

1 Ischemic Preconditioning and Left Ventricular Dysfunction:
2 A Novel Mechanism & Model for Pulseless Electrical Activity

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4 **Short Title:** Animal Model of Ischemic Pulseless Electrical Activity Cardiac Arrest

5 **Authors:** Kaustubha D Patil MD¹, Richard S Tunin MS¹, Sarah J Fink MS¹, Susumu Tao MD
6 PhD¹, Henry R Halperin MD MA^{1,2}

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8 **Affiliations:**

9 ¹Johns Hopkins University, Division of Cardiology, Department of Medicine, Baltimore, MD.

10 ² Johns Hopkins University Departments of Biomedical Engineering and Radiology, Baltimore,
11 MD.

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14 **Address for Correspondence**

15 Kaustubha Patil, MD

16 Johns Hopkins Hospital

17 1800 Orleans Street

18 Zayed 7122

19 Baltimore, MD 21287

20 Phone: 614-592-5867

21 Fax: 410-367-2406

22 E-mail: kpatil2@jhmi.edu

23

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26 **Abstract**

27 **Background:** Pulseless electrical activity (PEA) is a very common rhythm in cardiac arrest and
28 survival is $\approx 5\%$. Population data suggest coronary ischemia significantly contributes to PEA,
29 but the mechanism is unknown.

30 **Objectives:** We hypothesize ischemic preconditioning (IPC) in the setting of left ventricular
31 (LV) dysfunction can convert ischemia-induced ventricular fibrillation (VF) into ischemia-
32 induced PEA.

33 **Methods:** Using percutaneous coronary interventions in anesthetized swine, we studied the
34 effect of IPC prior to ischemia on arrhythmic burden in normal animals and in animals with LV
35 dysfunction. IPC protocol: four cycles of three minutes of coronary occlusion followed by seven
36 minutes of reperfusion. Chronic LV dysfunction protocol: two serial infarcts in two coronary
37 territories, separated by one week of recovery.

38 **Results:** In normal animals, IPC prior to ischemia significantly reduced VF incidence (2/8 IPC
39 vs. 7/8 control). In IPC animals with VF, the time to VF was significantly delayed (37.2 ± 7.3
40 min vs. 20.7 ± 4.9 min, $p < 0.005$). In chronic LV dysfunction animals (EF $15\% \pm 5\%$), ischemia
41 caused PEA in all animals (18/18). In non-preconditioned animals, VF followed PEA in all cases
42 (12/12). In preconditioned animals, PEA sustained without VF in 2/6 animals. In 4/6 animals,
43 PEA was prolonged and time to VF was significantly delayed compared to non-preconditioned
44 animals (33.7 ± 7.8 min vs. 12.2 ± 5.0 min, $p < 0.0001$).

45 **Conclusion:** IPC delays/prevents VF. IPC with LV dysfunction prior to ischemia produces
46 prolonged PEA. IPC with LV dysfunction prior to ischemia is likely an important mechanism for
47 human PEA arrest. This is the first animal model of ischemic pulseless electrical activity.

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Key words: pulseless electrical activity, sudden cardiac death, cardiomyopathy, coronary artery disease

Abbreviations:

- VT: ventricular tachycardia
- VF: ventricular fibrillation
- PEA: pulseless electrical activity
- IPC: ischemic preconditioning
- LV: left ventricle
- LAD: left anterior descending
- RCA: right coronary artery
- LCX: left circumflex
- ACLS: advanced cardiac life support
- RH: reactive hyperemia
- ECG: electrocardiogram
- MAP: mean arterial pressure

75 **Introduction**

76 There are over 500,000 victims of cardiac arrest each year in the United States (300,000
77 out-of-hospital; 200,000 in-hospital).¹ In the majority of arrests, the initial rhythm is not
78 ventricular fibrillation (VF) or ventricular tachycardia (VT), but is pulseless electrical activity
79 (PEA) or asystole.²⁻⁵ The incidence of VT/VF has decreased over the last several decades,⁶⁻¹⁰
80 while the incidence of PEA/asystole has increased.^{1, 8-11}

81 Data from the National Registry of CPR, reporting on 51,919 in-hospital arrests, found
82 only 24% had an initial rhythm of VT/VF.⁵ The initial rhythm was PEA in 37% and asystole in
83 39%. Since most patients were monitored and response times were short, the high incidence of
84 PEA/asystole cannot be ascribed to patients being late in cardiac arrest. Similar trends have been
85 seen for out-of-hospital arrests. Data from the Resuscitation Outcomes Consortium, reporting on
86 12,930 out-of-hospital arrests, found that only 26% had an initial rhythm of VT/VF.⁶ Data from
87 the CARES registry documented similar findings in 25,116 out-of-hospital arrests.¹² Thus, the
88 majority of cardiac arrests today are due to PEA/asystole. The reasons why there are more
89 PEA/asystolic arrests remain unknown.

90 The survival rate for VT/VF arrest averages 20%.¹ The survival rate for PEA/asystolic
91 arrest is substantially lower, averaging 5%.^{1, 5} There is a critical need for improved resuscitation
92 strategies, since each 1% increase in survival rate would result in approximately 5000 additional
93 survivors. This critical need is most apparent in PEA arrest, as little is known about its
94 pathophysiology or its optimal treatment, especially when compared to VT/VF arrest.

95 Understanding PEA has been limited by the lack of a clinically relevant laboratory model.

96 A substantial number of PEA patients have chronic coronary disease, suggesting that
97 coronary ischemia may be a significant contributing factor.¹³ One study of cardiac arrest found
98 that one-third of patients with resuscitated PEA underwent intervention for acute coronary

99 occlusion.¹⁴ A major question that remains is why ischemia induces VT/VF arrest in some cases,
100 while inducing PEA arrest in others. In a small percentage of patients, PEA is due to a
101 recognizable and potentially reversible factor (i.e. pulmonary embolus), but specific treatments
102 for these factors have had little effect on survival.^{8, 15} In the vast majority of patients with PEA
103 arrest, the mechanism of the arrest is not well understood.

104 The effect of ischemic preconditioning (IPC) in the context of ischemia/reperfusion
105 injury has been well studied.¹⁶⁻²¹ IPC entails exposing myocardial tissue to several short
106 ischemic episodes, followed by recovery, prior to prolonged ischemia. IPC in animal studies
107 significantly mitigates the toxicity of ischemia/reperfusion.^{17,20,22,23} One of these toxic effects is
108 VT/VF, and remarkably, animals preconditioned with short bouts of ischemia prior to prolonged
109 ischemia/reperfusion suffer less VT/VF than non-preconditioned animals.²⁴⁻²⁶

110 We hypothesized that chronic ischemia induces myocardial conditioning, which prevents
111 or delays the occurrence of VF. We aimed to test whether IPC shifts the effect of a large
112 ischemic burden to cause less VF. We also hypothesized that a large proportion of PEA arrests
113 are due to ventricular muscle failure from acute ischemia in a substrate with chronic left
114 ventricular (LV) dysfunction. We aimed to test whether PEA can result from profound ischemia
115 in the setting of chronic LV dysfunction. We further hypothesized that chronic ischemia in the
116 setting of chronic LV dysfunction shifts the effect of a large ischemic burden from VF to
117 sustained PEA arrest. We aimed to test whether IPC in the setting of chronic LV dysfunction can
118 convert an otherwise ischemia-induced VF arrest into an ischemia-induced PEA arrest.

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121 **Methods**

122 Study Design:

123 This was a controlled laboratory experiment performed using swine. All animals were treated in
124 accordance with institutional Johns Hopkins Animal Care and Use Committee (Protocol
125 Number: SW16M78) guidelines and in strict compliance with the Animal Welfare Act
126 regulations and Public Health Service Policy. Aortic pressure and electrocardiographic (ECG)
127 monitoring was continuous for all protocols. All hemodynamic tracings included show blood
128 pressure (y-axis) versus time (x-axis). Some included tracings also include a continuous ECG
129 rhythm (y-axis) versus time (x-axis). PEA was defined as mean arterial blood pressure (MAP)
130 below 40 mmHg, if organized ECG complexes were present. Study endpoints included incidence
131 of VF, incidence of PEA, time to VF, and time to PEA.

132 *Animal preparation:* Pigs (35 ± 5 kg, female, American Yorkshire breed) were tranquilized with
133 sedazine 18 μ g/Kg, ketamine 0.9 mg/Kg *IM*, and telazol 0.23 mg/Kg *IM*, anesthetized with
134 pentothal 15 mg/Kg *IV*, intubated and mechanically ventilated (Narkomed 2A, Drager) with
135 100% O₂ and 0.5 - 1.5% isoflurane. Percutaneous femoral venous and arterial access was
136 established. An arterial sheath was placed in the femoral artery for systemic blood pressure
137 measurements. Coronary guide catheters (6 Fr) were placed in desired coronary arteries.
138 Angioplasty catheters (3x8mm) were positioned under fluoroscopy in desired coronary arteries.
139 In some animals, we assayed for coronary reactive hyperemia via Doppler coronary flow wire
140 (Volcano Therapeutics, 0.014" diameter). Transthoracic echocardiograms were performed to
141 assess wall motion, ejection fraction (EF), and stroke volume. All collected hemodynamic and
142 arrhythmia data is shown in Fig 1. Advanced cardiac life support (ACLS) was performed at onset
143 of VF for all animals.

144 **Fig 1: Animal Data:** Baseline hemodynamics (in multiple procedures groups, the baseline data
145 listed are prior to the final experiment), Time to PEA, Time to VF, and Duration of PEA (when
146 applicable). Abbreviations: SBP (systolic blood pressure), DBP (diastolic blood pressure), MAP
147 (mean arterial pressure), HR (heart rate)

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149 *Animal Groups/Protocols (Fig 2):*

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151 **Fig 2: Animal Group Protocols;** abbreviations: LAD: left anterior descending, RCA: right
152 coronary artery, LCX: left circumflex artery, ACLS: advanced cardiac life support, RH: reactive
153 hyperemia, VF: ventricular fibrillation, PEA: pulseless electrical activity

154

155 Acute Ischemia:

- 156 1. No Preconditioning (n=8): After the initial preparation, a single mid-left anterior
157 descending (LAD) occlusion was performed for 60 minutes or until VF onset.
- 158 2. Single Vessel Ischemic Preconditioning (n=8): After the initial preparation, four cycles
159 of 3 minutes of occlusion/7 minutes of reperfusion were performed in the LAD territory.
160 After this series, a final mid-LAD occlusion was performed for 60 minutes or until VF
161 onset.

