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# 1 Revisiting tradeoffs in Rubisco kinetic parameters

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# 12 Abstract

13 Rubisco is the most abundant enzyme in the biosphere and one of the best-characterized

14 enzymes. Based on correlations between Rubisco kinetic parameters, it is widely posited that

15 tradeoffs embedded in the catalytic mechanism constrain its specificity and maximum catalytic

16 rate. However, the reasoning that established this view was based on data from  $\approx$ 20 organisms.

17 We re-examine these tradeoff models using a dataset from ≈300 organisms. Most correlations

are substantially attenuated, with the inverse relationship between carboxylation  $k_{cat}$  and specificity S<sub>C/O</sub> being a key example. Only one tradeoff model survives in our dataset. In this model, increasing catalytic efficiency ( $k_{cat}/K_M$ ) for carboxylation requires increased catalytic efficiency for the competing oxygenation reaction, evidenced by strong power-law correlation between catalytic efficiencies. Our results imply that Rubisco evolution is constrained primarily by the physicochemical limits of O<sub>2</sub>/CO<sub>2</sub> discrimination, which should reframe efforts to engineer

24 this very central enzyme.

# 25 Introduction

26 Ribulose-1,5-Bisphosphate Carboxylase/Oxygenase (Rubisco) is the primary carboxylase of the 27 Calvin-Benson-Bassham (CBB) cycle - the carbon fixation cycle responsible for growth 28 throughout the green lineage and many other autotrophic taxa - and the ultimate source of 29 nearly all carbon atoms entering the biosphere (Raven, 2013). Typically, 20-30% of total soluble 30 protein in C3 plant leaves is Rubisco (Galmés et al., 2014). As Rubisco is so highly expressed 31 and plants are the dominant constituents of planetary biomass (Bar-On et al., 2018), it is often 32 said that Rubisco is the most abundant enzyme on Earth (Raven, 2013). Since Rubisco is 33 ancient (>2.5 billion years old), abundant, and remains central to biology, one might expect it to 34 be exceptionally fast. But Rubisco is not fast (Bar-Even et al., 2011; Bathellier et al., 2018; Savir 35 et al., 2010; Shih et al., 2016; Tcherkez et al., 2006). Typical central metabolic enzymes have a

36 maximum catalytic rate  $k_{cat} \approx 80 \text{ s}^{-1}$  (Bar-Even et al., 2011), but more than 95% of Rubisco 37 carboxylation  $k_{cat}$  values are between 1-10 s<sup>-1</sup>.

39 In addition to relatively low carboxylation  $k_{cat}$  values, all known Rubiscos are capable of reacting 40 "wastefully" with  $O_2$  in a process called oxygenation (Figure 1A-B). Both carboxylation and 41 oxygenation of the Rubisco substrate ribulose 1,5-bisphosphate (RuBP) are energetically 42 favorable, but only carboxylation is considered productive because it incorporates carbon from 43 CO<sub>2</sub> into precursors that can generate biomass (Figure 1AB). Oxygenation is considered 44 counterproductive as it occupies Rubisco active sites and yields a product (2-phosphoglycolate, 45 2PG) that is not part of the CBB cycle and must be recycled through metabolically-expensive 46 photorespiration at a partial loss of carbon (Bauwe et al., 2010; Busch et al., 2017). As such, 47 oxygenation by Rubisco can substantially reduce the net rate of carboxylation. There are at 48 least four distinct Rubisco isoforms in nature (Jaffe et al., 2018; Liu et al., 2017), but all known 49 Rubiscos catalyze both carboxylation and oxygenation of RuBP through the multistep mechanism described in Figure 1A (Andersson, 2008; Cleland et al., 1998). Despite the fact that 50 51 many autotrophs depend on Rubisco carboxylation for growth, all known Rubiscos are relatively 52 slow carboxylases and fail to exclude oxygenation (Figure 1A-B).

53

As a concrete example, the fastest-carboxylating Rubisco ever observed (at 25 °C) is from the freshwater cyanobacterium *S. elongatus* PCC 7942 (Occhialini et al., 2016). The PCC 7942 Rubisco has a maximum per-active site carboxylation rate ( $k_{cat,C}$ ) of 14.4 s<sup>-1</sup>. However, because present day atmosphere contains abundant O<sub>2</sub> and relatively little CO<sub>2</sub> (≈21% O<sub>2</sub>, ≈0.04% CO<sub>2</sub>),

58 this Rubisco carboxylates at a rate 20-fold below maximum in ambient conditions ( $\approx 0.7 \text{ s}^{-1}$  per active site, rate law in Figure 1A). Due to its relatively low specificity towards CO<sub>2</sub>, PCC 7942 59 Rubisco will also oxygenate RuBP in ambient conditions at a rate (≈0.3 s<sup>-1</sup>) that is about half the 60 61 carboxylation rate, which would necessitate substantial photorespiratory flux to recycle 2PG. As 62 downstream processing of 2PG by the canonical C<sub>2</sub> photorespiratory pathway leads to the loss of one carbon for every two 2PG (Bauwe et al., 2010; Busch et al., 2017), every two 63 64 oxygenations "undoes" a carboxylation. As such, the net rate of carboxylation by PCC 7942 65 Rubisco is roughly  $R_c - R_0/2 \approx 0.7 - 0.15 = 0.55$  carboxylations per second, more than 25 times less than k<sub>cat.C</sub>. Indeed, all known cyanobacteria use a CO<sub>2</sub>-concentrating mechanism (CCM) to 66 67 ensure that Rubisco functions in a CO<sub>2</sub>-rich environment. High CO<sub>2</sub> ensures that oxygenation is 68 inhibited and that carboxylation proceeds at near-maximum rate (Mangan et al., 2016; Reinhold 69 et al., 1991). Just tenfold enrichment of  $CO_2$  above ambient would increase the carboxylation rate of PCC 7942 Rubisco to  $\approx 5 \text{ s}^{-1}$  and suppress oxygenation to  $\approx 0.2 \text{ s}^{-1}$  per active site, giving 70

- 71 a net carboxylation rate of  $\approx 4.6 \text{ s}^{-1}$  per active site.
- 72

For comparison, the well-studied Rubisco from spinach leaves (*S. oleracea*) carboxylates much

74 more slowly ( $k_{cat,C} \approx 3 \text{ s}^{-1}$ ) but also has much greater affinity towards CO<sub>2</sub> than the *S. elongatus* 

75 enzyme (half-maximum CO<sub>2</sub> concentration  $K_C \approx 12 \ \mu M$  for spinach as compared to  $K_C \approx 170 \ \mu M$ 

<sup>38</sup> 

76 for S. elongatus PCC 7942). As a result, the spinach enzyme outperforms the cyanobacterial enzyme in present day atmosphere, achieving a carboxylation rate of  $\approx 1.2$  s<sup>-1</sup> and an 77 oxygenation rate of  $\approx 0.4 \text{ s}^{-1}$ . This represents a net carboxylation rate of  $\approx 1 \text{ s}^{-1}$ , nearly double 78 79 that of the cyanobacterial example above. Spinach is a C3 plant, meaning it does not have a 80 CO<sub>2</sub> concentrating mechanism, which likely explains why it evolved to use a slow-but-specific 81 enzyme for catalysis in ambient conditions. Still, many other enzymes catalyze far more than 82 1.2 reaction per second (Bar-Even et al., 2011), which leads many to wonder if Rubisco 83 catalysis could be improved by engineering. Improved Rubisco carboxylation is expected to 84 increase C3 crop yields (Zhu et al., 2010), but a substantially improved enzyme has evaded bioengineers for decades (Spreitzer and Salvucci, 2002). The repeated evolution of diverse 85 86 CCMs, which modulate the catalytic environment rather than the enzyme itself, raises further 87 doubts about whether Rubisco catalysis can be strictly improved (Raven et al., 2017).

88

89 Various nomenclature has been used to describe the kinetics of Rubisco carboxylation and 90 oxygenation (Pierce et al., 1986; Savir et al., 2010; Tcherkez et al., 2006) since its discovery in 91 the 1950s (Wildman, 2002). Here we use k<sub>cat,C</sub> and k<sub>cat,O</sub> to denote turnover numbers (maximum per active site catalytic rates in units of  $s^{-1}$ ) for carboxylation and oxygenation respectively. K<sub>c</sub> 92 and Ko denote the Michaelis constants (half-saturation concentrations in µM units) for 93 94 carboxylation and oxygenation. The specificity factor  $S_{C/O} = (k_{cat,C}/K_C) / (k_{cat,O}/K_O)$  is a unitless 95 measure of the relative preference for  $CO_2$  over  $O_2$  (Figure 1A-C). Since  $S_{C/O}$  relates only to the ratio of kinetic parameters, it should be noted that higher S<sub>C/O</sub> does not necessarily imply higher 96 97 carboxylation rates. Rather, absolute carboxylation and oxygenation rates depend on the  $CO_2$ 98 and O<sub>2</sub> concentrations which can vary between organisms.

