# Network remodeling induced by transcranial brain stimulation: A computational model of tDCS-triggered cell assembly formation

- Abbreviated title: tDCS-triggered cell assembly formation
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#### Abstract

Transcranial direct current stimulation (tDCS) is a variant of non-invasive neu-21 romodulation, which promises treatment for diseases like major depressive disorder 22 or chronic pain for patients resistant to conventional therapies. In experiments, 23 long lasting after-effects were observed, suggesting that plastic changes were in-24 duced. The exact mechanism underlying the emergence and maintenance of these 25 after-effects, however, remains elusive. Here we propose a model to explain how 26 transcranial stimulation triggers a homeostatic response of the network involving 27 growth and decay of synapses. In our model, the cortical tissue underneath the 28 electrodes is conceived as a recurrent network of excitatory and inhibitory neurons, 29 in which excitatory-to-excitatory synapses are subject to structural plasticity. Var-30 ious aspects of stimulation were tested via numerical simulations of such networks, 31 including size and montage of the electrode, as well as intensity and duration of the 32 stimulation. Our results suggest that stimulation indeed perturbs the homeostatic 33 equilibrium and leads to cell assembly formation. Strong focal stimulation, for ex-34 ample, enhances the connectivity of new cell assemblies by increasing the rate of 35 synaptic remodeling. Repetitive stimulation with well-chosen duty cycles increases 36 the impact of stimulation as well. The long-term goal of our work is to optimize 37 the impact of tDCS in clinical applications. 38

# <sup>39</sup> Introduction

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Transcranial direct current stimulation (tDCS) is a non-invasive brain stimulation tech-40 nique, where a weak constant current (typically a few mA) is applied to the brain via 41 two or more electrodes attached to the scalp (DaSilva et al., 2011; Edwards et al., 2013). 42 In any tDCS montage, electrical charge is delivered to the brain through an anode and 43 a cathode, which establishes an electric field with a specific geometry (Miranda et al., 44 2006). Weak electric current passing through the neural tissue and the induced weak 45 electric field are typically not sufficient to trigger action potentials directly, but they are 46 able to either depolarize or hyperpolarize the membrane of single neurons to some degree 47 (Joucla and Yvert, 2009). The membrane potential change, however, can influence spike 48 timing and firing rate of neurons which are part of an active network (Bikson et al., 2006). 49 As a consequence, and similar to other methods of neuromodulation, tDCS is claimed to 50 have a certain potential for treating diseases, such as medication-resistant depressive dis-51 order (Nitsche et al., 2009) or chronic pain (Garcia-Larrea, 2016). It has been shown to 52 have antidepressant effects by targeting the imbalanced activity between the dorsolateral 53 prefrontal cortex of both hemispheres (Loo et al., 2012), and to ameliorate neuropathic 54 pain by targeting primary motor cortex (Ngernyam et al., 2015). 55 Although there is a record of promising applications of tDCS, it is not yet clear what 56

<sup>57</sup> its underlying neuronal mechanisms are. Immediate changes of neural activity caused by <sup>58</sup> tDCS have been demonstrated both in humans and in rodents. Positron-emission tomog-<sup>59</sup> raphy (PET) in humans revealed that tDCS affects the activity in many different brain <sup>60</sup> regions, but the volume directly underneath the stimulation electrodes is influenced most <sup>61</sup> (Lang et al., 2005). Modeling studies have mapped the relation between the amplitude of <sup>62</sup> the applied current, or the induced electric field strength, respectively, and the changes <sup>63</sup> in the membrane potential of neurons (Huang et al., 2017; Datta et al., 2009; Jackson <sup>64</sup> et al., 2016). Experiments in acute hippocampal slices elucidated the relation between the

orientation of the electric field (EF) and the resulting neural activity (Bikson et al., 2004). 65 In contrast to the naive expectation that anodal stimulation increases the activity of the 66 stimulated area, while cathodal stimulation inhibits it, the actual effects appear to depend 67 mainly on the orientation of the EF vector relative to the somato-dendritic axis of neu-68 rons (Wiethoff et al., 2014; Gluckman et al., 1996; Radman et al., 2009). When the EF is 69 properly aligned with the somato-dendritic axis (dendrite closer to anode than soma), the 70 somatic membrane potential is depolarized and the neuronal firing rate is increased. For 71 the opposite EF direction, the somatic membrane potential is hyperpolarized and neural 72 activity is attenuated. If the EF is perpendicular to the somato-dendritic orientation, the 73 EF cannot influence the activity of this particular neuron. As a consequence, cells with 74 extended and non-isotropic morphology such as pyramidal neurons, should generally be 75 more influenced by tDCS than compact inhibitory neurons. In an effective point neuron 76 model, the polarization induced by an external EF can be equivalently described by a 77 current injected into the soma. This rationale was suggested by the following experimen-78 tal observation: A uniform EF was able to inhibit KCl-induced epileptiform activity in 79 rat hippocampal slices (Gluckman et al., 1996), but an equivalent effect could be achieved 80 by somatic DC injection (Kayyali and Durand, 1991). An equivalent effect of current in-81 jection and EF exposure was also demonstrated in a simulation study (Aspart et al., 2016). 82

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In addition to the instant activity change during stimulation, sustained alterations 84 of neural activity were also observed in humans after turning the stimulation off. The 85 after-effects of tDCS were first reported by Nitsche and Paulus (2000); they used transcra-86 nial magnetic stimulation (TMS) triggering motor evoked potentials (MEP) in the right 87 abductor digiti minimi muscle (ADM) as a readout of the after-effects of tDCS in motor 88 cortex. An elevated MEP was reported even  $150 \,\mathrm{min}$  after tDCS application (1 mA) in 89 motor cortex (Nitsche and Paulus, 2001). Such after-effects were later also observed in 90 somatosensory cortex (Matsunaga et al., 2004). Follow-up animal studies suggested that 91 the elevated activity and excitability is not due to reverberating network effects (Gartside, 92 1968a). Rather, it was observed that synaptic protein synthesis was increased (Gartside, 93 1968b), which already points to elevated synaptic plasticity. Indeed, blocking brain de-94 rived neurotrophic factor (BDNF) (Fritsch et al., 2010), NMDA receptors (Nitsche et al., 95 2003) or calcium channels (Monte-Silva et al., 2013) reduced the increments of field po-96 tential amplitudes in mouse motor cortex induced by tDCS. All of these findings taken 97 together suggest very strongly that the observed after-effects are due to an induction of 98 synaptic plasticity. 99

