1	Title: Distinct neural mechanisms and temporal constraints govern a cascade of audiotactile
2	interactions
3	Abbreviated title: Audiotactile asynchronies elicit distinct effects
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### 24 Abstract

Synchrony is a crucial cue indicating whether sensory signals are caused by single or 25 independent sources. In order to be integrated and produce multisensory behavioural benefits, 26 27 signals must co-occur within a temporal integration window (TIW). Yet, the underlying neural determinants and mechanisms of integration across asynchronies remain unclear. This 28 psychophysics and electroencephalography study investigated the temporal constraints of 29 30 behavioural response facilitation and neural interactions for evoked response potentials (ERP), inter-trial coherence (ITC), and time-frequency (TF) power. Participants were presented with 31 noise bursts, 'taps to the face', and their audiotactile (AT) combinations at seven asynchronies: 32 0,  $\pm 20$ ,  $\pm 70$ , and  $\pm 500$  ms. Behaviourally we observed an inverted U-shape function for AT 33 response facilitation, which was maximal for synchronous AT stimulation and declined within a 34  $\leq$ 70 ms TIW. For ERPs, we observed AT interactions at 110 ms for near-synchronous stimuli 35 within a  $\leq 20$  ms TIW and at 400 ms within a  $\leq 70$  ms TIW consistent with behavioural response 36 37 facilitation. By contrast, AT interactions for theta ITC and ERPs at 200 ms post-stimulus were selective for  $\pm 70$  ms asynchrony, potentially mediated via phase resetting. Finally, interactions 38 for induced theta power and alpha/beta power rebound emerged at 800-1100 ms across several 39 asynchronies including even 500 ms auditory leading asynchrony. In sum, we observed neural 40 interactions that were confined to or extending beyond the behavioural TIW or specific for  $\pm 70$ 41 ms asynchrony. This diversity of temporal profiles and constraints demonstrates that 42 multisensory integration unfolds in a cascade of interactions that are governed by distinct neural 43 mechanisms. 44

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### 46 Significance Statement:

47 Integrating information across audition and touch is critical for effective interactions with our environment. We are faster to swat a mosquito when we perceive a prick on the skin together 48 with hearing the mosquito's buzzing. Importantly, we should integrate signals only when they 49 50 co-occur within a temporal integration window (TIW) and are hence likely to originate from a common source. This psychophysics/electroencephalography study unravels a multitude of 51 neural interactions governed by different temporal constraints: interactions were confined to a 52 53 TIW for ERPs, specific for one particular asynchrony for inter-trial coherence, and extending 54 beyond the behavioural TIW for induced low frequency power. This diversity of temporal 55 profiles demonstrates that distinct neural mechanisms mediate a cascade of multisensory integration processes. 56

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### 58 Introduction

Imagine sitting outside on a summer evening. Suddenly you hear a buzz and then feel a prick to your skin, as the mosquito lands. You are faster to swat it away because you first heard it coming. This faster detection of a multisensory event is known as the redundant target effect (RTE) (Miller, 1982, Diederich and Colonius, 2004, Sperdin et al., 2009) and illustrates the enormous benefits of multisensory integration.

Importantly, we should integrate signals only if they arise from a common source but segregate them otherwise. Synchrony is a critical cue for determining whether two signals come from a common source. Multisensory need to co-occur within a certain tolerance of asynchrony, termed a temporal integration window (TIW) (Diederich and Colonius, 2004). In particular, the RTE typically follows an inverted U-shape function (Blurton et al., 2015) that is maximal for (near)-synchronous signals and tapers off with increasing asynchrony thereby moulding the TIW.

Likewise, observers' perceived synchrony, the emergence of cross-modal biases, and perceptual
illusions follow a similar inverted U-shape function with its exact shape varying across different
behavioural measures and task-contexts (van Wassenhove et al., 2007, Megevand et al., 2013,
Berger and Ehrsson, 2014, Donohue et al., 2015).

At the neural level, multisensory influences have been identified in terms of response 74 75 enhancements and suppressions, super-additive and sub-additive interactions (Meredith and Stein, 1983, Stanford et al., 2005, Werner and Noppeney, 2010b), shortened neural response 76 77 latencies (Rowland and Stein, 2007) and altered neural representations (Fetsch et al., 2011, Rohe 78 and Noppeney, 2015, 2016). Evidence from neuroimaging, neurophysiology, and neuroanatomy has shown that multisensory influences emerge at early and late stages of neural processing 79 (Foxe et al., 2000, Lutkenhoner et al., 2002, Murray et al., 2005, Senkowski et al., 2008, Sperdin 80 et al., 2009, Stekelenburg and Vroomen, 2009, Mercier et al., 2013, Mercier et al., 2015) nearly 81 82 ubiquitously in neocortex (Schroeder and Foxe, 2002, Ghazanfar and Schroeder, 2006, Lakatos et al., 2007, Werner and Noppeney, 2010a, Ibrahim et al., 2016, Atilgan et al., 2018). They arise 83 already at the primary cortical level and increase progressively across the sensory processing 84 hierarchy (Foxe and Schroeder, 2005, Bizley et al., 2007, Kayser et al., 2007, Dahl et al., 2009). 85 This multi-stage and multi-site account of multisensory interplay raises the question of whether 86 87 the myriad of multisensory influences is governed by similar neural mechanisms and temporal constraints. Further, how do those neural effects relate to the TIW defined by behavioural 88 89 indices? Given previous unisensory research showing an increase in the TIW along the sensory 90 processing hierarchy (Hasson et al., 2008, Kiebel et al., 2008), one may for instance hypothesise that early multisensory interactions are confined to narrower temporal integration windows than 91 92 those occurring at later stages in higher order association cortices (Werner and Noppeney, 2011).

93 Moreover, recent neurophysiological studies suggest that multisensory interactions depend on 94 the phase of ongoing neural oscillations and/or rely on mechanisms of phase resetting. For 95 instance, Lakatos et al. (2007) showed that a tactile signal can reset the phase of ongoing 96 oscillations in auditory cortices, but only for specific asynchronies.

