# 1 Strongyloides stercoralis: spatial distribution of a highly prevalent

# 2 and ubiquitous soil-transmitted helminth in Cambodia

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- 4 Armelle Forrer<sup>1,2</sup>, Virak Khieu<sup>3</sup>, Penelope Vounatsou<sup>1,2</sup>, Paiboon Sithithaworn<sup>4</sup>, Sirowan
- 5 Ruantip<sup>5</sup>, Rekol Huy<sup>3</sup>, Sinuon Muth<sup>3</sup>, Peter Odermatt<sup>1,2\*</sup>
- 6 1 Swiss Tropical and Public Health Institute, Basel, Switzerland; 2 University of Basel, Basel,
- 7 Switzerland; **3** National Centre for Parasitology, Entomology and Malaria Control, Ministry
- 8 of Health, Phnom Penh, Cambodia; 4 Department of Parasitology and Cholangiocarcinoma
- 9 Research Institute, Faculty of Medicine, Khon Kaen University, Khon Kaen, Thailand 5
- 10 Graduated School of Biomedical Science, Khon Kaen University, Khon Kaen, Thailand;

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12 \* corresponding author: peter.odermatt@swisstph.ch

# 13 Abstract

## 14 Background

Strongyloides stercoralis is a neglected soil-transmitted helminth that occurs worldwide and can cause long-lasting and potentially fatal infections due to its ability to replicate within its host. *S. stercoralis* causes gastrointestinal and dermatological morbidity. The objective of this study was to assess the *S. stercoralis* infection risk, and using geostatistical models, to predict its geographical distribution in Cambodia.

#### 20 Methodology / Principal Findings

21 A nation-wide community-based parasitological survey was conducted among the population 22 aged 6 years and above. S. stercoralis was diagnosed using a serological diagnostic test 23 detecting antigens in urine. Data on demography, hygiene and knowledge about helminth infection were collected. S. stercoralis prevalence among 7,246 participants with complete 24 25 data record was 30.5% and ranged across provinces between 10.9% and 48.2%. The parasite was ubiquitous in Cambodia, with prevalence rates below 20% only in five south-eastern 26 provinces. Infection risk increased with age both in men and women although girls aged less 27 than 13 years and women aged 50 years and above had lower odds of infection than their male 28 29 counterparts. Open defecation was associated with higher odds of infection while declaring 30 having some knowledge about health problems caused by worms was protective. Infection risk was positively associated with night maximum temperature, minimum rainfall, and 31 distance to water, and negatively associated with land occupied by rice fields. 32

33 Conclusions / Significance

*S. stercoralis* infection is ubiquitous and rampant in Cambodia. The parasite needs to be addressed by control programs delivering ivermectin. However the high cost of this drug in Cambodia currently precludes control implementation. Donations, subsidization or the

production of affordable generic production are needed so *S. stercoralis*, which infests almost
a third of the Cambodian population, can be addressed by an adequate control program.

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# 41 Authors Summary

The threadworm, *Strongyloides stercoralis*, is a most neglected worm infection transmitted through infective larvae on the soil. Threadworms occur worldwide and particularly in tropical climates. It may cause long-lasting and potentially fatal infections due to its ability to replicate within its host. This study aimed to assess the risk of threadworm infection in at the national level in Cambodia.

We conducted a nation-wide community-based parasitological survey among the population 47 aged 6 years and above. The threadworm was diagnosed using a serological diagnostic test 48 49 detecting antigens in urine. Data on demography, hygiene and knowledge about helminth infection were collected. The threadworm infection risk was calculated by using geostatistical 50 models to predict its geographical distribution in Cambodia. About one third (30.5%) of the 51 enrolled study participants (n=7,246) were infected with threadworms. The lowest and 52 hightest infection rates a province level was 10.9% and 48.2%, respectively. Prevalence rates 53 below 20% were found only in five south-eastern provinces. The risk of an infection with 54 threadworms increased with age in men and women. Open defecation was associated with 55 higher risk of infection while declaring having some knowledge about health problems caused 56 by worms was protective. Furthermore, the threadworm infection risk was positively 57 associated with environmental factors such as night maximum temperature, minimum rainfall, 58 and distance to water, and negatively associated with land occupied by rice fields. 59

Threadworm infection is highly prevalent in Cambodia and adequate control measures are
warranted, including access to treatment, in order to address the burden of this NTD in
Cambodia.

# 63 **Introduction**

Strongyloides stercoralis is a highly neglected intestinal nematode which larvae living 64 in fecally polluted soil infect humans transcutaneously, like hookworms. S. stercoralis occurs 65 worldwide but thrives in warm regions with poor sanitation conditions [1]. S. stercoralis has 66 been under-detected and overlooked for decades because its larvae are not detected by 67 standard field diagnostic techniques [1-5]. Up to recently, the only available estimates 68 originated from a review conducted in the late 80s estimating that there would be 30-100 69 million cases worldwide [6]. More recent estimates include prevalence rates between 10% and 70 40% in subtropical and tropical countries, while, based on the ratio of hookworm vs. S. 71 stercoralis cases in studies using diagnosis approaches adequate for the latter, S. stercoralis 72 prevalence could be half of hookworm's, i.e. 200-370 cases worldwide [1, 7, 8]. 73

74 In Cambodia, S. stercoralis was found to be highly prevalent in two community-based 75 large-scale surveys documenting prevalence rates of 25% and 45% in the southern province of Takeo and the northern province of Preah Vihear, respectively [9, 10]. S. stercoralis infection 76 77 is more prevalent among adults due to its unique ability among STH to replicate within the 78 host, which leads to infections that can last for decades in absence of treatment [11]. Importantly, in case of immunosuppression, this auto-infection cycle accelerates and results in 79 hyperinfection, a condition that is 100% fatal if untreated [12-14]. Additionally, chronic 80 81 infection with S. stercoralis may cause abdominal pain, nausea, vomiting, diarrhea, as well as urticaria and larva currens [15-17]. The latter is a serpiginous intermittent moving eruption 82 due to the parasite migration under the skin. Its location on the buttocks, thighs and trunk, 83 together with the high speed of migration, i.e. 5 to 10 centimeters an hour, makes it a highly 84 specific symptom of strongyloidiasis [11, 13]. Finally, and although this aspect of infection 85 86 needs to be confirmed, S. stercoralis infection might be associated with growth retardation in children [17]. Due to this combination of significant morbidity and high prevalence, S. 87

stercoralis has been recognized as a public health problem in Cambodia. However, the
national prevalence and the location of high risk zones are unknown.

