1 Homologous Recombination as an Evolutionary Force	Force in	Evolutionary	as an	ecombination	logous R	Homo	1
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- 2 African Swine Fever Viruses
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15

16 Abstract

- 17 Recent outbreaks of African swine fever virus (ASFV) in China severely
- influenced the swine industry of the country. Currently, there is no
- 19 effective vaccine or drugs against ASFVs. How to effectively control the
- virus is challenging. In this study, we have analyzed all the publicly
- available ASFV genomes and demonstrated that there was a large genetic
- 22 diversity of ASFV genomes. Interestingly, the genetic diversity was

mainly caused by extensive genomic insertions and/or deletions (indels) 23 instead of the point mutations. The genomic diversity of the virus resulted 24 in proteome diversity. Over 250 types of proteins were inferred from the 25 ASFV genomes, among which only 144 were observed in all analyzed 26 viruses. Further analyses showed that the homologous recombination may 27 contribute much to the indels, as supported by significant associations 28 between the occurrence of extensive recombination events and the indels 29 in the ASFV genomes. Repeated elements of dozens of nucleotides in 30 length were observed to widely distribute and cluster in the adjacent 31 positions of ASFV genomes, which may facilitate the occurrence of 32 homologous recombination. Moreover, two enzymes, which were 33 34 possibly related to the homologous recombination, i.e., a Lambda-like exonuclease with a YqaJ-like viral recombinase domain, and a DNA 35 topoisomerase II, were found to be conservative in all the analyzed 36 ASFVs. This work highlighted the importance of the homologous 37 recombination in the evolution of the ASFVs, and helped with the 38 strategy development of the prevention and control of the virus. 39 40

41

42 Introduction

African swine fever virus (ASFV), the causative agent of African swine
fever (ASF), is a complex, large, icosahedral multi-enveloped DNA virus.

It is classified as the only member in the family Asfarviridae^{1,2}. The 45 genome of the virus belongs to double-stranded DNA, with the size 46 ranging from 170 kb to 190 kb³. ASFV mainly infect suids and soft ticks. 47 The suids include domestic pigs and wild boars, and were reported as the 48 natural hosts of the virus ^{4,5}. ASFV was firstly discovered in Kenya in 49 1921⁶. It remained restricted in Africa till 1957, when it was reported in 50 Spain and Portugal. Up to now, the virus has caused ASF outbreaks in 51 more than fifty countries in Africa, Europe, Asia, and South America⁴. 52 The latest reports showed that the virus has caused outbreaks in more 53 than fifteen provinces in China^{7,8}. Because of the high lethality of ASFV 54 in domestic pigs, the most commonly used strategies to control the virus 55 were the massive culling campaigns and the restriction of pig movement 5 . 56 Both strategies have resulted in a huge economic loss for pig industry and 57 affected people's livelihoods. Unfortunately, currently there is no 58 available effective vaccine against ASFVs. 59

60

Many efforts have been devoted to developing the vaccine for the ASFV ^{1,5,9-11}, however, most of these attempts failed. One of the most important reasons was the complex composition of the antigenic proteins ^{5,12}. Previous reports showed that p72, p30, and p54 were the three important antigenic proteins during the infection of ASFVs, but the immunity against them could only provide a partial protection ^{12,13}. Many other

proteins or other factors such as phospholipid composition may also
influence the antigen of the virus ¹². Therefore, it is necessary to
understand the mechanisms of the antigen diversity of the ASFV virus ¹.

The genetic diversity of ASFVs has been investigated in many studies. 71 The ASFV genome encodes over 150 proteins, including viral enzymes, 72 viral transcription and replication-related proteins, structural proteins, 73 other proteins involved in the virus assembly, the evading of host defense 74 systems and the modulation of host cell function, etc^{3,14,15}. For example, 75 the transcription of the virus is independent on the host RNA polymerase 76 because the virus contains relevant enzymes and factors 3 . The viral 77 genome contains a conservative central region of about 125 kb and two 78 variable ends, which results in the variable size of the genome 3,16,17 . 79 There are significant variations among the ASFV genomes due to the 80 genomic insertion or deletion, such as the deletion of the multigene 81 family (MGF) members³. Although much progress have been made on 82 genetic diversity of the virus, the extent and mechanisms are still not 83 clear. Besides, most of these studies either only investigated the genetic 84 diversity of some common genes, such as p72 and p54^{18,19}, or only used 85 one or several isolate genomes ^{3,16,17}. The number of discovered viral 86 genomes has increased rapidly as the development of DNA sequencing 87 technology. Therefore, a comprehensive study on the genetic diversity of 88

89 ASFVs is necessary.

90

91	Homologous recombination, which has been reported to occur in several
92	groups of viruses ²⁰⁻²³ , such as herpesvirus, retroviruses, and
93	coronaviruses, has played an important role in viral evolution ²¹ . A few
94	studies on several ASFV genes have suggested the occurrence of
95	homologous recombination in the evolution of ASFVs ^{3,18} . However, a
96	comprehensive study on the homologous recombination in ASFV at the
97	genomic scale is lacking, and the role of the recombination on the genetic
98	diversity and the evolution of the virus is still unknown. In this study, we
99	have systematically investigated the genomic diversity and the
100	homologous recombination of ASFVs based on the analysis on all the
101	publicly available ASFV genomes. The results demonstrated that the
102	homologous recombination contributed much to the genetic diversity of
103	ASFVs. This work would help to understand the evolution of the ASFV
104	and thus facilitate the prevention and control of the virus.