The current study aims to define the temporal constraints of multisensory interactions that can 97 be observed for evoked response potentials (ERP), inter-trial coherence (ITC), and induced 98 power responses and relate those to the TIW derived from behavioural response facilitation. 99 Participants were presented with brief airpuff noise bursts, 'taps to the face' and their 100 101 audiotactile (AT) combinations at seven levels of asynchrony:  $0, \pm 20, \pm 70$ , and  $\pm 500$  ms. In the 102 psychophysics study observers were instructed to respond to all A, T, and AT events in a redundant target paradigm; in the EEG study a passive stimulation design was used to avoid 103 104 response confounds. We then identified multisensory influences in terms of multisensory 105 interactions (i.e. AT + No stimulation  $\neq A + T$ ) separately for each AT asynchrony level for 106 ERPs, ITC, and induced power responses and characterised their topography across post-107 stimulus time.

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#### 109 Materials and Methods

*Participants.* Twenty-five healthy, adult participants with no neurological disorder were recruited from the local university population (students as well as members of the general public) (N=25, 12 female and 13 male; aged between 18-35 years old). One participant was excluded due to an abnormal finding in the structural MRI. Two participants were excluded from the behavioural analysis, because data were not collected for all conditions. Two different participants were excluded from the EEG analysis, because insufficient EEG data were collected. As a result we included 22 participants in both the behavioural and EEG analysis. They gave written informed consent and were compensated either with cash or course credit. Ethical approval for the study was given by the University of Birmingham Science, Technology, Engineering, and Mathematics Review Committee with approval number ERN\_11-0429AP22B.

Stimulation. Tactile stimulation consisted of a touch to the left side of the face with 200 ms 120 duration. Tactile stimulation to the face was used as an ecologically valid stimulus that requires 121 a rapid response in everyday life. We also chose stimulation to the face (in contrast to hands), as 122 this body location does not require additional processing of being potentially crossed relative to 123 124 body position, thus potentially amenable to a quicker and more automatic route. The auditory association areas that receive feed-forward (layer 4) input from somatosensory stimulation 125 appear to be optimally stimulated by cutaneous stimulation of the head and neck (Fu et al., 126 127 2003). The left side was chosen based on previous findings that MSI is enhanced with left-side stimulation and right hemisphere involvement (Giard and Peronnet, 1999, Downar et al., 2000, 128 129 Molholm et al., 2002, Hoefer et al., 2013). The part of the face touched was on/near the border 130 between the maxillary (V2) and mandibular (V3) divisions of the trigeminal cranial nerve. A fibre optic cable (part of a fibre optic system: Keyence series FS-N, Neu-Isenburg, Germany) 131 was attached to a Lego pneumatic cylinder and driven to move by pressurised air. The tip of this 132 cable (3 mm diameter) was positioned near the face using a flexible plastic snap-together 'goose-133 neck' pipe that was attached to an adjustable stand. The air pressure changes were controlled by 134 135 a microcontroller connected via USB to the stimulus computer; communication to the 136 microcontroller was sent via serial port commands in MATLAB (Mathworks, Inc.). The duration of the open valve (i.e. when the diode was extended forward to touch the skin) was set 137 138 to 200 ms. The fibre optic cable contained a dual fibre: one fibre projected light and the other

was a photodiode that detected the light reflectance; from this, the reflectance dynamics confirmed the exact timing of the touch to the skin. This tactile apparatus was very similar to that used by Leonardelli et al. (2015). After the experiment, subjects were queried as to whether they could hear the tactile device moving prior to it touching them and none reported that they could.

The auditory stimulus (target) was an airpuff noise of 200 ms duration. The volume of the 144 target was well above threshold for detection but not painfully loud; the volume was stronger on 145 the left channel than on the right (interaural intensity difference) to create the perception of 146 coming from the left. A constant background noise of a recording of a magnetic resonance 147 imaging (MRI) echo-planar imaging sequence (obtained from 148 http://cubricmri.blogspot.co.uk/2012/08/scanner-sounds.html) was played to help mask external 149 150 noises including those made by the tactile stimulator and for comparison with potential future 151 functional MRI studies. The volume of the background noise, equally loud in both ears, was 152 played at a level comfortable to participants and such that the tactile noises could not be heard. 153 All sounds were presented via E-A-R Tone earphone (10 Ohm; E-A-R Auditory Systems) with 154 plastic tube connection (length = 75 cm) to foam ear insert (E-A-RLink size 3A), which also acted as an earplug against external sounds. 155

*Experimental design.* Participants took part in one psychophysics and one EEG session on separate days (typically 4-6 days gap). The experimental design and stimuli were identical across the two sessions. In the psychophysics session participants responded to the first stimulus in a trial irrespective of sensory modality, as fast as possible via a single key board button (i.e. redundant target paradigm). In the EEG session, participants passively perceived the stimuli without an explicit response in order to avoid motor confounds and allow for comparison withsleep, non-responsive patients, etc.

In each session, participants were presented with the following trial types: no stimulus (or 163 164 null) condition (N), tactile alone (T), auditory alone (A), and seven audiotactile (AT) conditions varying in asynchrony (-500 ms, -70 ms, -20 ms, 0 ms, 20 ms, 70 ms, 500 ms) where a 'negative' 165 asynchrony refers to A-leading-T (Fig. 1a). The audiotactile conditions are referred to by the 166 following abbreviations: AT500, AT70, AT20, AT0, TA20, TA70, TA500, respectively. These 167 asynchronies were chosen to fall either within the behaviourally-defined temporal integration 168 169 window (TIW) ( $\leq$ 70 ms) based on previous studies (e.g. (Navarra et al., 2007, Harrar and Harris, 2008, Nishi et al., 2014)) or outside the TIW (± 500 ms). Ten different trial types were 170 presented, interleaved randomly with an inter-trial interval uniformly distributed between 2.0 -171 172 3.5 s, including both unisensory and audiotactile conditions with varying asynchronies between the sensory stimuli. Each trial type was presented 100 times in each session. Trials were 173 174 presented in blocks of 250 trials (roughly 11.75 minutes) over four blocks separated by short 175 breaks. In the EEG session (performed about 1 hour before bedtime) we occasionally shortened the blocks, but still presented 1000 trials in total. In the psychophysics session the AT500 and 176 177 TA500 conditions were not collected for two participants; thus for behavioural results, only the 178 data from the remaining twenty-two participants are included (after exclusion also of one participant for the afore-mentioned structural MRI abnormality). 179

Participants kept their eyes closed to obliterate any visual input throughout the experiment. They were seated comfortably with their head stabilised in an adjustable chin rest and were requested to hold their head as still as possible (to promote spatial and temporal consistency of the tactile stimulation over trials).

