1	Fibrocyte accumulation in bronchi: a cellular hallmark of COPD
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### **37** Abstract

### 38 Background

39 The remodeling mechanism and cellular players causing persistent airflow limitation in chronic 40 obstructive pulmonary disease (COPD) remain largely elusive. We have recently demonstrated that 41 circulating fibrocytes, a rare population of fibroblast-like cells produced by the bone marrow 42 stroma, are increased in COPD patients during an exacerbation. It remains, however, unclear, 43 whether fibrocytes are present in bronchial tissue of COPD patients.

## 44 Objective

We aimed to quantify fibrocytes density in bronchial specimens from both control subjects and
COPD patients, and to define associations with clinical, functional and computed tomography
relevant parameters.

#### 48 Methods

49 17 COPD patients and 25 control subjects with normal lung function testing and no chronic 50 symptoms, all of them requiring thoracic surgery, were recruited. LFT and CT-scan were 51 performed before surgery. Using co-immunostaining and image analysis, we identify CD45<sup>+</sup> FSP1<sup>+</sup> 52 cells as tissue fibrocytes and quantify their density in distal and proximal bronchial specimens from 53 the whole series.

## 54 **Results**

Here, we demonstrate that fibrocytes are increased in both distal and proximal tissue specimens of COPD patients, compared to those of controls. The density of fibrocytes is negatively correlated with lung function parameters, such as FEV1 and FEV1/FVC, and positively with bronchial wall thickness assessed by CT scan. High density of distal bronchial fibrocytes predicts presence of COPD with a sensitivity of 83% and a specificity of 70%.

60 Conclusions

- 61 Our results thus suggest that recruitment of fibrocytes in the bronchi may participate to lung
- 62 function decline during COPD progression.

63

64 Number of words in the abstract: 239

# 65 Clinical Implications

66	High density of tissue fibrocytes is associated with a deteriorated lung function and an increase in

- 67 airway wall thickness. A low density tissue fibrocytes virtually eliminates the presence of COPD.
- 68

69	Capsule summary
70	

- 71 Blood fibrocytes assessed during exacerbation is a predictor of mortality in COPD. This study
- 72 shows an increase of bronchial fibrocytes, that is associated with lower lung function, increased
- 73 bronchial thickness and air trapping in COPD.
- 74
- 75 Key words: COPD, lung function, away remodeling, CT scan, fibrocytes

# 76 Abbreviations

APC	Allophycocyanin				
BEC	Bronchial Epithelial Cells				
CSA	Cross Section Area				
CSN	Cross Section Number				
GOLD	Global Initiative for Chronic Obstructive Lung Disease				
COPD	Chronic Obstructive Pulmonary Disease				
СТ	Computed tomography				
FEV <sub>1</sub>	Forced Expiratory Volume in 1 second				
FITC	Fluorescein isothiocyanate				
FVC	Forced Vital Capacity				
FSP1	Fibroblast-Specific Protein 1				
LA	Lumen Area				
LAA	Low Attenuation Area				
MLA	Mean Lung Attenuation				
PaCO <sub>2</sub>	Arterial Partial Pressure of Carbon Dioxide				
PaO <sub>2</sub>	Arterial Partial Pressure of Oxygen				
PE	Phycoerythrin				
РВМС	Peripheral Blood Mononuclear Cells				
TLCO	Transfer Lung capacity of Carbon monoxide				
WA	Wall Area				
WT	Wall Thickness				
	BEC CSA CSN GOLD COPD CT FEV1 FEV1 FITC FSP1 LA LAA LAA LAA MLA PaCO2 PaO2 PE PBMC TLCO				

### 120 Introduction

121 Chronic obstructive pulmonary disease (COPD) is characterized by chronic persistent 122 inflammation and remodeling leading to progressive airflow limitation (1, 2). The evolution of this 123 chronic disease is worsened by acute exacerbations, frequently triggered by viral or bacterial 124 infections (3). These exacerbations are considered as an independent prognostic factor for mortality 125 (4). Current pharmacological treatments for COPD patients decrease exacerbation frequency by 126 only up to 29% as compared to placebo either alone or in combination, but they do not have any 127 significant effect on mortality (5-7). COPD patients exhibit remodeling processes leading to 128 permanent changes in tissue structure, such as epithelial mucous metaplasia, parenchymal 129 destruction (*i.e.*, emphysema) and connective-tissue deposition in the small airway walls (1). This 130 latter, also called peribronchiolar fibrosis, has been observed even in young smokers (8), thus 131 suggesting that it may be an initiating event in COPD pathophysiology. To date, these processes 132 are not inhibited or reversed by current pharmacotherapy.

133 Fibrocytes are fibroblast-like cells produced by the bone marrow stroma and released in the 134 peripheral circulation (9). Circulating fibrocytes, defined as CD45<sup>+</sup> collagen I<sup>+</sup> cells, are increased 135 in COPD patients only during an exacerbation (10) and not in stable state, as compared to control 136 subjects (10, 11). A high blood fibrocytes concentration during an exacerbation is associated with 137 an increased risk of death (10), suggesting a deleterious role of fibrocytes in COPD evolution. By 138 contrast, myeloid derived suppressor cells (MDSC)-like fibrocytes, a subpopulation of circulating 139 fibrocytes, are increased in the blood of stable COPD patients, and these cells might rather play a 140 protective role (11). The presence and the role of fibrocytes in the lung of COPD patients remain 141 controversial (11) and need to be clarified. Indeed, tissue fibrocytes, defined as CD34<sup>+</sup> collagen I<sup>+</sup> 142 cells, have not been found in distal airways of COPD patients, and detected in proximal airways of 143 only less than 50% of COPD patients (11). However, fibrocytes are known to downregulate CD34

144	expression when differentiating (12). Thus, the co-expression of CD34 and collagen I, as a
145	definition criterion for tissue fibrocyte, may lead to fibrocytes underestimation (11). As a
146	consequence, we define fibrocytes as cells double positive for both CD45 and fibroblast-specific
147	protein 1 (FSP1), in agreement with previous reports from human (13) and mice (14-16) lungs.
148	Thus, the aim of the present study was to determine the density of tissue fibrocytes ( <i>i.e.</i> , $CD45^+$
149	FSP1 <sup>+</sup> cells) in distal and proximal airway specimens of COPD patients, as compared to that in
150	control subjects. We then evaluated the relationship between the density of tissue fibrocytes and
151	parameters derived from lung function test and quantitative computed tomography (CT) as well as
152	with blood fibrocytes. Functional in vitro experiments were also performed to assess the effect of
153	epithelial microenvironment on fibrocyte survival.
154	
155	Methods
155 156	Methods A more detailed description of methods is provided in the online supplement.
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167 Asthma Cohort; sponsored by the French National Institute of Health and Medical Research,168 INSERM) (Tables E3 and E5).

169

## 170 Study design

171 This clinical trial was sponsored by the University Hospital of Bordeaux. The study has been 172 registered at ClinicalTrials.gov under the N° NCT01692444 (i.e. "Fibrochir" study). The study 173 protocol was approved by the local research ethics committee on May 30, 2012 and the French 174 National Agency for Medicines and Health Products Safety on May 22, 2012. All subjects provided 175 written informed consent. The study design is summarized in Fig E1. 4 visits were scheduled: a 176 pre-inclusion visit (V1) to explain study and surgery, an inclusion visit (V2) the day of surgery, a 177 visit one month  $\pm$  15 days after surgery (V3), and a the last visit one year  $\pm$  15 days after surgery 178 (V4).

179

## **180** Bronchial fibrocytes identification

181 A sub-segmental bronchus sample (for proximal tissue) as well as fragments of distal parenchyma 182 were obtained from macroscopically normal lung resection material. The samples were embedded 183 in paraffin and sections of 2.5 µm thick and were stained with both rabbit anti-FSP1 polyclonal 184 antibody (Agilent) and mouse anti-CD45 monoclonal antibody (BD Biosciences, San Jose, CA), 185 or mouse anti-CD3 monoclonal antibody (Agilent), mouse anti-CD19 monoclonal (Agilent), 186 mouse anti-CD34 monoclonal antibody (Agilent). The sections were imaged using a slide scanner 187 Nanozoomer 2.0HT (Hamamatsu Photonics, Massy, France). Quantification of dual positive cells 188 for FSP1 and CD45 was performed as described in Fig 1. The density of FSP1<sup>+</sup> CD45<sup>+</sup> cells was 189 defined by the ratio between the numbers of dual positive cells in the lamina propria divided by 190 lamina propria area. Quantification of dual positive cells for FSP1 and CD3, FSP1 and CD19 or

- 191 FSP1 and CD34 was performed, as described above with some modification (see Supplemental
- 192 Material and Methods in the online data supplement). Tissue area and cell measurements were all
- **193** performed in a blinded fashion for patients' characteristics.
- 194
- **195** Quantitative computed tomography
- 196 CT scans were performed on a Somatom Sensation Definition 64 (Siemens, Erlangen, Germany)197 at full inspiration and expiration and analyzed using dedicated and validated software, as described
- **198** previously (17-20)
- 199

## 200 Circulating fibrocytes identification

201 Non-adherent non-T (NANT) cells were purified from Peripheral Blood Mononuclear Cells
202 (PBMC) separated from the whole blood, and circulating fibrocytes were identified as double
203 positive cells for the surface marker CD45 and the intracellular marker collagen I by flow
204 cytometry, as described previously (10).

205 Bronchial epithelial supernatants

Human bronchial epithelial cells (BEC) were derived from bronchial specimens (see Table E4 for
patients' characteristics), as described previously (21). Basal epithelial supernatant from fully
diffenciated epithelium was collected for further experiments.

