1 2

A framework for evaluating correspondence between brain images using anatomical fiducials

3 4

Running Title: An anatomical fiducial framework

*Corresponding author:

jonathan.c.lau@gmail.com *Joint senior authors

Jonathan C. Lau^{1,2,3,4}*, Andrew G. Parrent¹, John Demarco^{2,3}, Geetika Gupta^{2,3}, Patrick J. Park^{2,3}, Kayla Ferko^{2,3,5,6}, Ali R. Khan^{2,3,4,5,6,7&}, Terry M. Peters^{2,3,4,5,7&}

¹Department of Clinical Neurological Sciences, Division of Neurosurgery, Western University, London, Ontario, Canada; ²Imaging Research Laboratories, Robarts Research Institute, Western University, London, Ontario, Canada; ³Centre for Functional and Metabolic Mapping, Robarts Research Institute, Western University, London, Ontario, Canada; ⁴School of Biomedical Engineering, Western University, London, Ontario, Canada; ⁵Brain and Mind Institute, Western University, London, Ontario, Canada; ⁶Graduate Program in Neuroscience, Western University, London, Ontario, Canada; ⁷Department of Medical Biophysics, Western University, London, Ontario, Canada

Acknowledgements

Keywords:

brain; atlas; accuracy; template; neuroanatomy; nonlinear registration; pallidum; striatum; thalamus; quality control; deep brain stimulation; education The authors would like to thank the many students whose participation in the neuroanatomy tutorials and workshops provided a foundation for the AFIDs framework. JCL is funded through the Western University Clinical Investigator Program accredited by the Royal College of Physicians and Surgeons of Canada and a Canadian Institutes of Health Research Frederick Banting and Charles Best Canada Graduate Doctoral Award Scholarship. Funding for this project was also provided by the Canadian Institute for Health Research CIHR FDN 201409. Infrastructural support was provided by the Canada First Research Excellence Fund to BrainsCAN, Brain Canada, and computational resource through Compute Canada.

5

6 Abstract: Accurate spatial correspondence between template and subject images is a crucial step in 7 neuroimaging studies and clinical applications like stereotactic neurosurgery. In the absence of a robust 8 quantitative approach, we sought to propose and validate a set of point landmarks, anatomical fiducials 9 (AFIDs), that could be quickly, accurately, and reliably placed on magnetic resonance images of the 10 human brain. Using several publicly available brain templates and individual participant datasets, novice 11 users could be trained to place a set of 32 AFIDs with millimetric accuracy. Furthermore, the utility of the 12 AFIDs protocol is demonstrated for evaluating subject-to-template and template-to-template registration. 13 Specifically, we found that commonly used voxel overlap metrics were relatively insensitive to focal 14 misregistrations compared to AFID point-based measures. Our entire protocol and study framework 15 leverages open resources and tools, and has been developed with full transparency in mind so that 16 others may freely use, adopt, and modify. This protocol holds value for a broad number of applications 17 including alignment of brain images and teaching neuroanatomy.

19 Introduction

20 Establishing spatial correspondence between images is a crucial step in neuroimaging studies enabling 21 fusion of multimodal information, analysis of focal morphological differences, and comparison of within-22 and between-study data in a common coordinate space. Stereotaxy arose as a result of questions raised 23 by scientists and surgeons interested in the physiology and treatment of focal brain structures (A. C. 24 Evans, Janke, Collins, & Baillet, 2012; Horsley & Clarke, 1908; Peters, 2006). Jean Talairach played a crucial role, observing consistent anatomical features on lateral pneumoencephalograms (Dandy, 1918), 25 26 or "air studies", that could be consistently localized, specifically the anterior commissure (AC) and 27 posterior commissure (PC) (Schaltenbrand & Wahren, 1977; J Talairach, David, Tournoux, Corredor, & 28 Kvasina, 1957), and could thus be mapped to prepared post-mortem brain sections in a 3D coordinate 29 system. The AC-PC line has remained important in the era since magnetic resonance imaging (MRI) has 30 risen to prominence for aligning brain images to create population atlases (Collins, Neelin, Peters, & 31 Evans, 1994; A. Evans et al., 1992; Jean Talairach & Tournoux, 1988) as well as to project data from 32 structural and functional investigations. Further optimizations enabled by deformable registration have 33 led to atlas enhancements (Fonov et al., 2011) where many more structural features are preserved. The 34 adoption of standard templates has allowed researchers to compile cytoarchitectonic, functional, and 35 structural data across studies via image-based meta-analysis of peak coordinates and statistical maps 36 (Eickhoff et al., 2009; Gorgolewski et al., 2015; Yarkoni, Poldrack, Nichols, Van Essen, & Wager, 2011).

37

Ever since the first linearly aligned population templates (A. Evans et al., 1992; Jean Talairach & Tournoux, 1988), there have been a number of advances in the development of robust higher order nonlinear registration tools. As the options became more numerous, several studies investigated the performance of the different nonlinear registration algorithms (Chakravarty et al., 2009; A. C. Evans et al., 2012; Hellier et al., 2003; Klein et al., 2009). Over the past decade, the most common metrics used to evaluate spatial correspondence are related to voxel overlap between regions-of-interest (ROIs) segmented in both reference and target images. Typically, large subcortical structures well-visualized on

2 of 36

45 standard structural MRIs such as the globus pallidus (pallidum), striatum, and thalamus are used (Chakravarty et al., 2009; Chakravarty, Sadikot, Germann, Bertrand, & Collins, 2008; Klein et al., 2009). 46 47 While these measures are effective for evaluating spatial correspondence on the macroscale, here we 48 argue that they remain relatively coarse measures of registration guality and are insensitive to focal 49 misregistration between images. In addition, they do not permit facile identification or description of 50 where these local biases are occurring. These issues are particularly critical as technical advancements 51 in both imaging and stereotaxy are enabling more accurate therapeutic modulation of brain regions 52 where several millimeters could represent the difference between optimal therapy and complications.

53

54 In this paper, we sought inspiration from classical stereotactic methods (Schaltenbrand & Wahren, 1977; 55 J Talairach et al., 1957), and propose that point-based distances provide a more sensitive metric by 56 which brain image correspondence can be evaluated. Anatomical points have been referred to in the 57 literature using a variety of terms including fiducials, landmarks, markups (sometimes used in 58 combination) but ultimately involve representing an anatomical feature by a three-dimensional (x,y,z)59 Cartesian coordinate. For this manuscript, we have chosen to use the term AFIDs, short for anatomical 60 fiducials, "fiducia" being Latin for trust or confidence. We argue that the advent of automatic 61 segmentation-based methods has led to a relative underemphasis of point correspondence between 62 brain structures. We first sought to determine whether we could define a set of AFIDs that were both 63 consistently identifiable across multiple datasets while also providing a distributed sampling about the 64 brain. Following this, we demonstrate how AFIDs are complementary to segmentation-based metrics for 65 providing a quantitative report of spatial correspondence between structural magnetic resonance images 66 of the brain using more intuitive distance-based measures of alignment. Central to this work was the 67 development of our protocol using an open source framework, enabling reproducibility across sites and 68 centers. The overall study organization is shown schematically in Fig 1.

Evaluating correspondence between brain images

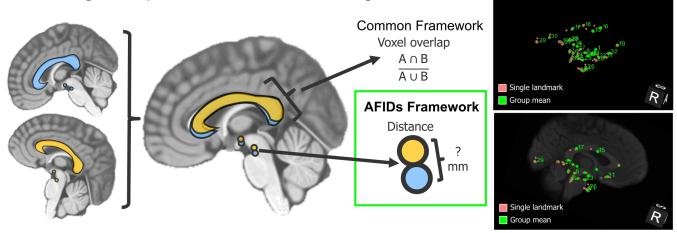


Fig 1. Metrics for evaluating spatial correspondence between brain images include voxel overlap (i.e. ROI-based) metrics as well as point-based distance metrics. The proposed framework involves the identification of point-based anatomical fiducials (AFIDs) in a series of brain images, which provide an intuitive millimetric estimate of correspondence error between images and is also a useful tool for teaching neuroanatomy.

75 Methods

76 Protocol development

77 A series of anatomical fiducials (AFIDs) were identified by the lead author (JCL; 10 years experience in 78 neuroanatomy) in consultation with an experienced neurosurgeon (AGP: 20+ years experience practicing 79 stereotactic and functional neurosurgery) with consensus achieved on a set of 32 points; which we refer 80 to as AFID32 (see Fig 2; RRID:SCR 016623). AFIDs could generally be classified as midline (10/32 = 81 31.25%) or lateral (22/32; i.e. 11 structures that could be placed on each of the left and right sides). 82 Regions prone to geometric distortion were avoided (Lau et al., 2018). We limited our initial set of AFID 83 locations to deep brain regions where less inter-subject variability exists (millimeter scale) compared to 84 the cortical sulci and gyri (centimeter scale) (Thompson, Schwartz, Lin, Khan, & Toga, 1996).

85

The AFID points were placed using the Markups Module of 3D Slicer version 4.6.2 (Fedorov et al., 2012) (RRID:SCR_005619). One key feature of 3D Slicer is that it allows markup points to be placed in the 3D coordinate system of the software as opposed to the voxel coordinate system of the image being annotated permitting more refined (sub-voxel) localization. Images are automatically linearly interpolated

by the software on zoom. After importing the structural MRI scan to be annotated into 3D Slicer, the anterior commissure (AC) and posterior commissure (PC) points were placed—specifically the center of each commissure rather than the intraventricular edge. After defining an additional midline point (typically the pontomesencephalic junction or intermamillary sulcus), an AC-PC transformation was performed using the built-in Slicer module (AC-PC Transform). For all subsequent AFID placements, the AC-PC aligned image was used. The AFID32 protocol is shown in MNI2009bAsym space in Fig 2.

96

97 The rest of the methods are organized into four separate phases. Phase 1 involved AFID32 placement in 98 three open access brain templates. Phase 2 involved further placement of the AFIDs in individual subject 99 scans. In Phase 3, AFIDs were used to evaluate subject-to-template registration; and finally, in Phase 4, 100 they were used to assess template-to-template registration guality.

