Title: Dendritic spikes expand the range of well-tolerated population noise structures

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Abstract:

The brain operates surprisingly well despite the noisy nature of individual neurons. The central

mechanism for noise mitigation in the nervous system is thought to involve averaging over

multiple noise-corrupted inputs. Subsequently, there has been considerable interest recently to

identify noise structures that can be averaged out to preserve reliable signal encoding. By

analyzing realistic synaptic integration in biophysically accurate neuronal models, I report a role

for a complementary de-noising approach mediated by focal dendritic spikes. Dendritic spikes

might seem unlikely candidates for noise reduction due to their miniscule integration

compartments and poor averaging abilities. Nonetheless, the extra thresholding step introduced

by dendritic spike generation could increase neuronal tolerance for a broad category of noise

structures, some of which cannot be resolved well with averaging. Computations with multiple

dendritic spikes compensate for compartment size constraints and expand the repertoire of

conditions that can be reliably processed by neuronal populations.

Introduction

Neurons generate variable responses to the repetitive presentation of the same stimulus (Faisal

et al., 2008). This variability, or noise, can corrupt the neuronal representation of the signal and

limit the amount of usable information in neuronal populations (Kohn et al., 2016). Numerous

models have examined the function of neural networks in noisy conditions (Faisal et al., 2008;

Franke et al., 2016; Kohn et al., 2016; Zylberberg et al., 2016; Zylberberg et al., 2017). In virtually

all studies, neurons were formulated to integrate their synaptic inputs linearly (McCulloch and

Pitts, 1990). However, most biological neurons are not linear integrators of synaptic inputs.

Instead, neurons have internal computational compartments formed by dendritic spikes (Branco

and Hausser, 2010; London and Hausser, 2005; Major et al., 2013; Schiller et al., 2000; Spencer

and Kandel, 1961). Coordinated synaptic activation, clustered on a small region of an individual

branch (Major et al., 2008; Schiller et al., 2000) can recruit dendritic voltage-gated channels and

N-methyl-D-aspartate receptors (NMDARs). Sufficiently strong activation of these channels leads

to a positive feedback loop forming the regenerative dendritic spike. The resulting amplification

of the synaptic drive to the dendrite could potentially function to boost representation of salient

input patterns (Hawkins and Ahmad, 2016; Lavzin et al., 2012; Palmer et al., 2014; Schmidt-

Hieber et al., 2017; Smith et al., 2013).

The size of the dendritic integration compartment and the somatic depolarization following

dendritic spike generation depend on cell type, and the location of the stimulated region (Branco

and Hausser, 2011; Major et al., 2008), and can be surprisingly small. Often, activation of just a

handful of synaptic inputs is sufficient for dendritic spike generation (Branco and Hausser, 2011;

Major et al., 2008; Schmidt-Hieber et al., 2017). Small integrative compartment sizes and limited

interactions with synaptic inputs elsewhere on the dendritic tree (Poirazi et al., 2003; Polsky et

al., 2004) form the backbone of independent dendritic computational units (Hausser and Mel,

2003; Hawkins and Ahmad, 2016; Rhodes, 2008; Wu and Mel, 2009). Dendritic subunits can

theoretically substantially increase neuronal abilities to process and store information; yet, small

dendritic compartment sizes may pose a challenge for informative computations in the presence

of a noisy synaptic drive.

To illustrate the idea, consider the case of a neuron tasked with discriminating between two

stimuli. We assume that the cell is innervated by a presynaptic population of neurons that

change their firing rate depending on signal identity. In this example, the presence of the

preferred stimulus is encoded with 1 AU firing rate and a null (distractor) stimulus with 0.5 AU

(Figure 1 A). We now ask how active synaptic integration of these inputs affects the ability of the

postsynaptic cell to differentiate between the stimuli.

In the absence of noise, linear integration of the presynaptic inputs results in two perfectly

separatable postsynaptic signals (Figure 1 A, E dotted lines). A linear integrator can perform this

task even in the presence of significant input variability. For example, a stochastic process with

Poisson-like (variance=mean, see methods) variability, a noise structure that is common in the

brain (Smith et al., 2013; Zhu et al., 2015; Zylberberg et al., 2016), significantly affects signaling

intensities in individual presynaptic cells (Figure 1 B). However, linear integration of multiple

presynaptic inputs reduces the trial-to-trial variability of the postsynaptic signal (the postsynaptic

variability is proportional to the presynaptic variability divided by the square root of the number

of inputs, Figure 1 B, right, E). Linear synaptic integration appears therefore to be an appropriate

mechanism to preserve the separation between postsynaptic stimuli representation in the

presence of stochastic noise (Figure 1 G, red).

In contrast to the whole-cell linear integrator, similar noise structures degrade the reliably of

synaptic integration in dendritic subunits (Figure 1 H). As dendritic spikes sample only a tiny

portion of the total synaptic drive to the cell, integration of synaptic inputs in the presence of

stochastic noise is associated with high postsynaptic trial-to-trial variability (Figure 1 I). This

variability lessens the accuracy of input amplification by dendritic spikes (Figure 1 J), producing

false positive (amplification of the weak stimulus) and false negative (a lack amplification of the

strong stimulus) responses (Fig 1 J, black). This result suggests that synaptic integration in a

dendritic compartment is unreliable in the presence of stochastic noise, indicating a potential

computational weakness of dendritic subunits (Figure 1 M, red).

In this study, I examine whether the enlistment of active dendritic subunits affects the

robustness of neuronal computations in noisy environments. By analyzing postsynaptic

responses to different population activity patterns, I propose a novel role for dendritic spike

threshold as a powerful denoising mechanism. Interestingly, my results indicate that dendritic

subunits are exceptionally capable of mitigating noise structures that are resistant to averaging,

such as stimuli misclassification. Accordingly, the integration of misclassified synaptic inputs

improves significantly in neuronal models that incorporate active dendrites. Furthermore, I show

that information processing in parallel dendritic subunits is tolerant to various presynaptic noise

structures. Taken together, the processing abilities of dendritic spikes challenge the noise

tolerance rules formulated for linearly integrating neurons and extend the dynamic range of

biological brains.