209

## 210 Fibrocyte differentiation and survival

211 NANT cells purified from blood samples of COPD patients (Table E3 and E5) were incubated
212 during one week in DMEM (Fisher Scientific) supplemented with 20% fetal calf serum (Biowest,
213 Riverside, USA), followed by another week in serum-free medium, or serum-free medium

214	containing 50% of basal epithelial supernatant. After 2 weeks in culture, the cells were detached
215	by accutase (Fisher Scientific), and either fixed overnight with Cytofix/Cytoperm and stained to
216	assess CD45, FSP1 and collagen I expression or directly stained by propidium iodide (PI) to assess
217	the level of dead cells ( $PI^+$ cells), by flow cytometry.
218	
219	Statistical analysis
220	Values are presented as means ± SD or the medians (95% confidence interval [CI]). Statistical
221	significance, defined as $P < 0.05$ , was analyzed by Fisher's exact tests for comparison of
222	proportions, by two-sided independent t-tests for variables with a parametric distribution, and, by
223	Wilcoxon tests, Mann-Whitney U tests and Spearman correlation coefficients for variables with a
224	non-parametric distribution. Receiver operating characteristic (ROC) analysis and a univariate
225	logistic regression analysis was performed to evaluate the association between COPD and a high
226	density of tissue fibrocytes.
227	

228

#### 229 Results

### 230 Study population

231 The number of patients enrolled, excluded or followed for up to 1 year after surgery is shown in 232 supplemental Fig E1. Clinical and functional characteristics, as well as quantitative CT parameters 233 of all subjects with tissue fibrocytes assessment are shown in Table 1. The groups of control and 234 COPD patients were well matched for age and body mass index. As expected, COPD patients were 235 significantly different from controls in terms of smoking habits, lung function (FEV<sub>1</sub>, FVC, 236 FEV<sub>1</sub>/FVC ratio, RV), diffusing capacity (TLCO) and CT parameters including wall thickness, 237 emphysema extent (LAA), air trapping (MLA E) and cross-sectional pulmonary vessel area and 238 number (CSA, CSN) (Table 1).

239

#### 240 Bronchial fibrocytes are increased in COPD patients

241 As a methodological control, we first cultured fibrocytes from blood samples coming from a 242 separate cohort of COPD patients (Table E3), and we showed that virtually all the CD45<sup>+</sup> FSP1<sup>+</sup> 243 cells (99.9  $\pm$  0.06%) purified from circulating PBMC also express collagen I after 14 days of cell 244 differentiation *in vitro* (Fig E2). This allows us to define tissue fibrocytes as CD45<sup>+</sup> FSP1<sup>+</sup> cells. 245 These cells were identified by immunohistochemistry as shown in Fig 1, and they were detected in 246 distal tissue specimens from 11 of 12 COPD patients (92%) and 13 of 20 control subjects (65%) 247 (Fig 2) as well as in proximal tissue specimens from 14 of 14 COPD patients (100%) and 16 of 21 248 control subjects (76%) (Fig 3).

These fibrocytes were located in the sub-epithelial region of both distal and proximal airways (Figs 2A and 3A) and, occasionally, within the epithelial layer. No CD45<sup>+</sup> FSP1<sup>+</sup> cell was evidenced within the airway smooth muscle layer. Some tissue fibrocytes were found in peribronchial area outside the smooth muscle layer (Fig E3). However, the analysis of fibrocyte density in this latter

253 region could not be performed systematically, since this area could not be identified in each of our 254 tissue specimens. The density of bronchial fibrocytes was higher in the subepithelial region of distal 255 airways from COPD patients (median =  $133 \text{ cells/}\mu\text{m}^2$  (95% CI, 40 to 469), n = 12) than in that of 256 control subjects (median = 42 cells/ $\mu$ m<sup>2</sup> (95% CI, 31 to 114), n = 20, P<0.05) (Fig 2B). Similarly, 257 fibrocytes density was also increased in the proximal airways from COPD patients (median = 73258 cells/ $\mu$ m<sup>2</sup> (95% CI, 47 to 139), n = 14) compared with control subjects (median = 21 cells/ $\mu$ m<sup>2</sup> 259 (95% CI, 18 to 60), n = 21, P<0.05) (Fig 3B). In both distal and proximal airways, there was no 260 difference in sub-epithelial areas considered for tissue fibrocytes quantification between COPD 261 patients and control subjects (Figs 2C and 3C). Not surprisingly, however, the density of fibrocytes in the sub-epithelial area of proximal tissue was positively and significantly correlated with that 262 263 measured in distal airways (Fig E4).

264 To further confirm our results, we co-stained FSP1 with CD3 or CD19 to determine whether FSP1 265 positive cells could be T-lymphocytes or B-lymphocytes, respectively. Except for one control 266 subject, very few CD3<sup>+</sup> cells also expressed FSP1 (Fig E5A), and there was no significant 267 difference in the density of CD3<sup>+</sup> FSP1<sup>+</sup> cells in the sub-epithelial region of distal airways between 268 controls and COPD patients (Fig E5B-C). Likewise, this density was not statistically different 269 between both groups in proximal airways (Fig E5D-E). The B-lymphocyte CD19 marker co-270 localized with FSP1 positive cells neither in distal (Fig E6A) nor in proximal (Fig E6B) tissue 271 specimens. We also co-immunostained CD34 and FSP1. CD34<sup>+</sup> FSP1<sup>+</sup> cells were detected in distal 272 tissue specimens, from only 2 of 12 COPD patients (17%) and 4 of 20 control subjects (20%) (Fig 273 E7).  $CD34^+$  FSP1<sup>+</sup> cells were found in proximal tissue specimens from 9 of 13 COPD patients 274 (69%) and 11 of 21 control subjects (52%) (Fig E8), but the density of these cells in COPD patients 275 (median = 0.5 cells/ $\mu$ m<sup>2</sup> (95% CI, 0.1 to 1.7), n = 13) was very low compared with that of CD45<sup>+</sup> 276  $FSP1^+$  cells (median = 73 cells/ $\mu$ m<sup>2</sup> (95% CI, 47 to 139), n=14) (Fig 2B). In both distal and proximal airways, there was no difference in the density of CD34<sup>+</sup> FSP1<sup>+</sup> cells between COPD
patients and control subjects (Figs E7 and E8).

279

## 280 Relationships between bronchial fibrocytes density and functional and CT parameters

We first determined univariate correlation coefficients between the density of tissue fibrocytes in the subepithelial region of both distal and proximal airways and various functional and CT parameters (Tables E1 and E2). In distal tissue specimens, the density of fibrocytes was negatively correlated to FEV<sub>1</sub>/FVC ratio (Fig 4A) and positively to PaCO<sub>2</sub> (Fig 4B). It was also significantly associated with mean lung attenuation value during exhalation (Fig 4C). In proximal tissue specimens, the density of fibrocytes was negatively correlated to FEV<sub>1</sub> (Fig 4D), FVC (Table E2),

and positively correlated to RV (Table E2), WT4 (Fig 4E), WT5 (Fig 4F), WA4% (Table E2).

288 Receiver Operator Characteristic (ROC) curves were built for all subjects whose density of tissue 289 fibrocytes has been assessed in distal (n=12 COPD patients and 20 control subjects, Fig 5A) and 290 proximal (n=14 COPD patients and 21 control subjects, Fig 5B) tissue specimens with significant 291 areas under the curves (Table 2). To predict COPD, the density of fibrocytes in distal airways has 292 a sensitivity of 83% and a specificity of 70%, whereas this density has a sensitivity of 79% and a 293 specificity of 67% in proximal airways (Table 2). Moreover, the negative predictive value to 294 eliminate COPD was 97.5% and 96.6% for distal and proximal airways, respectively, using a 295 prevalence of 10% for COPD in the general population (22). ROC analyses allowed us to select 296 the optimal value of fibrocytes density (cut-off values of 72 and 32 for distal and proximal tissue, 297 respectively) to classify patients either with a high or low level of tissue fibrocytes (Table 2). COPD 298 was associated with a high density of fibrocytes in distal (odds ratio: 11.7; 95% CI: [1.9-70.2]; P < 299 0.05) and proximal (odds ratio: 7.3; 95% CI: [1.5-35.1]; P < 0.05) airways. Thus, a high tissue 300 fibrocytes density is associated with a higher risk of COPD.

#### 301

#### 302 Circulating fibrocytes are unchanged in stable COPD

- The percentage of blood fibrocytes (CD45<sup>+</sup> ColI<sup>+</sup> cells) in PBMC, was not statistically different in stable COPD patients (median=10.3% (95% CI, 4.6 to 16.5) of PBMC, n=12) and control subjects (median=7.9% (95% CI, 4.1 to 11.6) of PBMC, n=22) (Fig E9A). A similar result was obtained when fibrocytes concentration is expressed as absolute counts per milliliter of blood (data not shown). Finally, the percentage of blood fibrocytes (*i.e.*, CD45<sup>+</sup> ColI<sup>+</sup> cells) in PBMC was significantly correlated with the density of bronchial fibrocytes (*i.e.*, CD45<sup>+</sup> FSP1<sup>+</sup> cells) in distal airways (Fig E9B).
- 310

## **311 COPD** epithelial supernatant favors fibrocytes survival

312 We next investigated whether secretion from bronchial epithelial cells (BEC) from control or 313 COPD patients could affect fibrocytes viability or ECM secretion in an in vitro assay. We evaluated 314 this effect using BEC obtained from lung resection material sampled either in control subjects (n=2) 315 or in COPD patients (n=2) (Table E4), cultured at the air-liquid interface. Fibrocytes were cultured 316 from blood samples coming from a separate cohort of 6 COPD patients (Table E5). 7 to 10 days 317 after blood sampling, cells, almost all being  $CD45^+$  FSP1<sup>+</sup> cells (94.9 ± 3.6%), were exposed during 318 7 days to a mixture of fully differentiated BEC supernatants coming either from control subjects 319 or COPD patients. 7 days after initial exposure, the level of CD45<sup>+</sup> FSP1<sup>+</sup> cells remains high (92.9 320  $\pm$  3.9% and 93.4  $\pm$  3.3% respectively for the control and COPD conditions). However, exposure of 321 fibrocytes to COPD epithelial supernatant significantly decreased the percentage of dying cells 322 (Fig E10).