101

102 For validation and assessment, we adopted the terminology of Fitzpatrick and colleagues (Fitzpatrick & 103 West, 2001; Fitzpatrick, West, & Maurer, 1998) who defined fiducial localization error (FLE) and fiducial 104 registration error (FRE) as metrics used to evaluate the real-world accuracy of image-guidance systems 105 used in neurosurgery. FLE is defined as error related to the placement (i.e. localization) of fiducials, while 106 FRE is defined as error related to registration. This body of work has been most concerned with 107 describing the correspondence between preoperative images of a patient and the physical location of the 108 patient and surgical site in the operating room. Here, we use these terms to describe (virtual, image-109 based) anatomical fiducials (AFIDs) annotated in structural T1-weighted MRI scans.



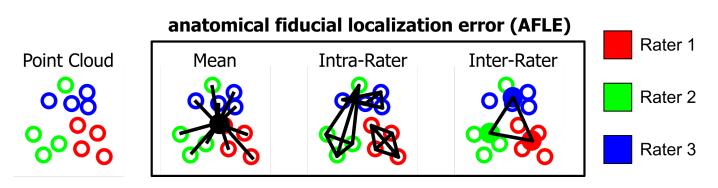
110

Fig 2. Each anatomical fiducial in the full AFID32 protocol is demonstrated with crosshairs at the representative location in MNI2009bAsym space using the standard cardinal planes after an AC-PC transformation. AC = anterior commissure; PC = posterior commissure; AL = anterolateral; AM = anteromedial; IG = indusium griseum; IPF = interpeduncular fossa; LMS = lateral mesencephalic sulcus; LV = lateral ventricle; PMJ = pontomesenphalic junction.

115 Phase 1: Protocol validation for brain templates

116 Novice participants (N=8) were trained over a series of neuroanatomy tutorials to place AFIDs on a 117 number of publicly available brain images: Agile12v2016 (Lau et al., 2017; Wang et al., 2016), Colin27 118 (Holmes et al., 1998), MNI2009bAsym (nonlinear asymmetric: version 2009b; RRID:SCR 008796) 119 (Fonov et al., 2011). Each participant then performed 4 rating sessions independently for each template, 120 for a total of 12 point sets resulting in a total of 96 AFID32 protocols. We computed several different 121 metrics for describing the accuracy (and reliability) of our proposed protocol, all of which are variations of 122 anatomical fiducial localization error (AFLE): mean AFLE, intra-rater AFLE, and inter-rater AFLE as 123 shown in Fig 3.

124



125

Fig 3. Metrics used for validating AFID placements are shown here in schematic form. Mean, intra-rater, and inter-rater AFLE
 can be computed for an image that has been rated by multiple raters multiple times.

129 To compute the mean AFLE, the mean AFID coordinate for each brain image was used as an 130 approximation of the ideal coordinate location. Mean AFLE was calculated as the Euclidean distance 131 between the individual position and the group mean. We furthermore calculated intra-rater AFLE as the 132 mean pairwise distance between AFIDs placed by the same rater. The individual measures were 133 averaged across all raters as a summary metric. To calculate inter-rater AFLE, a mean coordinate was 134 computed by averaging the coordinates for each rater as an estimate of the ideal coordinate location for 135 the rater; the mean pairwise distance between AFIDs placed across raters was then calculated as a 136 summary metric. We summarized global and location-specific mean AFLE according to a number of 137 variables: template (group versus individual), rating session (1-4), rater, and AFID.

Time required to complete AFID32 placement for a single MRI was documented by each rater. Outliers were defined as any fiducials deviating from the mean fiducial point by greater than 10 mm. Furthermore, patterns of variability in AFID placement were assessed using K-means clustering of fiducial locations (point clouds) relative to the mean fiducial location.

143 Phase 2: Protocol validation for individual subjects

144 The same participants and the lead author (total N=9) performed additional AFID placement on a series 145 of 30 independent brain images from the OASIS-1 database (Marcus, Fotenos, Csernansky, Morris, & 146 Buckner, 2010) (RRID:SCR 007385). Subjects from the OASIS-1 database were selected from the 147 broad range of ages encountered in the database, restricted to cognitively intact (MMSE 30) participants. 148 Although we controlled for normal cognition by MMSE, we selected for gualitatively challenging images 149 with more complex anatomy (asymmetric anatomy and/or variably-sized ventricles). Details on the 30 150 scans are provided in the S2 file and organized into the Brain Imaging Data Structure (BIDS) format 151 (Gorgolewski, Auer, Calhoun, Craddock, & Das, 2016) (RRID:SCR 016124).

152

Each of the 9 participants placed 10 independent AFID32 protocols for a total of 90 AFID32 protocols and 2880 individual points. Each of the 30 MRI scans from the OASIS-1 database had AFIDs placed by 3 raters to establish *inter-rater AFLE* (as described in Methods Section Phase 1: Protocol Validation for Brain Templates). Intra-rater AFLE was not evaluated in Phase 2. Quality of rigid registration was visually inspected by an experienced rater (JL).

158 Region-of-interest segmentation

BIDS formatting permitted automatic processing of each of the included OASIS-1 subjects using fMRIPrep version 1.1.1 (Esteban et al., 2018; Gorgolewski et al., 2017) (RRID:SCR_016216) with anatomical image processing only. Briefly, the fMRIPrep pipeline involves linear and deformable registration to the MNI2009cAsym template (Avants, Epstein, Grossman, & Gee, 2008; Fonov et al., 2011) then processing of the structural MRI through Freesurfer for cortical surface and subcortical

volumetric labeling (Dale, Fischl, & Sereno, 1999; Bruce Fischl, 2012) (RRID:SCR_001847). We focused
on using ROIs commonly used in the literature to evaluate quality of registration in the subcortex
(Chakravarty et al., 2009; Hellier et al., 2003; Klein et al., 2009), i.e. the pallidum, striatum, and thalamus
provided as part of the fMRIPrep output run through FreeSurfer. The striatum label required combining
the ipsilateral caudate nucleus, accumbens, and putamen labels.

¹⁶⁹ Phase 3: Evaluating subject-to-template registration

170 We evaluated the guality of subject-to-template registration using the output provided as part of 171 fMRIPrep version 1.1.1 using conventional ROI-based metrics (i.e. voxel overlap) as well as distance 172 metrics derived from our manual AFID32 annotations from Phases 1 and 2. The default template for 173 fMRIPrep 1.1.1 was the MNI2009cAsym template. We started by visually inspecting the images 174 qualitatively from the output fMRIPrep html pages. For each individual subject scan, we used the mean 175 fiducial location as the optimal location calculated in Phase 2. The distance between the individual 176 subject AFID location and the corresponding mean AFID location in the template was computed and 177 defined as the anatomical fiducial registration error (AFRE) and computed for linear transformation alone 178 (lin) and combined linear and nonlinear transformation (nlin). Our definition of AFRE differs from the FRE 179 used by Fitzpatrick whose framework for neuronavigation was necessarily limited to rigid-body 180 transformations (Fitzpatrick et al., 1998). This was compared with ROI-based measures of spatial correspondence, specifically, the Jaccard similarity coefficient $\left(\frac{A \cap B}{A \cup B}\right)$ and the Dice kappa coefficient 181 $\left(\frac{2 \times A \cap B}{4 + B}\right)$, where A and B are the number of voxels in the source and reference images, respectively. 182

183

We were able to use the AFID32 points placed in Phase 1 for the MNI2009bAsym template since the only difference between the MNI2009bAsym and MNI2009cAsym templates was the resampling from 0.5 mm to 1 mm isotropic resolution. AFRE was computed for each AFID location and OASIS-1 subject, along with voxel overlap for the pallidum, striatum, and thalamus. Comparisons between AFRE and voxel overlap were made using Kendall's tau.

189 Phase 4: Evaluating template-to-template registration

190 BigBrain is a publicly available ultrahigh-resolution (20 micron) human brain model that has enabled 191 bridging of macroscale anatomy with near cellular anatomy (Amunts et al., 2013) (RRID:SCR 001593). 192 A deformable mapping provided by the MNI group has permitted the exploration of high-resolution 193 BigBrain neuroanatomy in MNI2009bSym space (BigBrainRelease.2015; Last modified August 21, 2016; 194 accessed August 2, 2018; Available at: ftp://bigbrain.loris.ca/BigBrainRelease.2015/3D Volumes/MNI-195 ICBM152 Space/). In this manuscript, we refer to the registered BigBrain image as BigBrainSym. We 196 quantify the spatial correspondence between BigBrainSym and MNI2009bSym as well as BigBrainSym 197 and MNI2009bAsym templates using the AFID32 protocol to determine whether any significant AFRE 198 could be identified. For MNI2009bAsym, we used mean coordinates for each AFID using rater data from 199 Phase 1. BigBrainSym and MNI2009bSym templates were annotated *de novo* by three experienced 200 raters (GG, JL, KF). The mean AFID coordinate was used as an approximation of the ideal coordinate 201 location for each template. Spatial correspondence was estimated as the AFRE (i.e. Euclidean distance 202 between points) for each AFID. Correlation between AFLE and AFRE were assessed using Kendall's 203 tau.

204 Source code and data availability

All data analysis was performed using R-project version 3.5.1. The AFIDs protocol, raw and processed data, processing scripts, and scripts used in this manuscript are available at: <u>https://github.com/afids</u>.