Results

The simple model shown in Figure 1 suggests that stochastic variability has a high potential to

have a negative impact on the performance of dendritic subunits. This observation does not

mean that nonlinear dendritic computations require low-noise operating conditions. Instead,

dendritic spikes may not be particularly well suited to resolve high-variance noises. Considering

that recent physiological and modeling studies support the assumption that stochastic noise is

not the only and likely even not the most prevalent noise structure in the brain (Babadi and

Sompolinsky, 2014; Beck et al., 2012; Gollnick et al., 2016), it may be relevant to explore synaptic

integration in dendrites in the presence of other presynaptic noise structures.

Dendritic spikes can theoretically enhance postsynaptic responses to classification errors

As an inspiration for a non-trivial noise source, I have considered synthetic digital systems. A

common communication error in computers is a (mis)classification error, also known as a bit

encoding error, which manifests as a mismatch between the transmitted and the received

signals (Shannon, 1948). On Figure 1 C I examined the effect of classification errors on signal

decoding in the simple neuronal model. Unlike stochastic noise that changed the amplitude of

the presynaptic responses, classification errors affected the consistency of stimuli encoding. In

the noise-free condition, the higher/lower firing rates always corresponded to the preferred/null

stimuli respectively. In the presence of classification errors, some of the presynaptic cells were

simulated to respond with 1 AU to null and 0.5 AU to preferred stimuli (Figure 1 C, F). In the

presented example, I randomly flipped signal encoding in this way in 15% of the inputs. These

stimulus encoding errors affect the ability of the postsynaptic cell to determine stimulus identity

because of the decreased difference between postsynaptic stimuli representations (Figure 1 F).

In our case, the analytical solution for the mean summed signal is

$\Sigma\omega_1 = [x_1 \cdot (1 - E_r) + x_2 \cdot E_r] \cdot n$

Where $\Sigma\omega_1$ is the summed input to stimulus 1 in the postsynaptic cell, x_1 and x_2 are the noise-

free responses in individual presynaptic cells to the two stimuli (1 and 0.5 AU), E_r is the error rate

(15%), and n is the number of presynaptic inputs. As follows from the equation, classification

errors would always affect the mean postsynaptic response, and the difference between the

postsynaptic signals should diminish proportionally to the imposed error rate (Figure 1 F, G,

blue).

Additionally, in contrast to stochastic noise, pooling across large presynaptic pool does not

reduce the negative impact of classification errors. Therefore, averaging is not a viable strategy

to address misclassification. Instead, this input structure necessitates a denoising mechanism

that is based on a decision threshold – such as the threshold formed by regenerative dendritic

spikes. In fact, a handful of synaptic inputs are sufficient for a threshold-based mechanism to

establish reliable (with >95% accuracy) responses (Figure 1 K-M). As I show below in multi-

compartmental neuronal models performing biologically relevant computations, realistically

formulated dendritic compartments can categorize stimuli accurately in the presence of

classification errors. Moreover, dendritic spikes dramatically improve neuronal robustness to

noise and sharpen signal representations in neural networks, unlike linearly integrating cells.

Comparison between integration schemes in a multi-compartmental model

Motivated by the predictions of the simple model, I set out to probe signal processing in a

detailed multi-compartmental NEURON model of a layer 2/3 cortical pyramidal cell (see

methods). I considered an innervation scenario where stimulus identity set the number of spikes

in the presynaptic cell population (Figure 2, methods). The temporal sequences of the

presynaptic firing patterns were generated semi-randomly in each simulation run (Figure 2,

methods). To approximate a linear synaptic integration, I distributed the α-amino-3-hydroxy-5-

methyl-4-isoxazolepropionic acid receptor (AMPAR)-containing synapses randomly over the

entire dendritic tree of the postsynaptic cell (Figure 2 A, B). AMPAR conductance is ohmic;

henceforth this integration model will be referred to as 'ohmic-linear'. To model synaptic

integration with dendritic computational units, I clustered a group of synapses containing both

AMPAR and NMDARs on a single dendrite to facilitate generation of NMDA spikes (Figure 2 C, D).

The postsynaptic cell could discriminate between the stimuli in both integration modes (Figure 2;

Figure 2-figure supplement 1). To draw informative comparisons between integration schemes, I

gradually increased the number of synaptic inputs in the dendritic spike integration scenario

until the number of somatic spikes matched the postsynaptic output of the ohmic-linear model

(2±0.1 APs, Figure 2-figure supplement 1). Stimulation of eight clustered synaptic inputs reliably

invoked dendritic spikes and matched the somatic firing rate obtained with 200 AMPAR-only

synapses for the preferred stimulus (Figures 2, 2-figure supplement 1).

Distinct noise tolerance of dendritic spikes is evident in a multi-compartmental model

I found presynaptic noise structures to have characteristic effects on the integration of synaptic

information. The stochastic noise did not significantly affect the mean number of postsynaptic

spikes recorded in the ohmic-linear integration scenario (Figure 3-figure supplement 1 A, B, left).

To quantify the ability of the postsynaptic cell to differentiate between the stimuli, I used the

Receiver Operating Characteristic (ROC) curve, a commonly used discrimination metric to

examine the true positive as a function of the false positive rates at various threshold settings.

The normalized area under the ROC curve can vary between 0.5 - no discrimination and 1 -

perfect performance. The area under the ROC curve measured for the postsynaptic firing was

not affected by the introduction of the stochastically variable synaptic drive (from 0.9 ± 0.05 in

control to 0.92 ± 0.06 with noise, Figure 3 A, left). In line with the prediction of the simple model,

this noise structure had a pronounced effect on postsynaptic signaling in dendritic

computational subunits, mainly due to an elevated rate of false negative responses, were

preferred stimulation was not followed with a postsynaptic AP (Figure 3-figure supplement 1 A,

B, right). Consequently, the area under the ROC curve was reduced from 0.99 ± 0.01 in control

conditions to 0.78 ± 0.07 in the presence of stochastic presynaptic synaptic drive (Figure 3 A,

right).

Similar results were observed when the information content of the postsynaptic output was

measured with a mutual information metric (methods). The peak information in a binary

discrimination task is 1 bit per trial, and a random input conveys zero bits. In the ohmic-linear

model, the stochastic noise did not change the postsynaptic information rate (Figure 3 B, left). As

discrimination was nearly perfect for synaptic processing with dendritic subunits in control, the

information was close to unity (0.99 ± 0.01 bits), and dramatically diminished in the presence of

stochastic input variability the (0.37 ± 0.09 bits, Figure 3 B, right; $p<0.001$, n=1000, ANOVA

followed with Tukey test).