105

106 **Results**

107 **1** ASFV genomes

A total of 36 genome sequences of ASFVs were obtained from the NCBI
GenBank database, which were listed in Table S1. They were mainly
isolated from Africa and Europe during the years from 1950 to 2017. The

size of the ASFV genomes ranged from 170,101 bp to 193,886 bp,

- averaged at 185,800 bp. The viral isolate Kenya50 had the largest size,
- while the isolate BA71V had the smallest size. No increasing or
- decreasing trend in the genome size was observed from 1950 to 2017
- (Figure 1A), suggesting the dynamic changes of the viral genomes.

116

- 117 2 Genomic diversity of ASFVs
- 118 Pairwise comparisons between ASFV genomes were conducted after the
- 119 genome alignment. The average genomic difference between viruses was

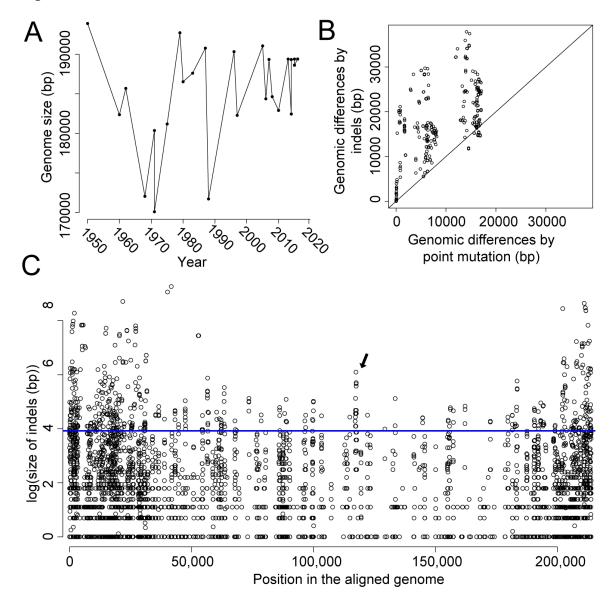
120 24,570 bp, which accounts for more than 10% of the genome.

- 121 Interestingly, the genomic differences caused by the insertions and
- deletions (indels) were much more significant than those caused by the
- point mutations (Figure 1B & Figure S1) in most cases. For example,

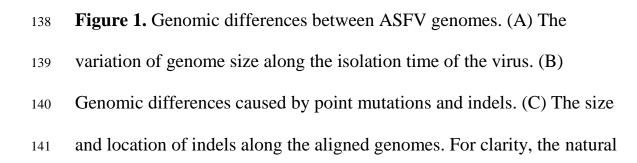
there were 31,833 bp differences between virus Mkuzi79 and BA71V, 78%

- 125 of which were caused by indels.
- 126 The size and position of indels in ASFV genomes were also analyzed. 70%
- of indels were no longer than 10 bp, and about 10% of indels were 50 bp
- or longer (Figure S2). The occurrence of indels was much more frequent
- in both ends of the genome, especially in the 5' end (Figure 1C). Besides,
- the size of indels in both ends was also much larger than that in the
- middle region. Large indels with over 50 bp (above the blue line in Figure
- 132 1C) were mostly observed in both ends. It should be noted that the

- variation to some extent was observed in the middle region (marked in
- black arrow), which were considered to be conservative in previous
- 135 reports.



- 136
- 137



logarithm of the indel size was used. Position for an indel is defined as
the middle position of the indel. The average size was used if more than
one indel was found in the position. The blue line refers to indel size of
50 bp.

146

147 **3 Proteome diversity of ASFV**

148 Genomic diversity could lead to proteome diversity. Therefore, the

¹⁴⁹ proteome diversity of ASFVs was further analyzed. Firstly, the candidate

150 proteins encoded by ASFV genomes were inferred (Materials and

151 Methods) (Table S2). The plus strand encoded 95-126 proteins, with an

average of 109 proteins; the minus strand encoded 106-128 proteins, with

an average of 118 proteins. Considering both the plus and minus strands,

the ASFV genome encoded 205-254 proteins, with an average of 227

proteins. The viral isolate Russial4 encoded most proteins, although the

size of this genome was not the largest. The ratio of coding region in each

157 genome ranged from 88% to 91%.

