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1	Non-invasive ultrasound quantification of Scar Tissue Volume predicts functional changes during
2	tendon healing.
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28	

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36 Abstract

37 Tendon injuries are very common and disrupt the transmission of forces from muscle to bone, leading to 38 impaired function and quality of life. Successful restoration of tendon function after injury is a challenging 39 clinical problem due to the pathological, scar-mediated manner in which tendons heal. Currently, there are no 10 standard treatments to modulate scar tissue formation and improve tendon healing. A major limitation to the 11 identification of therapeutic candidates has been the reliance on terminal end-point metrics of healing in pre-12 clinical studies, which require a large number of animals and result in destruction of the tissue. To address this 13 limitation, we have identified quantification of Scar Tissue Volume (STV) from ultrasound imaging as a 14 longitudinal, non-invasive metric of tendon healing. STV was strongly correlated with established endpoint 15 metrics of gliding function including Gliding Resistance (GR) and Metatarsophalangeal (MTP) Flexion Angle. 16 However, no associations were observed between STV and tensile mechanical properties. To define the 17 sensitivity of STV to identify differences between functionally discrete tendon healing phenotypes, we utilized 18 S100a4 haploinsufficient mice (S100a4^{GFP/+}), which heal with improved gliding function relative to wildtype (WT) littermates. A significant decrease in STV was observed in S100a4^{GFP/+} repairs, relative to WT at day 14. 19 50 Taken together, these data suggest US quantification of STV as a means to facilitate the rapid screening of 51 biological and pharmacological interventions to improve tendon healing, and identify promising therapeutic 52 targets, in an efficient, cost-effective manner.

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54 55

56 Introduction

57 Tendon injuries disrupt the transmission of forces from muscle to bone, leading to chronic pain, 58 disability and a large socioeconomic burden¹. Tendon injuries are very common, as there are over 300,000 tendon repair procedures a vear in the United States², which result from either acute trauma or chronic 59 tendinopathy. While satisfactory outcome rates vary between tendons, successful restoration of tendon 50 51 function after injury remains a challenging clinical problem, with up to 40% of flexor tendon injuries healing with functional limitations³. Unsatisfactory outcomes of surgical tendon repair procedures are due to the 52 53 pathological, scar-mediated manner in which tendons heal. Rather than regenerating native tendon tissue, 54 tendons heal via bridging scar tissue composed of a disorganized collagen extracellular matrix (ECM). 55 resulting in mechanical properties that are inferior to native tendon, and increasing the risk of re-injury or 56 rupture. Additionally, scar tissue impairs tendon range of motion (ROM), a particularly problematic complication in the flexor tendons of the hands³. 57

58

59 Despite attempts using a variety of biological and tissue engineering approaches, there is currently no 70 consensus therapy to improve outcomes after tendon injury. An insufficient understanding of the underlying 71 mechanisms that contribute to scar-mediated tendon healing is a major impediment to the development of 12 successful therapies. To address this limitation, we developed a murine model of tendon injury and repair that 13 recapitulates many clinical aspects of healing including abundant scar tissue formation and impaired restoration of mechanical properties ⁴⁻⁶. However, progress in this field is limited by the absence of cost-14 75 effective longitudinal outcome measures of tendon healing. Currently, we quantify impairments in gliding 76 function using end-point analyses of Gliding Resistance and measurement of the Metatarsophalangeal (MTP) flexion angle after loading of the proximal FDL with small weights^{4,7}, consistent with large animal⁸ and 17 78 cadaveric studies⁹. This powerful technique has allowed us to define the temporal course of scar formation in 79 the murine model⁴, and demonstrated the effects of multiple genetic and pharmacological perturbations on the 30 healing process¹⁰⁻¹². However, these studies require many animals to properly power the study and to get 31 sufficient temporal resolution over the course of scar formation and healing. Thus, current approaches are 32 expensive, time-consuming and do not allow longitudinal evaluation or concomitant assessment of function 33 and tissue morphology in the same specimen. Therefore, our objective was to establish quantification of Scar