Current evidence suggests, however, that multiple forms of plasticity are contributing 100 to tDCS after-effects. Monte-Silva et al. (2013) observed different types of after-effects, 101 linked to different stimulation patterns: Fast facilitation was induced already after a 102 single anodal tDCS session (13 min) and lasted for at least 2 h post stimulation. This 103 phenomenon is called early-LTP (e-LTP). In contrast, 26 min anodal stimulation resulted 104 in a reduced MEP amplitude, pointing towards a homeostatic down-regulation. More 105 interestingly, repetitive anodal tDCS with 20 min pauses interspersed (13 min - 20 min 106 - 13 min) resulted in late facilitation. An elevated MEP was observed only one day 107 after the second stimulation, but not immediately afterwards. This is called late-LTP 108 (l-LTP). These results suggest that both Hebbian and homeostatic, as well as functional 109 and structural forms of plasticity could be involved. Functional LTP-like plastic changes 110 of existing synapses were observed in DCS (Ranieri et al., 2012). Given the time scales of 111

1-LTP, structural plasticity also seems to play a role in the after-effects. Structural changes 112 at a slower time scale, however, can easily be underestimated due to difficulties measuring 113 spine turnover and changes in dendritic morphology in vivo. Homeostatic structural 114 plasticity is constantly taking place in many brain areas (Van Ooyen, 2011; Turrigiano and 115 Nelson, 2004). For example, in adult barrel cortex and visual cortex, whisker trimming 116 or monocular deprivation, respectively, trigger robust spine remodeling (Trachtenberg 117 et al., 2002; Oray et al., 2004). In hippocampal cell cultures, blocking activity with TTX 118 leads to synapse enlargement and synapse cluster formation (Lee et al., 2013). In view 119 of all this, it seems very likely that long-lasting after-effects are caused by structural 120 changes in the network, justifying that we use simulations of spiking neural networks 121 with homeostatic structural plasticity to study them. In this paper, we systematically 122 explore the changes in network activity caused by tDCS, and the network remodeling and 123 cell assembly formation induced by this through a homeostatic response of the network. 124 Our simulations also predict that a focused and/or repetitive stimulation with well-chosen 125 duty cycles can boost the effect. This again fits the experiences from tDCS practice with a 126 high-definition montage (Kuo et al., 2013) and repetitive stimulation (Monte-Silva et al., 127 2013). 128

# 129 **Results**

## <sup>130</sup> Immediate effect of transcranial stimulation on network activity

As explained in the Introduction, the direct current applied to the brain during tDCS 131 stimulation induces an electric field (EF) (Radman et al., 2009), and may lead to a mem-132 brane potential deflection of the soma, depending on the orientation of the EF relative to 133 the neural somato-dendritic axis (Aspart et al., 2016). An equivalent effect with regard 134 to membrane potential modulation is achieved by DC injection into the soma (Kayyali 135 and Durand, 1991). Therefore, in this paper we model tDCS by injecting direct current 136 into the soma of point neurons (Figure 1A) to achieve a small depolarization or hyper-137 polarization of its membrane potential. The current is compatible with the membrane 138 potential deflection,  $\Delta V$ , and scaled by a geometric factor reflecting the angle between the 139 EF vector and somato-dendritic axis,  $\theta$  (Figure 1B). The angle  $\theta$  determines the injected 140 current according to  $\Delta V = \lambda E \cos(\theta)$ , where  $\lambda$  is a scaling factor, E is the EF intensity. 141 The equivalent DC to be injected into the neuron is given by Ohm's law  $\Delta I = \frac{\Delta V}{R_m}$ , where 142  $R_m$  is the membrane resistance. The estimated magnitude of the membrane potential de-143 flection induced by sub-threshold tDCS (1–2 mA) is about 0.1 mV (Jackson et al., 2016), 144 corresponding to 2.5 pA DC injected into the some of each model neuron. 145

We then tested if such weak injected current could at all trigger any firing rate changes. 146 We set up a single neuron that generated an ongoing spike train at 8 Hz, as if it was 147 part of a large network. To that end, the ongoing drive from within the network was 148 approximated by a Poisson bombardment. On top of this, we stimulated the neuron by 149 DC injection as described above and observed how the orientation of the EF vector with 150 respect to the orientation of the neuron impacted its firing. Although the overall effect of 151 tDCS stimulation on the membrane potential of the neuron is quite suble, the amplitude 152 of the firing rate change was found to be as large as 1 Hz (Figure 1C). 153

This very clearly suggests that tDCS can have an appreciable impact on the activity of spiking neurons in a network, even if the stimulation intensity is very weak. As neuronal

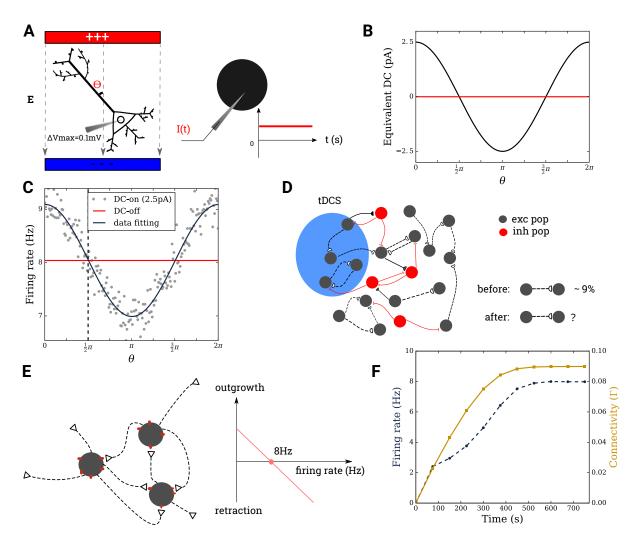
spiking is known to affect synaptic connectivity due to activity-dependent plasticity, this raises the question whether direct current stimulation can trigger plastic effects as well. Thus, our next step was to set up a network of point neurons representing the tissue underneath an electrode and find out whether stimulation can alter its structure and its functional dynamics.