184 *EEG recording.* EEG data were recorded with a 64 channel BrainProducts MR-compatible 185 cap at 1000 Hz sampling rate, with 63 of the electrodes on the scalp. For all but the first three participants, two additional bipolar electrodes were placed on the face to record horizontal EOG 186 and vertical EOG. For 17 participants, the 64<sup>th</sup> cap electrode was placed on the participants' back 187 for recording ECG. For the other 8 participants, the 64<sup>th</sup> electrode was instead placed on the 188 right (unstimulated) cheek for assistance as EOG/EMG. Signals were digitised at 5000 Hz with 189 190 an anti-aliasing filter of 1000 Hz, then down-sampled to 1000 Hz with a high-pass filter of 0.1 Hz and low-pass filter of 250 Hz. Electrode impedances were kept below 25 kOhm. Triggers 191 192 from the stimulus-control computer were sent via LabJack to the EEG acquisition computer.

193 *Tactile stimulation output*: The time course of light reflectance was assessed for each tactile trial to ensure that i. the tactile device actually touched the skin and ii. to determine the touch 194 195 onset time (1000 Hz sampling rate). After computing the actual onset of the touch from the light 196 reflectance data, subsequently the exact multisensory onset asynchrony was computed for all 197 multisensory trials. Those that deviated by more than  $\pm 5$  ms from the desired asynchrony were 198 discarded. This resulted in 16.8% ( $\pm$  1.1%) and 16.4% ( $\pm$  1.2%) of trials rejected for the 199 behavioural and EEG data, respectively (N=24, after excluding the participant with structural MRI abnormality). 200

*Behavioural analysis.* After exclusion of trials where touch was not applied or outside the desired asynchrony, sensory trials were additionally discarded with no response or with response times (RT) faster than 100 ms or slower than 1 s (occurring in total for an average of  $2.7\pm1.1\%$ of trials across conditions). The median RT within a condition for each participant was computed. For each participant the *redundant target effect* (Hershenson, 1962) was computed for each participant by subtracting the median RT of the AT condition at a particular level of asynchrony from the fastest A or T condition with the onset of each unisensory condition adjusted for the particular asynchrony (e.g.  $RT_{AT20} - min(RT_T + 20 ms, RT_A)$ ). Using a one-sample two-sided ttest we assessed whether the redundant target effect differed significantly from zero across participants.

212 *EEG analysis: sleep staging.* To ensure that only EEG data was used in which participants 213 were awake, given the passive stimulation design with eyes closed and the evening acquisition, 214 standard sleep scoring was performed using American Academy of Sleep medicine (AASM) criteria open-source 215 2007 in the FASST software (http://www.montefiore.ulg.ac.be/~phillips/FASST.html) (Leclercq et al., 2011) and custom code 216 217 in MATLAB. Data were segmented into 30 s chunks and referenced to linked-mastoids. Sleep 218 stages were assessed by two of the authors (J.M.Z. and T.P.W.) independently with a 219 correspondence of 88%. Differences were discussed and a consensus reached (with 220 correspondence of the consensus to each assessor's scores at 93% and 94%). Any 30 s chunk that was not scored as 'awake' was excluded from further analysis. If an individual participant 221 had fewer than 55 trials per condition remaining in the awake stage (prior to artefact rejection), 222 223 the participant was fully excluded. Two participants were excluded for this reason.

*EEG analysis: preprocessing:* All subsequent EEG data processing (after sleep staging) was 224 225 performed using the open-source toolbox FieldTrip (Oostenveld et al., 2011) (www.fieldtriptoolbox.org) and custom code in MATLAB. 226 Eye movement artefacts were 227 automatically detected using three re-referenced bipolar pairs ('F7-F8', 'Fp2-FT9', and 'Fp1-228 FT10') and the VEOG if available. These channels' data were band-pass filtered (1-16 Hz;

229 Butterworth, order 3) and transformed to z-values. The exclusion threshold was set at a z-value 230 of 6 and trials containing these artefacts were excluded. EEG data were re-referenced to the average reference, high-pass filtered (0.2 Hz), band-stop filtered around the line noise and its 231 232 harmonics (49-51 Hz, 99-101 Hz, and 149-151 Hz), and epoched for each trial. Trials were locked to the onset of the tactile stimulus for tactile and all multisensory conditions and to the 233 auditory or null trigger for A and N conditions, respectively. Initially, the epoch length was from 234 235 -1.5 s to 2.3 s. Then A trials were shifted  $\pm 0.5$ , 0.07, 0.02, or 0 s before being added to a T trial, 236 to create the appropriate A+T combination to contrast with AT trials, hence resulting in variable lengths of pre-stimulus and post-stimulus window lengths, depending on the AT asynchrony. 237

EEG analysis: multisensory contrast. Multisensory integration in the EEG data was identified 238 in terms of AT interaction, i.e. the sum of unisensory (A+T) contrasted to the audiotactile plus 239 240 null (AT+N). It is critical to add the null condition (to the multisensory) to account for non-241 specific effects in a trial such as expectancy of stimulation as well as random noise. The sum of 242 unisensory (A+T) trials was computed for each AT asynchrony level such that the onsets of the 243 auditory and tactile stimuli were exactly aligned to the trials of the AT condition (i.e. we also accounted for the jitter of tactile onsets, see above). Trials from each condition were randomly 244 sub-selected to ensure an equal number of trials per each of the four conditions in a given 245 246 contrast (A, T, AT, and N). To correct for multiple comparison (over channels, time, and, where applicable, frequency) we performed cluster-based permutation tests for dependent (i.e. paired) 247 248 samples, with the sum of the t values (i.e. max sum) across a cluster as cluster-level statistic and 249 a cluster detected at an auxiliary uncorrected alpha threshold of 0.05.

*EEG analysis: multisensory effects on ERP, inter-trial coherence, and time-frequency power.*For the evoked response potential (ERP) analysis, EEG data were low-pass filtered (40 Hz). The

average over trials within a participant was computed for the combination of conditions A+T and
AT+N separately. We assessed the AT interaction separately for each asynchrony level within a
500 ms time window, beginning at the onset of the second stimulus.