Tissue Volume from ultrasound (US) images as a longitudinal, non-invasive metric of tendon healing. US-34 35 based quantification of tendon excursion will dramatically reduce the number of animals needed by 36 longitudinally assessing a single cohort of animals over the entire course of healing. Furthermore, US-based 37 characterization will provide more flexibility as we characterize novel genetic models and interventions as we 38 can image at many more time-points. In addition, while GR and MTP Flexion allow us to make assumptions 39 about tissue morphology, concomitant assessment of function and morphology are not possible in a single **)**() specimen. In contrast, 3D reconstruction and segmentation of US images allow direct assessment and **)**1 quantification of tissue morphology. Finally, US is an ideal modality to longitudinally assess tendon healing, as **)**2 it is non-ionizing, and can be easily scaled between pre-clinical and clinical applications.

)3

)4 Methods

Animal Ethics: This study was carried out in strict accordance with the recommendations in the Guide for the
 Care and Use of Laboratory Animals of the National Institutes of Health. All animal procedures were approved
 by the University Committee on Animal Research (UCAR) at the University of Rochester (UCAR Number:
 2014-004).

*)*9

)() Acute tendon injury and repair. The following strains of mice were obtained from Jackson laboratories (Bar Harbor, ME): C57BL6/J (#664) and S100a4^{GFP/+} and Wildtype (WT) littermates (#012904; B6.129S6-)1 S100a4^{tm1Egn}/YunkJ). Only female C57BI/6J mice were used, while male and female S100a4^{GFP/+} and WT used)2)3 in equal proportions across genotypes. At 10-12 weeks of age, mice underwent surgical transection and repair of the flexor digitorum longus (FDL) tendon in the hind paw as we have previously described ^{4,10-14}. Briefly, the)4)5 distal FDL tendon was exposed and transected; two horizontal 8-0 sutures were placed in the intact tendon)6 ends and the tendon was sutured to approximate an end-to-end repair. The tendon was also transected)7 proximally along the tibia at the myotendinous junction to decrease strain on the repair.

)8

Ultrasound quantification of Scar Tissue Volume: A high-frequency ultrasound platform (Vevo® 3100,
 FUJIFILM VisualSonics Inc., Toronto, Canada), with a 70-MHz transducer probe (MX700; FUJIFILM
 VisualSonics Inc., Toronto, Canada) was used for *in vivo* imaging of the healing tendon. For imaging studies,

mice were anesthetized with isoflurane, and placed in the prone position. The right hind paw was gently secured proximal to tibiotalar (ankle) joint with surgical tape. After aligning the ultrasound probe with the tendon at the mid-point of the hind paw, the hind paw was covered in ultrasound gel (Aquasonic 100, Parker, Fairfield, NJ) and imaged. A total of 105 40µm-thick transverse B mode images were collected across the 4mm region of interest (ROI), which included the entire width of the hind paw. All system settings, including gain (96%), monitor dynamic range (70 dB), and depth (2 cm), were kept constant.

The same cohort of mice (n=9) underwent ultrasound imaging at 7, 14, 20, and 28 days post-surgery, followed by assessment of gliding function and mechanical properties at day 28 (Figure 1A). Two mice were excluded from analysis at day 28 due to failure during testing. An additional cohort of animals (n=7) underwent ultrasound imaging at day 14, followed by sacrifice and assessment of gliding function and mechanical properties.

