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A Novel Class of Inferior Colliculus Principal Neurons Labeled in Vasoactive Intestinal Peptide-Cre Mice

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10 **Abstract**

11 Located in the midbrain, the inferior colliculus (IC) is the hub of the central auditory system. Although
12 the IC plays important roles in speech processing, sound localization, and other auditory computations,
13 the organization of the IC microcircuitry remains largely unknown. Using a multifaceted approach in
14 mice, we have identified vasoactive intestinal peptide (VIP) neurons as a novel class of IC principal
15 neurons. VIP neurons are glutamatergic stellate cells with sustained firing patterns. Their extensive
16 axons project to long-range targets including the auditory thalamus, auditory brainstem, superior
17 colliculus, and periaqueductal gray. Using optogenetic circuit mapping, we found that VIP neurons
18 integrate input from the contralateral IC and the dorsal cochlear nucleus. The dorsal cochlear nucleus
19 also drove feedforward inhibition to VIP neurons, indicating that inhibitory circuits within the IC shape
20 the temporal integration of ascending inputs. Thus, VIP neurons are well-positioned to influence
21 auditory computations in a number of brain regions.

22 **Introduction**

23 The inferior colliculus (IC) is the hub of the central auditory pathway. Nearly all ascending output from
24 the lower auditory brainstem and a large descending projection from the auditory cortex converge in
25 the IC (Adams, 1979; Glendenning and Masterton, 1983; Oliver, 1987, 1984; Winer et al., 1998). In turn,
26 the IC provides the main auditory input to the thalamocortical system (Calford and Aitkin, 1983).
27 Neurons in the IC exhibit selective responses to the spectral and temporal content of sounds and
28 perform computations important for sound localization and the identification of speech and other
29 communication sounds (Felix et al., 2018; Winer and Schreiner, 2005). Despite these critical functions,
30 we have limited knowledge about the organization and function of neural circuits in the IC. This is
31 because probing neural circuits requires the ability to identify and manipulate specific classes of
32 neurons, but IC neurons have proven difficult to delineate into distinct classes.

33 Anatomical studies have shown that IC neurons have disc-shaped or stellate morphologies
34 (Malmierca et al., 1993; Meininger et al., 1986; Oliver and Morest, 1984). Disc-shaped neurons maintain
35 their dendritic arbors within isofrequency lamina and make up the majority of neurons in the
36 tonotopically organized central nucleus of the IC (ICc). Stellate neurons in the ICc extend their dendritic
37 arbors across lamina and are therefore thought to integrate information across sound frequencies
38 (Oliver et al., 1991). Both disc-shaped and stellate cells can be glutamatergic or GABAergic, an indication
39 that each of these morphological groups consists of at least two neuron types (Oliver et al., 1994). Based
40 on soma size and extracellular markers, IC GABAergic neurons have been divided into four classes
41 (Beebe et al., 2016). Among these, “large GABAergic” neurons are the one consistently identified neuron
42 type in the IC (Geis and Borst, 2013; Ito et al., 2015, 2009; Ito and Oliver, 2012). However, there are
43 currently no known molecular markers specific for large GABAergic neurons (Schofield and Beebe,
44 2018).

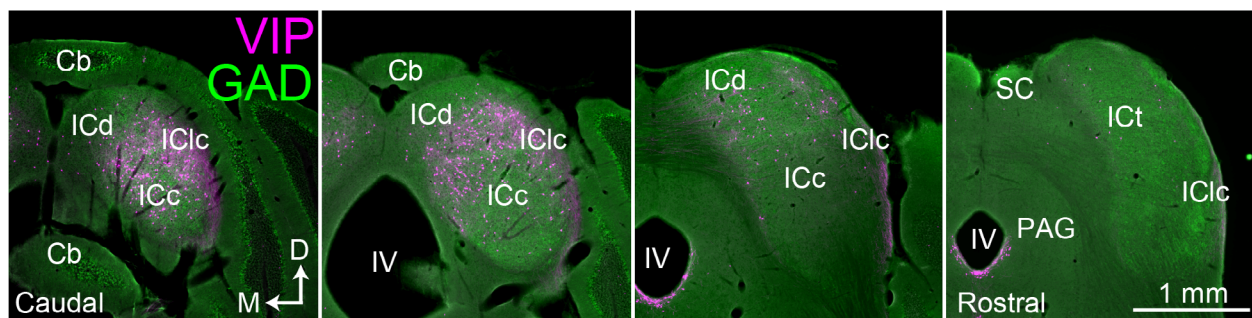
45 Defining IC neuron types based on physiology has also proven difficult. IC neurons exhibit
46 diverse responses to tones, but a comprehensive study showed that these responses form a continuum
47 and cannot be used on their own to define functionally significant groups of neurons (Palmer et al.,
48 2013). In addition, disc-shaped neurons could not be divided into distinct groups by matching their
49 morphology with their in vivo physiology (Wallace et al., 2012). Similarly, GABAergic and glutamatergic
50 IC neurons exhibit overlapping and equally diverse responses to sounds (Ono et al., 2017). In vitro
51 recordings have shown that IC neurons exhibit diverse firing patterns, but these firing patterns do not
52 correlate with neuronal morphology or neurotransmitter phenotype (Ono et al., 2005; Peruzzi et al.,
53 2000; Reetz and Ehret, 1999; Sivaramakrishnan and Oliver, 2001).

54 In many brain regions, a multidimensional analysis that includes molecular markers has proven
55 key to identifying neuron classes (Petilla Interneuron Nomenclature Group et al., 2008; Tremblay et al.,
56 2016; Zeng and Sanes, 2017). Here, by combining molecular, morphological, and physiological analyses,
57 we identify vasoactive intestinal peptide (VIP) neurons as a novel class of IC principal neurons. Our
58 results show that VIP neurons are glutamatergic stellate neurons, are present in the major subdivisions
59 of the IC, and are labeled in the VIP-IRES-Cre mouse line. Using viral tract tracing, we found that VIP
60 neurons project to multiple auditory and non-auditory areas, demonstrating that a single neuron class
61 can participate in most of the major projection pathways out of the IC. Using Channelrhodopsin-assisted
62 circuit mapping (CRACM), we found that VIP neurons integrate input from the contralateral IC and the
63 auditory brainstem. Input from the auditory brainstem also drove local, feedforward inhibition onto VIP
64 neurons. Thus, our data reveal a novel circuit motif that may control the temporal summation of
65 ascending input to the IC. Together, these results represent a critical step toward determining how
66 defined neural circuits in the IC support sound processing.

67 Results

68 The VIP-IRES-Cre mouse line labels neurons in multiple subdivisions of the IC

69 By crossing VIP-IRES-Cre mice with Ai14 reporter mice, we obtained mice in which VIP⁺ neurons
70 expressed the fluorescent protein tdTomato. VIP⁺ neurons in these animals were visible in numerous
71 brain regions; the present report focuses on the IC. **Figure 1** shows the distribution of VIP⁺ neurons
72 (magenta) in transverse sections through the IC. Labeled neurons were present throughout most of the
73 rostro-caudal extent of the IC, but were most numerous in the caudal regions. Labeled neurons were
74 rare or absent in the IC rostral pole and intercollicular tegmentum.



75 **Figure 1. VIP neurons are distributed across multiple subdivisions of the IC.** Photomicrographs of transverse sections through the IC ranging from caudal (left-most) to rostral (right-most). VIP-expressing cells (labeled with tdTomato) are shown in magenta, and GAD67 staining is shown in green to show the border of the IC. VIP-expressing cells are present in multiple subdivisions of the IC, but are most prominent in caudal and dorsal parts of the IC. Scale = 1 mm. Cb (cerebellum), ICc, ICd, IClc (central nucleus, dorsal cortex and lateral cortex of the inferior colliculus), ICt (intercollicular tegmentum), IV (fourth ventricle), PAG (periaqueductal gray).

76 VIP neurons are glutamatergic and represent 1.8% of IC neurons

77 Previous studies have shown that IC neurons are either glutamatergic or GABAergic (Merchán et al.,
78 2005; Oliver et al., 1994). To investigate the neurotransmitter content of VIP neurons, we performed
79 immunohistochemical staining against GAD67, a marker for GABAergic neurons, in brain slices from
80 three VIP-IRES-Cre x Ai14 animals, aged P58 (**Figure 2A**). We then counted tdTomato⁺ neurons and
81 GAD67-labeled cell bodies in one caudal and one rostral IC slice per animal. Neurons located in the ICc,
82 ICd, and IClc were combined for this analysis. Across 793 tdTomato⁺ neurons, only 10 neurons co-