323

#### 324 Discussion

325 In the present study, we have shown that the density of tissue fibrocytes (*i.e.*,  $CD45^+$  FSP1<sup>+</sup> cells) 326 is significantly greater in both distal and proximal airway specimens of COPD patients, as 327 compared to that of control subjects. We also found a significant correlation between this tissue 328 fibrocytes density with blood fibrocytes as well as airflow obstruction, increased wall thickness, or 329 air trapping. By means of ROC curve analysis and univariate logistic regression analysis, we 330 observed that a high density of tissue fibrocyte increases the likelihood of COPD. Finally, it appears 331 that fibrocytes survival is increased by epithelial cells secretion from COPD patients, a mechanism 332 that could contribute to the elevated sub-epithelial density of fibrocytes in COPD patients.

333

334 There is an discrepancy between the present results and those previously obtained by Wright and 335 colleagues (11), which deserves some methodological discussion. Wright et al did not find an 336 increased level of tissue fibrocytes in COPD patients. They did not even observe any fibrocyte in 337 distal airways (11). However, the methodology of tissue fibrocytes assessment and, ultimately, the 338 definition of fibrocytes, are different in the present study and in that of Wright et al (11). Wright 339 and colleagues' method relied on the identification of CD34 and collagen I staining on sequential, 340 instead of identical, sections (11) which could lead to either, false fibrocytes identification because 341 of apparent co-expression in closely apposed but not identical cells, or fibrocytes underestimation 342 because of the absence of co-expression in cells that are present only in one section. We have 343 carefully addressed this issue by using antibodies and chromogens that are compatible with co-344 immunostaining in the same section. We have thus developed an image analysis technique that 345 unambiguously identifies fibrocytes. Moreover, defining tissue fibrocytes as CD34<sup>+</sup> collagen I<sup>+</sup> 346 cells (11) may lead to fibrocytes underestimation, which is consistent with previous data showing 347 a downregulation of CD34 expression when fibrocytes differentiate in culture (12). In the

348 connection, the present data confirming the very low density of CD34<sup>+</sup> FSP1<sup>+</sup> cells in bronchial 349 specimens thus are in agreement with those of Wright *et al*. Fibrocytes are commonly defined as 350 cells co-expressing CD45 and collagen I (23). The expression of a hematopoietic marker, such as 351 CD45, is one of the minimum criteria for fibrocyte identification (23) and has been used in the 352 present study. However, since immunohistochemistry for collagen I fails to unambiguously 353 identify collagen I<sup>+</sup> cells in our experiments (data not shown), as well as in another report (24), we 354 rather used the FSP1 marker, as a co-marker with CD45 for fibrocyte identification, as previously 355 extensively described (13-16). FSP1 (25), also known as S100A4, is used as a marker for lung 356 fibroblasts (24). Most of pulmonary FSP1<sup>+</sup> cells express collagen I (24, 26), and the number of 357 FSP1<sup>+</sup> cells correlates with the extent of lung fibrosis in a murine model of fibrosis, suggesting that 358 these cells contribute to collagen deposition (24). In addition, we have shown that almost all the 359 CD45<sup>+</sup> FSP1<sup>+</sup> cells purified from circulating PBMC also express collagen I after 14 days of cell 360 differentiation in vitro (Fig E2). It thus appears that the term of tissue fibrocyte is more accurate 361 for double positive cells for CD45 and FSP1. Since FSP1 expression has been initially identified 362 in fibroblasts (25) but was subsequently characterized in immune cells such as T and B 363 lymphocytes (13), we paid a special attention to co-immunostain FSP1 with CD3, or CD19. In 364 doing this, we showed that T lymphocytes co-expressing CD3 and FSP1 represented only a minor 365 subset of CD45<sup>+</sup> FSP1<sup>+</sup> cells, the density of which did not change in COPD patients. We also 366 showed that no B lymphocyte co-expressing CD19 and FSP1 was present in either distal or 367 proximal human bronchi from both control subjects and COPD patients. Finally, FSP1 expression 368 has also been characterized in macrophages (26). However, numerous macrophage markers, such 369 as CD68, CD163, CD204, CD206, CD209 are also expressed by fibrocytes (27-29). It is thus 370 impossible to properly differentiate fibrocytes from macrophages using immunohistochemistry 371 even if a collagen I<sup>+</sup> CD45<sup>+</sup> double staining would have been suitable.

372

373 Our results may argue in favor of a potential deleterious role of tissue fibrocytes in COPD. Indeed, 374 the greater the density of bronchial fibrocytes, the lower the FEV1 value or the FEV1/FVC ratio. 375 Likewise, the greater the density of bronchial fibrocytes, the larger the bronchial wall thickness or 376 the pulmonary air trapping. We previously pointed out a potential detrimental role of blood 377 fibrocytes, since a high concentration of fibrocytes, in the peripheral circulation of COPD patients 378 during an acute exacerbation, was associated with a higher mortality (10). Moreover, blood 379 fibrocytes were present at a high level in frequent exacerbating patients (10). Since small airways 380 are the major site of airway obstruction in COPD (30-32), the observation that tissue fibrocytes 381 density, assessed in the present study, was higher in distal than in proximal airways makes sense. 382 The correlation between the concentration of circulating fibrocytes and the density of distal 383 bronchial fibrocytes may indicate that fibrocytes, which are recruited in the blood during an acute 384 exacerbation (10), subsequently migrate to the airways and participate to the tissue remodeling 385 process, such as peribronchial fibrosis leading to airway obstruction and air trapping. Indeed, once 386 recruited into the lungs, fibrocytes may play various roles, including matrix secretion and 387 degradation, pro-fibrotic cytokines production and activation of contractile force (33). Finally, the 388 lower number of cell death in fibrocytes cultured with BEC from COPD patients combined with 389 the elevated density of fibrocytes at the proximity of the epithelium in tissue samples from COPD 390 patients, would suggest that secretion from epithelial cells in a COPD microenvironment provide 391 pro-survival signals for tissue fibrocytes and could explain, at least partially, bronchial 392 accumulation of fibrocytes in COPD patients. However, continuous efforts are warranted to clarify 393 the cellular mechanisms by which fibrocytes may participate to obstruction development.

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18

395 The present study has some limitations, which deserve further comments. The specimens for 396 fibrocytes detection were obtained from patients with a diagnosis of lung cancer in 37 of 42 397 patients. As FSP1 is often expressed in malignant cells (34, 35), one may suggest that it could have 398 an impact on fibrocytes density measured in our study. Since (i) bronchial specimens for fibrocytes 399 analysis were selected from macroscopically normal lung resection material, and (ii) patients with 400 a staging different from pN0 confirmed after surgery were excluded, it is unlikely that malignancy 401 would have been sufficient to explain the increase in fibrocytes density we observed in COPD 402 patients.

403

404 In conclusion, by taking advantage of unambiguous fibrocytes identification by co-immunostaining 405 and image analysis, we unveil that COPD patients exhibit a greater density of fibrocytes in distal 406 and proximal airways than control subjects. A high density of tissue fibrocytes is associated with 407 a degraded lung function, airway structural changes and a higher risk of COPD, suggesting a 408 deleterious pathogenic role for fibrocytes in COPD.

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## 515 Fig legends

#### 516 Fig 1. Detection of CD45<sup>+</sup> FSP1<sup>+</sup> cells.

A, Left column, CD45 (green) and fibroblast-specific protein 1 (FSP1, red) stainings. Middle
column, images for CD45 (top panel) and FSP1 (bottom panel) stainings are obtained after color
deconvolution. Right column, segmented images are obtained for CD45 (top panel) and FSP1
stainings (bottom panel) after segmentation by a binary threshold. B, Merged segmented image. C,
Higher magnification of the segmented image in B. The yellow arrowheads and the white arrows
indicate respectively CD45<sup>+</sup> FSP1<sup>+</sup> cells and CD45<sup>+</sup> cells.

523

## 524 Fig 2. Increased fibrocyte density of in distal airways of COPD patients.

A, Representative staining of CD45 (green) and FSP1 (red) in distal bronchial tissue specimens
from control subject (left) and COPD patient (right). The yellow arrowheads indicate fibrocytes,
defined as CD45<sup>+</sup> FSP1<sup>+</sup> cells. B, Quantification of fibrocyte density (normalized by the subepithelial area) in one specimen/patient. \*: P<0.05, Mann Whitney test. C, Comparison of sub-</li>
epithelial areas in control subjects and COPD patients. B, C, medians are represented as horizontal
lines.