23

24 Segmentation and validation with histology: B-mode images were exported as 3D volumes and loaded in to 25 AMIRA (FEI v.6.1.1, Thermo Scientific, Hillsboro, OR). The scar tissue boundaries were identified and segmented on each slice. A 3D reconstruction of the scar tissue was generated and volumetrically quantified. 26 27 resulting in the Scar Tissue Volume (STV) metric. To validate the correct segmentation of STV in US images, 28 a subset of specimens (n=5) underwent both US imaging and histological evaluation. Following US imaging, 29 mice were sacrificed, and hind paws were harvested and fixed in 10% neutral buffered formalin (NBF) for 72 hours at room temperature. Samples were then decalcified for 7 days in 14% EDTA¹⁵ at room temperature 30 31 and processed for paraffin histology. Serial 5um transverse sections were cut through the entire width of the 32 hindpaw that included the flexor tendon and/or scar tissue. For 3D reconstruction, sections corresponding to 33 every 40µm were stained with Alcian Blue/ Hematoxylin/ Orange G (ABHOG), as the step size of the US 34 images was 40µm. ABHOG was used as it allows easy discrimination between native tendon and scar tissue 35 ¹⁶. Stained sections were then digitally imaged, aligned, and stacked using NIH Image J¹⁷, and loaded in to 36 AMIRA. Scar tissue was then manually segmented in each slice, and volumetrically quantified.

37

Assessment of Gliding Function and Mechanical Testing: Following ultrasound imaging, C57Bl/6J mice were sacrificed at day 14 or day 28 post-surgery (n=7 per time-point) for assessment of gliding function and tensile

mechanical testing ^{4,7,16}. The hindlimb was disarticulated at the knee and the skin was removed down to the 10 11 ankle. The FDL tendon was isolated at the myotendinous junction and secured between two pieces of tape. 12 The tibia was gripped in an alligator clip and the FDL was incrementally loaded with small weights from 0-19g. Digital images were taken after each weight was applied and the flexion angle at the metatarsophalangeal 13 (MTP) joint was measured from these images. The MTP Flexion angle corresponds to the difference in flexion 14 15 from the neutral, unloaded (0g) image, and the flexion angle when the 19g weight is applied. Application of a 16 19g weight results in complete flexion of uninjured FDL tendons. Gliding Resistance was calculated based on 17 the changes in MTP Flexion Angle over the range of applied loads with higher Gliding Resistance indicating 18 impaired gliding function. Following gliding assessment, tendons were released from the tarsal tunnel, the tibia and calcaneus were removed, and the repaired tendon underwent tensile testing as previously described ^{4,7}. 19 50 Briefly, the toes and the proximal end of the tendon were secured in opposing custom grips in an Instron 8841 51 uniaxial testing system (Instron Corporation, Norwood, MA) and tested in tension at a rate of 30mm/min, until 52 failure.

53

54 Statistical Analyses: To identify significant differences in STV over time in C57BL/6J mice, a one-way Analysis 55 of Variance (ANOVA) with post-hoc multiple comparisons was used. Student t-tests were used to identify significant differences between WT and S10a04^{GFP/+} repairs. Significance was set at p<0.05. Two independent 56 57 blinded observers performed all subjective readings (e.g. segmentation of STV, assessment of gliding 58 function). Univariate regression analysis was used to determine if STV measured from ultrasound images 59 correlated with gliding function or tensile mechanical properties. To evaluate intraoperator and interoperator error in the segmentation of STV, two operators measured 10 randomly selected C57BL/6J specimens. The 50 51 average percent error was calculated as the absolute difference between measures divided by the average measurement. The coefficient of variance was calculated as previously described ¹⁸. Percent error was also 52 53 calculated between STV guantified from segmentation of histology and ultrasound in the same specimens.

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- 55

66 Results

57 Segmentation and quantification of Scar Tissue Volume from ultrasound images

58 To determine whether tendon healing could be measured non-invasively using ultrasound, C57BL/6J mice 59 underwent complete transection and repair of the Flexor Digitorum Longus (FDL) tendon, and ultrasound 70 imaging was performed at 7, 14, 20, and 28 days post-surgery. High-frequency ultrasound permits the 71 identification of the healing (Figure 1C) FDL tendon in 2D sagittal B mode images. From these images. 12 discreet tissues can be segmented on each 2D slice (Figure 1D), including native tendon (pink), bone (green), 13 skin (vellow) and scar tissue (blue). Following segmentation, tissues were reconstructed in 3D (Figure 1E), and 14 Scar Tissue Volume (STV) was quantified (Figure 1E'). To determine the potential intra- and interoperative 15 sources of error in the quantification of STV. The average percent error between operators' measurements 76 was 15.6%, while the coefficient of variation was 16.6%.