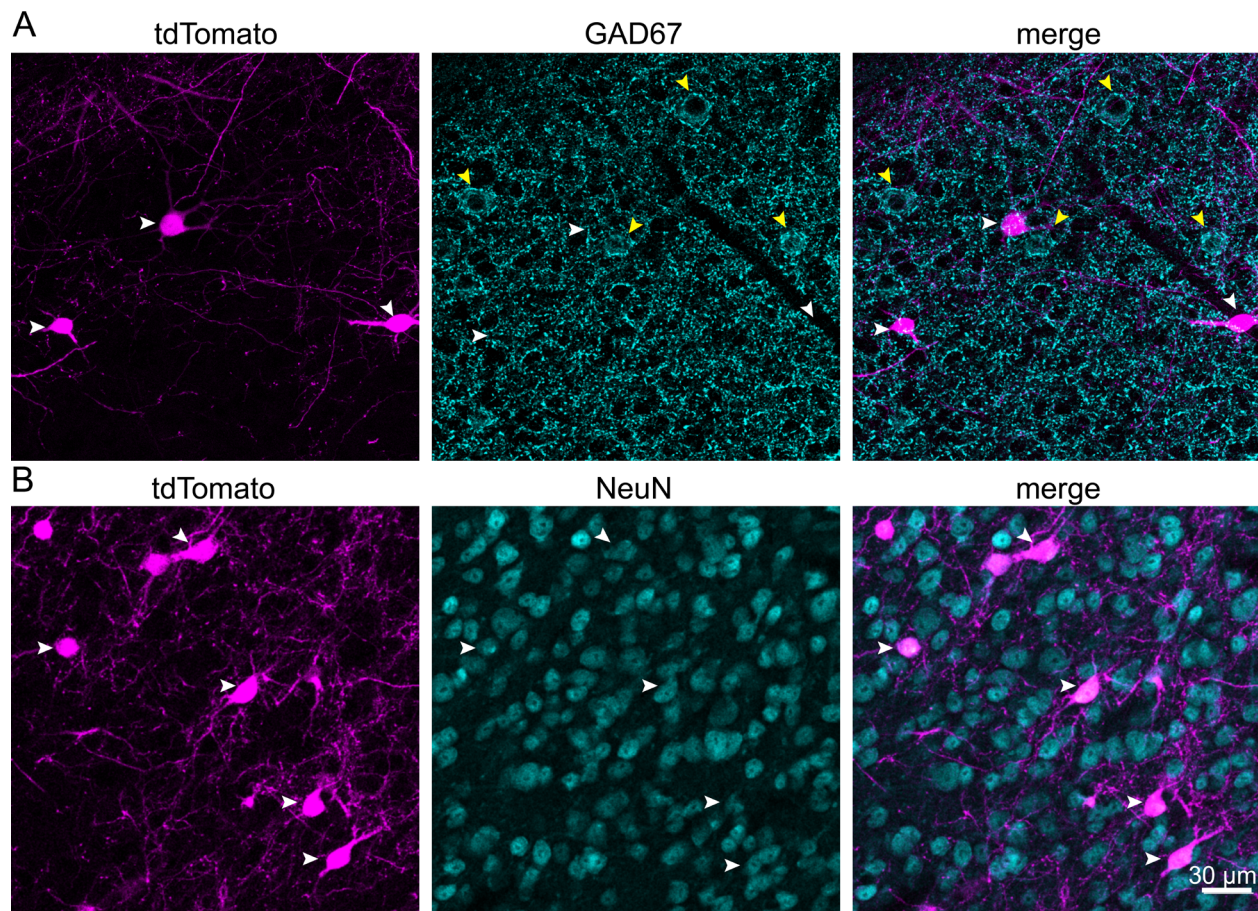
Table 1. VIP neurons are glutamatergic. Across three mice, an average of 1.3% of tdTomato⁺ neurons were labeled with an antibody against GAD67.

Animal	Slice #	# tdTomato ⁺	# GAD67 ⁺	# co-labeled	% tdTomato ⁺ co-labeled
P58 female, #1	1 (caudal)	210	184	3	1.4
	2 (middle)	172	65	2	1.2
	Total	382	249	5	1.3
P58 male	1 (caudal)	151	152	2	1.3
	2 (middle)	46	212	2	4.3
	Total	197	364	4	2.0
P58 female, #2	1 (caudal)	161	137	0	0.0
	2 (middle)	53	187	1	1.9
	Total	214	324	1	0.5
Grand total		793	937	10	1.3
Average across 3 mice (mean ± SD)					1.3 ± 0.8%

83 labeled with GAD67 (1.3%, **Table 1**). These data suggest that VIP neurons are a subgroup of
 84 glutamatergic neurons in the IC.

85 To determine the percentage of IC neurons that are VIP neurons, we performed immunostaining
 86 with anti-NeuN, a neuron-selective antibody previously shown to label most or all neurons in the IC
 87 (Beebe et al., 2016; Foster et al., 2014; Mellott et al., 2014) (**Figure 2B**). Coronal IC sections from two
 88 VIP-IRES-Cres x Ai14 mice were stained, and three sections per mouse were analyzed: one caudal, one
 89 middle, and one rostral. To ensure unbiased counting of neurons, we applied the optical fractionator
 90 method, a design-based stereology approach (see Materials and Methods; West et al., 1991). The ICc,
 91 ICd, and ICi were combined for this analysis. Accordingly, we used systematic random sampling to
 92 collect confocal image stacks at evenly spaced intervals from each IC section. Each image stack was
 93 inspected to determine the boundaries of the slice, and guard zones were set at the top and bottom of
 94 the slice to delineate a central, 15 μm-thick section of the slice for subsequent analysis. Within this 15

95 μm -thick region, we separately marked NeuN⁺ neurons and tdTomato⁺ neurons, then overlaid the NeuN
96 and tdTomato images to determine the number of double-marked cells. Across six slices from 2 mice,
97 we found that 1.8% of neurons in the mouse IC are VIP neurons (205 of 11,175 neurons counted,
98 **Table 2**).



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Figure 2. VIP neurons are glutamatergic and represent 1.8% of the total neuronal population in the IC. (A) Confocal z-stack projections showing IC VIP neurons (magenta, left), GAD67 staining (cyan, middle), and an overlay (right). White arrowheads mark VIP neurons, yellow arrowheads GABAergic cell bodies. There was virtually no overlap between VIP neurons and GABAergic neurons (right). **(B)** Confocal z-stack projections showing VIP neurons (magenta, left), NeuN staining (cyan, middle), and an overlay (right). White arrowheads mark VIP neurons labeled by NeuN. Scale bar applies to A and B.

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Table 2. VIP neurons represent 1.8% of the total population of IC neurons. Table shows results from stereological analysis of the percentage of IC neurons (NeuN⁺) that express tdTomato in VIP-IRES-Cre x Ai14 mice. Values indicate mean \pm SEM, (#tdTomato⁺ neurons /#NeuN⁺ neurons), and number of systematic random samples analyzed from each slice.

Slice plane	P58 male	P80 female	Per slice plane	Grand Average
Caudal	1.9 \pm 0.8 % (26/1356) 6 samples	2.8 \pm 0.9 % (67/2381) 14 samples	2.4 \pm 0.4 % (93/3737)	
Middle	0.9 \pm 0.3 % (12/1389) 10 samples	1.9 \pm 0.6 % (34/1825) 9 samples	1.4 \pm 0.5 % (46/3214)	
Rostral	1.6 \pm 0.4 % (30/1887) 10 samples	1.5 \pm 0.3 % (36/2337) 16 samples	1.6 \pm 0.02 % (66/4224)	
Per mouse	1.5 \pm 0.3 % (68/4632)	2.1 \pm 0.4 % (137/6543)		1.8 \pm 0.3 % (205/11,175)

101 **VIP neurons exhibit sustained firing patterns and their intrinsic physiology varies along**
102 **the tonotopic axis of the ICc**

103 Next, we investigated the firing pattern and intrinsic physiology of VIP neurons by targeting whole cell
104 patch clamp recordings to tdTomato⁺ neurons in brain slices from VIP-IRES-Cre x Ai14 mice. Recordings
105 made from the ICc, ICd, and IClc were lumped together for this experiment because there were no clear
106 differences in VIP neuron physiology across these subdivisions of the IC. VIP neurons had a resting
107 membrane potential of $-69.5 \text{ mV} \pm 4.4 \text{ mV}$ ($n = 216$, corrected for liquid junction potential). In response
108 to a current step protocol with hyperpolarizing and depolarizing current injections, VIP neurons showed
109 minimal to no voltage sag to hyperpolarizing current steps and a sustained firing pattern of action
110 potentials to depolarizing current steps (**Figure 3A₁, A₂**). Neurons were classified as sustained if their
111 spike frequency adaptation ratio (SFA) was less than 2 (Peruzzi et al., 2000). The SFA ratio was calculated
112 by dividing the last interspike interval by the first for a depolarizing current step that elicited ~ 10 spikes.
113 90.3% (214 of 237) of patched VIP neurons exhibited a sustained firing pattern, 8.4% (20 of 237) showed

114 an adapting firing pattern (SFA ratio ≥ 2), and 1.3% (3 of 237) of VIP neurons had a strongly adapting
 115 firing pattern (firing stopped before the end of the current step).