162 Left Ventricular Dysfunction prior to Acute Ischemia:

- 163 3. Acute Ischemia in the setting of Significant LV Dysfunction (n=8): Two-hour infarcts
164 were created in both the proximal right coronary artery (RCA) territory and proximal left
165 circumflex (LCX) territory, in that order, by occluding each respective artery for two

166 hours. Infarcts were separated by one week. One week after the LCX infarct, the
167 proximal LAD was occluded for 60 minutes or until VF onset.

168 4. Proximal Ischemic Preconditioning in the setting of Significant LV Dysfunction (n=4):

169 Two-hour infarcts were created in both the proximal RCA territory and proximal LCX
170 territory, in that order. Infarcts were separated by one week. One week after the LCX
171 infarct, four cycles of 2 minute occlusion (duration limited by hypotension)/7 minutes of
172 reperfusion were performed in the proximal LAD territory. After this series, a final
173 proximal LAD occlusion was performed for 60 minutes or until VF onset.

174 5. Distal Ischemic Preconditioning in the setting of Significant LV Dysfunction (n=6):

175 Two-hour infarcts were created choosing either the proximal RCA or mid LAD first,
176 followed by a second infarct in an alternate territory (either proximal LCX or proximal
177 RCA, respectively). Infarcts were separated by one week. One week after the second
178 infarct, four cycles of 3 minutes of occlusion/7 minutes of reperfusion were performed in
179 the distal part of the vessel to the un-infarcted territory. After this series, a final occlusion
180 was performed in the proximal part of the same vessel for 60 minutes or until VF onset.

181

182 *Development of the PEA Model:* Before choosing the protocol described in animal group 3, we
183 attempted various sequences of both ischemia and infarct to assess their tendency to lead to VF
184 versus severe hypotension/PEA. This included looking at hemodynamic responses to proximal
185 LAD ischemia only, mid-LAD infarct followed by proximal RCA ischemia only, and proximal
186 RCA infarct followed by proximal LCX ischemia only.

187

188 *Statistical Analysis:* Assuming that few, if any, preconditioned animals develop VF, at least four
189 animals/group are needed to show a statistically significant difference compared to control. All

190 mean values are accompanied by standard deviations. All statistical analyses were two-sided and
191 done with $\alpha = 0.05$. Comparisons of means between two groups were performed using
192 unpaired t-test. Survival comparisons between groups were performed using the log-rank test.

193

194 **Results**

195 **Acute ischemia in the setting of ischemic preconditioning delays or prevents ventricular** 196 **fibrillation**

197 *Animal Group 1: No Preconditioning (n=8)*

198 In this group, 7/8 animals suffered VF cardiac arrest with mean time to VF of 20.7 ± 4.9
199 min after onset of mid-LAD occlusion. There was no significant hypotension prior to VF in any
200 animals (Fig 3A, 3B).

201

202 **Figure 3: Animals with Acute Ischemia; A)** Animal Group 1, hemodynamic tracing during
203 mid-LAD occlusion, **B)** Animal Group 1, onset of VF, **C)** Animal Group 2, hemodynamic
204 tracing showing IPC protocol and prolonged final occlusion without VF, **D)** Freedom from VF
205 during ischemia: IPC delays/prevents VF ($p < 0.002$, log-rank test)

206

207 *Animal Group 2: Single Vessel Ischemic Preconditioning (n=8)*

208 In this group, we tested whether IPC leads to less ischemia-induced VF and thus changes
209 the arrhythmic burden of acute ischemia of a large territory of the heart.

210 With the IPC protocol, 6/8 animals had no VF during 60 minutes of ischemia. The
211 remaining 2/8 animals had significantly delayed time to VF compared to the 7 animals in group 1

212 that had VF (37.1 ± 7.3 min vs. 20.7 ± 4.9 min, $p < 0.001$, t-test). As with group 1 animals, there
213 was no significant hypotension in group 2 animals prior to VF (Fig 3C). Fig 3D compares
214 freedom from VF during ischemia between groups 1 and 2. IPC significantly delayed/prevented
215 VF compared to non-preconditioned animals ($p < 0.002$, log-rank test).

216 Although VT/VF suppression is a known effect of IPC (in other species), and could be a
217 marker for successful myocardial preconditioning, we performed an additional assay to confirm
218 successful preconditioning. In prior animal studies (goats and rats), IPC alters the coronary
219 reactive hyperemia response after coronary occlusion.²⁷⁻²⁸ Coronary reactive hyperemia is the
220 transient increase in coronary blood flow upon reperfusion after occlusion of a coronary artery.
221 IPC causes a decrease in time to peak coronary flow upon reperfusion and a decrease in total
222 hyperemic flow.²⁷

223 We used a coronary Doppler flow wire to quantify coronary reactive hyperemia at
224 baseline and after IPC in 10 animals that underwent IPC protocols. A representative coronary
225 flow tracing from one of these animals is shown (Fig 4A). Using area under the curve (AUC) as
226 a surrogate for total hyperemic flow, IPC caused a mean percent decrease of $50\% \pm 16\%$ in
227 AUC. IPC also caused a mean percent decrease in time to peak coronary flow velocity of $46\% \pm$
228 14% (Fig 4B). The magnitude of these changes is comparable to the reactive hyperemia response
229 seen in other IPC studies.²⁷⁻²⁸

230

231 **Fig 4: Coronary Reactive Hyperemia; A)** Coronary flow vs. time during reactive hyperemia at
232 baseline and after IPC. The first vertical line is vessel occlusion and the second is vessel
233 reperfusion. **B)** Time to peak coronary flow velocity during reactive hyperemia at baseline
234 (52 ± 15 sec) and after IPC (27 ± 8 sec), ($p < 0.001$, t-test)

235 **Developing a model of ischemic PEA arrest**

236

237 To develop an ischemic model of PEA, we realized that we needed acute ischemia to
238 cause significant hypotension. We postulated that substantial LV dysfunction is necessary prior
239 to acute ischemia for PEA to develop. To this end, we tested multiple protocols of regional
240 coronary ischemia/infarction to assess each protocol's hemodynamic response to acute ischemia.

241 Proximal LAD Infarct: We first assessed the hemodynamic response to proximal LAD
242 infarct. Although a prolonged proximal LAD occlusion was tolerated, upon reperfusion, animals
243 would invariably suffer VF arrest and resuscitation was rarely successful. Representative
244 hemodynamic tracings are shown (Fig 5A and 5B).

245

246 **Fig 5: Development of the PEA Model; A)** Hemodynamic tracing during proximal LAD
247 ischemia, **B)** Hemodynamic tracing showing reperfusion after 2 hours of proximal LAD
248 occlusion. Reperfusion complicated by refractory VF, unable to resuscitate despite 20-25
249 minutes of ACLS. **C)** Hemodynamic tracing of acute proximal RCA ischemia with prior mid
250 LAD infarction, **D)** Hemodynamic tracing of acute proximal LCX ischemia with prior proximal
251 RCA infarction

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253 Proximal Ischemia with Single Prior Infarct: We then looked at the hemodynamic
254 response to acute proximal right coronary artery (RCA) ischemia in the setting of prior mid LAD
255 infarction. These animals tolerated acute RCA ischemia without significant prolonged
256 hypotension/PEA (Fig 5C). Similarly, we looked at the hemodynamic response to acute proximal

257 left circumflex (LCX) artery ischemia in the setting of prior proximal RCA infarction; again,
258 animals tolerated this protocol without significant prolonged hypotension/PEA (Fig 5D).

259 Proximal Ischemia with Multiple Prior Infarcts: We then attempted protocols with
260 multiple prior infarcts. Ultimately, the protocol described below for group 3 animals reliably
261 reproduced hypotension/PEA. This protocol was also the safest for animals to tolerate the
262 multiple infarctions and survive until the final experiment.

263

264 **Acute ischemia in the setting of LV dysfunction produces PEA arrest**

265 *Animal Group 3: Acute Ischemia in the setting of Significant LV Dysfunction (n=8)*

266 In this group, we studied the hemodynamic response to acute ischemia in the setting of
267 prior significant LV dysfunction. Significant LV dysfunction was produced by creating infarcts
268 in both the proximal RCA and proximal LCX territories; infarcts were separated by one week.
269 One week after the LCX infarct, we occluded the proximal LAD. Representative hemodynamic
270 tracings are shown, which include the onset of severe hypotension upon proximal LAD ischemia
271 (Fig 6A), as well as a higher sweep speed showing severe hypotension with organized electrical
272 activity, i.e. PEA (Fig 6B). All 8 animals in this group suffered PEA shortly after LAD occlusion
273 and remained in PEA until subsequent VF. The mean time to VF was 13.1 ± 5.6 min.

274

275 **Fig 6: Animals with Left Ventricular Dysfunction prior to Acute Ischemia; A)** Group 3,
276 hemodynamic response to proximal LAD ischemia in animals with prior LV dysfunction, **B)**
277 Group 3, hemodynamic tracing during successful PEA (severe hypotension with organized ECG
278 complexes), **C)** Group 4, hemodynamic tracing showing IPC (only 2 min occlusions) prior to
279 final proximal LAD occlusion in animals with prior LV dysfunction; note PEA followed by early

280 VF. **D)** Group 5, hemodynamic tracing showing distal LCX IPC (3 min occlusions) followed by
281 reperfusion, **E)** Group 5, acute proximal LCX ischemia in the setting of prior LV dysfunction
282 and distal LCX IPC, **F)** Group 5, *sustained PEA* with severe hypotension and organized ECG (no
283 subsequent VF).