Next, I repeated the simulations in the presence of classification errors. In the ohmic-linear

integration scenario, classification errors reduced the difference between the number of somatic

spikes evoked by the two stimuli - despite signal transformation by somatic threshold

nonlinearity (Figure 3 A, left). Subsequently, the area under the ROC curve and mutual

information calculated from the postsynaptic firing were significantly degraded by classification

errors (Figure 3 A, B, left). In contrast to the ohmic-linear scenario, the effects of classification

errors on synaptic integration with dendritic spikes were quite mild. Both the area under the

ROC curve (0.92±0.05, Figure 3 A, right) and the postsynaptic mutual information (0.75±0.08,

Figures 3 B, right, 3-figure supplement 2, right) improved over the simulations in the presence of

stochastic noise. These results, observed over a wide range of synaptic inputs to the dendritic

computational unit (Figure 2-figure supplement 1) and error rates (Figure 3-figure supplement

1), echo the enhanced tolerance of dendritic compartments to misclassification errors seen in

the simple model (Figure 1).

To control for the possibility that the difference between synaptic integration scenarios

originated due to a different postsynaptic glutamate receptor composition, I examined synaptic

integration with voltage-independent (ohmic) NMDARs (Figure 3-figure supplement 3, methods).

Ohmic NMDARs preserved the prolonged postsynaptic depolarization observed in dendritic

subunits but did not evoke dendritic spikes (Figure 3-figure supplement 3). Due to the loss of

dendritic amplification, a comparable number of synapses were required to induce somatic firing

either when inputs were clustered on an individual branch or randomly distributed over the

whole cell. Unlike in control conditions, however, the information capacity of dendritic synaptic

integration with ohmic NMDARs was reduced in the presence of classification errors (Figure 3-

figure supplement 3). The result mirrors globally distributed ohmic-linear integration (Figure 3, 3-

figure supplement 3) and implies that generation of dendritic spikes mediates the tolerance of

dendritic subunits to classification errors.

Enhanced robustness of dendritic computation of direction selectivity to classification errors

In the simulations presented above, the computational task was binary discrimination between

stimuli. In the following section I examined whether the effects of noise on postsynaptic

computations can be generalized to direction selectivity (DS), a classic neuro-computation

commonly used to analyze neuronal responses in the presence of noise (Cafaro and Rieke, 2010;

Franke et al., 2016; Kohn et al., 2016; Zylberberg et al., 2016). Direction selectivity and the

related computation of orientation selectivity often recruit dendritic computational subunits

(Lavzin et al., 2012; Oesch et al., 2005; Sivyer and Williams, 2013; Smith et al., 2013; Trenholm et

al., 2014; Wilson et al., 2016) and thus represent relevant computational task to compare

between linear and dendritic subunits integration models.

I probed direction selectivity by recording the firing in the postsynaptic cell in response to

directional cues coming from the synaptic drive. In brief, directional tuning of each presynaptic

cell set its firing rate. For consistency with previous simulations, the tuning curve of the cell

peaked at its preferred direction (PD) and elicited twice as many presynaptic spikes as did the

null direction (ND) (Figure 4 A, black). The amplitude and the direction of the preferred response

varied between presynaptic cells (methods).

The stochastic noise was modeled as before (Figure 4-figure supplement 1). To account for

multiple directions of stimulation, I implemented classification errors by shifting the directional

preference of presynaptic cells (Figures 4 B, 4-figure supplement 1). In each simulation trial, I

rotated the entire directional tuning curve for each presynaptic cell by a random value taken

from a normal distribution with a standard deviation of 45° (Poleg-Polsky et al., 2018). This effect

mirrors labile directional tuning found experimentally in the cortex (Benucci et al., 2013; Felsen

et al., 2002; Jeyabalaratnam et al., 2013) and other brain regions (Rivlin-Etzion et al., 2012).

Next, I recorded dendritic potentials and somatic signals in the presence of stochastic noise

(Figure 4 C, D) and classification errors (Figure 4 E, F). I first examined the sharpness of

directional tuning with Direction Selectivity Index ($DSI = \frac{PD-ND}{PD+ND}$). The tolerance of direction

selectivity computation to presynaptic variability was generally similar to the two-stimulus

discrimination task described above.

In the ohmic-linear integration, the DSI of the postsynaptic cell was only marginally better in

noise-free simulation than the DSI of the input (0.39±0.32 vs. 0.33, Figures 4 G, left, 4-figure

supplement 1). Direction selectivity improved with stochastic noise to DSI=0.51±0.37 and

became reduced with classification errors (0.25±0.23, Figure 4 G, left; p<0.001 between the

three simulated conditions, n=1000, ANOVA followed by Tukey test). Similar trends were

observed for the information content of the postsynaptic cell (Figures 4 G, right, 4-figure

supplement 1) and the variability of the computed angle of the postsynaptic PD (Figures 4 H, 4-

figure supplement 1, methods).

In the active dendritic integration scenario, noise-free stimulation at the ND seldom provoked

dendritic spikes - resulting in an excellent postsynaptic DSI and high information content

(0.84±0.22 and 1.2±0.02 bits/trial respectively, Figures 4 I, black, 4-figure supplement 1). As seen

above for the binary discrimination task, the computation was significantly impacted by the

presence of stochastic noise (Figure 4 I, J, red), but less so by classification errors (Figures 4 I, J,

blue, 4-figure supplement 1).

Dendritic computations in the presence of background synaptic activity

In the simulations presented so far, the postsynaptic cell was stimulated with signal-bearing

synapses only. However, cortical cells in-vivo are exposed to a seemingly random background

synaptic bombardment (London et al., 2010; Petersen, 2017). Variable membrane potentials

mediated by the background drive can directly affect dendritic spike generation (Lavzin et al.,

2012; Major et al., 2008; Smith et al., 2013). Can dendritic computations remain reliable in this

challenging condition?

To assess the sensitivity of dendritic processing to a more realistic synaptic environment, I added

a signal-independent synaptic background. This background activity consisted of excitatory and

inhibitory synapses distributed randomly over the dendritic tree (Figure 5 A, C, methods).