255 For time-frequency analysis, EEG data were Fourier transformed with separate parameters for lower (4-30 Hz) and higher (30-80 Hz) frequencies. Sliding time windows of length equal to 256 four cycles (low frequencies) or 200 ms (high frequencies) at a given frequency in steps of 2 Hz 257 258 (low frequencies) or 5 Hz (high frequencies), after application of a Hanning taper (low 259 frequencies) or multitaper with +/- 7 Hz smoothing (high frequencies). The complex values were kept for separate analysis of the inter-trial coherence (ITC) (also referred to as phase-260 locking factor or phase-consistency index) and the time-frequency (TF) power magnitude. Note 261 that the sum of trials of different condition types (i.e. A+T and AT+N) was computed prior to 262 263 Fourier transformation so that any cancellation due to phase differences would occur prior to obtaining the Fourier complex value (see Senkowski et al. (2007)). The ITC was computed for 264 265 each condition and subject as the absolute value of the sum of the complex values over trials. We 266 assessed the AT interactions for ITC and TF power separately for 'low frequency' and 'high frequency' and for each asynchrony level, within a 1200 ms time window beginning at the onset 267 of the second stimulus and extending to include the low frequency (e.g. alpha and beta) 268 269 desynchronization / rebound effects.

270

### 271 **Results**

For the psychophysics study we report the redundant target effect as a behavioural index of audiotactile integration for each asynchrony level. For the EEG data we report the multisensory interactions (AT+N  $\neq$  A+T) for ERPs, inter-trial coherence (ITC), and time-frequency (TF) power. Both behavioural and neural indices of multisensory integration were identified separately for each of the seven levels of AT asynchrony:  $0, \pm 20, \pm 70, \text{ and } \pm 500 \text{ ms}$  (Figure 1a). This allows us to investigate if the integration indices were i. limited to temporal integration windows, ii. selective for specific asynchronies, or iii. symmetric for A-leading vs. lagging asynchronies.

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### 281 Behavioural results: reaction time facilitation tapered by TIW

As expected, we observed significantly faster (Figure 2 for p-values and t-values) response times 282 for the AT relative to the fastest unisensory condition (i.e. redundant target effect) for 283 asynchronies within a  $\leq$  70 ms window of integration (Figure 1b). Specifically, the RTEs (across 284 subjects mean  $\pm$  SEM) for the different asynchrony levels were: AT70 = 35 ms  $\pm$  6 ms, AT20= 285 286  $38ms \pm 5 ms$ , AT0 =  $35ms \pm 4 ms$ , TA20 =  $33ms \pm 4 ms$ , and TA70 =  $24ms \pm 4 ms$ . Surprisingly, we observed significantly slower response times for the AT500 relative to the 287 unisensory auditory condition, i.e. a negative redundant target effect (across subjects' mean  $\pm$ 288 289 SEM) =  $-16ms \pm 4 ms$ . In summary, our psychophysics study revealed that audiotactile 290 interactions within a 70 ms temporal integration window (TIW) facilitate stimulus processing and response selection leading to faster response times. 291

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### **Audiotactile interactions for ERPs: limited to a TIW**

Figure 1C shows the ERPs for the A, T, AT and N conditions. Both tactile-alone (pink) and auditory-alone (green) stimulation evoked a characteristic N100 followed by a P200, while the null condition is a flat baseline. The tactile and auditory stimulation together generate the AT evoked potentials across the different asynchrony levels (Figure 1C, black). While the influences of both the tactile and auditory evoked responses are clearly visible in the AT responses, we can also observe small deviations from the unisensory responses. In the following, we investigate whether the AT+N responses deviate significantly from the sum of the A and T responses (i.e. the AT interaction).

Figure 3 shows the ERPs for the sum over A+T (dark blue), sum over AT + N (light blue), and the difference (A+T) - (AT + N), i.e. the audiotactile interaction effects across different asynchrony levels. For ERPs we observed three AT interaction effects that differed in their expression across levels of AT asynchrony (for significance of the test results, please see Figure 2).

The first AT interaction effect arose early, at about 100 ms post-stimulus, with a central topography and was significant only for the synchronous condition (Figure 3, AT0 row). Specifically, a modulation, during and after the N100 (70-170 ms), was found in both central and posterior sensors, with the A+T greater than the AT+N during this time. We note that a trend for this spatiotemporal effect was also observed for the AT20 condition.

The second AT interaction effect, where A+T was more negative than the AT+N, arose later at about 370-400 ms mainly over posterior electrodes for AT asynchrony conditions within a  $\leq$ 20 ms temporal integration window (Figures 2, AT20, AT0, and TA20 rows). Even though this AT interaction effect was significant only for AT20 and TA20, we observed a qualitatively similar pattern for the synchronous AT0 condition.

The third AT interaction effect emerged at about 200 ms after the second stimulus (latency range: 140-220 ms), was most pronounced over frontocentral electrodes, and was selective for the asynchrony of  $\pm$ 70 ms (Figure 3, AT70 and TA70 rows). This AT interaction modulated the shape and magnitude of the P200: the P200 occurred earlier and was reduced in amplitude for the
AT+N relative to A+T.

In summary, we observed three distinct AT interaction effects for ERPs that were expressed at different AT asynchronies. Nevertheless, all AT interaction effects arose within the behavioural  $\leq 70$  ms TIW, while no significant AT interactions were found for the AT500 or TA500 conditions.

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### 327 Audiotactile interactions for ITC: selective for ±70 ms asynchronies

Figure 4 shows the ITC for the sum over A+T (light blue), sum over AT + N (dark blue), and the 328 difference (A+T) - (AT + N) (orange), i.e. the audiotactile interaction effects across different 329 asynchrony levels, as well as unisensory and null conditions separately. We observed significant 330 331 AT interactions for ITC in the theta band (4-8 Hz) specifically for  $\pm 70$  ms asynchrony levels (Figure 4, AT70 and TA70 rows; Figure 2 for significance test results). As shown in Figure 4, 332 the summed 'AT+N' ITC was greater than the summed 'A+T' for the auditory leading AT70, 333 334 but smaller for tactile leading TA70 condition. Thus, the direction of the audiotactile ITC interaction depends on whether the auditory or the tactile sense is leading. The AT interaction 335 arose at about 200 ms post-stimulus and was most prominent over frontocentral electrodes, 336 mimicking the AT interactions we observed for the P200 in the ERP analysis (Figure 3B, AT70 337 and TA70 rows). In summary, the AT interactions for the theta-band ITC were selective for  $\pm 70$ 338 ms asynchronies and most likely associated with the ERP effects at the same post-stimulus 339 latency and asynchrony conditions. 340

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### 342 Audiotactile interactions for time-frequency power across AT asynchronies

Figure 5 shows the TF power for the sum over A+T (light blue), sum over AT + N (dark blue), and the difference (A+T) - (AT + N) (orange), i.e. the audiotactile interaction effects across different asynchrony levels, as well as unisensory and null conditions separately. For significance test results, see Figure 2.