90 A highly sensitive diagnostic approach consists in combining the Baermann and Koga agar plate culture techniques but this method is costly, time and labor consuming and requires 91 92 laboratory staff specifically trained to identify S. stercoralis larvae by microscopy. Serological diagnosis is more sensitive than most coprological approaches but its use may be 93 limited in endemic settings due to cross-reaction with other helminths species [18, 19]. 94 95 Another issue is that serology may overestimate prevalence in endemic areas as it detects parasite-specific antibodies or antigens that can still be present long after contact with the 96 parasite or cure, and cannot distinguish current from past infections [18]. While this last 97 98 aspect would be an issue for cure assessment, it would not affect prevalence estimates in a 99 population naïve to treatment against the investigated parasite. A serological test using an antigen from S. ratti to detect antibodies in urine was recently developed in Thailand [20, 21]. 100 101 This technique has several strengths. While collecting urine samples is much easier than fecal samples, this test has a high sensitivity for S. stercoralis detection and does not cross-react 102 103 with other soil-transmitted helminth (STH) species [20, 21].

104 Geostatistical models have been increasingly used in the past decade to delineate risk 105 zones for helminthic infections at small and large scale and help targeting control efforts in 106 areas of highest need [22-29]. Based on the association between environmental variables and 107 infection levels at survey locations, such models can be used to predict infection levels 108 through entire geographical zones.

109 The aim of this work was to estimate *S. stercoralis* prevalence in Cambodia and to 110 predict *S. stercoralis* infection risk throughout the country to help guiding control efforts. A 111 national parasitological survey was conducted in 2016 in all provinces of Cambodia to assess 112 the infection with *S. stercoralis* based on a serological diagnosis using antigens of *S. ratti*  113 [20]. Subsequently, geostatistical modeling was used to predict infection risk throughout the114 country.

## 115 Methods

#### 116 Ethics statement

117 The study was approved by the National Ethics Committee for Health Research, 118 Ministry of Health, Cambodia (NECHR, reference number 188, dated 02.05.2016). Prior to 119 enrolment, all participants were explained the study goals and procedures. All participants 120 aged 16 years and above provided written informed consent and parents or legal guardians 121 provided consent for participants aged 6–15 years. All *S. stercoralis* cases were treated with a 122 single oral dose of ivermectin ( $200\mu g/kg$  BW) and all other diagnosed parasitic infections 123 were treated according to the national guidelines [30].

#### 124 Study setting

125 Cambodia counted 15.6 million inhabitants in 2015, 79.3% of whom lived in rural areas. [31]. The country has been undergoing fast economic development in the past decades, 126 and with a Human Development Index rank of 143/188 in 2016 Cambodia belonged to the 127 group of lower middle-income country in the World Bank classification [31, 32]. Although 128 poverty strongly decreased in the past years and the proportion if the population living in 129 extreme poverty was down to 2.2% in 2016, about one person in 5 (21.6%) still lived with 130 less than 3.1 US\$/day in 2016 [31]. Adult literacy and primary school net enrolment were 131 74% and 95%, respectively, in the years 2010-2014 and 32% of children aged below 59 132 months were stunted in 2015 [32]. Regarding water and sanitation in 2015, 42% and 69% of 133 the rural population had access to improved sanitation facilities and improved water, 134

respectively, while those figures were 88% and 100% for the urban population, respectively[32].

#### 137 Study population and design

A cross-sectional community-based survey was conducted among the general 138 population in all 25 provinces of Cambodia between May and August 2016. In each province, 139 10 villages were randomly selected. Overall, eighteen villages originally selected were 140 141 replaced because there remoteness compromised the quality of collected samples for 142 parasitological data. In each village, households were selected using a systemic proportional 143 sampling and all household members present on the survey day were enrolled until a maximum of 35 participants per village was reached. All household members aged 6 years 144 and above were eligible. All S. stercoralis cases were treated with a single oral dose of 145 ivermectin (200µg/kg BW) and all other diagnosed parasitic infections were treated according 146 to the national guidelines [30]. 147

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## Assessment of Strongyloides stercoralis infection

Participants were asked to provide a urine sample on which S. stercoralis was 149 diagnosed using an enzyme-linked immunosorbent assay (ELISA) based on S. ratti antigens 150 [20]. After collection, urine specimens were preserved in NaN3 with the final concentration of 151 152 0.1% and kept at 4 °C at all until required for analysis. Samples were sent to the central laboratory of the National Centre for Parasitology, Entomology and Malaria Control (CNM) 153 in Phnom Penh and from then sent to Khon Kaen University, Thailand, to proceed to the 154 ELISA test. This method has shown to have no cross-reactivity with other STH, and has a 155 156 high sensitivity, of 92.7%. S. ratti antigens may cross-react with filarial parasites, which are merely absent now from Cambodia, as well as with the liver fluke Opisthorchis viverrini, 157 although very weakly [21, 33]. 158

#### 159 Individual risk factor data

An individual questionnaire including demographics (age, sex, education attainment, main occupation), the number of household members, as well as access to sanitation (latrine availability at home, usual defecation place) and knowledge on worm infections (transmission route of and health problems caused by helminths) was administered to all study participants.

#### 164 Environmental data

165 Environmental parameters were extracted from freely available remote sensing (RS) sources for the period September 2015-August 2016, which corresponds to 1 year back from 166 the last month of the study. Day and night land surface temperature (LST), international 167 168 geosphere biosphere programme (IGBP) type 1 land use/land cover (LULC) as well as normalized difference vegetation index (NDVI) and enhanced vegetation index (EVI) were 169 extracted at 1 x 1 km resolution from Moderate Resolution Imaging Spectroradiometer 170 (MODIS) Land Processes Distributed Active Archive Center (LP DAAC), U.S. Geological 171 Earth Resources Observation and 172 Survey (USGS) Science (EROS) Center 173 (http://lpdaac.usgs.gov). Rainfall data was obtained from WorldClim (www.worldclim.org). Digital elevation data were retrieved from the NASA Shuttle Radar Topographic Mission 174 (SRTM) and CGIAR-CSI database, whereas distance to large water bodies was obtained from 175 176 Health Mapper.

#### 177 Data management

Laboratory and questionnaire data were double-entered and validated in EpiData version 3.1 (EpiData Association; Odense, Denmark). Environmental data processing, georeferencing and maps were done in ArcGIS version 10.2.1 (ESRI; Redlands, CA, United States). LULC 18 classes were merged into four categories according to similarity and respective frequencies. Year and seasonal means, maxima and minima of monthly means of

EVI, LST and RFE were calculated and standardized. Environmental data were linked to 183 184 parasitological and questionnaire data according to geo-referenced location. Data management and non-Bayesian data analysis were done in STATA version 13.0 (StataCorp 185 LP; College Station, United States of America). Bayesian geostatistical models were fitted 186 using WinBUGS version 1.4.3 (Imperial College & Medical Research Council; London, UK). 187 Age was grouped in five classes, as follows: (i) 6-12 years, (ii) 13-18 years, (iii) 19-30 years, 188 189 (iv) 31-50 years, and (v) >50 years. Predictions at un-surveyed locations were performed in Fortran 95 (Compag Visual Fortran Professional version 6.6.0, Compag Computer 190 Corporation; Houston, United States of America). 191

