted mtKeima

(a gift from A. Miyawaki, Laboratory for Cell Function and Dynamics, Brain Science Institute,

RIKEN, Japan) as previously reported (39). Briefly, U2OS cells stably expressing

ecdysone-inducible mt-Keima were induced with 10 mM ponasterone A, transfected with

WT or variant GFP-Parkin for 24 h and treated with DMSO or 20 μM CCCP for 4 h. For

flow cytometry, cells were trypsin digested, washed and resuspended in PBS prior to their

analysis on an LSR Fortessa (BD Bioscience) equipped with 405 and 561 nm lasers and

610/20 filters (Department of Microbiology and Immunology Flow Cytometry Facility, McGill

University). Measurement of mtKeima was made using a dual-excitation ratiometric pH

calculation where pH 7 was detected through the excitation at 405nm and pH 4 at 561 nm.

For each untreated sample, 75,000 events were collected and single GFP-Parkin-positive

cells were subsequently gated for quantification of the geometric mean of the GFP signal

as a measure of steady-state Parkin protein levels. The value for each Parkin missense

variant was normalized to that for WT in each repeat. For each untreated and treated

sample, single GFP-Parkin-positive, mtKeima-405nm-positive cells were subsequently

gated. The percentage of cells with an increase in the 405nm:561nm ratio in mtKeima was

quantified. The percentage in treated cells minus the percentage in untreated cells was

calculated as the induced Parkin-mediated mitophagy. The induced mitophagy for each

Parkin missense variant was normalized to that for WT in each repeat. Data was analyzed

using FlowJo v10.1 (Tree Star).

Modeling of Parkin Structures, Modifications and Variants

The structures of human Parkin bound to phospho-ubiquitin (PDB 5N2W), rat parkin (PDB 4ZYN), human phospho-parkin bound to phospho-Ub (PDB 6GLC) and fly pParkin-pUb-UbcH7 complex (PDB 6DJX) were analyzed using PyMOL version 1.5 (Schrödinger, New York). Mutations and clashes were simulated using the mutagenesis wizard toolbox. The presence of more than three simulated significant clashes (red disks) was taken to indicate major clashes. One to three significant clashes (red disks) together with other slight clashes (brown and green disks) were considered as minor clashes. Polar contacts within 4 Å distance of the residue were explored for characterizing interactions.

Statistical analysis

For statistical analysis of mitophagy and protein levels, one-way analysis of variance (ANOVA) with Bonferroni post-hoc tests were performed. To determine the ability of hyperactive mutants to rescue *Parkin* variants, two-way analysis of variance (ANOVA) and Bonferroni post-hoc test comparing row factors among the single or double mutations were performed. $*P<0.05$; $**P<0.01$; $***P<0.001$.

Author contributions

W.Y. performed cloning, genetic analysis, and experiments in cells. E.J.M., M.Y.T. and A.I.K. assisted with cloning and experiments in cells. Z.G-O. assisted with genetic analysis. J.-F.T. assisted with all the structural simulations. W.Y., E.J.M., M.Y.T., Z.G-O, J.F.T and E.A.F. participated in the design of experiments, data analysis and preparation of the manuscript.

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Figure 1. Clinical evidence is insufficient to determine pathogenicity of most *Parkin* missense variants. 75 *Parkin* missense variants were reported in disease specific databases (PDmutDB, MDSgene), 215 were reported in population databases (ExAC, dbSNP) and 51 were reported in both. Pathogenic and benign points were attributed for clinical evidence to each variant (Supplementary figure 1), which was then assigned with 1 of 5 standard terminologies using Sherloc (Supplementary figure 2): *Pathogenic* (red), *likely pathogenic* (pink), *likely benign* (green), *benign* (olive) and *uncertain significance* (grey).

Figure 2. Most *Parkin* variants that could be clearly classified clinically displayed congruent functional profiles in cells. (A) Horizontal colored lines and numbers illustrate the classification of *Parkin* variants - *pathogenic* (red), *likely pathogenic* (pink), *likely benign* (green) and *benign* (olive) - and the number of variants in each category. For each variant, the number of cases (families or unrelated individuals) with PD carrying one or more than two *Parkin* variants were stacked and plotted in grey on the left Y axis. The number of homozygous individuals in ExAC was plotted in blue on the left Y axis. The minor allele frequency (MAF) in ExAC and maximum MAF in the subpopulations in ExAC and other population databases were plotted as blue and grey circles, respectively, on the right Y axis. Demarcations of MAF ranges are indicated by dotted lines. **(B)** For each variant, benign and pathogenic points from each line of clinical evidence were separately stacked. The dotted lines indicate thresholds for classification as *likely benign (Lb)*, *likely pathogenic (Lp)*, *benign (B)* or *pathogenic (P)*, based on Sherloc (Supplementary figure 2). **(C)** Quantification of the function of Parkin missense variants normalized to wildtype Parkin. Solid bars show mitophagy with 4h of CCCP treatment quantified from mtKeima signal in cells expressing GFP-Parkin variants. Hatched bars show GFP intensity of cells expressing GFP-Parkin variants. The colors of the bars represent the classification of the variants. * P<0.05, ** P<0.01, in one-way ANOVA with Dunnett's post-hoc test comparing the function of each variant with WT. N=3-7.