## <sup>161</sup> Network remodeling triggered by transcranial DC stimulation

We adopt an inhibition-dominated recurrent network of excitatory and inhibitory neurons 162 to represent the cortical tissue underneath the electrodes. The network consists of  $10\,000$ 163 excitatory and 2500 inhibitory neurons (Brunel, 2000). Neurons are leaky integrate-and-164 fire (LIF) neurons, with random but fixed 10% E-I, I-I and I-E connection probability 165 (Figure 1D). E-E synapses are grown from scratch, subject to a firing rate based home-166 ostatic structural plasticity rule (Diaz-Pier et al., 2016; Gallinaro and Rotter, 2018). In 167 this model we fix the set-point of the neuronal firing rate at 8 Hz and used a linear home-168 ostatic rule. Eventually, all excitatory neurons fire at 8 Hz, when the connectivity has 169 grown to about 9% (Figure 1F). 170

In a previous paper, Gallinaro and Rotter (2018) explored the associative properties 171 of a similar network subject to firing rate based homeostatic plasticity. They stimulated a 172 subgroup of excitatory neurons with high-rate external Poisson spike trains. The external 173 stimulation disrupted the homeostatic equilibrium of the subgroup, as increased firing 174 rates above the set point led to a deletion of synapses. When the external stimulation 175 was ceased, the firing rate dropped and homeostatic process robustly triggered synapse 176 formation within the stimulated group. In the work discussed here, tDCS is modeled as 177 weak DC injection into the soma, which causes a change in firing rate of all stimulated 178 neurons. Therefore, we expect similar effects to also happen in the network. 179

We started by stimulating 10% of all excitatory (1000) neurons in the network with 180 2.5 pA DC for 150s (Figure 2A). When the firing rate of the stimulated group had reached 181 the set-point (same for all neurons), the connectivity also did not change any more with 182 the stimulation. This suggests that the network reached an equilibrium state (see supple-183 mentary Figure S1). At this point, we switched the stimulation off and ran the simulation 184 for another 300 s. We also tried different stimulation parameters and compared the effects, 185 as discussed below. As expected, the firing rate of the stimulated group dropped when 186 the DC was turned off (Figure 2B), and this eventually triggered cell assembly formation 187 (Figure 2C). The opposite phenomenon was observed in the process of depolarizing DC 188 (Figure 2D and 2E). Figure 2F illustrates the process of cell assembly formation for the 189 case of 2.5 pA stimulation. Before and after the stimulation, assuming equilibrium in 190 both cases, each neuron receives the same rate of external Poisson input and fires at its 191 target-rate (8 Hz). Thus, the number of input synapses from excitatory neurons should 192 not have changed through stimulation. The only difference will be the source of input 193 synapses: Before the stimulation, input synapses come from both groups of neurons – 194 to be stimulated (blue) and background (empty) – without any bias. The firing rate of 195 the stimulated neurons is most affected, so when stimulation is off, these neurons have 196 more free synaptic elements to offer. Background neurons, which are less affected by 197 stimulation and deviate less from their target rate, can only offer few synaptic elements 198 to form new connections. Since the formation of new synapses is based on the availability 199 of free elements, this leads to a higher probability of connections to be formed within 200



**Figure 1:** Model of a cortical network underneath the stimulation electrode. **A** The effect of tDCS is realized as direct current injection into the soma of point neurons. **B** The current amplitude depends on the orientation of the electric field vector relative to the somato-dendritic axis. **C** Direct current of amplitude 2.5 pA changes the ongoing firing rate of a single neuron by approx. 1 Hz. **D** The cortical tissue underneath the electrode (blue circle) is modeled as a recurrent network of excitatory and inhibitory neurons. E-I, I-I and I-E connections are static with 10% connectivity, while the E-E connections are subject to homeostatic structural plasticity. **E** The growth and decay of pre-synaptic boutons and post-synaptic spines depends linearly on the neuronal firing rate. Synaptic elements grow or retract as long as the firing rate deviates from the set-point, which was fixed at 8 Hz. **F** The network starts with no E-E connections, whatsoever. After 750 s, the average firing rate has approximately reached the set-point, and the connectivity  $\Gamma$  has settled in a equilibrium at approx. 9%.

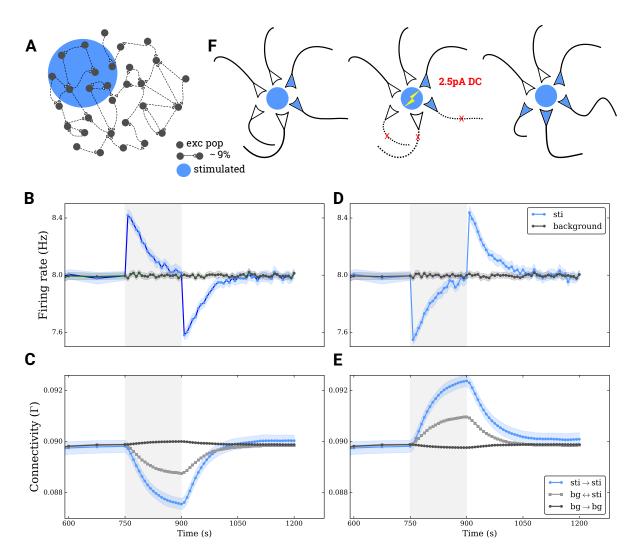
the stimulated group. In the case of depolarizing DC the opposite process takes place. Thus, any perturbation to the equilibrium of the network firing rate dynamics, no matter whether it is depolarizing or hyperpolarizing, will trigger synaptic turnover and network remodeling by deleting between-group synapses and forming within-group synapses to form a cell assembly.

#### <sup>206</sup> The effect of polarity of transcranial DC stimulation

In our model, both depolarizing and hyperpolarizing current stimulation induce cell assem-207 bly formation. Due to the different orientations of neurons in real tissue, neurons under-208 neath the same electrode may not be uniformly depolarized or hyperpolarized. Therefore, 209 we examined how a stimulation with mixed polarity performs. In Figure 3 we present 210 two scenarios: bi-population stimulation, in which 30% of the excitatory neurons (G1) 211 are depolarized with 2.5 pA whereas the remaining 70% (G2) are hyperpolarized with 212  $-2.5 \,\mathrm{pA}$  (Figure 3A), and tri-population stimulation, in which 30% of all neurons (G1) 213 are depolarized with 2.5 pA, another group of the same size (G2) are hyperpolarized with 214  $-2.5 \,\mathrm{pA}$ , and the remaining 40% are not stimulated at all. As expected, a combined 215 depolarizing and hyperpolarizing stimulation resulted in higher connectivity within the 216 cell assembly formed (Figure 3C, D and Figure 3E, F). 217