531

## 532 Fig 3. Increased fibrocyte density in proximal airways of COPD patients.

A, Representative staining of CD45 (green) and FSP1 (red) in proximal bronchial tissue specimens
from control subject (left) and COPD patient (right). The yellow arrowheads indicate fibrocytes,
defined as CD45<sup>+</sup> FSP1<sup>+</sup> cells. B, Quantification of fibrocyte density (normalized by the subepithelial area) in one specimen/patient. \*: P<0.05, Mann Whitney test. C, Comparison of sub-</li>

- epithelial areas in control subjects and COPD patients. B, C, medians are represented as horizontallines.
- 539

## 540 Fig 4. Relationships between fibrocyte density, lung function parameters and CT parameters.

- 541 A-C, Relationships between FEV1/FVC (A), PaO<sub>2</sub> (B), MLA during expiration (C) and the density
- 542 of CD45<sup>+</sup> FSP1<sup>+</sup> cells in distal airways measured in control subjects (black circles) and COPD
- 543 patients (open circles). D-F, Relationships between FEV1 (D), WT4 (E), WT5 (F) and the density
- of CD45<sup>+</sup> FSP1<sup>+</sup> cells in proximal airways measured in control subjects (black circles) and COPD
- 545 patients (open circles). Correlation coefficient (r) and significance level (P value) were obtained
- 546 by using nonparametric Spearman analysis.
- 547

## 548 Fig 5. Diagnosis accuracy of high fibrocyte density for COPD

- A-B, Receiver operating characteristic (ROC) curves for control subjects and COPD patients with
  fibrocyte density measured in distal (A) or proximal (B) tissue specimens was built in order to
  predict COPD.
- 552

## **Table 1: Patient characteristics**

	COPD	Control	P value
N	17	25	
Age (yrs.)	$66.2\pm9.5$	$61.7\pm8.1$	0.11
Sex (Men/Women)	11/6	5/20	0.008
Body-mass index (kg/m <sup>2</sup> )	$24.7\pm4.1$	$26.5\pm6.9$	0.34
Current smoker (Y/N)	2/15	10/15	0.09
Former smoker (Y/N)	15/2	8/17	0.0004
Pack years (no.)	$43.7\pm22.8$	$18.1\pm16.8$	0.0007
LFT			
FEV <sub>1</sub> (% pred.)	$57.2 \pm 22.5$	$100.0\pm16.7$	< 0.0001
FEV <sub>1</sub> /FVC ratio (%)	$53.2 \pm 16.3$	$78.0\pm8.0$	< 0.0001
FVC (% pred.)	$82.0 \pm 15.4$	$107 \pm 15.8$	< 0.0001
RV (% pred)	$168\pm68.8$	$112 \pm 27.8$	0.0007
TLCO (% pred.)	$53.4\pm22.6$	$81.8\pm20.4$	0.0003
Six-minute walk test distance (m)	$472\pm67$	$503\pm69$	0.19
Arterial blood gases			
$PaO_2 (mm Hg)$	$78.0 \pm 11.2$	$85.1 \pm 13.2$	0.08
PaCO <sub>2</sub> (mm Hg)	$40.7\pm7.7$	$35.7\pm2.8$	0.01
CT parameters			
Bronchi:			
WA4 %	$4.2 \pm 0.4$	$3.8\pm0.6$	0.06
WT4 (mm)	$1.7\pm0.2$	$1.5\pm0.2$	0.03
WA5 %	$4.2\pm0.4$	$3.2 \pm 0.4$	< 0.0001
WT5 (mm)	$1.5\pm0.2$	$1.3 \pm 0.2$	0.02
Emphysema:			
LAA (%)	$21.9 \pm 16.6$	$4.2\pm4.0$	< 0.0001
Air trapping:			
MLA E (HU)	$-858 \pm 23$		0.002
MLA I (HU)	$-865 \pm 24$	$-821 \pm 33$	0.0001
MLA I-E (HU)	$-7.5 \pm 12$	$-7.7 \pm 31$	0.52
Pulmonary vessels:			
%CSA<5	$0.35\pm0.10$	$0.54\pm0.20$	0.0003
%CSA <sub>5-10</sub>	$0.11\pm0.02$	$0.15\pm0.04$	0.0008
CSN<5	$0.28\pm0.03$	$0.47\pm0.19$	0.0004
CSN <sub>5-10</sub>	$0.016 \pm 0.004$	$0.023\pm0.006$	0.0008

553 Plus-minus values are means  $\pm$  SD. LFT, lung function test; FEV<sub>1</sub>, forced expiratory volume in 1 554 second; FVC, forced vital capacity; RV, residual volume; TLCO, Transfer Lung capacity of Carbon 555 monoxide, PaO<sub>2</sub>, partial arterial oxygen pressure, PaCO<sub>2</sub>, partial arterial carbon dioxide pressure; 556 WA, mean wall area; LA, mean lumen area, WA%, mean wall area percentage; WT, wall thickness; 557 LAA, low-attenuation area; MLA E or I, mean lung attenuation value during expiration or 558 inspiration. MLA I-E, difference between inspiratory and expiratory mean lung attenuation value. 559 %CSA<sub><5</sub>, percentage of total lung area taken up by the cross-sectional area of pulmonary vessels 560 less than 5 mm<sup>2</sup>; %CSA<sub>5-10</sub>, percentage of total lung area taken up by the cross-sectional area of 561 pulmonary vessels between 5 and 10 mm<sup>2</sup>; CSN<sub><5</sub>, number of vessels less than 5 mm<sup>2</sup> normalized 562 by total lung area; CSN<sub>5-10</sub>, number of vessels between 5 and 10 mm<sup>2</sup> normalized by total lung 563 area; NR: not relevant. P values were calculated with the use of a two-sided independent t-test for 564 variables with a parametric distribution, Fisher's exact test for comparison of proportions, and the 565 Mann-Whitney U test for comparison of nonparametric variables. 566

Type of	n	AUC ± SD	P value	Cut-off value	Sensitivity [IC]	Specificity [IC]
tissue						
Distal	32	$0.76\pm0.09$	0.04	72	0.83 [0.70-0.96]	0.70 [0.54-0.86]
Proximal	35	$0.72\pm0.09$	0.02	32	0.79 [0.65-0.92]	0.67 [0.51-0.82]

#### Table 2: Association of high level of density of tissue fibrocytes with COPD

**568** Data are absolute number with [95% confidence interval] and plus–minus values are means  $\pm$  SD.

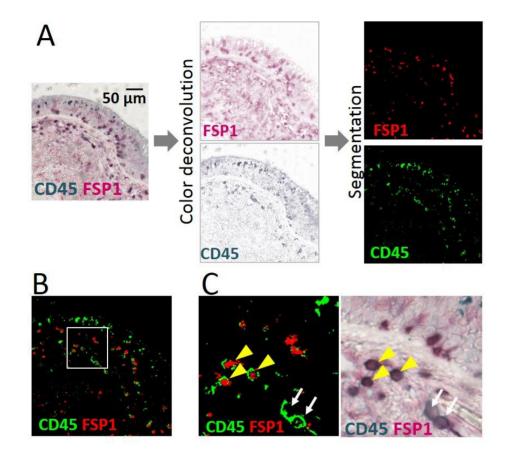
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569 AUC, Area Under ROC Curve; ROC, Receiver Operating Characteristic; SD, standard deviation.

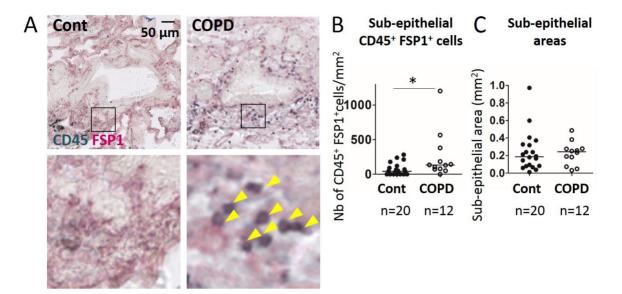
570 P values, sensitivity and specificity were evaluated for ROC curve analysis.

# 571 Figures

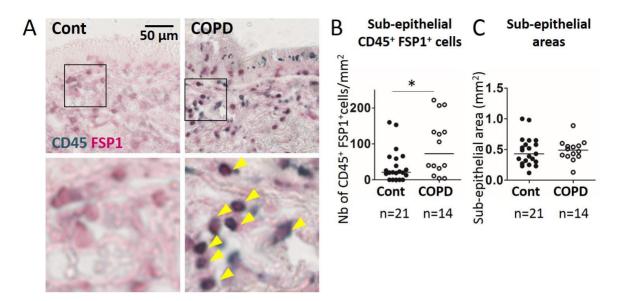
Fig 1













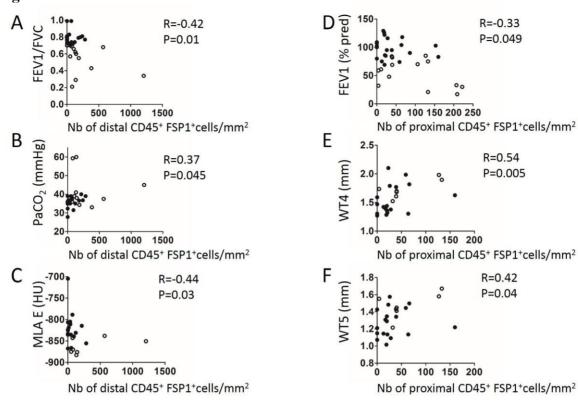
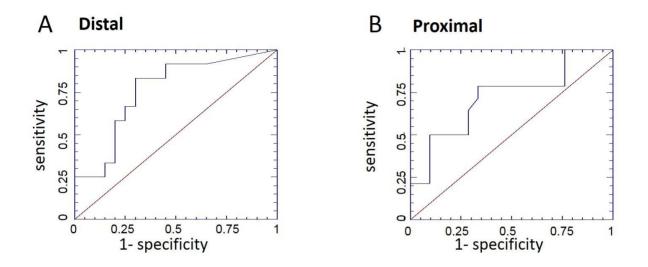


Fig 5



## Fibrocyte accumulation in bronchi: a cellular hallmark of COPD

Isabelle Dupin<sup>1,2,\*</sup>, Matthieu Thumerel<sup>1,2,3,\*</sup>, Elise Maurat<sup>1,2</sup>, Florence Coste<sup>1,2</sup>, Hugues Begueret<sup>3</sup>, Thomas Trian<sup>1,2</sup>, Michel Montaudon<sup>1,2,3</sup>, Roger Marthan<sup>1,2,3</sup>, Pierre-Olivier Girodet<sup>1,2,3</sup>, Patrick Berger<sup>1,2,3</sup>

## **Online Data Supplement**

#### **Supplemental Material and Methods**

#### **Study Populations**

Subjects aged more than 40 years were eligible for enrolment if they required thoracic surgery for lobectomy for cancer pN0, lung transplantation or lung volume reduction. A total of 17 COPD patients, with a clinical diagnosis of COPD according to the GOLD guidelines (1) and 25 non COPD subjects ("control subjects") with normal lung function testing (*i.e.*, FEV<sub>1</sub>/ FVC > 0.70) and no chronic symptoms (cough or expectoration) were recruited from the University Hospital of Bordeaux. Main exclusion criteria for both COPD patients and control subjects were history of asthma, lung fibrosis, idiopathic pulmonary hypertension and chronic viral infections (hepatitis, HIV). The main withdrawal criterion for subjects included for lobectomy due to cancer was a staging different from pN0 confirmed after surgery.