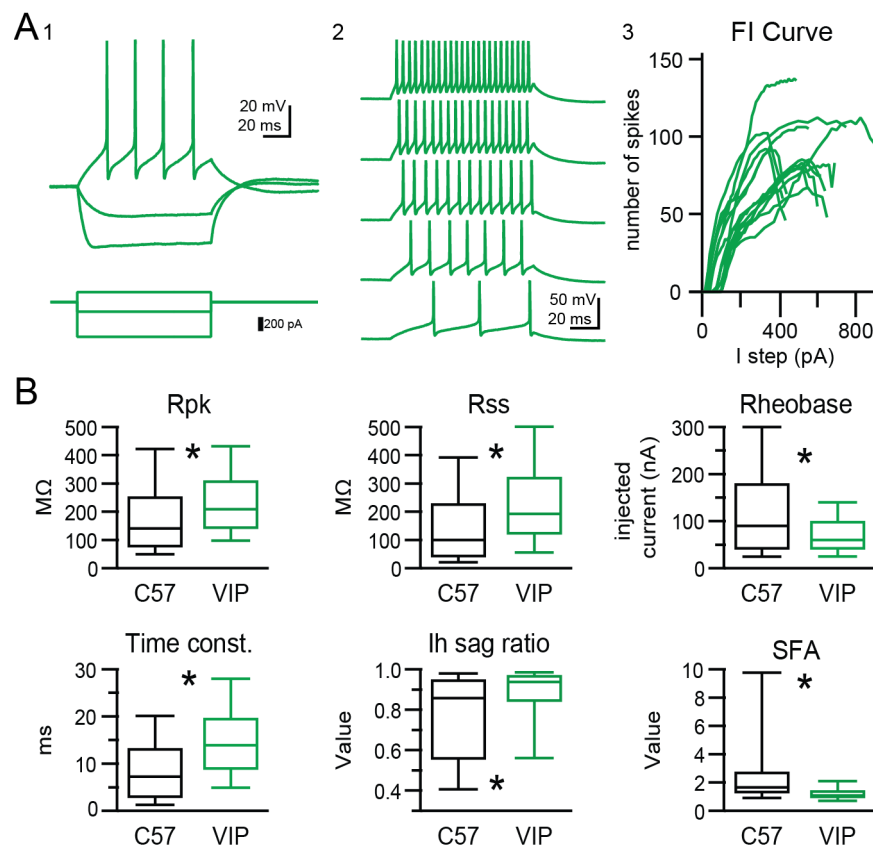


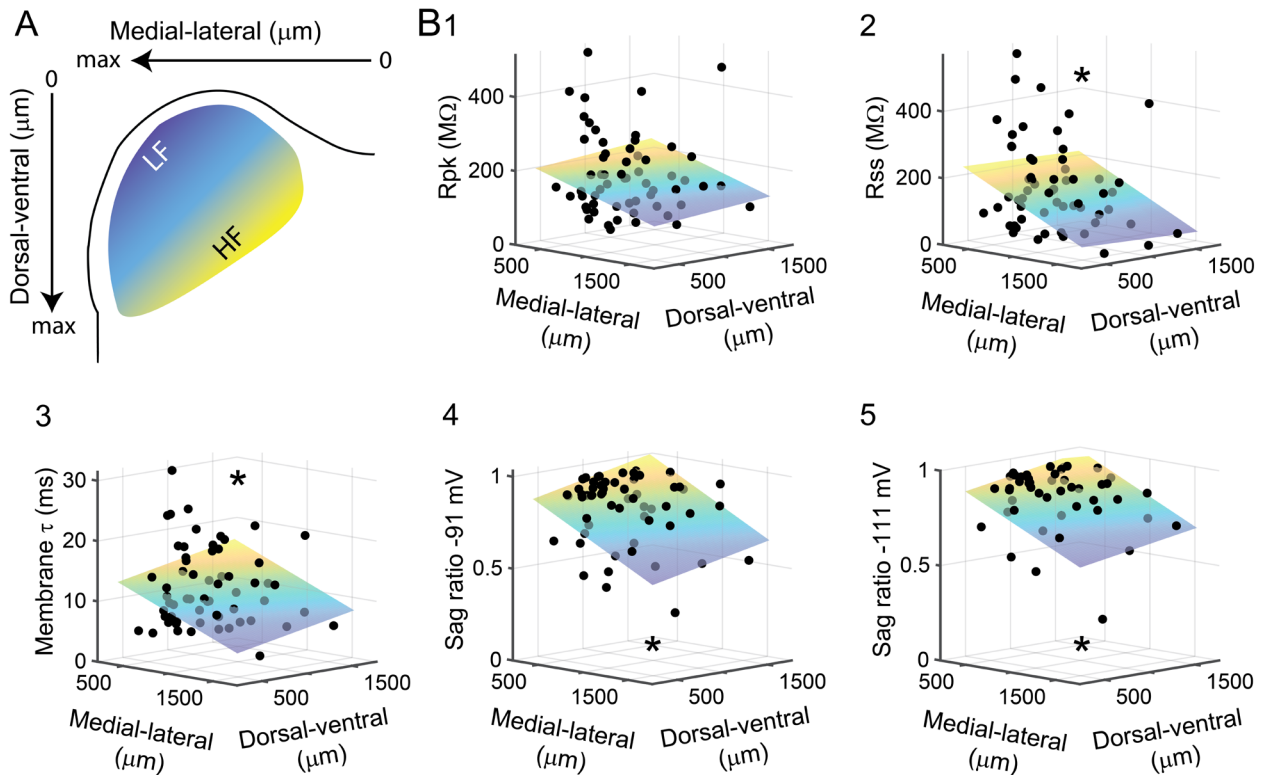
Figure 3. VIP neurons have sustained firing patterns and moderate membrane properties. (A) VIP neurons exhibited a regular, sustained firing pattern in response to depolarizing current steps, while hyperpolarizing current steps elicited minimal voltage sag (A1). As the amplitude of depolarizing current steps was increased, VIP neurons increased their firing rate while keeping their sustained firing pattern (A2). Example firing versus input (FI) curves from 15 VIP neurons show that firing rate increased in a mostly linear fashion over a broad range of current step amplitudes (A3). (B) Intrinsic physiology of VIP neurons is statistically different from the general population of IC neurons for all parameters tested. On average, VIP neurons had a significantly higher peak input resistance (R_{pk}) and steady-state input resistance (R_{ss}), a lower rheobase, a longer membrane time constant, a smaller and less variable voltage sag (I_h) at -91 mV, and a markedly small and highly invariable spike frequency adaptation ratio (SFA). Boxplots show median, 25th and 75th percentile (box), and 9th and 91th percentile (whiskers).

116 To compare the physiology of VIP neurons to that of the general population of neurons in the IC,
 117 we patched neurons in IC slices of C57BL/6J mice in a random, non-targeted approach as a control.
 118 Neurons patched with the non-targeted (NT) approach showed a higher diversity in firing patterns, with
 119 a higher proportion of strongly adapting neurons (21.8%, 12 out of 55) and adapting neurons (16.4%, 9
 120 out of 55) when compared to VIP neurons. Sustained firing neurons were the most prevalent group in

121 NT recordings (58.2%, 32 out of 55). Additionally, 3.6% (2 of 55) of randomly patched IC neurons fired
122 only 1 spike at the onset of the depolarizing current step. This firing pattern was never observed in VIP
123 neurons. The intrinsic physiology of VIP neurons also differed significantly from the general population
124 of IC neurons (see **Figure 3B**). VIP neurons on average had a higher peak input resistance (R_{pk}) than non-
125 targeted neurons (mean \pm SD: VIP 242.1 ± 139.4 M Ω vs NT 191.1 ± 161.4 M Ω , $p=0.0003$, Wilcoxon rank
126 sum test), a higher steady-state input resistance (R_{ss}) (mean \pm SD: VIP 239.7 ± 170.7 M Ω vs NT $153.4 \pm$
127 153.2 , $p = 0.0001$, Wilcoxon rank sum test), a slower membrane time constant (mean \pm SD: VIP $15.0 \pm$
128 8.8 ms vs NT 9.7 ± 7.6 M Ω , $p = 6.8 \cdot 10^{-7}$, Wilcoxon rank sum test), lower rheobase values (mean \pm SD: VIP
129 67.8 ± 96.2 pA vs NT 120.0 ± 100.9 pA, $p = 0.013$, Wilcoxon rank sum test), and a much less pronounced
130 voltage sag (mean \pm SD: VIP 0.87 ± 0.16 vs NT 0.75 ± 0.21 , $p = 0.0003$, Wilcoxon rank sum test). Most
131 striking, the SFA ratio of VIP neurons was tightly clustered at 1.47 ± 1.62 , whereas SFA of NT neurons
132 showed a significantly higher value and spread (3.62 ± 5.43 , mean \pm SD, $p = 2.07 \cdot 10^{-7}$).

133 Although statistically different from the general neuronal population in the IC and showing a
134 tightly clustered SFA ratio, the intrinsic physiology of VIP neurons still showed some level of variability.
135 In the lower auditory brainstem, it has been found that the intrinsic physiology of some neurons varies
136 along the tonotopic axis (Baumann et al., 2013; Hassfurth et al., 2009). We therefore hypothesized that
137 the intrinsic physiology of VIP neurons in the ICc varied along the tonotopic axis of the ICc. During patch
138 clamp experiments, VIP neurons were passively filled with biocytin via the internal solution. Slices were
139 fixed and stained post hoc with a streptavidin-Alexa Fluor conjugate (see Materials and Methods). We
140 then used confocal imaging to map the location of the recorded neurons relative to a two-dimensional
141 (medial-lateral and dorsal-ventral) coordinate system superimposed on the left IC ($n = 61$ neurons;
142 **Figure 4A**). Correlations between intrinsic physiology and location in the ICc were tested by fitting a
143 plane to scatter plots of intrinsic parameters versus medial-lateral and dorsal-ventral coordinates

144 **(Figure 4B)**. Because the ICd and ICc are not tonotopically organized, only neurons located in the ICc
 145 were included in this analysis.



146

Figure 4. Intrinsic physiology of VIP neurons in the ICc varies along the tonotopic axis. (A) A 2D coordinate system was fit to every IC slice a VIP neuron was recorded from. The medial-lateral axis runs from the midline (zero) to the lateral edge (max) of the slice, the dorsal-ventral axis from the dorsal edge of the slice (zero) to the ventral border of the IC (max). For illustrative purposes, the approximate position along the tonotopic axis of the ICc is color-coded from blue (low frequency) to yellow (high frequency). **(B)** Correlation of measured intrinsic parameters with recording location. Black dots represent physiological parameters of individual VIP neurons (z-axis, left) mapped to their recording location (x- and y-axes, bottom). Planes show Levenberg-Marquardt least squares fits, color-coded from low z-axis values (blue) to high z-axis values (yellow). Asterisks indicate statistical significance of fit.

147 We found that variability in the intrinsic physiology of VIP neurons was at least partially
 148 correlated to their location within the coronal plane of the ICc. This was particularly true for the voltage
 149 sag ratios, which measure hyperpolarization-activated cation current (I_h). Approximately one quarter of
 150 the variability in sag ratios was explained by location in the ICc (sag ratio at -91 mV: $R = 0.536$, $R^2_{adj} =$
 151 0.262 , $p = 1.24 \times 10^{-05}$, $n = 60$, **Figure 4B₄**; sag ratio at -111 mV: $R = 0.516$, $R^2_{adj} = 0.233$, $p = 0.0002$, $n =$
 152 47 , **Figure 4B₅**). A significant but smaller portion of the variability in membrane time constant was
 153 explained by location in the ICc (τ : $R = 0.343$, $R^2_{adj} = 0.088$, $p = 0.007$, $n = 61$; **Figure 4B₃**). There was also

154 a significant relationship between the steady-state input resistance of VIP neurons and location in the
155 ICc and a trend toward a relationship between peak input resistance and location (R_{ss} : $R = 0.328$, $R^2_{adj} =$
156 0.076 , $p = 0.011$, $n = 60$; R_{pk} : $R = 0.227$, $R^2_{adj} = 0.018$, $p = 0.084$, $n = 60$; **Figure 4B_{1,2}**). The tonotopic axis of
157 the ICc runs along a dorsolateral (low frequency) to ventromedial (high frequency) axis (Malmierca et al.,
158 2008; Portfors et al., 2011; Stiebler and Ehret, 1985; Willott and Urban, 1978). For each of the above
159 intrinsic parameters, values tended to be lower, indicating faster membrane properties, at more
160 dorsolateral locations and higher, indicating slower membrane properties, at more ventromedial
161 locations. Combined, these results suggest that variability in the intrinsic physiology of VIP neurons is at
162 least in part due to their localization along the tonotopic axis of the ICc and that the membrane
163 properties of VIP neurons tend to be faster in lower frequency regions of the ICc.