Activation of these inputs depolarized the cell, increased the trial-to-trial variability of the resting

membrane potential and introduced background firing (Figure 5 B, D) (Fellous et al., 2003). The

modeled cell was able to discriminate between preferred and null stimuli across background

bombardment levels and integration scenarios. Both in the ohmic-linear and in active dendritic

models postsynaptic firing gradually increased as a function of background activity intensity

(Figure 5 E, F, left). Because both preferred and null responses were elevated by the same

amount, the information content of the neuronal output was preserved for a most tested

background bombardment levels (Figure 5 E, F, right). As before, dendritic computations in the

presence of classification errors consistently outperformed stochastic noise simulations, while

the opposing trend was observed in the ohmic-linear integration scheme (Figure 5 E, F, right).

Dendritic spikes enhance noise tolerance of neural networks

The difference between the suboptimal transformation of directional information in a realistic

neuronal morphology to the linear expectations from conceptual neuronal models could have

significant implications for signal processing in neuronal populations. Do the effects of

noise/errors on the propagation of information between processing layers abide by the logic

described for synaptic integration in a single cell or perhaps by a different set of rules, as

suggested recently (Zylberberg et al., 2017)?

To examine this question, I implemented a 2-layered feed-forward network of multi-

compartmental neurons. Neurons in the first network layer (L1) were innervated exclusively with

the signal bearing inputs, as in Figures 2-3, without background bias. Neurons in the second layer

(L2) combined the output of multiple L1 cells (Figure 6, methods). The simulation was executed

for various combinations of a different number of inputs to L1 cells and network sizes.

Processing in a network composed of ohmic-linear processing cells generally improved signal

discrimination(Figure 6 B-D). However, this was not universally the case; Figure 6 C, bottom

shows the mean mutual information obtained from L2 cells innervated either by 200 (solid) or

400 (dotted) L1 cells. Whereas integration of 200 L1 cells produces almost perfect discrimination

(black, measured as information rate of 1 bit per trial), the information in a network composed

of 400 L1 cells is not significantly different from discrimination in typical L1 neuron (Figure 6 B,

bottom).

If filtering of synaptic input by two threshold nonlinearities underlies the beneficial effect

observed in dendritic spike simulations, a 2-layered ohmic-linear cell network should improve

stimulus discrimination in the presence of classification errors. At odds with this assumption,

however, the mutual information computed from the output of a network of ohmic-linear cells

(Figure 6 C, D, blue) was often significantly below the level of signal discrimination observed in a

cell with active dendritic subunits (Figures 3; 6, F, blue).

In agreement with the unique role of dendritic spikes in synaptic processing, a network

consisting of neurons with nonlinear dendritic subunits consistently enhanced signaling across

processing layers (Figure 6 E-H). In most simulations, L2 cells did not fire spikes to the null

stimulus (Figure 6 G, top). The improvement in mutual information was especially prominent for

stochastic noise (Figure 6 G-H, red) and signal discrimination was perfect for many network

arrangements in the absence of noise or with classification errors (Figure 6 G, H). These results

promote the view that signal-processing abilities of a single cell with dendritic computational

units can outperform signal manipulation in a network composed of multiple ohmic-linear

neurons. Furthermore, as a network of neurons with dendritic computational subunits can

compensate for the low stochastic noise tolerance observed at the level of a single cell (Figure 3,

red), dendritic processing in a neural network increases the robustness of neuronal

computations to a wide range of input conditions.

Enhanced noise tolerance in a neuron with multiple dendritic computational subunits

Having established the ability of a neural network to withstand stochastic noise, I set out to

examine whether similar noise tolerance can take place within a single neuron. Synaptic

information flow in large pyramidal cells conceptually follows the architecture of a 2-layered

network (Poirazi et al., 2003; Polsky et al., 2004). In this formulation, individual branches form a

dendritic processing layer that sums synaptic inputs up to dendritic spike threshold. The output

from the dendritic computation compartments combines at the soma, forming the second

computational layer.

In contrast to previous simulations, where all signal-bearing synapses targeted a single branch, in

my next model I distributed 30 synaptic inputs over five basal dendrites of a cortical layer 5

pyramidal cell (Figure 7 A, see Figure 7-figure supplement 1 for integration over a different

number of inputs). The choice of the neuron is justified: dendritic spikes generated on the distal

portion of the basal dendrites of layer 5 cells are typically subthreshold to somatic AP, and a

cooperative activation of multiple dendritic units is required to reach somatic threshold (Figure 7

A, inset) (Major et al., 2008; Polsky et al., 2009; Polsky et al., 2004). In the simulated layer 5 cell,

postsynaptic firing was likely with two or more dendritic spikes and spikes in four or five

dendritic compartments essentially guaranteed a supra-threshold somatic response (Figure 7 A).

Synaptic integration with multiple dendritic subunits in control conditions and with classification

errors was comparable to the performance of a cell with a single dendritic compartment (Figures

3, 7 C, D). A pronounced positive effect of multi-compartmental integration was observed in the

presence of stochastic noise, where the information present in the postsynaptic output, while

still lower than in noise-free condition (Figure 7 D; 0.79±0.05 vs. 0.98±0.05, p<0.001, n=1000,

ANOVA followed by Tukey test), dramatically improved compared to the single dendritic spike

case (Figure 3).

Finally, careful inspection of the findings suggested that the enhanced noise tolerance found in a

layer 5 cell could be due to the increased overall number of activated synapses. If the number of

inputs sets the noise tolerance rules, stochastic noise may perform equally well in a layer 5

neuron with a single dendritic compartment. Conveniently, both the size of the dendritic

computation unit - in terms of a the number of inputs required to generate a dendritic spike -

and the resulting peak somatic depolarization increase for proximal stimulation locations

(Behabadi et al., 2012; Major et al., 2008). I found that focal stimulation with 30 inputs at a spot

located 45 µm from the soma drives AP generation with metrics that are similar to multi-

dendrite simulation in control (noise-free) condition (Figures 7 E-H, 7-figure supplement 1,

black). The postsynaptic response profile in the presence of stochastic noise and classification

errors in the single proximal stimulation scenario was indistinguishable from the multi-dendrite

simulation (Figure 7 red, blue). This result supports the conclusion that the more substantial

number of inputs required to trigger somatic firing in layer 5 pyramidal cells could assure

robustness to noise.

