Predicting individual speech intelligibility from the neural tracking of acoustic- and phonetic-level speech representations

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Highlights:
- Objective EEG-based measure of speech intelligibility
- Improved prediction of speech intelligibility by combining speech representations
- Neural tracking of speech in the delta EEG band monotonically increased with SNRs
- Neural responses in the theta EEG band best predicted the speech reception threshold

ABSTRACT

Objective - To objectively measure speech intelligibility of individual subjects from the EEG, based on neural tracking of different representations of speech: low-level acoustical, higher-level discrete, or a combination (FS). To compare each model's prediction of the speech reception threshold (SRT) for each individual with the behaviorally measured SRT.

Methods – Nineteen participants listened to Flemish Matrix sentences presented at different signal-to-noise ratios (SNRs), corresponding to different levels of speech understanding. For different EEG frequency bands (delta, theta, alpha, beta or low-gamma), a model was built to predict the EEG signal from various speech representations: envelope, spectrogram, phonemes, phonetic features or a combination of the spectrogram and phonetic features, hereinafter-named FS. The same model was used for all subjects. For each subject, the model predictions were then compared to the actual EEG for the different SNRs, and the prediction accuracy in function of SNR was used to predict the SRT.

Results – The model based on the FS speech representation and the delta EEG band (i.e., FS-delta) yielded the highest monotonicity of neural tracking in function of SNR. This model also outperformed the envelope-delta model for a majority of the participants. The FS-theta model yielded the best SRT predictions, with a difference between the behavioral and objective SRT below 1 decibel for 53% and below 2 decibels for 89% of the subjects.

Conclusion – A model including low- and higher-level speech features led to a reliable EEG-based neural marker of speech intelligibility, a keystone in the development of an objective diagnostics of hearing for clinical routine.

INTRODUCTION

Nowadays, nearly six percent of individuals in the world has a disabling hearing loss, i.e., hearing loss greater than 40 decibels (dB) in the better hearing ear (report of the World Health Organization 2013). It is estimated that by 2050 this prevalence will double. Untreated hearing loss has been linked to cognitive decline, anxiety, depression, and social exclusion (Li et al. 2014), highlighting the importance of adequately evaluating and treating hearing disabilities. Current clinical measurement of hearing impairment relies on behavioral evaluation of hearing. While this gold standard is relatively fast and reliable in healthy adults, when performed by a well-trained audiologist with suitable equipment, it requires manual intervention, which is labor intensive, subjective and depends on the examiner's experience and patient's active participation. Moreover, the patient's engagement can fluctuate over time (e.g., children) or even be absent (e.g., unconscious patients, see Lesenfants et al. 2016).

Electroencephalography (EEG)-based measures of hearing might provide a good alternative, as they are objective and can be performed automatically. However, current clinically-available objective measures of hearing, based on auditory brainstem responses (Jewett et al. 1970; Verhaert et al. 2008; Anderson et al. 2013) or auditory steady state responses (Galambos et al. 1981; Stapells et al. 1984; Picton et al. 2005; Luts et al. 2006; Gransier et al. 2016), can only complement behavioral evaluation. This is mainly because they rely on simple non-realistic auditory stimuli (e.g. clicks) leading to a measure of hearing and not speech understanding. A brain measure of natural running speech could overcome these issues and provide realistic and objective measures of a patient speech intelligibility in clinical routine.

Because the temporal fluctuations of the speech stimulus envelope are critical for speech understanding (Shannon et al. 1995), in particular modulation frequencies below 20 Hz (Drullman et al. 1994b; Drullman et al. 1994a), many researchers (Aiken & Picton 2008; Ding & Simon 2013; Gonçalves et al. 2014; Biesmans et al. 2017) have evaluated the potential of tracking the neural responses of the speech envelope using surface EEG Recently, Vanthornhout et al. (2018) proposed to objectively measure speech intelligibility using the neural tracking of the speech envelope and showed a strong correlation between behavioral and objective electrophysiological measures of speech intelligibility. However, while this can be considered a major breakthrough, the correlation between the behavioral and objective measures of speech intelligibility only explained 50% of the variance. Recently, Di Liberto et al. (2015, 2016 & 2017) showed that the neural encoding of running speech is better characterized using a model integrating both low-level spectro-temporal speech information (e.g., the speech envelope) and discrete higher-level phonetic features.