*Theta power*: Both auditory and tactile stimuli induced theta power peaking at about 200 ms post-stimulus primarily over fronto-central electrodes (Figure 5; Unisensory row). This peak in theta power corresponds to the P200 (Figure 3) in the ERP analysis and an increase in ITC (Figure 4). Note that our data illustrate the point that the 'A+T' sum (Figure 5: AT0 light blue), which was computed by first summing trials before frequency transformation according to Senkowski et al. (2007), is indeed different than if the power of the tactile (Figure 5: Unisensory pink) and auditory (Figure 5: Unisensory green) had first been computed and then summed.

We observed significant AT interactions in the theta band at about 200 ms post-stimulus over fronto-central electrodes across several asynchrony levels including AT70, AT20, and TA70. These fronto-central AT interactions arose as a result of the AT+N power peak being weaker and decaying earlier relative to the A+T sum. Critically, these fronto-central AT interactions for theta power were most pronounced for  $\pm$  70 ms asynchrony levels, expressed less strongly for  $\pm$ 20 ms and  $\pm$  500 ms asynchrony and completely absent for synchrony AT0 stimulation (see also Figure 6d).

In addition, we observed significant AT interactions for theta power in the AT500 condition. Specifically, in both early (60-600 ms) and late (610-1200 ms) time windows, AT interactions were found with topographies that were distinct from the fronto-central P200-like theta-band effects. 365 Alpha/Beta power: Because unisensory power changes and AT interactions were qualitatively 366 similar between the alpha and the low-beta bands, we combined these into one alpha/beta band 367 (8-20 Hz). Both tactile and auditory stimuli induced changes in the alpha/beta band primarily 368 over posterior channels (Figure 5, Unisensory row), which were more pronounced for tactile stimulation. Auditory and tactile stimulation initially suppressed alpha/beta power (event-related 369 desynchronization; ERD) around 250 ms post-stimulation followed by a rebound (event-related 370 371 synchronisation; ERS) above and beyond baseline, around 800-1000 ms post-stimulation. This 372 alpha/beta power rebound was altered for AT + N relative to A + T across several asynchrony levels including AT70, AT20, AT0, and TA70 conditions (Figure 2 for statistics and Figure 5). 373 Specifically, the rebound in alpha/beta power occurred earlier, was attenuated, and decayed 374 faster for AT+N than the A+T sum, where alpha/beta power rebound was found to be more 375 376 sustained (800-1100 ms post-stimulation).

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### 378 Summary of AT integration effects

To provide an overview over the diverse AT interactions that we observed for ERPs, ITC, and TF power, Figure 6 summarises the results, averaged over relevant spatial, temporal, and frequency selections: the sum of the auditory and tactile (A+T; light blue), the sum of audiotactile plus null (AT+N; dark blue) and the audiotactile interaction, i.e. the difference [AT+N]-[A+V] as a function of AT asynchrony: 0,  $\pm 20$ ,  $\pm 70$ ,  $\pm 500$  ms. This enables us to characterise the profile of the AT interaction effects across asynchrony levels, including subthreshold effects in one asynchrony that relate to a significant effect in another asynchrony.

The early (~125 ms latency) AT interactions for ERPs followed an inverted U-shape function that was constrained by a  $\leq$ 20 ms TIW. They were significant only for AT0 and tapered off with subthreshold effects at AT20 (Figure 6a).

The AT interactions for P200 in ERPs and theta band ITC at ~200 ms were significant selectively for  $\pm$  70 ms AT asynchronies (Figure 6b and 6c). Surprisingly, the interactions for the ERPs (i.e. P200) were symmetric and positive for both auditory and tactile leading asynchrony, while the interactions for the ITC were asymmetric, i.e. negative for A leading and positive for T leading asynchrony levels. This asymmetry and asynchrony specificity indicates that these ITC effects are sensitive to the relative timing of the auditory and tactile signals - pointing towards mechanisms of phase resetting.

The corresponding AT interactions for theta band TFP at ~200 ms post-stimulus were present (at least at a sub-threshold level) across all AT asynchronies except for the physically synchronous AT stimulation (Figure 6d). Specifically, we observed significant AT interactions (i.e. reduction for AT+N relative to A+T) for AT500, AT70, AT20, and TA70 and nonsignificant trends for TA20 and TA500.

The late AT interactions at ~400 ms latency for ERPs followed an inverted U-shape function mimicking the response facilitation at the behavioural level (Figure 6e). These interactions were significant for AT20 and TA20, with subthreshold effects for AT0, AT70, and TA70. Figure 3 shows that this late AT interaction emerges because the phase of the summed A+T response ('trough') is in opposition to the phase of the summed AT+N response ('peak').

Finally, the late AT interactions for the alpha/beta band power "rebound" were observed across several asynchronies (AT70, AT20, AT0, and TA70) (Figure 6f). They resulted from an

408	earlier occurrence and faster decay of the alpha/beta rebound for the AT+N compared to the sum
409	A + T and were most pronounced for A leading asynchronies (Figure 5).

To summarise, AT interactions were expressed across AT asynchrony levels with three distinct profiles: i. inverted U-shape profile: early N100 and late 400 ms ERP effects, ii. most pronounced for AT asynchronies of  $\pm$ 70 ms: ERP, ITC theta, and TFP theta effects at about 200 ms, and iii. most prominent for A leading asynchronies and present even outside the behavioural TIW: late alpha/beta TFP rebound effects.

415

### 416 **Discussion**

The current study presented A, T, and AT stimuli at several asynchrony levels to investigate the
temporal constraints that govern behavioural response facilitation and neural AT interactions for
ERPs, ITC, and induced TF power.