99

100 As data on bacterial, archaeal and plant rubiscos has accumulated over the decades, many 101 researchers have noted that fast-carboxylating Rubiscos are typically less CO<sub>2</sub>-specific 102 (Bainbridge et al., 1995; Jordan and Ogren, 1981; Parry et al., 1989). In other words, Rubiscos 103 with high  $k_{cat,C}$  were observed to have lower  $S_{C/O}$  due either to lower  $CO_2$ -affinity (high  $K_C$ ) or 104 higher catalytic efficiency towards O<sub>2</sub> (k<sub>cat.0</sub>/K<sub>0</sub>). This negative correlation between k<sub>cat.C</sub> and S<sub>C/0</sub> 105 is often cited to motivate the idea that the Rubisco mechanism imposes a tradeoff between 106 carboxylation rate and specificity that constrains the evolution of this enzyme (Bainbridge et al., 107 1995; Savir et al., 2010; Shih et al., 2016; Tcherkez et al., 2006). Indeed, if the Rubisco 108 mechanism imposes a tradeoff between  $k_{cat,C}$  and  $S_{C/O}$  we would expect strong correlation 109 between those parameters because Rubisco is so central to autotrophic life and has, therefore. 110 likely experienced strong selection pressure. As diagrammed in Figure 1C, strong selection for 111 Rubisco carboxylation could in theory push the enzyme towards a point where its kinetics can 112 be improved no further. Since different kinetics are preferable in different  $CO_2$  and  $O_2$ 113 concentrations (as described above) strong selection is claimed to produce a situation in which 114 the kinetics of natural enzymes trace out a curve determined by the underlying tradeoff (Savir et 115 al., 2010; Shoval et al., 2012; Tcherkez et al., 2006). 116

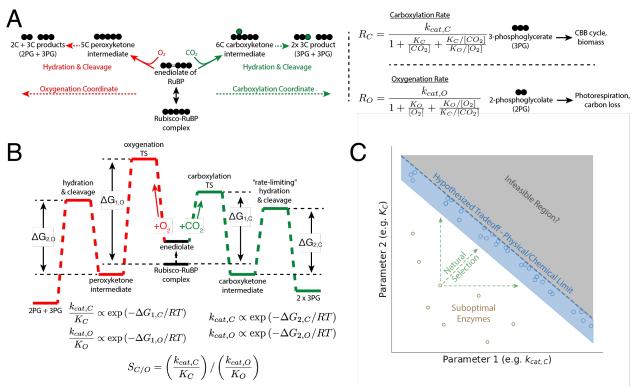
Two distinct tradeoff models have been proposed to explain the observed correlations between
 Rubisco kinetic parameters (Savir et al., 2010; Tcherkez et al., 2006). Although the proposed

models are substantively different, both models imply limitations on the concurrent improvement

- of the maximum carboxylation rate ( $k_{cat,C}$ ) and specificity ( $S_{C/O}$ ) of natural Rubiscos. While these hypotheses appeal to physical and chemical intuition, they are based on data from only  $\approx$ 20 organisms. Here we take advantage of the accumulation of new data - more than 200 Rubisco variants have been characterized since 2010 - to examine whether new data evidence the same correlations. We find that most previously-reported correlations between Rubisco kinetic parameters are substantially attenuated by the addition of new data, with the negative correlation between  $k_{cat,C}$  and specificity  $S_{C/O}$  being a key example.
- 128 Only one previously-reported correlation remains both strong and statistically significant in the 129 extended dataset - a power-law correlation between the catalytic efficiency for carboxylation 130  $(k_{cat,C}/K_C)$  and the catalytic efficiency for oxygenation  $(k_{cat,C}/K_C)$  first reported in (Savir et al., 131 2010). We propose a simple physico-chemical model based on the Rubisco mechanism that 132 can explain this very strong correlation. In this model, variation in catalytic efficiency ( $k_{cat.C}/K_C$ 133 and  $k_{cat,O}/K_O$ ) derives solely from gating substrate access to the active site complex, which could 134 help explain why Rubisco has been so recalcitrant to improvement by mutagenesis and rational 135 engineering.



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**Figure 1:** Description of the catalytic mechanism of Rubisco. The "middle-out" diagram in Panel A shows the ordered mechanisms of carboxylation and oxygenation. Circles represent carbon atoms. RuBP is first isomerized to an enediolate before carboxylation or oxygenation can occur. Addition of CO<sub>2</sub> or O<sub>2</sub> to the enediolate of RuBP are considered irreversible as are the subsequent hydration and cleavage steps of carboxylation and oxygenation arms. Carboxylation displays effective Michaelis-Menten kinetics

143 (maximum catalytic rate  $k_{cat,C}$ , half-maximum CO<sub>2</sub> concentration  $K_M = K_C$ ) with competitive inhibition by O<sub>2</sub> 144 (assuming half-maximum inhibitory  $O_2$  concentration  $K_i = K_0$ ). Carboxylation results in net addition of one 145 carbon to the five-carbon RuBP, which produces two 3PG molecules. 3PG is part of the CBB cycle and 146 can therefore be used to continue the cycle and produce biomass. Oxygenation also displays effective 147 Michaelis-Menten kinetics ( $K_{cat.O}$ ,  $K_M = K_O$ , half-max inhibitory CO<sub>2</sub> concentration  $K_I = K_C$ ). Oxygenation of 148 RuBP produces one 3PG and one 2PG. 2PG is not part of the CBB cycle and must be recycled through 149 photorespiration to avoid the loss of both carbons in 2PG to central metabolism. Per-active site rates of 150 carboxylation ( $R_c$ ) and oxygenation ( $R_o$ ) can be calculated from kinetic parameters and the CO<sub>2</sub> and O<sub>2</sub> 151 concentrations. The reaction coordinate diagram in panel (B) mirrors panel A and describes Rubisco 152 carboxylation and oxygenation as a function of two "effective" barriers as in (Savir et al., 2010). The first 153 effective barrier describes enolization and gas addition while the second describes hydration and bond 154 cleavage. Given standard assumptions (described in SI), the respective catalytic efficiencies ( $k_{cat}/K_M$ ) are 155 related to the height of the first effective barrier while the k<sub>cat</sub>s are related to the second. The first barrier 156 to oxygenation is drawn higher than for carboxylation because Rubisco oxygenation is typically much 157 slower than carboxylation. The net reactions of RuBP carboxylation and oxygenation are both quite 158 thermodynamically favorable ( $\Delta_r G^m \approx -28 \text{ kJ/mol}$  and -520 kJ/mol respectively (Flamholz et al., 2012)). As 159 kinetic parameters are linearly related to the log of effective energy barriers, energetic tradeoffs should 160 manifest as linear correlations in a log-log plot of kinetic parameters (C). As Rubisco is central to 161 photoautotrophic growth, we expect that natural selection has pushed the enzyme towards the upper 162 limits of its catalytic capacity - i.e. towards the blue shaded region.

## 163 Results

## 164 An extended dataset of Rubisco kinetic parameters

To augment existing data, we collected literature data on ≈300 Rubiscos including representatives of clades and physiologies that had been poorly represented in earlier datasets e.g. diatoms, ferns, CAM plants and anaerobic bacteria (Figure 2A). We collected kinetic parameters associated with carboxylation and oxygenation - S, K<sub>C</sub>, k<sub>cat,C</sub>, K<sub>O</sub> and k<sub>cat,O</sub> - as well as measurements of the RuBP Michaelis constant (half-maximum RuBP concentration, K<sub>RuBP</sub>) and experimental uncertainty for all values where available. All data considered were measured at 25 °C and near pH 8 to ensure that measured values are comparable (Methods).