To further compare the effects of uni-population, bi-population and tri-population 218 stimulation, we performed a systematic study covering different intensities and stimulated 219 group sizes in all three scenarios: bi-group (Figure 4A), uni-group (Figure 4B), and tri-220 group (Figure 4C). Throughout, we stimulated the network for 150s and allowed it to 221 relax for 5850s to see the persistent change in connectivity. For an unbiased assessment 222 of both short-lived and quasi-permanent effects, We fit the connectivity curve during the 223 relaxation phase with the sum of three exponential functions and calculated the total 224 integral from an extrapolation of the measurement of stimulation effects on connectivity. 225 In the second panel (Figure 4D-F), we show the integral of connectivity over time for 226 the population G1,  $I_{G_1}$ , in three scenarios. In each scenario, the integral of connectivity 227 increases with absolute stimulation intensity and decreases with the size of the stimulated 228 population. Strong and focused stimulation leads to strong effects on the connectivity of 229 the cell assembly. 230

We then performed a comparison between these scenarios A, B and C. When the 231 stimulation is strong and focused, the effect  $I_{G_1}$  of scenario B is much stronger than 232 scenario A (Figure 4G) and C (Figure 4H). But when the stimulation is weak, the effect 233 of scenario A is larger than scenario B. Therefore, opposite polarities could slightly boost 234 the cell assembly intensity, if the stimulation is weak. However, for strong and/or focused 235 stimulation, uni-group stimulation leads to stronger cell assemblies. Comparing A and B 236 for strong and focused stimulations, the application of same strength negative DC onto 237 the background in A changes the effect of the same stimulation in B. There might be two 238 aspects involved in this phenomenon. Introducing a DC stimulation of reversed polarity 239 increases the discrepancy between intensies of the stimulated group and the background 240 (from  $\Delta I$  to  $2\Delta I$ ), but may inhibit the firing due to network inhibitory effects. To 241 disentangle the problem, we fixed the size of the stimulated group G1 as 50% and G2 242 as 50%, and systematically changed the stimulation intensity for both G1 and G2 in the 243 range between -30 pA and 30 pA. We stimulated G1 and G2 for 150 s and let it relax for 244 5850 s. The effects were estimated again as the integral of connectivity over time during 245



**Figure 2:** Effects of anodal (depolarizing) and cathodal (hyperpolarizing) tDCS in a recurrent network. A A subgroup comprising 10% of all excitatory neurons in a larger network is stimulated by tDCS. Excitatory neurons are more susceptible to stimulation due to their extended non-isotropic morphology, and in our model tDCS has no effect on inhibitory neurons. B Average firing rate of directly stimulated (blue) and non-stimulated (grey) excitatory neurons before, during and after stimulation. C Average connectivity among stimulated neurons (blue), among non-stimulated neurons (dark grey), and between neurons in different groups (light grey). When depolarizing current is applied, the resulting increase of the firing rate leads to a homeostatic response of the network in terms of a drop in connectivity. When the current is off, the resulting decrease of the firing rates triggers synaptic growth and cell assembly formation. D, E Similar to B, C, but for hyperpolarizing current injection. Note that both depolarization and hyperpolarization induced a small but persistent increase of the connectivity, corresponding to the formation of a cell assembly.  $\mathbf{F}$  Before and after the stimulation, when an equilibrium is maintained with the same external input, the excitatory indegree of each neuron will be the same. A transient perturbation of the equilibrium by stimulation facilitates, with some delay, the deletion of synapses originating from non-stimulated neurons and the formation of new synapses from stimulated neurons. This leads to the formation of cell assemblies. Shaded areas on B, C, D and E indicate the stimulation period.

the relaxation phase. The final integral of G1 connectivity is plotted in Figure 4I. The 246 values along the diagonal are very small, because there was neither synapse reorganization 247 nor cell assembly formation in these conditions. The remaining integrals are symmetric 248 along the diagonal. When the discrepancy between the two populations is large, close to 249 the two corners for example, the integral of G1 connectivity is also large. We fixed the 250 discrepancy between the pair of stimulation intensities and compare pairs: -30pA and 251 -10pA, -20pA and 0pA, -10pA and 10pA. As shown in the white square in Figure 4I, 252 when the discrepancy is fixed to -20 pA, the integral of -20 pA and 0pA situation is 253 larger than both -30 pA and -10 pA, and -10 pA and 10 pA case. The same tendency was 254 observed in 20pA discrepancy case. This supports the idea that network effects might 255 influence the interaction between two groups, and that uni-group stimulation scenario 256 achieves larger effects when stimulation is strong and focused. 257

#### <sup>258</sup> The effect of repeated transcranial DC stimulation

To examine the effects of repetitive stimulation, we repeated the 2.5 pA DC stimulation 259 in a 10% subpopulation with different stimulation time  $(t_1)$  and relaxation interval  $(t_2)$ 260 (Figure 5A). An example with  $t_1 = 150$  s and  $t_2 = 150$  s is shown in Figure 5B and 5C. 261 Connectivity generally increases with repetition. Figure 5D summarizes different  $t_1$  and 262  $t_2$  combinations. Compared to the basic condition,  $t_1 = 150$  s,  $t_2 = 150$  s, an increase in 263 relaxation time  $(t_2)$  of 300 s led to higher connectivity than an increase in the stimulation 264 time  $(t_1)$  to 300 s. Therefore, we conclude that repetitive stimulation typically increases 265 the effect of tDCS on cell assembly connectivity. But will it keep rising or saturate 266 eventually? To answer this question, we should simulate the repetitive 2.5 pA tDCS 267 for a long-enough time and check if connectivity eventually saturates. This, however, 268 requires very long simulation times. In order to avoid these long simulations, we used 269 the most effective combination  $(t_1 = 150 \text{ s and } t_2 = 300 \text{ s})$  and replaced the repetitive 270 intensity with a stronger yet still sub-threshold DC intensity (30 pA) to achieve larger 271 connectivity increment in each cycle and approximate the saturation level faster. After 272 several repetitions of 30 pA stimulation, the connectivity seems to saturate at a relatively 273 high level (Figure 5E). Different  $t_1$  and  $t_2$  combinations have slightly different saturation 274 levels. 275