17

18 Histological validation of US segmentation of STV

To confirm that US image segmentation for volumetric measurement was accurately identifying scar tissue we analyzed 3D reconstructions of histological and US images from the same specimens and observed comparable morphology when segmenting images from both modalities. In addition, volumetric quantification of STV between modalities was highly consistent with a 10.8% error between US and histology segmentation. Taken together, these data suggest our ability to properly identify and segment scar tissue via US imaging (Fig. 2A-H).

35

36 Scar Tissue Volume is strongly correlated with end-point metrics of gliding function

No detectable scar tissue was observed in un-injured tendons. At day 7 post-surgery average STV was 0.96 ± 37 0.158 mm³, with a subsequent increase on day 14 (1.29 mm³ \pm 0.10). Peak STV was observed at day 20 (1.55 38 $mm^3 \pm 0.19$) with a significant decrease observed at day 28 (0.91 mm³ \pm 0.07), relative to day 20 (p<0.05) 39 **)**() (Figure 3A). To determine the relationship between STV and metrics of gliding function, univariate linear **)**1 regression analyses were performed. When day 14 and 28 data were grouped, a significant inverse **)**2 correlation was observed between STV and MTP Flexion Angle (R²=0.070, p=0.0002) (Figure 3B), while a *)*3 significant, positive correlation was observed between STV and Gliding Resistance (R²=0.63, p=0.0007) **)**4 (Figure 3C). However, when each timepoint was analyzed separately, stronger correlations were observed **)**5 between STV and gliding function at Day 14 and weaker, non-significant correlations were observed at day 28.

At Day 14, a strong, significant inverse correlation was observed between STV and MTP Flexion Angle (R^2 =0.82, p=0.005), while a strong, significant positive correlation was observed between STV and Gliding Resistance (R^2 =0.71, p=0.01). At Day 28 weak, non-significant correlations were observed between STV and MTP Flexion Angle (R^2 =0.46, p=0.09) and Gliding Resistance (R^2 =0.36, p=0.15) (Table 1). Taken together, these data suggest that STV is a significant indicator of gliding function during the earlier phases of tendon healing, but not during later healing.

)2

3 Scar Tissue Volume does not predict tensile mechanical properties

To determine the potential relationship between STV and tensile mechanical properties, univariate linear regression analyses of STV and tensile mechanical properties (Stiffness, Max load at failure) were conducted. When days 14 and 28 were grouped there were no significant correlations between STV and Stiffness (R^2 =0.13, p=0.19) (Figure 4A) or Max load (R^2 =0.05, p=0.68) (Figure 4B). Furthermore, no significant associations were observed when each time-point was analyzed separately (Table 1), although a moderately strong but non-significant association was observed between STV and Stiffness at D14 (R^2 =0.54, p=0.06).

10

1 STV identifies differences in models of fibrotic vs. regenerative healing

We have recently demonstrated that S100a4 haploinsufficiency (S100a4^{GFP/+}) results in improved gliding function and mechanical properties, relative to wildtype (WT) littermates ¹⁹. To demonstrate the potential of STV to identify regenerative versus fibrotic models of tendon healing, STV was quantified at day 14 postsurgery. A significant 27% decrease in STV was observed in S100a4^{GFP/+} tendons, relative to WT (p=0.0053) (Figure 5), suggesting that STV has the sensitivity to non-invasively identify functional differences between modes of healing.