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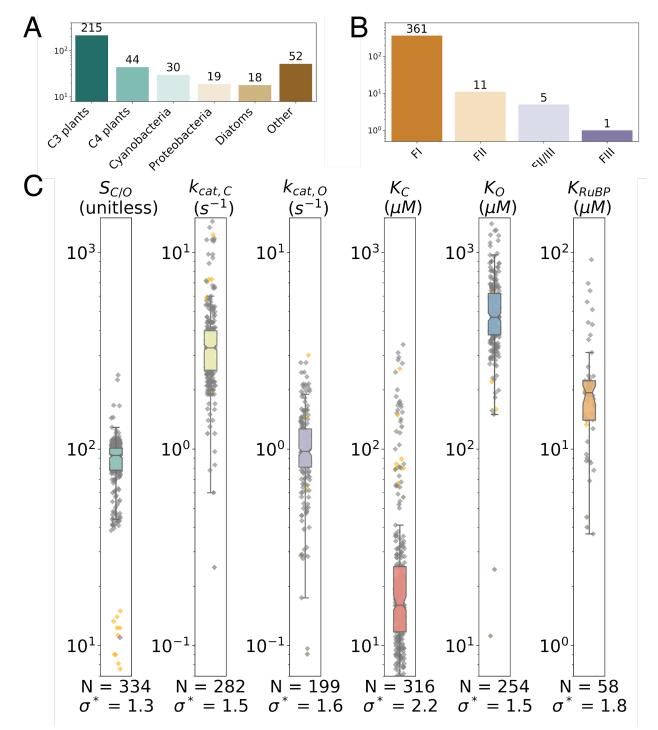
173 The resulting dataset contains Rubisco kinetic parameters from a total of 286 distinct species including 319 S<sub>C/O</sub> values, 275 k<sub>cat,C</sub> values, 310 K<sub>C</sub> values, 198 k<sub>cat,O</sub> values and 256 K<sub>O</sub> values 174 175 (Figure 2B). The Michaelis constant for RuBP is not measured frequently and so only 45 values 176 were extracted. In 198 cases there was sufficient data to calculate catalytic efficiencies for 177 carboxylation ( $k_{cat,C}/K_C$ ) and oxygenation ( $k_{cat,C}/K_O$ , Methods). Though the data include 178 measurements of some Form II, III and II/III Rubiscos, they remain highly focused on the Form I 179 Rubiscos found in cyanobacteria, diatoms, algae and higher plants, which make up > 95% of 180 the dataset (Figure 2B). As such, we focus here on the kinetic parameters of Form I Rubiscos.

181

182 Rubisco kinetic parameters display very narrow dynamic range, with geometric standard 183 deviations being well-below one order-of-magnitude for all parameters (Figure 2C). The 184 geometric standard deviation, denoted  $\sigma^*$ , expresses multiplicative variability in the dataset.

- 185 Rubisco displays extremely low variation in  $k_{cat,C}$  ( $\sigma^* = 1.5$ ), especially in comparison to other
- enzymes for which > 20  $k_{cat}$  measurements are available (Figure S4). The median  $\sigma^*$  for these
- 187 other enzymes is 6.9, more than fourfold higher than for Rubisco. Specificity  $S_{C/O}$  displays the
- 188 least variation ( $\sigma^*$  = 1.3) of all parameters, though this may be due in part to overrepresentation
- 189 of C3 plants in the dataset, which occupy a narrow range of  $S_{C/O} \approx 80-120$ . Nonetheless,
- 190 measurements of S<sub>C/O</sub> for Form I and Form II enzymes are clearly distinct in this dataset, with
- values ranging from and 7-15 for Form IIs and roughly 50-200 for Form Is (Figure 2C, SI).

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193 Figure 2: Summary of the full extended dataset. We collected measurements of Rubisco kinetic 194 parameters from a variety of organisms (A) representing four classes of Rubisco isoforms (B). The bulk of 195 data represent Form I enzymes from green lineage organisms (A-B). As shown in panel C, the assembled 196 kinetic parameters display narrow dynamic range. The box-plot and grey points describe the distribution 197 of Form I Rubiscos while Form II Rubiscos are in vellow. Whiskers show the range of parameter values 198 among Form 1 enzymes, the colored box gives the range of the central 50% of Form I values and the 199 notch indicates the median. N is the number values and the geometric standard deviation of Form I data 200 is reported as  $\sigma^*$ .  $\sigma^* < 3$  for all parameters, meaning that a single standard deviation varies over less than

threefold. All data presented in this figure are from wild-type Rubiscos measured at 25 °C near pH 8.
More detailed histograms are given in Figure S3.

## 203 Energetic Tradeoffs Tend to Produce Power-Law Correlations

204 All measured kinetic parameters ( $S_{C/O}$ ,  $k_{cat,C}$ ,  $K_C$ ,  $k_{cat,O}$  and  $K_O$ ) are by definition mathematically 205 related to the microscopic rate constants of the Rubisco mechanism (SI). Given common 206 assumptions about irreversible and rate limiting steps (elaborated below and in the SI), this 207 multi-step mechanism can be simplified so that logarithms of measured kinetic parameters are 208 proportional to effective transition state (TS) barriers (Figure 1B, SI). As such, tradeoffs between 209 kinetic parameters are expected to emerge if effective TS barriers are constrained to vary 210 together (Figure 1C). If, for example, lowering the effective TS barrier to  $CO_2$  addition ( $\Delta G_{1,C}$ ) 211 requires an increase to the effective barrier to the subsequent hydration and cleavage steps of 212 carboxylation ( $\Delta G_{2,C}$ ), then we should observe a negative linear correlation between these 213 barrier heights such that  $\Delta G_{1,C} \propto -\Delta G_{1,C}$ . Since, as shown in Figure 1B,  $k_{cat,C}/K_C$  is related to

214 the first effective carboxylation barrier ( $ln(k_{cat,C}/K_C) \propto -\Delta G_{1,C}$ ) and  $k_{cat,C}$  to the second ( $ln(k_{cat,C}) \propto -\Delta G_{1,C}$ )

215  $\Delta G_{2,C}$ ), linear correlation between transition state barrier energies should translate into log-scale

216 correlation between kinetic parameters such that  $ln (k_{cat,C}/K_C) \propto -ln (k_{cat,C})$ . These log-linear

relationships are known as power laws and motivate us and others to investigate the kinetic parameters on a log-log scale.

219

220 We expect to observe strong power-law correlations between pairs of kinetic parameters when 221 three conditions are met: (I) the associated energy barriers are subject to a tradeoff that forces 222 them to vary together; (II) these constraints affect the net rate of carboxylation by Rubisco; and 223 (III) the selection pressure imposed during Rubisco evolution was sufficient to reach the limits 224 imposed by the tradeoff (as diagrammed in Figure 1C). As Rubisco is the central enzyme of 225 photoautotrophic growth, we assume here that it evolved under selection pressure towards 226 maximizing the net rate of carboxylation in each host (Savir et al., 2010). Notably, different host 227 physiologies and growth environments can affect the catalytic environment Rubisco experiences 228 - Rubiscos in different organisms experience different temperature, pH and prevailing CO<sub>2</sub> and 229  $O_2$  concentrations (e.g. due to an anaerobic host or a CCM enriching  $CO_2$ ), which we expect to 230 select for different combinations of kinetic parameters (Figure 1C).

## 231 Correlations between kinetic parameters of Form I Rubiscos

As in (Savir et al., 2010; Tcherkez et al., 2006) we performed a correlation analysis to investigate relationships between Rubisco kinetic parameters. Pairwise correlations between log-transformed Form I Rubisco kinetic parameters are given in Figure 3 (linear scale correlations are reported in Figure S8).

236

Correlations between  $k_{cat,C}$  and  $S_{C/O}$  as well as  $k_{cat,C}$  and  $K_C$  were previously highlighted to support particular mechanistic tradeoff models (Savir et al., 2010; Tcherkez et al., 2006). In

239 previous analyses these pairs correlated very strongly, with Pearson correlation coefficients R ≈

240 0.9 for both. However, both correlations are substantially attenuated by the addition of new data (R  $\approx$  0.6, Figure 3). Figure 4 inspects these two correlations in greater detail. Figure 4A plots 241 242 k<sub>cat,C</sub> against S<sub>C/O</sub> and shows that these parameters are only modestly correlated in the 243 extended dataset, with R  $\approx$  0.6 (and extremely sensitive to outliers) as compared to R  $\approx$  0.9 in 244 previous analyses (Savir et al., 2010; Tcherkez et al., 2006). Similarly, Figure 4B plots k<sub>cat,C</sub> 245 against K<sub>C</sub> and shows that this correlation is also weakened, with R  $\approx$  0.5 as compared to R  $\approx$ 246 0.9 in previous work (Savir et al., 2010). We interpret the weakened correlations detailed in 247 Figures 3-4 as evidence that previously proposed tradeoffs may need to be experimentally 248 revisited. Examining Figure 3 shows that only one pair of parameters, k<sub>cat.C</sub>/K<sub>C</sub> and k<sub>cat.O</sub>/K<sub>O</sub>, 249 correlate with R > 0.7 on a log scale. This correlation between catalytic efficiencies for 250 carboxylation and oxygenation is the strongest observed by far (R = 0.93, P <  $10^{-10}$ , Figure 3). 251 We discuss possible explanations for this very strong correlation in detail below.