207 Results

208 Phase 1: Protocol validation for brain templates

209 The 8 raters had a mean experience of 11.5 +/- 11.2 months in medical imaging (range: 0-24 months),

210 14.3 +/- 17.0 months in neuroanatomy (range: 0-48 months), and 7.0 +/- 8.8 months in 3D Slicer (range:

211	0-24 months). During the template validation phase, the raters placed a total of 3072 individual points
212	(number of sessions = 4; templates = 3; points = 32). Average AFID32 placement time was estimated at
213	between 20-40 minutes. Thus, a total of 1920-3840 minutes (or 32-64 hours) were logged in this phase
214	of the study. The mean, intra-rater, and inter-rater AFLE metrics are summarized in Table 1.
215	
216	For the raw data, the mean AFLE was 1.27 +/- 1.98 mm (1.10 +/- 1.59 mm for Agile12v2016; 1.71 +/-
217	2.78 mm for Colin27; 0.99 +/- 1.11 mm for MNI2009bAsym). Using a threshold of mean AFLE greater
218	than 10 mm from the group mean, we identified 24 outliers out of 3072 independent points (0.78%).
219	20/24 (83.33%) of outliers were the result of variable placement in the bilateral ventral occipital horns (i.e.
220	AFID29 and AFID30) of the Colin27 template. One pair (2/24; 8.33%) of outliers was due to left-right
221	mislabeling (indusium griseum; AFID27 and AFID28). One additional point was mislabeled; i.e. the left
222	anterolateral temporal horn point (AFID22) was placed at the left inferior AM horn location (AFID26).

After quality control and filtering outliers, mean AFLE improved to 1.03 +/- 0.94 mm (1.01 +/- 0.93 mm for Agile12v2016; 1.11 +/- 1.05 mm for Colin27; 0.97 +/- 0.80 mm for MNI2009bAsym).

	Before QC		After QC					
Template	mean AFLE (mm)	# of outliers (%)	mean AFLE (mm)	# of outliers (%)	intra-rater AFLE (mm)	inter-rater AFLE (mm)		
Agile12v2016	1.10 +/- 1.59	3/1024 (0.29%)	1.01 +/- 0.93	0/1021 (0.00%)	1.13 +/- 0.86	1.14 +/- 0.48		
Colin27	1.71 +/- 2.78	20/1024 (1.95%)	1.11 +/- 1.05	1/1004 (0.10%)	1.14 +/- 0.92	1.36 +/- 0.88		
MNI2009bAsym	0.99 +/- 1.11	1/1024 (0.10%)	0.97 +/- 0.80	0/1023 (0.00%)	1.03 +/- 0.78	1.07 +/- 0.46		
Total	1.27 +/- 1.98	24/3072 (0.78%)	1.03 +/- 0.94	1/3048 (0.03%)	1.10 +/- 0.86	1.19 +/- 0.64		

226 **Table 1.** Summary of fiducial localization error across brain templates.

227

Intra-rater AFLE was 1.10 +/- 0.86 mm (1.13 +/- 0.86 mm for Agile12v2016; 1.14 +/- 0.92 mm for
Colin27; 1.03 +/- 0.78 mm); and inter-rater AFLE was 1.19 +/- 0.65 mm (1.15 +/- 0.49 mm for
Agile12v2016; 1.36 +/- 0.88 mm for Colin27; 1.07 +/- 0.46 mm for MNI2009bAsym). Mean, intra-rater,
and inter-rater AFLE for each AFID post-QC are summarized in the Supporting Information S1 File.

232

All subsequent analyses were performed using the mean AFLE metric. We performed a one-way analysis of variance observing evidence of statistically different variance between templates (F-value = 7.88; p-value < 0.001). Differences in mean AFLE between templates were identified on subgroup analysis for the right superior lateral mesencephalic sulcus (AFID06), culmen (AFID10), genu of the corpus callosum (AFID19), and left superior anteromedial temporal horn (AFID24), suggesting differences between templates that may contributing to errors in placement. The results for each AFID are also summarized in the Supporting Information S1 File.

240

Furthermore, we observed several distinct patterns of AFID placement using K-means clustering of fiducial locations (point clouds) relative to the mean fiducial location (see Fig 4). We identified three different general patterns of point cloud distributions ranging from highly anisotropic to moderately anisotropic to isotropic.

12 of 36

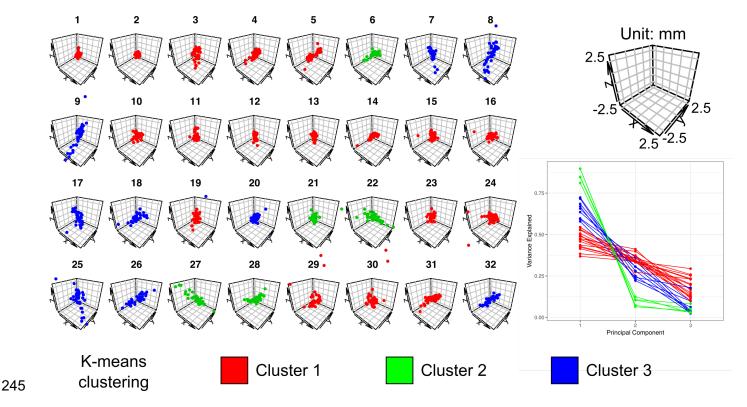


Fig 4. K-means clustering of point clouds relative to the mean fiducial location for each of the 32 AFIDs (left). Principle components analysis (bottom right) revealed three different general patterns were identified ranging from highly isotropic (Cluster 1: red) to moderately anisotropic (Cluster 2: blue) to anisotropic (Cluster 3: green). Results are shown for the MNI2009bAsym template. See the Supplementary Materials for similar plots for Agile12v2016, Colin27, and the templates combined.

252 As a secondary analysis, we explored whether any evidence of learning over the 4 independent rating 253 sessions could be identified (Supporting Information S1 file). Using linear modeling, we identified a 254 general decrease in mean AFLE with increasing session number although this did not meet thresholds of 255 statistical significance (estimate = -0.02 mm/session; p-value = 0.11). These trends were explored on the 256 individual rater level. For two out of 8 raters, AFLE varied with session number. Rater04 demonstrated a 257 general linear improvement of -0.17 mm/session from an initial mean AFLE of 1.64 mm (i.e. the worst 258 performing initial session); however Rater02 worsened at a rate of 0.12 mm/session from an initial mean 259 AFLE of 0.59 mm (i.e. the best performing initial session). No significant effect with individual AFIDs was 260 identified. All subgroup analyses were multiple comparisons corrected using FDR (q-value < 0.05).

261 Phase 2: Protocol validation for individual subjects

262 During the individual subject validation phase, 9 participants completed 10 AFID protocols (= 90 total 263 protocols) and a total of 2880 individual points distributed equally among 30 OASIS-1 datasets. We 264 identified 28 outliers (0.97%), defined as individual point placements greater than 1 cm (10 mm) away 265 from the group mean. 8/28 outliers (28.57%) were the result of mislabeled points: three pairs of lateral 266 (non-midline) AFIDs and only one pair due to gross mislabeling of the target AFID structure (placement 267 in bilateral frontal ventricular horns rather than occipital horns). Beyond left-right swapping, the AFIDs 268 most susceptible to outliers were the following points: bilateral ventral occipital horns (AFID29-30) and 269 bilateral indusium griseum origins (AFID27-28). Mean AFLE across the 30 scans and points was 1.28 +/-270 3.03 mm improving to 0.94 +/- 0.73 after filtering out the outliers. Inter-rater AFLE was 1.58 +/- 1.02 mm 271 across all AFIDs. Mean AFLE and inter-rater AFLE are summarized for each AFID in Table 2 and subject 272 in the Supporting Information S2 file.

273 FMRIPrep results

FMRIPrep ran successfully on 29/30 datasets (96.7%). For the failed dataset, the participant was more hyperextended in the scanner than is typical relative to the long axis of the scanner. This was resolved by first performing a rigid body registration to MNI305 space and providing the transformed image as input to fMRIPrep.

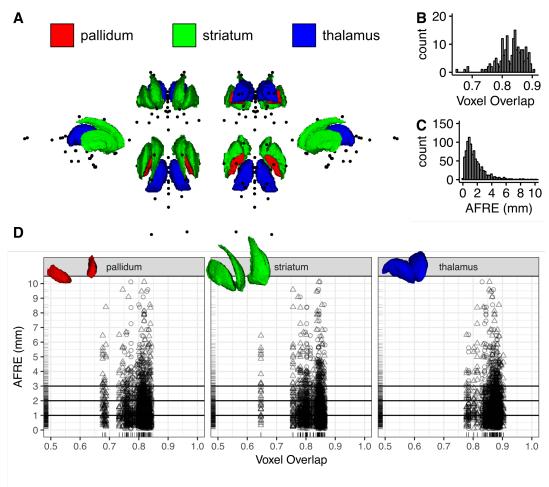
278

280 Table 2. Mean and inter-rater fiducial localization error pre- and post-QC for the included OASIS-1 subjects for all AFIDs.