To study fibrocyte survival *in vitro*, blood samples were obtained from a separate cohort of COPD patients. These patients were recruited from the COBRA cohort ("Cohorte Obstruction

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National Institute of Health and Medical Research, INSERM), as outpatients in the Clinical Investigation Centre of the University Hospital of Bordeaux (Tables E3 and E5). To assess the role of epithelium on fibrocyte survival *in vitro*, macroscopically normal, lung resection material was ethically obtained by lobectomy from a separate group of patients categorized into COPD and control groups as per GOLD criteria (Table E4).

All subjects provided written informed consent to participate to the study. All clinical data were collected in the Clinical Investigation Center (CIC1401) from the University Hospital of Bordeaux. The study protocol was approved by the research ethics committee ("CPP") and the French National Agency for Medicines and Health Products Safety ("ANSM").

#### Study design

The study protocol was approved by the local research ethics committee on May 30, 2012 and the French National Agency for Medicines and Health Products Safety on May 22, 2012. All clinical investigations have been conducted according to the principles expressed in the Declaration of Helsinki. All subjects provided written informed consent. The clinical trial was conducted from April 2013 (1<sup>st</sup> patient, 1<sup>st</sup> visit) to May 2016 (last patient, last visit). As already indicated, all patients undergoing surgery were thus recruited from the Department of Thoracic Surgery of the University Hospital. The study was sponsored and funded by the University Hospital of Bordeaux (*i.e.* "CHU de Bordeaux"). All authors were academic and made the decision to submit the manuscript for publication and vouch for the accuracy and integrity of the contents. The study has been registered at ClinicalTrials.gov under the N° NCT01692444 (*i.e.* "Fibrochir" study).

bioRxiv preprint doi: https://doi.org/10.1101/449843; this version posted October 23, 2018. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission. The study design is summarized in Fig E1. The pre-inclusion visit (V1) before surgery,

consisted of patient information and signature of the inform consent followed by a clinical evaluation (*i.e.*, pulmonary auscultation, assessment of the WHO score, history of previous 12 months, smoking status, current treatment...). A full-body CT-scan with injection, was performed as part of the classical disease management but was preceded by two complementary thoracic acquisitions at expiration and inspiration without injection within the framework of the study. The patients also underwent echocardiography and lung function testing using body plethysmography, lung transfer capacity (TLCO) and arterial gas. The inclusion visit (V2), the day of surgery, consisted of a clinical evaluation (i.e., control assessment test (CAT), St Georges Quality of Life Questionnaire (SGQLQ) and six-minute walk test) and venous blood sample (50 ml) for fibrocytes analysis. After thoracic surgery (lobectomy or pneumonectomy), a pulmonary sample from a grossly normal part of the surgical specimen is included in paraffin for subsequent analysis of the bronchial fibrocytes. Due to low quality of some tissue sections, fibrocyte density quantification was impossible in 10 distal specimens and 7 proximal specimens (Fig E1), which were excluded from peribronchial fibrocyte analysis. During hospital stay, clinical data was collected such as pTNM status for cancer patients. A visit one month  $\pm$  15 days after surgery (V3) consisted of spirometric evaluation. The last visit one year  $\pm$  15 days after surgery (V4) consisted of clinical (CAT, SGQLQ and six-minute walk test) and functional (plethysmography, TLCO, arterial gas) evaluations. COPD patients and control subjects performed the whole series of "Fibrochir" visits, with the exception of 2 COPD patients who provided written informed consent for the use of biological samples and clinical data for research and underwent only two visits (corresponding to V1 and V2) including the surgical pulmonary sample for peribronchial fibrocyte analysis.

A sub-segmental bronchus sample (for proximal tissue) as well as fragments of distal parenchyma were obtained from macroscopically normal lung resection material. The samples were embedded in paraffin and sections of  $2.5 \,\mu m$  thick were cut, as described previously (2). Sections were deparaffinized through three changes of xylene and through graded alcohols to water. Heat induced antigen retrieval was performed using citrate buffer, pH 6 (Fisher Scientific, Illkirch, France) in a Pre-Treatment Module (Agilent, Les Ulis, France). Endogenous peroxidase and alkaline phosphatases (AP) were blocked for 10 min using Dual Enzyme Block (Diagomics, Blagnac, France). Nonspecific binding was minimized by incubating the sections with 4% Goat Serum (Agilent) for 30 min. The sections were stained with both rabbit anti-FSP1 polyclonal antibody (Agilent) and mouse anti-CD45 monoclonal antibody (BD Biosciences, San Jose, CA), or mouse anti-CD3 monoclonal antibody (Agilent), mouse anti-CD19 monoclonal (Agilent), mouse anti-CD34 monoclonal antibody (Agilent) or appropriate isotype controls, rabbit IgG (Fisher Scientific) and mouse IgG1 (R&D Systems, Lille, France) at the same concentration. For CD45-FSP1 double staining, the sections were re-incubated with HRP-Polymer anti-Mouse and AP Polymer anti-Rabbit antibodies (Diagomics). Sections were developed with the chromogenic substrates, GBI-Permanent Red and Emerald. For CD3-FSP1, CD19-FSP1 and CD34-FSP1 double staining, the sections were re-incubated with HRP anti-Mouse (Agilent) and with Alexa488-conjugated anti-Rabbit (Fisher Scientific) antibodies. Immunoreactivity was detected by using the DAB System (Agilent) for CD3, CD19 or CD34 staining and by fluorescence for FSP1 staining.

The sections were imaged using a slide scanner Nanozoomer 2.0HT with fluorescence imaging module (Hamamatsu Photonics, Massy, France) using objective UPS APO 20X NA 0.75 combined to an additional lens 1.75X, leading to a final magnification of 35X. Virtual slides were acquired with a TDI-3CCD camera. Fluorescent acquisitions were done with a mercury

bioRxiv preprint doi: https://doi.org/10.1101/449843; this version posted October 23, 2018. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission. lamp (LX2000 200W - Hamamatsu Photonics) and the set of filters adapted for DAPI and Alexa

488. Bright field and fluorescence images where acquired with the NDP-scan software (Hamamatsu) and processed with ImageJ. Quantification of dual positive cells for FSP1 and CD45 was performed, as described in Fig 1. A color deconvolution plugin was used on bright field image to separate channels corresponding to GBI-Permanent Red and Emerald double staining, and a binary threshold was then applied to these images (Fig 1A). Tissue fibrocytes were defined as cells dual positive for cytoplasmic FSP1 and plasma membrane CD45 double staining on the merged threshold image (Fig 1B-C). The lamina propria contour was manually determined on bright field image and the area was calculated. For distal bronchi, the lumen area was also determined and only bronchi less than 2 mm in diameter were analyzed as described by J.C. Hogg et al (3). The density of FSP1<sup>+</sup> CD45<sup>+</sup> cells was defined by the ratio between the number of dual positive cells in the lamina propria divided by lamina propria area. Quantification of dual positive cells for FSP1 and CD3, FSP1 and CD19 or FSP1 and CD34 was performed, as described above with some modification: a color deconvolution plugin was used on bright field image to select the channel corresponding to DAB signal (for CD3, CD19 or CD34 staining), and a binary threshold was then applied to this image and fluorescence image corresponding to FSP1 staining. Tissue area and cell measurements were all performed in a blinded fashion for patients' characteristics.