164 **VIP neurons have stellate morphology and dendritic spines**

165 The streptavidin staining of biocytin-filled VIP neurons allowed for a detailed analysis of morphology. In
166 total, we recovered the morphology of 55% of patched VIP neurons ($n = 100$ of 183). Nearly all ($81/86 =$
167 94.2%) VIP neurons had spiny dendrites (**Figure 5A, B insets**). This contrasts sharply with the 28% (12 of
168 43 neurons) of neurons that had spiny dendrites in non-targeted recordings from C57BL/6J mice (**Figure**
169 **5C**), suggesting that VIP neurons represent a subset of IC cells. Nonetheless, dendritic spines can be
170 present on a variety of cell types, including stellate and disc-shaped cells (Herrera et al., 1988; Paloff et
171 al., 1992; Willard and Ryugo, 1983). On average, VIP neurons had 5 primary dendrites (mean \pm SD: $4.77 \pm$
172 1.38) that spread out in all directions from the soma, consistent with a stellate morphology. This is
173 unsurprising in the ICd and ICc, where stellate morphology predominates, but warranted further
174 analysis in the ICc, where stellate cells can have oriented dendritic trees but are outnumbered by the

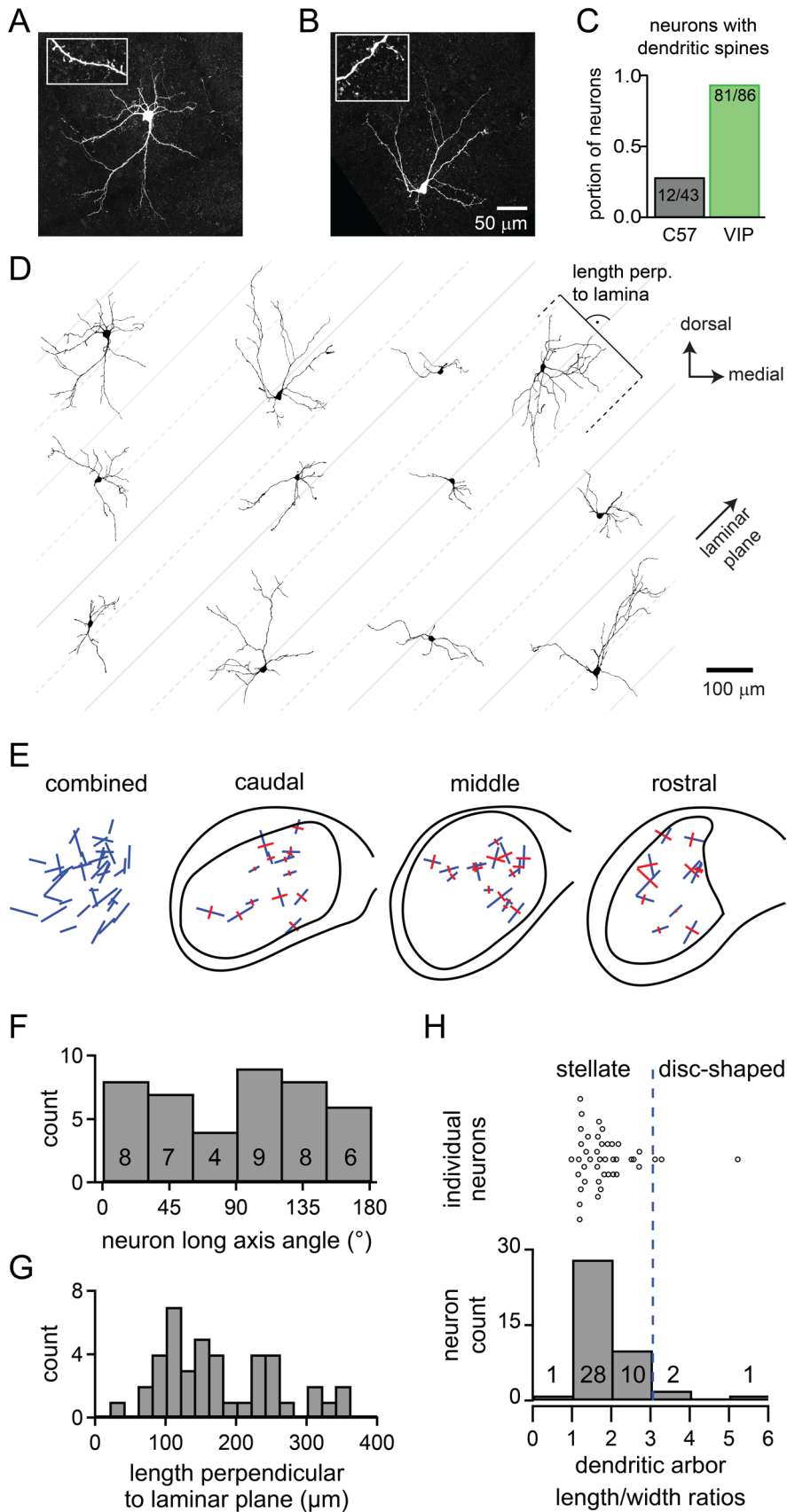


Figure 5. VIP neurons in the ICc are a class of stellate cells and most VIP neurons have dendritic spines. (A, B) Maximum-intensity projections of confocal z-stacks showing streptavidin-Alexa Fluor-stained VIP neurons from the ICc. Insets: enlarged views of dendritic segments show dendritic spines. **(C)** 94% of VIP neurons across all IC subdivisions had spiny dendrites vs 28% of neurons from non-targeted recordings in C57BL/6J animals. **(D)** Representative reconstructions of the morphology of 12 VIP neurons from the ICc. Neurons are oriented as if in the left ICc. Gray lines were drawn at a 45° angle to illustrate the general orientation of the laminae. Solid gray lines are spaced 200 μm apart, dashed lines and solid lines are spaced 100 μm apart. **(E)** Orientation of the dendritic fields of VIP neurons from the ICc. Combined: Orientation of all reconstructed VIP neurons from the ICc (n = 42). Blue lines denote the orientation of the longest axis (first principal direction) found for each neuron using 2D PCA. Caudal, middle, rostral: Orientation of dendritic fields separated according to position along the rostro-caudal axis of the ICc. Blue lines show longest axis, perpendicular red lines show second longest axis (second principal direction) of each neuron as defined by 2D PCA. **(F)** Angular orientation of the long axis for every reconstructed VIP neuron within the ICc. Angles indicate counter-clockwise rotation relative to the medial-lateral (horizontal) axis. **(G)** Spread of the dendritic arbors of ICc VIP neurons measured perpendicular to a predicted 45° isofrequency plane. The dendrites of 83% of VIP neurons extended more than 100 μm across the laminar plane. **(H)** Dendritic arbor length to width ratio for all reconstructed VIP neurons from the ICc (n = 42). 93% of VIP neurons had a length to width ratio < 3, indicating that they are stellate cells. The orientation of length and width axes was determined using 3D PCA.

176 more highly oriented disc-shaped cells (Malmierca et al., 1993; Oliver and Morest, 1984; Willard and
177 Ryugo, 1983).

178 **Figure 5D** shows the variability in the morphology of VIP neurons located in the ICc. Neurons are
179 displayed as they would appear in a coronal slice of the left IC viewed from a caudal perspective. Oliver
180 and colleagues distinguished disc-shaped from stellate neurons by calculating the length to width ratio
181 of the dendritic arbor: neurons with a ratio <3 were stellate and those with a ratio ≥3 were disc-shaped
182 (Oliver et al., 1991). Applying this classification to our sample, 93% of VIP neurons in the ICc (39 of 42
183 neurons) had a length to width ratio <3, therefore being classified as stellate (**Figure 5H**). Only three VIP
184 neurons from the ICc had a length to width ratio >3. These results demonstrate that the dendritic arbors
185 of VIP neurons are not as highly oriented as disc-shaped neurons, again consistent with the hypothesis
186 that VIP neurons are a class of stellate neurons.

187 Although less oriented than disc-shaped cells, the VIP dendrites tended to show some
188 orientation that could influence the range of frequencies that converge on the cell. To measure the
189 orientation of ICc VIP neurons in relation to the isofrequency laminae, which in mouse run in a ~45°
190 angle through the ICc (Stiebler and Ehret, 1985), we identified the longest and second longest axis of

191 each neuron through principal component analysis and plotted the orientation of these axes on a
192 standardized model of the IC (**Figure 5E**). No preferred orientation was apparent (**Figure 5E, combined**).
193 Only 17% of VIP neurons (7 of 42) had their longest axis oriented within $\pm 15^\circ$ of the 45° laminar plane,
194 indicating that the dendritic arbors of most VIP neurons (83%, 35 of 42) may be positioned to cross one
195 or more isofrequency laminae in the ICc (**Figure 5F**). To quantify this, we calculated the length the
196 dendritic arbor extended perpendicular to a 45° laminar plane. The dendritic arbors of 83% of ICc VIP
197 neurons (35 of 42) spread more than 100 μm perpendicular to the laminar plane, and more than 36%
198 (15 of 42) spread more than 200 μm across the laminar plane (**Figure 5G**). Previous work in rats has
199 shown that the isofrequency laminae have a center-to-center distance that ranges from 90 – 150 μm ,
200 while neurons contained within a lamina had a thickness ranging from 30 – 70 μm (Malmierca et al.,
201 1993). If we assume that laminar dimensions in mouse are no thicker than those in rats, our results
202 indicate that the dendritic fields of VIP neurons usually extend beyond at least one isofrequency lamina,
203 consistent with the conclusion that VIP neurons in the ICc are a class of stellate neurons.

204 **VIP neurons project to targets within and beyond the IC**

205 Injections of an AAV encoding a Cre-dependent eGFP construct, AAV1.CAG.FLEX.eGFP.WPRE.bGH, led to
206 eGFP expression in VIP⁺ IC cells. **Figure 6A** shows a representative deposit site, with eGFP-positive
207 neurons (yellow) located among a population of VIP⁺ cells (magenta, labeled by cross-breeding the VIP-
208 IRES-Cre mice with Ai14 reporter mice). Neurons that expressed eGFP routinely co-expressed tdTomato,
209 confirming VIP expression by those neurons (**Figure 6B**). Many tdTomato⁺ neurons did not express the
210 eGFP, despite their intermingling with many virally-labeled neurons. eGFP-labeled axons were
211 prominent within the injected IC, where the labeled boutons were located in the neuropil or in close
212 apposition to IC somas, suggesting extensive contributions to local circuits (**Figure 6C**). In addition, eGFP-
213 labeled axons were present in several fiber tracts carrying projections from the IC, including the
214 brachium of the IC, the commissure of the IC and the lateral lemniscus. Labeled axons and boutons were

215 found in numerous auditory nuclei, including the contralateral IC, medial geniculate body and superior
216 olivary complex (**Figure 6D-F**). Additional targets included the nucleus of the brachium of the IC, the
217 periaqueductal gray, and the superior colliculus (not shown; details of termination patterns and terminal
218 axon morphology will be described in a subsequent report). These data indicate that VIP⁺ IC neurons
219 contribute to ascending, commissural and descending pathways from the IC.