158

Furthermore, the ortholog or paralog groups based on sequence homology
were identified. A total of 252 protein groups plus 28 singletons were

obtained (Table S3), each of which stood for one type of protein encoded

by ASFV genomes. The obtained proteins contained almost all the

¹⁶³ proteins identified in previous experiments (Table S3). Each protein

group included 2-99 proteins. Only 144 protein groups were observed in 164 all 36 ASFV viruses, which could be considered as core protein sets of 165 the virus, and were mainly encoded by both plus and minus strands in the 166 middle regions (Figure 2). The protein groups could be further separated 167 into seven classes by function based on previous studies (Figures 2 & S3). 168 Only about 30% of protein groups were observed to have the known 169 functions, including replication and transcription (in red), host cell 170 interactions (in magenta), structure and morphogenesis (in blue), and 171 enzymes (in yellow). Most of the above-described protein groups with the 172 known functions belonged to the core proteins of the virus. In addition, 173 forty protein groups belonged to "Multigene Families (MGF)" (in cyan), 174 most of which had unknown functions. The MGFs were encoded by both 175 ends of the genome. Besides, the remaining 146 protein groups belonged 176 to either the class of "Proteins with unknown function" (in gray) or 177 "Hypothetical proteins" (in black). 178

179

Analysis of the protein conservation showed that except the functional class of MGFs, the proteins in other functional classes had an average of pairwise sequence identities greater than 90% (Figure S4). The proteins in functional classes of "Other enzymes" and "Replication & transcription" were most conservative, with average pairwise sequence identities larger than 95%. Proteins of these two functional classes also had the smallest ratios of dN/dS (Figure S5), suggesting strong negative
selection on them. While the proteins in the functional class of MGF and
"Hypothetical proteins" had the largest ratio of dN/dS. The hypothetical
proteins had a median dN/dS ratio of 0.82, suggesting strong positive
selection on these proteins.

191

Membrane proteins, which may be located in the inner or outer envelope, 192 were observed to be distributed widely in the proteome of ASFVs 193 (marked with asterisks in Figure 2). A total of 67 protein groups belonged 194 to membrane proteins, including 35 in the core protein groups, such as 195 p54 and EP402R. Among the membrane proteins, only 11 protein groups 196 had the known functions, including 8 protein groups in the functional 197 class of "Structural and morphogenesis", and 1 protein group in each 198 functional class of "Host cell interactions", "Replication & transcription" 199 and "Other enzymes". 200

201

Thirty-one protein groups were observed to have paralogs (duplicated proteins) in at least one virus (colored in Figure S6). They were mostly located in both ends of the genome. Thirteen of them belonged to MGFs. In addition, two protein groups, "DP71L" and "DP96R", belonged to the class of "Host cell interactions". The rest protein groups belonged to either the class of "Proteins with unknown function" or "Hypothetical

208	proteins". Most of the paralogs were clustered in adjacent positions.
209	Exceptions were observed for some protein groups which were encoded
210	by the first one to three thousands nucleotides in the plus and minus
211	strands, such as the protein group "p01990-3L" (marked with black
212	arrows in Figure S6). Further analysis showed that a segment of 200-3000
213	bp was exactly the same in the beginning of the plus and minus strands in
214	most viral genomes (Table S4).
215	
216	Extensive insertion and deletions of proteins were observed in the
217	proteome of ASFVs after alignment. Viruses in the adjacent positions in
218	the phylogenetic tree tended to have similar proteomes. The number of
219	different proteins between different viruses ranged from 1 to 84, with an
220	average of 43, which was about one-fifth of the viral proteome. The
221	differences of the proteome among the viruses were mainly caused by
	and in a fill of the stand of the stand in 22 (Destained in 1) and a

222 proteins of the class of "Hypothetical proteins", "Proteins with unknown

function" and "MGF" (Figure 2).

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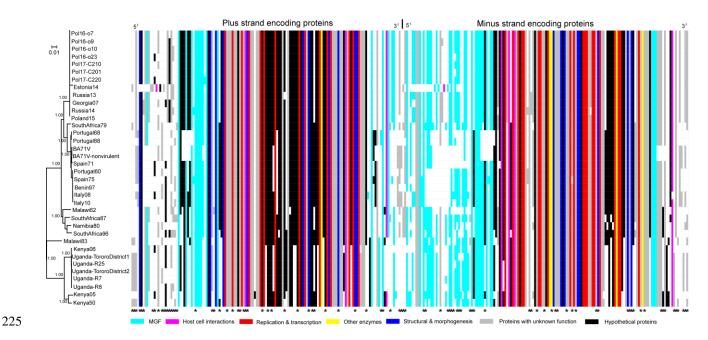


Figure 2. The phylogenetic tree of ASFVs and the alignment of their proteomes in plus (left side) and minus strand (right side). Each row refers to the proteome of the virus in the phylogenetic tree; each column refers to one protein group. Protein groups were colored according to their functions. "White" refers to no protein group in the virus. Asterisks in the bottom refer to membrane proteins. For clarity, the singletons were ignored in the alignment.

