Title: Climate drives spatial variation in Zika epidemics in Latin America

Mallory Harris[1], Jamie M. Caldwell[2*], Erin A. Mordecai[2]

1. Odum School of Ecology, University of Georgia, 140 E Green St, Athens, GA 30602

2. Biology Department, Stanford University, 371 Serra Mall, Stanford, CA, USA

* contact: jcaldwel@stanford.edu

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Abstract

Between 2015 and 2017, Zika virus spread rapidly through populations in the Americas with no

prior exposure to the disease. Here, we estimated force of infection for Zika over time and across

provinces in Latin America using a time-varying Susceptible Infectious Recovered model.

Climate factors explained less than 1% of the variation in force of infection over time, suggesting

that week to week transmission within provinces may be too stochastic to predict. By contrast,

climate and population factors explained 36-90% of variation in Zika presence and intensity

among provinces. While humidity and temperature were the most important climate predictors of

where Zika transmission occurred, rainfall, temperature range, and mean temperature were the

best predictors of Zika intensity and burden, given that Zika was present. Surprisingly, force of

infection was greatest in locations with temperatures near 24°C, much lower than previous

estimates from mechanistic models, potentially suggesting that existing vector control programs

and/or prior exposure to other mosquito-borne diseases may have limited transmission in

locations most suitable for *Aedes aegypti*, the main vector of Zika, dengue, and chikungunya

viruses in Latin America.

Introduction

The emergence and re-emergence of mosquito-borne diseases presents a global public health concern, yet trends in mosquito-borne disease transmission are hard to predict because they are influenced by the underlying immunity in the population, which is usually unknown [1–3]. The emergence and spread of Zika virus through Latin America in a population with no prior exposure or immunity to the disease provides an opportunity to characterize relationships between the environment, populations, and disease transmission without the confounding effects of pre-existing immunity (although cross-reactivity between dengue antibodies and Zika virus may provide some protection) [4]. Between 2015 and 2017, Zika rapidly spread to 51 countries and territories in the Americas, with over 800,000 cases reported [5]. Here, we quantified the force of infection for Zika and studied factors that contributed to variation in transmission across time and space as Zika emerged.

Force of infection, or the per capita rate at which susceptible individuals contract an infection, can be used to compare disease transmission over the course of an outbreak and across geographic regions [2,6]. Force of infection estimates can account for variation in the number of immune individuals and entomological factors that influence the time it takes for mosquito-borne diseases to be transmitted [2,6]. It can take up to several weeks for mosquitoes to spread diseases because transmission requires a mosquito to bite an infectious person, the pathogen to replicate and disseminate in the mosquito (the extrinsic incubation period), and the mosquito to bite and infect a susceptible person [2,7–9]. Force of infection can be calculated over time to study how transmission rate changes over the course of an outbreak and to compare outbreaks of the same disease in different places [2,6,10–12].

The progression of an epidemic can be characterized and compared through measures of

burden, intensity, and transmission rate [13,14]. We can quantitatively differentiate between

epidemics with sharp peaks in case incidence that quickly burn through the susceptible

population and more sustained, low-level transmission [6,15,16]. Instances when high case

incidence coincides with a low force of infection may indicate the presence of imported cases

[17]. Each of these epidemic metrics may be affected to a different extent by climate and

population factors, in addition to country and province-level features like existing transportation

infrastructure and vector control resources [9,18–20]. Additionally, conditions that enable the

establishment of a disease may differ from those that drive higher epidemic intensity following

establishment [21].

Climate is a key determinant for whether mosquito-borne disease transmission can occur

and the intensity of outbreaks because of its effects on vector dynamics. The primary vector of

Zika in Latin America is *Aedes aegypti*, which also spreads chikungunya and dengue viruses

[22]. Disease transmission can only occur if climate conditions are suitable for pathogen and

vector survival and reproduction, while the intensity of transmission may be affected by how

close humidity, temperature, and rainfall are to optimal climate conditions for vector and

pathogen performance [2,9,23–28]. Humidity is positively associated with mosquito survival

[24]. Temperature influences mosquito fecundity, development, and survival, as well as factors

contributing directly to transmission, including the extrinsic incubation period and mosquito

biting rate [9,25,28–30]. These thermal responses are nonlinear with optimal disease

transmission expected at 29°C for viruses transmitted by *A. aegypti* based on mechanistic models

parameterized from laboratory experiments [26,31]. Mosquitoes require water to complete their

life cycle and breed, but the relationship between mosquito abundance and rainfall is variable

[32–38]. The most notable reasons for this varying relationship are associated with extreme conditions, such as heavy rainfall that can kill and wash away larvae [39,40], and drought, which in certain regions promotes humans to store water in open containers that serve as breeding habitats [37,38]. Understanding how climate drives the emergence and intensity of Zika will be important for identifying regions where the disease is likely to become endemic, and, more generally, for predicting the potential trajectories of similar mosquito-borne diseases that could emerge and re-emerge in the future, such as o'nyong nyong, Mayaro, and yellow fever [1,20,41–43].

