

1 **Chance is an important element in phagolysosomal acidification that favors the macrophage**

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3 Quigly Dragotakes<sup>1</sup>, Kaitlin Stouffer<sup>1</sup>, Man Shun Fu<sup>1</sup>, Carlos M. De Leon-Rodriguez<sup>1</sup>, Joudeh B. Freij<sup>1</sup>, Aviv  
4 Bergman<sup>2</sup>, Arturo Casadevall<sup>1</sup>

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7 <sup>1</sup>Department of Molecular Microbiology and Immunology, Johns Hopkins School of Public Health,  
8 Baltimore, MD and <sup>2</sup>Department of Systems and Computational Biology, Albert Einstein College of  
9 Medicine, Bronx, NY.

10 Running title: Chance contributes to host defense

11 Address correspondence

12 Arturo Casadevall MD, PhD

13 Johns Hopkins School of Public Health

14 615 N. Wolfe Street

15 Room E5132

16 Baltimore, Maryland 21205

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## Abstract

Phagosome acidification is a critical mechanism of defense in phagocytic cells, which inhibits microbes by producing a less hospitable pH that also activates microbicidal mechanisms. We analyzed the dynamic distribution of phagolysosome pH measurements after bone marrow derived macrophages had ingested live *Cryptococcus neoformans* or *C. gattii* cells, dead *C. neoformans* cells, or inert beads at various time intervals. Phagosomes acidified for each type of ingested particle, producing a range of pH values that approximated normal distributions, yet the degree to which they differed from normality depended on the particle type. Irrespective of the particle ingested, we noted wide variation in the phagolysosomal pH measured. Analysis of the increment of pH reduction revealed no forbidden ordinal pH intervals for each type of particle indicative of chaotic signatures; consistent with the notion that the phagosomal acidification process is a result of a stochastic dynamical system. The stochastic nature of phagosomal acidification is consistent with the fact that final vacuolar pH is the result of numerous variables that contribute to the final outcome, which was also reflected in a stochastic initiation of intracellular cell budding. Hence, “chance” plays an important role in the process of phagosomal acidification which, in turn, introduces unpredictability to the outcome of the macrophage-microbe struggle in individual phagosomes thus creating a fundamental uncertainty in the fate of host-microbe interactions. Chance provides macrophages with an adaptive bet hedging strategy that can increase the likelihood that phagolysosomal pH inhibits ingested microbes while reducing the emergence of acid resistance.

## 38 Introduction

### 39 *Audaces fortuna iuvat (Fortune favors the bold) - Virgil*

40 Phagocytosis is a fundamental cellular process used by unicellular organisms for nutrient acquisition as  
41 well as by host immune cells for capturing and killing microbial cells. The parallels between food  
42 acquisition and immunity have led to the suggestion that these two processes had a common  
43 evolutionary origin [1]. The process of phagocytosis results in the formation of a phagosome, a dynamic  
44 membrane bounded organelle, which represents a critical location in the struggle between the host and  
45 microbial cells [2]. Microbial ingestion into phagosomes results in exposure to host cell microbicidal  
46 mechanisms, which for some microbes leads to death while others survive by subverting critical aspects  
47 of phagosome maturation and by damaging phagosome structural integrity.

48 Phagosomal formation can be followed by a process of maturation whereby the multimeric protein  
49 complex vacuolar (V) V-ATPase is added to the phagosomal membrane, then pumps protons into the  
50 lumen of the mature phagosome or phagolysosome using cytosolic ATP for energy (reviewed in [2]).  
51 Proton pumping into phagolysosomal lumen results in acidification, which inhibits and kills many  
52 ingested microorganisms. Consequently, some types of microbes, such as *Mycobacterium tuberculosis*  
53 and *Histoplasma capsulatum*, interfere with phagosomal maturation and acidification to promote their  
54 intracellular survival. The extent of phagosomal acidification is determined by numerous mechanisms  
55 that include the proton flux through the pump, proton consumption in the phagosomal lumen, and  
56 backflow into the cytoplasm [3]. Phagosome acidification in macrophage is rapid with pH of 6 being  
57 reached within 10 min after ingestion [4] and 5.4 by 15-20 min [5].

58 *Cryptococcus neoformans* is a facultative intracellular pathogen [6]. Upon ingestion by macrophages *C.*  
59 *neoformans* resides in a mature acidic phagosome [7]. The outcome of macrophage-*C. neoformans*  
60 interaction is highly variable depending on whether the fungal cell is killed, inhibited, or not. If not

61 killed, *C. neoformans* can replicate intracellularly resulting in variable outcomes that include death and  
62 lysis of the host cell, non-lytic exocytosis [8, 9], transfer to another macrophage [10, 11], or phagosomal  
63 persistence. A critical variable in determining the outcome of the *C. neoformans*-macrophage  
64 interaction is the integrity of the phagosomal membrane, with maintenance of this barrier conducive to  
65 control of intracellular infection while loss of integrity leads to host cell death [12].

66 Prior studies of *C. neoformans* phagosomal acidification have shown great variation in phagolysosomal  
67 pH [12-14]. The cryptococcal phagolysosomal pH is affected by several microbial variables that include  
68 urease expression [13], phagosomal membrane integrity [12], and the presence of the cryptococcal  
69 capsule with its glucuronic acid residues that can influence final pH through their acid base properties  
70 [15]. *C. neoformans* capsule size increases intracellularly as part of a stress response which can  
71 potentially affect the phagolysosomal pH through increasing the phagosome volume, thus diluting its  
72 contents and promoting membrane damage through physical stress [12]. In this study, we analyzed the  
73 distribution of phagolysosomal pHs in murine macrophages as a dynamical system and find that it is  
74 stochastic in nature. Our results imply that the usage of chance is an important strategy in phagosomal  
75 acidification, which may echo through the immune process to introduce a fundamental uncertainty in  
76 the outcome of microbe-macrophage interactions.

77

## 78 **Methods**

79 Phagolysosomal pH measurement. Phagolysosomal pH was measured using ratiometric fluorescence  
80 imaging involving the use of pH-sensitive probe Oregon green 488 as described in prior studies [13]. The  
81 pH values analyzed here were collected in part during prior studies of *C. neoformans*-macrophage  
82 interactions [12-14]. Briefly, Oregon green 488 was first conjugated to monoclonal antibody (mAb)  
83 18B7, which binds *C. neoformans* capsular polysaccharide, using Oregon Green 488 Protein Labeling Kit

84 (Molecular Probes, Eugene, OR). The labeling procedure was done by following the manufacture's  
85 instruction. Bone marrow derived macrophages (BMDM) were plated at a density of  $1.25 \times 10^5$  cells/well  
86 on 24-well plate with 12 mm circular coverslip. Cells were cultured in Dulbecco's Modified Eagle  
87 Medium (DMEM) with 20 % L-929 cell-conditioned medium, 10 % FBS (Atlanta Biologicals, Flowery  
88 Branch, GA), 2mM Glutamax (Gibco, Gaithersburg MD), 1 % nonessential amino acid (Cellgro, Manassas,  
89 VA), 1 % HEPES buffer (Corning, Corning, NY), 1 % penicillin-streptomycin (Corning), 0.1 % 2-  
90 mercaptoethanol (Gibco), and activated with 0.5  $\mu\text{g}/\text{ml}$  lipopolysaccharide (LPS; Sigma-Aldrich) and 100  
91 U/ml interferon gamma (IFN- $\gamma$ ; Roche), at 37 °C in a 9.5 %  $\text{CO}_2$  atmosphere overnight. Prior to infection,  
92 live, heat killed H99, R265, WM179, ure1, or cap59 strain or anti-mouse IgG coated polystyrene bead  
93 ( $3.75 \times 10^6$  cells or beads/ml) were incubated with 10  $\mu\text{g}/\text{ml}$  Oregon green conjugated mAb 18B7 for 15  
94 min. Macrophages were then incubated with Oregon green conjugated mAb 18B7-opsonized particles in  
95  $3.75 \times 10^5$  cryptococcal cells or beads per well. Cells were centrifuged immediately at 350 x g for 1 min  
96 and culture were incubated at 37 °C for 10 min to allow phagocytosis. Extracellular cryptococcal cells or  
97 beads were removed by washing three times with fresh medium, a step that prevents the occurrence of  
98 new phagocytic events. Samples on coverslip were collected at their respective time points after  
99 phagocytosis by washing twice with pre-warmed HBSS and placing upside down on MatTek petri dish  
100 (MatTek, Ashland, MA) with HBSS in the microwell. Images were taken by using Olympus AX70  
101 microscopy (Olympus, Center Valley, PA) with objective 40x at dual excitation 440 nm and 488 nm, and  
102 emission 520 nm. Images were analyzed using MetaFluor Fluorescence Ratio Imaging Software  
103 (Molecular Devices, Downingtown, PA). Fluorescence intensities were used to determine the ratios of  
104 Ex488 nm/Ex440 nm that were converted to absolute pH values using a standard curve where the  
105 images are taken as above but intracellular pH of macrophages was equilibrated by adding 10  $\mu\text{M}$   
106 nigericin in pH buffer (140 mM KCl, 1 mM  $\text{MgCl}_2$ , 1 mM  $\text{CaCl}_2$ , 5 mM glucose, and appropriate buffer  $\leq$

107 pH 5.0: acetate-acetic acid; pH 5.5-6.5: MES;  $\geq$  pH 7.0: HEPES. Desired pH values were adjusted using  
108 either 1 M KOH or 1 M HCl). The pH of buffers was adjusted at 3-7 using 0.5-pH unit increments.

109 Time-lapse imaging and intracellular replication. The time of intracellular replication here were collected  
110 in time-lapse imaging during prior studies of *C. neoformans*-macrophage interactions [13]. For imaging  
111 BMDM ( $5 \times 10^4$  cells/well) were plated on poly-D-lysine coated coverslip bottom MatTek petri dishes  
112 with 14mm microwell (MatTek). Cells were cultured in completed DMEM medium and stimulated with  
113 0.5  $\mu$ g/ml LPS and 100 U/ml IFN- $\gamma$  overnight at 37 °C with 9.5 % CO<sub>2</sub>. On the following day, macrophages  
114 were infected with cryptococcal cells (H99 or ure1;  $1.5 \times 10^5$  cells/well) opsonized with 18B7 (10  $\mu$ g/ml).  
115 After 2 h incubation to allow phagocytosis, extracellular cryptococcal cells were removed by washing the  
116 culture five times with fresh medium. Images were taken every 4 min for 24 h using a Zeiss Axiovert  
117 200M inverted microscope with a 10x phase objective in an enclosed chamber at 9.5 % CO<sub>2</sub> and 37 °C.  
118 The time intervals to initial replication of individual cryptococcal cells inside macrophage were measured  
119 in time-lapse imaging.

120 Data Processing. Phagolysosome pH intervals were calculated by subtracting measured pH levels of  
121 phagolysosomes from a starting pH of 7.2, and individual interval measurements were concatenated  
122 into a single dataset for each time point examined.

123 Data analysis. Discrimination of deterministic vs. stochastic dynamics was achieved using the previously  
124 characterized permutation spectrum test [16]. In this method, the processed datasets were segmented  
125 into overlapping subsets of 4 data points using a sliding window approach, as detailed in figure 4, and  
126 assigned 1 of 24 (4!) possible ordinal patterns based on the ordering of the 4 terms in the subset. The  
127 frequencies with which each unique ordinal pattern occurred in the dataset were then calculated and  
128 plotted. Deterministic dynamics were characterized by the occurrence of “forbidden ordinals”, equal to  
129 ordinal patterns that occurred with a frequency of 0 in the dataset whereas stochastic dynamics were

130 characterized by the absence of any forbidden ordinals. Further characterization of deterministic  
131 dynamics was achieved using the previously characterized point count plot [17], in which periodic vs.  
132 chaotic dynamics were differentiated based on the distribution of “peaks” in the calculated power  
133 spectrum of each dataset. Power spectrums were estimated with Matlab’s Lomb-Scargle power spectral  
134 density (PSD) estimate function and subsequently normalized. From the normalized power spectrum,  
135 “point count plots” were generated by counting the number of peaks above a set threshold—the point  
136 threshold—with values of the point threshold ranging from 0 to 1. Periodic dynamics were characterized  
137 by “staircase” point count plots whereas chaotic dynamics were characterized by point count plots with  
138 a decreasing exponential shape.

139 Distribution and normality analysis. Each set of sample data was fit to a series of distributions using the  
140 R package “fitdistrplus” with default parameters for each distribution type, generating the histograms  
141 and Quantile-Quantile (Q-Q) plots. Normality and significance was calculated via the base R Shapiro-Wilk  
142 test [18].

143

## 144 **Results**

145 During studies of phagosome acidification after the ingestion of *C. neoformans* by murine macrophages  
146 we noted a wide distribution in pH of the resulting phagolysosomes. Given that growth rate of *C.*  
147 *neoformans* is highly affected by pH [13, 19] and that the outcome of the *C. neoformans*-macrophage  
148 interaction is likely to be determined in the phagosome [12, 20, 21], we decided to analyze the  
149 distribution of phagolysosomal pH mathematically to gain insight into the dynamics of this process. A  
150 scheme of the method used to determine phagosomal acidification with representative data from  
151 polystyrene bead phagocytosis experiments is shown in Figure 1.