284

285 **Acute ischemia in the setting of LV Dysfunction and Ischemic Preconditioning produces**
286 **sustained PEA arrest**

287 *Animal Group 4: Proximal Ischemic Preconditioning in the setting of Significant LV Dysfunction*
288 *(n=4)*

289 Significant LV dysfunction was again created (via proximal RCA and proximal LCX
290 infarctions). Then, we tested whether LAD ischemic preconditioning (remaining viable
291 myocardial territory) prior to acute ischemia can delay or prevent the onset of VF (as in animal
292 group 2). Due to severe hypotension, our proximal LAD IPC occlusions were limited to 2
293 minutes (instead of 3 minutes as in Group 2 animals). IPC was followed by acute proximal LAD
294 occlusion. We again produced PEA in all animals, and all subsequently had VF arrest at a mean
295 time to VF of 10.6 ± 2.1 min. The mean time to VF was not significantly different from Group 3
296 ($p=0.42$, t-test). A representative hemodynamic tracing is shown (Fig 6C).

297 We suspected that IPC in animal group 4 was unsuccessful in delaying VF due to
298 inadequate preconditioning. IPC was inadequate because the duration of the IPC occlusions in
299 these animals was limited. In these animals, we did not achieve full 3 min IPC occlusions due to
300 severe hypotension during each proximal LAD IPC occlusion.

301 Furthermore, we wondered whether an alternate sequence of infarctions and final
302 ischemia would alter the propensity for development of PEA. We suspected that the specific

303 territory impacted by the final occlusion was less important than the overall amount of LV
304 dysfunction prior to the final experiment.

305

306 *Animal Group 5: Distal Ischemic Preconditioning in the setting of Significant LV Dysfunction*
307 *(n=6)*

308 We tested the possibility that short duration IPC (<3 min occlusions) was not successful
309 in delaying VF by adjusting our protocol. We also tested whether the specific final ischemic
310 territory was an important factor in whether or not animals developed PEA during acute ischemia
311 in the context of LV dysfunction. We chose either a mid-LAD infarct or proximal RCA infarct to
312 perform as the first infarct. That was followed one week later by either a proximal RCA infarct
313 (if the first infarct was mid-LAD) or a proximal LCX infarct (if the first infarct was proximal
314 RCA). On the final experiment, however, we performed IPC of the distal remaining territory
315 (either LCX or LAD) to allow four full cycles of 3 min IPC occlusion/7 min reperfusion; MAP
316 was maintained ≥ 45 mmHg during IPC occlusions (Fig 6D). Then, a proximal occlusion was
317 performed in the same un-infarcted territory (for 60 minutes or until VF onset). Representative
318 hemodynamic tracings are shown, which include the onset and duration of PEA upon proximal
319 ischemia (Fig 6E), as well as a higher sweep speed showing organized electrical activity during
320 prolonged PEA (Fig 6F).

321 In this group, all 6 animals suffered early PEA after final occlusion. In 2/6 animals, there
322 was sustained PEA without subsequent VF for the entire 60 minute occlusion. This compares
323 with 0/12 non-preconditioned animals (groups 3 and 4) that were free from VF during the 60
324 minute occlusion. In the remaining 4/6 animals in group 5, PEA was prolonged prior to
325 subsequent VF; mean time to VF was significantly delayed compared to non-preconditioned

326 animals (33.7 ± 7.8 min vs. 12.2 ± 5.0 min, $p < 0.0001$, t-test). This protocol successfully
327 produced *sustained* PEA arrest. Distal territory IPC in the setting of significant LV dysfunction
328 significantly delayed or prevented VF during acute ischemia ($p < 0.001$, log-rank test, Fig 7A).

329

330 **Fig 7: Prolonged PEA; A)** Freedom from VF during ischemia (in the setting of prior LV
331 dysfunction) comparing animal groups 3-5: IPC (3 min occlusions in a distal territory)
332 successfully delayed/prevented VF and led to *sustained* PEA after final ischemia in the setting of
333 prior LV dysfunction ($p < 0.001$, log-rank test) **B)** Severe cardiac dysfunction is necessary for
334 PEA (n = 12)

335

336 LV dysfunction (via transthoracic echocardiography) was quantified in most animals with
337 PEA arrest (n=12). A normal LV EF at baseline in swine is similar to that in humans,
338 approximately 50-65% (Supplemental Movies S1-2). The amount of cardiac dysfunction
339 necessary for PEA was substantial (Fig 7B). Mean EF prior to the final experiment was $15\% \pm$
340 5% , consistent with our aim of producing significant LV dysfunction prior to the final ischemic
341 insult (Supplemental Movies S3-4). The mean EF necessary for PEA onset was $5\% \pm 3\%$
342 (Supplemental Movies S5-6). Furthermore, the cardiac output (CO) necessary for PEA onset was
343 1.6 ± 0.4 L/min, approximately a 70% reduction from baseline (CO of 5.0 ± 0.8 L/min).

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348 **Discussion**

349 There are three major new findings in this study: 1) ischemic preconditioning delays or
350 prevents the onset of VT/VF in a swine model of acute coronary ischemia, 2) acute ischemia in
351 the setting of substantial, chronic LV dysfunction reliably produces PEA cardiac arrest, and 3)
352 ischemic preconditioning in the setting of substantial, chronic LV dysfunction reliably produces
353 *sustained* PEA arrest upon acute ischemia.

354 We demonstrated that IPC delays/prevents the onset of VT/VF in a swine model of acute
355 coronary ischemia (Fig 3D).

356 Our goal however, was to model clinical PEA. Traditionally, PEA is classified as
357 normotensive PEA, pseudo-PEA, and true PEA.⁴⁵ Normal myocardial contractions in the
358 absence of detectable pulses is “normotensive” PEA. This occurs secondary to a reversible
359 condition, i.e. tension pneumothorax, tamponade, or hypovolemia. Normotensive PEA does not
360 represent a significant subset of patients with clinical PEA. Pseudo-PEA is characterized by
361 weak myocardial contractions that produce detectable aortic pressure only measurable by
362 invasive monitoring or echocardiography.²⁹⁻³¹ True PEA is the absence of myocardial
363 contractions, typically the final stage of PEA occurring after prolonged exposure to acidosis and
364 hypoxia.²⁹⁻³¹

365 Pseudo-PEA represents a substantial portion of PEA arrest³¹ and population data suggest
366 coronary ischemia is a major contributor to PEA.¹² Thus, we attempted to create an ischemic
367 model of PEA. If ischemia is to cause PEA, it needs to produce weak myocardial contractions
368 unable to achieve an adequate perfusion pressure despite organized cardiac electrical activity.

369 With mid-LAD ischemia (animal groups 1-2), significant hypotension was not observed
370 prior to VF (despite delaying/preventing VF in group 2). We proposed that significant LV

371 dysfunction prior to acute ischemia may produce the desired hypotension and thus, PEA. This
372 approach to modeling PEA is clinically relevant (patients with PEA often have underlying
373 cardiomyopathy and LV dysfunction).

374

375 *Development of the PEA Model*

376 To create LV dysfunction, we tested various ischemia/infarction sequences to quantify
377 the dysfunction required to induce the necessary hypotension. We attempted single vessel
378 proximal ischemia (LAD) as well as single infarcts followed by alternate vessel ischemia.
379 Neither of these protocols produced enough hypotension.

380 A two-infarct protocol prior to prolonged ischemia in the third coronary territory finally
381 reliably induced severe hypotension/PEA. This is the second major finding in this study:
382 prolonged ischemia in the setting of significant LV dysfunction reliably produces PEA. This is
383 the first animal model of ischemic PEA cardiac arrest. PEA was reliably induced, however it was
384 soon followed by subsequent VF (animal group 3).

385 In human adult PEA arrest, PEA is often prolonged (> 20 minutes) prior to
386 discontinuation of resuscitation efforts if there is no return of spontaneous circulation. Next, we
387 aimed to model the *prolonged* PEA that is a common clinical scenario. Perhaps prior LV
388 dysfunction and ischemic preconditioning prior to prolonged ischemia could delay/prevent
389 VT/VF and lead to sustained PEA?

390 Animal groups 4 and 5 investigated this hypothesis and successfully showed that LV
391 dysfunction and ischemic preconditioning prior to acute ischemia reliably produced *sustained*
392 PEA. Our results show that a critical duration of ischemia is necessary for successful IPC (to
393 exert an anti-arrhythmic effect upon prolonged ischemia). Furthermore, we were successful in

394 delaying VF (animal group 5) despite only exposing a distal coronary territory to IPC. IPC was
395 successful via “remote” preconditioning. That is, the entire territory (and likely the entire
396 myocardium) was conditioned despite a distal site of IPC. In animals with prior mid-LAD and
397 proximal RCA infarcts, where only the distal LCX territory underwent IPC, it follows that all of
398 the remaining un-infarcted territory (supplied by the LCX and proximal LAD) was
399 preconditioned given that we achieved sustained PEA without early VF upon acute ischemia.
400 Remote IPC conferring global myocardial protection from ischemia has been reported.^{26, 32-34}

401 Given the success of remote IPC, we attempted a hyper-acute (single experiment) model
402 of sustained PEA: a proximal RCA infarct was created, then immediate distal LAD IPC, and
403 finally proximal LAD occlusion. Early VF occurred without PEA; non-sustained ventricular
404 ectopy was noted throughout after the RCA infarct. We suspect the underlying pathobiology of
405 acute infarct (followed by reperfusion) led to increased arrhythmogenicity and predisposition to
406 VF. This confirmed our hypothesis that *chronic*, not acute, LV dysfunction is necessary for
407 ischemic PEA.

408 Severe cardiac dysfunction is necessary for PEA (mean EF ~15% prior to final prolonged
409 ischemia, mean EF ~5% at PEA onset). PEA onset required approximately a 70% reduction in
410 cardiac output from baseline. We suspected that LV dysfunction was necessary to induce PEA;
411 however, this severity of dysfunction was beyond our expectations.

412

413 *The Role of Ischemic Preconditioning in Human PEA/Clinical Implications*

414 Our work offers important and novel insights into the pathophysiology and development
415 of human PEA cardiac arrest.

416 Many PEA arrest patients have chronic coronary disease¹²⁻¹⁴, and we suspect these
417 patients experience numerous ischemic episodes over their lifetime via unstable coronary
418 syndromes, stable angina, and asymptomatic small vessel ischemia. Chronic ischemia may
419 induce myocardial conditioning similar to the effect of IPC in our model. IPC has a substantial
420 anti-arrhythmic effect, and chronic ischemic events (in addition to the antiarrhythmic effects of
421 cardiovascular medications including beta-blockers³⁵) may prevent ischemic VF arrest and result
422 in both symptomatic infarcts and so-called “silent” infarcts. Infarcts contribute to progressive LV
423 dysfunction, which likely predisposes patients to PEA arrest with their next ischemic insult.