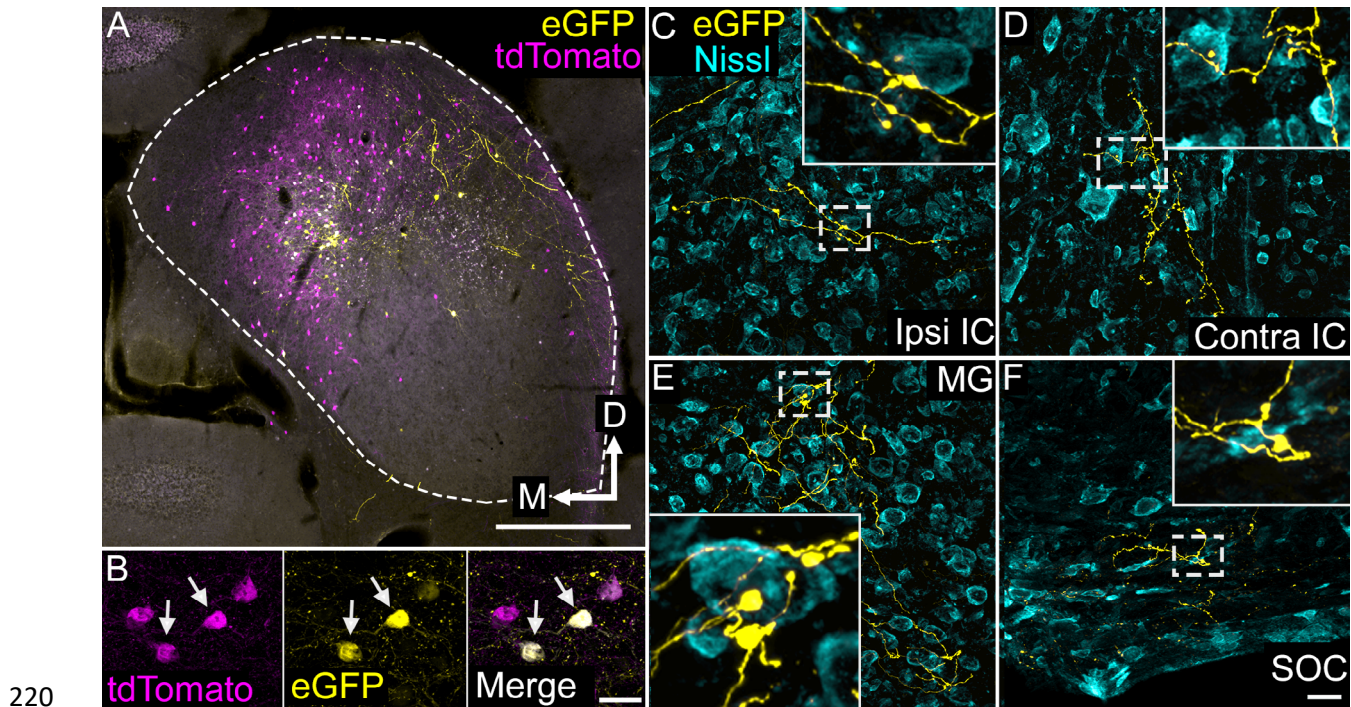


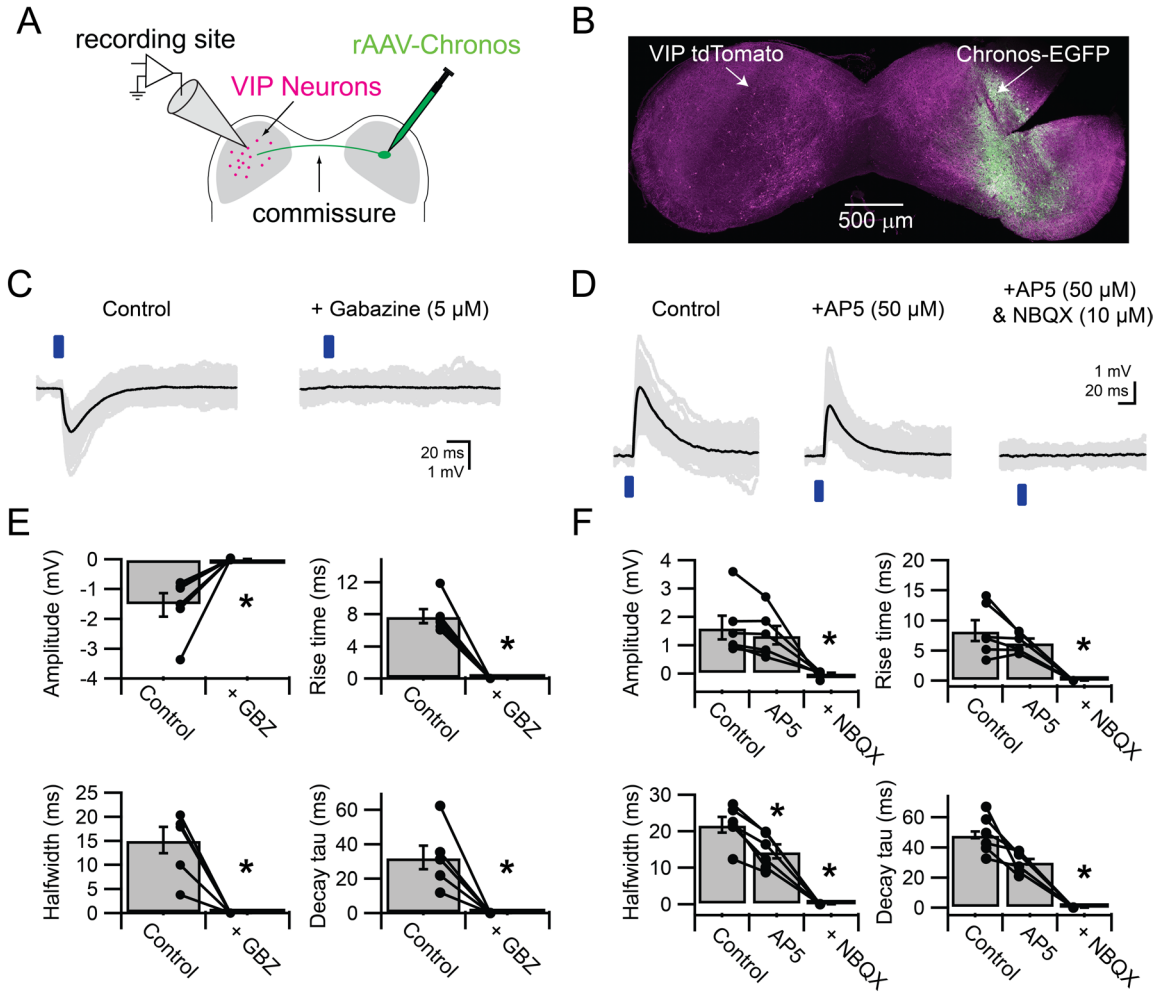
Figure 6. VIP neurons project to multiple local and long-range targets. (A) Photomicrograph of an AAV deposit site in the right IC. AAV-infected, VIP-expressing cells are labeled with eGFP (yellow), while all VIP-expressing cells are labeled with tdTomato (magenta). Cells expressing both fluorescent proteins appear white. Scale = 500 μ m. **(B)** High magnification photomicrographs showing labeled cells in the AAV deposit site. The field shows four tdTomato-expressing cells (magenta), two of which (white arrows) were also AAV-infected and expressed eGFP (yellow). Scale = 20 μ m. **(C-F)** High magnification photomicrographs showing eGFP-labeled collicular axons (yellow) terminating in the ipsilateral IC (C), the contralateral IC (D), the medial geniculate body (E), or the ventral nucleus of the trapezoid body in the superior olivary complex (F) after an AAV injection in the IC. The white dashed box in each image identifies an area enlarged in the inset to show details of labeled axons and boutons. A fluorescent Nissl stain (cyan) shows that boutons are located in close association with cell bodies as well as in the intervening neuropil. Scale = 20 μ m

221 **VIP neurons in the ICc receive excitatory and inhibitory synaptic input from the contralateral IC**

222 In addition to axonal projection patterns, the sources of synaptic input to a neuron class are an
223 important predictor of neuronal function. Anatomical studies have shown that the IC receives

224 ascending, descending, and commissural input, but, with the exception of large GABAergic neurons (Ito
225 et al., 2015; Ito and Oliver, 2014), it has rarely been possible to identify the sources and physiology of
226 synaptic input to a specific class of neurons in the IC. This is largely because axons from multiple sources
227 overlap in the IC, making it difficult to use electrical stimulation to selectively activate axons from
228 specific presynaptic sources. To overcome this obstacle, we turned to Channelrhodopsin-assisted circuit
229 mapping (CRACM) (Petreanu et al., 2007). With CRACM, it is possible to selectively activate a single
230 population of presynaptic neurons by anatomically and/or molecularly restricting the expression of an
231 optogenetic protein.