In this study, we estimated weekly force of infection for Zika from human case reports across Latin America to examine the role of climate in driving the emergence and intensity of the 2015 to 2017 outbreak. Specifically, we use the models to ask how climate and population variation affect (1) when and where epidemics occur, (2) epidemic dynamics over time, and (3) geographic variation in the intensity of epidemics. We use disease case reports and force of infection estimates in two modeling frameworks. First, we examine variation in force of infection over time within provinces to understand how strongly climate predicts the probability of local transmission and the intensity of transmission. Then, we examine spatial variation in several epidemic metrics, including total human cases and force of infection, to understand how climate and population factors shape epidemics geographically.

Materials and Methods

Epidemiological Data

To investigate Zika transmission dynamics over time and space in Latin America, we downloaded and preprocessed publicly available human case data. We used weekly suspected

and confirmed Zika cases per province between November 2015 and November 2017 for

countries in Latin America from the Centers for Disease Control and Prevention (CDC) Zika

Data Repository, which includes Epidemiological Bulletins provided by each country's ministry

of health [44].We excluded provinces with fewer than ten weeks of case reporting, fewer than

ten cases total, or irregular reporting intervals, because those provinces provided insufficient data

to observe meaningful trends in transmission. For the remaining provinces, we temporally

interpolated case data for weeks with missing data and reporting errors by averaging cases from

the weeks immediately preceding and following these intervals.

Weather Data

To investigate the effects of climate on Zika transmission, we downloaded weather data

and calculated climate metrics with time lags relevant to diseases spread by the *Aedes aegypti*

vector. We downloaded daily mean relative humidity, total precipitation, and mean, minimum,

and maximum temperatures from Weather Underground [45]. For each province, we used the

weather station nearest to the province's centroid that had the most complete climate record in

the timespan corresponding to the case data. We then discarded provinces that had no nearby

weather station reporting in the desired time period, and further excluded weeks with mean

temperatures outside of the range of 0-40°C and rainfall values exceeding 250mm. To investigate

the spatiotemporal dynamics of transmission, we calculated lagged climate metrics to account for

the delay at which humidity, temperature, and rainfall influence transmission. Specifically, we

calculated humidity, mean temperature, and temperature range (difference between the

maximum and minimum temperatures observed) over a three-week period, lagged by six weeks

from the week of case reporting (i.e., nine to six weeks prior) [21,46,47]. Similarly, we

calculated the cumulative rainfall over a six-week period, lagged by three weeks from the week

of case reporting (i.e., nine to three weeks prior) [48,49]. To compare differences in overall

epidemic characteristics (e.g., total number of cases, average force of infection; Table 1) among

provinces, we also calculated province-level mean humidity, mean temperature, temperature

range, and cumulative rainfall over the biologically relevant time lag described above.

tSIR Model

We estimated weekly force of infection by fitting time-varying Susceptible Infectious

Recovered (tSIR) models to the human case data [44]. We assumed a static population size

because the human population did not change significantly over the course of the epidemic, and

we assumed that Zika was introduced to a fully susceptible population since it had never been

documented before in this region. Therefore, we set the initial susceptible population (S_0) as the

most recent estimate of population (N) available from *City Population* [50] as of June 2017, and

modeled the susceptible population following Zika introduction as $S_t = S_{t-1} - I_t$. We followed

the modification of the tSIR model for vector-borne diseases developed by Perkins et al 2015 for

chikungunya transmission because of similarities in the transmission ecology of their shared

vector [2,36]. Using the infectious periods derived for chikungunya, we assumed that individuals

remained infectious for five days, but that secondary infections could arise from primary cases

reported several weeks prior because the time between cases is dependent on a mosquito vector