### Quantitative computed tomography

CT scans were performed on a Somatom Sensation Definition 64 (Siemens, Erlangen, Germany) at full inspiration and expiration, as described previously (4-7). Briefly, quantitative analysis was performed by using dedicated and validated software: Automatic quantification of bronchial wall area (WA), lumen area (LA), WA/LA (WA%) and wall thickness (WT) was

bioRxiv preprint doi: https://doi.org/10.1101/449843; this version posted October 23, 2018. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission. obtained on orthogonal bronchial cross sections by using the Laplacian-of-Gaussian algorithm

and homemade software (8, 9); Automatic quantification of both emphysema and air trapping was assessed using Myrian software (Intrasense, Montpellier, France) and both low attenuation area per cent (LAA%) (4, 5) and mean lung attenuation (MLA) during expiration (6, 7); Ouantification of pulmonary vessels was obtained from CT images, as previously described (4). Briefly, CT set of images reconstructed with sharp algorithm (B70f) were analyzed by using the ImageJ software version 1.40g (a public domain Java image program available at http://rsb.info.nih.gov/ij/). The small pulmonary vessels measurements were made automatically as described elsewhere (4, 10-12). The cross section area (CSA) and cross section number (CSN) of small pulmonary vessels were quantified separately at the subsegmental and at the sub-subsegmental levels (4, 12). The subsegmental and sub-subsegmental levels are defined by a vessel area between 5 and 10 mm<sup>2</sup> and less than 5 mm<sup>2</sup>, respectively. Finally, quantifications were obtained after normalization by the corresponding lung section area at each CT slice: the cross sectional area of small pulmonary vessel less than 5 mm<sup>2</sup> (%CSA<sub><5</sub>). Four measurements were obtained after normalization by the corresponding lung section area at each CT slice: the cross sectional area of small pulmonary vessel between 5 to 10 mm<sup>2</sup> (%CSA<sub>5-10</sub>), and less than 5 mm<sup>2</sup> (%CSA<sub><5</sub>), the mean number of cross-sectioned vessels CSN<sub>5-10</sub> and CSN<sub><5</sub>.

### **Circulating fibrocytes identification**

Non-adherent non-T (NANT) cells were purified from Peripheral Blood Mononuclear Cells (PBMC) separated from the whole blood, and circulating fibrocytes were identified as double positive cells for the surface marker CD45 and the intracellular marker collagen I by flow cytometry, as described previously (13). Briefly, PBMC were first separated from the whole blood by Ficoll-Hypaque (Dutscher, Brumath, France) density gradient centrifugation. The non-adherent mononuclear cell fraction was taken and washed in cold PBS containing 0.5%

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(EDTA, Invitrogen). T-cells were further depleted with anti-CD3 monoclonal antibody (Miltenyi Biotech, Paris, France). Cells were fixed overnight with Cytofix/Cytoperm (eBioscience, Paris, France), washed in permeabilization buffer (eBioscience) and incubated either with mouse anti-human collagen I antibody (Millipore, St-Quentin-en-Yvelines, France) or with matched IgG1 isotype control (Santa Cruz Biotechnology, Heidelberg, Germany), followed by fluorescein isothiocyanate (FITC)-conjugated anti-mouse antibodies (Beckman Coulter, Villepinte, France). Next, the cell pellet was incubated either with allophycocyanin (APC)-conjugated anti-CD45 antibodies (BD Biosciences, San Jose, CA) or with matched APC-conjugated IgG1 isotype control (BD Biosciences). The cell suspension was analyzed with a BD FACSCanto II flow cytometer (BD Biosciences). Offline analysis was performed with FACSDiva (BD Biosciences) and FlowJo (Tree Star, Ashland, OR) software. The negative threshold for CD45 was set using a matched APC-conjugated IgG1 isotype control, and all subsequent samples were gated for the CD45 positive region. Cells gated for CD45 were analyzed for collagen I expression, with negative control thresholds set using FITC-stained cells. Specific staining for collagen I was determined as an increase in positive events over this threshold. Fibrocytes numbers were expressed as both a percentage of total PBMC counts and as absolute number of cells.

### **Bronchial epithelial supernatants**

Human bronchial epithelial cells (BEC) were derived from bronchial specimens as described previously (14). Bronchial epithelial tissue was cultured in bronchial epithelial growth medium (Stemcell, Grenoble, France) in a flask (0.75 cm<sup>2</sup>). After confluence, basal BEC were plated (2.10<sup>5</sup> cells per well) on uncoated nucleopore membranes (24-mm diameter, 0.4-µm pore size, Transwell Clear; Costar, Cambridge, Mass) in ALI medium (Stemcell) applied at the basal side only to establish the air-liquid interface. Cells were maintained in culture for 21 days to obtain

bioRxiv preprint doi: https://doi.org/10.1101/449843; this version posted October 23, 2018. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission. a differentiated cell population with a mucociliary phenotype. Basal epithelial supernatant was

collected every 2-3 days and used for further experiments.

### Fibrocyte differentiation and survival

A total of 2.10<sup>6</sup> NANT cells resuspended in 0.2 ml DMEM (Fisher Scientific), containing 4.5 g/l glucose and glutaMAX, supplemented with 20% fetal calf serum (Biowest, Riverside, USA), penicillin/streptomycin and MEM non-essential amino acid solution (Sigma-Aldrich), was added to each well of a 6 well plate. After one week in culture, fibrocyte differentiation was induced by changing the medium for a serum-free medium (Fig E2), or for a serum-free medium containing 50% of basal epithelium supernatant (Fig E10). Mediums were changed every 2-3 days. After 2 weeks in culture, the cells were detached by accutase treatment (Fisher Scientific), fixed overnight with Cytofix/Cytoperm and washed in permeabilization buffer. Cells were incubated either with rabbit anti-FSP1 polyclonal antibody (Agilent) or with matched IgG isotype control (Fisher Scientific), followed by Phycoerythrin (PE)-conjugated anti-rabbit antibody (Santa Cruz Biotechnology, Heidelberg, Germany). Next, the cell pellet was incubated either with FITC-conjugated mouse anti-human collagen I antibody (Millipore) or with matched FITC-conjugated IgG1 isotype control (Millipore) and with APC-conjugated anti-CD45 antibodies or with matched APC-conjugated IgG1 isotype control. The cell suspension was analyzed with a BD FACSCanto II flow cytometer. Cells gated for CD45 and FSP1 were analyzed for collagen-1 expression, with negative control thresholds set using isotype-stained cells. Specific staining for collagen-1 was determined as an increase in positive events over this threshold.

Propidium iodide (Fisher Scientific) was used for the detection of dying cells. After 2 weeks in culture, cells detached by accutase treatment were used to prepare single cell suspension at

bioRxiv preprint doi: https://doi.org/10.1101/449843; this version posted October 23, 2018. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission. 1.10<sup>6</sup> cells/ml. After addition of propidium iodide, the dying cells (PI<sup>+</sup> cells) were detected by flow cytometry (BD Biosciences).

### Statistical analysis

Primary outcome was the density of bronchial fibrocytes in both distal and proximal airways. Secondary outcomes were lung function parameters, CT parameters and the percentage of blood fibrocytes in PBMC. The statistical analysis was performed with Prism 6 software (GraphPad, La Jolla, CA) and NCSS software (NCSS 2001, Kaysville, UT, USA). Values are presented as medians with individual plots or means  $\pm$  SD. Statistical significance, defined as P < 0.05, was analyzed by Fisher's exact tests for comparison of proportions, by two-sided independent t-tests for variables with a parametric distribution, and, by Mann–Whitney U tests, Wilcoxon tests and Spearman correlation coefficients for variables with a non-parametric distribution. Receiver operating characteristic (ROC) curves were built with NCSS software (NCSS 2001, Kaysville, UT, USA) and ROC analysis was performed to determine areas under the curve (AUC) and cut-off values for the best fibrocytes density in distal and proximal tissue specimens to predict COPD. Those 2 cut-off values were then used to evaluate the association between COPD and a high density of tissue fibrocytes using a univariate logistic regression analysis.

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## Table E1. Association between distributions of distal tissue fibrocytes and COPD clinical

### characteristics

	Distal CD45 <sup>+</sup> FSP1 <sup>+</sup> o	Distal CD45 <sup>+</sup> FSP1 <sup>+</sup> cells density	
	Spearman r	P value	
Age (yrs.)	0.06	0.76	
Body-mass index (kg/m <sup>2</sup> )	0.09	0.63	
Pack years (no.)	0.21	0.26	
LFT			
FEV <sub>1</sub> (% pred.)	-0.28	0.12	
FEV <sub>1</sub> /FVC ratio (%)	-0.42	0.02	
FVC (% pred.)	-0.31	0.09	
RV (% pred)	0.30	0.10	
TLCO (% pred.)	-0.20	0.31	
Six-minute walk test distance (m)	0.14	0.49	
Arterial blood gases			
PaO <sub>2</sub> (mm Hg)	-0.27	0.15	
PaCO <sub>2</sub> (mm Hg)	0.37	0.04	
CT parameters			
Bronchi:			
WA4 %	0.12	0.56	
WT4 (mm)	0.08	0.68	
WA5 %	0.29	0.16	
WT5 (mm)	0.17	0.41	
Emphysema:			
LAA (%)	0.36	0.056	
Air trapping:			
MLA E (HU)	-0.44	0.03	
MLA I (HU)	-0.20	0.31	
MLA I-E (HU)	0.08	0.72	
Pulmonary Vessels			
%CSA<5	-0.21	0.26	
%CSA <sub>5-10</sub>	-0.15	0.44	
CSN<5	-0.18	0.33	
CSN <sub>5-10</sub>	-0.16	0.41	

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LF1, lung runction test; FEV<sub>1</sub>, forced expiratory volume in 1 second; FVC, forced vital capacity; RV, residual volume; TLCO, Transfer Lung capacity of Carbon monoxide, PaO<sub>2</sub>, partial arterial oxygen pressure, PaCO<sub>2</sub>, partial arterial carbon dioxide pressure; WA, mean wall area; LA, mean lumen area, WA%, mean wall area percentage; WT, wall thickness; LAA, low-attenuation area; MLA E or I, mean lung attenuation value during expiration or inspiration. MLA I-E, difference between inspiratory and expiratory mean lung attenuation value. %CSA<sub><5</sub>, percentage of total lung area taken up by the cross-sectional area of pulmonary vessels less than 5 mm<sup>2</sup>; %CSA<sub>5-10</sub>, percentage of total lung area taken up by the cross-sectional area of vessels less than 5 mm<sup>2</sup> normalized by total lung area; CSN<sub>5-10</sub>, number of vessels between 5 and 10 mm<sup>2</sup> normalized by total lung area; NR: not relevant. Correlation coefficient (r) and significance level (P value) were obtained by using nonparametric Spearman analysis.