Since simple on-off repetitive stimulation could boost cell assembly formation, we won-276 dered if replacing the relaxation phase with an opposite current stimulation would further 277 accelerate the process. We adopted two alternating stimulation patterns (Figure 6A) by 278 applying step currents with alternating polarities ( $\pm 2.5 \,\mathrm{pA}$  or  $\pm 1.25 \,\mathrm{pA}$ ) to 10% excita-279 tory neurons with the same stimulation interval (t = 150 s). As Figure 6B and 6C shows, 280 after three repetitions, alternative stimulation with  $\pm 2.5$  pA accelerated the connectivity 281 when compared to on-off stimulation. However,  $\pm 1.25$  pA alternating stimulation results 282 in same effects as the on-off stimulation. The cell assembly connectivity after 3 repetition 283 cycles depends on the amplitude of the stimulation pulse, but not on whether it is an 284 on-off or alternative protocol. Figure 6D summarizes the histogram (or the mean and 285 standard deviation, plotted in the inset) of the final connectivity of 30 independent trails 286 at the end of three repetitions, the  $\pm 2.5$  pA alternative repetition pattern achieves higher 287 connectivity. 288

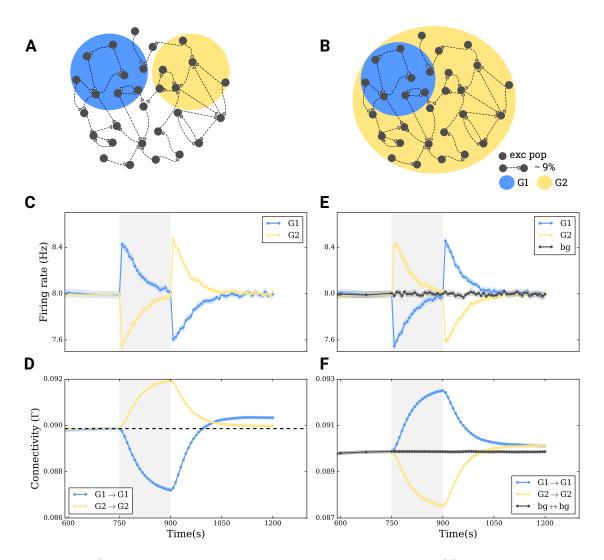


Figure 3: Simultaneous depolarizing and hyperpolarizing tDCS in a recurrent network. To understand the interaction between DC stimuli of different polarity, we apply depolarizing and hyperpolarizing currents to two different subgroups, respectively. Two scenarios are tested: A 30% of all neurons in a network (G1) are depolarized with 2.5 pA, another 30% (G2) are hyperpolarized with -2.5 pA, and the rest of 40% receives no stimulus. B 30% (G1) are hyperpolarized with 2.5 pA, and the remaining 70% (G2) are depolarized with -2.5 pA, and the remaining 70% (G2) are depolarized with -2.5 pA. C, E Group averages of firing rates in G1 (blue) and in G2 (yellow) before, during and after stimulation. D, F Group averages of the connectivity within G1 (blue), within G2 (yellow) and between G1 and G2 (grey). In both scenarios, cell assemblies were formed both within G1 and within G2.

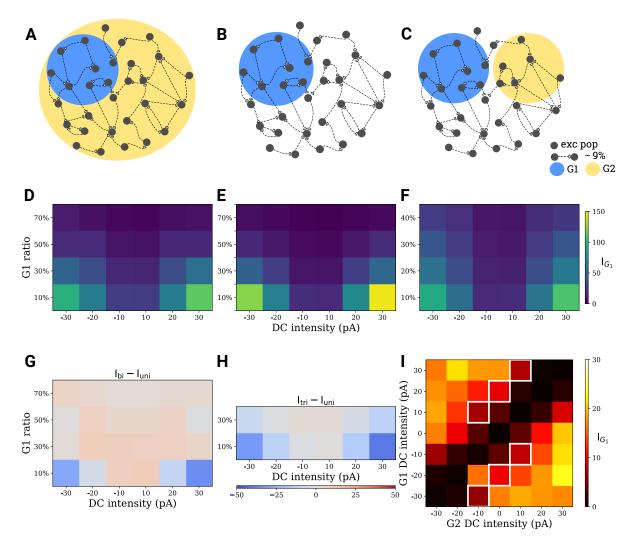


Figure 4: Comparison of tDCS effects in three different stimulation scenarios. A Bigroup stimulation: A subpopulation (G1) is stimulated with one polarity and all other excitatory neurons (G2) are stimulated with the opposite polarity. B Uni-group stimulation: A subpopulation (G1) is stimulated, and all remaining excitatory neurons receive no stimulus. C Tri-group stimulation: G1 and G2 have the same population size and are stimulated by opposite DC, while all other excitatory neurons receive no stimulus. **D** Effect of tDCS on cell assembly formation for scenario **A**, assuming different current amplitude and different relative sizes of the G1 population. The effects on cell assembly connectivity were measured as the integral  $(I_{G_1})$  of the fitted connectivity curve above baseline after turning the stimulus off (see text for details). E, F Similar to D for scenarios **B** and **C** respectively. In all simulations, the network was stimulated for  $150 \, \text{s}$  and relaxed for 5850s. Note that the stimulus polarity has almost no effect on the induced connectivity change. G, H Difference between D and E, as well as F and E, respectively. We found that the increased contrast provided by the opposite polarity generally boosted cell assembly connectivity. I We now made G1 and G2 equal in size and only changed the DC amplitude. We stimulated the network for 150s and allowed it to relax for 5850s. The effects were measured in the same way as for **D-F**. We found that the larger the discrepancy of stimulus strength is between two populations, the stronger the effect on the emerging connectivity is. The white squares indicate the same stimulus discrepancy between neuronal groups. The combination  $-20 \,\mathrm{pA}$  and  $0 \,\mathrm{pA}$  yielded stronger effects than -30 pA and -10 pA, or -10 pA and +10 pA.