8

9 Discussion

Following injury, tendons are prone to a fibrotic, scar mediated healing process that both impairs restoration of range of motion and hinders the reacquisition of normal mechanical properties. Given that there are currently no biological approaches to improve the tendon healing process, there is a massive need for increased preclinical screening and identification of potential therapies. To address, we have identified Scar Tissue Volume

as a non-invasive ultrasound metric to determine the effects of genetic or pharmacological modifications on the healing process, which may allow more rapid identification of tendon therapeutics. Importantly, this approach has the potential to dramatically decrease the number of animals needed, consistent with the goals of reducing the number of animals used in biomedical research ²⁰. Moreover, non-invasive, longitudinal ultrasound imaging can promote more rapid, cost-effective and high-throughput screening to facilitate the identification of therapeutic targets.

30

31 Several studies have previously demonstrated the potential of using ultrasound to non-invasively image the 32 healing tendon. Ghorayeb et al., guantified extracellular matrix content and found a strong correlation between 33 ECM content and linear stiffness in the Achilles tendon, although changes in range of motion were not assessed. In the current study, we did not observe a significant association between STV and tissue stiffness, 34 35 although there was a moderately strong relationship at day 14 (R^2 =0.54). While guantification of ECM and STV may be similar in that both metrics are likely related to tissue size or bulk, which are related to impairments in 36 37 range of motion, differences in correlation with stiffness between ECM and STV may be due to differences in 38 the tissues that were quantified for ECM and STV, timing of analysis, or models of healing. In contrast to 39 quantification of tissue content or volume, several studies have examined the relationship between ultrasound 10 echogenicity and healing. Tamura et al., used US echogenicity to assess healing in equine Superficial Digital 11 Flexor Tendons, although no change in echogenicity was observed despite longitudinal improvements in strain. 12 More recently, Lee et al., assessed the relationship between US echogenicity, and tensile mechanical 13 properties in a collagenase-induced tendinopathy model and demonstrated that echo intensity was positively correlated with maximum strain and stiffness²¹. Interestingly, tendon cross-sectional area and echogenicity 14 15 were increased in healing tendons, relative to controls. Although no correlation analysis was conducted 16 between these parameters, future studies will be needed to understand the potential relationship between STV 17 and echo intensity.

18

An important aspect of this approach is the ability to detect functional differences related to tendon ROM non
 -invasively and over time. However, gait analysis can also be used to non-invasively assess restoration of

51 function during tendon healing ²²⁻²⁴. While gait analysis is a strong metric in assessing Achilles and

52

supraspinatus

tendon healing in pre-clinical models, it is not yet known how alterations in gait correspond to flexor tendon

- 54 healing phases. Moreover, gait analysis parameters are typically associated with measurements of pain and
- 55 weakness, while the relationship between gait and tissue morphology are unknown. While we observe
- 56 changes
- 57 in STV over the course or healing, these differences are relatively modest, with no differences in STV observed
- 58 between 14- and 20-days post-surgery. However, we typically observe only slight differences in gliding function
- 59 metrics between these time-points ⁴. Importantly, the real utility of end-point metrics of gliding
- 50 function is in identifying differences between genetically different strains of mice ^{10,16},
- 51 or between pharmacological treatment groups ¹¹ at a given timepoint. Taken together, these data
- 52 strongly suggest that longitudinal US based quantification of scar tissue volume may be utilized as a corollary
 - to
- 54 predict tendon ROM and gliding function during healing.
- 55

53

While STV strongly correlates with gliding function, there are several limitations to this study that must be 56 57 considered. STV does not correlate with mechanical properties, which are a critical indicator of the value of a 58 particular therapeutic intervention. Thus, future work seeks to develop multiple US-based metrics which either 59 alone or using multi-variate analyses may better correlate with restoration of mechanical properties. Furthermore, we have only assessed healing in S100a4^{GFP/+} and WT mice at Day 14. However, we have 70 71 confined our analysis in these animals to day 14 due to the decrease in predictive power of STV at day 28. 12 Finally, while the goal of developing longitudinal, non-invasive metrics of healing is to permit rapid and cost-13 effective therapeutic target screening, the segmentation process requires substantial expertise and is quite 14 time-consuming, thus to make this approach more high-throughput we will need to semi-automate or automate 15 the segmentation process.