bioRxiv preprint doi: https://doi.org/10.1101/449843; this version posted October 23, 2018. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission. **Table E2. Association between distributions of proximal tissue fibrocytes and COPD** 

### clinical characteristics

	Proximal CD45 <sup>+</sup> FSP1 <sup>+</sup> cells density	
	Spearman r	P value
Age (yrs.)	-0.09	0.60
Body-mass index (kg/m <sup>2</sup> )	0.32	0.06
Pack years (no.)	0.02	0.91
LFT		
FEV <sub>1</sub> (% pred.)	-0.33	0.049
FEV <sub>1</sub> /FVC ratio (%)	-0.28	0.10
FVC (% pred.)	-0.34	0.04
RV (% pred)	0.43	0.01
TLCO (% pred.)	-0.04	0.80
Six-minute walk test distance (m)	-0.14	0.46
Arterial blood gases		
$PaO_2 (mm Hg)$	-0.26	0.14
PaCO <sub>2</sub> (mm Hg)	0.20	0.26
CT parameters		
Bronchi:		
WA4 %	0.50	0.02
WT4 (mm)	0.54	0.005
WA5 %	0.34	0.10
WT5 (mm)	0.42	0.04
Emphysema:		
LAA (%)	0.18	0.34
Air trapping:		
MLA E (HU)	0.07	0.76
MLA I (HU)	0.08	0.68
MLA I-E (HU)	0.28	0.17
Pulmonary Vessels		
%CSA<5	-0.07	0.73
%CSA <sub>5-10</sub>	-0.06	0.77
CSN<5	-0.03	0.88
CSN <sub>5-10</sub>	-0.07	0.70

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LF1, lung runction test; FEV<sub>1</sub>, forced expiratory volume in 1 second; FVC, forced vital capacity; RV, residual volume; TLCO, Transfer Lung capacity of Carbon monoxide, PaO<sub>2</sub>, partial arterial oxygen pressure, PaCO<sub>2</sub>, partial arterial carbon dioxide pressure; WA, mean wall area; LA, mean lumen area, WA%, mean wall area percentage; WT, wall thickness; LAA, low-attenuation area; MLA E or I, mean lung attenuation value during expiration or inspiration. MLA I-E, difference between inspiratory and expiratory mean lung attenuation value. %CSA<sub><5</sub>, percentage of total lung area taken up by the cross-sectional area of pulmonary vessels less than 5 mm<sup>2</sup>; %CSA<sub>5-10</sub>, percentage of total lung area taken up by the cross-sectional area of vessels less than 5 mm<sup>2</sup> normalized by total lung area; CSN<sub>5-10</sub>, number of vessels between 5 and 10 mm<sup>2</sup> normalized by total lung area; NR: not relevant. Correlation coefficient (r) and significance level (P value) were obtained by using nonparametric Spearman analysis.

	COPD
	3
Age (yr)	$68.7 \pm 15.0$
Sex (Men/Woman)	2/1
Body-mass index (kg/m <sup>2</sup> )	$21.0\pm3.5$
Current smoker (Y/N)	2/1
Former smoker (Y/N)	1/2
Pack years (no.)	$42.3\pm24.2$
PFT	
FEV <sub>1</sub> (% pred.)	$58.3\pm22.5$
FEV <sub>1</sub> /FVC ratio (%)	$53.3 \pm 11.5$
FVC (% pred.)	$84.0\pm27.6$
Six-minute walk test distance (m)	$550\pm42$
Arterial blood gases	
$PaO_2 (mm Hg)$	$77.0\pm6.1$
PaCO <sub>2</sub> (mm Hg)	$37.0\pm4.2$

Plus-minus values are means ± SD. PFT, pulmonary function test; FEV1, forced expiratory volume in 1 second; FVC, forced vital capacity; PaO<sub>2</sub>, partial arterial oxygen pressure, PaCO<sub>2</sub>, partial arterial carbon dioxyde pressure.

bioRxiv preprint doi: https://doi.org/10.1101/449843; this version posted October 23, 2018. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission. **Table E4. Patient characteristics (for bronchial epithelial supernatants production)** 

	COPD	Control
n	2	2
Age (yr)	$62.5 \pm 12.0$	$70.5\pm13.4$
Sex (Men/Woman)	0/2	2/0
Body-mass index (kg/m <sup>2</sup> )	$31.5\pm7.8$	$24.5\pm0.7$
Current smoker (Y/N)	0/1*	0/2
Former smoker (Y/N)	1/0*	0/2
Pack years (no.)	$50.0\pm70.7$	0
PFT		
FEV <sub>1</sub> (% pred.)	$62.5\pm3.5$	$113.5\pm62.9$
FEV <sub>1</sub> /FVC ratio (%)	$61.0\pm0$	$81.5\pm0.1$

Plus-minus values are means ± SD. PFT, pulmonary function test; FEV<sub>1</sub>, forced expiratory volume in 1 second; FVC, forced vital capacity. \* one of the two COPD patients has been professionally exposed to noxious particles.

	COPD
n	6
Age (yr)	$69.2\pm8.0$
Sex (Men/Woman)	2/4
Body-mass index (kg/m <sup>2</sup> )	$27.7\pm5.6$
Current smoker (Y/N)	4/2
Former smoker (Y/N)	2/4
Pack years (no.)	$66.3 \pm 24.7$
PFT	
$FEV_1$ (% pred.)	$67.1 \pm 22.5$
FEV <sub>1</sub> /FVC ratio (%)	$62.1 \pm 6.4$
FVC (% pred.)	$86.2\pm30.0$
Six-minute walk test distance (m)	$395\pm187$
Arterial blood gases	
$PaO_2 (mm Hg)$	$71.1 \pm 6.6$
PaCO <sub>2</sub> (mm Hg)	$37.1\pm4.7$

Plus-minus values are means ± SD. PFT, pulmonary function test; FEV1, forced expiratory volume in 1 second; FVC, forced vital capacity; PaO<sub>2</sub>, partial arterial oxygen pressure, PaCO<sub>2</sub>, partial arterial carbon dioxyde pressure.

### Supplementary Fig E1. Study design.

Numbers of patients who were included and had fibrocyte density quantification in proximal and distal airways.

# Supplementary Fig E2. CD45<sup>+</sup> FSP1<sup>+</sup> cells purified from NANT cells express collagen I after 2 weeks of differentiation in culture.

Representative dot plots of flow cytometry for CD45, FSP1 and collagen I expression. Left panels: total Non-Adherent Non T (NANT) cell population selected on the scatter plot of FSC-A vs SSC-A. Middle panels: a gate (CD45<sup>+</sup> and FSP1<sup>+</sup>) was drawn in FSP1-PE vs APC-CD45 dot plot to define the Q2 population (positive population for both CD45 and FSP1). Right panels: a gate (collagen I<sup>+</sup>) was drawn in FITC-collagen I (colI) histogram to define the P3 population (positive population for CD45, FSP1 and collagen I). A, isotype control for CD45, FSP1 and collagen I. B, CD45, FSP1 and collagen I stainings. APC: allophycocyanin; FITC: fluorescein isothiocyanate; PE: Phycoerythrin; FSC-A: forward scatter; SSC-A: side scatter.

# Supplementary Fig E3. Presence of fibrocytes in peribronchial area outside the smooth muscle layer.

Representative staining of CD45 (green) and FSP1 (red) in distal (left) and proximal (right) bronchial tissue specimens. The lower panels show higher magnification of the small area (black boxes) defined in the upper panels. The smooth muscle layer has been highlighted in orange. The yellow arrowheads indicate fibrocytes, defined as CD45<sup>+</sup> FSP1<sup>+</sup> cells.

bioRxiv preprint doi: https://doi.org/10.1101/449843; this version posted October 23, 2018. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission. Supplementary Fig E4. Relationship between the density of CD45<sup>+</sup> FSP1<sup>+</sup> cells in proximal airways and that in distal airways.

Densities measured in control subjects and COPD patients are represented respectively with black and open circles. Correlation coefficient (r) and significance level (P value) were obtained by using nonparametric Spearman analysis.

### Supplementary Fig E5. CD3<sup>+</sup> FSP1<sup>+</sup> cells represent a minor fraction of CD45<sup>+</sup> FSP1<sup>+</sup> cells.

A, Representative stainings of CD3 (brown, top panels) and FSP1 (green, middle panels) and merged segmented images (CD3, red and FSP1, green, bottom panels) in distal lung tissue from COPD patient. Middle and right columns represent higher magnification of images in the left column. The yellow arrowheads indicate CD3<sup>+</sup> FSP1<sup>+</sup> cells. B, D, Quantification of CD3<sup>+</sup> FSP1<sup>+</sup> cells density (normalized by the sub-epithelial area) in distal (B) and proximal (D) tissue specimens from control subjects and COPD patients. C, E, Comparison of sub-epithelial areas in distal (C) and proximal (E) tissue specimens from control subjects and COPD patients. B-D, medians are represented as horizontal lines.

# Supplementary Fig E6. CD19<sup>+</sup> FSP1<sup>+</sup> cells are detected neither in proximal and nor in distal airways.

A-B, Representative stainings of CD19 (brown, top panels) and FSP1 (green, middle panels) and merged segmented images (CD19, red and FSP1, green, bottom panels) in distal (A) and proximal (B) bronchial tissue specimens from COPD patient. The right columns represent higher magnification of images in the left columns.