#### **192** Statistical Analysis

Chi-square  $(\gamma^2)$  test was used to compare proportions. The association between infection 193 risk and covariates was assessed, using mixed non spatial bivariate logistic regressions 194 accounting for village clustering, i.e. with a non-spatial village-level random effect. 195 Covariates exhibiting an association at a significance level of at least 15%, as determined by 196 the likelihood ratio test (LRT), were included in the multivariate logistic regression models. In 197 case of correlated variables, the variable resulting in the model with the smallest Akaike's 198 information criterion (AIC) was selected. For the risk factor analysis, variables exhibiting 199 high Wald p-values were removed one by one and kept outside the model if their removal 200 resulted in a lower AIC. Summary measures of continuous environmental variables, i.e. LST 201 202 day and night, rainfall and distance to water were standardized before inclusion in the multiple regression models. To explore the relationship between S. stercoralis infection risk 203 and age, smoothed age-prevalence curves were produced with the "mkspline" command in 204 STATA that regresses each outcome against a new age variable containing a restricted cubic 205 spline of age. 206

For geostatistical models, a stationary isotropic process was assumed, with village-207 208 specific random effects following a normal distribution with mean zero and a variancecovariance matrix being an exponential function of the distance between pairs of locations. 209 Vague prior distributions were chosen for all parameters. Further information on model 210 specification is available in S1 Appendix. Markov chain Monte Carlo (MCMC) simulation 211 was used to estimate model parameters [34]. Geostatistical models were run using the 212 213 WinBUGS "spatial.unipred" function [35]. Convergence was assessed by examining the ergodic averages of selected parameters. For all models, a burn-in of 5,000 was followed by 214 30,000 iterations, after which convergence was reached. Results were withdrawn for the last 215 216 10,000 iterations of each chain, with a thinning of 10. Model fit was appraised with the Deviance Information Criterion (DIC). A lower DIC indicates a better model [36]. 217

Three types of Bayesian mixed logistic models were run. First, models without covariates using alternately a geostatistical or an exchangeable random effect were run to quantify the extent of village-level spatial correlation and unexplained variance of *S. stercoralis* prevalence. Second, a risk factor analysis model was used to assess individual-level demographic, sanitation, and knowledge risk factors, as well as environmental covariates associated with infection risk. Third, a model including only environmental covariates was aimed at predicting infection risk at non-surveyed locations.

#### 225 Prediction of *S. stercoralis* at non-surveyed locations

For model validation, 199 (80%) randomly selected villages were used for fitting and the 50 (20%) remaining were used as test locations. A pair of models containing the same covariates but including alternately a non-spatial (exchangeable) or spatial (geostatistical) random effect was run. Model predictive ability was assessed by comparing the Mean

Squared Error (MSE), which is obtained by squaring the average of absolute differencesbetween predicted and observed prevalence rates at test locations.

Using the model with the best predictive ability, *S. stercoralis* infection risk was predicted at 68,410 pixels of 2x2 km resolution using Bayesian Kriging [37].

## 234 **Results**

## 235 Study population

Among the 8,661 participants enrolled in the study, 1407 did not provide any urine 236 and 338 were discarded because they did not provide stool sample -which was requested for 237 other assessments not presented in this work-, 8 participants did not have any questionnaire 238 data. Overall 7,246 participants living in 2,585 households and 249 villages were included in 239 240 the analysis. The mean number of participants per village was 30.2 with an interquartile range of 6, and a minimum of 5. Except the villages Ou Tracheak Chet in Preah Sihanouk Province 241 (5 participants) and Kampong Chrey in Preah Vihear province (9 participants), all villages 242 243 had more than 10 participants and 93.6% of villages had 20 participants or more. Table 1 shows the characteristics of participants with complete parasitological and questionnaire data. 244

**Table 1:** Characteristics of the 7,246 participants included in the analysis

Variable	Category	N (%)
Sex	Male	3,081 (42.5)
	Female	4,165 (57.5)
Age (years)	6-12	1,747 (24.1)
	13-18	954 (13.2)
	19-30	1,142 (15.8)
	31-50	1,850 (25.5)
	>50	1,553 (21.4)
Usual defecation place	Toilet	4,961 (68.5)
-	Forest	1,768 (24.4)
	River, rice field, other	517 (7.1)
Education attainment	Primary school	4,183 (57.7)
	No school	1,279 (17.7)

Variable	Category	N (%)
	Secondary	1,283 (17.7)
	High school and over	501 (6.9)
Main occupation	Farmer	3,879 (53.5)
	At school	2,488 (34.4)
	At home	343 (4.7)
	Other	536 (7.4)
Any knowledge about worms	No	3,103 (42.8)
	Yes	4,143 (57.2)
Knowledge about source of infection with worms	No	3,995 (55.1)
-	Yes	3,251 (44.9)
Knowledge about health problems caused by worms	No	4,507 (62.2)
	Yes	2,739 (37.8)
Walking barefoot is a cause of worm infection	No	5,889 (81.3)
C	Yes	1,357 (18.7)
Lack of hygiene is a cause of infection	No	5,100 (70.4)
	Yes	2,146 (29.6)
Open defecation is a cause of worm infection	No	5,993 (82.7)
*	Yes	1,253 (17.3)
Not washing hands is a cause of worm infection	No	5,796 (80.0)
-	Yes	1,450 (20.0)
Toilet at home	No	2,306 (31.8)
	Yes	4,940 (68.2)

Data were obtained from a cross-sectional survey conducted in 2016 among in 249 villages of
the 25 provinces of Cambodia, among individuals aged 6 years and older.

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Females (57.5%) were overrepresented in the sample compared to their proportion in the Cambodian population (51.5%) as assessed by the 2013 inter-census population survey [38]. The age distribution of the sample was very similar to that of the total Cambodian population: children and adolescents aged up to 14 years represented 29.95% and 29.4%, adolescents and adults aged 15 to 64 years adults represented 65.6% and 64.2%, and elderly adults aged 65 and above represented 5.8% and 5.0% of the sample and the Cambodian population, respectively.

The proportion of males and females were similar in participants excluded or included in the analyzed sample, while children and young adults aged between 6 and 30 years were less represented (53.0%) in the sample than among excluded participants 64.3%). Similarly, farmers were overrepresented (53.6% of the sample *vs.* 41.1% of excluded participants) and

scholars underrepresented (34.3% of the sample *vs.* 51.6% of excluded participants) in the final sample. There was no difference between participants excluded from, or included in, the analyzed sample in terms of usual defecation place.