252

253 One might wonder why so many pairs of Rubisco kinetic parameters correlate with appreciable 254 R values (e.g. R > 0.3 for 11 of 28 pairs in Figure 3). We note that some level of correlation is 255 expected because the measured parameters are mathematically interrelated through the 256 microscopic mechanism of Rubisco as it is commonly understood. For example, when we derive 257 expressions for  $k_{cat,C}$  and  $K_{C}$  from the Rubisco mechanism, they share common factors that 258 should produce some level of correlation even in the absence of any tradeoff (SI). Similarly,  $S_{C/O}$ 259 is defined as  $(k_{cat,C}/K_C) / (k_{cat,O}/K_O)$  and might correlate positively with  $k_{cat,C}$  for this reason. 260 Because modest correlation is expected, we focus here only on very strong correlations since 261 these may yield insight into mechanistic constraints on Rubisco evolution.

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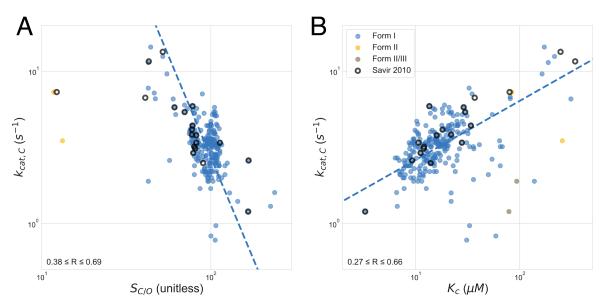
263 Principal components analysis (PCA) of Rubisco kinetic parameters was previously used to 264 interrogate constraints on Rubisco evolution. It was argued that Rubisco adaptation is 265 constrained to a one-dimensional landscape because the first principal component (PC1) 266 explained > 90% of the variance in Rubisco kinetics. In a one-dimensional landscape model all 267 kinetic parameters are tightly interrelated so that changing one (e.g.  $k_{cat,C}$ ) forces all others to 268 assume predetermined values (Savir et al., 2010). However, our extended dataset is not well-269 approximated as one-dimensional. While the orientation of PC1 is not substantially altered by 270 the addition of tenfold more measurements, it now explains  $\approx 70\%$  instead of >90% of the 271 variance in Rubisco kinetics (Savir et al., 2010). Three principal components are required to 272 explain >90% of the variation in our extended dataset (SI), consistent with the overall reduction 273 in pairwise correlation documented in Figure 3. We therefore proceed to ask whether the 274 correlations predicted by specific tradeoff models advanced in (Savir et al., 2010; Tcherkez et 275 al., 2006) are supported by the extended dataset.

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K <sub>cat</sub> , c	K <sub>cat</sub> , 0	K <sub>C</sub>	Ko	$\frac{K_{cat, C}}{K_C}$	$\frac{k_{cat, O}}{K_O}$	K <sub>RuBP</sub>	7
-0.56	-0.12	-0.65	-0.15	0.27	-0.05	-0.07	- <b>S<sub>C/O</sub></b>
	0.41	0.48	-0.01	0.13	0.37	0.26	- K <sub>cat, C</sub>
		-0.34	0.16	0.65	0.72	0.06	- K <sub>cat, O</sub>
			0.56	-0.81	-0.64	0.14	- K <sub>C</sub>
				-0.63	-0.57	0.08	-Ko
					0.94	0.04	$\frac{K_{cat, C}}{K_C}$
						0.07	$\frac{k_{cat, O}}{K_O}$

#### 276

**Figure 3:** Correlations between kinetic parameters are mostly attenuated by addition of new data. The figure summarizes Pearson correlations (R) between pairs of log-transformed Form I Rubisco kinetic parameters. When multiple measurements of the same Rubisco were available, the median value was used (Methods). The Sc/o-Kc, Sc/o-kcat,c, and Kc-kcat,C correlations are of particular interest because they were highlighted in previous works. None of these pairs give R > 0.7. The strongest observed correlation is between the catalytic efficiencies for carboxylation and oxygenation,  $k_{cat,c}/K_c$  and  $k_{cat,o}/K_0$  (R = 0.94).





284 Figure 4: Focal correlations of previous analyses are not robust to new data. Points with black outlines 285 are those in Savir et al. 2010 and dashed blue lines represent the best fit to all Form I Rubiscos in the 286 extended dataset. Panel A plots the maximum carboxylation rate k<sub>cat.c</sub> against specificity S<sub>C/O</sub> as in 287 (Tcherkez et al., 2006). Considering only Form I Rubiscos,  $k_{cat,C}$  and  $S_{C/O}$  correlate with  $R \approx -0.6$ . 288 Bootstrapping gives very wide 95% confidence intervals (CIs) of (-4.0, -2.0) for the fit exponent and 289  $(3x10^4, 3x10^8)$  for the exponential prefactor (the slope and intercept in log-log scale respectively) 290 indicating that the form of  $k_{cat,C}$ -S<sub>C/O</sub> correlation is very uncertain. Panel B plots  $k_{cat,C}$  against the Michaelis 291 constant for CO<sub>2</sub> (K<sub>c</sub>) as in (Savir et al., 2010; Tcherkez et al., 2006). R  $\approx$  0.5 as compared to the 292 previously reported value of 0.92. This fit is substantially more robust to outliers with bootstrapping giving 293 95% CIs of (0.3, 0.5) and (0.8, 1.5) for the fit exponent and prefactor respectively. More detailed plots 294 are given in Figure S5.

#### 295 Re-evaluation of Proposed Tradeoff Models

296 Two distinct mechanistic tradeoff models were advanced in (Savir et al., 2010; Tcherkez et al., 297 2006). Savir et al. 2010 cast these proposals in energetic terms by relating the measured 298 catalytic parameters to effective transition state barrier heights (Figure 1B, SI). The first tradeoff 299 model posits that that increased specificity towards CO<sub>2</sub> necessitates a slower maximum 300 carboxylation rate, k<sub>cat.C</sub> (Savir et al., 2010; Tcherkez et al., 2006). Tcherkez et al. 2006 propose 301 that this tradeoff is caused by stabilization of the first carboxylation transition state. In this model 302 a stable Rubisco-TS complex produces high CO<sub>2</sub>-specificity but slows the subsequent 303 carboxylation steps and limits  $k_{cat,C}$  (Figure S2). This model can be construed in energetic terms 304 as follows: lowering the effective barrier to  $CO_2$  addition ( $\Delta G_{1,C}$  in Figure 5A) will make Rubisco 305 more CO<sub>2</sub>-specific even if none of the oxygenation parameters change. The tradeoff model 306 posits a negative coupling between CO<sub>2</sub> addition and the subsequent carboxylation steps of 307 hydration and bond cleavage (effective barrier height  $\Delta G_{2,C}$  diagrammed in Figure 5A).

308 Therefore, the energetic interpretation of the first model predicts a negative correlation between 309  $\Delta G_{1,C}$  and  $\Delta G_{2,C}$  and, as a result, a negative power-law correlation between k<sub>cat,C</sub>/K<sub>C</sub>.

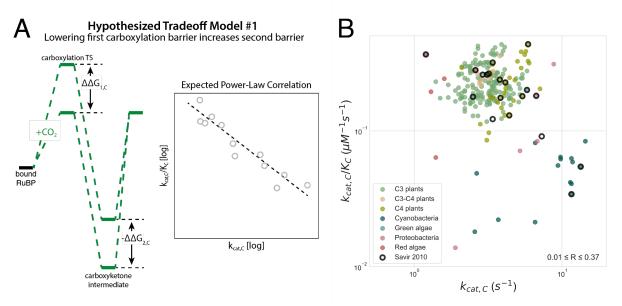
310

The energetic interpretation of this model was previously supported by an inverse power-law relationship between  $k_{cat,C}$  and  $k_{cat,C}/K_C$  (Savir et al., 2010). Our extended dataset does not, however, conform to the reported power-law (Figure 5B). It should be noted that the true barrier height to CO<sub>2</sub> addition depends on the CO<sub>2</sub> concentration, which could partially explain the apparent lack of correlation. However, correlation is not improved by restricting focus to C3 plants for which data is abundant and for which measured leaf CO<sub>2</sub> concentrations vary by only 20-30% due to variation in CO<sub>2</sub> conductance (Caemmerer and Evans, 1991; Evans et al., 1986).

318

319 Absence of correlation does not necessarily imply the absence of a tradeoff. Rather, if the 320 Rubisco mechanism couples  $k_{cat,C}$  and  $k_{cat,C}/K_C$ , much decreased correlation over the extended 321 dataset (R < 0.4) could result from several factors including bias in data collection leading to 322 undersampling of faster Rubiscos (e.g. those from cyanobacteria) or, alternatively, insufficient

323 selection pressure.