232 Numerically, the contralateral IC provides the largest single source of input to the IC (Moore,
233 1988). We therefore first used CRACM to test whether VIP neurons in the ICc receive commissural input.
234 Using stereotaxic, intracranial virus injections with AAV1.Syn.Chronos-GFP.WPRE.bGH, we drove
235 expression of GFP-tagged Chronos, a fast Channelrhodopsin variant (Klapoetke et al., 2014), in the right
236 IC. We then targeted recordings to VIP neurons in the contralateral (left) ICc (**Figure 7A**). In each
237 experiment, we used GFP fluorescence to verify transfection of the right IC (**Figure 7B**) and restricted
238 our recordings to VIP neurons in areas of the left ICc where GFP-labelled axons were visible. Because
239 commissural projections are a mixture of glutamatergic and GABAergic projections (González-Hernández
240 et al., 1996; Hernández et al., 2006; Nakamoto et al., 2013; Saint Marie, 1996), we used pharmacology
241 to isolate EPSPs and IPSPs. Indeed, without adding receptor antagonists to the bath, postsynaptic
242 potentials often were mixtures of IPSPs and EPSPs (data not shown). In the presence of AMPA and
243 NMDA receptor antagonists (10 μ M NBQX and 50 μ M D-AP5, bath application), 2 – 5 ms flashes of blue
244 light elicited IPSPs in 6 out of 12 neurons tested (**Figure 7C, left**). IPSPs were completely abolished by the
245 GABA_A receptor antagonist gabazine (5 μ M, **Figure 7C, right**; n = 6; amplitude, p = 0.006; rise time, p =
246 0.003; halfwidth, p = 0.001; membrane time constant, p = 0.003, paired t-test). On average (n = 6),
247 commissural IPSPs in ICc VIP neurons were small (-1.53 mV \pm 0.96 mV) and had moderate 10 – 90% rise



248

Figure 7. VIP neurons in the ICc receive excitatory and inhibitory synaptic input from the contralateral IC. (A) Experimental setup. An AAV encoding Chronos-GFP was injected into the right IC. Three weeks later, light-evoked postsynaptic potentials were recorded from VIP neurons in the left ICc. **(B)** Image of a coronal slice of the IC. Injection sites and Chronos expression were validated through Chronos-GFP fluorescence. **(C)** Optogenetically-evoked IPSPs recorded from VIP Neurons in the ICc contralateral to the AAV injection site. IPSPs were evoked by 2 – 5 ms blue light flashes (left), while EPSPs were blocked with NBQX and AP5. IPSPs were abolished by gabazine (right). **(D)** Optogenetically-evoked EPSPs recorded from VIP neurons in the ICc contralateral to the AAV injection site. EPSPs were evoked by 2 – 5 ms blue light flashes (left), while IPSPs were blocked with strychnine and gabazine. Wash-in of AP5 significantly reduced the halfwidth and decay time constant of light-evoked EPSPs (middle). Wash-in of NBQX abolished the remaining EPSP (right). **(E)** Population data showing amplitude and kinetics of optogenetically-evoked IPSPs. **(F)** Population data showing amplitude and kinetics of optogenetically-evoked EPSPs. The significant reduction of EPSP halfwidth by AP5 indicates that NMDA receptor activation prolonged EPSP duration.

249 times ($7.8 \text{ ms} \pm 2.1 \text{ ms}$), halfwidths ($15.1 \text{ ms} \pm 6.8 \text{ ms}$) and decay time constants ($32.4 \text{ ms} \pm 17.0 \text{ ms}$)

250 **(Figure 7E).**

251 To investigate excitatory commissural inputs to ICc VIP neurons, recordings were carried out in
252 the presence of GABA_A and glycine receptor antagonists (5 μ M gabazine and 1 μ M strychnine, bath
253 application, **Figure 7D**). We found that 2 – 5 ms flashes of blue light elicited EPSPs in 11 out of 27
254 neurons (**Figure 7D, left**). On average (n = 6), commissural EPSPs in VIP neurons were small (1.52 mV \pm
255 1.08 mV) and had moderate 10 – 90% rise times (8.3 ms \pm 4.3 ms), halfwidths (19.6 ms \pm 7.6 ms) and
256 decay time constants (43.5 ms \pm 16.8 ms) (**Figure 7F**). Adding the NMDA receptor antagonist D-AP5 to
257 the bath significantly reduced the halfwidth of EPSPs (14.3 ms \pm 4.7 ms, p = 0.006) and revealed a trend
258 toward reducing the rise time (6.3 ms \pm 1.6 ms, p = 0.09) and decay time constant (30.6 ms \pm 7.3 ms, p =
259 0.06) of EPSPs (ANOVA for repeated measurements with Tukey post-hoc test). The remainder of the
260 EPSP was completely blocked by the AMPA receptor antagonist NBQX (**Figure 7F**). These results suggest
261 that VIP neurons in the ICc receive excitatory commissural input and express both AMPA and NMDA
262 receptors at excitatory commissural synapses. Interestingly, commissural input activated NMDA
263 receptors even though there was 1 mM Mg²⁺ in the bath and the somatic membrane potential was at or
264 near the resting membrane potential throughout the recording. This may indicate that commissural
265 synapses are located on the distal dendrites and/or the dendritic spines of VIP neurons.

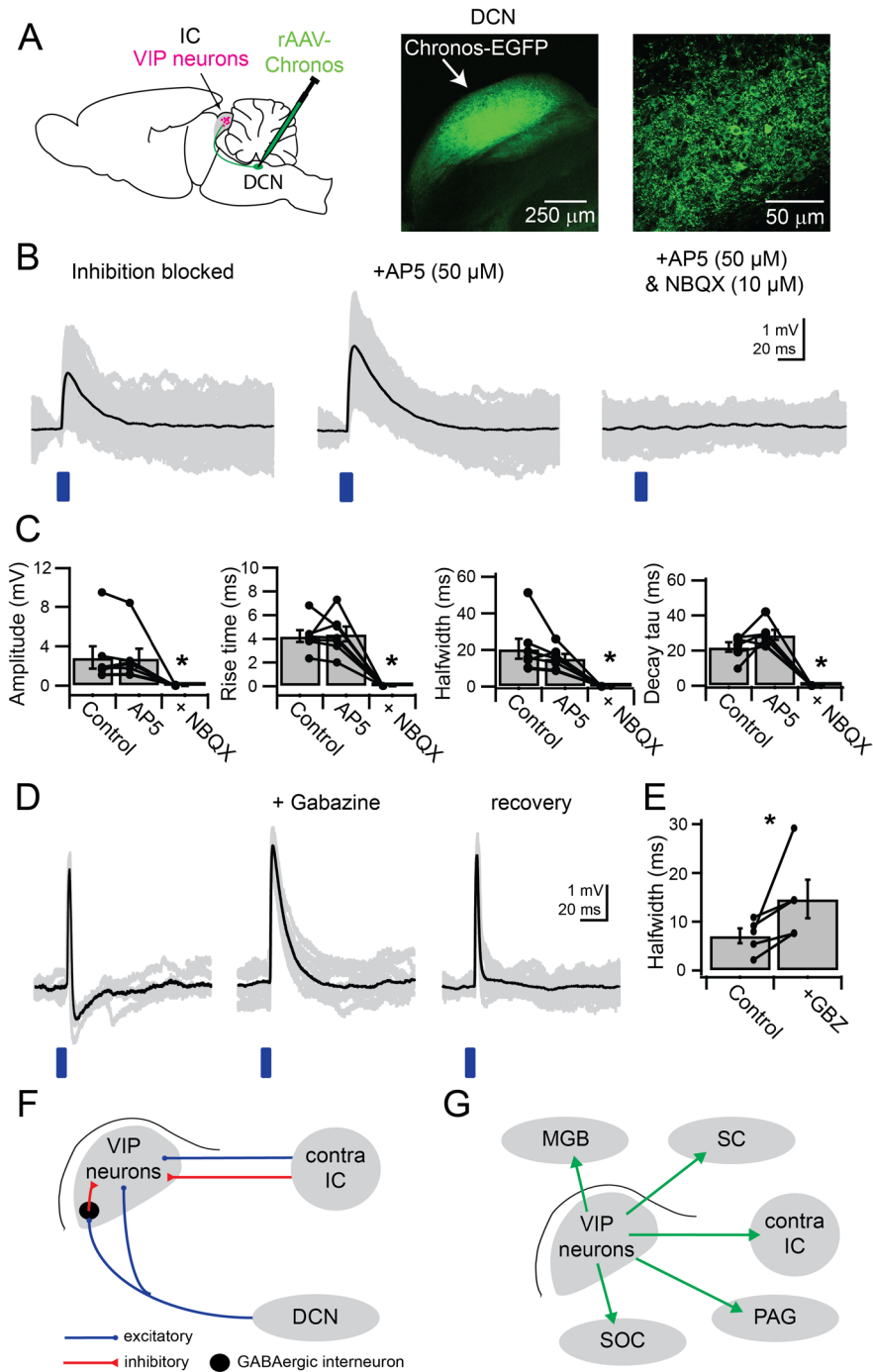
266 Combined, the commissural CRACM experiments show that VIP neurons in the ICc receive
267 excitatory and inhibitory synaptic input from the contralateral IC. Surprisingly, although GABAergic
268 neurons make up \leq 20% of commissural projections (Hernández et al., 2006; Nakamoto et al., 2013),
269 we found a higher connection probability for inhibitory commissural projections (6 out of 12 recordings,
270 50%) than for excitatory connections (11 out of 27 recordings, 29%).

271 **VIP neurons in the ICc receive synaptic input from the DCN**

272 The DCN provides one of the major sources of excitatory input to the IC (Adams, 1979; Brunso-Bechtold
273 et al., 1981; Oliver, 1984; Osen, 1972; Ryugo et al., 1981). A previous study has shown that DCN

274 afferents synapse onto glutamatergic and GABAergic neurons in the IC (Chen et al., 2018), but it is not
275 known which specific classes of IC neurons receive input from the DCN. In addition, the physiology of
276 DCN afferent synapses remains unknown. To test whether VIP neurons receive synaptic input from DCN
277 principal neurons, we injected the right DCN with the AAV1.Syn.Chronos-GFP.WPRE.bGH viral vector
278 and recorded from VIP neurons in the contralateral (left) ICc (**Figure 8A, left**). To confirm selective
279 transfection of the DCN, we sliced the brainstem of every animal used and determined whether GFP
280 expression was present and limited to the right DCN. If there was no transfection or if there was
281 considerable expression of GFP in the auditory nerve or VCN, no recordings were performed. In most
282 cases, GFP expression was limited to the DCN (**Figure 8A, right**) and GFP-labeled axons were present in
283 the left ICc.

