SNAPPY: <u>S</u>ingle <u>N</u>ucleotide <u>A</u>ssignment of <u>P</u>hylogenetic <u>P</u>arameters on the <u>Y</u> chromosome

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Abstract

Summary

The assignment of Y chromosome data to related clusters, or haplogroups, is a common

application in human population genetics. To enable this at scale, we developed SNAPPY.

SNAPPY is a software program used to assign Y-chromosome phylogeny-informed haplotypes

using dense genotype data. The program efficiently tests all haplotypes in a provided Y-

chromosome database to find the haplogroup that is best supported by the input genotypes.

Importantly, the method considers both the amount of support for the specific haplogroup, as

well as its ancestral haplogroups via parsimony. This accounts for the underlying genealogy the

haplotypes represent, strengthening the accuracy of the assignments. SNAPPY is fast, scalable,

and uses standard file formats, making it easy to integrate into analytical pipelines.

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Introduction

Analyses of Y-chromosome haplogroups have yielded important insights into human

migration history (Bergstrom, et al., 2016; Chiaroni, et al., 2009), cultural customs (Seielstad, et

al., 1998), and ancestral population sizes (Karmin, et al., 2015). These insights are possible

because of the two unique characteristics of the Y-chromosome: it is passed directly from father

to son, and much of the Y-chromosome does not recombine with any other chromosome; this

leaves a long tract of unbroken DNA that serves as faithful record of evolution of the

chromosome throughout human history. Constant accumulation of variation within the

chromosome over human history created new combinations of variants (haplotypes), which trace

patrilineal descent.

Despite its use in ancestry, efficient software tools that are able to rapidly assign Y-

chromosome haplotypes from dense genotype data into clusters of related haplotypes

(haplogroups) at population scales are limited in scope. Here we describe SNAPPY (Single

Nucleotide Assignment of Phylogenetic Parameters on the Y-chromosome), a tool to assign Y-

chromosome haplogroups using dense genotyping data. Haplogroup assignment is based on the

well-established polymorphisms along the Y-chromosome with haplogroup information

maintained by the International Society of Genetic Genealogists (ISOGG, isogg.org) and others

[e.g., (Karafet, et al., 2015; Poznik, et al., 2016)], using only phylogenetically-informative alleles

to determine which haplogroup has the highest support, thus avoiding complications related to

the reversion of alleles to ancestral states. Importantly, the method is able to identify haplogroups

from both leaf and interior nodes of the Y-chromosome tree. Here we briefly outline the

implementation of the program, and present Y-chromosome haplotype assignments from The

1000 Genomes Project (Genomes Project, et al., 2015) data to validate the algorithm.

Implementation

Dependencies

SNAPPY is implemented in python requiring only numpy in addition to the standard python

library. In addition, SNAPPY makes use of plink (Chang, et al., 2015) for initial file format

conversions from either array-based formats (e.g., .ped/.bed) or from vcf.

Algorithm Description

SNAPPY leverages the Y-chromosome phylogeny and a database of haplotype-informative

SNPs derived from ISOGG and other sources to store nested haplotypes in memory-efficient

dictionaries. Genotypes from individual samples are stored as a list of dictionaries keyed by

chromosome position. Currently the tree is optimized for sites on the commonly-used Illumina

Multi-Ethnic Global Array (pagestudy.org/mega), however tree files can easily be generated

from relevant sources. To compute haplogroup scores for each of the 565 possible Y-

chromosome haplogroups present in our Y-chromosome haplogroup reference library, SNAPPY

looks up a sample's genotypes—stored in a dictionary—and counts the proportion of matching

alleles that are at haplogroup-informative positions.

SNAPPY determines a score for each haplotype using the number of haplogroup-informative

derived alleles adjusted by the sample's number of non-missing informative positions. Note that

a particular haplogroup's score uses alleles from both its own informative positions as well as its

ancestral nodes on the tree. This ensures that haplogroup assignments take into account the full

phylogenetic structure of the Y-chromosome, and enables the creation of easily traversable data

structures that eliminate redundant storage of informative positions due to the highly

parsimonious nature of variants on the Y(Poznik, et al., 2016). Haplogroup scores for every

haplogroup are stored in a two-dimensional numpy array to allow for efficient storage and quick

processing.

Finally, haplogroup assignments are made by evaluating the scores of nodes of each

branch, starting at the most distal node of the branch that has both a score above a user-defined

threshold and no descendant haplotypes with a score that exceeds the user-defined threshold, and

then working towards the root of the tree. This ensures that all nodes are considered potential

haplogroups for the sample, even if they are not terminal nodes on the tree. SNAPPY makes its

haplogroup assignment based on the highest scoring node or the deepest node with a score higher

than a user-defined threshold. This algorithm ensures that the deepest haplogroup with sufficient

support is assigned. In addition to reporting the single haplogroup with the most support for each

sample, SNAPPY also reports each haplogroup that has a score greater than a user-defined

minimum score so that haplogroup assignments can be adjusted or investigated where necessary.