233

234 4 Extensive homologous recombination in ASFV genomes

As numerous indels have been revealed in the ASFV genomes, then, we
investigated the mechanism of generating indels. According to the results
in previous studies, three factors may contribute to the extensive indels in
ASFVs: replication slippage, retrotransposition and recombination ²³.
Replication slippage mainly produced duplications of short genetic

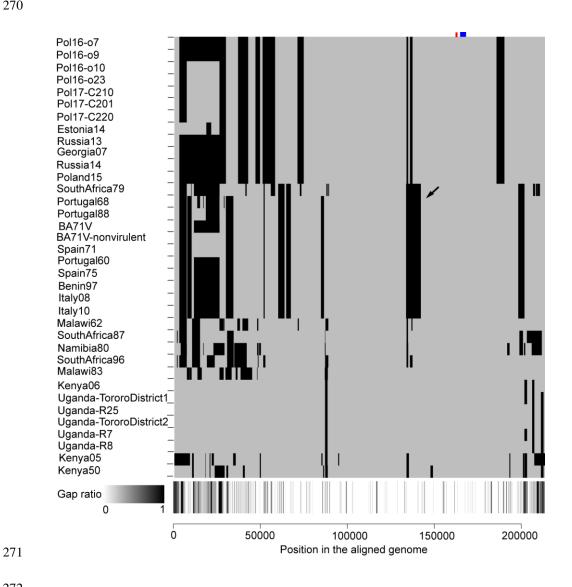
sequences and may cause short indels, but it is unlikely to generate large

indels observed in ASFVs. Retrotransposition can result in duplication of
large genetic sequences or genes, but the location of duplicates would be
randomly distributed in the genome. However, most paralogs shown in
Figure S6 were clustered in adjacent positions, thus these paralogs may
be not caused by retrotransposition. Besides, no retrotransposons were
observed in the analyzed ASFV genomes (as described in Materials and
Methods).

248

Finally, we investigated the role of recombination in the generation of 249 indels in the ASFV genomes. The analyses on the recombination showed 250 that there were a total of 103 recombination events, and each ASFV 251 252 genome had 3-22 recombination events (Figure 3 & Table S5). The virus isolate SouthAfrica79 experienced the largest number of recombination 253 events. On average, each virus experienced 11 recombination events. The 254 sizes of recombination region ranged from 174 to 22,628 bp. The ratio of 255 recombination region in each genome ranged from 2% to 27%. In total, 256 the regions in the ASFV genomes involved in all recombination events 257 covered a total of 101,569 nucleotide positions, accounting for 47% of 258 the aligned genome. Most recombination events happened at both ends, 259 especially at the 5' end. Interestingly, the recombination event in the 260 aligned genomes was observed to be consistent with the ratio of the gap 261 in the genome (the bottom of Figure 3). Almost all the recombination 262

263	events happened in or close to the gap-rich regions where the indels were
264	observed. The ratios of the gaps in the recombination regions were found
265	to be much larger than those in other regions (Figure S7). Further
266	comparison of the number of indels in the recombination regions and
267	other regions showed that for indels of varing length, such as those
268	greater than 5, 10, or 50 bp, the number of indels in the recombination
269	regions was much larger than those in other regions (Figure S8).



273	Figure 3. Recombination of ASFV genomes. The black areas indicate the
274	recombination region for each genome. The bottom panel shows the ratio
275	of gap in each position of the aligned genome. The panel uses the
276	grayscale color bar at the bottom-left. The red and blue rectangles in the
277	top-right indicate the coding region of pD345L (Lambda-like exonuclease)
278	and P1192R (DNA topoisomerase II), respectively. The black arrow
279	refers to the recombination event displayed in Figure 4.
280	
281	Figure 4 illustrates the recombination event in 11 viral isolates (colored in
282	red), including two viral isolates from Africa (SouthAfrica79 and
283	Benin97) and nine viral isolates from Europe. These 11 viral isolates
284	formed a separate lineage in the phylogenetic tree. The recombination

region ranged from 133,683 to 142,222 bp, located in the central

conservative region of the genome (shown by the black arrow in Figure

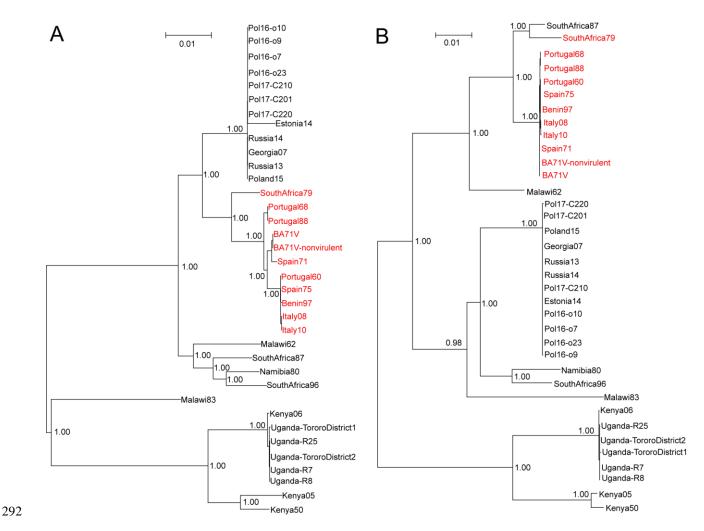
3). In the phylogenetic tree built with genomic sequences without the

recombination regions, the recombinants are the neighbors of a clade

containing viruses from Eastern Europe countries (Figure 4A); while in

the tree built with genomic sequences of the recombination regions, the

recombinants are the descendants of Malawi62 from Africa (Figure 4B).