152 **Figure 1.** pH measurement of phagolysosome. (A) Ratiometric measuring scheme where macrophages  
153 ingested either polystyrene beads coated with anti-mouse IgG or cryptococcal cells. Oponization was  
154 antibody-mediated using monoclonal IgG 18B7 conjugated to the pH sensitive probe Oregon green.  
155 Phagolysosomal pH was measured by using dual-excitation ratio fluorescence imaging. (B)  
156 Representative radiometric images of phagolysosomal-containing beads. Images were taken while  
157 intracellular pH of macrophages was equilibrated in buffer clamped at various pH values (pH 3.5-6.5).  
158 MetaMorph software was used to generate a ratiometric image where pH is represented by a color.  
159 Scale bar, 10  $\mu$ m. (C) Representative standard curve of mean fluorescence excitation ratio (488 nm  
160 excitation/440 nm excitation: 520 nm emission) of Oregon green bead-loaded macrophages with  
161 phagolysosomes equalized in calibration buffers at pH 3.5 to 6.5. (D) Representative images of beads  
162 inside macrophage taken at 440 nm excitation (Ex440), 488 nm excitation (Ex488), bright field (BF), and  
163 pseudo color images of the 488ex/440ex ratio with pH color scale displayed at the right panels. Scale  
164 bar, 10  $\mu$ m. (E) Violin plot with dots representing pH of individual phagolysosomes-containing beads.

165 Phagolysosomal pH are normally distributed. We analyzed 3057, 4023, 437, and 499 individual  
166 phagolysosomal pH measurements after bone marrow derived macrophages had ingested live *C.*  
167 *neoformans* or *C. gattii* cells, dead *C. neoformans* cells, or inert beads at various time intervals,  
168 respectively. To determine whether phagolysosome pH measurements followed a normal distribution,  
169 the measured relative pH values were fit to a normal distribution using the “fitdistrplus” R statistical  
170 package. We found that across all time intervals and for each of the four types of samples,  
171 phagolysosome pH measurements approximated a normal distribution (Figure 2 and 3, SFigures 1-5). Q-  
172 Q plots for all samples and conditions yielded mostly straight lines in the region of the average pH  
173 although most distributions showed skewing away from a normal distribution at the extreme higher and  
174 lower pHs.



175 **Figure 2.** Raw phagolysosomal pH data fit to normal distribution for polystyrene beads. Data from each  
176 sample was graphed as a frequency density histogram (left) and Q-Q plot (right) according to a normal  
177 distribution. Theoretical normal distribution overlays the density histogram as a red solid line. This  
178 sample deviated from a normal distribution ( $p < 9.18E-01, 2.26E-01, 6.54E-03, \text{ and } 6.31E-01$  for 1, 2, 3,  
179 and 4 h time intervals, respectively).

180 **Figure 3.** Raw phagolysosomal pH data fit to normal distribution for strain H99. Data from each sample  
181 was graphed as a frequency density histogram (left) and Q-Q plot (right) according to a normal  
182 distribution. Theoretical normal distribution overlays the density histogram as a red solid line. This  
183 sample deviated from a normal distribution ( $p < 2.73E-05, 3.49E-10, 2.00E-06, 7.75E-14, 8.88E-09, \text{ and}$   
184  $8.96E-36$  for 0, 1, 2, 3, 4, and 24 h time intervals, respectively).

185 **Supplemental Figure 1.** Raw phagolysosomal pH data fit to normal distribution for strain R265. Data  
186 from each sample was graphed as a frequency density histogram (left) and Q-Q plot (right) according to  
187 a normal distribution. Theoretical normal distribution overlays the density histogram as a red solid line.  
188 This sample deviated from a normal distribution ( $p < 3.81E-11, 2.88E-06, 4.61E-06, 5.31E-04, 2.09E-09,$   
189 and  $7.06E-18$  for 0, 1, 2, 3, 4, and 24 h timepoints, respectively).

190 **Supplemental Figure 2.** Raw phagolysosomal pH data fit to normal distribution for strain W179. Data  
191 from each sample was graphed as a frequency density histogram (left) and Q-Q plot (right) according to  
192 a normal distribution. Theoretical normal distribution overlays the density histogram as a red solid line.  
193 This sample deviated from a normal distribution ( $p < 4.80E-13, 4.34E-18, 5.72E-12, 1.52E-05, 4.94E-05,$   
194 and  $3.01E-03$  for 0, 1, 2, 3, 4, and 24 h timepoints, respectively).

195 **Supplemental Figure 3.** Raw phagolysosomal pH data fit to normal distribution for strain ure1. Data  
196 from each sample was graphed as a frequency density histogram (left) and Q-Q plot (right) according to  
197 a normal distribution. Theoretical normal distribution overlays the density histogram as a red solid line.

198 This sample deviated from a normal distribution ( $p < 1.56E-09$ ,  $1.79E-06$ ,  $3.03E-11$ , and  $1.11E-02$  for 1, 2,  
199 3, and 4 h timepoints, respectively).

200 **Supplemental Figure 4.** Raw phagolysosomal pH data fit to normal distribution for strain cap59. Data  
201 from each sample was graphed as a frequency density histogram (left) and Q-Q plot (right) according to  
202 a normal distribution. Theoretical normal distribution overlays the density histogram as a red solid line.  
203 This sample deviated from a normal distribution ( $p < 6.12E-27$ ).

204 **Supplemental Figure 5.** Raw phagolysosomal pH data fit to normal distribution for heat killed strain H99.  
205 Data from each sample was graphed as a frequency density histogram (left) and Q-Q plot (right)  
206 according to a normal distribution. Theoretical normal distribution overlays the density histogram as a  
207 red solid line. This sample deviated from a normal distribution ( $p < 4.67E-13$ ,  $4.27E-05$ ,  $3.26E-01$ , and  
208  $1.62E-02$  for 1, 2, 3, and 4 h timepoints, respectively).

209 Live *C. neoformans* skew phagolysosomal pH away from a normal distribution. We evaluated the  
210 normality of the phagolysosome pH populations as a function of time by visualization of the data  
211 overlaid with a normal curve, Q-Q plots (Figures 2 and 3, SFigure 1-5), and via the Shapiro-Wilk test  
212 (Table I, Figure 4), an established statistical test of normality as a function of distance between observed  
213 and expected measurements in relation to their order statistics [18]. The pH of phagolysosomes  
214 containing ingested beads met Shapiro-Wilk criteria for a normal distribution for measurements at three  
215 of four intervals, as we were unable to reject the null hypothesis ( $p > 0.05$ ). In contrast, the distributions  
216 of phagolysosomal pH containing live and dead yeast cells at various time intervals each manifested  
217 significant deviations from normality. The closest distribution of phagolysosomal pHs with yeast  
218 particles that met Shapiro-Wilk criteria for normality was for ingested heat-killed *C. neoformans* at 3 h  
219 post infection. We found no consistent pattern between strains of cryptococcal species with *C.*  
220 *neoformans* strain H99 decreasing, *C. gattii* strain R265 increasing then decreasing, and *C. gattii* strain

221 WM179 only increasing in normality of the dataset. Both bead and ure1 mutant ingested  
222 phagolysosomes displayed no clear trend, and heat killed ingested phagolysosomes displayed a sharp  
223 increase followed by tapering. The degree of normality for distributions of phagolysosomal pHs for  
224 phagolysosomes containing live cells varied significantly among strains and times and none meet  
225 Shapiro-Wilk criteria for a normal distribution at any time. Visualization of each dataset's respective Q-Q  
226 plot affirmed these trends, with the least amounts of skewing in bead ingested phagolysosomes or heat-  
227 killed ingested phagolysosomes at 3 h post infection.

228 **Table I.** Shapiro-Wilk p values for each sample at each timepoint for which data was acquired.

	<b>Beads</b>	<b>H99</b>	<b>R265</b>	<b>WM179</b>	<b>ure1</b>	<b>cap59</b>	<b>Heat Killed</b>
<b>0 h</b>		2.73E-05	3.81E-11	4.80E-13			
<b>1 h</b>	9.18E-01	3.49E-10	2.88E-06	4.34E-18	1.56E-09		4.67E-13
<b>2 h</b>	2.26E-01	2.00E-06	4.61E-06	5.72E-12	1.79E-06		4.27E-05
<b>3 h</b>	3.26E-01	7.75E-14	5.31E-04	1.52E-05	3.03E-11		3.26E-01
<b>4 h</b>	6.31E-01	8.88E-09	2.09E-09	4.94E-05	1.11E-02		1.62E-02
<b>24 h</b>		8.96E-36	7.06E-18	3.01E-03		6.12E-27	

229 **Table I.** Normality test p values. Deviation from a normal distribution was calculated for each sample  
230 according to Shapiro-Wilk test.

231 **Figure 4.** Visualizations of normality and sample size through time. Normality measurements (line and  
232 dot) were calculated via Shapiro-Wilk testing with psi ( $\psi$ ) denoting samples for which we were unable to  
233 reject the null hypothesis that these samples could come from a normal distribution ( $p > 0.05$ ).  
234 Phagosome sample sizes (bars) indicate how many individual phagosomes were measured for each  
235 sample at each timepoint. Cap59 was omitted as only a single timepoint of data was collected.

236 Phagosome acidification intervals are stochastic. To determine whether phagolysosomal acidification is  
237 a deterministic or stochastic process we employed a permutation spectrum test [16] in which the  
238 distribution of ordinal patterns occurring in subsets of our full dataset were analyzed. Measured  
239 phagolysosomal pHs were subtracted from an initial pH value (7.2) based on cell media pH and placed in  
240 a vector. Subsets of 4 data points were generated using a sliding window approach in which the first  
241 four values were grouped, the window shifted by one, and the subsequent set of 4 values grouped  
242 (Figure 5). Each subset was prescribed an “ordinal pattern” based on the relative values of the data  
243 points in the subset to each other with, for instance, the lowest value assigned a “0” in the ordinal  
244 pattern and the highest a “3”. The distribution of ordinal patterns across all of the subsets generated  
245 was analyzed for the existence of “forbidden patterns”—ordinal patterns that did not occur in any of the  
246 subsets. We found no forbidden patterns at any time evaluated for any of the live, dead, or bead  
247 samples (Figure 6 and 7, SFigure 6-10). The lack of forbidden patterns suggest pH acidification is a  
248 stochastic process.

249 **Figure 5.** Diagram of ordinal pattern generation using sliding window approach, as detailed by Kulp et. Al  
250 (1). A window of four terms is shifted along the entire vector of measured pH intervals. At each window  
251 position the four values are numbered from least to greatest, generating the ordinal pattern, and the  
252 resulting distribution of ordinal patterns present in the vector is analyzed. A stochastic process would  
253 result in every combination of orders being observed at a non-zero frequency. In contrast, a  
254 deterministic process would result in patterns forbidden by the underlying governing functions of this  
255 process. (A) Example of shifting window analysis. Measurements are aligned in a single vector with a  
256 scanning window of four measurements. Each value in the window is assigned a number 0-3 according  
257 to its value (least to greatest) resulting in an ordinal pattern. The ordinal patterns of every window are  
258 then analyzed for frequency. (B) An example of scanning window analysis using data from one of the *C.*  
259 *neoformans* experiments to better visualize the analysis.

260 **Figure 6.** Analysis of deterministic properties for polystyrene beads. Raw interval data (top), ordinal  
261 pattern analysis (middle), and point count analysis (bottom) for macrophage phagolysosome pH at 1, 2,  
262 3, and 4 h.

263 **Figure 7.** Analysis of deterministic properties for strain H99. Raw interval data (top), ordinal pattern  
264 analysis (middle), and point count analysis (bottom) for macrophage phagolysosome pH at 0, 1, 2, 3, 4,  
265 and 24 h.

266 **Supplemental Figure 6.** Analysis of deterministic properties for strain R265. Raw interval data (top),  
267 ordinal pattern analysis (middle), and point count analysis (bottom) for macrophage phagolysosome pH  
268 at 0, 1, 2, 3, 4, and 24 h.

269 **Supplemental Figure 7.** Analysis of deterministic properties for strain WM179. Raw interval data (top),  
270 ordinal pattern analysis (middle), and point count analysis (bottom) for macrophage phagolysosome pH  
271 at 0, 1, 2, 3, 4, and 24 h.

272 **Supplemental Figure 8.** Analysis of deterministic properties for strain ure1. Raw interval data (top),  
273 ordinal pattern analysis (middle), and point count analysis (bottom) for macrophage phagolysosome pH  
274 at 1, 2, 3, and 4 h.

275 **Supplemental Figure 9.** Analysis of deterministic properties for strain cap59. Raw interval data (top),  
276 ordinal pattern analysis (middle), and point count analysis (bottom) for macrophage phagolysosome pH  
277 at 24 h.

278 **Supplemental Figure 10.** Analysis of deterministic properties for heat killed strain H99. Raw interval data  
279 (top), ordinal pattern analysis (middle), and point count analysis (bottom) for macrophage  
280 phagolysosome pH at 1, 2, 3, and 4 h.

281 Time intervals from ingestion of *C. neoformans* to initial budding are stochastic. *C. neoformans*  
282 replication rate is highly dependent on pH [13]. Consequently, we hypothesized that if phagolysosomal  
283 acidification followed stochastic dynamics, this would be reflected on the time interval to initial  
284 replication. Analysis of time to initial fungal cell budding revealed stochastic dynamics with no evidence  
285 of forbidden ordinal patterns (Figure 8). Similar results were observed for initial budding of wild type  
286 and urease negative strains of *C. neoformans*, which reside in phagolysosomes that differ in final pH as a  
287 result of ammonia generation from urea hydrolysis. Hence, for both strains the distribution was  
288 stochastic despite the fact that phagosomes of urease deficient strains are approximately 0.5 pH units  
289 lower than those of wild type strains [13].

290 **Figure 8.** Analysis of deterministic properties for intracellular replication intervals of strains (A) H99 and  
291 (B) ure1. Raw interval data (top), ordinal point analysis (middle), and point count analysis (bottom) for  
292 intervals, in minutes, between budding times.