324

325 **Figure 5**: Negative power-law correlation between  $k_{cat,C}$  and  $k_{cat,C}/K_C$  is not supported by the extended 326 dataset. Under the tradeoff model in panel (A), CO<sub>2</sub>-specific Rubiscos have low barriers to enolization and 327  $CO_2$  addition (first effective carboxylation barrier  $\Delta G_{1,C}$ ), but lowering the first effective barrier necessarily 328 increases the height of the effective barrier to subsequent carboxylation steps ( $\Delta G_{2C}$ ). This tradeoff might 329 be due to coupling between the carboxylation transition state and the carboxyletone carboxylation 330 intermediate (Tcherkez et al., 2006), where stabilizing the TS also stabilizes the intermediate diagrammed 331 in panel (A) and described in Figure S2. In this case we would expect  $\Delta G_{1,C}$  and  $\Delta G_{2,C}$  to be negatively 332 correlated, which would manifest as negative linear correlation on a log-log plot of k<sub>cat,C</sub> vs. k<sub>cat,C</sub>/K<sub>C</sub>. (B) 333 The extended dataset does not evidence the expected power-law correlation (R = 0.02, P = 0.8 for Form I 334 enzymes). Fitting the entire dataset gives R = 0.13 as compared to the previously-reported R = -0.95 in 335 (Savir et al., 2010), where two outliers were omitted. Restricting focus to particular physiologies like C3 336 plants does not recover the expected correlation.

- 338 The second mechanistic tradeoff model (Savir et al., 2010) wherein faster CO<sub>2</sub> addition entails
- faster  $O_2$  addition as well is extremely well-supported by the addition of new data (Figure 6).
- 340 This model was previously supported by a power-law relationship with an exponent of 0.5
- 341 between  $k_{cat,C}/K_C$  and  $k_{cat,O}/K_O$  ( $k_{cat,O}/K_O \propto (k_{cat,C}/K_C)^{0.5}$ ). As  $k_{cat,C}/K_C$  is exponentially related to the
- 342 first effective carboxylation barrier ( $ln(k_{cat,C}/K_C) \propto -\Delta G_{1,C}$ ) and  $k_{cat,O}/K_O$  to the first effective
- oxygenation barrier ( $\ln(k_{cat,O}/K_O) \propto -\Delta G_{1,O}$ ), the power-law relationship was taken to imply that decreasing the barrier to CO<sub>2</sub> addition will also decrease the barrier to O<sub>2</sub> addition (0.5  $\Delta G_{1,C}$  - $\Delta G_{1,O} = C$ , Figure 6A).
- 346

The extended dataset evidences clear power-law correlation between  $k_{cat,C}/K_C$  and  $k_{cat,O}/K_O$ (Figure 6B). While some Form II enzymes appear to be strictly inferior to the Form I enzymes on these axes, there is a clear "front" in the  $k_{cat,C}/K_C$  vs.  $k_{cat,O}/K_O$  plot. Most measurements lie along a robust line of positive correlation in a log-log plot. Fitting the Form I enzymes gives a remarkably high-confidence (R = 0.93, P < 10<sup>-10</sup>) power-law relationship with and exponent of

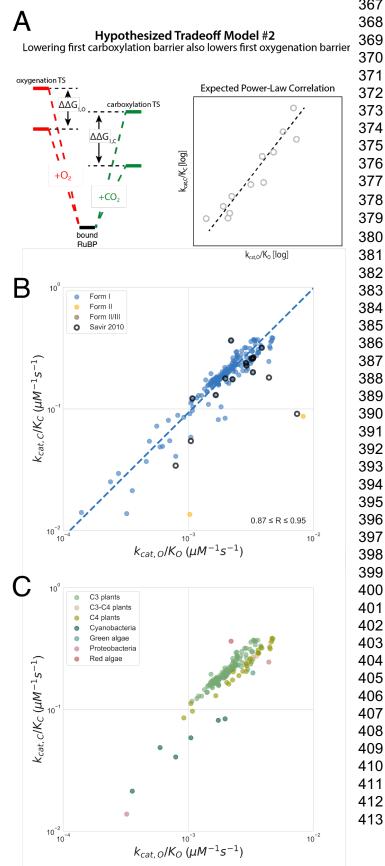
352 roughly 1.0: 
$$k_{cat,C}/K_C \propto (k_{cat,O}/K_O)^{1.06}$$
 (Figure 6B).

353

354  $S_{C/O}$  is defined as the ratio of  $k_{cat.C}/K_C$  to  $k_{cat.O}/K_O$ . A power law exponent of  $\approx 1$  implies a roughly 355 constant slope relationship between  $k_{cat,C}/K_C$  and  $k_{cat,O}/K_O$ , which in turn implies that  $S_{C/O}$  is 356 constant. However S<sub>C/O</sub> is clearly not constant - it varies about tenfold between Form I and Form 357 II Rubiscos and about threefold among Form Is (Figure 2C). Subdividing the Form I enzymes by 358 host physiology (e.g. C3 plants, C4 plants, cyanobacteria, etc.) reveals that all groups with 359 sufficient data display a strong and statistically-significant power-law relationship between 360 k<sub>cat.C</sub>/K<sub>c</sub> and k<sub>cat.O</sub>/K<sub>o</sub> (Figure 6C, SI (Tcherkez, 2016)). The power-law exponent differs consistently from the value of 0.5 given by (Savir et al., 2010). We now find a roughly 1:1 361 362 relationship of  $\Delta G_{1,C}$  -  $\Delta G_{1,O}$  = C, meaning that a decrease in the CO<sub>2</sub> addition barrier is 363 associated with an equal decrease in the barrier to O<sub>2</sub> addition. We estimate a 95% confidence 364 interval (CI) of 0.98-1.24 for the exponent of this power law relationship for Form I enzymes, or 365 about double the previously-reported value.

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#### 367

Figure 6: The second mechanistic 369 proposal of (Savir et al., 2010) is 370 remarkably well-supported by the extended dataset, but with a different power-law exponent. (A) In this proposal, CO<sub>2</sub> and O<sub>2</sub> addition rates 374 are coupled, with faster CO<sub>2</sub> addition necessitating faster O<sub>2</sub> addition. This 376 can be framed in energetic terms, where lowering the effective barrier to enolization and CO<sub>2</sub> addition ( $\Delta G_{1,C}$ ) 379 lowers the first effective barrier to O<sub>2</sub> 380 addition ( $\Delta G_{1,0}$ ) as well. Given this model, we would expect the barrier 382 heights to be positively correlated, which would manifest as a positive 384 linear correlation on a log-log plot of 385 kcat, C/Kc vs kcat, O/Ko. (B) most 386 measurements cluster along a powerlaw (linear in log-log) front in the 388 kcat, c/Kc vs kcat, o/Ko plot (dashed blue 389 line, R = 0.94). While some Form I 390 Rubiscos appear to lie beneath this front, Form II and Form II/III enzymes deviate most profoundly. A total least squares fit to the Form I enzymes produces a very strong power-law correlation ( $P < 10^{-10}$ , blue dashed 396 line). 95% CIs for the exponent and prefactor are (0.93, 1.1) and (63, 398 199), respectively. The best fit power law is  $k_{on,C} \sim (k_{on,O})^{1.04}$ , but forcing  $k_{on,C}$ ~  $(k_{on,O})^{1.0}$  gives a fit of nearly identical auality. (C) Restricting focus to particular physiologies - e.g. C3 and C4 plants, cyanobacteria - reveals that each grouping obeys a distinct power law. These power laws differ primarily in the exponential prefactor. which causes variation in the Yintercept but not the slope on a loglog plot. 95% CIs on the power-law exponent are (0.87, 1.01) for C3 plants. (0.82, 1.01) for C4 plants. (0.38, 1.31) for C3-C4 plants and (0.38, 1.06) for cyanobacteria.

## 414 Implications for the mechanism of CO<sub>2</sub>/O<sub>2</sub> discrimination by Rubisco

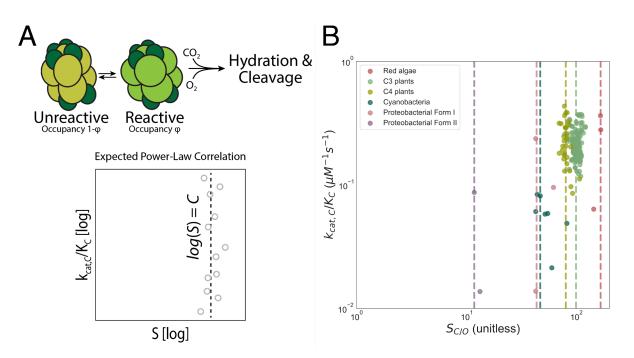
415 Figure 6 shows that effective barriers to  $CO_2$  and  $O_2$  addition and oxygenation appear to vary in 416 proportion with each other ( $\Delta G_{1,C}$  -  $\Delta G_{1,O} \approx$  constant). A roughly 1:1 correlation between 417 effective barriers to CO<sub>2</sub> and O<sub>2</sub> addition suggests that a single factor controls both. We offer a 418 model based on the known catalytic mechanism of Rubisco that could produce a 1:1 419 relationship between barriers. In this model, the RuBP-bound Rubisco active site fluctuates 420 between reactive and unreactive states (Figures 7A and S10). The fraction of enzyme in the 421 reactive state is denoted  $\phi$ . In the unreactive state neither oxygenation or carboxylation is 422 possible. In the reactive state, either gas can react at an intrinsic rate that does not vary across 423 Rubiscos of the same class. Since RuBP must undergo enolization in order for either 424 carboxylation or oxygenation to occur,  $\phi$  may be determined by the degree of enolization of 425 RuBP (SI).