Figure 3. Most Parkin variants of *uncertain significance* based on clinical evidence displayed WT functional profiles in cells. (A) Data represented as in figure 2A. The grey lines and numbers represent *Parkin* variants classified as of *uncertain significance*. **(B)** For each variant, benign and pathogenic points from each line of clinical evidence were separately stacked. The dotted lines indicate thresholds as described in figure 2B. **(C)** Quantification of the function of Parkin missense variants in cells normalized to WT Parkin. Data represented as in figure 2C.

Figure 4. Parkin variants can be classified functionally in cells. (A) Functional alteration of variants assigned as *benign* (olive), *likely benign* (green), *pathogenic* (red), *likely pathogenic* (pink) and of *uncertain significance* (grey) based on clinical evidence were plotted for mitophagy activity on the X axis and GFP intensity on the Y axis. Functional alteration segregated into five groups, indicated by black boxes. 1. Significantly decreased mitophagy activity and GFP intensity compared with WT. 2. Severely decreased mitophagy activity with WT GFP intensity. 3. Moderately decreased mitophagy activity with WT GFP intensity. 4. WT mitophagy activity and GFP intensity. 5. Significantly increased mitophagy activity with WT GFP intensity. Distribution of the variants **(B)** and of the number of families or individuals carrying the variants **(C)** within each of the functional groups from (A). **(D)** Distribution of variants into the functional groups described in (A) according to their segregation (mustard) or lack of segregation (light-yellow) with PD in families. Quantification of the variants **(E)** and of the number of families in which the variants segregated with disease **(F)** per functional group. **(G)** The functional alteration of variants with more than 1 homozygote (blue), 1 homozygote (light-blue), or no homozygotes (white) in ExAC were plotted as in (A). Quantification of the variants **(H)** and of the number of homozygous individuals carrying the variants **(I)** per functional group.

Figure 5. Integration of clinical and functional evidence refines the classification of *Parkin* variants. For each variant from figure 2 **(A)** and from figure 3 **(B)**, benign and pathogenic points from functional and clinical evidence were stacked and plotted separately. The dotted lines indicate thresholds for classification as *likely benign* (3B), *likely pathogenic* (4P), *benign* (5B) or *pathogenic* (5P). Horizontal colored lines and numbers represent the classification of the variants, *pathogenic* (red), *likely pathogenic* (pink), *likely benign* (green), *benign* (olive), and *uncertain significance* (grey), based on clinical or on clinical + functional evidence, and the number of variants in each category. Combining clinical and functional reduces the number of variants of *uncertain significance*. **(C)** Missense variants that impair Parkin function (functional groups 1 and 2 from figure 4) were all reclassified as *pathogenic*. In contrast, most variants that didn't severely impair Parkin function (group 3-5 from figure 4) were reclassified as *benign* or *likely benign*.

Figure 6. Structural analysis of *Parkin* variants reveals various pathogenic mechanisms. **(A)** Schematic representation of Parkin missense variants on Parkin protein 2D structure. Each circle indicates a missense variant. The location of the variant on the 2D sequence was plotted in the X axis with dotted lines separating the Parkin domains. The functional groups described in figure 4A, were plotted on the Y axis. The colors indicate the type of clash introduced by the missense variant. **(B)** Distribution of the variants from (A) within functional groups according to the type of clash they introduce. **(C)** Variants introducing functional alterations from group 1 and 2 were mapped onto the 3D structure of human Parkin bound to phospho-ubiquitin (PDB 5N2W). The side-chains of the amino acids substituted by the variants were highlighted in black. The blue spheres represent the phosphate of pUb. The grey spheres represent Zinc. The color of the text indicates the type of disruption to Parkin caused by the variant.

Figure 7. Structure-guided designer hyperactive Parkin mutants can rescue mitophagy in pathogenic variants. (A) Quantification of induced mitophagy after 4h of CCCP treatment in cells expressing WT GFP-Parkin, *pathogenic* missense variants, or W403A or F146A *in cis* with WT or *pathogenic* variants. Mitophagy mediated by each Parkin missense variant was normalized to that of WT Parkin in each repeat. * P<0.05, ** P<0.01, in two-way ANOVA with Dunnett's post-hoc test comparing the function of each variant with the variant *in cis* with W403A or F146A. N=3-7. **(B)** The number of families or individuals with PD carrying the *pathogenic* missense variants for which mitophagy was or was not rescued by the designer mutations are shown. **(C)** The MAF in ExAC of the *pathogenic* missense variants for which mitophagy was or was not rescued by the designer mutations are shown.

Figure 8. The naturally-occurring *Parkin* V224A hyperactive variant can rescue mitophagy in several *pathogenic* variants. (A) Quantification of GFP intensity from GFP signal by FACS in untreated cells expressing WT GFP-Parkin, *pathogenic* missense variants, or V224A *in cis* with WT or *pathogenic* variants. The GFP intensity for each Parkin missense variant was normalized to that for WT Parkin in each repeat. **(B)** Quantification of induced mitophagy with 4h of CCCP treatment in cells expressing WT GFP-Parkin, *pathogenic* missense variants, or V224A *in cis* with WT or *pathogenic* variants. Mitophagy mediated by each Parkin missense variant was normalized to that of WT Parkin in each repeat. * P<0.05; ** P<0.01, in two-way ANOVA with Dunnett's post-hoc test comparing the function of each variant with variant *in cis* with V224A. N=3-7 repeats.