We here aimed to evaluate the potential of using different speech representations to objectively predict speech intelligibility in different EEG frequency bands. Following Di Liberto and colleagues, we hypothesized that the level of speech intelligibility could be better evaluated using a more complex model integrating both acoustic and phonetic representations.

METHODS

We analyzed data acquired in our previous study aiming to predict speech intelligibility using the neural entrainment of the speech envelope with a linear backward model (Vanthornhout et al. 2018).

Participants

Nineteen native Flemish-speaking volunteers (7 men; age 24 ± 2 years; 2 were left-handed) participated in this study. Each participant reported normal hearing, verified by pure tone audiometry (pure tone thresholds lower than 20 dB HL for 125 Hz to 8000 Hz using a MADSEN Orbiter 922–2 audiometer). The study was approved by the Medical Ethics Committee UZ KU Leuven/Research (KU Leuven, Belgium) with reference S59040 and all participants provided informed consent.

Experiments

The experiments were conducted on a laptop running Windows using the APEX 3 (version 3.1) software platform developed at ExpORL (Dept. Neurosciences, KU Leuven) (Francart et al. 2008), an RME Multiface II sound card (RME, Haimhausen, Germany), and Etymotic ER-3A insert phones (Etymotic Research, Inc., IL, USA) which were electromagnetically shielded using CFL2 boxes from Perancea Ltd. (London, UK). The setup was calibrated in a 2-cm^3 coupler (Brüel & Kjaer, type 4152, Nærum, Denmark) using the stationary speech weighted noise corresponding to the Matrix speech material. The experiments took place in an electromagnetically shielded and soundproofed room.

Behavioral **–** Each participant started the experiment with a behavioral evaluation of speech understanding using the Flemish Matrix sentences (Luts et al. 2014) presented at three fixed SNRs (-9.5; -6.5 and -3.5 dB SNR) around the Speech Reception Threshold (SRT; the SNR at which a person can understand 50% of spoken words). For each SNR, we counted the number of correctly repeated words following the presentation of each of 20 Matrix sentences. Then, we fitted a psychometric function through the data points to obtain the behavioral SRT. This method is currently the gold standard in measuring speech intelligibility, both in research and clinical practice. Sentences were spoken by a female speaker and presented to the right ear. Each sentence of the Flemish Matrix material is composed of 5 words that follow a fixed syntactic structure of *Name + Verb + Numeral + Adjective + Object (*e.g., "Sofie ziet zes grijze pennen"; "Sofie sees six gray pens"), each of them randomly selected from a set of 10 possibilities, each combination yielding similar behavioral speech intelligibility scores. In order to minimize the effect of higher order language processing, these sentences sound perfectly natural, but are grammatically trivial and completely unpredictable.

Electrophysiological - Each subject listened to the children's story "Milan" (hereinafter named condition "Story"), written and narrated in Flemish by Stijn Vranken. The stimulus was 14 minutes long and was presented binaurally without any noise. We then consecutively presented binaurally sequences of 40 Flemish Matrix sentences, each lasting 2 min, at different levels SNRs, in random

order, with the speech level fixed at 60 dBA. The noise was stationary, with the same long-term-average spectrum as the speech. Silences between sentences ranged in duration between 0.8 and 1.2s. At the end of each 2-min stimulus, we asked the participant questions to ensure a satisfactory level of attention on the task (e.g. 'How many times did you hear "gray pens"?'). Group 1 underwent four presentations of five different SNRs (-9.5, -7.6, -5.5, -1 and 100 dB SNR). Group 2 underwent three presentations of seven different SNRs (-12.5, -9.5, -6.5, -3.5, -0.5, 2.5 and 100 dB SNR). Note that the condition "100 dB SNR" corresponds to "no noise" (hereinafter named quiet condition).

Recordings
EEG signals were recorded from 64 Ag/AgCl ring electrodes at a sampling frequency of 8192 Hz using a Biosemi ActiveTwo system (Amsterdam, Netherlands). The electrodes were placed on the scalp according to international 10-20 standards.

Data analysis
All analyses were done with custom-made Matlab (R2016b) scripts and the mTRF Toolbox (Crosse et al. 2016; Gonçalves et al. 2014).