424 Even distal territory ischemia promoted global myocardial preconditioning and exerted
425 an impressive anti-arrhythmic effect in our model. Many patients with coronary disease suffer
426 from chronic small vessel ischemia that is asymptomatic and undiscovered. When discovered,
427 revascularization is often contraindicated due to vessel size. This provides the perfect substrate
428 for global ischemic preconditioning and promotes LV dysfunction.

429 This is the third major new finding of this study: chronic ischemia and chronic LV
430 dysfunction provide the critical substrate and mechanism by which acute ischemia can convert
431 an otherwise ischemia-induced VF arrest into an ischemia-induced PEA arrest (Fig 8).

432

433 **Fig 8: Ischemic Preconditioning and Left Ventricular Dysfunction: a novel mechanism for**
434 **ischemic pulseless electrical activity.** Coronary artery disease and chronic ischemia (via stable
435 angina, unstable angina, and asymptomatic small vessel ischemia) cause ischemic
436 preconditioning, which increases the likelihood of arrhythmia-free survival from infarction.
437 Infarction leads to progressive left ventricular dysfunction and with ongoing ischemic

438 preconditioning, provides the necessary substrate for the development of pulseless electrical
439 activity with the next profound ischemic insult.

440

441 It should be no surprise then, that as incident heart failure continues to rise and more
442 people with chronic coronary disease are living longer with more severe ischemic disease (due to
443 improved therapies),³⁶ that the proportion of PEA cardiac arrest has also risen concomitantly
444 over the last half century.⁴⁻¹³

445

446 **Conclusions and Future Directions**

447 Ischemic preconditioning can delay or prevent the onset of ventricular tachyarrhythmias
448 in a swine model of acute coronary ischemia. A profound, acute, ischemic insult on a
449 background of chronic LV dysfunction reliably produces PEA arrest. This is the first animal
450 model of ischemic PEA arrest. Furthermore, ischemic preconditioning prior to acute ischemia in
451 the context of chronic LV dysfunction reliably produces *sustained* PEA arrest, similar to human
452 PEA arrest. This is a novel pathophysiologic mechanism for ischemia-related PEA arrest.

453 Substantial cardiac dysfunction is necessary for ischemic PEA. Despite the severity of
454 LV dysfunction, animals were doing well (by veterinary metrics, including responsiveness,
455 activity level, and oral intake) prior to the acute ischemic insult that induced PEA. This suggests
456 that PEA may also be reversible acutely. However, given the profound cardiac dysfunction
457 necessary for PEA, reversal of PEA likely requires a resuscitation algorithm that is just as
458 profound and/or aggressive. The currently practiced resuscitation algorithm is likely inadequate
459 to successfully treat PEA cardiac arrest, leading to today's abysmal survival rates.

460 We aim to use this ischemic PEA model to study the effects of novel and aggressive
461 techniques in the optimization of systemic/cerebral blood flow during cardiopulmonary
462 resuscitation, because improved blood flow leads to enhanced survival during cardiac
463 arrest.³⁷⁻⁴²

464

465 **Limitations:**

466 The main limitation of applying our study to human health is that swine are more prone
467 to ventricular arrhythmias in response to coronary ischemia than humans.⁴³ Thus, the
468 reproducibility of VF shown in our non-preconditioned animals may not be directly
469 generalizable to human coronary ischemia/VF. However, demonstrating that we can delay or
470 prevent VF in swine despite their susceptibility to arrhythmia is a significant finding.

471

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474

475

476 **References:**

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605

606 **Supplementary Digital Content Captions**

607 **Movie S1.** Baseline transthoracic echocardiogram – parasternal long axis view

608 **Movie S2.** Baseline transthoracic echocardiogram – parasternal short axis view

609 **Movie S3.** Pre-Final Occlusion; Chronic Left Ventricular Dysfunction – parasternal long axis

610 view

611 **Movie S4.** Pre-Final Occlusion; Chronic Left Ventricular Dysfunction – parasternal short axis

612 view

613 **Movie S5.** Pulseless Electrical Activity (PEA) during final occlusion – parasternal long axis

614 view

615 **Movie S6.** Pulseless Electrical Activity (PEA) during final occlusion – parasternal short axis

616 view

617

618

Animal Group	Animal ID	SBP (mmHg)	DBP (mmHg)	MAP (mmHg)	HR (bpm)	Time to PEA (mm:ss)	Time to VF (mm:ss)	Duration of PEA (mm:ss)
Group 1 Control No Preconditioning (n = 8)	C650	115	77	90	101	None	29:38	N/A
	D645	94	59	71	84	None	15:14	N/A
	E28	100	68	79	110	None	21:30	N/A
	E150	118	78	91	94	None	None	N/A
	E235	103	66	78	107	None	21:55	N/A
	G911	138	86	103	92	None	15:20	N/A
	G916	77	44	51	51	None	19:57	N/A
	G1018	91	50	64	98	None	21:13	N/A
Group 1 mean (min) ; for animals that had VF (7/8)						None	20.7± 4.9	N/A
Group 2 Single Vessel Ischemic Preconditioning (n = 8)	E462	109	51	70	64	None	None	N/A
	E482	116	65	82	60	None	31:58	N/A
	E483	92	53	66	74	None	42:20	N/A
	G752	116	82	93	107	None	None	N/A
	G815	92	68	76	103	None	None	N/A
	H63	106	58	74	88	None	None	N/A
	H124	94	46	62	95	None	None	N/A
	H125	109	67	81	68	None	None	N/A
Group 2 mean (min) ; for animals that had VF (2/8)						None	37.1±7.3	N/A
Group 3 Acute Ischemia in the setting of significant LV Dysfunction (n = 8)	G1015	100	58	72	83	0:17	11:29	11:12
	G1062	91	58	69	85	1:30	5:37	4:07
	G1146	108	66	80	97	1:10	22:45	21:35
	G1236	85	58	67	103	0:58	5:36	4:38
	G1288	70	44	53	71	0:50	16:59	16:09
	G1314	101	68	89	96	2:37	11:22	8:45
	H32	81	53	62	105	3:47	12:46	8:59
	H33	103	61	75	93	0:42	17:54	17:12
Group 3 mean (min) ; all animals had PEA with subsequent VF (8/8)						1.5±1.2	13.1±5.6	11.6±6.2
Group 4 Proximal Ischemic Preconditioning in the setting of significant LV Dysfunction (inadequate 2 min occlusions) (n = 4)	H236	92	58	69	81	1:56	13:24	11:28
	H268	97	58	71	88	1:33	9:38	8:05
	H311	82	54	63	99	1:13	8:29	7:16
	H385	87	54	65	86	1:20	10:44	9:24
Group 4 mean (min) ; all animals had PEA with subsequent VF (4/4)						1.5±0.3	10.6±2.1	9.1±1.8
Group 5 Distal Ischemic Preconditioning in the setting of significant LV Dysfunction (adequate 3 min occlusions) (n = 6)	G1017	88	58	68	83	1:49	43:28	41:39
	H237	107	77	87	104	3:33	32:48	29:15
	H461	99	62	74	106	1:52	34:11	32:19
	H463	76	52	60	102	3:54	None	Sustained
	H464	70	48	55	132	0:49	None	Sustained
	H538	97	57	70	91	1:35	24:36	23:01
Group 5 mean (min) ; all animals had PEA (6/6), subsequent VF occurred in 4/6						2.2±1.2	33.7±7.8	31.5±7.8

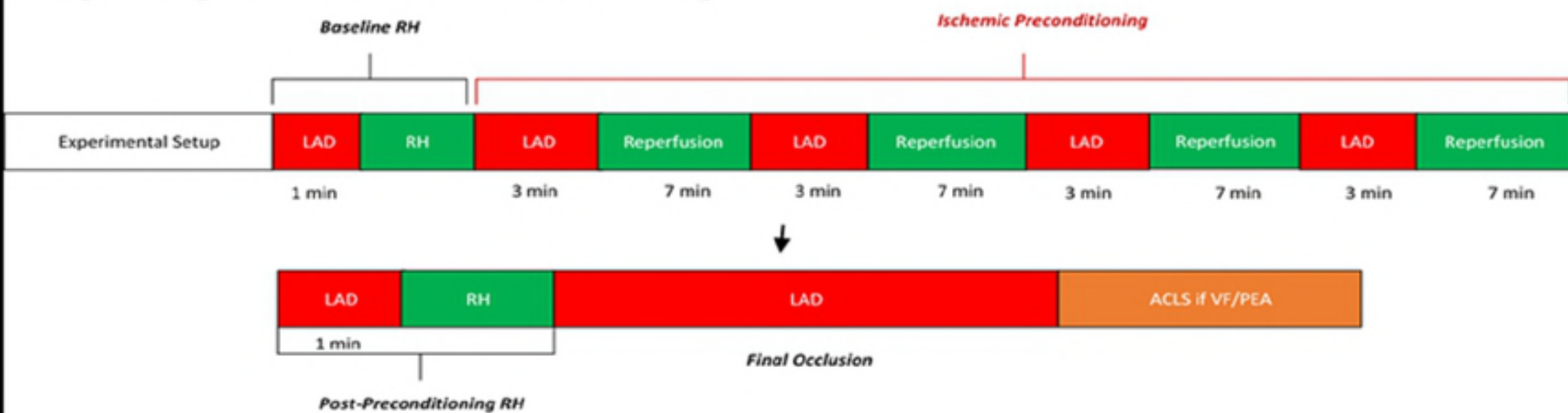
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Figure 1