293 Macrophage phagolysosomes acidify to a pH below optimal growth for soil microbes. The pH of soils  
294 varies greatly from acidic to alkaline based on variety of conditions that in turn determine the associated  
295 microbiome [22]. Since the phagolysosome is an acidic environment, we reasoned that microbes that  
296 thrived in acidic soils could provide proxy of the types of microbes that macrophages could encounter,  
297 and which pose a threat to the cell/host due to their acidophilic nature. Hence, we compared the  
298 distribution of phagolysosomal pH values obtained with latex beads as a measure of the types of  
299 acidities generated in the absence of microbial modulation relative to published soil microbe growth  
300 data as a function of pH (Figure 9). The latex bead pH distribution is narrow and centered at a pH of  
301 about 4.5, which corresponds to a pH that significantly reduces the optimal growth even for microbes in  
302 acidic soils.

303 **Figure 9.** Comparison of pH distribution for phagolysosomes containing inert polystyrene beads to the  
304 growth of soil microbes at different pH as indicated by thymidine incorporation. The frequency density  
305 of measured latex bead pH values is represented by a light blue fill. The points for the growth of soil  
306 microbes at different pHs (red dots) are averages replotted from three published experiments found in  
307 Figures 2 of [23] and 4 of [24] with error bars representing standard deviation.

308

### 309 **Discussion**

310 The process of phagosomal maturation encompassed by the fusion of the phagosome with lysosomes,  
311 which leads to lumen acidification, is a complex choreography that includes the recruitment of V-ATPase  
312 from lysosomes to the phagosome [25] and a large number of other protein components [26]. The  
313 complexity and sequential nature of the maturation process combined with the potential for variability  
314 at each of the maturation steps, and the noisy nature of the signaling networks that regulate this  
315 process, have led to the proposal that each phagolysosome is a unique and individual unit [27]. In fact,  
316 the action of kinesin and dynein motors that move the phagosome along microtubules has been shown  
317 to exhibit stochastic behavior adding an additional source of randomness to the process [28]. Hence,  
318 even when the ingested particle is a latex bead taken through one specific type of phagocytic receptor  
319 there is heterogeneity in phagosome composition, even within a single cell [27]. Since the phagosome is  
320 a killing machine used to control ingested microbes this heterogeneity implies there will be differences  
321 in the microbicidal efficacy of individual phagosomes. This variability raises fundamental questions  
322 about the nature of the dynamical system embodied in the process of phagosomal maturation.

323 In this study, we analyzed the dynamics of phagosome variability, as reflected by their pH, as a function  
324 of time for live and dead cells as well as latex particles. We aimed to characterize the dynamics as either  
325 stochastic—an inherently unpredictable process with identical starting conditions yielding different

326 trajectories in time vs. deterministic—a theoretically predictable process with identical starting  
327 conditions leading to identical trajectories. In particular, we focused our analysis on differentiating  
328 stochastic vs. chaotic signatures in the trajectories of phagolysosomal pH. While both dynamics might  
329 yield highly divergent trajectories for similar starting conditions (i.e. only one of 100 variables differing  
330 by only a minuscule amount), a chaotic system is inherently deterministic whereby if identical starting  
331 conditions could be replicated, the same trajectory would follow from those conditions each time. A  
332 chaotic system is defined as one so sensitive to initial conditions, however, that in practice, initial  
333 conditions cannot be replicated precisely enough to see these same trajectories follow.

334 Irrespective of the nature of the particle used, we observed that the distribution of the increment of  
335 phagolysosomal pH reduction was random, indicative of a stochastic process. We found no evidence  
336 that phagosome acidification was a chaotic process. Given the complexity of phagosomal maturation,  
337 and that in the case of *C. neoformans* the final pH is affected by such microbial variables as the presence  
338 of urease [13], size and composition of the capsule [6], the acid-base properties of the capsule [15],  
339 fungal cell interference with phagosome maturation [20, 29, 30], and the possibility of leakage of  
340 cytoplasmic contents as a result of membrane damage [12, 31], it is clear that a large number of  
341 variables contribute to phagosomal maturation. Systems where a large number of variables each  
342 contribute to an outcome tend to exhibit ‘noise’, which in turn gives them the characteristics of a  
343 stochastic dynamical system. In this regard, our finding that phagolysosomal pH demonstrates  
344 stochastic features is consistent with our current understanding of the mechanisms involved.

345 For *C. neoformans* there is increasing evidence that the fate of the microbe-macrophage interaction is  
346 determined by the integrity of the phagolysosomal membrane [12, 21]. For most microbes,  
347 maintenance of an acidic environment in the phagolysosome is critically determinant on the integrity of  
348 the phagolysosomal membrane to keep protons in the phagolysosomal lumen and exclude more alkaline  
349 cytoplasmic contents. For example, with *C. albicans* rupture of the phagolysosomal membrane is



350 followed by rapid alkalization of the phagolysosomal lumen [32]. For *C. neoformans*, phagolysosomal  
351 integrity is compromised by secretion of phospholipases that damage membranes and the physical  
352 stress on membranes resulting from capsular enlargement in the phagolysosome [12]. However, for *C.*  
353 *neoformans*, loss of phagolysosomal membrane integrity does not immediately result in loss of  
354 phagolysosomal acidity [12], which is attributed to buffering by glucuronic acid residues in capsule [15].  
355 Adding to the complexity of the *C. neoformans*-macrophage interaction is that the phagolysosomal pH in  
356 the vicinity of 5.5 matches the optimal replication pH for this fungus [13], which can be expected to  
357 place additional stress on the organelle through the increased volume of budding cells. Treating  
358 macrophages with chloroquine, which increases phagosomal pH [33], potentiates macrophage  
359 antifungal activity against *C. neoformans* [34]. Hence, phagosomal acidification does not inhibit *C.*  
360 *neoformans* replication but it is critical for activation of mechanisms involved in antigen presentation  
361 [30]. In the Cryptococcal-containing phagolysosome the luminal pH is likely to also reflect a variety of  
362 microbial-mediated variables which include ammonia generation from urease, capsular composition,  
363 and the integrity of the phagolysosomal membrane.

364 Quantile-Quantile (Q-Q) plots revealed that most phagolysosomal pH distributions in this study  
365 manifested significant deviations from normality in several instances. The most normally distributed pH  
366 sets were those resulting from the ingestion of latex beads, particles that cannot modify the acidity of  
367 the phagosome through capsular acid-base properties or by damaging the phagolysosomal membrane  
368 and allowing contact with cytoplasmic contents. We note that for the three *C. gattii* strains the pH  
369 distributions revealed more skewing in Q-Q plots than for the H99 *C. neoformans* strain. Although the  
370 cause of this variation is not understood and the sample size is too small to draw firm conclusions, we  
371 note that such variation could reflect more microbial-mediated modification of the phagolysosomal pH  
372 by the *C. gattii* strains. In this regard, the capsular polysaccharide of *C. gattii* strains has polysaccharide  
373 triads that are more complex [35] and, given that the cryptococcal polysaccharide capsule contains

374 glucuronic acids that can modify phagolysosomal pH through its acid-base properties, it is possible that  
375 this skewing reflects differences in phagosome to phagosome capsular effects.

376 Analysis of the normality of phagolysosomal pH distributions as a function of time by the Shapiro-Wilk  
377 test produced additional insights into the dynamics of these systems. Phagolysosomes containing inert  
378 beads manifested distributions that met criteria for normality at most time intervals. In contrast, the  
379 distribution of phagolysosomes containing dead *C. neoformans* cells initially veered away from normality  
380 at 1 h but in later time intervals approached normality and met the criteria for normality at 3 h. One  
381 interpretation of this result is that the process of phagocytosis is itself a randomizing system with  
382 Gaussian noise resulting from resulting phagosome formation and the initial acid base reactions  
383 between increasing proton flux and quenching glucuronic acids in the capsular polysaccharide [15].  
384 With time, the titration was completed as dead cells did not synthesize additional polysaccharide and  
385 the distribution moved toward normality. A similar effect may have occurred with strains 265, 179 and  
386 the urease deficient strain. Convergence to or away from normality could reflect the sum of a myriad of  
387 effects that affect phagolysosomal pH, including the intensity of acidification, the volume of the  
388 phagolysosome that is determined largely by the capsule radius, the glucuronic acid composition of the  
389 capsule, the production of ammonia by urease and the leakiness of the phagolysosome to cytoplasmic  
390 contents with their higher pH. Although our experiments cannot sort out the contributions of these  
391 factors they suggest that in combination they produce Gaussian noise effects that push or pull the  
392 distribution to or from normality.

393 In this study the limitations of current experimental design forced us to measure phagolysosomal pH at  
394 discrete time intervals rather than a continuous function of time. This in turn produced a more global  
395 rather than a granular picture of the changing dynamics of phagolysosomal pHs. Traditional signal  
396 sampling theory defines a threshold sampling frequency (the Nyquist frequency [36, 37]) above which  
397 the structure of a signal can be fully captured when sampled. This frequency is defined as twice the

398 maximal frequency component of a function when represented, for instance, by its Fourier transform  
399 [38]. Hence, with changes in pH of an individual phagosome occurring on the order of minutes [4, 5], our  
400 sampling rate of every hour was not sufficient to capture the full structure of any one phagosome's  
401 unique course of pHs—a course that, in itself, could conceivably be characterized as stochastic or  
402 deterministic. Additionally, inherent to any act of observational measurement is an omission of the  
403 fluctuations in value that might occur over the course of taking the measurement—effectively further  
404 limiting the rate at which a system can be sampled and potentiating failure to capture the full (and true)  
405 structure of a dynamical system. With our described methods, we've instead focused our analysis on the  
406 evolution of the distribution of phagosomal pHs rather than the trajectory of any individual phagosomal  
407 pH overtime and ultimately, on the steady state to which these distributions are trending rather than  
408 nuances in their course of getting there.

409 Given that phagosomal pH will impact fungal growth in the phagosome [13] and the activity of many  
410 enzymes [39], the nature of the phagolysosomal pH distribution provides important insights into this  
411 system. The finding that the pH distribution for cryptococcal phagolysosomes is stochastic implies a  
412 strong role for the element of chance on the outcome of the macrophage-fungal cell interaction. This, in  
413 turn, implies an inherent unpredictability in the outcome of the struggle in each phagolysosome.  
414 Consequently, similar inputs as represented by ingestion of comparable fungal cells could have very  
415 different outputs with regards to the survival of the fungal or macrophage cells. Unpredictability at the  
416 level of the phagosome could impart unpredictability at the level of the microbe-host interaction and  
417 contribute to highly variable outcomes observed in infectious diseases. In this regard, the mean number  
418 of bacteria in phagosomes and cytoplasm of macrophages infected with the intracellular pathogen  
419 *Franciscella tularensis* exhibits stochastic dynamics [40], which in turn could result from the type of  
420 stochastic processes in phagosome formation noted here.

421 A stochastic dynamical process for phagolysosomal acidification could provide immune phagocytic cells  
422 and their hosts with their best chance for controlling ingested microbes. When a phagocytic cell ingests  
423 a microbe it has no information as to the pH range tolerated by the internalized microbe. For example,  
424 an acidic environment favors pathogenic microbes such as *C. neoformans* [19] and *Salmonella*  
425 *typhimrium* [41] whereas for *M. tuberculosis* a less acidic phagosomal pH is conducive to intracellular  
426 survival [42]. During an infectious process when the immune system is confronting a large number of  
427 microbial cells the random nature of the final phagosomal pH means that some fraction of the infecting  
428 inoculum will be controlled by initial ingestion, possibly killed and the process of antigen presentation  
429 would proceed to elicit powerful adaptive responses to control the infection. Hence, chance in  
430 phagolysosomal pH acidification could allow phagocytic cells with a mechanism for hedging their bets  
431 such that the stochastic nature of the process is itself a host defense mechanism.

432 In biology, bet-hedging was already described by Darwin as a strategy to overcome an unpredictable  
433 environment [43], which is now known as diversified bet-hedging. That is, diversify offspring genotype  
434 to ensure survival of the few, at the expense of reducing the mean inclusive fitness of the parent.  
435 Scholars have now introduced two additional bet-hedging strategy. First, conservative bet-hedging,  
436 where individuals use the same low-risk however successful strategy regardless of their environment.  
437 Second, Adaptive bet-hedging in which individuals employ a prediction mechanism to anticipate future  
438 environmental conditions. Some studies also propose that a mix of these may be used by biological  
439 organisms. The main idea behind a bet-hedging strategy under the assumption of multiplicative fitness,  
440 is that to maximize long-term fitness, an organism has to lower its variance in fitness between  
441 generations [44-46].

442 Our observations suggest that, as a population, macrophages perform a bet-hedging strategy when  
443 faced with a microbe with unknown pathogenic potential. They bet-hedge by introducing a pH level as  
444 non-hospitable to pathogens as possible, while still maintaining biologically possible levels. However,

445 such an approach can select for acid-resistant microbes. Our observation suggests that to avoid an arms-  
446 race, the macrophage not only lowers the pH level to a level that is unfavorable to most microbes, but  
447 also introduces randomness in the achieved pH, such that ingested microbes are less likely to adapt to  
448 the potentially hostile environment. To fully analyze the consequences of the strategy adopted by the  
449 observed macrophages, a closer analysis is required that considers the costs and benefits provided to  
450 the hosts (macrophages) while utilizing such a bet-hedging strategy. Although this is outside of the  
451 scope of this current work as additional measurement to address these costs and benefits are required,  
452 our observations suggest this line of investigation for future studies.

453 In summary, we document that phagosomal acidification, a critical process for phagocytic cell efficacy in  
454 controlling ingested microbial cells manifests stochastic dynamics. This in turn implies a large role for  
455 chance in the resolution of the conflict played out between microbes and host phagocytic cells in  
456 individual phagosomes. Recently we have argued that chance is also a major determinant of individual  
457 susceptibility to infectious diseases at the organismal level [47]. Chance in the outcome of infectious  
458 disease outcomes in individual hosts may reflect the sum of innumerable chance events for host-  
459 microbe interactions at the cellular level, which include the process of phagosome acidification.