Discussion

Here I describe for the first time that neuronal computations with dendritic processing units do

not follow the noise tolerance rules established in conventional population models. Simple

considerations and biophysically realistic neuronal models demonstrate different denoising

strategies and robustness to dissimilar noise structures between linearly integrating neurons and

synaptic input transformation with dendritic spikes (Figure 8).

In mammals, various neuronal types possess the necessary dendritic machinery for focal

dendritic spike generation (Manita et al., 2017). Dendritic spikes are potent mediators of somatic

firing (Grienberger et al., 2014; Oesch et al., 2005; Palmer et al., 2014; Schmidt-Hieber et al.,

2017). They contribute to neuronal computations in-vivo and correlate with perception (Lavzin

et al., 2012; Manita et al., 2017; Schmidt-Hieber et al., 2017; Smith et al., 2013; Takahashi et al.,

2016; Wilson et al., 2016). The calcium influx associated with a dendritic spike is an important

mechanism for induction of synaptic plasticity (Gambino et al., 2014), linking dendritic

regenerativity with learning (Kaifosh and Losonczy, 2016; Schiess et al., 2016) and memory

formation (Guerguiev et al., 2017; Sheffield and Dombeck, 2015). These multiple lines of

investigation strongly suggest that reliable dendritic spike generation is essential for brain

function.

Integration of different noise sources

Currently, the consensus is that by combining neural responses, the brain can then average out

the impact of noise and increase the signal to noise ratio (SNR). Averaging is especially useful in

limiting stochastic fluctuations in synaptic signals – implying that stochastic noise is relatively

benign for a linear synaptic integration, but only when the sampled presynaptic population is

large. As I show here, due to their relatively small integrative compartment seizes, dendritic

spikes could be particularly sensitive to stochastic synaptic signal variability at the SNR levels that

are present in the brain (Magee, 2000; Smith et al., 2013; Zavitz et al., 2017; Zylberberg et al.,

2016). This disruptive potential of stochastic noise can be partially negated by at least three

strategies as revealed in this work: larger dendritic compartment size (Figure 7), synaptic

integration in multiple dendritic subunits on the same neuron (Figure 7), or in subunits across

different cells (Figure 6).

While most studies on neural computations to date assumed a stochastic population noise

structure, noise representation in the brain is a fundamental open question in neuroscience. I

take the question of 'what is noise?' one step further. A broad class of neuronal computations

can be formulated as discrimination problems, where the network is tasked with sorting the

input into distinct categories. Beck et al (2012) provided compelling theoretical evidence to

suggest that misclassification noise is practically unavoidable in complex nervous systems due to

suboptimal inference (Beck et al., 2012). Misclassifications plague artificial neural networks; our

conscious experience suggests that classification errors are common in biological brains. Instead

of treating classification errors as computational failures, I propose to consider them as a noise

source that decreases the accuracy of the computation. Despite the high trial-to-trial SNR levels

in the presence of classification errors, linear mechanisms cannot average away this noise,

irrespective of the size of the presynaptic population (Figures 1, 3) and the number of neurons

dedicated to the task (Figure 6). Critically, the situation is different with active dendritic

computations. Nonlinear dendritic synaptic integration utilizes spike threshold to increase the

reliability of presynaptic input classification (Figures 1, 3). In Figures 1-3, I showed how this

process works for a binary decision process; the probability of dendritic spike initiation encodes

the information in the case of a continuous variable (Figure 4). These results are consistent and

expand previous theoretical observations that dendritic computations are reliable with high SNR

input manipulations (Hawkins and Ahmad, 2016; Rhodes, 2008; Wu and Mel, 2009).

Evidence for classification noise in neurons

Experimental results support the notion that classification errors are present in the brain.

Cortical responses to sensory stimulation can be binary, composed of a single or no action

potentials, as originally described by DeWeese et al. in the primary auditory cortex of the rat

(DeWeese et al., 2003) and more recently seen in a mouse barrel cortex (Hires et al., 2015;

Ranjbar-Slamloo and Arabzadeh, 2017). Neurons in these areas fire for most, but not all,

repeated stimulation trials and often have a non-zero background firing rate in the absence of

stimulation (DeWeese et al., 2003; Tao et al., 2016). This response profile is incompatible with a

Poisson process (DeWeese et al., 2003). Instead, these responses are identical to the binary

classification errors described here (Figures 1, 3).

Classification errors are harder to distinguish from the stochastic process for a stronger firing

response (DeWeese et al., 2003; Tao et al., 2016) and more complex stimulation paradigms

(Poleg-Polsky et al., 2018). A useful criterion that can discriminate between different noise

structures is symmetry. By definition, stochastic process elicits responses that are normally

(symmetrically) distributed around the noise-free mean (Poleg-Polsky et al., 2018). Thus, trial-to-

trial response variability that is not symmetric is likely to arise from a different source. An

example of a complex, non-stochastic signal variability in the brain was demonstrated by Gollnick

et al. (Gollnick et al., 2016). The authors revealed a probabilistic cortical population encoding of

whisker deflection intensity where the sensory input affected the likelihood of a neuronal

response, but not the mean response intensity. Furthermore, the magnitude of whisker

deflection could not be estimated correctly with a linearly operating ideal observer, in line with

my findings that linear decoders fail with a non-stochastic input profile.

Active dendrites and neural networks

The presented evidence points to the conclusion that the common assumption that ohmic-linear

synaptic integration with AMPARs is similar to an idealized linear integrator is incorrect.

Especially telling was the observation that a network composed of ohmic-linear cells

underperformed as a binary discriminator, as in many cases it did not fare better than a single

ohmic-linear cell, while neurons with dendritic amplification mechanism excelled in this task

(Figure 6). This finding resonates with progressive enhancement of metrics of direction and

orientation selectivity in the cortex. In mice, thalamic input to V1 is weakly tuned (Sun et al.,

2016) similar to the presynaptic drive used in current simulations (Figure 4). The increase in

cortical visual selectivity between processing layers is most pronounced in neurons that exhibit

dendritic nonlinear input-output transformation (Wilson et al., 2016), [but see (Jia et al., 2010)].