[51]. We calculated the effective number of infectious individuals (I'_t) as a weighted sum of

infectious individuals (I_{t-n}) within a serial interval as:

$$I'_t = \sum_{n=1}^{k} \omega_n I_{t-n} \tag{1}$$

157 We used the same five-week serial interval ($k = 5$) and weighting distribution ($\omega_{1,\dots,5} = 0.011$,

158 0.187, 0.432, 0.287, and 0.083) as the Perkins et al 2015 model for chikungunya transmission

159 [2,52]. Individuals entered the recovered class after the five-week serial interval. We fit tSIR

160 models to case data for each province to calculate time-varying force of infection parameters

161 ($\beta_t's$):

$$I_t = \beta_t \frac{I_t'^\alpha}{N} S_{t-1} \tag{2}$$

162 Here, the mixing parameter α indicates homogeneous frequency-dependent contact rate between

163 cases in each province. By rearranging equation 2 into a linear regression, we solved for β_t and

164 α using the following equation:

$$\ln(I_t) - \ln(N) - \ln(S_{t-1}) = \ln(\beta_t) + \alpha \ln(I_t') \tag{3}$$

166 *Spatiotemporal Model*

167  We investigated how strongly climate predicts the probability of local transmission and

168 the intensity of transmission by regressing weekly force of infection with biologically relevant

169 lagged climate factors. Since the force of infection (β_t) values were inflated between zero and

170 one, which indicates sporadic transmission, we fit a two-step hurdle model that first predicts the

171 probability that β_t will exceed one in a given week and province (i.e., presence/absence), and

172 then predicts the magnitude of β_t (i.e., intensity), given that β_t exceeds one. We performed

173 stepwise model selection using bidirectional elimination, selecting the best fit models for both

174 steps of the hurdle model as those with the lowest Akaike information criterion (AIC). To

175 account for variation among provinces within countries and among countries, both models

176 incorporated nested fixed effects of province within country. To capture nonlinear relationships

177 between force of infection and temperature, we included a squared term for mean temperature

[2,9,31]. To assess the goodness of fit of the model to data, we calculated pseudo R^2 for these

generalized linear mixed-effects models using the methods outlined by Nakagawa and Schielzeth

[53].

Spatial Models

To examine the factors that drove broad-scale geographic differences in Zika

transmission, we used linear regressions to compare epidemic metrics with climate and

population factors. The response variables included epidemic metrics based on incidence and

force of infection, where each response variable was aggregated to a single value per province

(Table 1; Figure 1). To account for additional variation among countries beyond climate and

population factors, we included country as a fixed effect. For the population factor, used the

number of people living in the largest city in each province based on census results and official

estimates of the population of each province's largest city and total population as of June 2017

downloaded from *City Population* (S1) [50]. For each spatial model, we performed stepwise

model selection using bidirectional elimination, selecting the best fit models as those with the

lowest AIC and calculated pseudo R^2 using Cragg, Uhler, and Nagelkerke's method for fixed

effect models [54,55]. All regressions were conducted in R statistical software version 3.4.3

using the glm function in the base package.

Results:

Epidemiological Data

The duration and burden of Zika transmission and timing of epidemic peaks varied

considerably both between and within countries (Figure 2). Within the countries included in this

study, Zika emerged earliest in El Salvador in November of 2015, and latest in Colombia, with

the first Colombian case report occurring almost one year later in January of 2016 (Figure 2A).

Force of infection ranged from 0 to 132 per 100,000 people per week. In all countries there were

weeks with no local transmission (force of infection values below one), but high case incidence

resulting from imported cases. All of the countries included in our analyses had at least one

province with and one province without local transmission (Figure 2B).

Spatiotemporal Model

209   The spatiotemporal hurdle model indicated that different climate factors were associated

with the presence of local transmission in a given province and week compared with the

magnitude of force of infection, but most of the variation was explained by non-climate factors

associated with province (Table 2). The best fit model for the presence of local transmission

included positive linear relationships with maximum temperature and humidity and explained

15% of the variance in week to week transmission within provinces (conditional pseudo

R^2=0.15). However, maximum temperature and humidity accounted for only 2% of the total

variation (marginal pseudo R^2 = 0.02). The best fit model for the intensity of the force of

infection included a slight positive linear relationship with rainfall, a negative linear relationship

with temperature range, and a negative quadratic relationship with mean temperature and

explained 55% of the variance (conditional pseudo R^2 = 0.55). However, rainfall, mean

temperature, and temperature range only accounted for 4% of the total variation (marginal

pseudo R^2 = 0.04).