Validation and Testing

Data Sources

We downloaded a list of Y-chromosome variants found on the Multi-Ethnic Genotyping Array

(MEGA) (Bien, et al., 2016) (a list of variants found on the MEGA can be found at

https://pagestudy.org/index.php/multi-ethnic-genotyping-array). Because the MEGA Y content

was designed to be ancestry informative, the SNPs included are well-distributed throughout the

Y-chromosome tree, which allows for accurate and precise assignment of haplogroups. MEGA

variant positions were converted from GRCh38.p7 to GRCh37 using NCBI's genome remapper

tool (https://www.ncbi.nlm.nih.gov/genome/tools/remap) in preparation for extracting MEGA

positions from Y-chromosome Phase 3 of The 1000 Genomes Project (Genomes Project, et al.,

2015) data.

For reference, we downloaded 1,233 Y-chromosome genotypes from Phase 3 of The

1000 Genomes Project from NCBI (Genomes Project, et al., 2015) (ftp://ftp-

trace.ncbi.nih.gov/1000genomes/ftp). The vcf was filtered to contain only the variants that are

present on MEGA using plink (Chang, et al., 2015). This yielded a final total of 2,366 variants.

Similarly, we provide Y-chromosome haplogroup tree information and informative SNPs,

available in the SNAPPY distribution found at github.com/chrisgene/snappy. This information is

largely consistent with phylogenetic information present on ISOGG.

Results

To assess the speed and accuracy of SNAPPY, we tested 1,233 males from Phase 3 of The 1000

Genomes Project (Genomes Project, et al., 2015). The program is computationally efficient: for a

set of 1,233 samples genotyped at 2,366 SNPs, it runs in an average of 4.96 seconds on a single

2.3 GHz core of an Intel Xeon processor, using 278 Mb of RAM. SNAPPY scales linearly

indicating good performance for larger data sets.

Y-chromosome haplotypes for 1000 Genomes males have been previously well-

characterized (Poznik, et al., 2016), and we used this characterization to assess the accuracy of

SNAPPY on genotype data compared to full sequences. We found that most individuals had top

haplogroup scores >95%, (Supplemental Figure 1), correctly predicting over >99% of major

haplogroup assignments for all individuals, with minor differences in fine-grained haplogroup

designations, even given topological differences between our tree and prior examples

(Supplemental Table 1). The three individuals with discordant major haplogroup assignments

were assigned by SNAPPY to the haplogroup P1 rather than the closely-related reference

assignment of Q1a. We note that the P1 and Q haplogroups had the same score (0.958) in these

individuals, but the P1 haplogroup was chosen because it resides deeper in the tree than Q. These

inconsistencies are expected to resolve with increased genotype density or tree topologies with

improved resolution and can be easily adjudicated on manual inspection. A fourth individual was

correctly assigned to the A0 haplogroup consistent with no derived haplogroup with support

above our recommended 60% minimum match. Some differences between the sets are to be

expected because the number of variants between the two datasets differ substantially (>60K for

the reference set vs. 2,399 for our tests), and different Y-chromosome phylogenies (*de novo*

reconstruction vs. ISOGG download) may result in subtle yet important differences between

branch points. Nevertheless, the ability to recover major haplogroups from individuals

representing nearly all major branches of the Y haplogroup tree indicates that SNAPPY, and

informative array-genotyped sites, are robust and unbiased in ancestry assignment.

Conclusions

The ability to determine Y-chromosome haplogroups is of broad interest in many lines of research from population genetics to anthropology to medicine. Here we have introduced and demonstrated SNAPPY, a method to rapidly and accurately assign Y-chromosome haplogroups to population-scale data sets using dense genotyping. SNAPPY is user-friendly, requiring input in common plink format and just a single command to run. Additionally, SNAPPY is flexible, with several user-defined parameters, the ability to use user-curated y-haplogroup trees, and to manually interpret haplogroup assignments.

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Supplemental Figure 1 Distribution of SNAPPY-assigned haplogroup scores.

Histogram of haplogroup scores assigned by SNAPPY. A dashed red line

indicates 95%.

Supplemental Table 1 Proportion of Concordant and Discordant SNAPPY Haplotype Assignments Relative to Major Reference Haplotypes

Reference Haplogroup Assignment	n	A0	A1a	B	B2	C1	C2	C3	C5	D1	D2	E1a	E1b	E2	F	G1	G2	H	H0	H1	H2	HI	I1	I2	J1	J2	K	K2	L1	N	N1	N2	O1	O2	O3	P1	Q1a	Q1b	R1a	R1b	R2	T
A0	2	1																																								
A1a	3		1																																							
B	3			1																																						
B2	4				1																																					
C1	4					1																																				
C2	0																																									
C3	9						1																																			
C5	17					1																																				
D1	0																																									
D2	20									1																																
E1a	20											1																														
E1b	297												1																													
E2	5													1																												
F	1														1																											
G1	2															1																										
G2	17																1																									
H	7																	1																								
H0	12																			1																						
H1	40																			1																						
H2	2																			1																						
HI	0																																									
I1	31																						1																			
I2	17																							1																		
J1	15																								1																	
J2	61																									1																
K	0																																									
K2	1																											1														
L1	27																												1													
N	7																													1												
N1	25																														0.96	0.04										
N2	0																																									
O1	17																																1									
O2	75																																	1								
O3	111																																	0.03	0.97							
P1	0																																									
Q1a	42																																				1					
Q1b	3																																					1				
R1a	84																																						1			
R1b	215																																							1		
R2	29																																								1	
T	8																																									1