420 Consistent with previous research (Colonius and Diederich, 2004), we observed an inverted 421 U-shape function for the behavioural AT benefit – also coined the redundant target effect 422 (Miller, 1982)- that was maximal for synchronous AT combinations and tapered off with 423 increasing AT asynchrony within a TIW of  $\leq$ 70 ms (Zampini et al., 2005).

At the neural level we observed early AT interactions for evoked responses (ERP) at about 110 ms post-stimulus, which dovetails nicely with previous research showing multisensory modulations of the N1 auditory component by visual and tactile stimuli (Foxe et al., 2000, Lutkenhoner et al., 2002, Murray et al., 2005, Sperdin et al., 2009, Stekelenburg and Vroomen, 2009). Critically, our observed early AT interactions were sensitive to the relative timing of the AT stimuli: they were most pronounced for synchronous AT stimuli and tapered off within a small TIW of  $\leq$ 20 ms. This temporal precision may be enhanced for interactions of tactile with other sensory signals, because tactile latencies are fixed for a particular body location and do not vary depending on the distance of the stimulus from the observer as in audition and vision. The short latency and narrow temporal binding window points towards neural interactions in low level or even primary auditory cortices that may rely on direct connectivity between sensory areas (Fu et al., 2003, Cappe and Barone, 2005, de la Mothe et al., 2006a, Smiley et al., 2007) or thalamic mechanisms (de la Mothe et al., 2006b, Hackett et al., 2007, Cappe et al., 2009) and that increase the saliency of AT events leading to faster and more accurate detection.

Later, at about 400 ms post-stimulus, we observed audiotactile ERP interactions that were 438 439 again most pronounced for synchronous AT stimuli, but confined to a broader TIW of  $\leq$ 70 ms, which is consistent with a hierarchical organisation of AT interactions where early effects in low 440 level sensory areas are confined to a narrower temporal integration windows than later 441 interactions in association cortices (Hasson et al., 2008, Kiebel et al., 2008, Werner and 442 Noppeney, 2011). Moreover, the later interactions may in turn top-down modulate neural 443 processes in lower regions via feed-back loops (Falchier et al., 2002, Schroeder and Foxe, 2002, 444 445 Clavagnier et al., 2004). Both early and late ERP interactions followed an inverted U-shape 446 function thereby mimicking the temporal profile of the redundant target effect that characterised observers' behaviour. 447

While the ERP effects at ~125 ms and ~400 ms post-stimulus were constrained by classical temporal integration windows, the AT interactions for the P200 ERP component were most pronounced for  $\pm$  70 ms AT asynchrony and absent for near-synchronous AT stimulation (see Figure 3 and Figure 6b). Both the auditory and the tactile unisensory P200 are thought to be generated in regions previously implicated in audiotactile integration (Foxe et al., 2002, Kayser et al., 2005, Murray et al., 2005, Schurmann et al., 2006) such as the auditory belt area CM or

454 planum temporale (Godey et al., 2001, Crowley and Colrain, 2004, Smiley et al., 2007) and 455 secondary somatosensory areas (Forss et al., 1994, Disbrow et al., 2001), respectively. Our 456 results show that AT integration facilitates neural processing at about 200 ms post-stimulus: the 457 P200 peaks earlier, is smaller, and/or decays faster for the AT+N sum when compared to the sum 458 of the unisensory A and T conditions, consistent with multisensory literature, e.g. (Rowland et 459 al., 2007).

The P200 effects were also directly related to AT interactions for theta-band ITC that 460 emerged with a central topography again at ~200 ms post-stimulus selectively for  $\pm$  70 ms AT 461 asynchrony (compare Figures 6b and 6c). Critically, whilst the ERP interactions followed a 462 similar temporal profile and topography irrespective of whether the auditory or the tactile 463 stimulus is leading, the ITC effects were inverted for auditory relative to tactile leading 464 465 stimulation. This dissociation between ERP and ITC can be shown to occur in simulation (https://github.com/johanna-zumer/audtac/blob/master/simulate\_70results.m). The selectivity of 466 467 the P200 and the phase coherence effects for  $\pm$  70 ms AT asynchrony may be best accounted for 468 by mechanisms of phase resetting that have previously been implicated in audiotactile and audiovisual interactions in auditory cortices (Lakatos et al., 2007, Kayser et al., 2008, Thorne et 469 al., 2011). From a functional perspective, a preceding tactile stimulus may reset the phase in 470 471 auditory cortices and thereby facilitate the localization of an auditory stimulus that is presented 472 70 ms later. Likewise, a preceding auditory stimulus may provide an alert to facilitate tactile processing and possible avoidance actions. Not only have tones been shown to elicit responses 473 474 in somatosensory cortex (Borgest and Ermolaeva, 1975, Liang et al., 2013), but also an 475 *inhibitory* multisensory interaction by auditory stimulation was found in cat somatosensory area 476 SIV (Dehner et al., 2004) and auditory projections were found to inhibitory interneurons in cat

SIV (Keniston et al., 2010). In summary, our P200 and ITC results are supported by evidence of
bidirectional audiotactile integration, especially to association cortices, and of directional
asymmetries in the AT interaction (Cecere et al., 2017).

480 The AT interactions discussed so far were moulded by two distinct neural mechanisms: i. ERP effects at ~100 and ~400 ms that followed an inverted U-shape function mimicking the 481 temporal binding window at the behavioural level and ii. P200 and theta ITC effects that were 482 selective for a particular level of AT asynchrony and may be mediated by mechanisms of phase 483 resetting. In contrast, AT interactions for induced theta oscillatory power were less specific and 484 expressed not only for  $\pm$  70 ms asynchrony, but across several asynchrony levels in particular 485 when the auditory stimulus was leading. While the topography and timing of the theta TF power 486 interactions within the TIW matched that of the P200 and ITC interactions, a distinct 487 topographical effect was found *outside* the classical behavioural integration window, in the 488 AT500 condition. Further, this enhanced oscillatory theta power was sustained until 1150 ms, i.e. 489 490 beyond the time needed to make a response in the redundant target paradigm of the associated 491 psychophysics study. We suggest that the AT theta power effects may reflect non-specific mechanisms of multisensory priming or attention by which a preceding A signal may alert the 492 observer to imminent touch events, in light of the debate as to whether cross-modal stimuli with 493 asynchronies up to 500-600 ms may be actually *integrated* or whether the first stimulus (only) 494 primes and/or draws exogenous (spatial) cross-modal attention (Macaluso et al., 2001, 495 McDonald et al., 2001, Stein et al., 2010). Alternatively, the AT500 condition may be viewed as 496 497 a type of "No-go" trial in which a response to the second stimulus is to be withheld, which has previously been shown to be associated with frontal theta oscillations (Kirmizi-Alsan et al., 498 499 2006, Harper et al., 2014).