		Pre-QC	Post-QC		
AFID	Description	Mean AFLE mean ± sd (max)	Mean AFLE mean ± sd (max)	Inter-Rater AFLE mean ± sd (max)	
01	AC	0.36±0.21 (1.29)	0.36±0.21 (1.29)	0.60±0.25 (1.38)	
02	PC	0.34±0.16 (0.88)	0.34±0.16 (0.88)	0.57±0.21 (1.22)	
03	infracollicular sulcus	0.78±0.48 (3.07)	0.78±0.48 (3.07)	1.34±0.64 (3.84)	
04	PMJ	0.83±0.49 (2.44)	0.83±0.49 (2.44)	1.41±0.55 (2.55)	
05	superior interpeduncular fossa	1.20±0.75 (3.50)	1.20±0.75 (3.50)	2.04±0.90 (4.25)	
06	R superior LMS	1.30±1.74 (14.25)	1.01±0.55 (2.85)	1.70±0.68 (3.13)	
07	L superior LMS	1.36±1.71 (13.99)	1.06±0.61 (3.45)	1.72±0.71 (3.89)	
08	R inferior LMS	1.13±0.75 (5.13)	1.03±0.57 (2.99)	1.77±0.74 (3.43)	
09	L inferior LMS	1.10±0.80 (5.31)	1.01±0.62 (2.72)	1.71±0.86 (3.71)	
10	culmen	0.99±0.99 (5.66)	0.83±0.62 (3.07)	1.35±0.82 (3.42)	
11	intermammillary sulcus	0.60±0.31 (1.62)	0.60±0.31 (1.62)	1.02±0.41 (1.86)	
12	R MB	0.40±0.23 (1.11)	0.40±0.23 (1.11)	0.69±0.32 (1.52)	
13	L MB	0.36±0.20 (1.20)	0.36±0.20 (1.20)	0.62±0.29 (1.62)	
14	pineal gland	0.68±0.47 (1.98)	0.68±0.47 (1.98)	1.16±0.69 (2.63)	
15	R LV at AC	1.00±0.90 (5.28)	0.91±0.72 (4.45)	1.55±1.08 (5.86)	
16	L LV at AC	1.01±0.80 (4.53)	0.94±0.70 (4.53)	1.60±1.08 (5.47)	
17	R LV at PC	0.92±0.54 (3.42)	0.92±0.54 (3.42)	1.54±0.77 (3.84)	
18	L LV at PC	0.87±0.42 (2.20)	0.87±0.42 (2.20)	1.46±0.55 (2.80)	
19	genu of CC	0.97±0.81 (5.16)	0.89±0.63 (3.69)	1.50±0.89 (4.30)	
20	splenium	0.54±0.25 (1.24)	0.54±0.25 (1.24)	0.91±0.35 (1.66)	
21	R AL temporal horn	1.44±1.09 (7.01)	1.30±0.86 (4.45)	2.21±1.13 (5.92)	
22	L AL temporal horn	1.22±0.77 (4.11)	1.22±0.77 (4.11)	2.04±1.01 (4.47)	
23	R superior AM temporal horn	1.28±1.27 (8.22)	1.12±0.88 (4.69)	1.86±1.19 (4.97)	
24	L superior AM temporal horn	1.09±1.22 (7.54)	0.83±0.61 (3.66)	1.39±0.85 (4.60)	
25	R inferior AM temporal horn	1.69±1.43 (9.03)	1.44±0.91 (4.72)	2.39±1.23 (5.07)	
26	L inferior AM temporal horn	1.99±1.75 (8.79)	1.49±1.09 (4.70)	2.42±1.47 (6.64)	
27	R indusium griseum origin	3.13±4.19 (23.44)	1.77±0.99 (4.77)	2.95±1.20 (5.75)	
28	L indusium griseum origin	2.99±4.30 (24.30)	1.68±1.00 (5.00)	2.75±1.29 (5.78)	
29	R ventral occipital horn	3.64±10.36 (78.74)	0.69±0.39 (2.11)	1.14±0.54 (2.53)	
30	L ventral occipital horn	3.43±10.38 (80.42)	0.86±0.67 (4.94)	1.39±0.98 (5.72)	
31	R olfactory sulcal fundus	0.99±0.53 (2.29)	0.99±0.53 (2.29)	1.71±0.60 (2.84)	
32	L olfactory sulcal fundus	1.21±0.74 (4.53)	1.21±0.74 (4.53)	2.11±0.92 (5.81)	

282 Phase 3: Evaluating subject-to-template registration

The following section uses the AFIDs to evaluate the quality of spatial correspondence between the Phase 2 subject data with the MNI2009cAsym template as processed through fMRIPrep. Visual inspection of the fMRIPrep generated reports revealed no gross misregistrations between MNI2009c and the individual subject scans although a pattern of worse deformable registration in subjects with enlarged ventricles was observed. The rest of this section is concerned with examining the comparative utility of conventional voxel overlap (ROI-based) metrics against the point-based (AFRE) metric proposed in this study (see Fig 5A).



side o left a right

Fig 5. A comparison of voxel overlap and distance metrics for establishing spatial correspondence between brain regions as evaluated on fMRIPrep output. (A) Multiple views showing the location of AFIDs (black dots) relative to three commonly used ROIs used in voxel overlap measures (the pallidum, striatum, and thalamus). (B,C) The histograms for voxel overlap (Jaccard index) and AFRE, respectively. The distribution for AFRE is more unimodal with a more interpretable dynamic range (in mm) compared to voxel overlap. Trellis plots demonstrate evidence of focal misregistrations identified by AFRE not apparent when looking at ROI-based voxel overlap alone (D).

298 Table 3. Voxel overlap (Jaccard and Kappa) of the pallidum, striatum, and thalamus after linear registration only and combined 299 linear/nonlinear registration.

		Jaccard			Карра		
roi	side	lin	nlin		lin	nlin	
pallidum	left	0.54±0.13	0.80±0.03	*	0.69±0.11	0.89±0.02	*
	right	0.55±0.12	0.79±0.05	*	0.70±0.11	0.88±0.03	*
striatum	left	0.53±0.14	0.83±0.03	*	0.68±0.13	0.91±0.02	*
	right	0.55±0.15	0.82±0.05	*	0.70±0.13	0.90±0.03	*
thalamus	left	0.70±0.11	0.86±0.03	*	0.82±0.08	0.93±0.02	*
	right	0.69±0.11	0.87±0.03	*	0.81±0.08	0.93±0.02	*

300 * significant after FDR corrected (q-value < 0.05)

Improvements in overlap were identified when going from linear to combined linear/nonlinear transformations (Table 3). Some heterogeneity in values was noted between ROIs with voxel overlap measures observed to be lowest for the pallidum (the smallest structure evaluated). All Jaccard values after nonlinear transformation were greater than 0.7 (greater than 0.8 for Dice kappa), generally considered to represent good correspondence between two registered images. For simplicity, we report the Jaccard coefficient as our measure of voxel overlap for all subsequent analyses.

307

308 Mean AFRE improved from 3.40 +/- 2.55 mm with linear transformation alone to 1.80 +/- 2.09 with 309 combined linear/nonlinear transformation (p-value < 0.001). AFRE was significantly decreased with 310 nonlinear registration for all AFIDs except the pineal gland (AFID14). AFRE was observed to be higher 311 than mean AFLE measures (see Phase 2: 0.93 +/- 0.73 mm) across the same subjects providing 312 evidence that registration error is detectable beyond the limits of localization error. The number of outlier 313 AFIDs with AFRE > 3 mm (more than 2 standard deviations above the mean AFLE found in Phase 2 for 314 the same subjects) was 135/960 (14.06%), representing 22/32 (68.75%) unique AFIDs identified as 315 misregistered. Each independent OASIS-1 subject had at least one AFID with AFRE > 3 mm with a 316 mean maximum AFRE of 7.5 mm (Range: 3.16-32.78 mm). Although AFLE and AFRE were statistically 317 correlated, the effect size was small (Kendall tau = 0.15; p-value < 0.001; Supporting Information S3 file).

318

Subgroup analysis for each AFID is summarized in Table 4. AC and PC had the lowest mean AFRE at
 0.36 +/- 0.21 and 0.57 +/- 0.29 mm, respectively. However, registration errors as high as 1.64 mm were
 17 of 36

321 observed for PC. The ventricles appeared particularly difficult to align on subgroup analysis of the AFIDs. 322 The highest AFRE among all 32 AFIDs was observed for the right and left ventral occipital horns 323 (AFID29-30) at 3.44 +/- 5.77 and 4.51 +/- 6.28 mm respectively with errors in certain cases over 20 mm 324 (OAS1_0109 and OAS1_0203; Supporting Information S3 file). Similarly, the lateral ventricle features 325 (AFID15-18) also demonstrated high AFRE ranging from 2.11-3.01 mm on average and up to 7 mm or 326 more. Finally, the alignment of the temporal horn features (AFID21-26) also support this observation with 327 mean errors of 1.67-2.41 mm with observed errors over 5 mm.

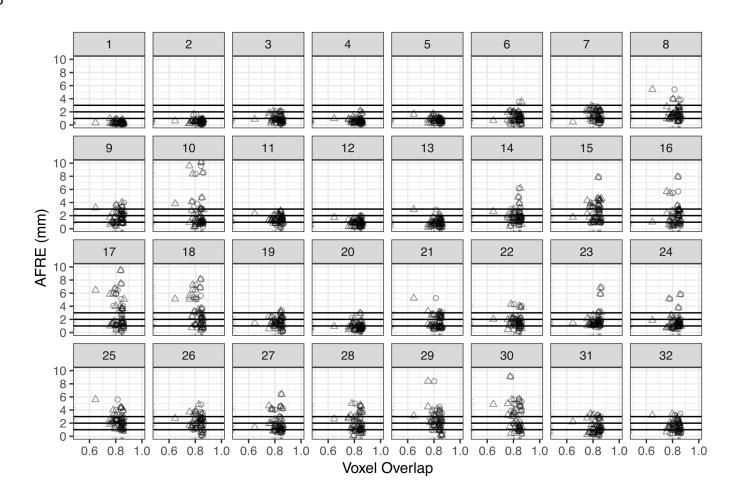
328

329 AFRE was negatively correlated with voxel overlap but the estimates were small (tau = -0.02; p-value = 330 0.03). Subgroup analysis demonstrated the same negative trends for the right pallidum and striatum but 331 these results did not survive multiple comparisons correction (Fig 5D). No correlation between voxel 332 overlap measures and individual AFID AFREs survived multiple comparisons correction. Comparing 333 histograms, AFRE demonstrated a more unimodal distribution peaking between 1-2 mm (Fig 5B) while 334 voxel overlap exhibited two peaks within the 0.8-0.9 range (Fig 5C). The AFRE plot also demonstrated a 335 longer tail up to 10 mm, thus permitting a broader dynamic range in which to judge the guality of 336 registration. In contrast, voxel overlap metrics were sparse in the lower range making interpretation more 337 difficult. Finally, we observed that even where voxel overlap was high, suggesting good spatial 338 correspondence, high AFRE values were also observed for certain AFIDs (see Fig 5D). These represent 339 focal AFID locations where two images are misregistered despite stable voxel overlap results (Fig 6).

Table 4. AFRE after linear registration alone and combined linear/nonlinear registration.