460

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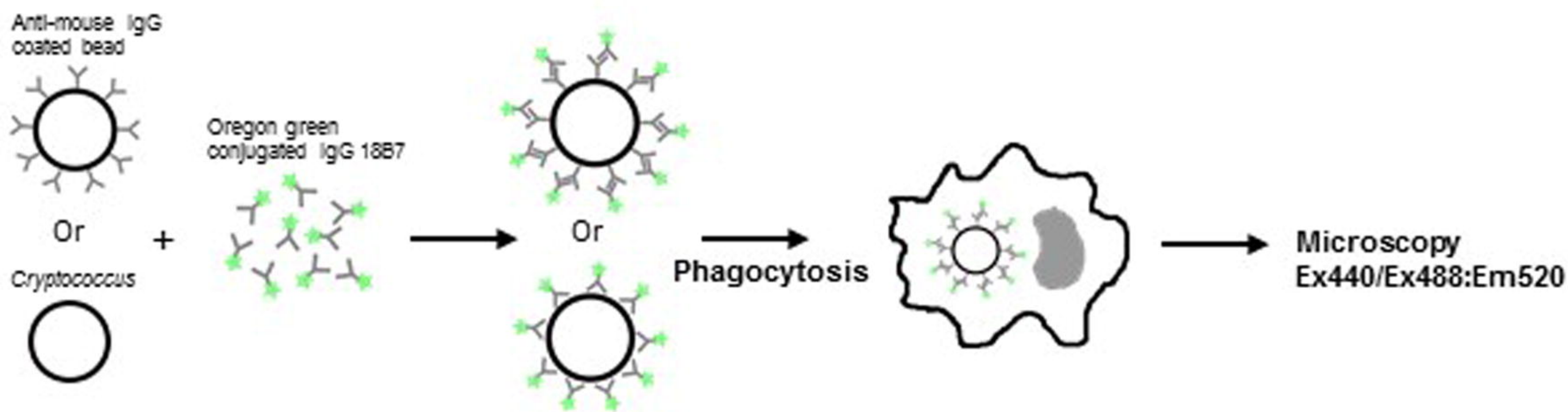


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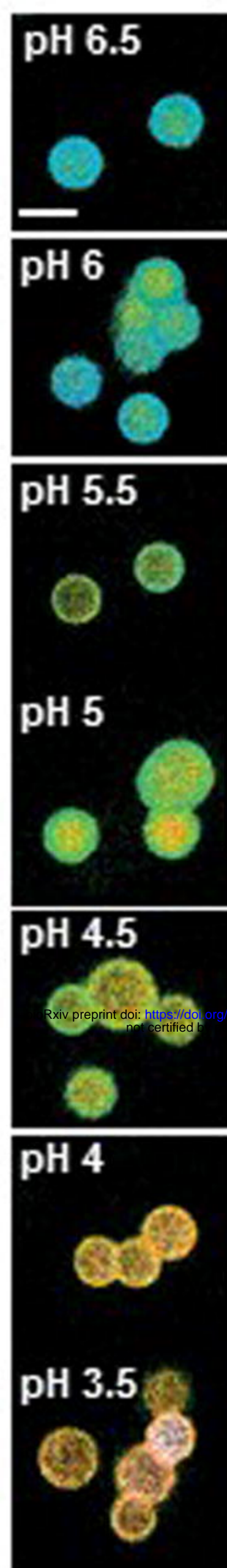
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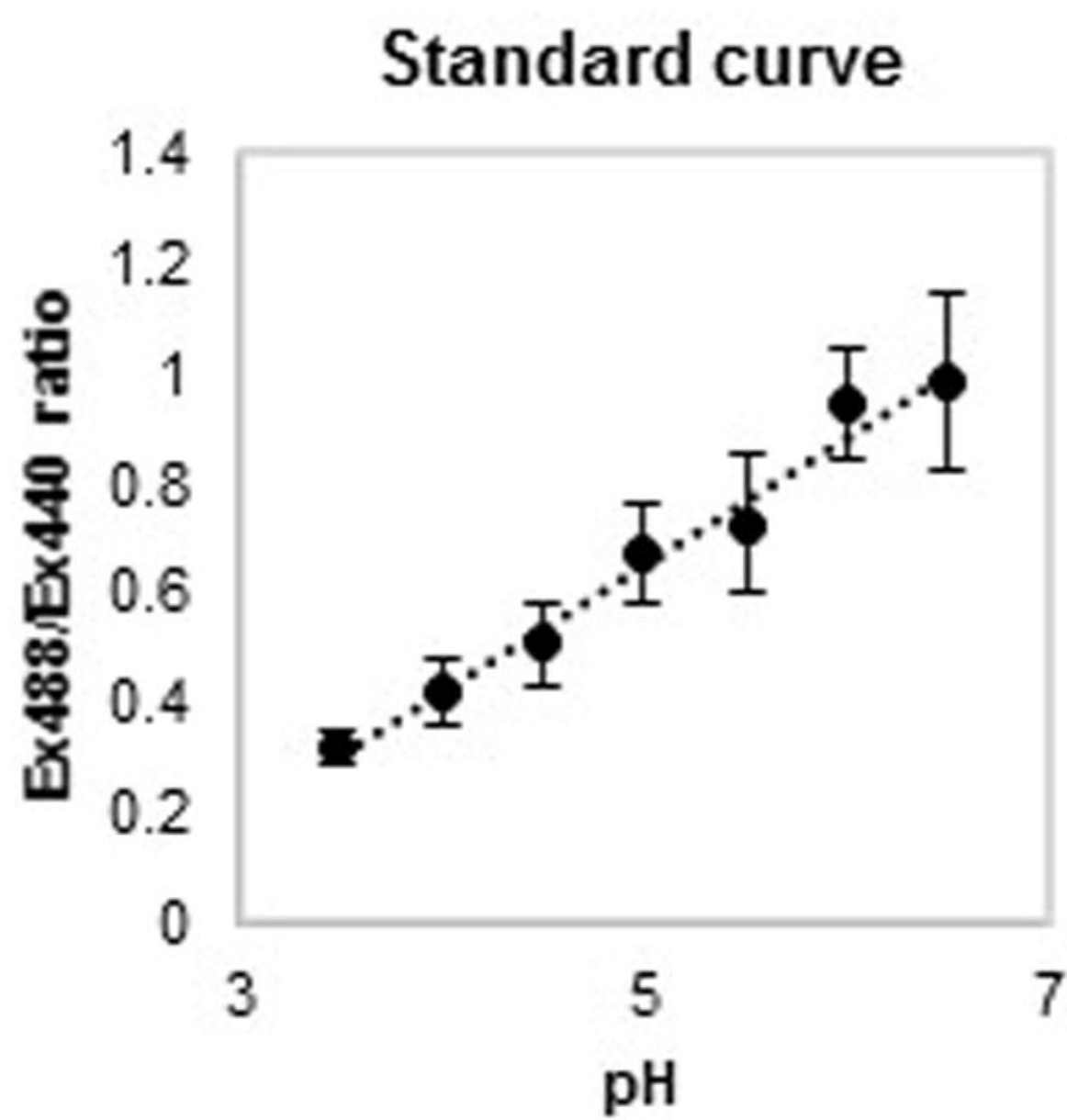
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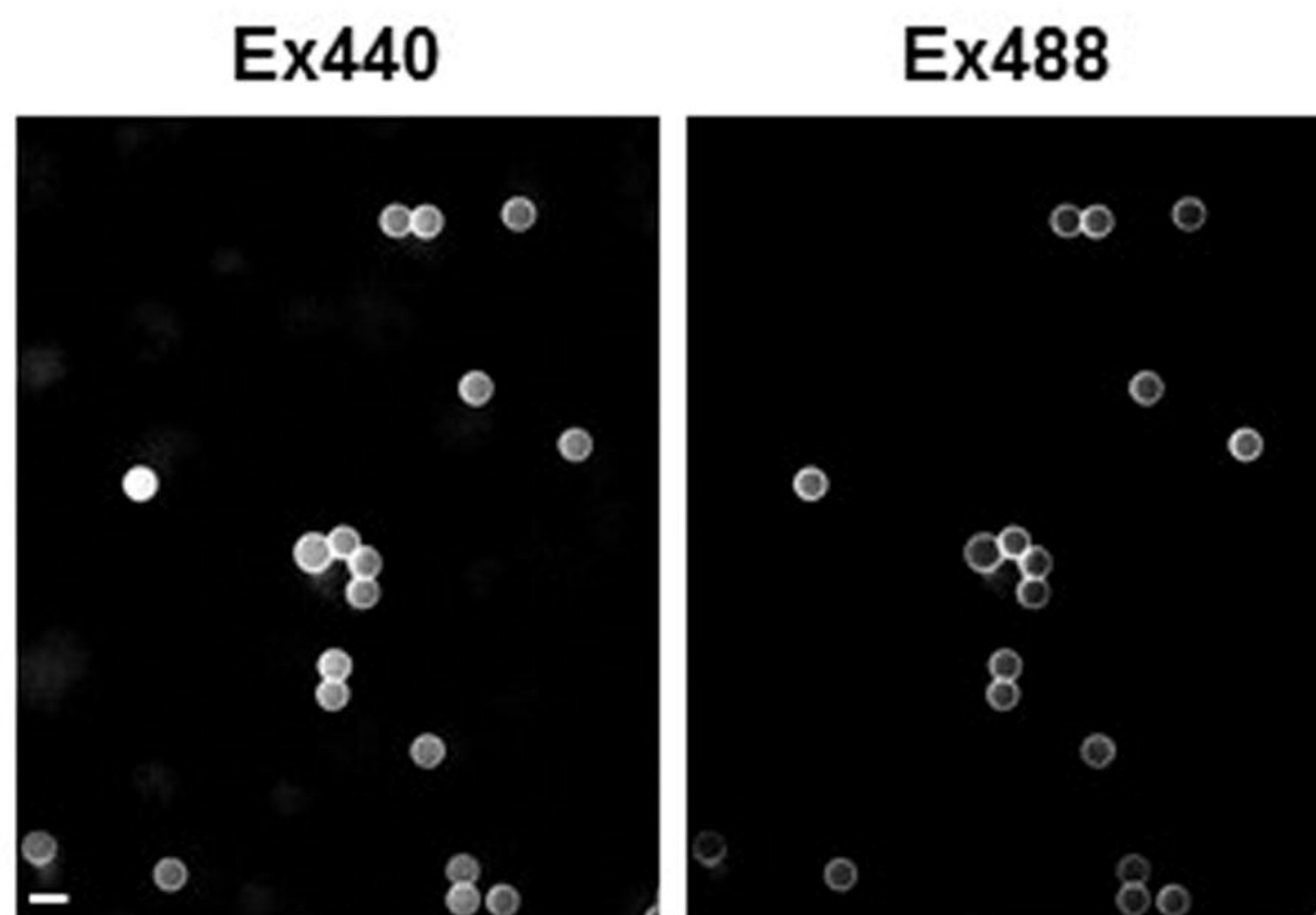
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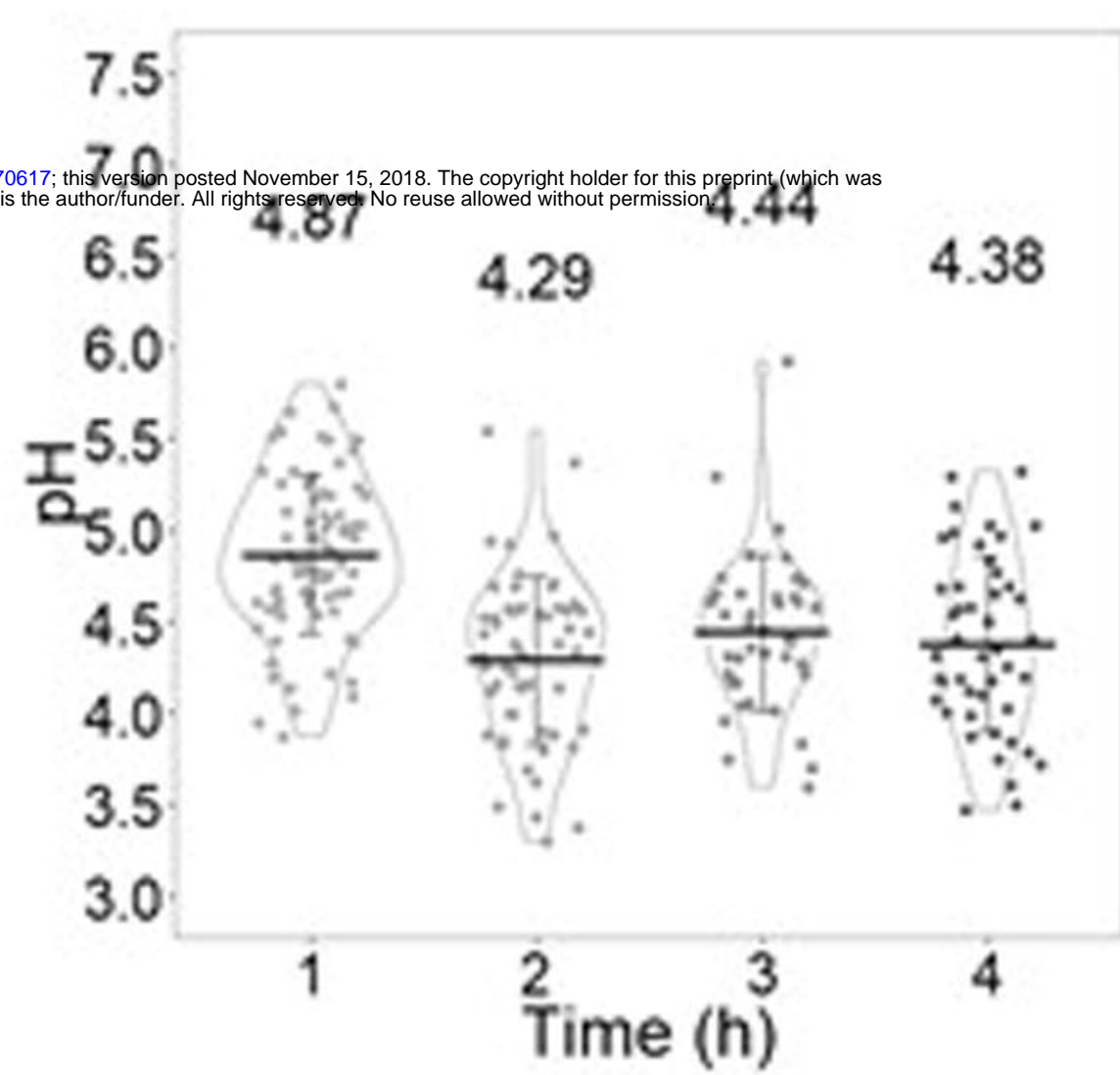
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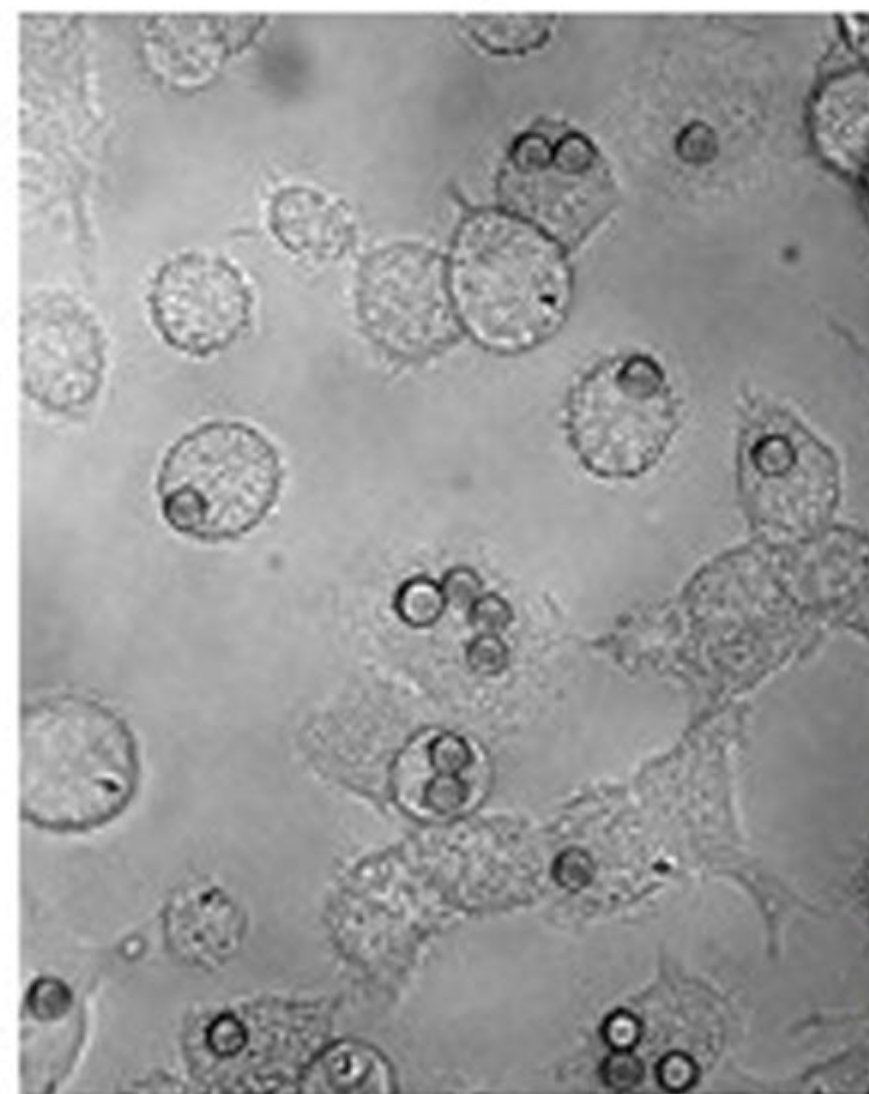
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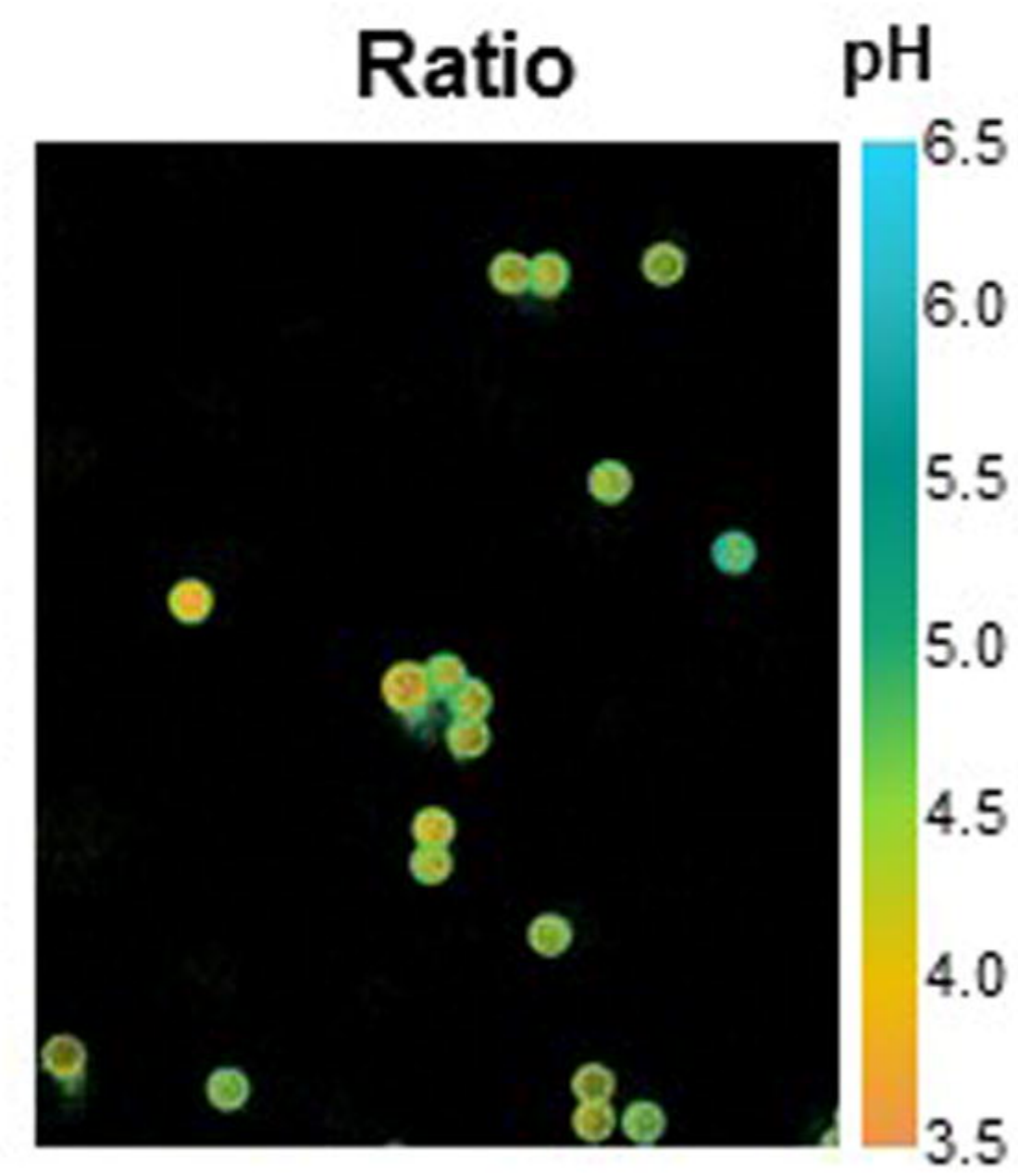
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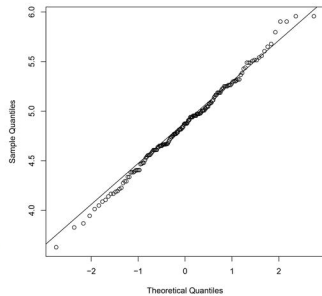
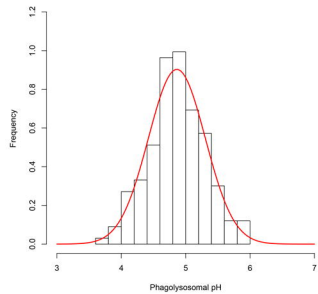
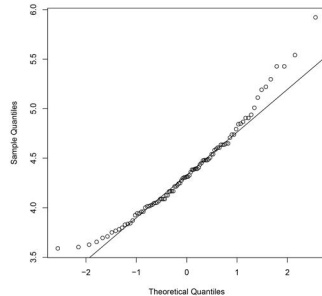
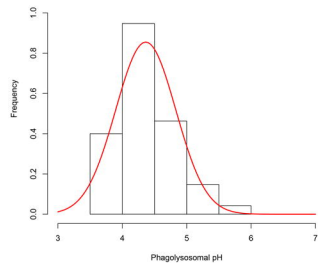
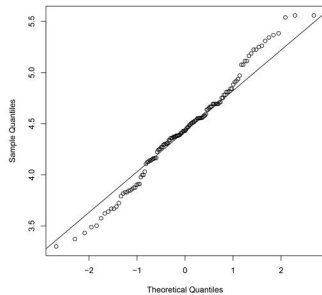
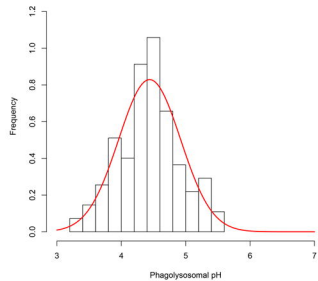
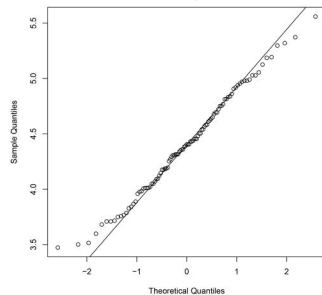
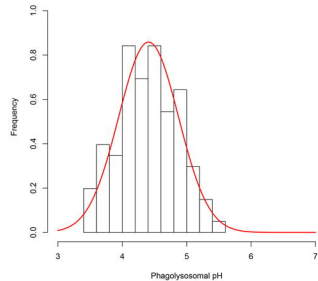