²⁹³ Figure 4. An example of recombination events in 11 ASFVs (colored in

red). Figure (A) refers to the maximum-likelihood phylogenetic tree built

with genome sequences without the recombination region

296 (133,683-142,222 in the aligned genome). Figure (B) refers to the

²⁹⁷ phylogenetic tree built with genome sequences of the recombination

region. The numbers refer to the bootstrap values of nodes in the

bootstrapping test with 100 replicates.

300

301 In addition, the indels introduced directly by the recombination were

³⁰² investigated. The results of comparing the sequence in the recombination

regions of recombinants to that in the major parent viruses showed that an average of 37% of differences were caused by the indels in all the recombination events. Further comparison on the proteins showed that in 58 of 103 recombination events, there was at least one different protein encoded by the recombination region of the recombinants from that encoded by the major parent viruses.

309

310	Furthermore, the proteins involved in the recombination events were
311	further analyzed. A total of 110 protein groups were involved in the
312	recombination events, including 47 core protein groups and 63 variable
313	protein groups (Table S3). 34 of 110 protein groups belonged to
314	membrane proteins. Four protein groups of "Host cell interactions"
315	(EP153R, A238L, DP96R and DP71L), eight protein groups of "Structure
316	& morphogenesis" and nine protein groups of "Replication &
317	transcription" were involved in the recombination events.
318	
318 319	5 Identification of possible recombinase and DNA topoisomerase in
	5 Identification of possible recombinase and DNA topoisomerase in ASFVs
319	
319 320	ASFVs
319320321	ASFVs Interestingly, we found a protein, named pD345L, denoted as the
319320321322	ASFVs Interestingly, we found a protein, named pD345L, denoted as the Lambda-like exonuclease, was possibly involved in the recombination

325	highly conservative in ASFVs, with an average sequence identity of 96.7%
326	between ASFVs. Even higher level of conservation was observed in the
327	recombinase domain of pD345L, with an average sequence identify of
328	97.2%. Although the YqaJ-like recombinase domain was extensively
329	distributed in Bacteria, Virus and Eukaryota, it was considered as the
330	viral origin ²⁴ . The recombinase domain in ASFV was most similar to that
331	in two giant viruses, Pacmanvirus and Kaumoebavirus (see Materials and
332	Methods) which were possibly distant relatives of ASFVs ^{25,26} .
333	
334	Besides, topoisomerase was also reported to be related to homologous
335	recombination. We found that a type II DNA topoisomerase, i.e., P1192R,
336	exists in all analyzed ASFV isolates. P1192R was a protein including
337	1192 amino acids, and was encoded by the plus strand. P1192R is also
338	conservative in these viruses, with an average sequence identity of 98.3%
339	between ASFVs. Although pD345L and P1192R were encoded by
340	different strands, they were encoded by the genomic sequences in
341	adjacent regions: the former was encoded by the sequences in the
342	positions of 162,236-163,273 (colored in red in Figure 3), while the latter
343	was encoded by the sequences in the positions of 164,743-168,319
344	(colored in blue in Figure 3). Both enzymes were not involved in any
345	recombination events. The phylogenetic trees for proteins pD345L and
346	P1192R were similar to the tree built with the whole genome (Figure S9).

347

348 6 An abundance of repeated elements in ASFV genomes

Repeated elements could facilitate the homologous recombination. In this 349 study, lots of repeated elements ranging from 5-100 bp were identified, 350 and then the distribution of the repeated elements in the ASFV genomes 351 was analyzed. As shown in Figure S10, the number of repeated elements 352 in ASFV genomes decreased monotonously as the size of elements 353 increased. Then, the distances between adjacent elements for a given 354 repeated element was investigated (Figure 5A). As the size of the 355 elements increased from 5 to 10, the average distance between the 356 adjacent elements also increased because the number of repeated 357 elements in the genome decreased. Interestingly, the average distance 358 decreased as the size of the elements increased from 11 to 23; it reached 359 to the minimum (136 bp) when the size was 23; then the distance kept 360 unchanged as the size increased from 23 to 46; finally, it increased as the 361 size of repeated element increased from 47 to 100. It should be noted that 362 the average distance was still less than 400 bp even for the repeated 363 elements of 100 bp. These phenomena suggested that the repeated 364 elements of 11 bp or larger tended to cluster in the genome, especially for 365 those of 23-46 bp. 366

For example, when the size of elements was 30 bp, each genome had a
median of 427 types of elements which repeated at least two times in the