		Mean AFRE mean ± sd (max)			
AFID	Description	lin	nlin		
01	AC	2.15±0.97 (4.96)	0.36±0.21 (0.99)		
02	PC	1.83±0.96 (4.58)	0.57±0.29 (1.64)		
03	infracollicular sulcus	2.20±1.23 (5.71)	0.93±0.53 (2.11)		
04	РМЈ	2.50±1.36 (6.06)	0.68±0.43 (2.13)		
05	superior interpeduncular fossa	2.35±1.06 (4.75)	0.76±0.37 (1.69)		
06	R superior LMS	2.07±0.95 (4.32)	1.17±0.74 (3.52)		
07	L superior LMS	2.03±0.85 (4.22)	1.43±0.77 (2.88)		
08	R inferior LMS	2.45±1.37 (7.50)	1.78±1.11 (5.41)		
09	L inferior LMS	2.54±1.26 (6.63)	1.83±0.96 (3.99)		
10	culmen	4.50±2.93 (12.72)	2.73±2.81 (10.12)		
11	intermammillary sulcus	2.81±1.62 (6.30)	1.44±0.60 (2.73)		
12	R MB	2.72±1.67 (6.90)	0.93±0.48 (1.90)		
13	LMB	2.84±1.70 (6.14)	1.01±0.62 (2.93)		
14	pineal gland	2.53±1.39 (5.70)	2.01±1.24 (6.16)		
15	R LV at AC	4.44±1.84 (7.90)	2.70±1.59 (7.85)		
16	L LV at AC	4.50±1.95 (8.40)	2.11±1.72 (7.92)		
17	R LV at PC	4.81±2.54 (10.07)	2.96±2.42 (9.46)		
18	L LV at PC	4.80±2.64 (10.34)	3.01±2.22 (8.13)		
19	genu of CC	3.73±1.82 (7.88)	1.56±0.76 (3.32)		
20	splenium	2.96±1.88 (7.57)	0.97±0.60 (2.93)		
21	R AL temporal horn	3.79±1.71 (7.50)	1.70±1.09 (5.23)		
22	L AL temporal horn	3.62±1.45 (6.98)	1.67±0.98 (4.31)		
23	R superior AM temporal horn	3.34±1.63 (7.25)	1.93±1.34 (6.85)		
24	L superior AM temporal horn	3.44±1.80 (8.20)	1.67±1.25 (5.80)		
25	R inferior AM temporal horn	4.02±1.97 (8.32)	2.41±1.16 (5.61)		
26	L inferior AM temporal horn	4.13±1.70 (8.20)	2.21±1.09 (4.84)		
27	R indusium griseum origin	3.36±2.07 (8.46)	2.06±1.49 (6.40)		
28	L indusium griseum origin	3.60±1.68 (8.83)	2.05±1.37 (5.00)		
29	R ventral occipital horn	5.86±6.32 (36.26)	3.44±5.77 (32.78)		
30	L ventral occipital horn	6.99±6.72 (33.74)	4.51±6.28 (29.76)		
31	R olfactory sulcal fundus	2.83±1.36 (7.50)	1.37±0.95 (3.44)		
32	L olfactory sulcal fundus	2.94±1.28 (6.49)	1.57±0.84 (3.41)		

342 * significant after FDR corrected (q-value < 0.05)



side o left right

344

Fig 6. Investigating relationships between voxel overlap of the striatum and AFRE for each AFID. Focal misregistrations are identified using AFRE for the following AFIDs: 8-10, 14-18, 21-30. The most commonly misregistered regions include the inferior mesencephalon, superior vermis, pineal gland, indusium griseum, and ventricular regions. Horizontal lines are used to demarcate tiers of AFLE error above which AFRE values are beyond a threshold of localization error alone, i.e. the top horizontal line at 3 mm represents more than 2 standard deviations beyond the mean AFLE. Separate plots for the pallidum and thalamus ROIs are provided in the Supporting Information S3 file.

³⁵¹ Phase 4: Evaluating template-to-template registration

Mean AFLE for BigBrainSym and MNI2009bSym was 0.59 +/- 0.40 mm combined with no outliers (BigBrainSym: 0.63 +/- 0.50 mm; MNI2009bSym: 0.55 +/- 0.26 mm). We highlighted AFRE values beyond a threshold of 2 mm given this represents more than 2 standard deviations beyond the mean AFLE in the templates being studied. AFRE values beyond this minimum were flagged as highlighting focal misregistrations between templates.

Table 5. AFIDs demonstrating evidence of template-to-template misregistration for BigBrainSym with MNI2009bSym and

BigBrainSym with MNI2009bAsym as well as correspondence differences between MNI2009bAsym and MNI2009bSym.

357 358 359

		AFRE (mm)				Distance** (mm)		
AFID	Description	BigBrainSym vs MNI2009bSym		BigBrainSym vs MNI2009bAsym		MNI2009bAsym vs MNI2009bSym		
03	infracollicular sulcus	6.36	*	5.48	*	0.98		
09	L inferior LMS	2.78	*	2.48	*	0.68		
10	culmen	9.27	*	9.39	*	0.21		
14	pineal gland	4.42	*	4.16	*	0.41		
16	L LV at AC	2.05	*	1.22		0.86		
20	splenium	2.23	*	2.20	*	0.10		
22	L AL temporal horn	4.69	*	3.44	*	2.45		
26	L inferior AM temporal horn	1.88		2.58	*	0.98		
27	R indusium griseum origin	1.21		3.60	*	2.81	,	
28	L indusium griseum origin	0.74		2.88	*	2.29	,	
29	R ventral occipital horn	2.54	*	3.99	*	1.63		
30	L ventral occipital horn	5.88	*	4.22	*	2.00		
31	R olfactory sulcal fundus	2.62	*	1.84		1.10		
32	L olfactory sulcal fundus	3.06	*	4.21	*	1.24		

360 * AFRE > 2 mm

361 ** Distance between fiducials (not truly a registration error since templates are designed to be in different spaces)

362 The mean AFRE between BigBrainSym and MNI2009bSym was 2.16 +/- 1.99 mm and between 363 BigBrainSym and MNI2009bAsym was 2.30 +/- 1.83 mm, both above threshold. The largest error was 364 9.27 mm (MNI2009bSym) and 9.38 mm (MNI2009bAsym), found at the culmen (AFID10). Out of the 32 365 AFIDs defined, 11 (34.4%) were above threshold for the symmetric template and 12 (37.5%) for the 366 asymmetric template. The most prominent misregistrations tended to occur in the posterior brainstem with the infracollicular sulcus (AFID03) and pineal gland (AFID14) guantified as 6.36 mm and 4.42 mm 367 368 AFRE, respectively. These registration errors can be seen in Fig 7 and are summarized by AFID in Table 369 5. In addition, AFRE up to 2.78 mm were observed for AFIDs placed along the lateral mesencephalic 370 sulcus (AFID06-09) and at the superior interpeduncular fossa (AFID05), which represent features 371 demarcating the lateral and superior bounds of midbrain registration. Registration differences between 372 these templates was also above threshold for the left lateral ventricle at the anterior commissure 373 (AFID16), splenium (AFID20), left anterolateral temporal horn (AFID22), bilateral ventral occipital horns

(AFID29-30), and bilateral olfactory sulcal fundi (AFID31-32). No correlation between AFRE and AFLE
was found using BigBrainSym AFLE (tau = 0.071; p-value = 0.57) or MNI2009bSym AFLE (tau = -0.046;
p-value = 0.71). Interestingly, AFRE was somewhat lower with MNI2009bAsym in many midline AFIDs
but higher for certain lateral landmarks, i.e. the left inferior anteromedial temporal horn and bilateral
origin of the indusium griseum (AFID26-28).

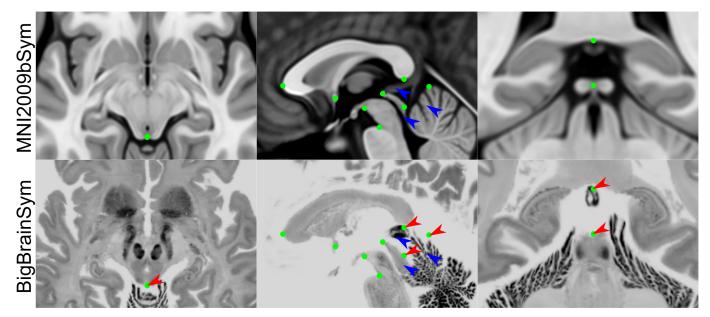
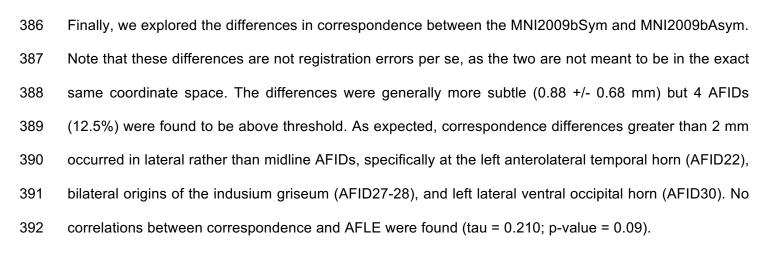


Fig 7. Select views demonstrating registration errors between BigBrainSym and MNI2009bSym. The green dots represent the optimal AFID coordinates in MNI2009bSym space projected onto both templates to provide a basis for comparing registration differences. While many of the midline AFIDs are stable across both templates, the infracollicular sulcus, pineal gland, splenium, and culmen are misregistered in BigBrainSym (red arrows). The AFIDs draw attention to registration differences in the BigBrainSym space in the tectal plate, pineal gland, and superior vermis (blue arrows).

379



22 of 36

393 Discussion

The present findings demonstrate that a series of anatomical fiducials, referred to here as AFIDs, can be consistently placed on standard structural MR images and can be used to quantify the degree of spatial alignment between brain images in millimeters. We found that AFIDs are reproducible, not overtly manually intensive (20-40 minutes once trained), and more sensitive to local registration errors than standard voxel overlap measures. Our entire protocol and study framework leverages open resources and tools, and has been developed with full transparency in mind so that others may freely use, adopt, and modify.

401

402 The work presented here is inspired heavily by classical stereotactic methods (J Talairach et al., 1957). 403 where point-based correspondence has been used to align brain templates with patient anatomy to 404 enable atlas-based surgical targeting. The anterior and posterior commissure were originally identified as 405 prominent intraventricular features based on air studies, prior to the invention of computed tomography 406 or MRI. The AC and PC have proven to be reliable features on MRI and were adopted by neuroscientists 407 for the alignment of brain images to templates, in what is referred to as the Talairach grid normalization 408 procedure (Brett, Johnsrude, & Owen, 2002; A. Evans et al., 1992; Jean Talairach & Tournoux, 1988). 409 The advent of robust and openly available software for automatic or semi-automatic labeling of regions-410 of-interest in brain images has led to a relative underemphasis of point-based alignment. We 411 demonstrate here that point-based metrics are more sensitive to focal misregistrations than voxel overlap 412 measures and quantified in millimeters.