BF

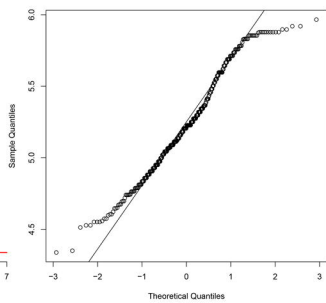
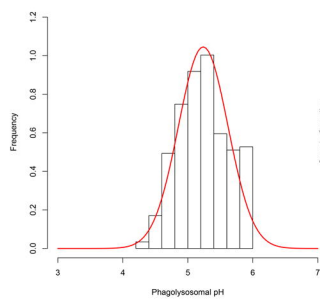
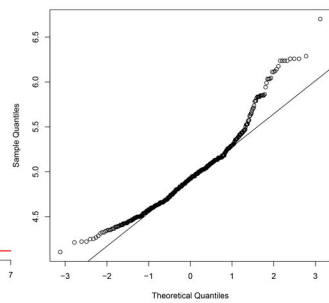
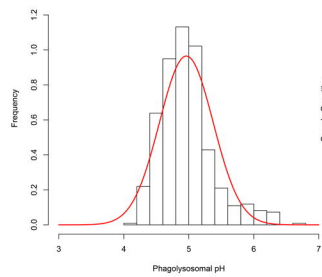
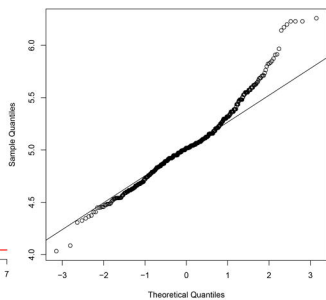
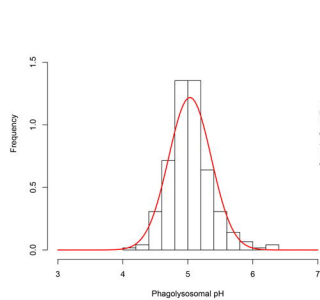
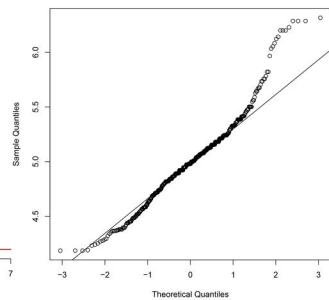
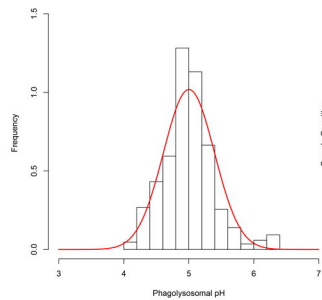
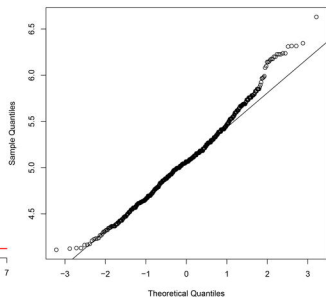
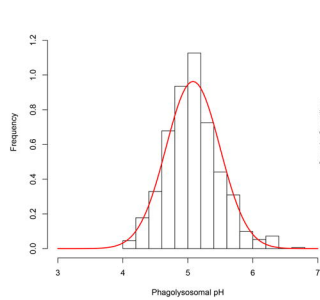
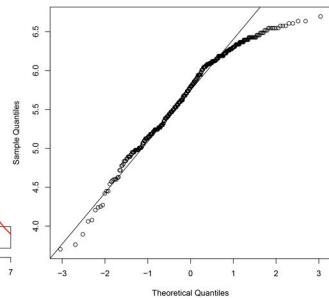
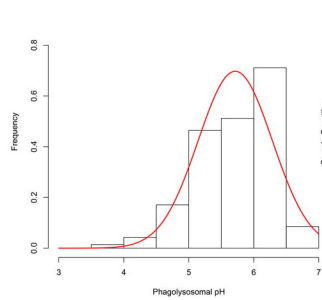