369	genome. Some elements appeared for over ten times in the genome, such
370	as the element "AGGCGTTAAACATTAAAAATTATTACTACTG" in
371	the viral strain BA71V. The region covered by repeated elements
372	accounted for 1%-3% of the genome in ASFVs. The distance between
373	repeated elements was analyzed and demonstrated to have a median
374	distance of 136 bp, suggesting they tend to cluster in adjacent regions.
375	Figure 5B shows the distribution of repeated elements in the aligned
376	genome. Most repeated elements were located at both ends of the genome.
377	Besides, there were two clusters of repeated elements in the positions of
378	around 55,000 bp and 120,000 bp (marked by black arrows), respectively.
379	
379 380	Finally, the contribution of repeated elements to the recombination was
	Finally, the contribution of repeated elements to the recombination was investigated. For elements of 10 or more nucleotides, the number of
380	
380 381	investigated. For elements of 10 or more nucleotides, the number of
380 381 382	investigated. For elements of 10 or more nucleotides, the number of repeated elements in the windows (2000-10,000bp in length) including
380381382383	investigated. For elements of 10 or more nucleotides, the number of repeated elements in the windows (2000-10,000bp in length) including the recombination was significantly larger than those without the
 380 381 382 383 384 	investigated. For elements of 10 or more nucleotides, the number of repeated elements in the windows (2000-10,000bp in length) including the recombination was significantly larger than those without the recombination (Table S6). Figure 5C shows the comparison of the
 380 381 382 383 384 385 	investigated. For elements of 10 or more nucleotides, the number of repeated elements in the windows (2000-10,000bp in length) including the recombination was significantly larger than those without the recombination (Table S6). Figure 5C shows the comparison of the number of repeated elements (15 bp in length) in the windows of 10,000

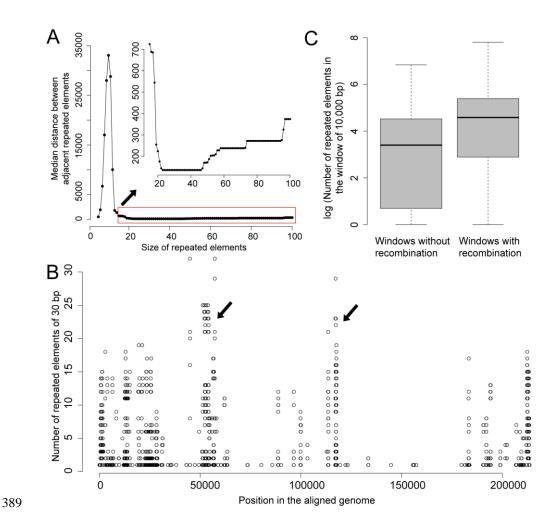


Figure 5. Distribution of the repeated elements. (A)The median distance
between adjacent repeated elements versus the size of repeated elements.
(B) Number of the repeated elements with the size of 30 bp observed in
each genomic position. (C) Comparison of the number of repeated
elements (15 bp in length) in the window of 10,000 bp with and without
the recombination in viral genomes. For clarity, the natural logarithm of
the number of repeated elements was used.

397

398 Discussion

³⁹⁹ This work systematically analyzed the genetic diversity of ASFVs. The

large genome of the virus enabled the encoding of an abundance of 400 proteins. Each of the functions of the virus in its life circle could be 401 accomplished by multiple proteins. For example, 35, 19, and 7 protein 402 groups were involved in DNA replication and transcription, structure and 403 morphogenesis, and host cell interactions, respectively. On one hand, this 404 multiple protein mechanism could facilitate the efficient control of the 405 host cell by protein-protein interactions, such as inhibiting the 406 transcriptional activation of host immunomodulatory by A238L 3 and 407 inhibiting Toll-like receptor 3 signaling pathways by I329L²⁷; on the 408 other hand, this mechanism could facilitate the precise regulation of the 409 viral activities. For example, the ASFV virus was considered to contain 410 411 all the enzymes and factors which were required for the transcription and post-treatment of mRNAs³. 412

413

Significant differences were observed among the different proteomes of 414 ASFVs, which may be caused by the following two reasons: i) over 40% 415 of the proteins were non-essential among ASFVs, and ASFVs may have 416 variable number of these proteins; ii) there were 31 genes with 417 replications in ASFV genomes. Diverse proteome among ASFVs may 418 lead to diverse phenotype, such as the diversity in antigen and virulence. 419 The diversity may result in a great challenge for the prevention and 420 control of the virus. For example, the viruses with diverse antigens may 421

⁴²² need multiple types of vaccines because the effectivity of the

423 cross-protection on viruses may be limited.

424

Although lots of efforts have been devoted to developing vaccines against 425 ASFVs^{1,10-12}, unfortunately, most of the attempts have been unsuccessful. 426 The failure could be caused by many factors ¹², including the absence of 427 neutralizing antibodies, the diverse antigen-related proteins, the 428 complexity of neutralization, etc. In this study, a total of 65 membrane 429 proteins have been identified. Over eighty percent (80%) of the 430 membrane proteins had unknown functions, many of which may 431 contribute to the antigenic diversity of the virus. Previous studies showed 432 that immunized pigs with the baculovirus expressed hemagglutinin of 433 ASFV were protected against the viral lethal infection ²⁸. The results 434 suggested that incorporation of multiple antigens in the vaccine may 435 provide better protection. Therefore, much more efforts are needed to 436 determine the role of membrane proteins in stimulating neutralizing 437 antibodies, and to investigate the neutralization mechanisms and 438 efficiency of the antibodies. 439