426

This model can be phrased quantitatively as  $\frac{k_{cat,C}}{K_C} \propto \phi \exp(-\Delta G^*_{1,C}/RT)$  and  $\frac{k_{cat,O}}{K_O} \propto \phi$ 427  $exp (-\Delta G^*_{1,0}/RT)$  where  $\Delta G^*_{1,c}$  and  $\Delta G^*_{1,0}$  are the intrinsic reactivities of the enediolate of RuBP 428 429 to CO<sub>2</sub> and O<sub>2</sub> respectively (SI, Figure S10). Under this model, we expect to observe a powerlaw relationship with exponent 1.0 between  $\frac{k_{cat,C}}{K_C}$  and  $\frac{k_{cat,O}}{K_O}$ (SI). Since S<sub>C/O</sub> = (k<sub>cat,C</sub>/K<sub>C</sub>) / 430 (k<sub>cat.0</sub>/K<sub>0</sub>), it should be roughly constant under this model (SI). Though S<sub>C/0</sub> varies the least of all 431 432 measured Rubisco kinetic parameters (Figure 2C), it is not constant. However, Rubiscos 433 isolated from hosts belonging to the same physiological grouping - e.g. C3 or C4 plants - do 434 display a characteristic and roughly constant  $S_{C/O}$  value independent of  $k_{cat,C}/K_C$  (Figure 7B). 435

436 This model implies that  $\phi$  can vary between related Rubiscos, perhaps by evolutionary tuning of 437 the equilibrium constant for RuBP enolization. Since S<sub>C/O</sub> is independent of the equilibrium 438 fraction of on-enzyme RuBP enolization (K<sub>E</sub>), variation in K<sub>E</sub> would affect k<sub>cat.C</sub>/K<sub>C</sub> and k<sub>cat.O</sub>/K<sub>O</sub> 439 without changing  $S_{C/Q}$  (SI). Though individual groups of Rubiscos have roughly constant  $S_{C/Q}$ , 440 specificity clearly varies between C3 plants and cyanobacteria, for example. Variation in specificity could be achieved by adjusting the difference between intrinsic reactivities  $\Delta G^*_{1,O}$  – 441  $\Delta G^*_{1,C}$  through changes to the conformation of the enediolate of RuBP. This would produce 442 443 roughly constant S<sub>C/O</sub> among C3 plants while permitting variation in S<sub>C/O</sub> between C3 plants, 444 cvanobacteria and proteobacterial Form I Rubiscos. A full derivation of this model and 445 discussion of its potential implications is given in the SI.

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447 **Figure 7:** A power-law relationship between  $k_{cat,c}/K_C$  vs  $k_{cat,c}/K_O$  with an exponent of roughly 1.0 can be 448 explained by an active site that fluctuates between "reactive" and "unreactive" states. (A) In this model 449  $CO_2$  and  $O_2$  can react with the bound RuBP only if the enzyme is in the reactive state. If the difference in 450 intrinsic reactivities of the active site complex ( $\Delta G_{1,0}^* - \Delta G_{1,c}^*$ ) is organism-independent, we derive a 451 power-law relationship between  $k_{cat,C}/K_C$  vs  $k_{cat,O}/K_O$  that has an exponent of 1.0 (SI, Figure S8). This 452 model predicts that S<sub>C/O</sub> is constant. However, S<sub>C/O</sub> varies 3-4 fold across Form I Rubiscos. (B) Rubiscos 453 within the same physiological grouping - e.g. C3 or C4 plants - have roughly constant Sc/o independent of 454  $k_{\text{cat.c}}/K_{\text{C}}$  (log scale standard deviations  $\sigma^* \leq 0.2$  in all cases). The dashed vertical line is drawn at the 455 median Sc/o value for each group, with red algal Rubiscos having the highest measured Sc/o values (Sc/o 456 ≈ 150) at 25 °C.

#### 457 Discussion

446

458 We collected and analyzed roughly 300 literature measurements of Rubisco kinetic parameters 459 (Figure 2A). The collection is guite biased, with the readily-purified Rubiscos of land plants 460 making up ≈80% of the data (Figure 2B). Better sampling of Rubisco diversity including more 461 algal, bacterial and archaeal Rubiscos would greatly improve our understanding of the evolution 462 and capacity of this enzyme (Liu et al., 2017). Despite incomplete coverage, some trends are 463 clear. All Rubisco kinetic parameters display limited dynamic range, with standard deviations in 464 log-scale being less than one order-of-magnitude in all cases (Figure 2C). Rubisco appears 465 particularly constrained in that it displays much less  $k_{cat}$  variability than any other enzyme for which sufficient data is available (Figure S4). For many other enzymes, k<sub>cat</sub> varies over 100-fold 466 467 or more. In contrast, 97% of Rubisco  $k_{cat,C}$  data are between 1 and 10 s<sup>-1</sup>. The highest Rubisco  $k_{cat,C}$  measured at 25 °C is 14.4 s<sup>-1</sup> (S. elongatus PCC 7942, (Occhialini et al., 2016)), only 18-468 fold greater than the lowest measured Form I  $k_{cat,C}$  (0.83 s<sup>-1</sup> from the diatom Cylindrotheca N1, 469

470 (Read and Tabita, 1994)). Altogether, these data suggest that there is some limitation on the471 maximum carboxylation rate by Rubisco in the presence of O<sub>2</sub>.

472

473 Other extremal Rubiscos of note include an enzyme from the thermophillic red alga G.

474 sulphuraria, which has the lowest  $K_c \approx 3 \mu M$ . Red algal Rubiscos are generally the most  $CO_2$ -

475 specific ( $S_{C/O} \approx 160-200$ ) measured at 25 °C (Uemura et al., 1997; Whitney et al., 2001). Many

- 476 Rubiscos are quite slow oxygenators with more than half of measurements having  $k_{cat,O} < 1 \text{ s}^{-1}$
- 477 (Figure 2A). Similarly, many Rubiscos have quite low  $O_2$  affinity: the median  $K_0$  is  $\approx$  470  $\mu$ M,
- 478 nearly double the Henry's law equilibrium of water with a 21% O<sub>2</sub> atmosphere at 25 °C. Rubisco
- from the diatom *Thalassiosira weissflogii*, for example, has a  $K_0 \approx 2$  mM (Young et al., 2016),
- 480 corresponding to roughly ten times the ambient  $O_2$  concentration (Sander, 2015).
- 481

482 Specificity S<sub>C/O</sub> varies the least of all Rubisco parameters (Figure 2C). Nonetheless, Form I 483 Rubiscos are much more CO<sub>2</sub>-specific than their Form II, III and II/III counterparts (Figure 7B, 484 SI). This might be explained by the prevalence of Form II, III and II/III enzymes in bacteria and 485 archaea that fix  $CO_2$  in anaerobic conditions where oxygenation should be negligible. We note, 486 however, that there is substantial variation among measurements of the model Form II Rubisco 487 from *R. rubrum*. This and the general paucity of data on non-Form I Rubiscos indicates that 488 more measurements are required to evaluate stereotyped differences within and between Form 489 II, III and II/III Rubiscos. As such, we chose to focus here on Form I Rubiscos for which data is 490 abundant (Figure 2).

491

492 Overall, we observed that Rubisco appears less constrained than previously supposed. Rubisco 493 kinetics were previously argued to vary in a one-dimensional landscape (Savir et al., 2010) and 494 hypothesized be "nearly perfectly optimized" (Tcherkez et al., 2006). If these arguments were 495 true, we would expect very limited variation in Rubisco kinetics because all enzymes should 496 attain near-optimal parameters (as diagrammed in Figure 1C). However, as shown in Figure S6, 497 the extended dataset is not strictly one-dimensional. Consistent with this analysis, Figures 3 and 498 S3 document an an overall reduction in correlation between Form I Rubisco kinetic parameters. 499 There are some stereotyped differences between Rubiscos from different kinds of organisms -500 cvanobacterial Rubiscos are among the fastest (highest k<sub>cat,C</sub>) and red algal Rubiscos are the 501 most  $CO_2$ -specific (highest  $S_{C/O}$ ). However, when we plot the assembled data in Figure 4A, 502 there is only modest correlation between  $k_{cat,C}$  and  $S_{C/O}$ . Similarly, Figure 4B shows relatively 503 modest correlation between k<sub>cat,C</sub> and K<sub>C</sub>. Overall weakened correlations led us to reject the 504 notion that Rubisco kinetics vary in a one-dimensional landscape and to investigate whether 505 previously-suggested tradeoff models truly constrain the evolution of Rubisco.