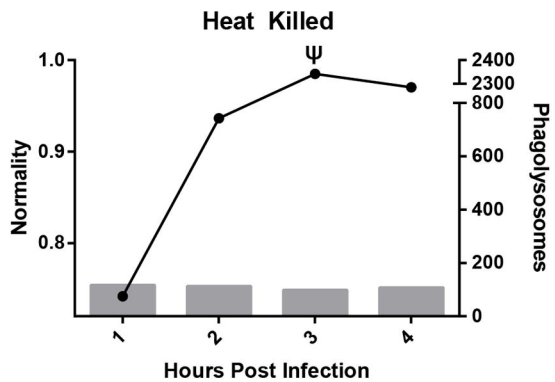
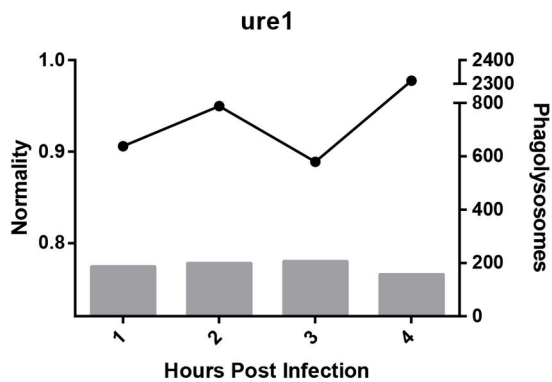
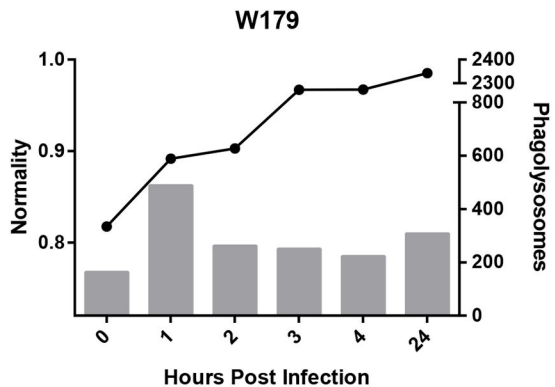
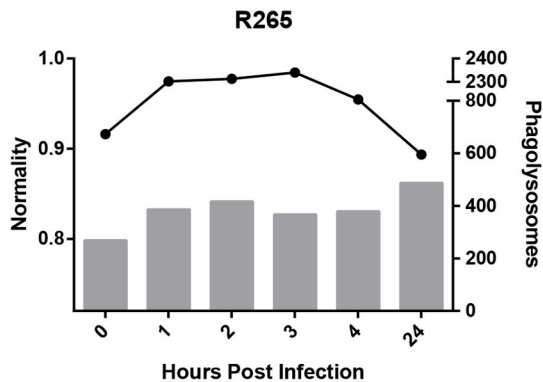
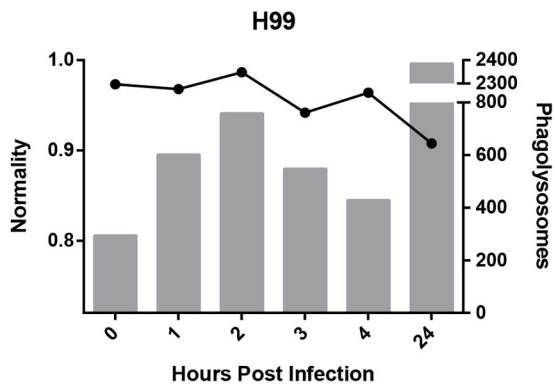
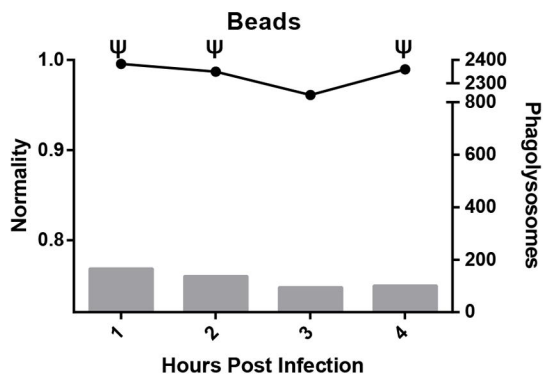
Ratio