413

Tolerance to focal misregistration in images undoubtedly will depend on the application; but there is no doubt that poor image correspondence can result in inaccurate (and possibly erroneous) predictions and conclusions in neuroimaging studies. Our results evaluating correspondence error in an fMRI preprocessing pipeline revealed local template misregistrations of 1.80 +/- 2.09 mm. For many fMRI or diffusion-based applications, this mean error is about the size of a voxel; and thus may be within an 419 acceptable tolerance. However, mean maximum errors of over 7 mm were also observed and may begin 420 to impact the sensitivity to discovery as well as the accuracy of localization of affected brain regions in a 421 task or connectivity analyses. These misregistrations also may affect the interpretation of voxel-based 422 and deformation-based morphometry studies that seek to investigate subtle shape differences between 423 study populations. Finally, minimizing registration error becomes particularly critical for analyses 424 pertaining to stereotactic interventions like deep brain stimulation (DBS) where millimeters can represent 425 the difference between optimal therapy and side effects.

426 Protocol development and validation

427 After a single training session, novice raters could place AFIDs at a mean AFLE of approximately 1-1.5 428 mm across all AFID32 points. Placement error varied from one template to another and among AFIDs 429 (Supporting Information S1 file). Raters had the least amount of error with placements for the 430 MNI2009bAsym and Agile12v2016 templates. In contrast, fiducial placement errors were higher when 431 raters were asked to place AFIDs for individual subjects, i.e. Colin27 as well as the OASIS-1 database. 432 Repeatability was assessed using measures of intra-rater and inter-rater AFLE. Intra-rater AFLE was 433 lowest for the MNI2009bAsym and highest in Colin27 (Table 1). Inter-rater AFLE was again lowest for 434 MNI2009bAsym and highest in Colin27 and the OASIS-1 datasets. This demonstrates how AFIDs are 435 more difficult to place due to individual variability versus in population templates where the individual 436 nuances of these features may be effectively blurred out. Overall, the placement error remains 437 acceptable (1-2 mm) among all annotated images.

438

The AC and PC were the most reliably identifiable AFIDs with mean AFLE of less than 0.5 mm and interrater AFLE of 0.5-1 +/- 0.3 mm observed. These results compared favorably to an analysis of experienced neurosurgeons by Pallaravam and colleagues placing the same AC-PC points where they observed a point placement error (equivalent to the inter-rater AFLE metric used here) that was surprisingly higher at 1-2 mm +/- 1.5 mm (Pallavaram et al., 2008). We speculate that the higher variability in the referenced study was the lack of restriction on how the AC-PC landmarks were placed;

that is, some stereotactic neurosurgeons continue to use the intraventricular edge of each commissure, which was the classical technique used by Talairach during air studies, while others used the center of each commissure (Horn et al., 2017). The distance from the center to the ventricular edge can be several millimeters likely accounting for this difference. Overall, our findings demonstrate that enforcing certain practices such as using the center of each commissure play an important role in the consistency and standardization of fiducial placement.

451

In contrast, certain fiducial points contributed substantially to worse overall estimates of fiducial localization error. In particular, the bilateral ventral occipital horns (AFID29-30) had higher placement errors. Placement was particularly inaccurate for individual subjects where the ventricular atrium tapered completely in many individual subject studies (including Colin27), and thus the posterior continuation into the occipital horn was sometimes difficult to visualize or resolve at all. The bilateral origins of the indusium griseum (AFID27-28) were also difficult for raters to place consistently.

458 Point-based versus ROI-based metrics

Previous work has shown that nonlinear registration improves alignment between structures (Chakravarty et al., 2009; Hellier et al., 2003; Klein et al., 2009), and that the choice of parameters matters. These existing studies have mostly used voxel overlap measures to support their findings. Our results are also in-line with prior work but also demonstrate how AFIDs are complementary and more sensitive than ROI-based metrics for evaluating both local and global spatial correspondence of brain images (see Fig 5).

465

We were able to compare the relative efficacy of AFRE and voxel overlap for subjects from the OASIS-1 database and several commonly used templates. AFRE had a more unimodal distribution and a longer tail facilitating identification of focal misregistrations between images (Fig 5). On the other hand, the Jaccard histogram was more sparse towards the tail of the distribution suggesting a poorer ability to discriminate. One key advantage of AFRE is its interpretability, representing the distance in millimeters

between aligned neuroanatomical structures in two images, compared to voxel overlap, which is a relative measure and unitless. It is commonly perceived in segmentation studies that voxel overlap measures greater than 0.7 represent accurate correspondence between regions. However, our analysis demonstrates that even with generally high overlap after nonlinear registration, focal misregistrations of AFIDs above 7 mm may be identified (Fig 6 and Table 4).

476 Subject-to-template registration

477 We chose to evaluate the subject-to-template registrations computed as part of an fMRI processing 478 pipeline, fMRIPrep (Esteban et al., 2018), as a use case for our AFIDs protocol. Functional MRI studies 479 may not represent the optimal use case due to the relatively coarse spatial resolution relative to the size 480 of misregistration effects we can detect with AFIDs, and because most fMRI researchers are focused on 481 cortical activation while our protocol emphasizes and detects misregistrations in the deep brain regions. 482 Our choice to investigate fMRIPrep registration performance was motivated by their transparent 483 approach to the development of preprocessing software for neuroimaging and BIDS integration 484 (Gorgolewski et al., 2017, 2016). The active developer and support base, as well as growing adoption by 485 many end-users were other contributing factors. Our analysis revealed misregistrations on the order of 486 1.80 +/- 2.09 mm and as high as over 30 mm that would be more difficult to identify by qualitative 487 evaluation or ROI-based analysis alone.

488

489 While this points to potential caution with the use of standardized pipelines like fMRIPrep for template 490 registration, it should be noted that fMRIPrep was designed with a focus on robustness, rather than 491 accuracy. The underlying parameters and processing steps used in fMRIPrep are fully transparent. In 492 addition, the underlying deformable registration software used (Avants et al., 2008) has been 493 demonstrated to achieve high performance in studies using traditional voxel overlap measures (Klein et 494 al., 2009). The focal template misregistrations we have identified in fMRIPrep with AFIDs are meant to 495 serve as a baseline for refinement in future versions that can be compared transparently and potentially 496 incorporated for testing new versions as part of a continuous integration workflow. Using additional

497 image contrasts (Xiao et al., 2017) or subcortical tissue priors (Ewert et al., 2019) to drive template 498 registration have been demonstrated using conventional voxel overlap techniques to result in more 499 optimal registrations that can also be tested using the AFIDs framework.

500 Template-to-template registration

501 We recommend that imaging scientists exercise caution when displaying statistical maps using a 502 template other than the one to which the original deformations were performed. For example, it has 503 become increasingly common to project statistical maps and subject data registered to MNI space using 504 BigBrain for visualization purposes. In this study, we identified clear evidence of registration differences 505 between several templates commonly assumed to be in the same coordinate space: BigBrainSym and 506 MNI2009bSym, and even greater between BigBrainSym and MNI2009bAsym because of the differences 507 in AFID locations in MNI2009bSym and MNI2009bAsym. Specifically, misregistrations as high as over 9 508 mm have been identified. Many of these errors occur in the midbrain region (Table 5), which would have 509 implications in particular if using BigBrainSym to project locations of electrode implantations. In support 510 of other recent work (Horn et al., 2017), this study highlights the importance of understanding which 511 exact template one is using for processing and analysis: that multiple "MNI" templates exist (with 512 different version dates, types, and symmetry), as do registration differences between these templates.

513 **Teaching neuroanatomy**

Our AFID32 protocol may also hold particular value for teaching neuroanatomy. In fact, evidence from our study suggests that even relative novices can be trained to place AFIDs accurately, including the AC and PC, with comparable accuracy and variability to trained neurosurgeons (Table 2). By releasing the data acquired in this study, we provide a normative distribution of AFID placements that can be used to quantify how accurately new trainees can place points. These measures can be used to gauge the comprehension of students regarding the specific location of neuroanatomical structures in a quantitative (millimetric) manner and focus efforts on consolidating understanding based on where localization errors

were higher. To date, over a series of locally-held workshops and tutorials, over 60 students have been
trained to complete the AFID32 protocol.

523 Limitations and future work

524 While we have found the AFIDs proposed to be quite reliable, there is clearly location-related 525 heterogeneity in placement error. We make no claims that this set of anatomical fiducials is optimal and 526 in the future, other locations may prove to be more effective than others. Also, for this first proposed set 527 of AFIDs, we limited our locations to deep structures where less inter-subject variability exists compared 528 to cortical features (Thompson et al., 1996); future extensions could include linking our workflow with 529 cortical surface-based (B. Fischl, 2004) and sulcal-based (Hellier et al., 2003; Mangin et al., 2015; Perrot, 530 Rivière, & Mangin, 2011) methods of spatial correspondence. Development of similar protocols for other 531 neuroimaging modalities such as T2-weighted or diffusion-based contrasts may also be of value. In 532 addition, fiducial localization error may be biased by how the raters were taught to place the fiducials; in 533 our case, we organized an initial interactive tutorial session, and provided text and picture-based 534 resources of how to place the AFIDs. It is also possible that AFLE would be lower if performed by a more 535 experienced group of raters. Also, how AFID placement behaves in the presence of lesional pathology 536 remains an open question. We have made the annotations and images available to allow other groups to 537 propose other AFID locations and descriptions that could be similarly validated. We plan to post any 538 modifications to the protocol as separate versions at the linked repository.