263 Strongyloides stercoralis prevalence

Overall, S. stercoralis prevalence was 30.7% (95% confidence interval (CI): 29.7 -264 31.8), ranging at province level from 10.9% (95%CI: 7.4 – 14-4) in Prey Veng province to 265 48.2% (95%CI: 42.2 – 54.1) in Koh Kong province. Fig 1 shows the provinces of Cambodia 266 and Fig 2 displays province level prevalence rates. Prevalence was highly variable at village 267 268 level. The smallest prevalence rate, of 2.9% (95% CI: 0.1 - 14.9), was found in a village of Kandal province, where only 1 of 35 participants was infected. The highest rates were 88.9% 269 (95%CI: 51.8 – 99.7) and 80% (95%CI: 63.1 – 91.6), observed in one village in Preah Vihear 270 province and another village in Koh Kong province, respectively. However there were only 9 271 participants in the Preah Vihear province village across provinces. The map presented in Fig.3 272 displays observed S. stercoralis prevalence in each surveyed village. 273

Figure 1: Map of Cambodian provinces.

This map was created with ArcGIS version 10.0 (ESRI; Redlands, CA, USA) specifically for this study by Forrer et al.

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**Figure 2:** Province-level *S. stercoralis* prevalence in 25 provinces of Cambodia Data were obtained from a cross-sectional survey conducted in 2016 in 249 villages of Cambodia, among 7,246 participants aged 6 years and above. **Figure 3:** Map of Cambodia showing observed *S. stercoralis* prevalence in the 249 study villages, Cambodia.

Data were obtained from a cross-sectional survey conducted in 2016 in 249 villages of Cambodia, among 7,246 participants aged 6 years and above. This map was created with ArcGIS version 10.0 (ESRI; Redlands, CA, USA) and display the results obtained specifically from this study by Forrer et al.

## 276 Spatial correlation

The model parameters of three geostatistical models, i.e. (i) model without covariates, (ii) the predictive model including only environmental variables, and (iii) the risk factor analysis model including environmental, demographic and behavioral covariates are presented in Table 2. In absence of explanatory variables, *S. stercoralis* risk clustered at a distance of 85 km (range). Most of *S. stercoralis* tendency was due to environmental covariates as indicated by the range of 3.2 km after introducing environmental variables (predictive model).

	No covariates <sup>(a)</sup>		Predicti	Predictive model <sup>(b)</sup>		Risk factor analysis model <sup>(c)</sup>	
	median	95% BCI	median	95% BCI	median	95% BCI	
Range (km)	85.3	1.10 - 185.8	3.20	1.10 - 99.4	2.80	1.10 - 49.7	
ρ	3.8	1.78 - 240.0	105.60	3.35 - 282.6	116.10	6.55 - 283.60	
$\sigma^2$	0.36	0.21 - 0.59	0.27	0.19 - 0.40	0.29	0.21 - 0.41	
DIC					8180.98	-	

#### **Table 2:** Parameters of three geostatistical models

285  $\sigma^2$  is the location-specific unexplained variance.

 $\rho$  is the decay parameter. The range (range= $3/\rho$ ) is the distance at which the spatial correlation

- becomes less than 5%.
- 288 <sup>(a)</sup> Geostatistical model without covariates
- 289 <sup>(b)</sup> Predictive model: with environmental covariates only

290 <sup>(c)</sup> Risk factor analysis model: with environmental and demographic and behavioral covariates

## 291 Result of the model validation and predictive model

The predictive ability of the geostatistical model (MSE=182.9, DIC=6894.3) including environmental covariates (predictive model) was slightly higher than that of its non-spatial counterpart (MSE = 187.7, DIC = 6894.4). Therefore the geostatistical model was used to predict *S. stercoralis* risk at non-surveyed locations. The geographical distributions of the covariates used in the geostatistical predictive model, together with elevation, are displayed in S1Figure. Odds ratios of those covariates are presented in Table 3.

Variable	Category	OR	95% BCI	
LST night dry seaso	n			
maximum (°C)	-	1.21	1.05 - 1.33	
Rainfall year minimum				
(mm/month)	-	1.35	1.10 - 1.49	
Distance to water (km)	-	1.10	0.97 - 1.22	
	Crops & natural vegetation			
Land use, land cover	mosaic, grass	1	-	
	Cropland	0.82	0.67 - 1.03	
	Forest and savanna	1.17	0.89 - 1.52	
	Water and wetlands	1.29	0.88 - 1.91	
Range (km)	-	3.20	1.10 - 99.4	
ρ	-	105.6	3.35 - 282.6	
$\sigma^2$	-	0.27	0.19 - 0.40	

#### 299 **Table 3:** Results of the geostatistical predictive model

300 LST: Land surface temperature; BCI, Bayesian credible interval; OR: odds ratio; OR in bold

301 are significant at 5% level

302  $\sigma^2$  is the location-specific unexplained variance.

 $\rho$  is the decay parameter. The range (range= $3/\rho$ ) is the distance at which the spatial correlation

becomes less than 5%.

# 305 Risk factors for *S. stercoralis* infection

306 The results of non-spatial bivariate mixed regressions are presented in S2 Table. The

results of the multivariate Bayesian geostatistical risk factor analysis are presented in Table 4.

# **Table 4:** Results of the risk factor analysis

		S. stercoralis negative N = 5,019	S. stercoralis positive N = 2,227		
Variable	Category	n (%)	n (%)	OR	95% BCI
Sex <sup>(a)</sup>	Male	2,138 (69.4)	943 (30.6)	1.00	-
	Female	2,881 (69.2)	1,284 (30.8)	0.87	0.67 - 1.12
Effect of age among		, ( )	, - ()		
men (years) <sup>(b)</sup>	6 - 12	746 (82.8)	155 (17.2)	1.00	-
	13 - 18	335 (73.6)	120 (26.4)	1.9	1.44 - 2.50
	19 - 30	301 (72.0)	117 (28.0)	2.14	1.61 - 2.85
	31 - 50	452 (64.1)	253 (35.9)	3.15	2.49 - 4.07
	$\geq$ 50	304 (50.5)	298 (49.5)	6.11	4.82 - 7.85
Interaction: effect of a (years)	ge among women				
	6-12	717 (84.8)	129 (15.2)	1.00	-
	13 - 18	381 (76.4)	118 (23.6)	1.89	1.41 - 2.51
	19 - 30	505 (79.8)	219 (30.2)	2.67	2.06 - 3.46
	31 - 50	715 (62.5)	430 (37.5)	4.01	3.17 - 5.10
	$\geq$ 50	563 (59.2)	388 (40.8)	4.79	3.77 - 6.07
Interaction: females co in each age group		( )	()		
	6-12	-	-	1.00	-
	13 - 18	-	-	0.86	0.64 - 1.18
	19 - 30	-	-	1.08	0.82 - 1.43
	31 - 50	-	-	1.1	0.89 - 1.36
	$\geq$ 50	-	-	0.68	0.55 - 0.85
Usual defecation					
place	Toilet	3,503 (70.6)	1,458 (29.4)	1.00	-
	Forest	1,180 (66.7)	588 (33.3)	1.24	1.06 - 1.45
	River, rice field,				
	other	336 (65.0)	181 (35.0)	1.41	1.12 - 1.80
Knowledge of signs				1 0 0	
of worm infection	No	3,117 (69.2)	1,390 (30.8)	1.00	-
	Yes			0.86	0.75 - 0.98
	Crops & natural				
т 1 1 1	vegetation	<b>2</b> (7( ((0, 0))	1 204 (21 0)	1 00	
Land use, land cover	mosaic, grass	2,676 (69.0)	1,204 (31.0)	1.00	-
	Cropland Forest and	1,281 (73.8)	456 (26.2)	0.81	0.64 - 0.997
	savanna Water and	802 (65.0)	431 (35.0)	1.21	0.92 - 1.57
	wetlands	260 (65.7) <b>Median (IQR)</b>	136 (34.3) Median (IQR)	1.27	0.86 - 1.91
LST night dry season maximum (°C)	-	26.1 (1.4)	27.0 (1.6)	1.22	1.09 - 1.35
		× /	· · /		
					17