440

Indels were found to have larger contribution to the genetic diversity of
ASFVs than the point mutations. Compared to point mutations, indels
could introduce a larger variation to the genome, and cause a more severe

damage to the genome structures, which may lead to the death of viruses. 444 Therefore, only few indels were observed in viruses with small genomes, 445 such as influenza viruses and hepatitis B viruses (HBV). However, it was 446 more robust for the indels to occur inside the viruses with large genomes, 447 such as ASFVs and poxviruses ^{3,29}, because the viruses with large 448 genomes had lots of repeated elements and duplicated proteins (paralogs). 449 Moreover, indels may provide a more efficient way of survival than the 450 point mutations under the natural selection pressure, since the virus with 451 indels could rapidly change its phenotype ^{3,29}, such as antigen, virulence, 452 or ability of replication and transcription. For example, the deletion of 453 some MGF genes in ASFV could reduce the viral replication or virulence, 454 which may help with the viral infection of soft ticks 3,30 . 455

456

Several factors could contribute to the indels and the gene duplications, 457 including replication slippage, retrotransposition, recombination, 458 aneuploidy, polyploidy, etc³¹. The replication slippage may introduce 459 short indels which were widely observed in ASFV genomes, but it is 460 unlikely to cause large indels. This study has demonstrated that the 461 ectopic homologous recombination ³², during which the segments with 462 unequal length were exchanged (Figure 6A), may contribute much to the 463 extensive indels observed in ASFV genomes. As a proof, significant 464 associations were observed between the occurrence of extensive 465

466	recombination events and the indels. Two factors may facilitate the
467	homologous recombination in ASFVs: firstly, a large amount of clustered
468	repeated elements were observed in ASFV genomes (Figure 5); secondly,
469	all the analyzed ASFVs in this study contained a possible recombinase
470	and DNA topoisomerase, both of which were commonly observed
471	enzymes responsible for homologous recombination. Both of enzymes
472	were very conservative and experienced no recombination, suggesting
473	their important roles in ASFVs. Taken together, the homologous
474	recombination should be the effective strategy of ASFVs to generate the
475	genetic diversity, which further leads to the diverse phenotypes, including
476	antigen, virulence, replication and transcription ability, and the "weapons"
477	of escaping from the host immunity (Figure 6B).

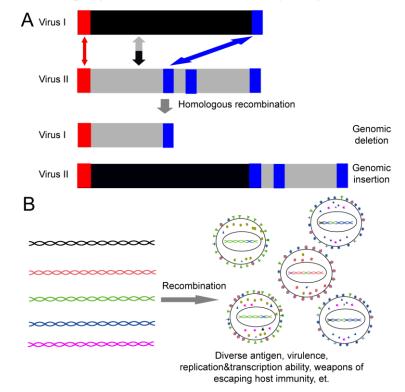


Figure 6. Homologous recombination leads to (A) the indels, and (B) the

480 genetic diversity of ASFVs.

481

There were some limitations to this study. Firstly, the number of ASFV 482 genomes was limited, which hindered a comprehensive analysis on the 483 evolution of ASFV genomes. Fortunately, the isolates included in this 484 study covered a long time period from 1950 to 2017, and also covered a 485 large area including Africa and Europe, which were the two major areas 486 of the ASFV circulation. Thus the results based on these isolates reflect 487 the genetic diversity of the ASFVs to a large extent. Secondly, the 488 location and size of the indels observed in ASFV genomes may be 489 affected by the sequence alignment algorithm. Two common methods for 490 491 the alignment of ASFV genomes were used in this study. In both methods, frequent indels were observed, and the indels were demonstrated to be 492 more responsible for the genetic diversity than the point mutations. 493 Thirdly, the proteome of each ASFV was inferred by computational 494 methods. All of the obtained proteins had significant homology to the 495 proteins in the NCBI Protein database, however, most of the proteins in 496 the NCBI Protein database were only predicted without experimental 497 validations. Besides, functions of nearly 70% of ASFV proteins were 498 unknown. Further experimental studies were needed to determine the 499 proteome and the functions in ASFVs^{14,15}. Lastly, the extensively 500 repeated elements in ASFV genomes could facilitate the frequent 501

502	occurrence of recombination events. However, some of recombination
503	events cannot be detected by the recombination detection method because
504	of the exchange between the genomic segments with small indels. Such
505	kinds of recombination events are difficult to detect. Increasing the
506	sensitivity of the recombination detection method can help detect them,
507	but may also bring false positives. Therefore, the sensitivity and
508	specificity should be balanced in the recombination detection methods.
509	
007	
510	Overall, this work provided a systematic view of the genetic diversity of
	Overall, this work provided a systematic view of the genetic diversity of ASFVs. Extensive homologous recombination detected in this study may
510	
510 511	ASFVs. Extensive homologous recombination detected in this study may
510 511 512	ASFVs. Extensive homologous recombination detected in this study may contribute much to the widespread indels observed in ASFV genomes,
510511512513	ASFVs. Extensive homologous recombination detected in this study may contribute much to the widespread indels observed in ASFV genomes, which further lead to the large genetic diversity of ASFVs. The results on

517

518 Materials and Methods

519 1 ASFV genome and alignment

All the ASFV genomic sequences with over 160, 000 bp were obtained from NCBI GenBank database on October 7, 2018 ³³. After removing the genomic sequences derived from a patent, a total of 36 ASFV genomes were kept in the analysis. The genomic sequences were aligned by MAFFT (version 7.127b) ³⁴. To ensure the robustness of the alignment,
the traditional tool of CLUSTAL (version 2.1) ³⁵ was also used to align
these genome sequences.