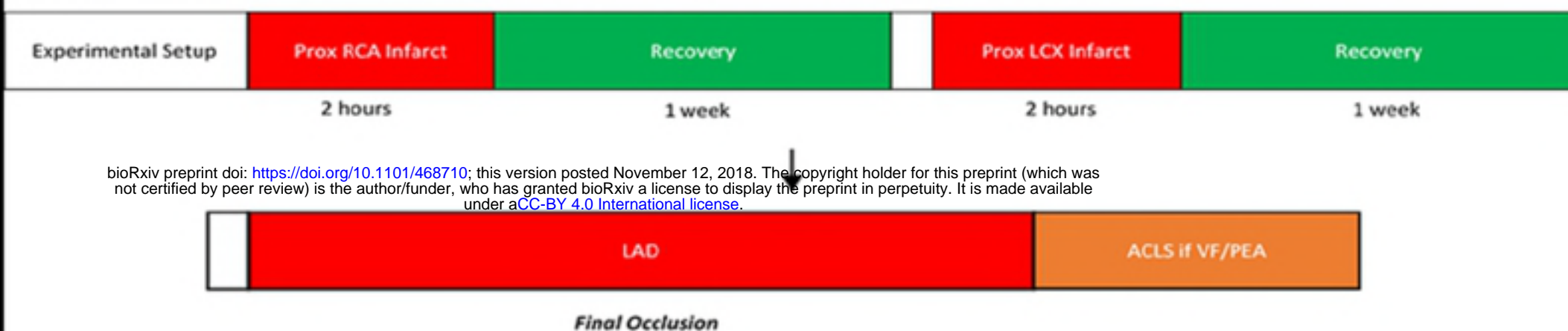
Group 1: No Preconditioning



Group 2: Single Vessel Ischemic Preconditioning

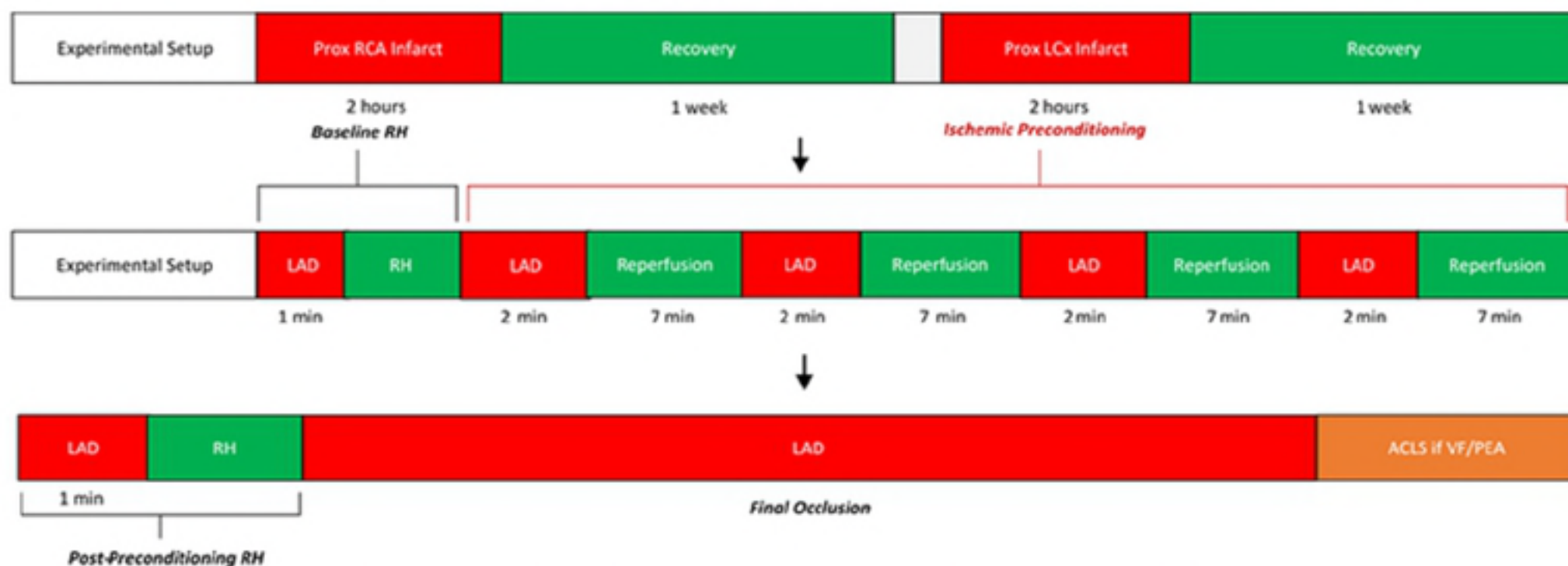


Group 3: Acute Ischemia in the setting of Significant LV Dysfunction



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Group 4: Proximal Ischemic Preconditioning in the setting of Significant LV Dysfunction



Group 5: Distal Ischemic Preconditioning in the setting of Significant LV Dysfunction