Rainfall year minimum					
(mm/month)	-	0.81 (0.70)	0.89 (0.91)	1.38	1.23 - 1.53
Distance to water		· · · · · ·			
(km)	-	14.9 (26.2)	16.0 (31.1)	1.12	1.01 - 1.25
Model parameters	8			Median	95% BCI
Range (km)	-	-	-	2.80	1.10 - 49.7
/					6.55 -
ρ	-	-	-	116.1	283.60
$\sigma^2$	-	-	-	0.2914	0.21 - 0.41

С	1	n
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311 LST: Land surface temperature; BCI, Bayesian credible interval; OR: odds ratio; OR in bold

are significant at 5% level

313  $\sigma^2$  is the location-specific unexplained variance.

 $\rho$  is the decay parameter. The range (range=3/ $\rho$ ) is the distance at which the spatial correlation becomes less than 5%.

<sup>(a)</sup> Main effect of sex. Due to the interaction the OR corresponds to the effect of sex among
the baseline age group (6-12 years).

<sup>(b)</sup> Main effect of age. Due to the interaction, the OR corresponds to the effect of age among
males.

Results were obtained with the multivariate geostatistical model and data from a crosssectional survey conducted in 2016 among 7,246 participants living in 249 villages across the provinces of Cambodia.

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Sex was an effect modifier of age. Infection risk increased with age for both genders 324 325 but women aged 50 years and above had a lower risk of being infected than males. The relationship between S. stercoralis infection risk and age is presented in Figure 4. Participants 326 327 usually practicing open defecation (31.5% of participants defecating either in forests, or rice field and water) had higher odds of being infected, while individuals who had some 328 knowledge about health problems resulting from worm infection has lower odds of harboring 329 S. stercoralis. As for environmental factors, S. stercoralis infection risk was positively 330 associated with increasing night land surface temperature (LST night) dry season maximum, 331 increasing minimum year rainfall and increasing distance to water. Finally, the odds of S. 332

- 333 *stercoralis* infection were lower among participants living in villages located in croplands, i.e.
- rice fields.

#### Figure 4: Smoothed age-prevalence of S. stercoralis, Cambodia

Data were obtained from a cross-sectional survey conducted in 2016 in 249 villages of Cambodia, among 7,246 participants aged 6 years and above. Restricted cubic splines were used. Data are stratified for males (A) and females (B). Uncertainty is expressed as 95% confidence interval (CI).

#### 335 Spatial prediction of *S. stercoralis* infection risk

Figure 5 and 6 display the predicted median *S. stercoralis* prevalence in Cambodia and the lower and upper estimates, respectively. Prevalence was consistently higher than 10% except in a small area of Prey Veng province. *S. stercoralis* predicted risk was below 20% only in five provinces, i.e. Kampong Cham, Tboung Khmum, Prey Veng, Kandal and Svay Rieng. Predicted prevalence was particularly high in the north of Preah Vihear and Stung Treng provinces near the Lao border, as well as in the South, in areas of Kampong Speu, Koh Kong, Preah Sihanouk, and Kampot provinces.

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# 345 **Discussion**

We present here, to our knowledge, the first national prevalence estimate and nationwide infection risk map of *S. stercoralis*. The infection is ubiquitous in Cambodia. Based on a sample encompassing all the 25 country provinces and including over 7,200 participants, *S. stercoralis* occurs in Cambodia at prevalence rates systematically over 10%, with a national prevalence of 30%.

Infection risk was the lowest in the Southeast of the country, i.e. in the provinces of Prey Veng, Kandal, Kampong Cham, as well as the West and South parts of Tboung Khmum and Kampong Thom provinces, respectively. The highest province-level prevalence rates, above 40%, were found in Preah Vihear in the North, Kampong Chhnang in the Centre and in the South, Koh Kong and Kampong Speu.

The size of S. stercoralis infection clusters was relatively small, 85 km, similarly to 356 that observed for hookworm infection risk in the country [25]. Almost all spatial correlation 357 of S. stercoralis infection was explained by its association with environmental factors (as 358 indicated by the dramatic drop of the range, down to 3.2 km, after introducing environmental 359 covariates in the model). This result is not surprising as in absence of available treatment the 360 parasite biological requirements would mostly condition its distribution. The distribution of 361 hookworm prevalence among school-aged children in Cambodia was similar to that of S. 362 stercoralis, likely due to the resembling transmission routes of those two nematodes. Yet, the 363 area with lower hookworm prevalence was larger, probably because of ongoing STH 364 deworming programs [25]. 365

The odds of being infected increased with increasing maximum night temperature and 366 367 increasing minimum rainfall. In presence of sufficient humidity hookworm has a good tolerance for high temperatures with its larvae having the ability to migrate in the soil, and S. 368 stercoralis larvae might have the same ability [39]. The positive association between 369 temperature and risk is more surprising, although this might relate to a particularity of S. 370 stercoralis life cycle. The number of females and infective larvae developing in the external 371 372 environment depends on temperature, with numbers of infective larvae being maximum when 373 temperatures are of 30°C and above [11]. Hence, night maximum temperatures which range between 24°C and 32°C in Cambodia might have an impact on the amount of infective larvae 374 present in the environment. 375

Regarding the environmental predictors of S. stercoralis infection, distance to water 376 377 and the land cover category of cropland were not significantly associated with infection risk in the predictive model but became significant in the risk factor analysis after adjusting for 378 demographic and behavioral factors. We found a positive association between S. stercoralis 379 infection risk and distance to water. The development and survival of S. stercoralis larvae is 380 affected by immersion, so seasonal flooding might affect their survival in areas close to water 381 bodies [40, 41]. Similarly, this relationship between larvae survival and water might explain 382 the lower infection in areas occupied by croplands, which mostly correspond to rice fields that 383 are regularly flooded. Yet it is also possible that distance to water captured other unmeasured 384 385 features, including factors relating to socio-economic features and human activity [27]. In Cambodia, people have a clear preference for pour-flush latrines and would rather not have a 386 toilet than pit latrines, but pour flush latrines function only with water [42]. Limited 387 388 availability of water due to living away from permanent water bodies might result in decreased access to, or use of, sanitation facilities. 389