500 Likewise, we observed AT interactions for alpha/beta oscillatory power at ~1000 ms post-501 stimulus. As shown in Figure 5, both auditory and tactile stimuli suppressed alpha/beta oscillatory power (event-related desynchronization; ERD) at about 200-400 ms, related to a 502 503 release from inhibition, followed by a rebound in power beyond baseline levels from about 600 ms - 1200 ms post-stimulus (event-related synchronisation; ERS), related to resetting and 504 recovery (Pfurtscheller and Lopes da Silva, 1999, Neuper and Pfurtscheller, 2001). Our results 505 506 show that the initial suppression (ERD) of alpha/beta power is not significantly different from 507 the sum of the auditory and tactile induced suppressions; yet, the rebound in alpha/beta power for the AT+Null sum is weaker and decays faster than predicted by the A+T sum of the additive 508 model. Further, we observed significant AT interactions for the alpha/beta rebound for AT70, 509 AT20, AT0, and TA70, and as a non-significant trend for the AT500 asynchrony level. Because 510 511 the AT interactions of power rebound occurred after the explicit detection response is made by 512 participants in the redundant target paradigm, it may be a consequence of the implicit AT event 513 detection, or be associated with post-decisional processes such as metacognitive monitoring 514 (Deroy et al., 2016), or the binding of asynchronous signals into a single multisensory percept (Roa Romero et al., 2015). Future redundant target paradigms that combine target detection with 515 post-decisional tasks (e.g. confidence judgments) may enable us to further determine the 516 517 functional role of the alpha/beta rebound and the associated AT interactions. The distinct 518 response profile for theta versus alpha/beta power, varying with stimulus asynchrony, is in line with distinct mechanisms for different frequencies (Keil and Senkowski, 2018). 519

To conclude, this psychophysics-EEG study unravels a multitude of neural interactions, which arose with different temporal constraints: interactions were confined to a TIW for ERPs, specific for one particular asynchrony for inter-trial coherence, and extending beyond the behavioural

523	TIW for induced low frequency power. This diversity of temporal profiles demonstrates that
524	distinct neural mechanisms govern a cascade of multisensory integration processes.
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### 725 Legends

Figure 1. Experimental design, behavioural results, and evoked responses. *a*, Each row depicts 726 the onsets of the auditory stimulation (indicated by loudspeaker) and tactile stimulation 727 728 (indicated by face) for each of the 10 conditions including the null (N), auditory alone (A), tactile alone (T) and the seven AT conditions with asynchrony:  $0, \pm 20, \pm 70$ , and  $\pm 500$  ms. The wavy 729 line at the bottom indicates the continuous MRI background noise. b, Reaction times (across 730 731 subjects' mean  $\pm$  SEM). The black lines indicate the AT conditions as a function of AT 732 asynchrony with negative asynchronies indicating auditory-leading; the green and pink bars indicate the A and T conditions, respectively. c, Evoked response potentials for N, A, T, and AT 733 conditions for frontocentral ['Fz' 'Cz' 'F1' 'F2' 'FC1' 'FC2' 'C1' 'C2'] and posterior ['CP5' 'POz' 'Pz' 734 'P3' 'P4' 'C4' 'O1' 'O2' 'P7' 'PO7'] sets of sensors. The A evoked response is shifted by the 735 736 appropriate asynchrony to align with the auditory onset in the corresponding AT condition.

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Figure 2: Statistics for behavioural and neural results for each AT asynchrony (rows). 738 739 Behavioural redundant target effect (RTE): paired t-tests (sample size: N=22; degrees of freedom = 21) comparing the AT response time with the minimal unisensory response time. For 740 AT500 the AT response was slower than the minimal unisensory response (negative t-value). 741 742 The "e" indicates "x  $10^{\text{N}}$ ". Neural AT interactions [(A+T) – (AT + N)] for ERPs (blue), ITC 743 (violet), and TFP (red) listed in separate columns for different latency ranges: non-parametric permutation dependent/paired samples t-tests (sample size N=22) comparing A+T with AT+N. 744 745 The p-values are reported at the cluster level (max sum) corrected for multiple comparisons over 746 channels and time (and frequency for ITC and TFP) with an auxiliary uncorrected threshold of 747 p<0.05. P-values in italics indicate a non-significant trend.

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749 Figure 3. Evoked response potentials. Each row shows the audiotactile interaction for a particular level of AT asynchrony. (A) ERPs of the sum of the auditory and tactile (A+T; light 750 751 blue), the sum of audiotactile plus null (AT+N; dark blue), and the audiotactile interaction, i.e. the difference ([A+T]-[AT+N], orange). Green = auditory onset, pink = tactile onset. Shaded 752 grey areas indicate the timing of significant AT interactions at p < 0.05 corrected at the cluster 753 754 level for multiple comparisons across electrodes and time points within a 500 ms window 755 starting with the second stimulus and limited by the black dashed line. (B) Topographies of the sums: A+T, AT+N, and (A+T)-(AT+N) for time windows of significant AT interactions. The 756 time windows written in orange are relative to the onset of the second stimulus. A black star over 757 an electrode indicates that it is part of a significant cluster. 758

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760 Figure 4. Inter-trial coherence. Each row shows the audiotactile interaction in the ITC for a particular level of AT asynchrony, plus the unisensory conditions. (A) ITC of the sum of the 761 762 auditory and tactile (A+T; light blue), the sum of audiotactile plus null (AT+N; dark blue), and the audiotactile interaction, i.e. the difference ([A+T]-[AT+N], orange). The bottom row shows 763 the Null (grey), Tactile (pink), and Auditory (green) conditions. Green = auditory onset, pink = 764 765 tactile onset. Shaded grey areas indicate the timing of significant AT interactions at p < 0.05766 corrected at the cluster level for multiple comparisons across electrodes, frequency, and time points within a 1200 ms window starting with the second stimulus and limited by the black 767 dashed line. (B) Topographies of the sums: A+T, AT+N, and (A+T)-(AT+N) for time windows 768 769 of significant AT interactions. The time windows written in orange are relative to the onset of the 770 second stimulus. A black star over an electrode indicates that it is part of a significant cluster.