Spatial Models

The spatial models indicated that geographic variation in epidemics was driven by

temperature, rainfall, humidity, and human population sizes. There was considerable geographic

variation in Zika presence and intensity within and among countries in Latin America (e.g.,

Figure 3). We found a positive relationship between the presence of local transmission and

humidity and population of the province's largest city (Figure 4A). In provinces that had local

transmission, we found a unimodal relationship with mean temperature (positive linear effect of

mean temperature and negative quadratic effect of mean temperature; Figure 4A) with the peak

in average force of infection at a mean temperature of 24°C (Figure 5), and a positive linear

relationship with rainfall (Figure 4). Temperature range had a slight negative relationship with

cumulative cases and maximum force of infection (Figure 4A). All the epidemic metrics were

positively associated with the population of the province's largest city (Figure 4A). Epidemic

metrics were more similar among provinces within countries than among countries: El Salvador

and Guatemala had the highest average and cumulative cases and average and maximum force of

infection values, while Mexico consistently had the lowest values for the same metrics (Figure

4B). The spatial models for local transmission and maximum and average force of infection

explained 90%, 83%, and 79% of variation among provinces, respectively, based on pseudo R^2

values. The spatial models for cumulative cases and average weekly cases explained less

variance among provinces, explaining only 39% and 36% of the variation respectively, based on

pseudo R^2 values.

Discussion:

Climate was a strong driver of geographic variation in Zika epidemics, with different climate factors influencing where local transmission occurred compared with the intensity of transmission. Understanding how climate drives different epidemic metrics is valuable for preparing for and responding to outbreaks. For example, the infrastructure and resources needed to respond to a large outbreak that spreads rapidly through the population, like what occurred in the Dominican Republic and El Salvador, are very different from what is needed to respond to an outbreak that affects fewer people at one time but lasts many months, as in Colombia and Guatemala. Mean relative humidity and temperature were key determinants for whether local transmission occurred, and these two factors could be used to determine regional likelihood for future *Aedes aegypti* transmitted diseases. Temperature range was the major climate driver that differentiated more intense outbreaks from less intense outbreaks, indicated by drivers of high cumulative cases and maximum force of infection compared with drivers of average cases and average force of infection (Figure 4A). This result suggests that provinces with greater temperature ranges may be associated with lower force of infection due to longer periods of time with unsuitable temperatures for vector growth and pathogen transmission.

Although climate strongly influenced spatial variation in Zika epidemic metrics, climate was not a strong predictor of Zika epidemic dynamics through time [23,30,39]. We hypothesize that week to week variation in transmission within provinces may be too stochastic to predict based on climate alone. For many of the provinces included in this study, climate rarely exceeded suitable conditions for transmission, potentially indicating that given suitable climate conditions, non-climate factors such as reporting protocols, underlying immunity, transportation,

and infrastructure may generate stochasticity that drives local temporal trends in Zika

transmission.

A surprising result of this study was the mismatch between the theoretically and

empirically derived optimal temperature for Zika transmission and the temperature we found to

correspond with maximum force of infection during the 2015 to 2017 epidemic. In previous

studies, both mechanistic R_0 models and laboratory experiments indicated that 29°C is optimal

for Zika transmission [27,32]. However, our study demonstrated that the most intense Zika

epidemics occurred in provinces with mean temperatures at 24°C. There are several possible

hypotheses that could explain this discrepancy, including that: 1) provinces with average

temperatures around 29°C already implement vector control; 2) people may have acquired cross-

immunity from exposure to other arboviruses [26,56,57]; 3) given suitable temperature for

transmission, other factors that can covary with temperature such as land use, urbanization, and

socioeconomics may be more important drivers of big epidemics [31]; and/or 4) transmission

plateaus due to burn-out of susceptible people in large epidemics [58]. Even when accounting for

a declining susceptible population in a tSIR model framework, it is possible for force of infection

to become decoupled from climate due to internal disease dynamics (i.e., regardless of how

suitable the temperature is, there will be no transmission if there are no susceptible people).

These hypotheses raise important questions about how to reconcile and ground truth theoretical

and empirical studies with field data.

The 2015 to 2017 Zika outbreak provided an opportunity to investigate how population

and climate affect epidemic dynamics over time and space in a previously unexposed population.