Suppression of dendritic spikes in the cortex by hyperpolarization or intracellular perfusion of

NMDAR blockers decrease subthreshold and suprathreshold selectivity, confirming that dendritic

amplification mechanisms are crucial for synaptic integration (Lavzin et al., 2012; Smith et al.,

2013). With newly emerging imaging technologies, it will be possible to probe the dendritic

input-output signal transformation experimentally and to gain a deeper understanding of the

mechanistic basis of processing of sensory information in the brain.

To conclude, I argue that while computations with dendritic spikes are necessary to enhance

biologically relevant signal features, noise tolerance of dendritic processing units is tuned to

noise structures that differ from the 'benign' noise types established for linearly integrating

neurons (Figure 8). The degree by which population noise interferes with neuronal computations

should, therefore, be studied in the context of postsynaptic integration. These conclusions are

based on analysis of a binary discrimination task and direction selectivity, but they should

generalize to many neuronal computations and apply to a range of tasks solved by our brains.

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Methods

The simulations were conducted on multicompartmental models using the NEURON 7.4

simulation platform. The modeled cells were subdivided into 483-1022 compartments, with a

maximum length of 19 μm. For the layer 2/3 pyramidal cell, the area of the soma was 1350 μm^2,

and the total dendritic length was 3320 μm^2. For layer 5 pyramidal cell, somatic area was 2380

μm^2, and the total dendritic length was 9420 μm^2. The resting membrane potential was set to -

60 mV, the membrane resistance was set to 10,000 Ω·cm^2, the axial resistance was 100 Ω·cm,

and the membrane capacitance was set to 1 μF/μm^2. The simulations that included sodium and

potassium voltage-gated currents used Hodgkin-Huxley kinetics formalism. Specifically, fast

sodium channels (reversal potential=50 mV, gNa=200 mS/cm^2), and delayed rectifier and slow

non-inactivating potassium channels (reversal potential=-87 mV, gKdr=30 mS/cm^2 , gKs=1

mS/cm^2) were used to allow for spike generation and adaptation, respectively (Lavzin et al.,

2012).

Synapses: Excitatory postsynaptic synapses contained AMPARs and NMDARs. AMPAR currents

had an instantaneous rise time and a decay time of 1.5 ms. NMDAR currents had a rise time of 2

ms and a decay time of 100 ms. The synaptic current reversed at zero, and the unitary

AMPAR/NMDAR conductance was 1 nS. The NMDAR conductance voltage dependence was

modeled as follows: gNMDA=$\frac{1}{1+0.25e^{-0.08Vm}}$ where Vm is the local membrane potential. When

ohmic-linear synaptic integration was modeled, synapses were distributed over the entire

dendritic tree and NMDAR conductance was typically set to zero to prevent spurious dendritic

spike generation by randomly clustered synapses. When indicated, voltage-independent

NMDARs were included ohmic-linear distribution. In these simulations, the voltage factor in

NMDAR conductance was set to the resting membrane potential (-60 mV) for the duration of the

simulation. In simulations with inhibitory GABA$_A$ synapses, GABAergic synaptic conductance was

set to 1 nS, the reversal potential to -70 mV, and the decay time to 7 ms.

Presynaptic stimulation: Directionally selective simulations: The angle of preferred direction for

the presynaptic cell population was chosen from a normal distribution with a mean at 180° and

SD of 45°. The postsynaptic cell was assumed to prefer inputs from cells aligned with its PD

(180°); towards this goal, the amplitude of each presynaptic cell was multiplied by $e^{-i\theta_i^2/45^2}$,

where θi is the difference (in degrees) between the PD angle of the presynaptic and the

postsynaptic cell i . DSI was calculated as $DSI = \frac{PD-ND}{PD+ND}$. The DSI of the presynaptic population

was set to 0.33. To measure the variability of the computed PDs from the 'true' PD that was

encoded by the presynaptic trains, I computed the difference between the postsynaptic PD and

true PD angles in each simulation round (composed of 8 symmetrically arranged directional

trials). The standard deviation of the resulting distribution was used to quantify the dispersion of

the estimated postsynaptic tuning (Poleg-Polsky et al., 2018).

The tuning profile of presynaptic cells was set according to the following generalized normal

distribution: $A_i = 0.5 + 0.5e^{(-\theta_i - \alpha)^4/\omega^4}$, where Ai is the amplitude of presynaptic cell i, α is the

angle of stimulus direction, and ω is the width of the presynaptic tuning profile (90°). Stochastic

noise was implemented by changing the amplitude of each presynaptic cell individually by a

value drawn at random for each trial from a normal distribution with the mean at 0 and variance

equal to the noise-free presynaptic amplitude response. In direction selective model

classification errors were modeled by drawing a value from a normal distribution with a mean of

0 and SD of 45 for each presynaptic cell; this value was then added to the PD of each presynaptic

cell and the tuning profile recalculated. This procedure effectively shifted the entire tuning

profile of each presynaptic cell by a random value independently across trials. To convert

between presynaptic response amplitude and firing rate, the amplitude of each presynaptic cell

was rounded to the nearest integer. This value indicated the number of presynaptic spikes from

that cell. The temporal pattern was set by NEURON's *negexp* function, with a mean interval

between spikes of 20 ms. In addition to stimulus-mediated presynaptic activity, all synapses had

a random firing at a rate of 4 Hz (1 Hz with Ohmic NMDARs) to emulate synaptic activation

observed in the cortex. Where simulated, background synapses were activated with random

firing   pppattern, the average firing rate was 10 Hz.

Two stimuli discrimination: In these simulations the presynaptic population was modeled as in

the direction selectivity case. Only PD and ND (180° and 0° respectively) directions were probed.

In these simulations classification errors were introduced as in the simple model (Figure 1) by

randomly flipping signal identity in ~15% of the presynaptic cells. Discrimination (Figure 1) was

measured as the difference between the summed postsynaptic responses to preferred and null

input presentations in a given trial. Trials with stronger postsynaptic response to the null

stimulus were marked as reversed discrimination.

Analysis: The presence of dendritic spikes was measured as prolonged (>30 ms) depolarization

above -30 mV in the activated dendritic location. Mutual information was measured as the

entropy of signals across all stimulated directions minus the summed entropy of individual

directions. Unless noted otherwise, all error bars represent standard deviations.