**1 h****3 h****2 h****4 h**



**0 h****3 h****1 h****4 h****2 h****24 h**



**A**Scanning Window

$[x_0, [x_1, x_2, x_3], x_4], x_5, x_6, \dots, x_n$

Window ValuesOrdinal Pattern

$x_0, x_1, x_2, x_3$

$1, 0, 3, 2$

$x_1, x_2, x_3, x_4$

$0, 3, 2, 1$

**B**Scanning Window

$[4.89, [4.55, [5.80, 5.14], 5.85], 5.75], \dots, 4.93$

Window ValuesOrdinal Pattern

4.89, 4.55, 5.80, 5.14

1, 0, 3, 2

4.55, 5.80, 5.14, 5.85

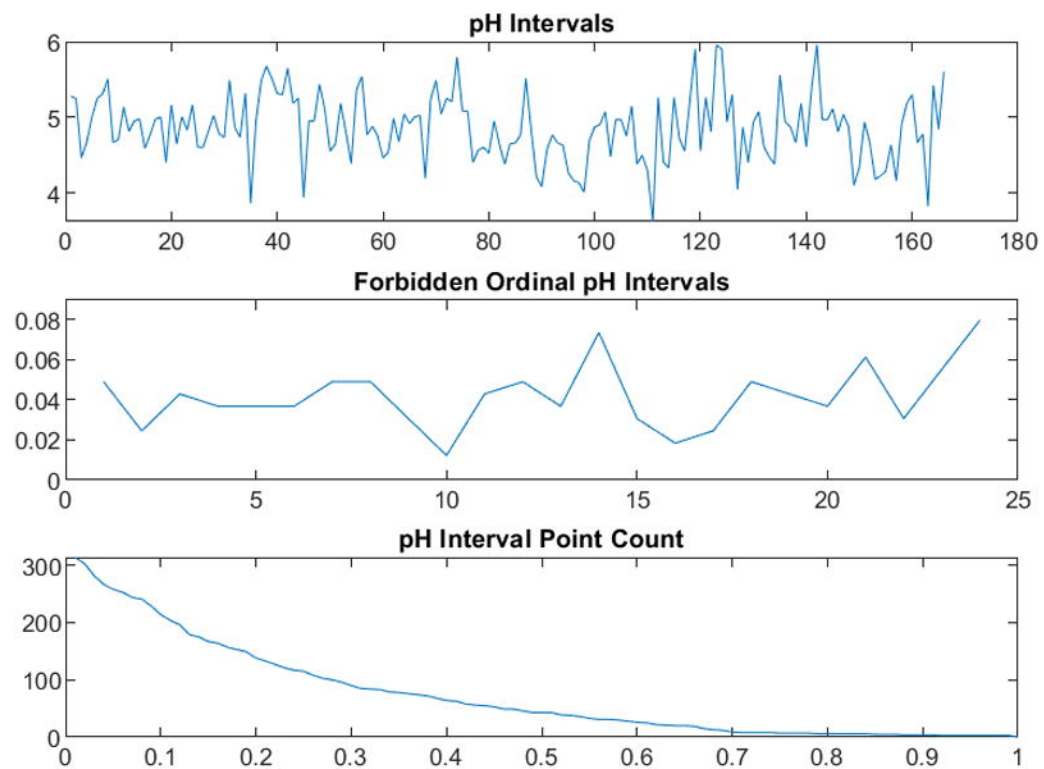
0, 2, 1, 3

5.80, 5.14, 5.85, 5.75

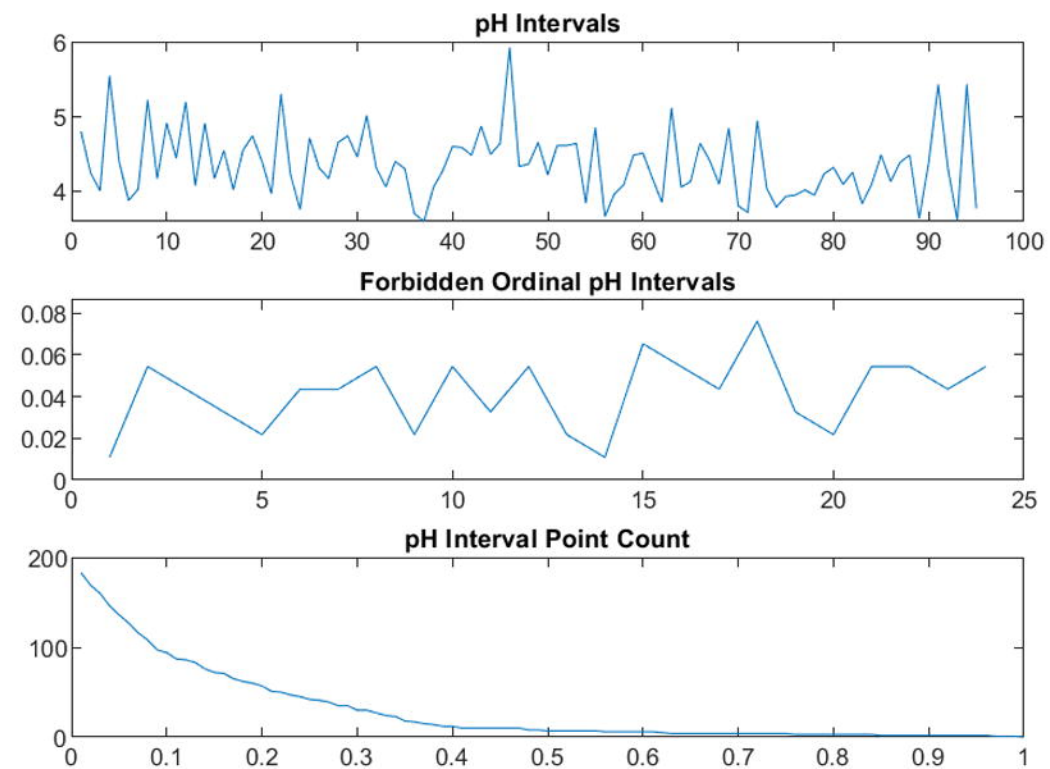
2, 0, 3, 1



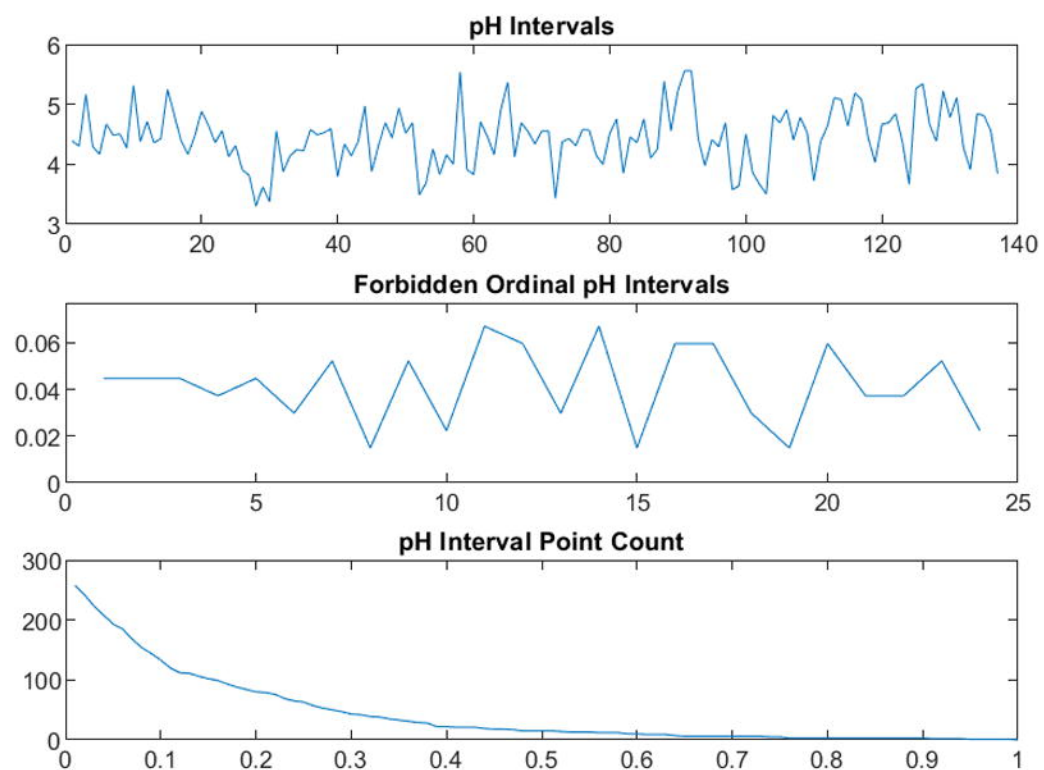
**1 h**



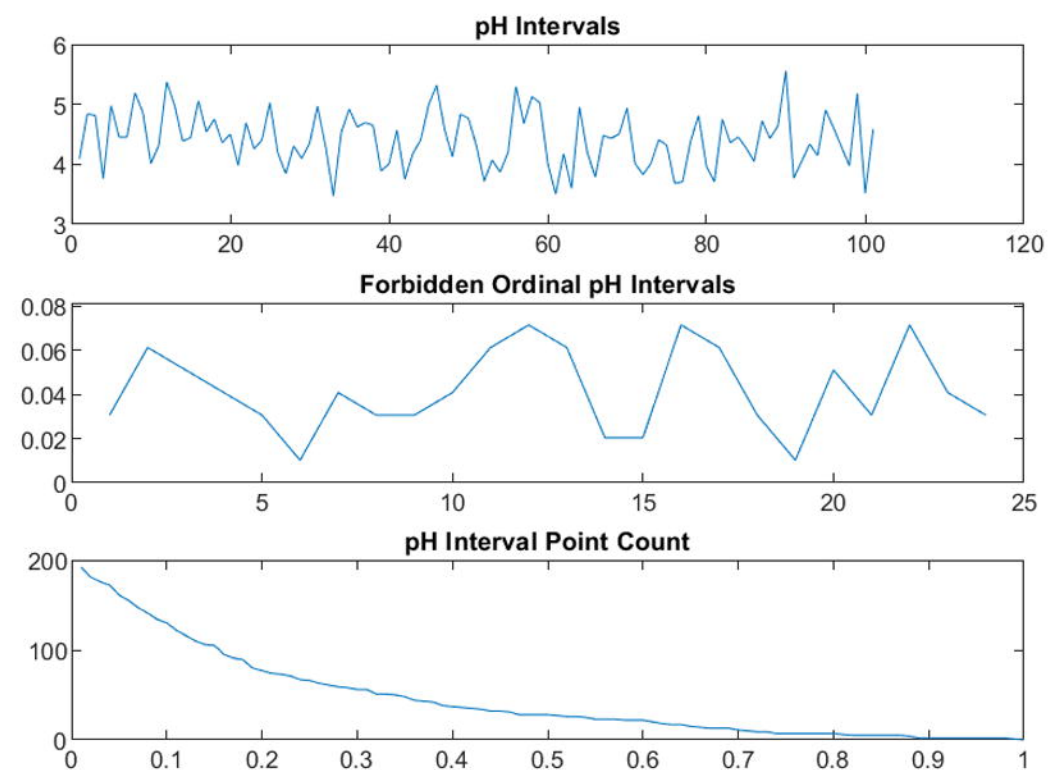
**3 h**



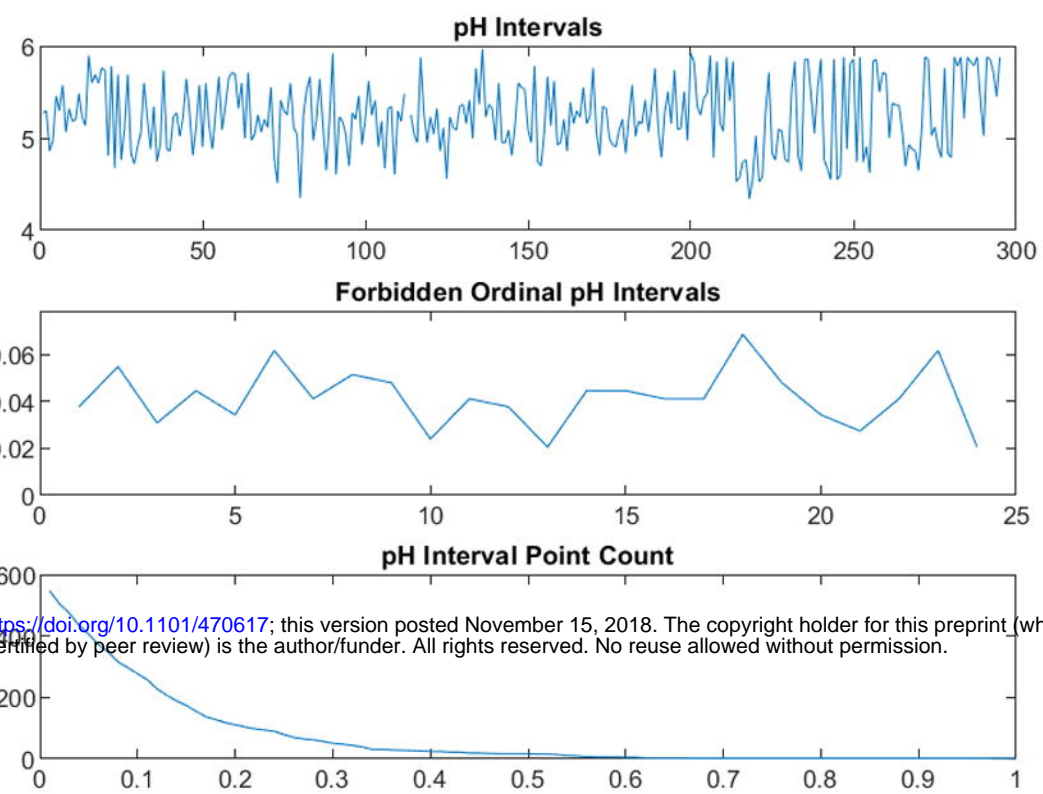
**2 h**



**4 h**

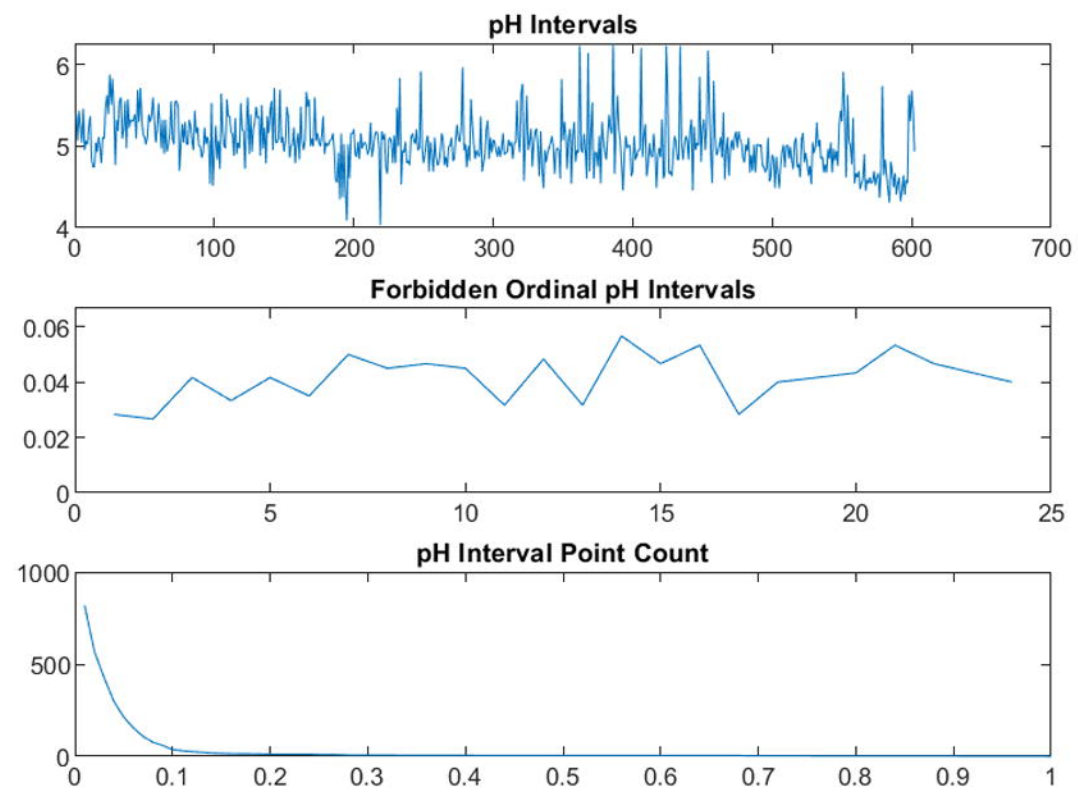


0 h

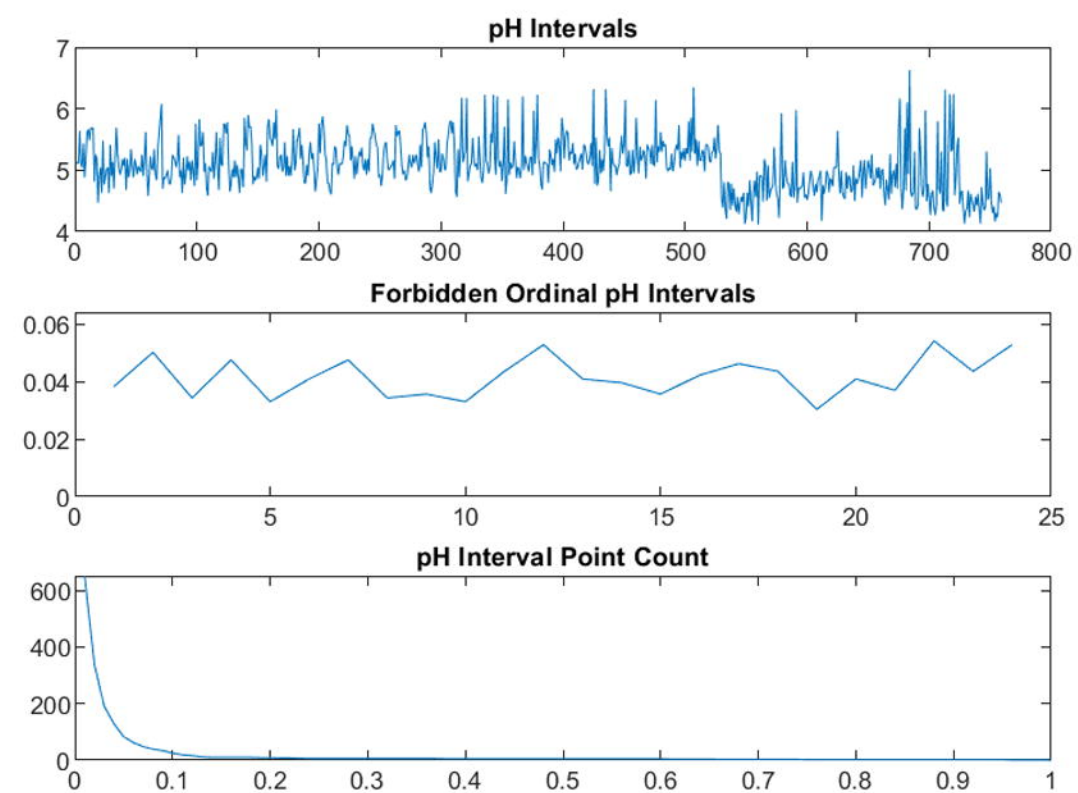


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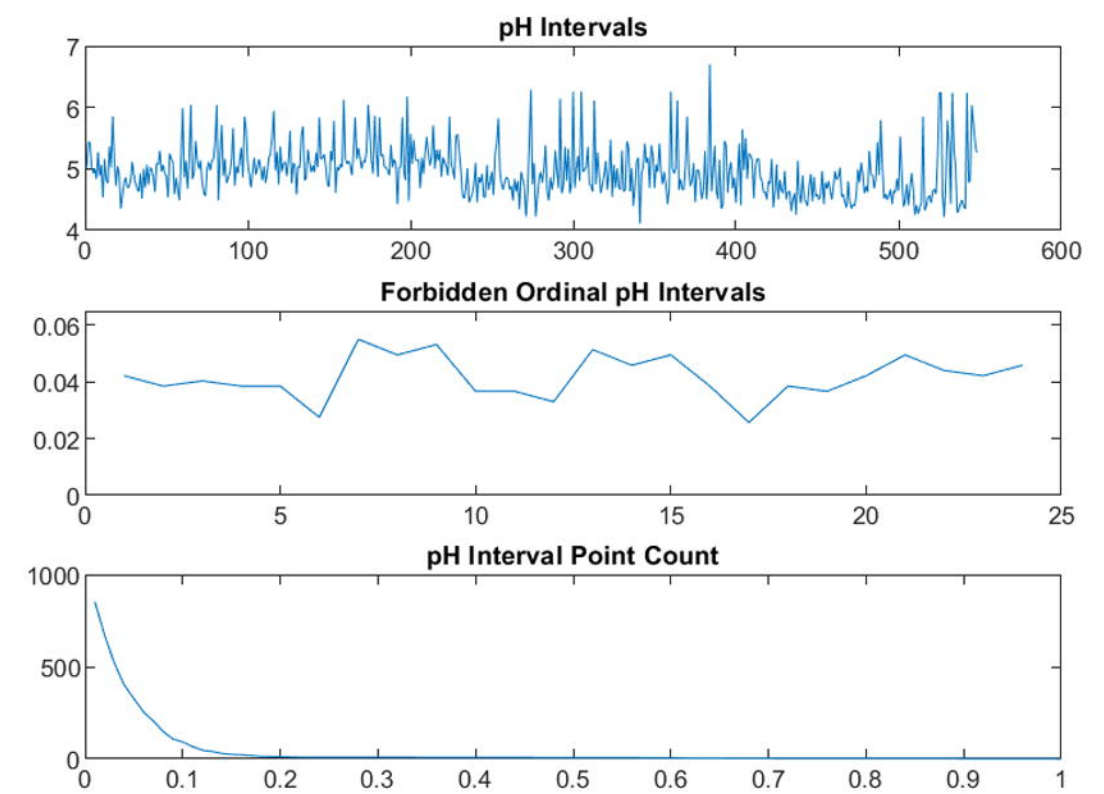
1 h