Studies that investigated risk factors for S. stercoralis infection mostly report a higher 390 risk in men, whereas the relationship between age and S. stercoralis prevalence seems to vary 391 392 across settings [9, 10, 43, 44]. In this national survey among more than 7,200 individuals aged six years and above, we found that prevalence increased with age both in men and women, 393 although men aged 50 years and above had higher odds of S. stercoralis infection than women 394 395 of the same age. Boys aged between 6 and 12 years were also more likely to harbor S. stercoralis than their female counterparts. Previously to this national survey, prevalence was 396 397 found to increase with age and reach a plateau in adulthood in North Cambodia, while no cases were found in individuals aged less than 15 years in Yunnan, China [9, 45, 46]. Yet, no 398 association between age and S. stercoralis infection was found in Lao PDR, South Cambodia, 399

400 or Zanzibar [10, 44, 47]. Age-specific infection risk is of particular importance to target
401 control programs and should be further documented.

An interesting finding was that individuals who declared having some knowledge about health problems caused by worm infections had lower odds of being infected with *S. stercoralis*, but having some knowledge about sources of infection was not associated with infection risk. While knowledge does not necessarily translate into behavior change, this result suggests that being aware of personal disease risk, –which is an important driver in health promotion and increases compliance to helminth control programs– might be a better trigger of hygienic behavior than knowing exposure sources [48, 49].

The protective effect of improved sanitation against STH infection is widely acknowledged [50-54]. We found that, compared to open defecation, defecating in latrines was protective against *S. stercoralis* infection. This result is in line with other studies conducted in Cambodia or Ecuador, and with a recent meta-analysis that included 9 studies investigating the impact of sanitation on *S. stercoralis* infection risk, and estimated a pooled OR of 0.50 (95%CI: 0.36-0.70) [9, 10, 54-57]. Of note, village-level sanitation coverage was also found to reduce re-infection risk one year after treatment in North Cambodia [46].

The present work has several limitations. First, women were overrepresented in the sample compared to the general Cambodian population and the lower prevalence among young girls and women aged 50 years and above, compared to males, might have resulted in a slight underestimation of prevalence. Most importantly, our sample was representative of the 2013 Cambodian general population in terms of age, which is strongly associated with infection risk [38].

422 Second, it was the first time that the serological diagnostic method of detecting IgG423 anti-bodies employed in this study was used for a large-scale survey. This method has proven

a high sensitivity for S. stercoralis detection, and it does not suffer from cross-reactivity with 424 425 other STH [20, 21]. However, validation of the method in different settings should be carried out. Finally, our risk factor analysis did not adjust for socio-economic status which was found 426 associated with infection risk in North Cambodia, but results from the few studies that 427 accounted for it are heterogeneous [9, 10, 46, 58, 59]. Given the strong association between 428 poverty and other STH infections, it is likely that S. stercoralis risk distribution is also 429 430 associated with socioeconomic status and future studies should account for it. Of note, the socioeconomic status was not a confounder of the relationship between age or sex and S. 431 stercoralis infection risk in North Cambodia and would probably not have substantially 432 433 affected the estimates for sex and age in the present study [46, 59].

Our study represents a clear risk map of S. stercoralis of a highly endemic setting. 434 Based on these data the population at risk can be quantified and planning of concrete control 435 approach become realistic. Further developing this operational approach in other settings and 436 with further validated diagnostic approaches will result in data bases for global planning. The 437 mainstay of the WHO strategy to control soil-transmitted helminths is preventive 438 chemotherapy, i.e. the regular treatment with mebendazole or albendazole to prevent high 439 440 intensity infections and the associated morbidity to entire populations or at-risk groups [60, 61]. However those drugs are not efficacious at a single oral dose against S. stercoralis for 441 which the drug of choice is ivermectin [62-64]. A single oral dose (200µg/kg Body Weight) 442 443 of ivermectin has recently been found to achieve a high cure rate and result in re-infection rates below 15%, one year after treatment in a highly endemic setting of Cambodia [46, 62, 444 63]. As our results demonstrate, S. stercoralis is highly endemic in all provinces in Cambodia 445 and the inclusion of ivermectin in the control program would be required [13, 64, 65]. Yet, 446 this drug is not subsidized in in regions where onchocerciasis is absent, let alone to treat S. 447 448 stercoralis, and its high cost in Cambodia, 10 USD per tablet -while up to 5 tablets may be

needed to treat an individual, depending on their weight–, precludes the deployment of controlmeasures in the country.

In absence of data on age specific morbidity, the fact that individuals of any age 451 appear to have the same risk for reinfection one year after treatment suggests community-452 wide control [46]. Yet, it appeared in a study investigating S. stercoralis related morbidity in 453 Cambodia that children and adolescents with higher parasite loads had higher odds of being 454 455 stunted, while S. stercoralis infection was found to be associated with anemia but not stunting in Argentina [17, 66]. The relationship between S. stercoralis parasite loads, morbidity and 456 transmission intensity needs to be assessed, as well as age-related infection levels, using 457 458 appropriately designed longitudinal studies. Cost-effectiveness studies of various control options are needed while mathematical models could help better appraising the parasite 459 transmission dynamics and guide control efforts, as the complex life cycle of S. stercoralis 460 461 life might yield transmission dynamics that are different from the other STH.

Cambodia benefits from a well-established STH control network and was among the first countries to reach the 75% national coverage target [67, 68]. STH control was recently scaled up to reach children in secondary and high schools, including in private schools, and women of child bearing age in factories [69]. Additionally, schistosomiasis has been successfully controlled with no severe cases recorded recently and lymphatic filariasis has been eliminated as a public health problem and is now under surveillance for elimination [68, 70, 71].

However, in this country that has demonstrated its capacity to efficiently address helminthic infections, the control of *S. stercoralis* is currently hindered by the high cost of ivermectin, which cannot be entirely supported by the Ministry of Health. Either subsidizations, donations, or the production of affordable generics are necessary to start tackling this dangerous parasite that infects almost a third of the Cambodian population.