### Supplementary Fig E7. CD34<sup>+</sup> FSP1<sup>+</sup> cells are almost absent in distal airways.

A, Representative stainings of CD34 (brown, top left panel) and FSP1 (green, bottom left panels) and merged segmented image (CD34, red and FSP1, green, right panel) in distal tissue specimen from COPD patient. The yellow arrowhead indicates CD34<sup>+</sup> FSP1<sup>+</sup> cells. B, Quantification of CD34<sup>+</sup> FSP1<sup>+</sup> cells density (normalized by the sub-epithelial area) in distal tissue specimens from control subjects and COPD patients. Median is represented as horizontal line. C, Percentage of tissue specimens in which CD34<sup>+</sup> FSP1<sup>+</sup> cells have been detected (density>0, black bars) or undetected (density=0, white bars) in distal tissue specimens from control subjects.

# Supplementary Fig E8. CD34<sup>+</sup> FSP1<sup>+</sup> cells are present at a very low level in proximal airways.

A, Representative stainings of CD34 (brown, top left panel) and FSP1 (green, bottom left panels) and merged segmented image (CD34, red and FSP1, green, right panel) in proximal tissue specimen from COPD patient. The yellow arrowhead indicates CD34<sup>+</sup> FSP1<sup>+</sup> cells. B, Quantification of CD34<sup>+</sup> FSP1<sup>+</sup> cells density (normalized by the sub-epithelial area) in proximal tissue specimens from control subjects and COPD patients. Median is represented as horizontal line. C, Percentage of tissue specimens in which CD34<sup>+</sup> FSP1<sup>+</sup> cells have been detected (density>0, black bars) or undetected (density=0, white bars) in proximal tissue specimens from control subjects.

Supplementary Fig E9. Level of circulating fibrocytes and relationship with tissue

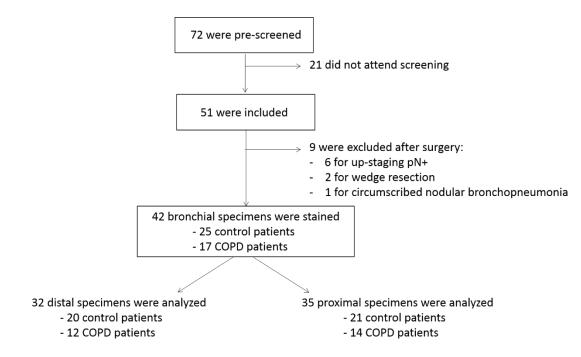
### fibrocytes density.

A, Level of circulating fibrocytes (CD45<sup>+</sup> ColI<sup>+</sup> cells), expressed as percentage of PBMC, measured in blood from control subjects ("Cont", n = 22), and COPD patients ("COPD", n=12). Medians are represented as horizontal lines. B, Relationships between the level of circulating fibrocytes and the density of CD45<sup>+</sup> FSP1<sup>+</sup> cells in distal airways measured in control subjects (black circles) and COPD patients (open circles). B, C, Correlation coefficient (r) and significance level (P value) were obtained by using nonparametric Spearman analysis.

### Supplementary Fig E10. Influence of epithelium on fibrocyte survival.

A, Representative histograms of flow cytometry for Propidium Iodure (PI) fluorescence recorded on fibrocytes exposed to epithelium supernatants, either from control subjects (left panel), or COPD patient (right panel). A gate was drawn to define the population of dead cells (PI<sup>+</sup> cells). B, Quantification of PI<sup>+</sup> cells in fibrocytes from COPD patients (n=6) exposed to epithelium supernatants from control subjects (red circles) or COPD patients (blue circles). \*: P<0.05, Wilcoxon test.

## Figure E1



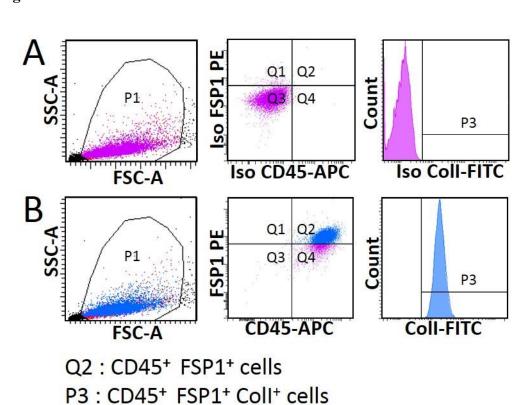
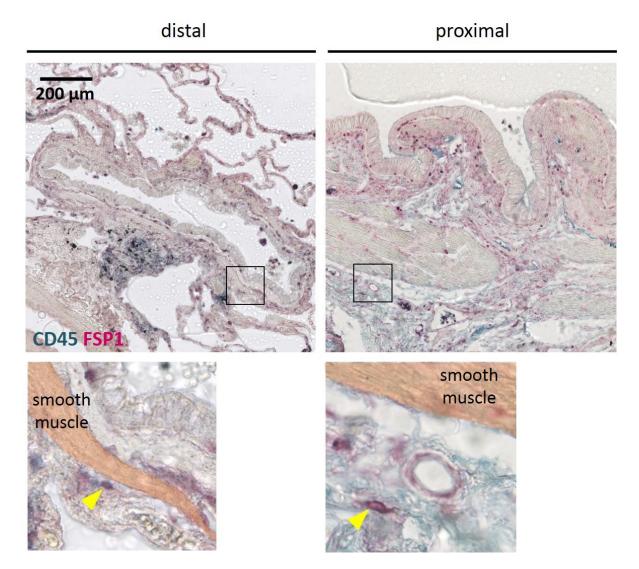
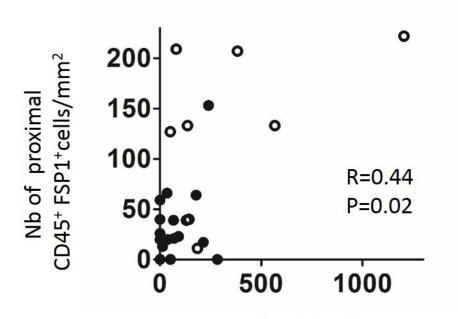


Figure E2

# Figure E3





Nb of distal CD45<sup>+</sup> FSP1<sup>+</sup>cells/mm<sup>2</sup>

Figure E4



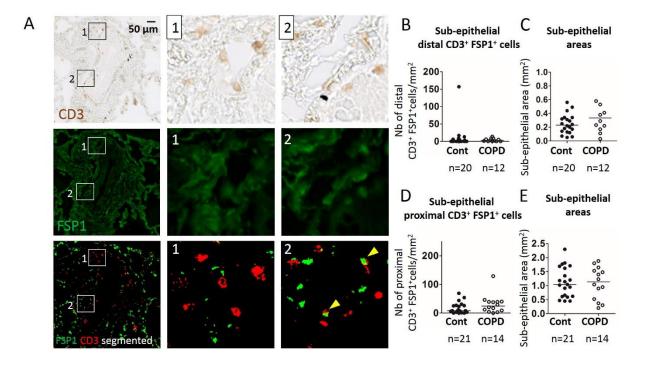


Figure E6

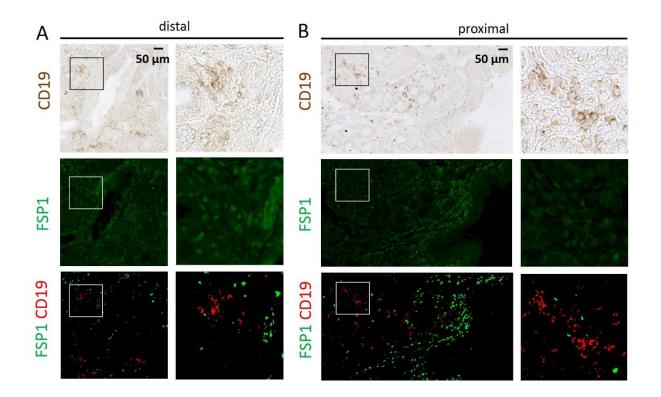
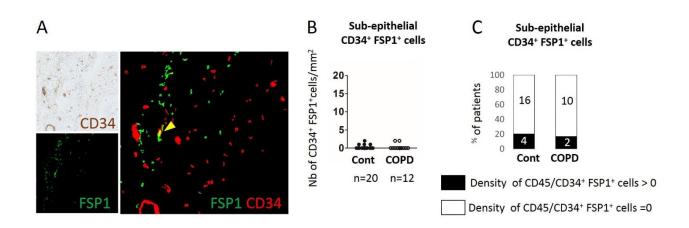
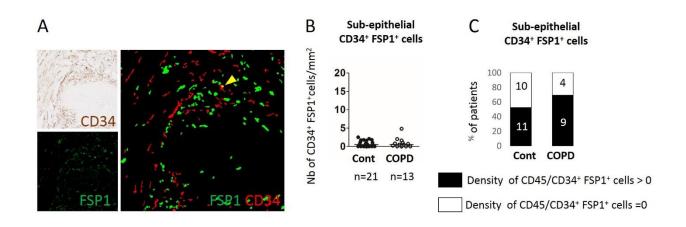


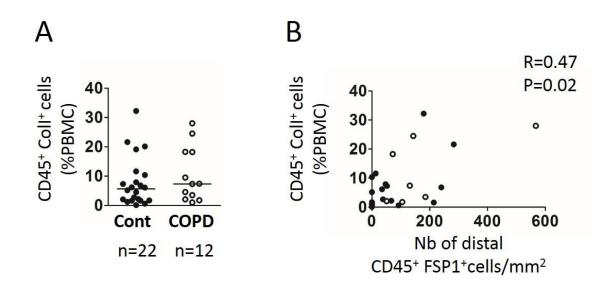
Figure E7



**Figure E8** 



**Figure E9** 



## Figure E10