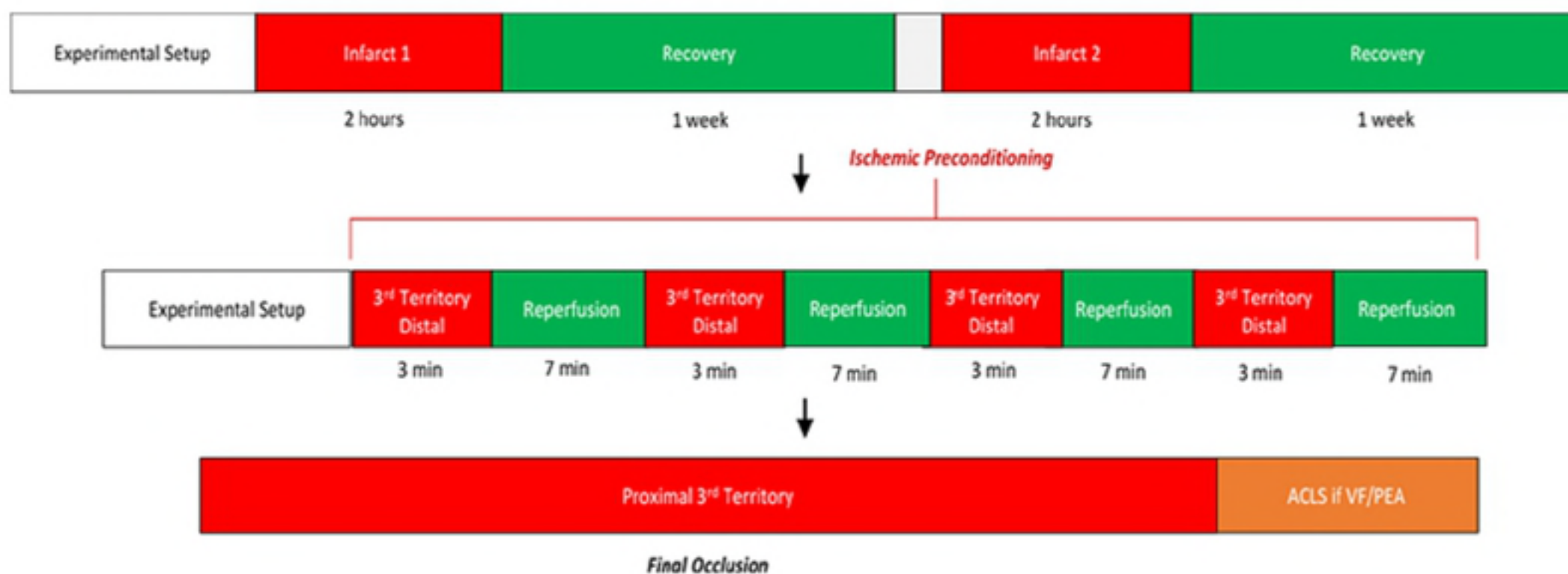


Figure 2

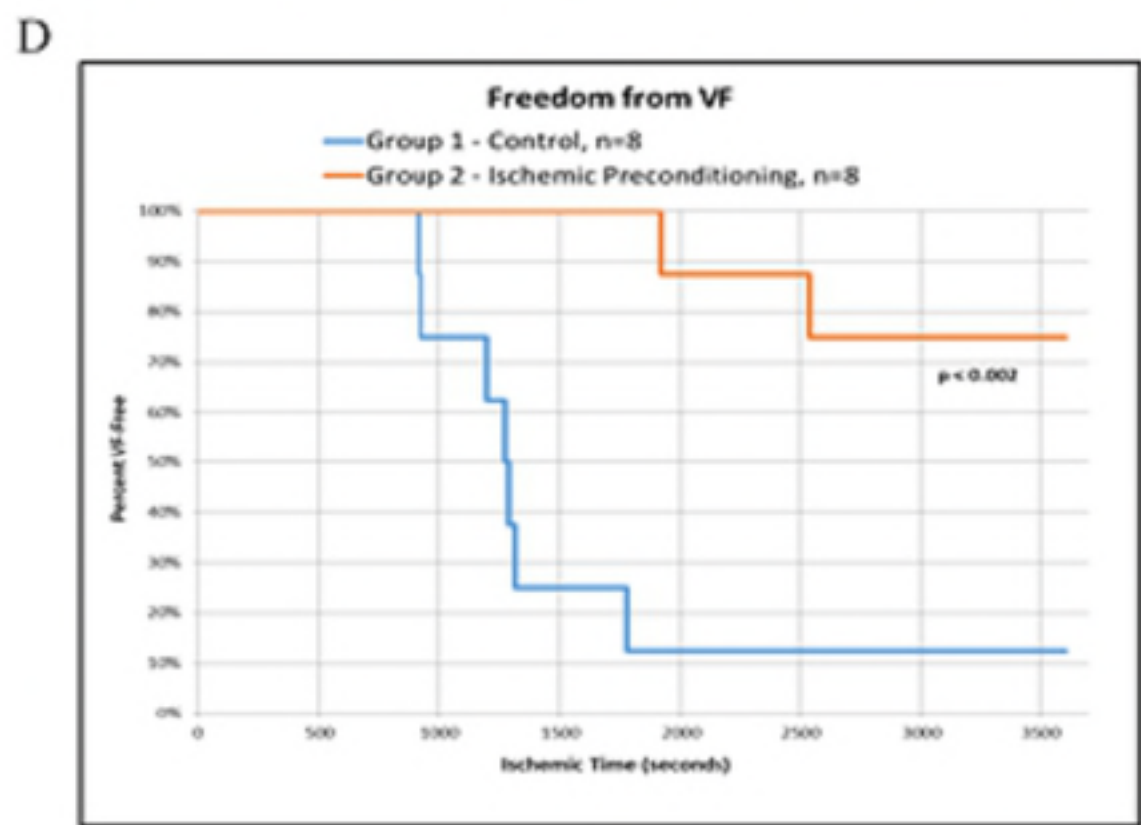
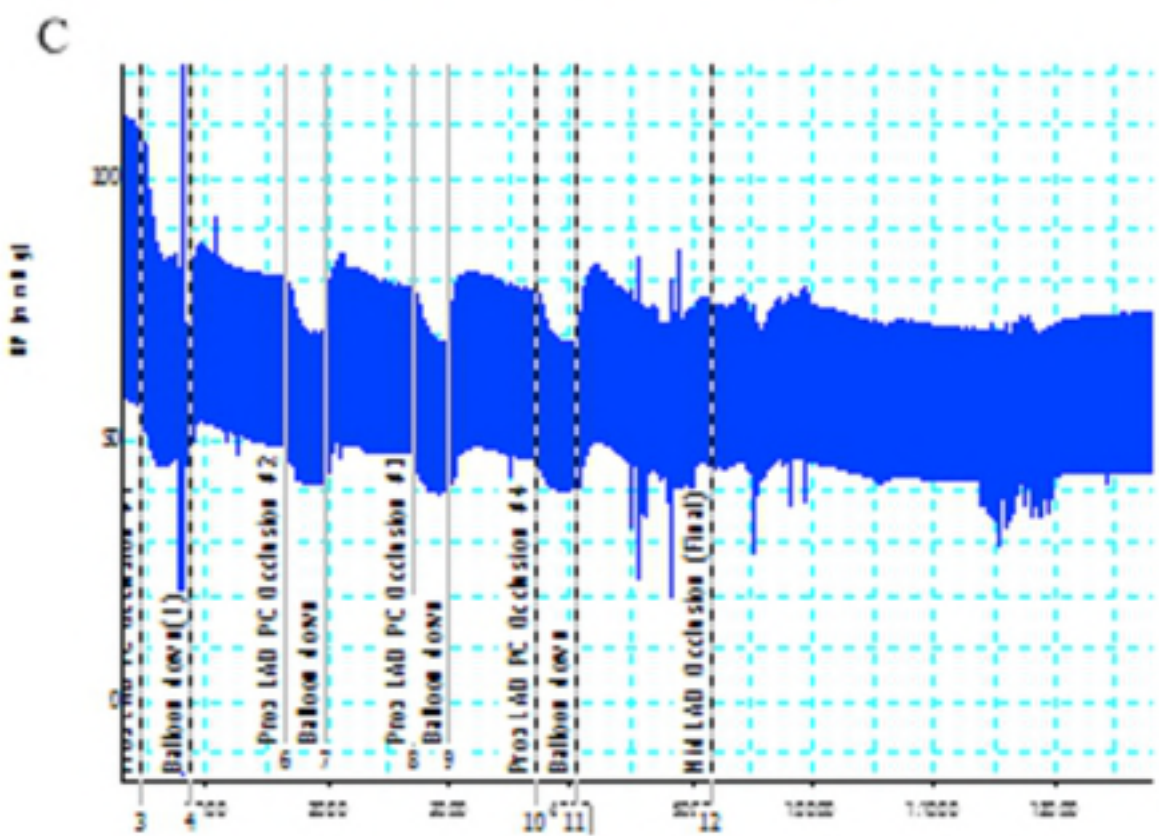
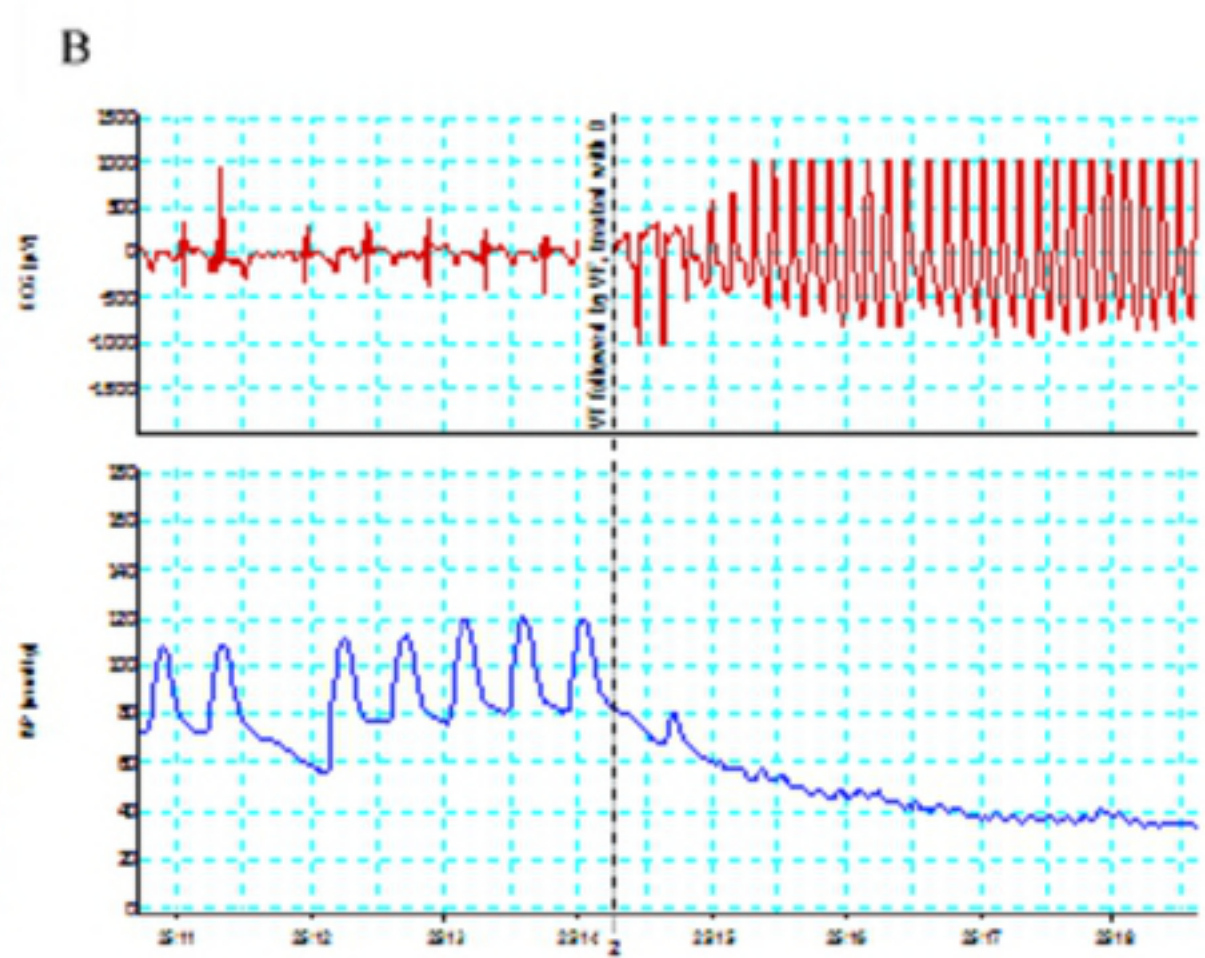
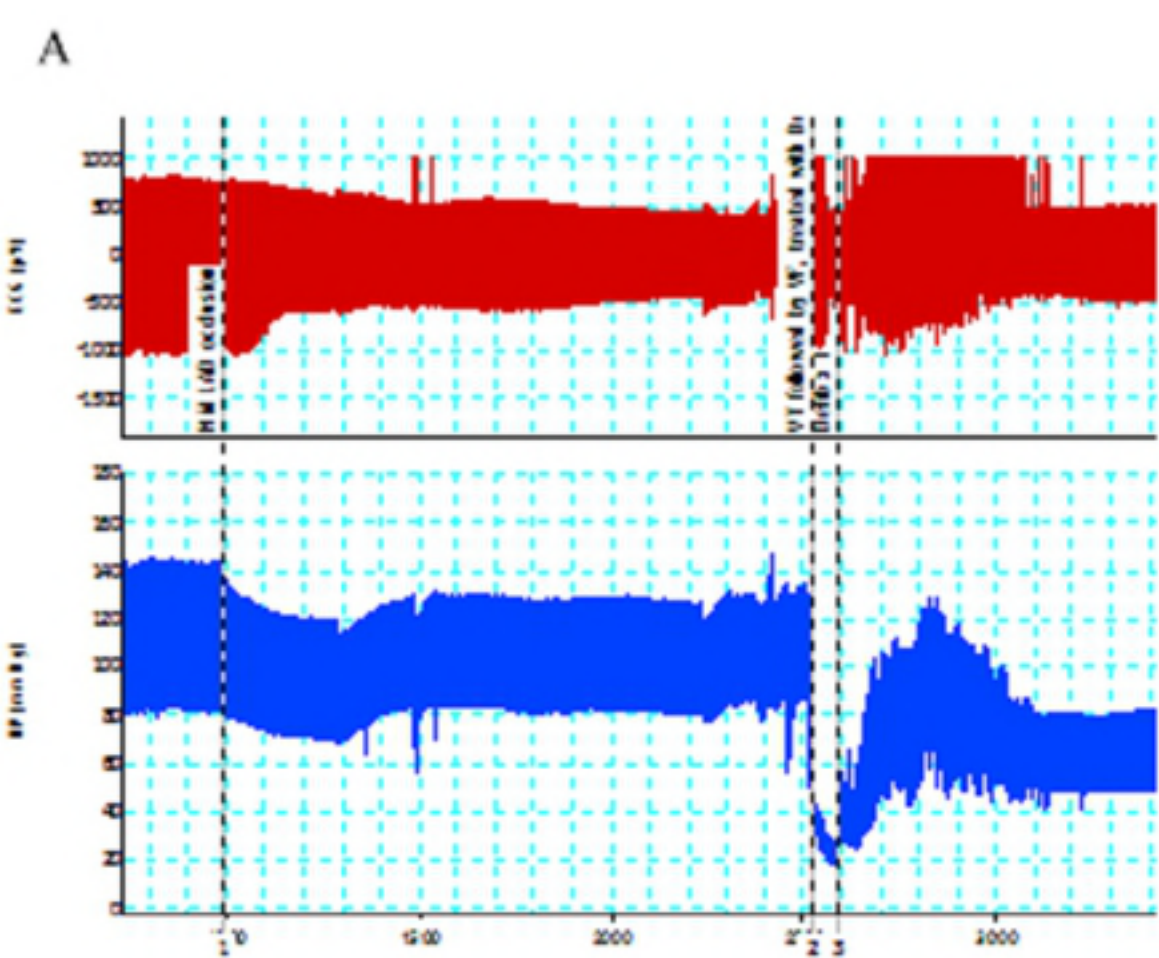
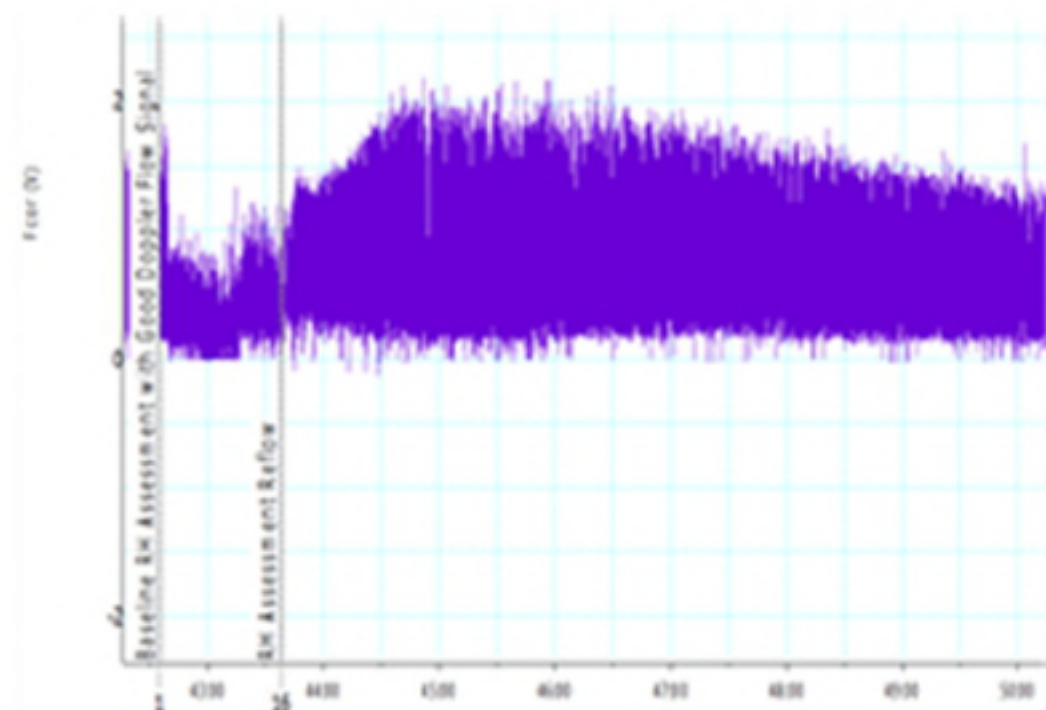