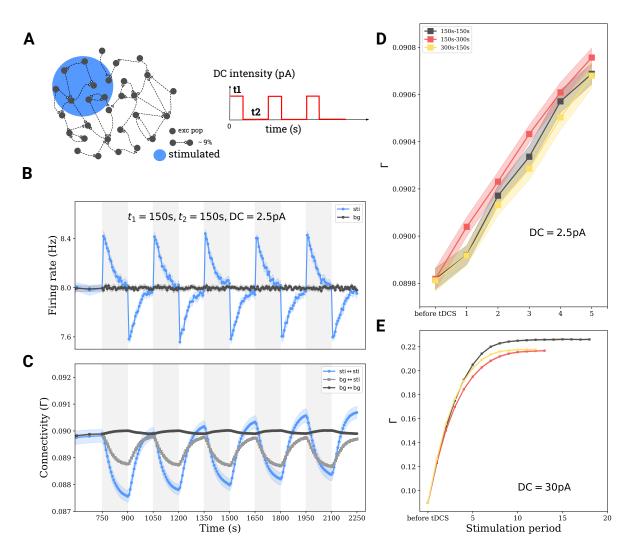


Figure 5: Repetitive stimulation boosts network remodeling. A The network is stimulated with a train of DC stimuli. Stimulation time is  $t_1$ , followed by a pause of duration  $t_2$ . B and C Average firing rate and connectivity during a train of stimuli. Repetitive stimulation of a subnetwork (10% of all excitatory neurons) with interspersed pauses boosts the connectivity of the cell assembly. D The effects depend on the stimulation time  $(t_1)$  and the relaxation interval  $(t_2)$ . We tried different combinations of stimulation and relaxation times. The most efficient stimulation protocol is the shortest duty cycle that still allows the network to reach its structural equilibrium during and after the stimulation. E The connectivity values. The DC amplitude was 30 pA for E, and 2.5 pA for all other subplots.

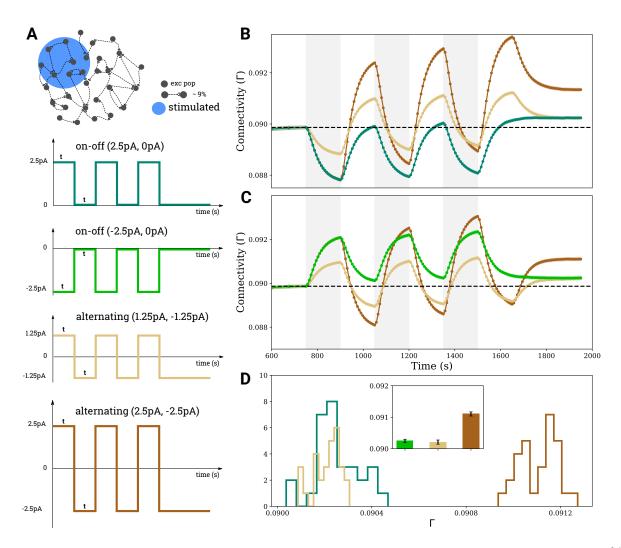


Figure 6: Comparison of four different scenarios for repetitive DC stimulation. A 10% of all neurons in the excitatory population were stimulated, using the same duty cycles in each case. However, different amplitudes and polarities were considered, as indicated by the four different curves. B and C Evolution of average connectivity for the different stimulation scenarios, colors match the stimulus curves in panel A. Alternating stimulation with  $\pm 2.5$  pA amplitudes (brown) lead to higher connectivity than the 2.5 pA on-off stimulation (light and dark green), while  $\pm 1.25$  pA alternating stimulation (orange) yielded roughly the same effects as an on-off stimulation with the same total amplitude (light and dark green). D Histograms of the connectivity reached after 3 cycles in the different scenarios extracted from 30 independent simulations. The inset shows mean and variance corresponding to the histograms.

# 289 Discussion

In the present study, we explored the plastic changes in network structure that could be 290 induced by transcranial direct current stimulation (tDCS). We demonstrated that even 291 relatively weak sub-threshold direct current stimulation can modulate the firing rate of a 292 neuron that is part of an active network. This modulation can trigger network remodeling 293 and cell assembly formation, if the network is subject to homeostatic structural plasticity. 294 There is, in fact, strong evidence that activity-dependent network remodeling takes place 295 in brains of all ages. We explored different parameters of tDCS stimulation with the help 296 of our model and found that focused strong stimulation could enhance the newly formed 297 cell assemblies. We also found that repetitive stimulation with well-chosen duty cycles 298 could boost the induced structural changes, and repetitive stimulation with alternating 299 sign may achieve even higher connectivity. 300

In our current study, we used connectivity as a direct readout of stimulation effects. 301 Although there are currently no empirical data that directly demonstrate that structural 302 changes arise as a consequence of stimulation, the factors that we found amplify its effects 303 are not unheard of in tDCS practice. Strong focused stimulation, for example, which cor-304 responds to a high-definition electrode montage, does indeed lead to a stronger MEP and 305 potentiates the therapeutic effects as compared to the conventional montage (Kuo et al., 306 2013). Applying the same total current, the high-definition montage induces stronger 307 electric fields in smaller brain volumes as compared to the conventional montage (Ed-308 wards et al., 2013). As predicted by our model, a stronger electric field will polarize the 309 membrane potential more, induce stronger firing rate responses and, therefore, lead to 310 higher connectivity in the stimulated region. Moreover, a high-definition montage nar-311 rows down the affected brain region, and in our model this also contributes to higher 312 connectivity. Repetitive stimulation, another factor predicted to boost connectivity, is 313 already widely used in tDCS practice. As already mentioned in the Introduction, Monte-314 Silva et al. (2013) demonstrated that an interrupted 13 min - 20 min - 13 min stimulation 315 yielded higher MEP after-effects than a single uninterrupted 26 min stimulation, while a 316 repetition with a very long pause between stimulation episodes (13 min - 24 h - 13 min) 317 did not accumulate the after-effects at all. The inter-stimulation interval and duty cycle 318 does matter. In our model, the effective interval should be long enough for the system to 319 recover its equilibrium firing rate and homeostatically respond by changing the connectiv-320 ity, but not too long for the connectivity to decay to the unperturbed level again. In our 321 simulations, we found a 1 : 2 ratio for the ON-OFF period length to be highly efficient, 322 very similar to what Monte-Silva et al. (2013) reported. 323

Other computational approaches have previously been suggested to analyze the tDCS 324 mechanism. In experiments performed both in brain slices and human subjects, the mech-325 anistic understanding approached the level how tDCS/DCS could influence the electric 326 activity of the brain at the single neuron level. Most notably, Bikson et al. (2006) has 327 explored several very different aspects that may also contribute to tDCS function: potas-328 sium concentration, inhibitory neurons, action potential timing, and polarization of the 329 axonal terminal. Most experimental and theoretical studies nowadays emphasize the role 330 of excitatory neurons. Joucla and Yvert (2009) came up with the mirror estimation of 331 membrane potential changes for large axons in the electric field, and Aspart et al. (2016) 332 conceived the influence of the electric field on neuronal dendrites as external input to 333 the soma. Another computational approach based on modern structural brain imaging 334

methods maps the current distribution onto the whole brain and provides answers to the 335 question how strong the stimulation effects actually are. Spherical head models were first 336 used to estimate the 3D current flow for any given electrode montage (Miranda et al., 337 2006). Then fMRI based individualized modeling was employed for treating stroke and 338 depressive patients (Datta et al., 2009; Ho et al., 2014; Huang et al., 2017). Our current 339 work also adopted the parameters from both experiments and estimations made by such 340 approaches. We provide a new and original computational model to explore the plasticity 341 at the network level, which bridges two approaches, from the level of single neurons to 342 networks. 343