506

507 The mechanistic tradeoff models described in Figures 5-6 are based on a simple chemical 508 intuition: that the intrinsic difficulty of binding and discriminating between  $CO_2$  and  $O_2$  requires 509 the enzyme to differentiate between carboxylation and oxygenation transition states. The 510 requirement of TS discrimination is a direct consequence of two common assumptions that are 511 supported by experimental evidence (Pierce et al., 1986). Briefly, it is assumed that addition of 512 either gas is irreversible and that there is no binding site for  $CO_2$  or  $O_2$  and, thus, no so-called 513 "Michaelis complex" (Andrews and Lorimer, 1987; Pierce et al., 1986; Savir et al., 2010; 514 Tcherkez et al., 2018, 2006). If CO<sub>2</sub> bound a specific site on Rubisco before reacting,  $K_{\rm C}$  could 515 be modulated by mutation without substantially affecting the kinetics of subsequent reaction 516 steps. In the unlikely case that gas addition is substantially reversible, (Cummins et al., 2018; 517 Tcherkez et al., 2018) we would expect to find Rubiscos that evolved enhanced selectivity by 518 energy-coupled kinetic proofreading. Energy coupling would enable amplification of selectivity 519 determined by differential CO<sub>2</sub> and O<sub>2</sub> off-rates (Hopfield, 1974). The fact that no such Rubiscos 520 have been found suggests that gas addition is irreversible or that the off-rates of CO<sub>2</sub> and O<sub>2</sub> 521 are incompatible with kinetic proofreading in some other way (Savir et al., 2010; Savir and 522 Tlusty, 2007).

523

524 As Rubisco likely does not bind CO<sub>2</sub> directly, Tcherkez et al. 2006 hypothesize that high 525 specificity towards  $CO_2$  (i.e. large  $S_{C/O}$ ) is realized by discriminating between the first 526 carboxylation and oxygenation transition states (i.e. between the carboxyketone and the 527 peroxyketone, Figure S2). A late carboxylation TS would be maximally discriminable from the 528 oxygenation TS because the developing carboxylic acid is distinguishable from the peroxyl 529 group of the oxygenation intermediate (Tcherkez et al., 2006). Since a late TS resembles the 530 carboxyketone carboxylation intermediate, Tcherkez et al. further argue that CO<sub>2</sub>-specific 531 Rubiscos must tightly bind the carboxyketone, which could slow the subsequent hydration and 532 cleavage steps and restrict k<sub>cat.C</sub> (Figure S2). Though this model is motivated by the need for 533 discrimination between  $CO_2$  and  $O_2$ , it implies coupling between the kinetics of carboxylation 534 steps alone. That is: specificity requires tighter binding of the carboxylation intermediate, which 535 slows downstream processing of that same intermediate irrespective of the oxygenation 536 steps. The extraordinarily tight binding of the carboxyketone analog CABP to plant Rubisco 537 provides strong support for the idea of TS discrimination. Negative correlation between k<sub>cat.C</sub> and 538 S<sub>C/O</sub> was taken to support the idea of tighter TS binding slowing carboxylation (Tcherkez et al., 539 2006).

540

541 Savir et al. 2010 articulate a related model, noting that k<sub>cat,C</sub> and k<sub>cat,C</sub>/K<sub>C</sub> are inversely correlated 542 in their dataset (Savir et al., 2010). Since k<sub>cat,C</sub>/K<sub>C</sub> is related to the effective barrier to enolization 543 and CO<sub>2</sub> addition and k<sub>cat.C</sub> is related to the effective barrier to hydration and cleavage (Figure 544 1B), Savir et al. argue that lowering the effective barrier to CO<sub>2</sub> addition entails a higher barrier 545 for the subsequent steps (i.e. a lower  $k_{cat.C}$ , Figure 5A). In both of these descriptions, the initial 546 steps of carboxylation are negatively coupled to the subsequent steps in a manner that 547 produces the observed correlations. However, those correlations - between S<sub>C/O</sub> and k<sub>cat.C</sub>, K<sub>C</sub> 548 and  $k_{cat,C}$  and  $k_{cat,C}/K_C$  and  $k_{cat,C}$  - are attenuated by the addition of new measurements (Figures 549 3-4) which calls these proposals into question. Importantly, we do not argue that the chemical 550 logic advanced by (Tcherkez et al., 2006) is incorrect, but rather that the assembled data do not 551 support such a tradeoff being optimized over the evolution of Form I Rubiscos.

552

553 The second tradeoff model posited by (Savir et al., 2010) is that faster  $CO_2$  addition to the 554 Rubisco-RuBP complex necessarily allows faster  $O_2$  addition. This model can be motivated by 555 the catalytic mechanism of Rubisco as well. Since Rubisco likely does not bind  $CO_2$  or  $O_2$  556 directly, the concentrations of  $CO_2$  and  $O_2$  in the Rubisco active site should be determined by 557 their solution concentrations alone (e.g. in the chloroplast stroma). Rubisco might limit the active 558 site concentration of  $O_2$  by closing the active site to diffusion, but this would slow  $CO_2$  entry and 559 carboxylation as well. This model was previously supported by a positive power-law correlation 560 between the catalytic efficiencies for carboxylation and oxygenation ( $k_{cat,C}/K_C$  and  $k_{cat,C}/K_O$ ) 561 respectively), which can be understood as a positive coupling of the effective barriers to 562 enolization and gas addition for both  $CO_2$  and  $O_2$  (Figure 6A, SI). We showed that the extended 563 dataset strongly supports this power-law relation and suggests lowering the effective CO<sub>2</sub> 564 addition barrier (enabling faster carboxylation) requires a roughly equal reduction in the effective 565 barrier to O<sub>2</sub> addition (i.e. enabling faster oxygenation as well). Though several research groups 566 have attempted to isolate improved Rubisco mutants, none of the mutants examined so far 567 exceed the wild-type enzymes on these axes (Figure S11).

568

569 A power law relation with an exponent of 1.0 can be seen as resulting from an active site that 570 fluctuates between a reactive and unreactive state (Figure 7A). In this model, the average 571 occupancy of the reactive state dictates the rate of CO2 and O2 addition and throttles the 572 subsequent steps of carboxylation and oxygenation equally (Figure 7). This model can be 573 mapped onto the Rubisco mechanism by noting that RuBP must be enolized before CO<sub>2</sub> or O<sub>2</sub> 574 can react, suggesting that the occupancy of the reactive state ( $\varphi$ ) is related to the degree of 575 enolization of RuBP (SI, Figure S10). One implication of this model is that S<sub>C/O</sub> is roughly 576 constant (SI). While  $S_{C/O}$  does vary over roughly tenfold across the entire dataset and 3-4 fold 577 across Form I enzymes (Figure 1B), Rubiscos from the same physiological groupings display 578 roughly constant  $S_{C/O}$  values independent of  $k_{cat,C}/K_C$  (Figure 7B). More measurements of 579 bacterial Form I, II and III Rubiscos as well as the notably high-specificity Form ID enzymes of 580 red algae will be crucial to evaluate the generality of this observation. 581

- 582 In previous work, where Rubisco kinetics were thought to vary in a one-dimensional landscape, 583 setting k<sub>cat,C</sub> determined all other kinetic parameters (Savir et al., 2010). In this setting it was 584 argued that Rubisco kinetic parameters were wholly determined by the prevailing  $CO_2$  and  $O_2$ 585 concentrations since there was a unique choice of parameters on the one-dimensional curve 586 that maximize the net rate of carboxylation (Savir et al., 2010). Since the data is no longer 587 clearly one-dimensional, we cannot argue that Rubisco is "perfectly optimized" to match 588 prevailing concentrations. Moreover, the single surviving tradeoff model does not, on its own, 589 explain why we have not found faster-carboxylating Rubiscos. The model presented in Figures 590 6 and 7 describes a tradeoff between CO<sub>2</sub> and O<sub>2</sub> addition, but sets no upper limit on  $k_{cat,C}$ . 591 suggesting that selection for increased carboxylation in the absence of O<sub>2</sub> could produce 592 Rubiscos with superlative  $k_{cat,C}$  values (i.e.  $k_{cat,C} >> 15 \text{ s}^{-1}$ ). Such an enzyme might be used as a 593 basis for engineering fast-and-selective Rubiscos, something that might indeed be possible if 594  $k_{cat.C}$ ,  $K_C$  and  $S_{C/O}$  are not tightly linked to each other (Figure 4).
- 595

596 The prospect of engineering an improved Rubiscos is tantalizing not only because it could 597 plausibly increase crop yields substantially (Zhu et al., 2010), but also because the task tests 598 our understanding of proteins and enzymes on a very basic level. It is clear from the data 599 presented here that there is some evolutionary constraint on Rubisco catalysis. Indeed, no

known Rubisco has a  $k_{cat c}$  greater than 15 s<sup>-1</sup> and no measured S<sub>C/O</sub> exceeds 250. Surely a 600 601 superlative Rubisco would have arisen if it was mutationally accessible from existing Rubiscos. 602 However, the large subunit of Rubisco displays extremely limited sequence variation (Kapralov 603 and Filatov, 2007). Perhaps exploring a wider swath of sequence space via protein engineering 604 techniques (Chin, 2014; Fowler and Fields, 2014; Silberg et al., 2004) would enable strict 605 improvements to Rubisco kinetics? In order to better-resolve the evolutionary constraints 606 imposed on Rubisco kinetics and evaluate the prospects of advanced Rubisco engineering, we 607 suggest several avenues for future research.