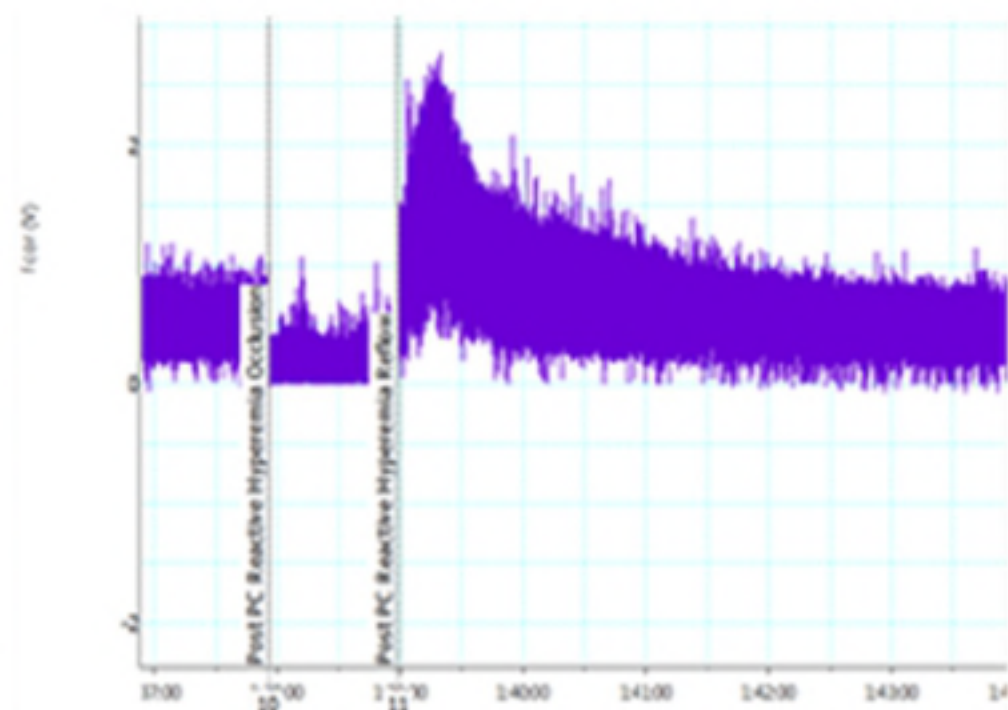
Figure 3

A

Baseline Reactive Hyperemia Response



Reactive Hyperemia Response after IPC



B

Time to Peak Coronary Flow (n=10)