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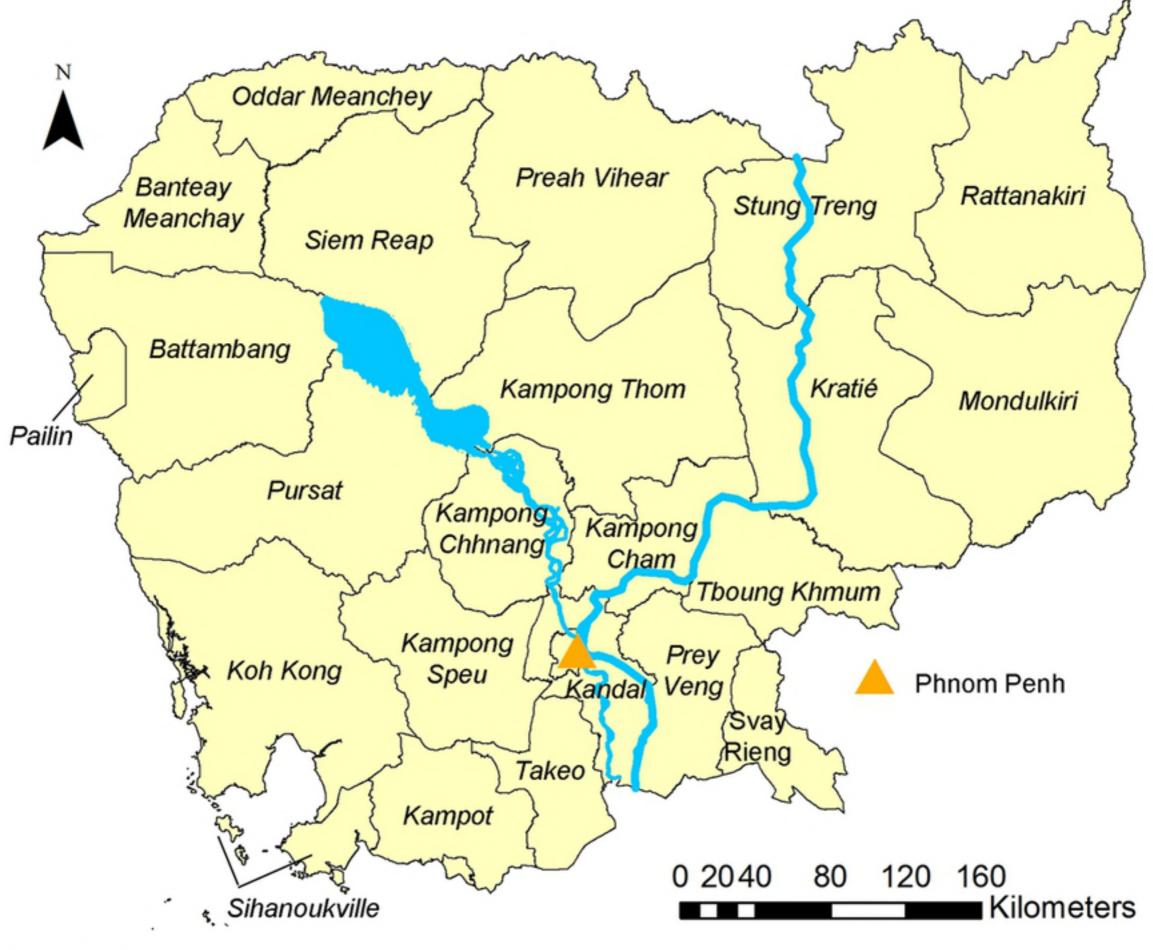
# **Supporting information captions**