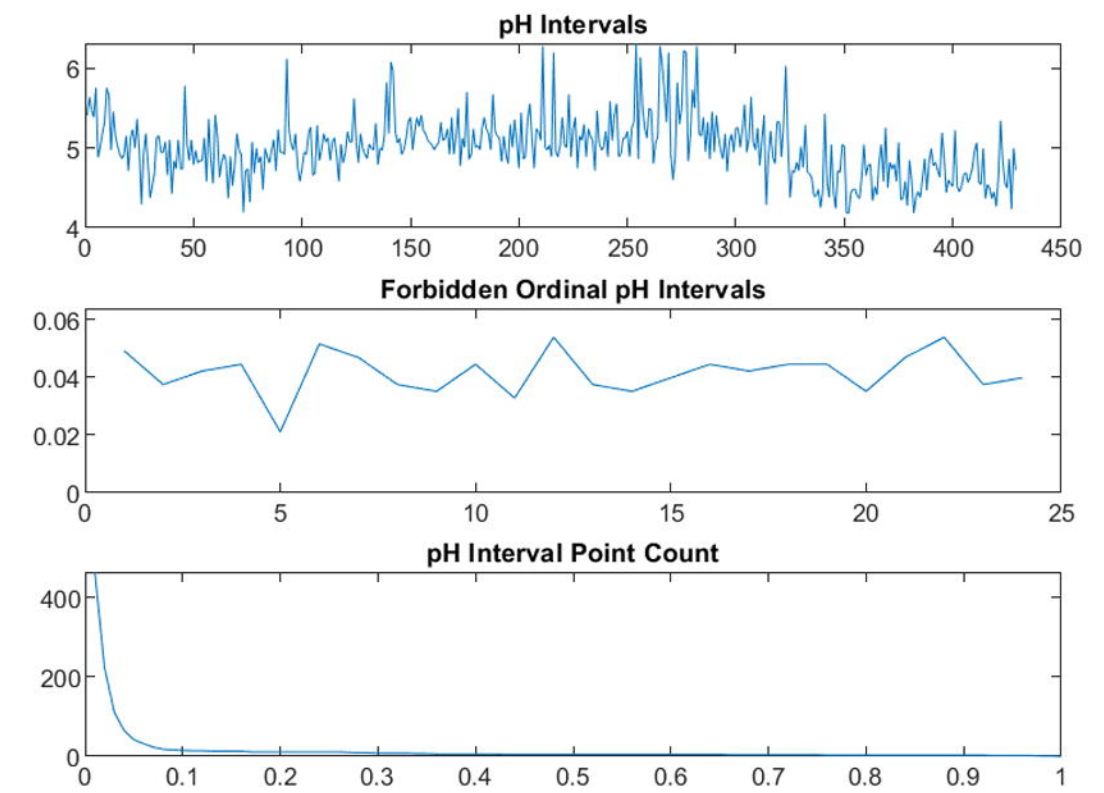
2 h



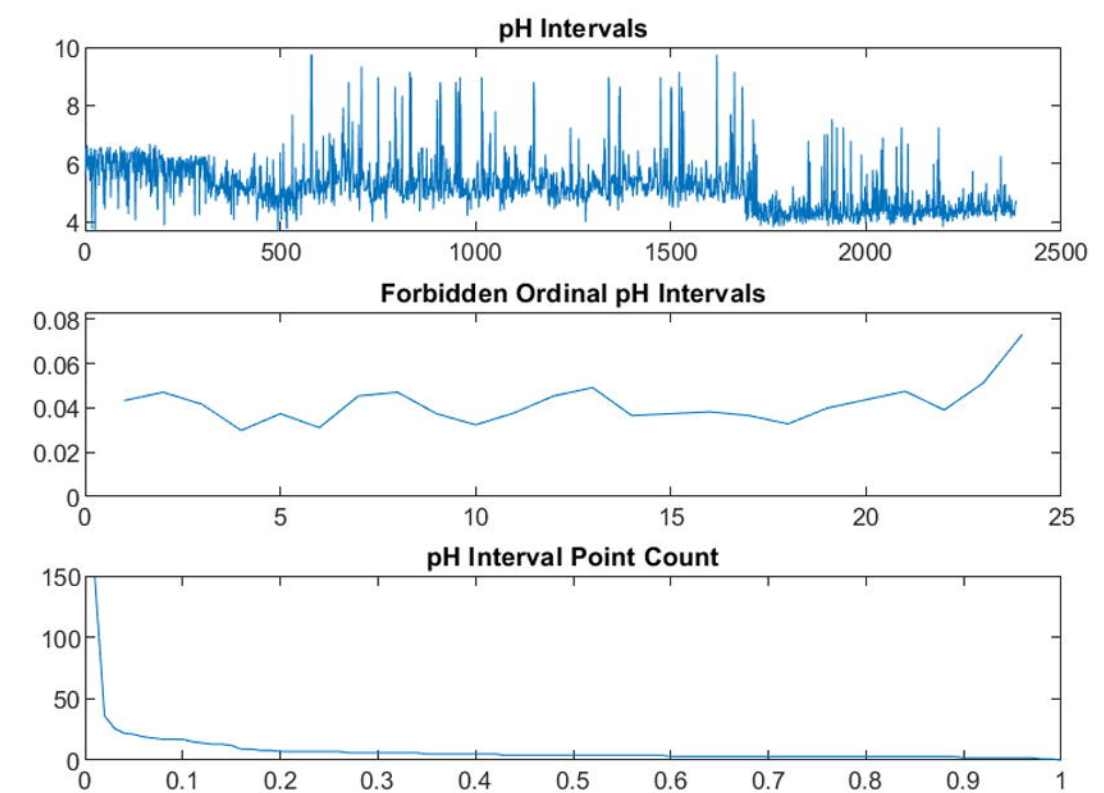
3 h

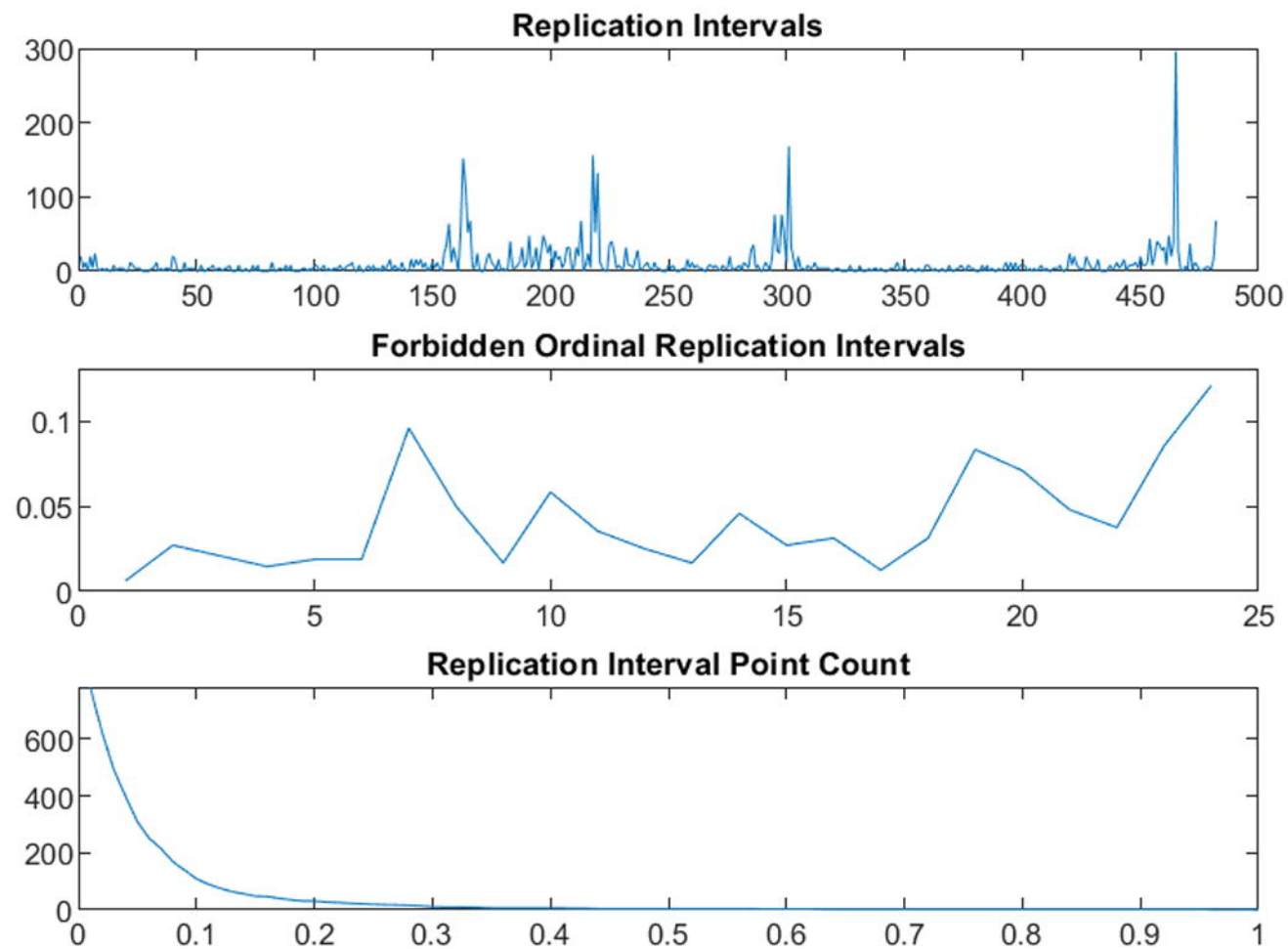
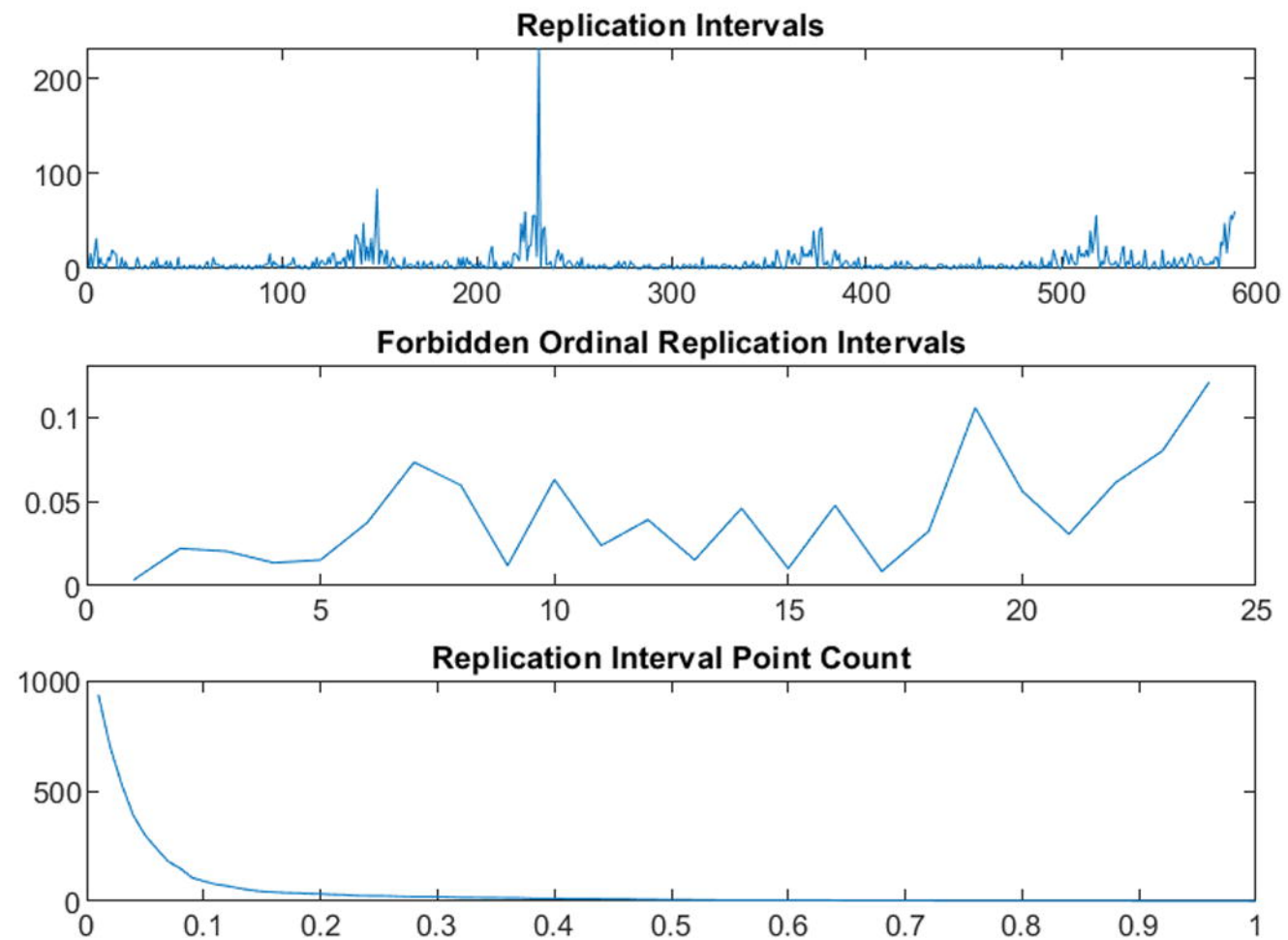


4 h



24 h



**A****B**



Bead Phagolysosome Frequency

0.8  
0.6  
0.4  
0.2  
0.0



4

6

8

pH

Percent Thymine Incorporation in Soil Microbes

1.0  
0.8  
0.6  
0.4  
0.2