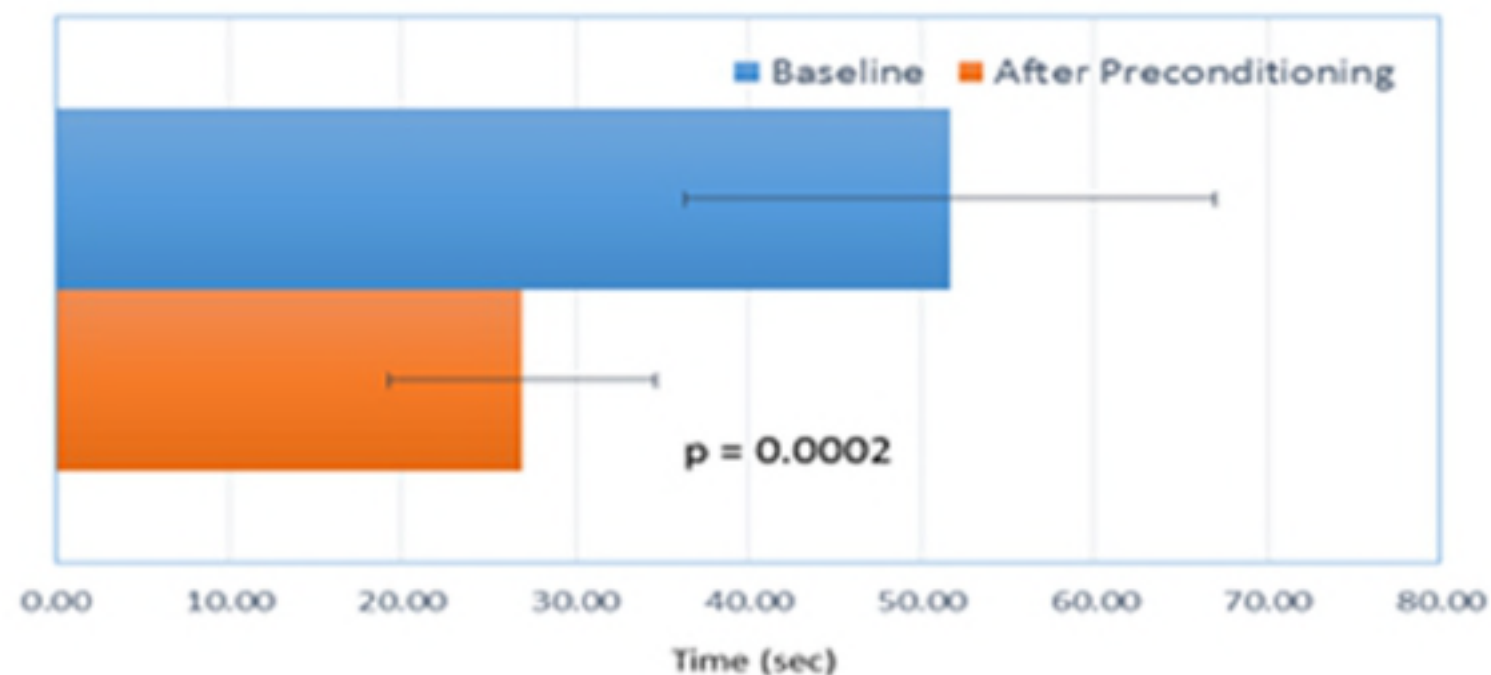


Figure 4

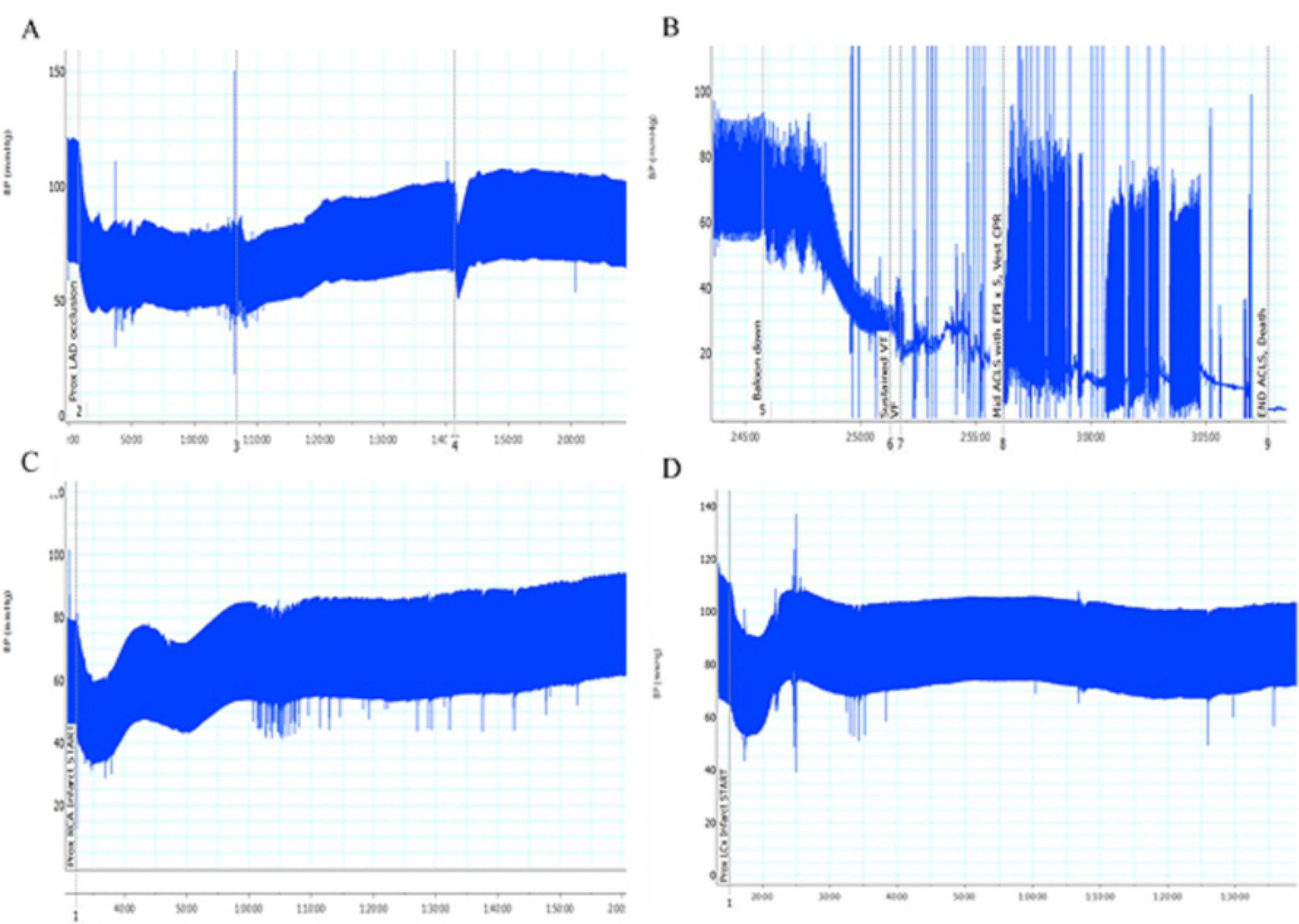


Figure 5

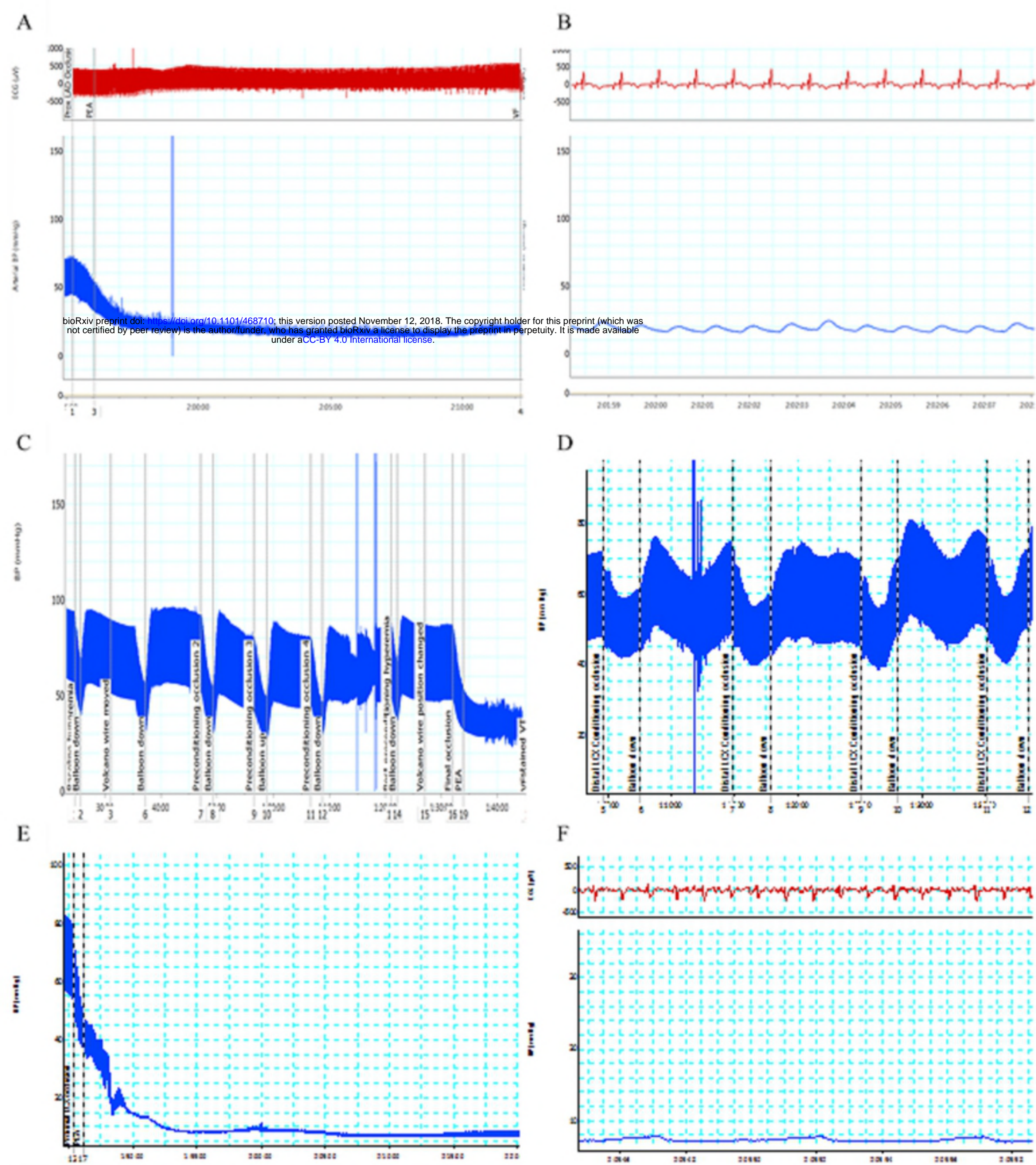
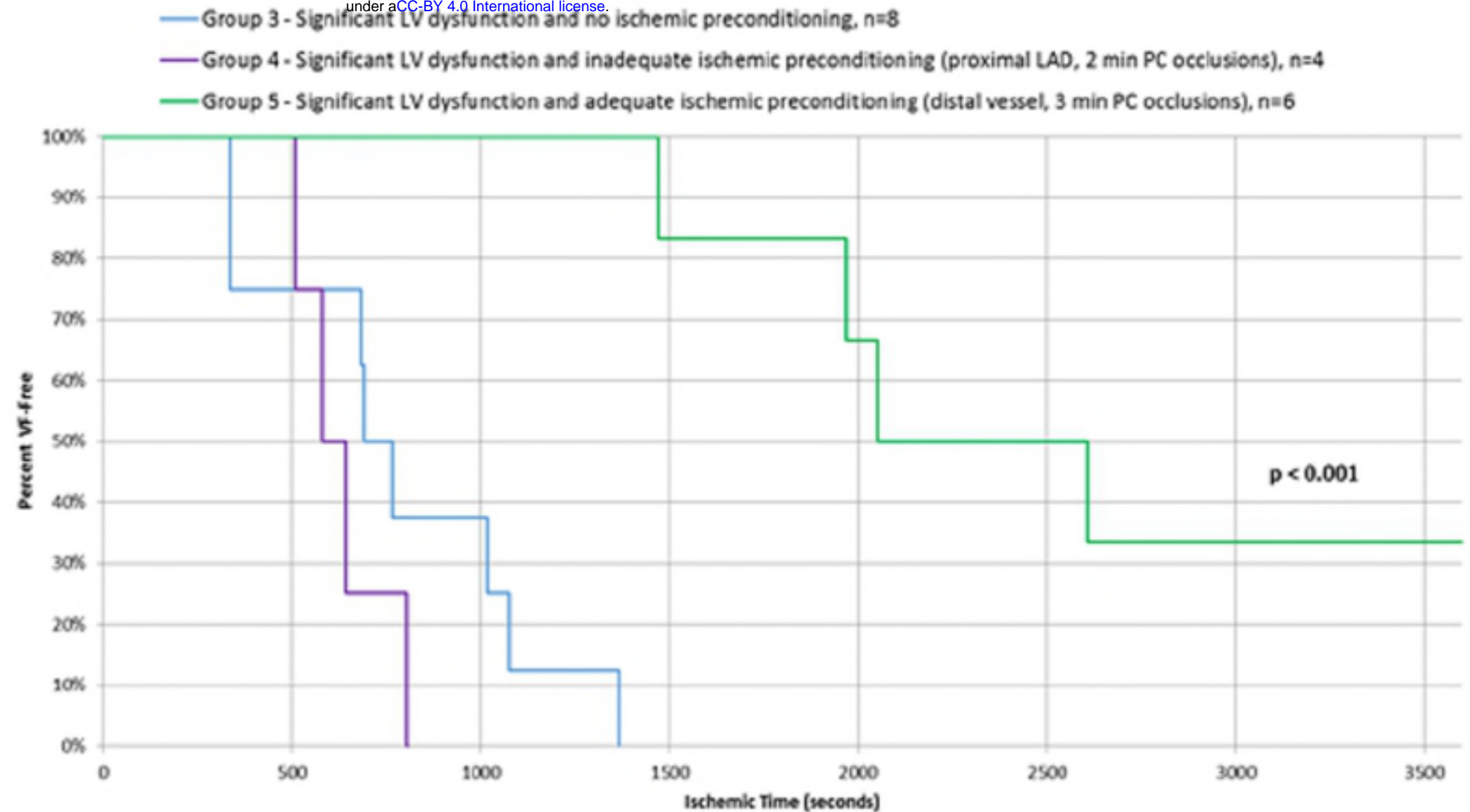


Figure 6

A

Freedom from VF

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B

	Prior to Final LAD occlusion	During PEA
Ejection Fraction (%)	15 ± 5	5 ± 3

	Baseline	During PEA
Cardiac Output (L/min)	5.0 ± 0.8	1.6 ± 0.4

Figure 7

Coronary Artery
Disease

Angina

- Stable Angina
- Unstable Angina
- Chronic Small Vessel Ischemia

Infarction

Ischemic
Preconditioning

Left Ventricular
Dysfunction

Acute Ischemic Insult

Pulseless Electrical
Activity

Figure 8