527

528 2 ORF prediction

To obtain the proteins encoded by the ASFV genomes, each genome 529 sequence was searched against all the ASFV protein sequences obtained 530 from the NCBI protein database on October 7, 2018, with the help of 531 blastx ³⁶. All genomic regions with significant hits (e-value < 0.001) were 532 checked using a Perl script: overlapping regions in the same coding frame 533 were merged to obtain open reading frames (ORFs) as long as possible; 534 535 regions without start codon or stop codon were extended upstream or downstream to search for the start or stop codon. Then, the genomic 536 regions which had i) significant hit, ii) both sequence identity and query 537 coverage percentage greater than 60%, iii) both start and stop codons, and 538 iv) over 120 bps, were defined as the candidate ORFs. The candidate 539 ORFs were then translated into proteins using a Perl script. The proteins, 540 which were either completely embedded within another protein, or 541 contained less than 40 amino acids due to early termination of translation, 542 were removed. 543

544

545 3 Protein grouping

546	All the inferred proteins of ASFVs were grouped based on sequence
547	homology using OrthoFinder (version 2.2.7) 37 with the default
548	parameters. Manual check was conducted to ensure that each protein
549	group contains one type of protein.
550	

551 4 Calculation of the ratio of dN/dS for proteins

552 The coding sequences of proteins in each protein group were aligned by

codon according to the protein sequence alignment using a Perl script.

The ratios of dN/dS between pairwise coding sequences were calculated by yn00 in PAML (version 4.1) ³⁸. The average of pairwise dN/dS ratios was calculated as the ratio of dN/dS for the protein.

557

558 5 Alignment of ASFV proteome

An ASFV proteome was defined as all the proteins encoded by the ASFV 559 genome. Because both the plus and minus strands could encode proteins, 560 the proteins in a proteome were separated into plus and minus proteome 561 based on the coding strands. Proteome alignment was conducted 562 separately for the plus and the minus proteomes. Firstly, proteins in each 563 proteome were sorted with the order from the 5' end to the 3' end of the 564 genome, based on the coding regions of the proteins. Then, the proteomes 565 were aligned using a dynamic programming algorithm. Manual check 566 was conducted to ensure that there was no mismatch of proteins in the 567

568 alignment.

569

570 6 Function inference and classification of ASFV proteins

571 The name of each protein group was obtained from the names of BLAST

⁵⁷² best hit of proteins included in the protein group. To infer the function of

each protein group, the longest protein sequence in each protein group

⁵⁷⁴ was selected as the representative of the protein group. InterproScan

 575 (version 5) 39 was used to infer the function of the representative protein

⁵⁷⁶ sequence. The TMHMM Server (version 2.0) ⁴⁰ was used to predict

⁵⁷⁷ whether the representative protein had a trans-membrane helix.

578 Membrane proteins were defined as those who had at least one

trans-membrane helixes. The functional classification of the proteins was

⁵⁸⁰ adapted from Dixon's ³ and Alejo's ¹⁵ work.

581

582 7 Detection of homologous recombination events

RDP (version 4) ⁴¹ was used to detect the recombination events in the aligned ASFV genomes. Multiple methods in RDP were used. Only the recombination events which were detected by at least two methods were used for further analysis.

587

588 **8** Evolutionary analysis of YqaJ-like viral recombinase domain

All viral protein sequences of the family of Yqaj (YqaJ-like viral

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recombinase domain, ID: PF09588) were downloaded from the Pfam
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- database 42 on November 21, 2018. With the help of blastp, the
- recombinase domain in ASFV was found to be most similar to that in two
- 593 giant viruses, Pacmanvirus and Kaumoebavirus, with sequence identities
- equal to 0.35 and 0.30, respectively.
- 595

596 9 Searching for retrotransposon in ASFV genomes

- ⁵⁹⁷ All retrotransposons in the databases of RepBase (Version 23.10) ⁴³ and
- ⁵⁹⁸ TREP ⁴⁴ were downloaded on November 11, 2018. All ASFV genomes
- were searched against these retrotransposons using blastn. No hits wereobtained under the e-value cutoff of 0.001.
- 601

602 10 Phylogenetic tree inference and visualization

Maximum-likelihood phylogenetic trees were inferred using MEGA

 $(version 5.0)^{45}$ with the default values of parameters. Bootstrap analysis

was conducted with 100 replicates. The phylogenetic tree was visualized

106 using Denscrope (version 2.4) 46.

607

608 11 Statistics analysis

All the statistical analyses were conducted in R (version 3.2.5) 47 .

610

611

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- 618

619 **Competing interests**

- ⁶²⁰ The authors have declared that no competing interests exist.
- 621

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