76

Here we have shown that Scar Tissue Volume is significantly correlated with end-point metrics of gliding function, and STV is particularly predictive of gliding function at Day 14, the period of peak impairments in

- ¹⁹gliding function. In addition, STV is sensitive enough to discriminate between phenotypically distinct models of
- 30 healing. Future work will develop additional US-based metrics with the goal of non-invasive estimation of
- 31 mechanical properties. Taken together, this study identifies quantification of Scar Tissue Volume using
- 32 longitudinal, non-invasive ultrasound imaging as a novel means to assess tendon healing. This approach may
- 33 permit the rapid screening of biological and pharmacological interventions for healing, and identify promising
- 34 therapeutic targets, in an efficient, cost-effective manner.

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12

13 **Table 1.** Correlations between Scar Tissue Volume and Functional Metrics at 14 and 28 days post-surgery.

14

45 Figure Legends

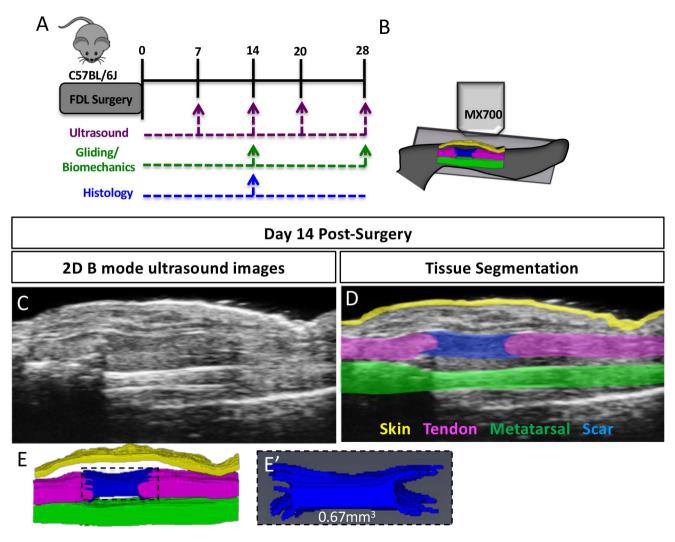
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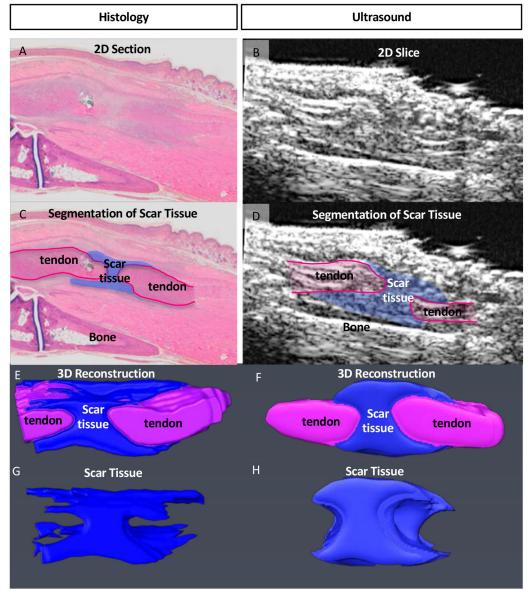
17	Figure 1. Quantification of Scar Tissue Volume from ultrasound images. (A) Experimental design for
18	longitudinal ultrasound assessment of tendon healing at 7, 14, 20 and 28 days post-surgery, followed by
19	assessment of gliding function at day 28. An additional cohort of animals underwent ultrasound imaging only
50	at day 14, followed by either histological analysis, or assessment of gliding function. (B) Schematic of
51	ultrasound setup showing transverse plane of imaging. (C) 2D B Mode ultrasound image of a healing tendon at
52	day 14 post-surgery. (D) Segmentation of skin (yellow), metatarsal (green), FDL tendon (pink) and scar tissue
53	(blue) at day 14 post-surgery. (E) 3D Reconstruction of segmented tissues at day 14 post-surgery. (E') 3D
54	reconstruction and volumetric quantification of STV at day 14 post-surgery.
55	
56	Figure 2. Validation of Scar Tissue Segmentation using histology. (A & B) 2-Dimensional transverse
57	Histological (A) and US (B) image sections from the same specimen. (C & D) Segmentation of scar tissue
58	(blue) and tendon (pink) from (C) histology, and (D) US images. (E & F) Following segmentation of all 2D
59	images containing scar tissue from (E) histology, and (F) US, the segmented slices were reconstructed in 3D,
50	and (G & H) scar tissue was volumetrically quantified.
51	
52	Figure 3. Scar Tissue Volume is correlated with changes in gliding function. (A) Scar Tissue Volume was
53	quantified longitudinally at 7, 14, 20, and 28 days post-surgery. Peak STV was observed at day 20. (*)
54	indicates p<0.05. (B-C) Linear regression analyses of STV and (B) MTP Flexion Angle, (C) Gliding Resistance
55	at 14 and 28 days. Circles represent Day 14 and squares represent day 28.
56	
57	Figure 4. Scar Tissue Volume is not correlated with tensile mechanical properties. Linear regression
58	analyses of STV and (A) Stiffness, (B) Max load at failure at 14, and 28 days post-surgery. Circles represent