We should interpret the results and predictions of our work on network remodeling 344 induced by tDCS with due caution. Although we know that homeostatic structural plas-345 ticity is extremely robust for a wide range of time scales (Gallinaro and Rotter, 2018), an 346 exact match of the plasticity dynamics has not been attempted here. Also, considering 347 only one type of plasticity is very likely a great simplification as compared to real brain 348 networks. As shown by Monte-Silva et al. (2013) in experiments, different repetitive stim-349 ulation patterns triggered either early-LTP or late-LTP like phenomena; the non-linearity 350 of intensity dependent after-effects reported by Jamil et al. (2017) also suggested a more 351 complicated interaction. Our structural plasticity rule may fit the time scale of late-LTP, 352 but not of early-LTP. The latter often sets in right after the anodal tDCS was turned off 353 and lasts for at least two hours post stimulation, suggesting that Hebbian plasticity on 354 a fast time scale is also involved. Therefore, a closer look at the interplay between fast 355 functional plasticity and slow structural plasticity will be necessary to fully understand 356 the inner logic of stimulation after-effects. On the other hand, there are still important 357 factors that are not at all addressed by our computational approach yet. Astrocytes, for 358 instance, were reported to be involved in the Ca<sup>2+</sup> signaling and synaptic plasticity during 359 tDCS (Monai and Hirase, 2017), but this is not yet reflected in our model. Moreover, the 360 link between cell assembly connectivity, enhanced function and changed behavior (e.g. 361 improved cognition and/or ameliorated depressive symptoms) is far from clear. Our cur-362 rent work, however, could be a first step toward the goal of devising optimized tDCS 363 protocols. 364

# 365 Methods

## 366 Neuron model

All large-scale simulations of plastic neuronal networks of this study were performed with the NEST simulator (Bos et al., 2015). The current-based leaky integrate-and-fire neuron model was used throughout. This model is described by

$$\tau_m \frac{\mathrm{d}V_i}{\mathrm{dt}} = -V_i + \tau_m \sum_j J_{ij} S_j(t-d) + I_i^{\mathrm{ext}} \tag{1}$$

where  $\tau_m$  is the membrane time constant. The variable  $V_i(t)$  is the membrane potential of neuron *i*, the resting value of which is set to 0 mV.  $I_i^{\text{ext}}$  comprises external inputs. The spike train generated by neuron *i* is denoted by  $S_i(t) = \sum_k \delta(t - t_i^k)$ , where  $t_i^k$  represent the individual spike times. The entries of the matrix  $J_{ij}$  denote the amplitude of the postsynaptic potential that is induced in neuron *i* upon the arrival of a spike from neuron j. In our model, excitatory synapses have the amplitude  $J_E = 0.1 \text{ mV}$ , whereas inhibitory synapses have an amplitude of  $J_I = -0.8 \text{ mV}$ . When the membrane potential reaches the firing threshold,  $V_{\text{th}}$ , an action potential is generated and the membrane potential is reset to  $V_{\text{reset}} = 10 \text{ mV}$ . All parameters are again listed in Table 1.

## 379 Network model

The network underneath the stimulation electrode is conceived as an inhibition-dominated 380 recurrent network (Brunel, 2000), comprising 10000 excitatory and 2500 inhibitory neu-381 rons. All E-I, I-E, I-I connections are static and synapses have a fixed synaptic weight  $J_E$ 382 or  $J_I$ , respectively. All these connections are randomly established, with 10% connection 383 probability. In contrast, E-E connections are subject to a growth rule called homeostatic 384 structural plasticity (Gallinaro and Rotter, 2018; Butz and van Ooyen, 2013; Diaz-Pier 385 et al., 2016). The network has initially no E-E connections, they are grown according to 386 the specified rule. Each neuron in the network receives Poisson external input at a rate 387 of  $r_{\rm ext} = 30 \, \rm kHz$ . For the parameters chosen here, the network enters an asynchronous-388 irregular state (Brunel, 2000). All network parameters are again listed in Table 2. 389

## <sup>390</sup> Homeostatic structural plasticity

As pointed out before, E-I, I-E, I-I connections have static synapses with  $J_E = 0.1 \,\mathrm{mV}$ 391 for excitatory synapses and  $J_I = -0.8 \text{mV}$  for inhibitory synapses. E-E connections 392 undergo continuous remodeling, governed by a firing rate based homeostatic structural 393 plasticity rule. Excitatory synapses are formed by combining a pre-synaptic bouton and 394 a post-synaptic spine, the so-called synaptic elements. New synapses can form only if 395 free synaptic elements are available. Pairs of neurons can form multiple synapses be-396 tween them, where each individual synapse has the same weight of  $J_E = 0.1 \,\mathrm{mV}$ . It has 397 been observed in experiments that neurite growth is governed by the concentration of 398 intracellular calcium. A specific hypothesis states that there is a set-point of the calcium 399 concentration, which the neuron strives to reach (Ramakers et al., 2001; Mattson and 400 Kater, 1987). In the model of structural plasticity we use in our work, the growth and 401 deletion of synaptic elements is linked to the time-dependent intracellular calcium concen-402 tration  $C(t) = [Ca^{2+}]$  of the neuron in question. In fact, this variable is a good indicator 403 of the neuron's firing rate (Grewe et al., 2010): Whenever the neuron generates a spike 404 as represented by the spike train S(t), the intracellular calcium concentration experiences 405 an increase by the amount  $\beta_{Ca}$  through calcium influx. In between two steps, the calcium 406 concentration decays exponentially with time constant  $\tau_{\rm Ca}$ 407

$$\frac{\mathrm{d}C(t)}{\mathrm{d}t} = -\frac{1}{\tau_{\mathrm{Ca}}}C(t) + \beta_{\mathrm{Ca}}S(t).$$
(2)

The synaptic growth rule is now as follows: When the firing rate (or calcium concentration) is below its set-point, the neuron will grow new synaptic elements to compensate for the lack of input. Existing synapses are broken up and synaptic elements are added to the pool of free synaptic elements, if the firing rate is above the set-point. We adopted a liner growth rule for both presynaptic and postsynaptic elements (Gallinaro and Rotter,

413 2018)

$$\frac{\mathrm{d}z}{\mathrm{d}t} = \nu \left[ 1 - \frac{1}{\epsilon} C(t) \right],\tag{3}$$

where z is the number of (presynaptic or postsynaptic) elements,  $\nu$  is the growth rate, and to  $\epsilon$  is the target level of calcium concentration, measured in arbitrary units. In any given moment, free synaptic elements are randomly combined into new functional synapses. All the parameters defining the structural plasticity rule are listed in Table 3.