Speech features – We extracted five different representations of the speech stimulus, selected according to Di Liberto et al. (2015):
1. The broadband amplitude envelope (Env) was extracted as the absolute value of the (complex) Hilbert transform of the speech signal.
2. The spectrogram representation (Sgram) was obtained by first filtering the speech stimulus into 16 logarithmically-spaced speech frequency bands using zero phase Butterworth filters with 80 dB attenuation at 10 % outside the passband between 250 Hz and 8 kHz, according to Greenwood's equation (Greenwood 1961), assuming linear spacing in the cochlea. We then calculated the energy in each frequency band using a Hilbert transform (see Env).
3. The time-aligned sequence of phonemes (Ph) was extracted using the speech alignment component of the reading tutor (Duchateau et al. 2009), which allows for reading miscues (skipped, repeated, misread words), automatically segmenting each word into phonemes from the Dutch International Phonetic Alphabet (IPA) and performing forced alignment. We then converted this into a binary matrix mask representing the starting and ending time-points (i.e., a '1' from the start until the end of each presentation) for each 37 phonemes present in both the story and the matrix sentences.
4. The time-aligned binary sequence of phonetic features (Fea) was assembled using the following groups of phonemes: short vowels, long vowels, fricative consonants, nasal consonants and plosive consonants.
5. The combination of time-aligned sequence of phonetic features and the spectrogram as proposed by Di Liberto et al. (2015), hereinafter named FS.

EEG signal processing – EEG artifacts were removed using a multi-channel Wiener filter algorithm (Somers et al. 2018). We then re-referenced each EEG signal to a common-average reference

before downsampling from 8192 to 1024 Hz to decrease processing time. EEG signals were bandpass filtered between 0.5-4 Hz (delta), 4-8 Hz (theta), 8-15 Hz (alpha), 15-30 Hz (beta), or 30-45 Hz (low gamma; for this frequency, we then computed the envelope of the filtered signals) using zero phase Butterworth filters with 80 dB attenuation at 10 % outside the passband. Stimulus representations and EEG signals were then downsampled to 128 Hz.

Temporal response function (TRF) estimation - A grand-average quantitative mapping (i.e., a grand-average forward) between each speech representation and all the participants recorded story EEG was computed using ridge regression with a temporal integration window of 0-400 ms. This grand-average mapping was then used to predict EEG signals using each stimulus representation from the Matrix test set. The neural tracking of speech was computed as the correlation (Spearman's rank-order correlation) between the recorded and predicted EEG signals at the different electrode locations.

Models' performance - To compare each model's ability to track the participant's speech intelligibility, we first assessed the extent to which neural tracking increased monotonically with the stimulus SNR. We defined a monotonicity score (MS) as follows: for a stimulus SNR_i, we compared the associated Spearman's correlation $\rho(SNR_i)$ with ones obtained at lower and higher SNRs (i.e., $\rho(SNR_1)$ … $\rho(SNR_{i-1})$, $\rho(SNR_{i+1})$… $\rho(SNR_N)$) as well as with the significance level (see below). If $\rho(SNR_i)$ was above the significance level, we incremented the monotonicity score as follows:

$$MS_r = MS_r + \frac{\left(\sum_{k=1}^{i-1} \exists\left(\rho(SNR_k) \leq \rho(SNR_i)\right) + \sum_{k=i+1}^{N} \exists\left(\rho(SNR_k) \geq \rho(SNR_i)\right)\right)}{N-1}$$

i.e., for SNR_i with a Spearman correlation $\rho(SNR_i)$ above the significance level, the monotonicity score of the participant r (i.e., MS_r) is increased by the ratio of the number of Spearman's correlation of lower SNR below or equal to $\rho(SNR_i)$ added to the ratio of the number of Spearman's correlation of higher SNR above or equal to $\rho(SNR_i)$. If $\rho(SNR_i)$ was below the significance level, the score was not increased.

Next, we derived an objective EEG-based SRT (hereinafter-named correlation threshold, CT). Similar to Vanthornhout et al. (2018), we fitted a sigmoid S to the Spearman's correlation in function of SNR in order to obtain the CT using the formula:

$$S(SNR) = \gamma + (1 - \gamma - \lambda) \frac{1}{1 + e^{\frac{SNR - CT}{\beta}}}$$

With γ the guess-rate, λ the lapse-rate, α the midpoint, and β the slope. Each subject's CT was then computed as the averaged α across presentations (i.e., four presentations for group 1, three presentations for group 2), with only α in the range of -4 to -11 dB SNR taken into account in the averaging. If none of the α were in this range, CT was considered as non-assessed (NA, see *Fig. 5*).