539

The AFIDs protocol requires correct placement of the anterior commissure (AFID01) and posterior commissure (AFID02) points. We made this decision as it helps to align the brain images into a more standard orientation for subsequent placement of bilateral fiducials. In particular, 4 of the AFIDs are dependent on AC-PC alignment (the lateral ventricles at AC and PC in the coronal plane). It is possible that error in AFID placements could be compounded by initial error in placement of AC and PC. Fortunately, AC and PC can be placed with high trueness and precision (< 1 mm) (Table 2), consistent with prior studies (Liu & Dawant, 2015). We made the decision to perform AC-PC alignment to permit

28 of 36

547 more accurate placement of lateral AFIDs, which may otherwise have appeared quite oblique from each 548 other if the individual's head was tilted in the scanner. Thus, on balance, AC-PC alignment probably 549 mitigates placement error in lateral AFIDs compared to placing fiducials in the native MRI space. Further 550 research can examine these potential spatial biases more systematically.

551

552 Beyond evaluating correspondence, AFIDs could be used for point-based inter-subject or subject-to-553 template registration. AFIDs used in combination with classic rigid registration algorithms such as 554 Iterative Closest Point (Besl & McKay, 1992) may result in more optimal initial linear registration between 555 images. In addition, point-based deformable registration using (B-splines) may produce more efficient, 556 lower order deformable registrations between two images (Bookstein, 1997). To prevent circular 557 reasoning, we thought this would be best evaluated as independent studies. Finally one compelling 558 extension of this work would be to automate or semi-automate AFID placement, which would enable 559 inclusion of AFID-based metrics in standardized workflows involving template or intersubject registration.

560 Conclusions

561 Our proposed framework consists of the identification of anatomical fiducials, AFIDs, in structural 562 magnetic resonance images of the human brain. Validity has been established using several openly 563 available brain templates and datasets. We found that novice users could be trained to reliably place 564 these points over a series of interactive training sessions to within millimeters of placement accuracy. As 565 an example of different use cases, we examined the utility of our proposed protocol for evaluating 566 subject-to-template and template-to-template registration revealing that AFIDs are sensitive to focal 567 misregistrations that may be missed using other commonly used evaluation methods. This protocol holds 568 value for a broad number of applications including intersubject alignment and teaching neuroanatomy.

570 References

- 571 Amunts, K., Lepage, C., Borgeat, L., Mohlberg, H., Dickscheid, T., Rousseau, M.-É., ... Evans, A. C.
- 572 (2013). BigBrain: an ultrahigh-resolution 3D human brain model. Science (New York, N.Y.),

573 340(6139), 1472–5. http://doi.org/10.1126/science.1235381

- Avants, B. B., Epstein, C. L., Grossman, M., & Gee, J. C. (2008). Symmetric diffeomorphic image
- 575 registration with cross-correlation: Evaluating automated labeling of elderly and neurodegenerative
- 576 brain. *Medical Image Analysis*, *12*(1), 26–41. http://doi.org/10.1016/j.media.2007.06.004
- 577 Besl, P. J., & McKay, H. D. (1992). A method for registration of 3-D shapes. *IEEE Transactions on*

578 Pattern Analysis and Machine Intelligence, 14(2), 239–256. http://doi.org/10.1109/34.121791

- 579 Bookstein, F. (1997). Landmark methods for forms without landmarks: morphometrics of group 580 differences in outline shape. *Med Image Anal*, *1*(3), 225–243.
- 581 Brett, M., Johnsrude, I. S., & Owen, A. M. (2002). The problem of functional localization in the human 582 brain. *Nature Reviews. Neuroscience*, *3*(3), 243–9. http://doi.org/10.1038/nrn756
- 583 Chakravarty, M. M., Sadikot, A. F., Germann, J., Bertrand, G., & Collins, D. L. (2008). Towards a
- validation of atlas warping techniques. *Medical Image Analysis*, *12*(6), 713–726.
- 585 http://doi.org/10.1016/j.media.2008.04.003
- 586 Chakravarty, M. M., Sadikot, A. F., Germann, J., Hellier, P., Bertrand, G., & Collins, D. L. (2009).
- 587 Comparison of piece-wise linear, linear, and nonlinear atlas-to-patient warping techniques: Analysis
- 588 of the labeling of subcortical nuclei for functional neurosurgical applications. *Human Brain Mapping*,
- 589 30(11), 3574–3595. http://doi.org/10.1002/hbm.20780
- 590 Collins, D. L., Neelin, P., Peters, T. M., & Evans, A. C. (1994). Automatic 3D intersubject registration of
- 591 MR volumetric data in standardized Talairach space. *Journal of Computer Assisted Tomography*,
- 592 *18*(2), 192–205. Retrieved from http://www.ncbi.nlm.nih.gov/pubmed/8126267
- 593 Dale, A., Fischl, B., & Sereno, M. (1999). Cortical surface-based analysis. I. Segmentation and surface
- 594 reconstruction. *Neuroimage*, 9(2), 179–194. http://doi.org/10.1006/nimg.1998.0395
- 595 Dandy, W. E. (1918). Ventriculography following the injection of air into the cerebral ventricles. *Annals of*

- 596 *Surgery*, 68(1), 5–11. Retrieved from
- 597 http://www.pubmedcentral.nih.gov/articlerender.fcgi?artid=1426769&tool=pmcentrez&rendertype=a
 598 bstract
- 599 Eickhoff, S. B., Laird, A. R., Grefkes, C., Wang, L. E., Zilles, K., & Fox, P. T. (2009). Coordinate-based
- 600 activation likelihood estimation meta-analysis of neuroimaging data: A random-effects approach
- based on empirical estimates of spatial uncertainty. *Human Brain Mapping*, *30*(9), 2907–2926.
- 602 http://doi.org/10.1002/hbm.20718
- 603 Esteban, O., Markiewicz, C. J., Blair, R. W., Moodie, C. A., Ayse, I., Erramuzpe, A., ... Gorgolewski, K. J.
- 604 (2018). FMRIPrep : a robust preprocessing pipeline for functional MRI, 5, 1–20.
- 605 http://doi.org/10.1101/306951
- Evans, A. C., Janke, A. L., Collins, D. L., & Baillet, S. (2012). Brain templates and atlases. *NeuroImage*,
- 607 62(2), 911–922. http://doi.org/10.1016/j.neuroimage.2012.01.024
- Evans, A., Marrett, S., Neelin, P., Collins, L., Worsley, K., Dai, W., ... Bub, D. (1992). Anatomical
 mapping of functional activation in stereotactic coordinate space. *Neuroimage*, *1*(1), 43–53.
- 610 Ewert, S., Horn, A., Finkel, F., Li, N., Kühn, A. A., & Herrington, T. M. (2019). Optimization and
- 611 comparative evaluation of nonlinear deformation algorithms for atlas-based segmentation of DBS
- 612 target nuclei. *NeuroImage*, *184*(August 2018), *586–598*.
- 613 http://doi.org/10.1016/j.neuroimage.2018.09.061
- 614 Fedorov, A., Beichel, R., Kalpathy-Cramer, J., Finet, J., Fillion-Robin, J. C., Pujol, S., ... Kikinis, R.
- 615 (2012). 3D Slicer as an image computing platform for the Quantitative Imaging Network. *Magnetic*
- 616 Resonance Imaging, 30(9), 1323–1341. http://doi.org/10.1016/j.mri.2012.05.001
- 617 Fischl, B. (2004). Automatically Parcellating the Human Cerebral Cortex. *Cerebral Cortex*, *14*(1), 11–22.
- 618 http://doi.org/10.1093/cercor/bhg087
- 619 Fischl, B. (2012). FreeSurfer. *NeuroImage*, 62(2), 774–781.
- 620 http://doi.org/10.1016/j.neuroimage.2012.01.021
- 621 Fitzpatrick, J. M., & West, J. B. (2001). The distribution of target registration error in rigid-body point-
- based registration. *IEEE Transactions on Medical Imaging*, 20(9), 917–927.

623 http://doi.org/10.1109/42.952729

- Fitzpatrick, J. M., West, J. B., & Maurer, C. R. (1998). Predicting error in rigid-body point-based
 registration. *IEEE Transactions on Medical Imaging*, *17*(5), 694–702.
- 626 http://doi.org/10.1109/42.736021
- 627 Fonov, V., Evans, A. C., Botteron, K., Almli, R. R., McKinstry, R. C., Collins, L. L., & Group", "Brain
- 628 Development Cooperative. (2011). Unbiased average age-appropriate atlases for pediatric studies.
- 629 *NeuroImage*, *54*(1), 313–327. http://doi.org/10.1016/j.neuroimage.2010.07.033
- 630 Gorgolewski, K. J., Alfaro-almagro, F., Auer, T., Bellec, P., Capotă, M., Chakravarty, M. M., ... Yarkoni,
- T. (2017). BIDS apps: Improving ease of use, accessibility, and reproducibility of neuroimaging data
- analysis methods. *PLoS Computational Biology*, *13*(3), e1005209.
- 633 http://doi.org/10.1371/journal.pcbi.1005209
- 634 Gorgolewski, K. J., Auer, T., Calhoun, V. D., Craddock, R. C., & Das, S. (2016). The brain imaging data
- 635 structure , a format for organizing and describing outputs of neuroimaging experiments, 1–9.
- 636 Gorgolewski, K. J., Varoquaux, G., Rivera, G., Schwarz, Y., Ghosh, S. S., Maumet, C., ... Margulies, D.
- 637 S. (2015). NeuroVault.org: a web-based repository for collecting and sharing unthresholded
- 638 statistical maps of the human brain. *Frontiers in Neuroinformatics*, 9(April), 1–9.
- 639 http://doi.org/10.3389/fninf.2015.00008
- Hellier, P., Barillot, C., Corouge, I., Gibaud, B., Le Goualher, G., Collins, D. L., ... Johnson, H. J. (2003).
- 641 Retrospective evaluation of intersubject brain registration. *IEEE Transactions on Medical Imaging*,
- 642 22(9), 1120–1130. http://doi.org/10.1109/TMI.2003.816961
- Holmes, C. J., Hoge, R., Collins, L., Woods, R., Toga, A. W. A., & Evans, A. C. A. (1998). Enhancement
- of MR Images Using Registration for Signal Averaging. *Journal of Computer Assisted Tomography*,
- 645 22(2), 324–333. http://doi.org/10.1097/00004728-199803000-00032
- Horn, A., Kühn, A. A., Merkl, A., Shih, L., Alterman, R., & Fox, M. (2017). Probabilistic conversion of
- 647 neurosurgical DBS electrode coordinates into MNI space. *NeuroImage*.
- 648 http://doi.org/10.1016/j.neuroimage.2017.02.004
- 649 Horsley, V., & Clarke, R. H. (1908). The structure and functions of the cerebellum examined by a new

- 650 method. *Brain*, 31(1), 45–124. http://doi.org/10.1093/brain/31.1.45
- Klein, A., Andersson, J., Ardekani, B. A., Ashburner, J., Avants, B., Chiang, M.-C., ... Hellier, P. (2009).
- 652 Evaluation of 14 nonlinear deformation algorithms applied to human brain MRI registration.