#### <sup>418</sup> Measurements and calculations

#### 419 Firing rate.

The firing rate of a neuron is calculated as its time-averaged spike count, based on 5 s activity recording. The mean firing rate in a population is the arithmetic mean of firing rates across neurons in the group.

#### 423 Synaptic connectivity.

The connectivity,  $\Gamma$ , is calculated as the mean number of synapses per pair of neurons in a certain group. Let  $(A_{ij})$  be the  $n \times n$  connectivity matrix of a network with n neurons. Its columns correspond to the axons, its rows correspond to the dendrites of the neurons involved. The specific entry  $A_{ij}$  of this matrix represents the total number of synapses from the presynaptic neuron j to the postsynaptic neuron i. The mean connectivity of this network is then given by  $\Gamma = \frac{1}{n^2} \sum_{ij} A_{ij}$ .

#### <sup>430</sup> Time integral of the connectivity.

When comparing the effects of different stimulation scenarios, one cannot simply compare
the connectivity of the cell assembly at the end of simulation, because the connectivity
decays with different time constants. Therefore, we fit the connectivity change over time
during the relaxation phase by a sum of three exponential decay functions

$$\Gamma(t) = A_1 \exp^{-t/\tau_1} + A_2 exp^{-t/\tau_2} + A_3 \exp^{-t/\tau_3}.$$
(4)

The parameter  $A_k$  is the amplitude of a component that decays with time constant  $\tau_k$ . We calculated the total integral of the connectivity by extrapolation  $I_G = \sum_k A_k \tau_k$ . This way we can also account for connectivity transients that persist for a very long time, beyond the duration of our simulations.

#### 439 Stimulation parameters.

In our study we tested different DC stimulation scenarios. All stimulation parameters are
summarized in Table 4.

$\tau_m$	$t_{\rm ref}$	$V_0$	$V_{\rm reset}$	$V_{ m th}$
$10.0\mathrm{ms}$	$2.0\mathrm{ms}$	$0.0\mathrm{mV}$	$10.0\mathrm{mV}$	$20.0\mathrm{mV}$

 Table 1: Parameters of neuron model

$N_E$	$N_I$	$\Gamma_{E-I}$	$\Gamma_{I-E}$	$\Gamma_{I-I}$	$J_E$	$J_I$	$r_{\rm ext}$
10000	2500	10%	10%	10%	$0.1\mathrm{mV}$	$-0.8\mathrm{mV}$	$30\mathrm{kHz}$

Table 3: Parameters of the structural plasticity model

	έ	ν	$ au_{\mathrm{Ca}}$	$\beta_{\rm Ca}$
Ì	0.008	$0.004{ m s}^{-1}$	$10\mathrm{s}$	0.0001

# 442 Supportive Information

## 443 Authorcontributions

The project was planned and realized by HL, JG, SR. JG established the network model with homeostatic structural plasticity. HL formalized the model of tDCS and performed all numerical simulations. HL analyzed the data; JR and SR contributed to the data analysis. HL wrote the manuscript, and all authors contributed to the revision.

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protocol	$f_{ m G1}$	$I_{ m G1}  [{ m pA}]$	$f_{ m G2}$	$I_{\rm G2} \; [\rm pA]$	$f_{ m G3}$	$I_{\rm G3} \; [{\rm pA}]$	growth $[s]$	repetition	stimulation $[s]$	relaxation $[s]$
Figure 2B	10%	2.5	90%	0	I	1	750	no	150	300
Figure 2D	10%	2.5	90%	0	I	ı	750	no	150	300
Figure 3A	30%	2.5	30%	-2.5	40%	0	750	no	150	300
Figure 3B	30%	2.5	70%	-2.5	ı	ı	750	no	150	300
Figure 4A	$10\%,  70\%^{-1}$	$-30, 30^{\ 2}$	$1 - f_{\mathrm{G1}}$	$-I_{\mathrm{G1}}$	I	ı	750	ou	150	5850
Figure 4B	10%, 70%	-30, 30	$1 - f_{\mathrm{G1}}$	0	I	ı	750	no	150	5850
Figure 4C	$10\%, 40\% \frac{3}{2}$	-30, 30	$f_{ m G1}$	$-I_{\mathrm{G1}}$	$1 - f_{\rm G1} - f_{\rm G2}$	0	750	no	150	5850
Figure 4I	50%	-30, 30	50%	$-I_{\mathrm{G1}}$	I	ı	750	ou	150	5850
Figure 5	10%	2.5, 30	90%	0	ı	ı	750	yes	$ m multiple^4$	
Figure 6-on-off	10%	2.5	30%	0	ı	ı	750	yes	150	150
Figure 6-on-off	10%	-2.5	90%	0	ı	ı	750	yes	150	150
Figure 6-alternating	10%	$\pm 1.25$	90%	0	I	ı	750	yes	150	150
Figure 6-alternating	10%	$\pm 2.5$	90%	0	I	ı	750	yes	150	150
$\boxed{1}$ The stimulation ratios are 10%, 30%, 50%, 70%	tios are $10\%$ , $\vdots$	30%, 50%, 7	-0%							
$\begin{bmatrix} 2 \\ The stimulation intensities are -30, -20, -10, 10, 20 \end{bmatrix}$	ensities are -	30, -20, -1	10, 10, 20,	$,30\mathrm{pA}$						
$^3$ The stimulation ratios are 10%, 20%, 30%, 40%	tios are $10\%$ , $2$	20%, 30%, 4	i0%							
$^{4}$ The combinations used are (150, 150), (150, 300), (300, 150) s.	150, 150, 150, 150, 150, 150, 150, 150,	150), (150, 5	300), (300	, 150) s.						
All results in this study except Figure 4I are averages from 30 independent simulations.	ldy except Fig	ure 4I are a	werages fr	om 30 inde	spendent simula	tions.				

 Table 4: Configurations of DC stimulation

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