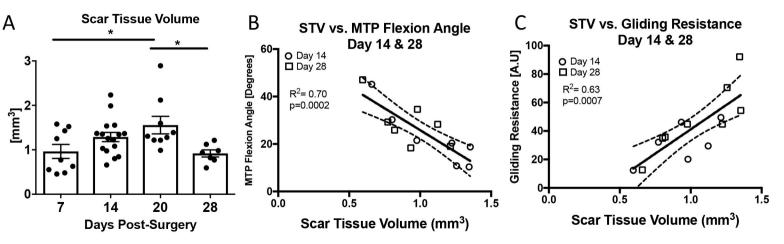
59 Day 14 and squares represent day 28.

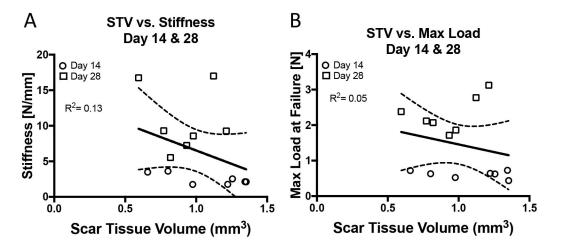
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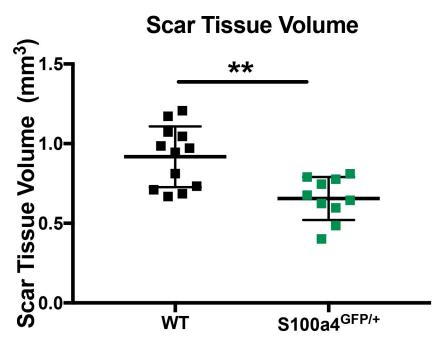
- ¹¹ Figure 5. Scar Tissue Volume identifies functional differences between models of scar-mediated and
- *regenerative tendon healing.* Quantification of Scar Tissue Volume in WT and S1004 haploinsufficient
- ⁷³ (S100a4^{GFP/+}) tendon repairs at day 14 post-surgery. S100a4^{GFP/+} mice heal with improved gliding function and
- ⁷⁴ decreased STV. (**) indicates p<0.001.
- 75
- 76
- 77
- 78











Scar Tissue Volume			
Day 14		Day 28	
r	p value	r	p value

Gliding/ Biomechanics parameter

Gliding Resistance	0.71**	0.01	0.36	0.15
MTP Flexion Angle	0.82**	0.005	0.46	0.09
Stiffness	0.54	0.06	0.009	0.83
Max load at failure	0.09	0.49	0.25	0.24