Figures

Figure 1. Distinct denoising strategies and noise tolerance rules found in simple models of linear

and dendritic-subunit mediated synaptic integration

(A) Synaptic input in the model consisted of n presynaptic cells; in the absence of noise, stimulus

intensity was identical between inputs and consisted of 1 AU for preferred and 0.5 AU for null

stimuli.

(B) Example synaptic inputs in the presence of stochastic input variability.

(C) Classification errors randomly flipped stimuli encoding in some presynaptic cells. Background

indicates input intensity.

(D-G) Neurons are typically assumed to combine their inputs linearly. (D, left) Example strength

of 200 presynaptic inputs in a single trial, color coding as in A. (E) Linear integration in the

presence of stochastic noise. Left, example noise-corrupted stimuli. Right, a histogram of the

integrated postsynaptic intensities (n=1000 trials). (F) As for (E) for classification errors. (G) The

difference between postsynaptic responses to preferred and null signals is maintained close to

noise-free levels (dotted) with stochastic noise but not in the presence of classification errors.

(H-M) In a more realistic integration scheme, synaptic inputs are processed first by dendritic

computational subunits (H). Inset, dendritic input-output transformation function. (I) As for (E)

for integration in a dendritic subunit. Arrow marks dendritic spike threshold. (J) Distribution of

postsynaptic responses following amplification with a dendritic spike. (K-L) As for (I, J) for

classification errors. (M) As for (G) for the output of the dendritic computational subunit.

Dendritic spikes accurately separated postsynaptic responses in the presence of classification

errors, but not in the presence of stochastic noise.

Figure 2: Synaptic integration in a reconstructed cortical layer 2/3 pyramidal cell in the absence of

presynaptic noise

(A-B) Approximation of a linear integration by activation of randomly distributed AMPAR. (A)

Left, synaptogram of schematic synaptic location; each synapse occupies a position on a X-Y grid

near its actual location on the postsynaptic cell. Colors encode the number of stimuli-evoked

presynaptic spikes in a single trial. Right, bottom, the timing of individual presynaptic spikes

varied between inputs and trials. Top, the responses in the postsynaptic cell (black). Grey,

postsynaptic signals in 9 similar trials. (B) As in (A) for the null signal.

(C-D) Dendritic computational subunits engaged by activation of clustered AMPAR/NMDAR

synapses. (C) As in (A) for dendritic integration with eight inputs. Top, right, responses from the

stimulated dendrite. (D) As in (C) for the null signal. Inset, somatic firing infallibly followed spike

initiation on the stimulated branch.

FIG3

Figure 3: Noise tolerance in a reconstructed cortical pyramidal cell

(A) Receiver Operating Characteristic created by plotting the normalized number of somatic

spikes for the preferred stimulus vs. the normalized firing response to null stimulus presentation

over 1000 simulation trials. Black, noise-free simulation.

(B) The mutual information calculated from the postsynaptic output. Left, ohmic-linear model.

Right, signal processing with dendritic computational units. ***p<0.001, n=1000 trials, ANOVA

followed by Tukey test. Error bars indicate S.D.

Figure 4: The impact of noise on the computation of directions selectivity follows the rules

established in the binary classification task

(A, B) Encoding of stimulus direction by noisy presynaptic population. (A) Left, example

directional tuning profile of a single presynaptic cell in one noise-free (black) and in three

stimulation rounds (one round consisted of eight symmetrically arranged directional trials) in the

presence of stochastic variability (red). Inset, schematic effect of the noise on presynaptic

stimulation intensity. Right, the same data on polar coordinates where the angle represents

stimulus direction and the radius represents response amplitude. Black, the vector sum of

individual stimulation rounds. (B) As for (A), for classification errors - shifts in the presynaptic

directional profile along the direction axis. Inset, classification errors ambiguate encoding of

signal direction. Left, example construction of a presynaptic directional curve (middle, solid blue)

in 8 individual trials.

(C-F) Synaptic integration in a dendritic subunit. (C, E) Synaptogram and voltage profiles for 3

example preferred (left) and null (right) stimulation trials in the presence of stochastic noise (C)

and classification errors (E). (D, F) Polar plots of peak dendritic EPSPs (top) and somatic spikes

(bottom).

(G) DSI (left) and mutual information (right) calculated from the somatic firing output for ohmic-

linear integration.

(H) Left, distribution of the difference (in degrees) between the PD of the input and the PD

calculated from postsynaptic cell. Right, the width of the distribution.

(I, J) As for (G, H) for dendritic subunits. *$p<0.05$, **$p<0.01$,***$p<0.001$, n=1000 rounds, ANOVA

followed by Tukey test. Error bars represent S.D.

FIG5

Figure 5: A minor effect of background activity on dendritic subunits performace

(A, C) Synaptogram of eight signal-mediating AMPAR/NMDAR synapses activated over a

background of 300 excitatory and 60 inhibitory inputs distributed randomly over the dendritic

tree.

(B, D) Bottom, the timing of the signal (black) and the background (grey) presynaptic spikes. Top,

the responses of the postsynaptic cell (black). Grey, postsynaptic signals in 9 similar trials.

(E, F) The number of somatic spikes following stimulus presentation (left) and the calculated

mutual information (right) as a function of the postsynaptic depolarization inflicted by

background activity in ohmic-linear (E) and dendritic computational subunits (F) models. Shades

mark S.D. n=1000 repeats for each stimulus/condition.

Figure 6: Active dendritic integration improves processing of noisy signals in simulated neural

networks

Examination of binary discrimination in a two-layered network composed of multi-

compartmental models of layer 2/3 pyramidal neurons with either ohmic-linear (A-D) or

dendritic subunit (E-H) modes of synaptic integration. Stochastic noise and classification errors

were implemented only at the level of the synaptic drive to the first layer (L1) cells. The firing of

L1 cells was used to drive synaptic activity in second-layer (L2) cells. Simulations differed by the

number of inputs to L1 and L2 cells.

(B, F) The mean number of somatic spikes (top) and the mutual information (bottom) in L1 cells

as a function of the number of synaptic inputs to L1.

(C, G) As in (B, F) for L2 cells when L1 neurons were innervated by 200 (C) or 8 (G) inputs.

Bottom, dotted, mutual information from L2 cells innervated with 400 (C) or 12 (G) inputs.