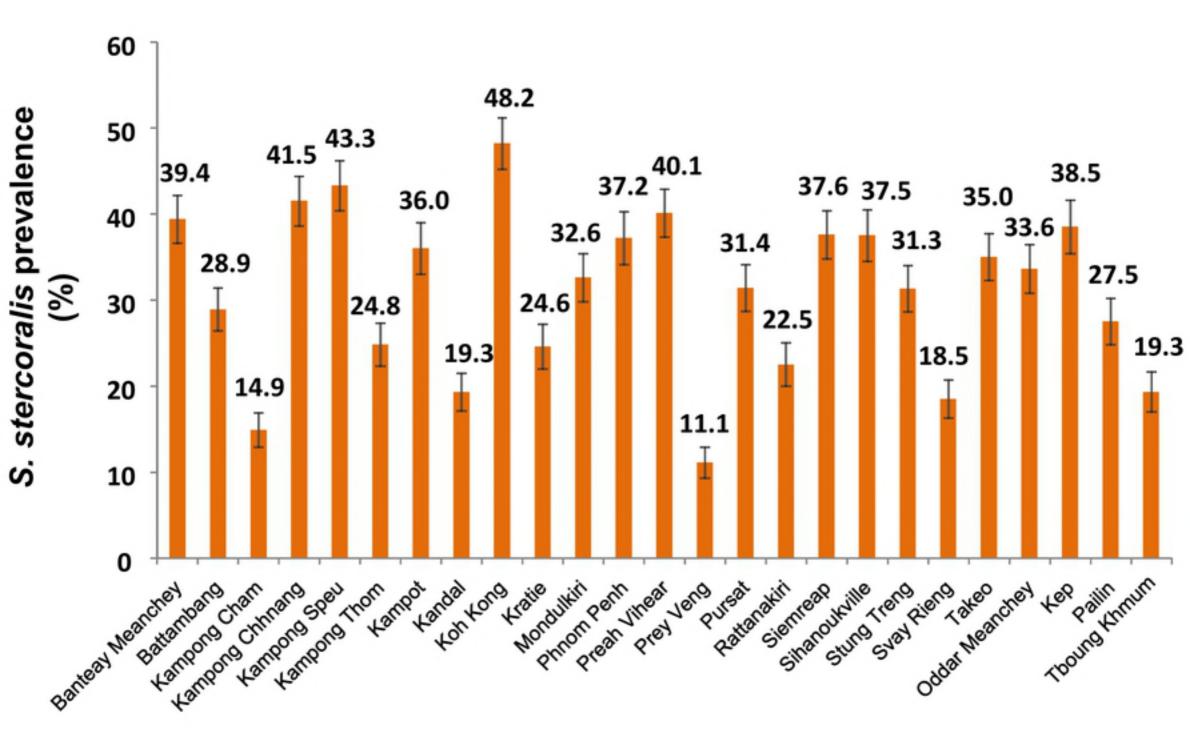
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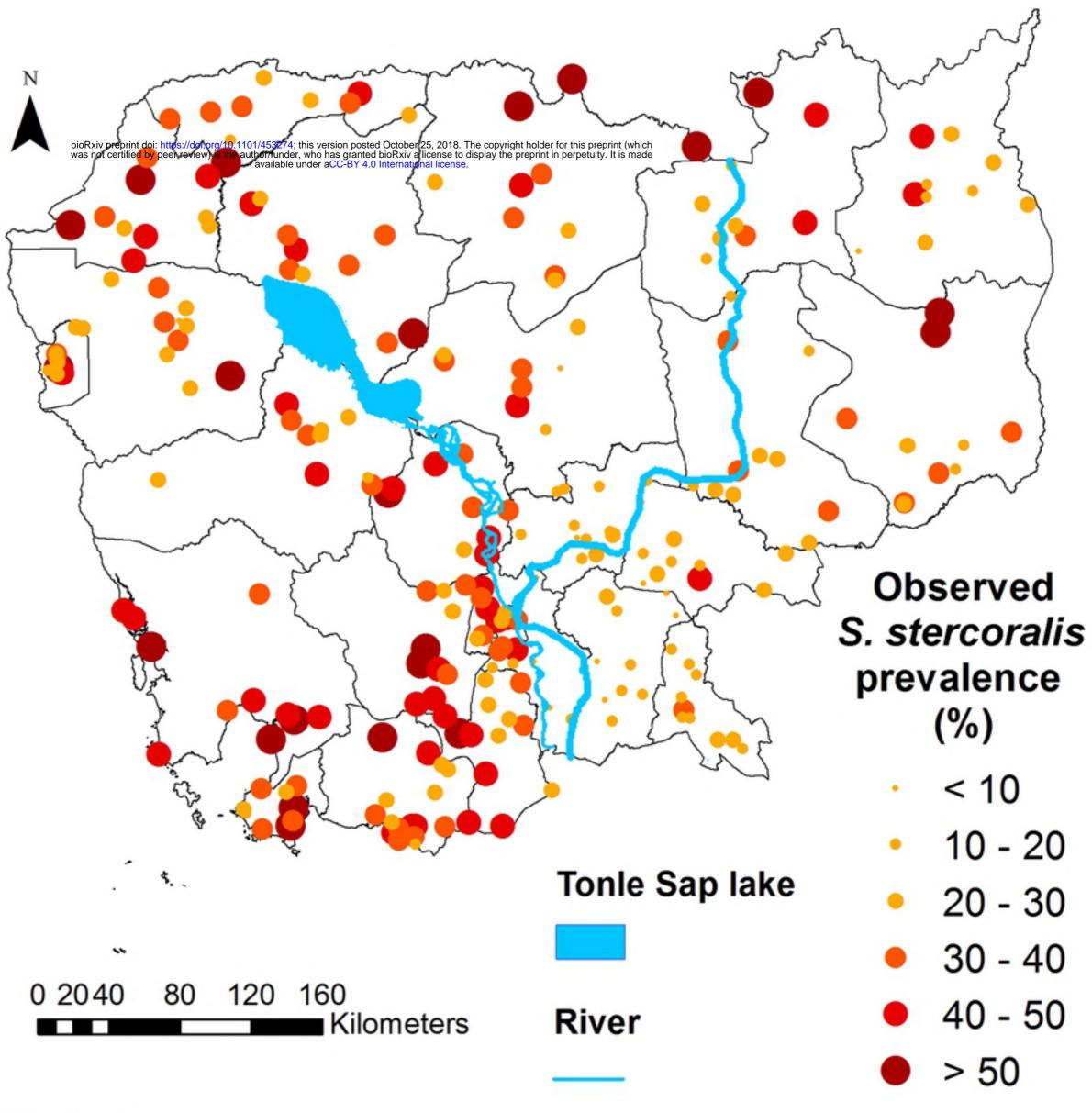
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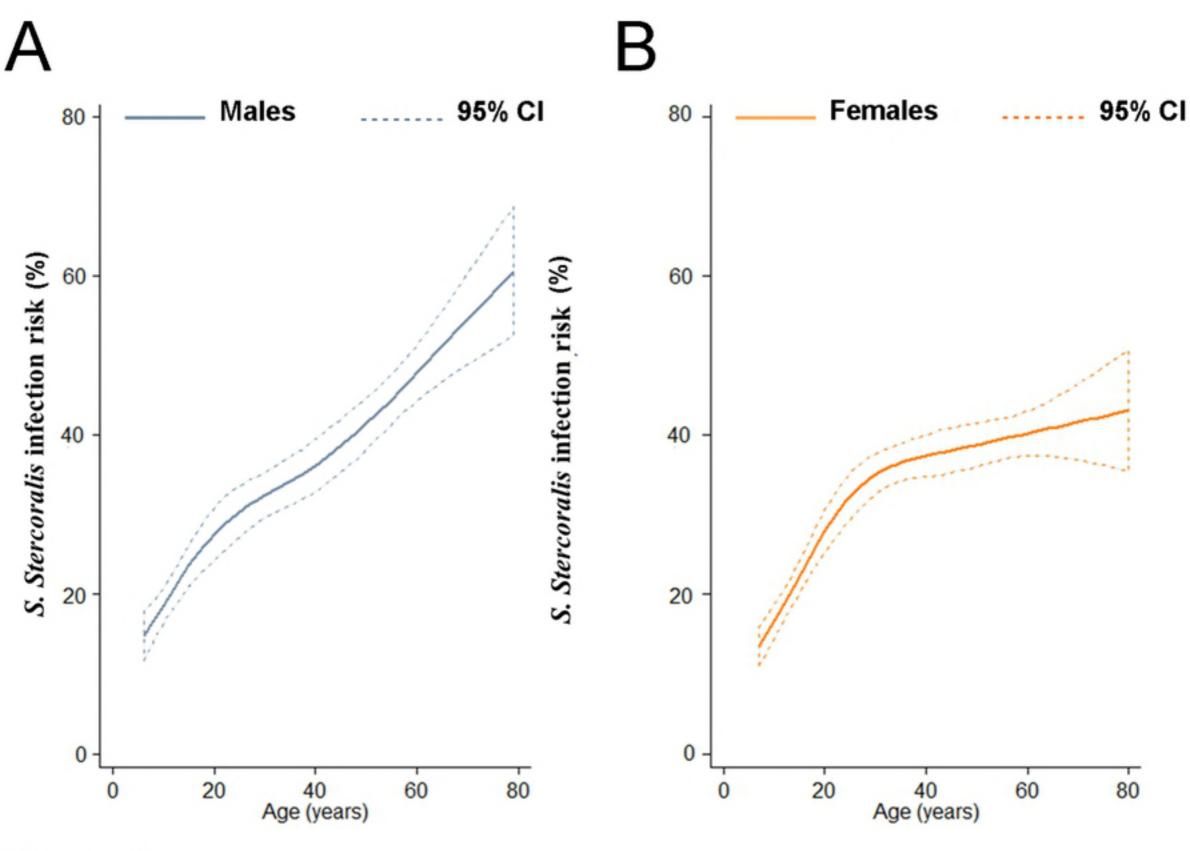
- Figure S1:Environmental predictors
- Appendix S1: Baysian model formulation
- **STROBE** Strobe statement checklist of items that should be included in reports of

cross-sectional studies









120

-80

**Tonle Sap lake** 

0 20 40

Figure 5

160

Kilometers

**Province border** 

N

Predicted S. stercoralis prevalence (median)

Rive

**Neko** 

Phnom Penh

- < 10%
  - 10 20%
- 20 30%
- 30 40%
- 40 50%
- > 50%