Statistical analysis - A permutation test (Noirhomme et al. 2017; Nichols & Holmes 2002) was used to evaluate the significance level for each model (1000 repetitions, p < .01). The significance of change between conditions was assessed with a non-parametric Wilcoxon signed-rank test (2-tailed, p < .01), with Bonferroni correction for multiple comparisons.

RESULTS

Behavioral evaluation of SRT
The mean of the individual behavioral SRTs was − 7.1 dB with an inter-subject standard deviation of 1.5 dB, ranging from − 9.3 to − 4.7 dB for group 1, and − 8.5 dB with an inter-subject standard deviation of 0.8 dB, ranging from − 10.3 to − 7.7 dB for group 2.

Spatial distribution of the neural tracking
We calculated the neural tracking over the scalp for the different EEG frequency bands averaged across the participants for the FS-model. Averaged neural speech tracking in the delta EEG band increased with SNR until reaching a maximum in quiet for both group 1 (see Fig. 1, row 1) and group 2 (see Fig. 1, row 2). A left temporal and parieto-occipital dominant activation appears at lower SNRs then followed by a right temporal activation. The average Spearman's correlation in the temporal and parieto-occipital areas in quiet is 0.06. In the theta EEG band (see Fig. 1, rows 3 and 4), we observed a central activation, increasing with the SNR, reaching a maximum (averaged Spearman's correlation around 0.04) between -0.5 and 2.5 dB SNR, then decreasing in quiet. Interestingly, an occipital-right dominance appears using the alpha EEG band at -6.5 db SNR, then followed by a centro-frontal dominance for SNR ranging between -5.5 and -1 dB SNR, finally reaching a maximum at -0.5 dB SNR with a fronto-left occipital activation. In the beta and low-gamma EEG bands, the neural speech tracking at each electrode location was below the significance level, evaluated at around 0.01. Note the decrease of the neural speech tracking with EEG frequency band. Based on the spatial distribution of the neural tracking of each speech representation, we defined a region of interest (ROI) for the delta (see Fig. 2, in red) and theta (see Fig. 2, in blue) frequency bands. A similar spatial distribution could be observed with the other models (see Appendix A).

 # Insert figure 1 here

 # Insert figure 2 here

Group-level neural tracking over SNRs
Both for group 1 and for group 2, the averaged correlation over the delta ROI increased with the SNR using each speech representation (see Fig. 3

, rows 1 and 2). The averaged correlations for SNR below -3.5 dB SNR (resp. below -6.5 dB SNR) were at the significance level using either Env or Ph (resp. Fea). The Sgram and FS models showed correlations above the significance level for all SNRs. The FS model showed similar correlations at lowest SNRs as the Sgram model, but with a higher slope for both group 1 and group 2. In the delta band, the correlation in quiet increased with model complexity/feature level (Env->Sgram->...->FS), the highest correlation being reached using the FS model.

Models based on low-level features (Env, Sgram and FS) showed a S-shape correlation over SNRs in the theta band (i.e., flat, followed by an increase, followed by a drop in quiet; see Fig. 3

, rows 3 and 4), while the higher-level feature-based models presented a strictly monotonic increase in correlation over the SNR. The Ph and Fea models showed correlations above significance-level for SNRs above respectively -5.5 and -7.6 dB SNR for group 1 and above respectively -3.5 and -6.5 dB SNR for group 2. Interestingly, the Sgram and FS models showed a binary correlation trend, switching from significant negative correlation for the lowest SNRs (i.e., ≤ -9.5 dB SNR) to significant positive correlation for SNR above -6.5 dB SNR.

 # Insert figure 3 here

Monotonicity of the neural tracking over SNRs

The highest averaged monotonicity score (i.e., mean ± std, 73 ± 20%) is obtained in the delta EEG frequency band with the FS-model (see Fig. 4). This model outperformed the Env (64.5 ± 20 %; WSRT, W(19) = 30.5, p < 0.05, two-tailed test) and Sgram (67 ± 21 %; WSRT, W(19) = 11, p < 0.01, two-tailed test) models. The monotonicity score decreased with increasing EEG frequency bands.