284 To block spontaneous IPSPs, we performed DCN CRACM experiments with GABAergic and
285 glycinergic blockers in the bath (5 μ m gabazine and 1 μ M strychnine, **Figure 8B**). We found that 2 – 5 ms
286 pulses of blue light elicited EPSPs in 19 of 25 neurons tested, confirming that ICc VIP neurons receive
287 synaptic input from the DCN. Light-evoked EPSPs had moderate amplitudes ($2.85 \text{ mV} \pm 2.98 \text{ mV}$) and
288 relatively slow rise times ($4.2 \text{ ms} \pm 1.3 \text{ ms}$), halfwidths ($20.6 \text{ ms} \pm 14.4 \text{ ms}$) and decay time constants
289 ($22.0 \text{ ms} \pm 6.7 \text{ ms}$) ($n = 6$ cells, **Figure 8B, left and 8C**). Because EPSP kinetics were relatively slow, we
290 hypothesized that DCN synapses activate NMDA receptors on VIP neurons. Interestingly, D-AP5 had no
291 significant effect on any of the measured properties (amplitude: $2.80 \text{ mV} \pm 2.54 \text{ mV}$, $p = 0.96$, rise time:
292 $4.4 \text{ ms} \pm 1.7 \text{ ms}$, $p = 0.95$, halfwidth: $15.4 \text{ ms} \pm 6.1 \text{ ms}$, $p = 0.37$, decay time constant: $29.0 \text{ ms} \pm 7.1 \text{ ms}$, p
293 $= 0.16$, ANOVA for repeated measurements with Tukey post-hoc test) (**Figure 8B, middle, and 8C**). In
294 contrast to commissural inputs where D-AP5 had a significant effect on EPSP kinetics, this suggests that
295 DCN inputs to ICc VIP neurons do not activate NMDA receptors under resting conditions. Subsequent
296 addition of NBQX completely abolished DCN-evoked EPSPs (**Figure 8B, right**), confirming that DCN
297 synapses activate AMPA receptors on ICc VIP neurons.



298

299 Given that fusiform cells in the DCN often fire at rates exceeding 100 Hz (Davis et al., 1996; Ma
 300 and Brenowitz, 2012; Nelken and Young, 1994; Spirou and Young, 1991; Young and Brownell, 1976), the
 301 slow kinetics of DCN-evoked EPSPs suggests that these EPSPs undergo temporal summation in VIP
 302 neurons. Together, these results identify VIP neurons in the ICc as a distinct postsynaptic target of DCN

Figure 8. VIP neurons in the ICc receive direct synaptic input from the DCN and feedforward inhibition driven by DCN afferents. (A) Experimental setup. An AAV encoding Chronos-GFP was injected into the right DCN. For every experiment, the injection site and Chronos-GFP expression were confirmed through GFP fluorescence. Current clamp recordings were made from VIP neurons in the ICc contralateral to the injection site. **(B)** With inhibition blocked by gabazine and strychnine, 2 – 5 ms blue light flashes evoked EPSPs (left). AP5 did not significantly reduce EPSP halfwidth or decay time constant. Subsequent addition of NBQX abolished the EPSP. **(C)** Population data showing amplitude and kinetics for EPSPs elicited by activation of DCN synapses onto VIP neurons in the ICc. The absence of a significant effect of AP5 indicates that NMDA receptors did not make a significant contribution to EPSPs. **(D)** In several recordings made in the absence of inhibitory blockers, EPSP duration was limited through GABAergic feedforward inhibition (left; n = 5). In these instances, gabazine wash-in increased EPSP halfwidth to values similar to those in (B). **(E)** Population data for feedforward inhibition to VIP neurons. Washing in gabazine increased EPSP halfwidth in 5 out of 5 tested connections. **(F)** Summary of the sources of input to VIP neurons identified by CRACM experiments. **(G)** Summary of the major projection targets of VIP neurons identified by axonal tract tracing: MGB (medial geniculate body), SC (superior colliculus), contralateral IC, PAG (periaqueductal gray), SOC (superior olivary complex).

303 afferents. Given the number of conditions that must be met for a long-range CRACM experiment to
304 succeed, our observation that the connection probability for DCN to VIP projections was 76% (19 of 25
305 neurons) suggests that most VIP neurons in the ICc receive input from the DCN.

306 **DCN afferents drive local feedforward inhibition onto VIP neurons in the ICc**

307 We next repeated the DCN-CRACM experiments without GABA_A and glycine receptor antagonists in the
308 bath. Under these conditions, we observed that an IPSP was elicited 2 – 3 ms after the onset of the light-
309 evoked EPSP (**Figure 8D**). This IPSP could vary in strength between recorded VIP neurons. In some
310 instances, the IPSP slightly altered the halfwidth and decay time constant of the EPSP. In other cases, the
311 IPSP strongly limited the EPSP duration and generated a hyperpolarization after the EPSP (**Figure 8D**,
312 **left**). Washing in gabazine restored the EPSP to values comparable to the EPSPs recorded in the
313 presence of inhibitory receptor antagonists (compare **Figure 8B, C**). Washing out gabazine restored the
314 IPSP and limited EPSP halfwidth again (**Figure 8D, right**). Across five ICc VIP neurons, the IPSP
315 significantly shortened the halfwidth of the elicited EPSP ($p = 0.048$, paired t-test, **Figure 8E**). Halfwidth
316 reductions ranged from 22% to 73%, with a median reduction of 36%. Because DCN to IC projections are
317 glutamatergic (Ito and Oliver, 2010; Oliver, 1984), and the periolivary nuclei and nuclei of the lateral
318 lemniscus, the sources of ascending GABAergic input to the IC (González-Hernández et al., 1996), were

319 not present in the brain slice, this inhibition must be due to DCN afferents activating a local feedforward
320 inhibitory circuit within the IC. The latency to the IPSP onset also supports the theory of a disynaptic,
321 local inhibitory circuit, as the IPSP always succeeded the initial EPSP. Thus, these results indicate that
322 ascending input from the DCN activates a feedforward inhibitory circuit within the IC and that this circuit
323 regulates the duration of DCN-evoked excitation in ICc VIP neurons.

324 **Discussion**

325 By combining molecular genetics with physiological and anatomical approaches, we identified VIP
326 neurons as a novel class of glutamatergic principal neurons in the auditory midbrain. In contrast to the
327 diverse properties present in the broader population of IC neurons, VIP neurons consistently exhibited
328 sustained firing patterns, spiny dendrites, and stellate morphology. Surprisingly, the identification of VIP
329 neurons revealed that a molecularly-defined class of IC neurons can broadcast the output of the IC to
330 multiple auditory and non-auditory brain regions. The identification of VIP neurons also enabled us to
331 identify microcircuit motifs within the IC. In particular, we found that VIP neurons receive ascending
332 input from the contralateral DCN and commissural input from the contralateral IC. Input from the DCN
333 also drove feedforward inhibition that could sculpt the effects of excitatory inputs to VIP neurons. Thus,
334 feedforward inhibitory circuits within the IC may regulate the temporal summation of ascending inputs.
335 By integrating multiple sources of input and participating in most of the major projections from the IC,
336 VIP neurons are well-positioned to broadly influence auditory computations in numerous brain regions.

337 **VIP neurons are a distinct class of IC neurons**

338 It has long been argued that the classification of neurons requires a multifaceted analysis of
339 morphological and physiological features (Tyner, 1975). More recent efforts have emphasized the
340 importance of combining these features with molecular markers (Petilla Interneuron Nomenclature
341 Group et al., 2008; Tremblay et al., 2016; Zeng and Sanes, 2017). This combination has proven to be
342 particularly effective for unambiguously classifying neuron types. In the cerebral cortex, a multifaceted
343 classification scheme including molecular markers has enabled investigations into how specific classes of
344 interneurons shape circuit computations, sensory processing, and animal behavior (Cichon et al., 2017;
345 Kato et al., 2017, 2015; Kuchibhotla et al., 2017; Lee et al., 2013; Milstein et al., 2015; Pfeffer et al.,
346 2013; Pi et al., 2013). Similar approaches have succeeded in the amygdala, hypothalamus, basal ganglia,

347 and other brain regions where it was previously difficult to identify neuron types (Campbell et al., 2017;
348 Capogna, 2014; Wallace et al., 2017).

349 In the auditory midbrain, previous efforts to identify neuron classes relied on in vitro physiology,
350 in vivo physiology, morphology, neurochemical markers, or some combination of these (Beebe et al.,
351 2016; Fujimoto et al., 2017; Malmierca et al., 1993; Oliver and Morest, 1984; Ono et al., 2005; Palmer et
352 al., 2013; Peruzzi et al., 2000; Ramachandran et al., 1999; Schofield and Beebe, 2018; Sivaramakrishnan
353 and Oliver, 2001). Despite these and other attempts, the only neuron class that has been consistently
354 identified in the IC are the large GABAergic neurons (Beebe et al., 2016; Geis and Borst, 2013; Ito et al.,
355 2015, 2009; Ito and Oliver, 2014). However, there are currently no known molecular markers for large
356 GABAergic neurons, making it difficult to test the role of these neurons in auditory computations
357 (Schofield and Beebe, 2018).

358 Using a multifaceted approach, we identified VIP neurons as a novel class of IC neurons. VIP
359 neurons share a common set of molecular, neurochemical, morphological, and physiological features.
360 VIP neurons are labeled in the VIP-IRES-Cre mouse line and are glutamatergic. Ninety-three percent of
361 the ICc VIP neurons in our data set had a stellate morphology and 94% of all IC VIP neurons had
362 dendritic spines. Similarly, 90% of VIP neurons had sustained firing patterns. Although the input
363 resistance, membrane time constant, and expression of I_h varied within the population of VIP neurons,
364 for VIP neurons in the ICc, we found that a portion of this variability reflected their location along the
365 tonotopic axis of the ICc.

366 It is important to note that it would not be possible to identify VIP neurons based on their
367 morphology or physiology alone. VIP neurons are not the only stellate neurons in the IC, nor are they
368 the only neurons with sustained firing patterns or dendritic spines. These results provide insight to why

369 it has been difficult to classify neuron types in the IC. We propose that a multidimensional approach
370 incorporating molecular markers will be essential to identifying the remaining neuron classes in the IC.

371 **Diverse projection patterns of VIP neurons**

372 We found that VIP neurons project not only to MGB and contralateral IC, the most common recipients
373 of IC projections, but also to the nucleus of the brachium of the IC, superior colliculus, periaqueductal
374 gray, superior olivary complex, and ipsilateral IC (**Figure 6**). The number of extrinsic targets reached by
375 VIP axons was a surprise given the relatively small population of VIP neurons. Do individual VIP neurons
376 project to multiple targets? Previous retrograde labeling studies suggest that some patterns of collateral
377 projection are more common than others for IC cells. IC cells that project to the contralateral thalamus
378 appear to quite commonly have a collateral projection to the ipsilateral thalamus (Mellott et al., 2018).
379 In contrast, very few IC neurons project to the thalamus and the cochlear nucleus (Coomes and
380 Schofield, 2004; Hashikawa and Kawamura, 1983; Okoyama et al., 2006), or to the left and right cochlear
381 nuclei (Schofield, 2001). Whether IC commissural cells can have collateral projections to the thalamus
382 has been supported (González-Hernández et al., 1996) or denied (Okoyama et al., 2006). Because
383 retrograde tracing studies underestimate collateral projections (Schofield et al., 2007), such studies may
384 have missed VIP neurons with collateral projections.