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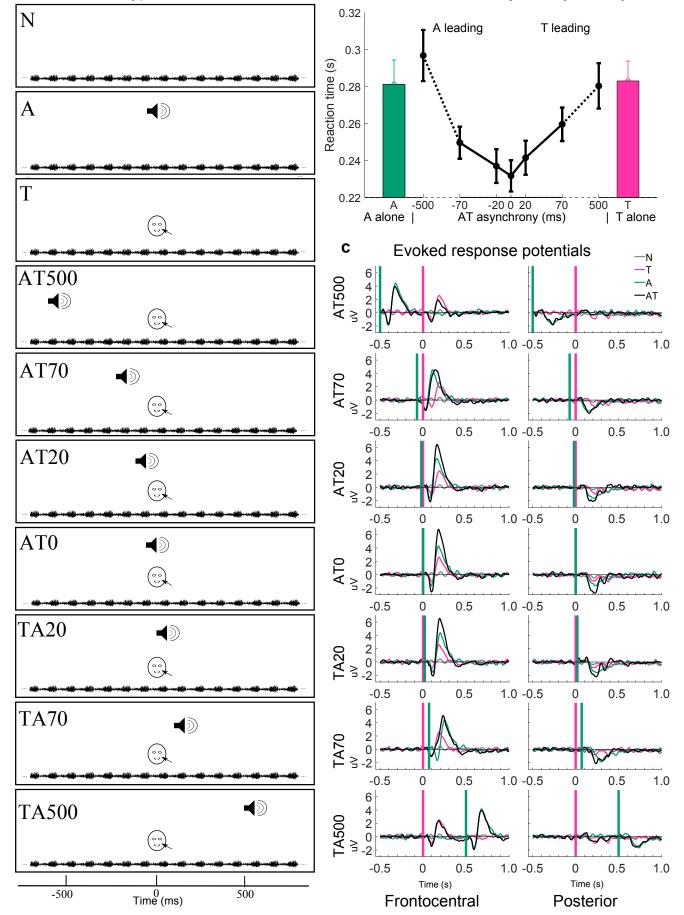
Figure 5. Time-frequency power. Each row shows the audiotactile interaction for a particular 772 level of AT asynchrony. (A) Theta and (B) Alpha/Beta power of the sum of the auditory and 773 774 tactile (A+T; light blue), the sum of audiotactile plus null (AT+N; dark blue), and the 775 audiotactile interaction, i.e. the difference ([A+T]-[AT+N], orange). The bottom row shows the null (grey), tactile (pink), and auditory (green) condition. Green = auditory onset, pink = tactile 776 777 onset. Shaded grey areas indicate the timing of significant AT interactions at p < 0.05 corrected 778 at the cluster level for multiple comparisons across electrodes, frequency, and time points within 779 a 1200 ms window starting with the second stimulus and limited by the black dashed line. (C) Topographies of the sums: A+T, AT+N, and (A+T)-(AT+N) for time windows of significant AT 780 interactions, arranged in the same way as in Figures 3 and 4. The time windows written in orange 781 782 are relative to the onset of the second stimulus. A black star over an electrode indicates that it is part of a significant cluster. 783

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785 Figure 6: Summary of six audiotactile interactions (rows a-f) for ERP, ITC, and TFP across the seven asynchrony levels. Left: Topographies of ERP, ITC, or TFP (as indicated), centred around 786 a post-stimulus time (as indicated  $\pm 20$  ms), for a particular AT asynchrony level (as indicated in 787 orange). Right: Line plots showing ERP, ITC, or TFP of the sum of the auditory and tactile 788 789 (A+T; light blue), the sum of audiotactile plus null (AT+N; dark blue), and the audiotactile interaction, i.e. the difference ([A+T]-[AT+N]; orange) as a function of AT asynchrony: 0,  $\pm 20$ , 790 791  $\pm 70$ , and  $\pm 500$  ms. The values are averaged across the representative electrodes highlighted in 792 the topographies (left) and within a 40 ms time window centred on the latencies specified 793 alongside the corresponding topographies. For interpretational purposes, the labels 'U' and '70'

- indicate via colour coding whether 'A+T' and 'AT+N' (blue) or the AT interaction (orange)
- follow a U-shape function (= U) or are selective for  $\pm 70$  ms asynchrony (= 70).

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MSI effects	Behaviour:	Neural Post-stimulus Late	ency:		
Asynchrony:	RTE	~125 ms	~200 ms	~400 ms	~800-1100 ms
bioRxiv pr AT5 <b>0e</b> rtified b	p=0.001 epri <u>nt</u> glqi: h v peer revie	ttps://doi.org/10.1101/446 w) is the author/funder, w	112; this version posted Oo ho has granted bioRxiv a li	ctober 18, 2018. The copyri cen <b>serte displayothe preprir</b>	ght holder for this preprint (which tán perpetuity. It is made availab
AT70	p=1.2e-5 t=5.7		ERPS:0-250-250 MGs ()=0.037) 0 ITC 0-260 ms (p=0.037) 0 TFP 90-640 ms (p=0.01)	ernational license.	β TFP 1030-1150 ms (p=0.042)
AT20	p=7.2e-8 t=8.1	ERP 40-100 ms (p=0.072)	θ TFP 0-470 ms (p=0.049)	ERP 370-420 ms (p=0.022)	α&βTFP 700-1000 ms (p=0.027)
ATO	p=1.1e-7 t=7.8	ERP 70-170 ms (p=0.017)		ERP 370-420 ms (p=0.28)	α & β TFP 840-1140 ms (p=0.017)
TA20	p=2.1e-8 t=8.7			ERP 340-400 ms (p=0.03)	
TA70	p=8.8e-5 t=4.8		ERP 140-220 ms (p=0.007) $\Theta$ ITC 0-490 ms (p=0.0005) $\Theta$ TFP 0-480 ms (p=0.005)		α/β TFP 870-1200 ms (p=0.023)
TA500					