 # Insert figure 4 here

Individual neural speech tracking over SNRs

In the appendix (see Fig. Ap5 and Ap6), we presented the neural speech tracking averaged over presentations for each individual separately, using the delta-Env and delta-FS model or the theta-FS model respectively. Comparing the delta-Env model (Vanthornhout et al. 2018) with the delta-FS model at single-subject level, we observed that the FS-model provides higher monotonicity for 14 out of the 19 participants and no difference between the two models for two participants.

At single-subject level, in the theta band, we observed an increase in neural speech tracking with SNR (see Fig. Ap6), reaching a maximum around 0 dB SNR, then decreasing again. Sixteen out of the 19 participants showed a negative correlation for lower SNRs. The averaged SNR at which the neural speech tracking switched from a negative to a positive value is -7.2 ± 1.8 dB SNR (hereinafter named FS*-zeroCrossing).

Predicting the SRT using the neural tracking over SNRs

We finally evaluated the ability of each model to objectively predict the SRT using the neural responses to each speech representation. In Fig. 5, we present the histogram of the absolute difference between the SRT and the CT predicted using each model. On the left y-axis, we showed the number of participant showing an absolute difference between the SRT and the CT presented on the x-axis. On the right y-axis, we showed the cumulative percentage of participants showing an absolute difference between the SRT and the CT presented on the x-axis. In the following, we will compare each model by calculating (1) the number of participant whom a CT can be predicted from the EEG (see NA in Fig. 5) and (2) the percentage of them presenting an absolute difference between the SRT and the CT below 1dB SNR and 2dB SNR (see Table 1). The delta-Env model illustrated the highest rate of participants whom a CT score could not be predicted. The theta models showed the lowest number of participants whom a CT score could not be predicted. In particular, a CT score could be predicted for each participant using the theta-FS model. With the delta Env-model, 3/19 participants showed a difference between CT and SRT scores of less than 1 dB, while 8/19 participants showed a difference of less than 2dB (see Fig. 5, row 1, first column).

Using the theta-FS model, 10/19 participants had a difference of less than 1 dB, and 16/19 participants presented a relative difference of less than 2 dB (see Fig. 5, row 4, second column). Interestingly, 11/19 participants presented a difference of less than 1dB between the FS*-zeroCrossing and the behavioral SRT, and 18/19 with a relative difference of less than 2dB (see Fig. 5, last row).

 # Insert table 1 here

 # Insert figure 5 here

DISCUSSION

We showed that the combination of both low- and higher-level speech features within a model could improve the prediction of speech intelligibility from the EEG, compared to the standard speech envelope. For the delta band, the FS-model, which combines the spectrogram and phoneme representations, yielded higher correlations between actual and predicted EEG in the no-noise condition than the Env-model, while the correlation at the lowest SNRs was the same, suggesting a better sensitivity of this model to the level of speech understanding (i.e., a higher slope of the neural speech tracking over SNR). Moreover, when comparing the behavioural SRT with its objective counterpart (the CT), for the theta FS-model, the difference between SRT and CT was under 2 dB for more than 80% of the participants. Part of the remaining difference can be explained by the test-retest difference of the behavioral measure, which is around 2 dB (Francart et al. 2011; Decruy et al. 2018). Comparing the FS and Env models at single-subject level, we here showed that the FS model outperformed the Env-model in 14/19 participants, and two of the remaining showing no difference in monotonicity score between the two models. Future research should evaluate the inclusion of higher-level speech features such as words or semantic information in the FS-model (Brodbeck et al. 2018; Broderick et al. 2018).

The spatial distribution of the EEG predictability over the scalp showed a lateralized fronto-temporal and occipital dominance using the delta EEG band, and a central centro-frontal dominance for the theta EEG band. Studying the evolution of the activation in these areas of interest, we showed that in the delta band each model presented a monotonic increase of the neural speech tracking with SNR. In the theta band this could also be observed using the Ph or Fea model, but the models based on low-level speech features (Env and Sgram) showed an S-shape with a maximum correlation around 0dB SNR. We here hypothesized that these two frequency bands track different features of the speech signal in the brain, as suggested by Ding & Simon (2014). The drop in correlation between a condition with limited noise (0 dB SNR) and no noise might be due to a drop in listening effort or attention (Das et al. 2018). Future studies should be conducted to better understand and characterize this difference.