608

609 First, the kinetics of non-plant Rubiscos should be characterized more thoroughly. These should 610 include the Form II, III and II/III enzymes of bacteria and archaea as well as Form I enzymes of 611 cyanobacteria and diverse Eukaryotic autotrophs (Liu et al., 2017). Ideally these enzymes would 612 be sampled from accumulated genomic data in a manner that maximizes sequence and 613 phylogenetic diversity (Akiva et al., 2017) and characterized for their binding (e.g. of RuBP and 614 CABP) and catalytic activity (measuring k<sub>cat.C</sub>, K<sub>C</sub>, k<sub>cat.O</sub>, K<sub>O</sub> and S<sub>C/O</sub>) as a function of 615 temperature and pH (Orr et al., 2016; Sharwood et al., 2016). These data would likely resolve 616 whether Rubisco isoforms display characteristic differences in catalytic potential. It is possible, 617 for example, that Form II, III or II/III enzymes are subject to different constraints than Form I 618 Rubiscos and might serve as useful chassis for bioengineering.

619

620 Furthermore, it is important to revisit the classic experiments undergirding our understanding of 621 the Rubisco catalytic mechanism, especially those supporting the central assumptions that (a) 622 there is no Michaelis complex for  $CO_2$  or  $O_2$  and (b) that gas addition is irreversible (Cummins et 623 al., 2018; Pierce et al., 1986; Tcherkez et al., 2018). As mentioned above, these assumptions 624 imply substantial limitation on CO<sub>2</sub> specificity by, for example, disallowing a kinetic proofreading 625 based mechanism for the amplification of specificity. If we were to find Rubiscos for which these 626 assumptions are relaxed, they might be used as a basis for future engineering of a fast-and-627 selective carboxylase. On the other hand, it may be the case that all Rubiscos share these 628 same limitations and are constrained by the same tradeoffs. Since tradeoffs in Rubisco catalysis 629 are likely described by couplings between transition state barriers (e.g. as in Figure 6) it would 630 be very useful to measure TS barrier heights for many variants. One avenue for further 631 investigation would be measurement of carbon and oxygen kinetic isotope effects (KIEs) for a 632 wide variety of Rubiscos. Kinetic isotope effects report indirectly on TS barrier heights (Hayes, 633 2001; McNevin et al., 2007) and KIEs could plausibly be measured in relatively high throughput 634 via mass spectrometry. Investigating the relationship between kinetic isotope effects and kinetic 635 parameters will hopefully refine our understanding of the Rubisco mechanism and help clarify 636 whether different families of Rubisco enzymes are subject to the same constraints (Tcherkez et 637 al., 2006).

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There remains some disagreement about the precise ordering of Rubisco carboxylation steps (Andersson, 2008; Cleland et al., 1998; Tcherkez et al., 2006) and the mechanism of oxygenation is not well understood (Tcherkez, 2016). Chemical reasoning about the mechanisms of Rubisco carboxylation and oxygenation would benefit from progress in structural biology - intermediates and transition state analogs should be used to capture the active site at 644 various points along the reaction trajectory (Andersson and Backlund, 2008; Cleland et al., 645 1998; Schneider et al., 1992; Stec, 2012; Tcherkez, 2016). If experiments and structural 646 analyses confirm that the above assumptions hold for all Rubiscos, it would greatly limit our 647 capacity to engineer Rubisco and strongly suggest that alternative strategies for improving 648 carbon fixation should be pursued (Bar-Even, 2017; McGrath and Long, 2014; South et al., 649 2019). If, however, these assumptions are invalidated, many enzyme engineering strategies 650 would be viable. Such data and analyses will be instrumental in guiding the engineering of 651 carbon fixation for the next decade.

## 652 Methods

653 Data collection and curation. We reviewed the literature to find Rubisco kinetic data measured 654 at 25 °C and near pH 8. Ultimately 61 primary literature studies were included, yielding 334  $S_{C/Q}$ , 655 282 k<sub>cat.C</sub>, 316 K<sub>C</sub>, and 254 K<sub>o</sub> values for Rubiscos from 304 distinct organisms (Datasets S1 and 656 S2). We also recorded 52 measurements of the Michaelis constant for RuBP ( $K_{RuBP}$ ). 657 Experimental error was recorded for all of these values (when reported) along with the pH. temperature and other metadata. Data was filtered as described in SI.  $k_{cat,O}$  is usually not 658 659 measured directly, but is rather inferred as  $k_{cat,O} = (k_{cat,C}/K_C) / (S_{C/O}/K_O)$ . When an uncertainty is 660 reported, we assumed that the underlying experimental noise is normally distributed and used 661  $10^4$ -fold bootstrapping to estimate 198 k<sub>cat,0</sub> values and 95% confidence intervals thereof. We 662 used an identical procedure to estimate k<sub>cat.C</sub>/K<sub>C</sub> and k<sub>cat.O</sub>/K<sub>O</sub> and confidence intervals thereof (SI). Altogether, we were able to calculate 274 k<sub>cat.C</sub>/K<sub>C</sub> and 199 k<sub>cat.O</sub>/K<sub>O</sub> values. Datasets S1 663 664 and S2 provide all source and inferred data respectively.

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666 Fitting power laws. As certain model Rubiscos are measured frequently (e.g. we found 12 667 independent measurements of the Rubisco from spinach), multiple measurements are available 668 for some Rubiscos. In these cases we used the median measured value in correlation and 669 regression analyses to avoid bias. In contrast to textbook examples with one independent and 670 one dependent variable, there is experimental error associated with both variables in all scatter 671 plots shown here. As such we used total least squares linear regression in log scale to fit relationships between Rubisco parameters. Because R<sup>2</sup> values of total least squares fits do not 672 673 convey the explained fraction of Y axis variance, they are challenging to interpret. We instead 674 report the degree of correlation as Pearson R values. Bootstrapping was used to determine 675 95% confidence intervals for the Pearson correlation coefficient, power-law exponents and 676 prefactors (i.e. the slopes and intercepts of linear fits in log-log scale). In each iteration of the 677 bootstrap, data were subsampled to 90% with replacement. Total least squares regression was 678 applied to each subsample to determine a point estimate of R, the power-law exponent and prefactor. This procedure was repeated 10<sup>4</sup> times to determine a 95% confidence intervals on 679 680 the above parameters. Python source code is available at github.com/flamholz/rubisco.

# 681 Acknowledgements

We would like to thank Uri Alon, Kapil Amarnath, Doug Banda, Arren Bar-Even, Cissi Blikstad,
Jack Desmarais, Woodward Fischer, Vahe Galstyan, Laura Helen Gunn, Itai Halevy, Robert

684 Nichols, Elad Noor, Jeremy Roop, Yonatan Savir, Patrick Shih, Daniel Stolper, Dan Tawfik, 685 Guillaume Tcherkez, Tsvi Tlusty and Renee Wang for helpful conversations and comments on 686 the manuscript. This research was supported by the US Department of Energy Grant (DE-687 SC00016240); the European Research Council (project NOVCARBFIX 646827); the Israel 688 Science Foundation (grant No. 740/16); the ISF-NRF Singapore joint research program (grant 689 No. 7662712); Beck-Canadian Center for Alternative Energy Research; Dana and Yossie 690 Hollander; Ullmann Family Foundation; Helmsley Charitable Foundation; The Larson Charitable 691 Foundation; Wolfson Family Charitable Trust; Charles Rothschild; Selmo Nussenbaum. R.M. is 692 the Charles and Louise Gartner professional chair. A.I.F. was supported by a National Science 693 Foundation Graduate Research Fellowship. Y.M.B. is an Azrieli fellow.

# 694 Competing Interests

695 The authors declare no competing interests.

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