653 *NeuroImage*, 46(3), 786–802. http://doi.org/10.1016/j.neuroimage.2008.12.037

- Lau, J. C., Khan, A. R., Zeng, T. Y., MacDougall, K. W., Parrent, A. G., & Peters, T. M. (2018).
- 655 Quantification of local geometric distortion in structural magnetic resonance images: Application to
- 656 ultra-high fields. *NeuroImage*, *168*, 141–151. http://doi.org/10.1016/j.neuroimage.2016.12.066
- 657 Lau, J. C., MacDougall, K. W., Arango, M. F., Peters, T. M., Parrent, A. G., & Khan, A. R. (2017). Ultra-

658 High Field Template-Assisted Target Selection for Deep Brain Stimulation Surgery. World

659 *Neurosurgery*, *103*, 531–537. http://doi.org/10.1016/j.wneu.2017.04.043

Liu, Y., & Dawant, B. M. (2015). Automatic Localization of the Anterior Commissure, Posterior

661 Commissure, and Midsagittal Plane in MRI Scans using Regression Forests. *IEEE Journal of*

662 Biomedical and Health Informatics, 19(4), 1362–1374. http://doi.org/10.1109/JBHI.2015.2428672

- Mangin, J. F., Auzias, G., Coulon, O., Sun, Z. Y., Rivière, D., & Régis, J. (2015). Sulci as Landmarks.
- 664 Brain Mapping: An Encyclopedic Reference, 2(2015), 45–52. http://doi.org/10.1016/B978-0-12-665 397025-1.00198-6
- Marcus, D. S., Fotenos, A. F., Csernansky, J. G., Morris, J. C., & Buckner, R. L. (2010). Open Access
 Series of Imaging Studies: Longitudinal MRI Data in Nondemented and Demented Older Adults.

668 *Journal of Cognitive Neuroscience*, 22(12), 2677–2684. http://doi.org/10.1162/jocn.2009.21407

- 669 Pallavaram, S., Yu, H., Spooner, J., D'Haese, P. F., Bodenheimer, B., Konrad, P. E., & Dawant, B. M.
- 670 (2008). Intersurgeon Variability in the Selection of Anterior and Posterior Commissures and Its
- 671 Potential Effects on Target Localization. *Stereotactic and Functional Neurosurgery*, 86, 113–119.
- 672 http://doi.org/10.1159/000116215
- 673 Perrot, M., Rivière, D., & Mangin, J. F. (2011). Cortical sulci recognition and spatial normalization.
- 674 *Medical Image Analysis*, 15(4), 529–550. http://doi.org/10.1016/j.media.2011.02.008
- Peters, T. M. (2006). Image-guidance for surgical procedures. *Physics in Medicine and Biology*, 51(14),
- 676 R505-40. http://doi.org/10.1109/NSSMIC.1993.373602

- 677 Schaltenbrand, G., & Wahren, W. (1977). *Atlas for Stereotaxy of the Human Brain* (2nd ed.). Thieme.
- Talairach, J., David, M., Tournoux, P., Corredor, H., & Kvasina, T. (1957). Atlas d'anatomie
- 679 stéréotaxique. Repérage radiologique indirect des noyaux gris centraux des régions
- 680 *mésencephalosousoptique et hypothalamique de l'homme.* Paris, France: Masson & Cie.
- Talairach, J., & Tournoux, P. (1988). *Co-planar stereotaxic atlas of the human brain* (1st ed.). New York:
- 682 Thieme. Retrieved from https://www.amazon.ca/Co-Planar-Stereotaxic-Atlas-Human-
- 683 Brain/dp/0865772932
- Thompson, P. M., Schwartz, C., Lin, R. T., Khan, A. A., & Toga, A. W. (1996). Three-dimensional
- 685 statistical analysis of sulcal variability in the human brain. *The Journal of Neuroscience*, *16*(13),
- 686 4261–4274. http://doi.org/10.1126/science.os-2.68.475
- 687 Wang, B. T., Poirier, S., Guo, T., Parrent, A. G., Peters, T. M., & Khan, A. R. (2016). Generation and
- 688 evaluation of an ultra-high-field atlas with applications in DBS planning. In M. A. Styner & E. D.
- 689 Angelini (Eds.), SPIE Medical Imaging (Vol. 9784, p. 97840H). http://doi.org/10.1117/12.2217126
- Kiao, Y., Fonov, V., Chakravarty, M. M., Beriault, S., Al Subaie, F., Sadikot, A., ... Collins, D. L. (2017). A
- 691 dataset of multi-contrast population-averaged brain MRI atlases of a Parkinson's disease cohort.

692 Data in Brief, 12, 370–379. http://doi.org/10.1016/j.dib.2017.04.013

- 693 Yarkoni, T., Poldrack, R. A., Nichols, T. E., Van Essen, D. C., & Wager, T. D. (2011). Large-scale
- automated synthesis of human functional neuroimaging data. *Nature Methods*, 8(8), 665–670.
- 695 http://doi.org/10.1038/nmeth.1635
- 696
- 697

Table Legends 698

699 700 Table 1. Summary of fiducial localization error across brain templates.

701 Table 2. Mean and inter-rater fiducial localization error pre- and post-QC for the included OASIS-1 subjects for all AFIDs. 702

703 Table 3. Voxel overlap (Jaccard and Kappa) of the pallidum, striatum, and thalamus after linear registration only and combined 704 linear/nonlinear registration.

705 706 Table 4. AFRE after linear registration alone and combined linear/nonlinear registration.

707 708 Table 5. AFIDs demonstrating evidence of template-to-template misregistration for BigBrainSym with MNI2009bSym and 709 BigBrainSym with MNI2009bAsym as well as correspondence differences between MNI2009bAsym and MNI2009bSym.

Figure Legends 710

711 Fig 1. Metrics for evaluating spatial correspondence between brain images include voxel overlap (i.e. ROI-based) metrics as 712 well as point-based distance metrics. The proposed framework involves the identification of point-based anatomical fiducials 713 (AFIDs) in a series of brain images, which provide an intuitive millimetric estimate of correspondence error between images and 714 is also a useful tool for teaching neuroanatomy. 715

716 Fig 2. Each anatomical fiducial in the full AFID32 protocol is demonstrated with crosshairs at the representative location in 717 MNI2009bAsym space using the standard cardinal planes. AC = anterior commissure; PC = posterior commissure; AL = 718 anterolateral; AM = anteromedial; IG = indusium griseum; IPF = interpeduncular fossa; LMS = lateral mesencephalic sulcus; LV = lateral ventricle; PMJ = pontomesenphalic junction.

Fig 3. Metrics used for validating AFID placements are shown here in schematic form. Mean, intra-rater, and inter-rater AFLE can be computed for an image that has been rated by multiple raters multiple times.

Fig 4. K-means clustering of point clouds relative to the mean fiducial location for each of the 32 AFIDs (left). Principle components analysis (bottom right) revealed three different general patterns were identified ranging from highly isotropic (Cluster 1: red) to moderately anisotropic (Cluster 2: blue) to anisotropic (Cluster 3: green). Results are shown for the MNI2009bAsym template. See the Supplementary Materials for similar plots for Agile12v2016, Colin27, and the templates combined.

719 720 721 722 723 724 725 726 727 728 729 730 731 732 733 734 735 736 737 738 Fig 5. A comparison of voxel overlap and distance metrics for establishing spatial correspondence between brain regions as evaluated on fMRIPrep output. (A) Multiple views showing the location of AFIDs (black dots) relative to three commonly used ROIs used in voxel overlap measures (the pallidum, striatum, and thalamus). (B,C) The histograms for voxel overlap (Jaccard index) and AFRE, respectively. The distribution for AFRE is more unimodal with a more interpretable dynamic range (in mm) compared to voxel overlap. Trellis plots demonstrate evidence of focal misregistrations identified by AFRE not apparent when looking at ROI-based voxel overlap alone (D).

Fig 6. Investigating relationships between voxel overlap of the striatum and AFRE for each AFID. Focal misregistrations are identified using AFRE for the following AFIDs: 8-10, 14-18, 21-30. The most commonly misregistered regions include the inferior 739 mesencephalon, superior vermis, pineal gland, indusium griseum, and ventricular regions. Horizontal lines are used to 740 demarcate tiers of AFLE error above which AFRE values are beyond a threshold of localization error alone, i.e. the top 741 horizontal line at 3 mm represents more than 2 standard deviations beyond the mean AFLE. Separate plots for the pallidum and 742 743 thalamus ROIs are provided in the Supporting Information S3 file.

744 Fig 7. Select views demonstrating registration errors between BigBrainSym and MNI2009bSym. The green dots represent the 745 optimal AFID coordinates in MNI2009bSym space superimposed in both templates to provide a basis for comparing registration 746 differences. While many of the midline AFIDs are stable across both templates, the infracollicular sulcus, pineal gland, splenium, 747 and culmen are misregistered in BigBrainSym (red arrows). The AFIDs draw attention to registration differences in the 748 BigBrainSym space in the tectal plate, pineal gland, and superior vermis (blue arrows). 749

35 of 36

750 Supporting Information

- Additional Supporting Information may be found online in the supporting information tab for this article.
- 752 S1 File. Phase 1 Notebook.
- 753 S2 File. Phase 2 Notebook.
- 754 S3 File. Phase 3 Notebook.
- 755 S4 File. Phase 4 Notebook.