As suggested by Vanthornhout et al. (2018) using a backward model to predict speech intelligibility using the speech envelope, we here fitted a sigmoid to the neural speech tracking as s function of SNR in order to predict the CT. It is however important to stress that fitting a sigmoid is not an easy step (i.e., it is difficult to fit a four-parameters-curve using a limited set of measures) and could lead to a wrong predicted SRT. A way to decrease this risk would be to increase the number of repetitions for each SNR (i.e., 4 repetitions for the group 1 and 3 for the group 2 in the present

study) at the cost of session time. To overcome this issue, we here suggested a FS*-zeroCrossing score that provides similar results that the FS-theta model (i.e., 53% and 89% of the participants with an absolute difference between the CT and SRT of less than 1dB and 2dB respectively) without the cost of a sigmoid fitting.

Integrating low and higher-level speech features, the novel measures proposed in this study provide an objective measure of speech intelligibility and open the doors to automatic hearing aid and cochlear implant (Somers et al. 2018) parameter adaptation relying on auditory brain responses and requiring minimum intervention of a clinical expert. Future research should evaluate the potential of this new measure in an extended cohort in clinical conditions and study the impact of parameters like the semantic context, participant's age, hearing loss, test-duration and number of electrodes included in the recording.

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Fig. 1 - Spatial distribution of the neural speech tracking at different levels of SNR over the scalp (64 electrodes) using a FS-model and either the delta (1-4 Hz), theta (4-8 Hz), alpha (8-15 Hz), beta (15-30 Hz) or low-gamma (30-45 Hz) EEG frequency band. For each frequency band, the first row represents the topographic map averaged over the participants of the first group (SNRs between -9.5 dB SNR and quiet); the second row represents the EEG prediction for the second group (SNRs between -12.5 dB SNR and quiet) using the same EEG frequency band.

Fig. 2 – Electrode selection for the delta (in red) and theta (in blue) frequency bands. Note the fronto-temporal and occipital dominance of the delta band over the scalp while the theta activation is centralized in the frontal area.

Fig. 3 - Averaged correlation over groups, frequency bands (rows) and speech representations (column). Note the monotonic increase in correlation for all the speech representations in each group in the delta band, and in the theta band for the Ph and Fea models. In the theta band, the models based on low-level speech features (Env, Sgram and FS) show a S-shape. In grey, the significance level (p = 0.01) evaluated using a permutation test (1000 permutations).

Fig. 4 – Averaged monotonicity scores for the different models and EEG frequency bands. This score quantifies to what extent a model provides a monotonic increase of its neural speech tracking with SNR for both groups. Note the decrease of this score with the frequency band. The highest score is reached for the FS-model in the delta band.

Fig. 5 – Histogram of the difference between the CT derived from using the EEG responses the speech and the behavioral SRT, in function of speech representation (rows) and frequency band (columns). The left y-axis shows the number of participants (in blue); the right y-axis shows the corresponding cumulative percentage of participants (in red). The dashed line represents the relative difference at which we can predict at least 50% of the participant SRTs. The black bar (NA) shows the number of participants for which the model was not able to predict an objective SRT.

EEG band	Feature	|CT - SRT|		
		NA (%)	< 1dB (%)	< 2dB (%)
δ	Env	37	25	67
	Sgram	11	47	76
	Ph	32	15	23
	Fea	26	36	79
	FS	16	50	69
θ	Env	11	47	88
	Sgram	5	33	67
	Ph	16	25	63
	Fea	26	36	64
	FS	0	53	84
	FS*	0	53	89

Table 1 - The percentage of participants for whom the CT score could not be measured is shown in the column titled NA. For the participants for whom the CT score could be measured, we computed the number of participants presenting an absolute difference (in dB) between the objective CT and the behavioral SRT of less than 1dB (see the fourth column) and 2dB (see the fifth column). Note that only 16% [2]of the participants (i.e., 25% of the 63%) present an absolute difference of less than 1 dB with the Env-delta model, while 53% for the FS-theta model.