(D, H) The mean mutual information computed from L2 cells for different combinations of

synaptic inputs to L1 and L2 neurons (n=1000 for each configuration). Solid and dotted regions

indicate the network combination shown in (C, G).

Figure 7: The number of synaptic inputs, but not the number of dendritic processing compartments, sets noise tolerance rules in a large pyramidal cell

(A) Left, stimulation of five basal dendrites of a cortical layer 5 pyramidal cell with six AMPAR/NMDAR synaptic inputs per dendrite. Right, the relationship between number of co-spiking dendritic units and the probability to elicit at least one somatic action potential.

(B, C) Example potentials recorded at the activated dendrites (light) and at the soma (bold) in 3 example trials in the presence of stochastic noise (B) and classification errors (C). Noise statistics

600 as in Figure 3. The number of dendritic subunits that responded with a dendritic spike (based on

601 spike threshold crossing criteria, dotted, methods) is indicated on the right.

602 (D) The average number of dendritic spikes in preferred (solid) and null (open) stimulation trials

603 (left) and mutual information (right) estimated from 1000 simulation repeats.

604 (E-H) As for (A-D) with the same number of inputs concentrated on a single proximal spot.

605 ***$p < 0.001$ vs. other conditions, ANOVA followed by Tukey test. Error bars indicate S.D.

Figure 8: Summary of the main findings

(A) Traditionally, synaptic integration is considered to be linear and robust to stochastic changes

in presynaptic input amplitudes (inset, red).

(B, C) Real neurons often integrate their inputs nonlinearly in dendritic computational subunits.

These subunits were assumed to have a similar noise profile (B). Here I report a distinct noise

tolerance of dendritic integration. While susceptible to stochastic noise, dendritic compartments

excel in the presence of classification errors, a noise structure that is less optimally processed by

linear mechanisms (C). Dendritic spikes can thus help to expand the repertoire of

computationally benign noise structures.

Supplementary figures

Figure 2-figure supplement 1: Postsynaptic input/output transformation in a reconstructed cortical

layer 2/3 pyramidal cell for a range of synaptic inputs

(A, C) AMPAR-only synapses, ohmic-linear integration model.

(B, D) Dendritic subunit integration model. (A, B) The mean number of somatic action potentials

for preferred (solid) and null (dotted) stimuli. Black, control (noise-free) condition. Red, with

stochastic input variability (Fano factor=1). Blue, in the presence of classification errors (error

rate=15%). (C, D) Mutual information calculated from the data in (A, B). n=1000 repeats for each

condition/input intensity. Shaded areas-S.D.

Figure 3-figure supplement 1: Binary discrimination in a reconstructed cortical layer 2/3 pyramidal

cell as a function of noise levels

Information rate per trial, measured from the number of action potentials for different

stochastic noise intensities (left) or classification error rates (right) in a simulated layer 2/3 cell.

Responses from dendritic computational subunits model (8 clustered AMPAR/NMDAR synapses)

are shown in bold. Thin traces indicate the linear (200 randomly distributed AMPAR-only

synapses) simulation scenario. Shades mark S.D.

Figure 3-figure supplement 2: Examples responses in models with ohmic-linear and dendritic

subunit synaptic integration modes to different noise modalities

Integration of 200 randomly distributed AMPAR inputs (ohmic-linear, left) or 8 clustered

AMPRA/NMDAR synaptic inputs (dendtiic computational units, right) in the presence of

stochastic noise (A, B) and classification errors (C, D). (A, C) Synaptogram (color-coded by the

number of presynaptic spikes) and the somatic voltage profile for 3 example preferred (left) and

null (right) stimulation trials. (B, D) The distribution of the number of postsynaptic spikes for the

two stimuli computed from 1000 simulation trials. Black, noise-free simulation.

Figure 3-figure supplement 3: Noise tolerance of synaptic integration using ohmic NMDARs.

Synapses containing AMPARs and voltage-insensitive NMDARs were distrusted either randomly

over the entire dendritic tree (global distribution, A-C) or on were clustered on a single branch

(focal distribution, D-F).

(A) Example integration of 100 inputs activated with a preferred stimulus in noise-free condition.

Left, synaptogram of input intensity. Right, top, postsynaptic somatic response (black, 9

additional trials overlaid in grey). Bottom, the timing of presynaptic inputs activation.

(B) As for (A) for the null stimulus.

(C) Left, the mean number of somatic spikes for preferred (solid) and null (dotted) stimuli

presentations as a function of the number of presynaptic inputs. Right, the calculated mutual

information. Black, control (noise-free) condition. Red, with stochastic input variability (Fano

factor=1). Blue, in the presence of classification errors (error rate=15%).

(D, E) Integration of 100 focal synaptic inputs. Left, input location and intensity. Right, dendritic

(top) and somatic (middle) potentials following activation with a preferred (D) or null (E) stimuli

(bottom, input timing). Color coding as in (B).

(F) As for (C) for focal distribution. Shades mark S.D.

Figure 4-figure supplement 1: Computation of direction selectivity in a reconstructed cortical layer

2/3 pyramidal cell.

(A, B) Variability metrics of the presynaptic input. (A) Left, Fano factor (variance/mean)

computed in the absence of noise (black), in the presence of stochastic variability (red, the arrow

indicates that this variability level was imposed on the system) and with classification errors

(blue). Right, the variability of the angle of the PD computed from the distribution of calculated

PDs for 1000 presynaptic cells (B). The distribution in (B) was computed using rounds of 8

directionally symmetric stimulation trials. Non-zero variability in noise-free condition reflects

differences in directional tuning between different cells.

(C, D) Metrics of direction selectivity calculated from the postsynaptic action potentials as a

function of the number of synaptic inputs for ohmic-linear (C) and dendritic subunit (D)

integration scenarios. n=1000 rounds (8 trials each) for each input intensity. Shades mark S.D.

Figure 7-figure supplement 1: Synaptic noise tolerance is similar between inputs activated on

multiple distal branches to the stimulation of a single proximal site in a cortical layer 5 pyramidal

cell.

The cell was innervated with an increasing number of presynaptic inputs that were either evenly

distributed between five distal branches (A) or focused on a single proximal dendrite (A). Top,

the number of postsynaptic action potentials for preferred (solid) and null (dotted) stimulations.

Bottom, the mutual information derived from the firing output of the cell. n=1000 repeats for

each condition, shades mark S.D.

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