The results provide valuable insight into where Zika is likely to become endemic and how future

outbreaks transmitted by *Aedes aegypti* could spread. Specifically, given that provinces with

greater populations in their largest cities were associated with longer and more intense outbreaks,

regions with larger urban population sizes that also have suitable climate conditions for most of

the year are most likely to sustain endemic Zika transmission. However, it is important to

carefully consider how these results could apply to other regions, especially in places where Zika

may be transmitted by other mosquito species, such as *Aedes africanus*, which exhibit different

responses to climate [59]. Zika epidemics in Latin America were characteristically different

across countries: some regions experienced large epidemics that spread quickly while others

experienced low levels of sustained transmission, and these differences were largely driven by

rainfall, mean temperature, and temperature range. We would expect similar epidemic metrics to

characterize other mosquito transmitted diseases that could emerge and re-emerge in the future,

such as o'nyong nyong, Mayaro, and yellow fever [1,20,41–43]. Given this climate-driven

geographic variation in epidemics, we would expect climate change to alter patterns in

transmission for emerging and re-remerging mosquito-borne diseases in the future.

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521 **Table 1: Province-level epidemic metrics used as response variables in the spatial models.**

522 Force of infection is represented as β_t.

Name	Description
Local Transmission	Binary indicator of whether β_t exceeded one for any week
Max(β)	Maximum value for β_t in weeks β_t exceeded one
Avg(β)	Average value for β_t in weeks β_t exceeded one
Cumulative Cases	Total number of cases reported
Average Weekly Cases	Average number of cases in weeks with any cases reported

Table 2: Coefficients for the presence and intensity of Zika from the spatiotemporal hurdle model. A) Coefficients for the logistic regression model predicting the probability of the presence or absence of local transmission. B) Coefficients for the linear regression model predicting force of infection intensity (β_t). We calculated humidity, mean temperature, and temperature range (difference between the maximum and minimum temperatures observed) over a three-week period, lagged by six weeks from the week of case reporting and cumulative rainfall over a six-week period, lagged by three weeks from the week of case reporting.

A: Presence/Absence, $P(\beta_t > 1)$

Term	Estimate	Standard Error	Z-value	p-value
Humidity	0.288	0.071	4.041	$5.32 * 10^{-5}$
Maximum Temperature	0.542	0.087	6.192	$5.96 * 10^{-10}$

B: Intensity Given Presence, β_t

Term	Estimate	Standard Error	t-value	p-value
Rainfall	0.064	0.029	2.216	$2.69 * 10^{-2}$
Average Temperature^2	-0.185	0.060	-3.087	$2.07 * 10^{-3}$
Range	-0.137	0.040	-3.419	$6.49 * 10^{-4}$

Figure 1: Depiction of epidemic metrics that characterize the presence, burden, and

intensity of outbreaks. A) cartoon of weekly incidence during an outbreak where cumulative

cases are calculated by taking the sum of all cases across all weeks during the outbreak, and

average cases (dashed horizontal line) are calculated as the average of all cases in weeks with at

least one case during the outbreak. B) cartoon of weekly force of infection during an outbreak

where local transmission is calculated as one if β_t exceeded one for any weeks within a province

and 0 otherwise. The maximum and average values for β_t are calculated across weeks when β_t

exceeded one.

Figure 2: Time series of weekly case incidence and force of infection. Each row corresponds

to a single province over time, with lines separating the following countries: DOM = Dominican

Republic, SLV = El Salvador, COL = Colombia, ECU = Ecuador, MEX = Mexico, GTM =

Guatemala. Gray shading indicates no reporting. A) weekly case incidence The color represents

cumulative cases (upper) and $\log(\beta_t)$ (lower) scaled within each province. Red indicates high

values and yellow indicates low values. White indicates no cases reported (A) or no local

transmission B; ($\beta_t<1$) in a given week.

Figure 3: Geographic differences in epidemic metrics. Provinces are colored by A) whether

local Zika transmission was present (red) or absent (green); and B) average force of infection

(β_t). Provinces that we excluded from this study due to lack of epidemiological or climate data

(see methods) are white. Countries not included in this study are grey.

Figure 4: Coefficients for factors driving geographic differences in epidemics. Plots display

coefficients included in the best fit model for local transmission (pink), maximum β (blue),

average β (green), cumulative cases (yellow), and average weekly cases (orange). Dots and

horizontal lines indicate the coefficient values and 95% confidence intervals respectively. A)

coefficients for climate and population covariates. B) coefficients for country fixed effects.

Figure 5: Zika intensity peaked in locations with average temperatures of 24°C. Partial

residual plot shows the relationship between average mean temperature and average β_t in

provinces with local transmission. Observations for all provinces in study (black points) show

the variation around the model predicted relationship (red line).

A Weekly Case Incidence

B Weekly Force of Infection

A

B