385 Alternatively, individual VIP neurons might project to one or a few targets. It would then be
386 possible to subdivide VIP neurons based on their axonal projections. This would parallel the cerebral
387 cortex, where the major classes of interneurons contain subclasses that often differ in their axonal
388 targeting (Tremblay et al., 2016). If such is the case, then the unifying feature of VIP neurons might be
389 that they perform similar computational roles within circuits, even when the circuits themselves are
390 involved in different functions. In any event, the axonal projection patterns of individual VIP neurons, to

391 extrinsic targets and within the IC, will be important features for further characterizing VIP subclasses
392 and their functional roles.

393 **Integration of synaptic input by VIP neurons**

394 Our results show that VIP neurons receive input from at least four sources: principal neurons in the DCN,
395 local inhibitory neurons, and excitatory and inhibitory neurons in the contralateral IC (**Figure 8G**). In
396 multiple instances, we observed that VIP neurons received input from the DCN and a local inhibitory
397 neuron or a combination of excitatory and inhibitory commissural input. Given that optogenetic circuit
398 mapping experiments underestimate connection probabilities (not all synapses are transfected by the
399 virus), these results suggest that many individual VIP neurons integrate input from ascending, local, and
400 commissural sources. In future studies, it will be important to determine whether VIP neurons receive
401 additional sources of synaptic input, as previous studies have shown that individual IC neurons can
402 integrate input from numerous sources (Ito et al., 2015; Ito and Oliver, 2014).

403 Excitatory postsynaptic responses in IC neurons often involve activation of NMDA receptors (Ma
404 et al., 2002; Wu et al., 2004). Under in vivo conditions, the activation of NMDA receptors can influence
405 how IC neurons respond to tones (Sanchez et al., 2007). In VIP neurons, we found that excitatory
406 commissural synapses activated AMPA and NMDA receptors, while synaptic input from DCN afferents
407 activated only AMPA receptors. The activation of NMDA receptors occurred even though our ACSF
408 contained 1 mM Mg²⁺ and neurons were at their resting membrane potential. Interestingly, previous
409 studies have shown that NMDA receptors in some IC neurons can be activated under similar conditions,
410 even when AMPA receptors are blocked (Ma et al., 2002; Sivaramakrishnan and Oliver, 2006). The
411 activation of NMDA receptors in our recordings may indicate that excitatory commissural synapses tend
412 to be located on the distal dendrites or dendritic spines of VIP neurons, where the local membrane
413 potential might be sufficiently depolarized by activation of AMPA receptors to remove Mg²⁺ block of

414 NMDA receptors. A distal location would be consistent with the proposed modulatory role for
415 commissural inputs (Orton et al., 2016; Orton and Rees, 2014). Similarly, the lack of NMDA receptor
416 activation by DCN afferents might indicate that DCN synapses are located proximal to the soma, possibly
417 on the soma itself, or that these synapses lack NMDA receptors. These synaptic arrangements may have
418 important implications for auditory coding and synaptic plasticity mechanisms in VIP neurons.

419 In many brain regions, feedforward inhibitory circuits control the time window for temporal
420 integration of synaptic input (Gabernet et al., 2005; Pouille and Scanziani, 2001; Roberts et al., 2013;
421 Stokes and Isaacson, 2010). In the ICc, it was recently shown that GABAergic neurons provide local
422 inhibitory input mainly to neurons in the same isofrequency lamina (Sturm et al., 2014). However, the
423 conditions that recruit local inhibition have remained unclear. Our data provide direct evidence that
424 activation of DCN afferents can elicit both direct excitatory input and disynaptic feedforward inhibition
425 to VIP neurons. Feedforward inhibition can dramatically reduce EPSP halfwidth, suggesting that local
426 feedforward inhibition regulates the temporal summation of synaptic inputs. In addition, while DCN
427 afferents elicited modest EPSPs in VIP neurons, DCN input presumably drove spiking in the GABAergic
428 neurons that provided feedforward inhibition. It will be important for future studies to identify this
429 population of GABAergic neurons and the extent of their influence on auditory computations in VIP and
430 other IC neurons.

431 **Material and Methods**

432 *Animals*

433 All experiments were approved by the University of Michigan Institutional Animal Care and Use
434 Committee and were in accordance with NIH guidelines for the care and use of laboratory animals.
435 Animals were kept on a 12 hour day/night cycle with ad libitum access to food and water. VIP-IRES-Cre
436 mice (*Vip^{tm1(cre)Zjh}/J*, Jackson Laboratory, stock # 010908)(Taniguchi et al., 2011) were crossed with Ai14
437 reporter mice (B6.Cg-*Gt(ROSA)26Sor^{tm14(CAG-tdTomato)Hze}/J*, Jackson Laboratory, stock # 007914)(Madisen et
438 al., 2010) to yield F1 offspring that expressed the fluorescent protein tdTomato in VIP neurons. For
439 control experiments, C57BL/6J mice (Jackson Laboratory, stock # 000664) were used.

440

441 *Immunohistochemistry*

442 Mice were deeply anesthetized and perfused transcardially with 0.1 M phosphate-buffered saline (PBS),
443 pH 7.4, for 1 min and then with a 10% buffered formalin solution (Millipore Sigma, cat# HT501128) for
444 10 min. Brains were collected and post-fixed in the same fixative for 2 hours and cryoprotected
445 overnight at 4 °C in 0.1 M PBS containing 20% sucrose. Brains were cut into 40 µm sections on a
446 vibratome or freezing microtome. Sections were rinsed in 0.1 M PBS, and then treated with 10% normal
447 donkey serum (Jackson ImmunoResearch Laboratories, West Grove, PA) and 0.3% Triton X-100 for 2
448 hours. Slides were incubated overnight at 4 °C in mouse anti-GAD67 (1:1000; Millipore Sigma, cat#
449 MAB5406), rabbit anti-NeuN (1:500; Millipore Sigma, cat# ABN78), or rabbit anti-bNOS (1:1000;
450 Millipore Sigma, cat# N2280). The next day, sections were rinsed in 0.1 M PBS and incubated in Alexa
451 Fluor 488-tagged donkey anti-mouse IgG or donkey anti-rabbit IgG (1:500, Thermo Fisher, cat# A-21202
452 and A-21206) for 1 hour at room temperature. Sections were then mounted on gelatin-subbed slides
453 (SouthernBiotech, cat# SLD01-BX) and coverslipped using Fluoromount-G (SouthernBiotech, cat# 0100-

454 01). Images were collected using a 1.30 NA 40x oil-immersion objective or a 1.40 NA 63x oil-immersion
455 objective on a Leica TCS SP8 laser scanning confocal microscope.

456

457 *Antibody validation*

458 The mouse monoclonal anti-GAD67 antibody (Millipore Sigma, cat# MAB5406) was raised against the 67
459 kDa isoform of glutamic acid decarboxylase (GAD). The manufacturer reports that Western blot analysis
460 shows no cross-reactivity with the 65 kDa isoform of GAD (GAD65). This antibody has been previously
461 used to identify GABAergic cells in the IC (Beebe et al., 2016; Ito et al., 2009; Mellott et al., 2014). The
462 mouse monoclonal anti-nitric oxide synthase-brain (bNOS) (Sigma, cat# N2280) was raised against the
463 IgG1 isotype from the NOS-B1 hybridoma. The manufacturer reports that anti-bNOS reacts specifically
464 with nitric oxide synthase (NOS), derived from brain (bNOS, 150-160 kDa). This antibody has been
465 previously used, in guinea pig, to delineate the borders of the IC (Coote and Rees, 2008). To perform
466 NeuN staining, we used a rabbit polyclonal antibody (Millipore Sigma, cat# ABN78). The manufacturer
467 reports that anti-NeuN specifically recognizes the DNA-binding, neuron-specific protein NeuN, which is
468 present in most central and peripheral neuronal cell types of all vertebrates tested. Previous studies
469 reported the use of this antibody to label neurons in the IC (Beebe et al., 2016; Foster et al., 2014;
470 Mellott et al., 2014).

471

472 *Analysis of GAD67 staining*

473 Images from representative sections of the IC (n = 3 animals, 2 sections per animal, one caudal and one
474 middle) were collected at 2 μ m depth intervals with a 1.30 NA 40x oil-immersion objective on a Leica
475 TCS SP8 laser scanning confocal microscope. Images were analyzed using Fiji software (Rueden et al.,
476 2017; Schindelin et al., 2012). Consistent with previous studies, we found that the anti-GAD67 antibody

477 did not penetrate the entire depth of the tissue sections (Beebe et al., 2016; Mellott et al., 2014). We
478 therefore restricted our analysis to the top 10 – 12 μm of each section, where the antibody was fully
479 penetrant. Within this region, we manually marked every GAD67⁺ cell body and every tdTomato⁺ cell
480 body in the left IC. The green (GAD67) and red (tdTomato) color channels were analyzed separately, so
481 that labeling in one channel did not influence analysis of the other channel. After cells were marked, the
482 GAD67 and tdTomato color channels were merged, and every instance where a cell body contained
483 markers for both GAD67 and tdTomato was counted. The number of double-labeled cells was compared
484 to the total number of tdTomato⁺ neurons to determine the percentage of tdTomato⁺ neurons that
485 were GAD67⁺.

486

487 *Analysis of NeuN staining with design-based stereology*

488 A design-based stereology approach was used to estimate the numbers of NeuN⁺ and tdTomato⁺
489 neurons in anti-NeuN stained sections (Schmitz and Hof, 2005). To collect systematic random samples, a
490 virtual 370 μm x 370 μm grid was overlaid on the IC section. The starting coordinates for the grid were
491 set using the Mersenne Twister random number generator in Igor Pro 7 or 8 (WaveMetrics Inc.). Images
492 were then collected at coordinates determined by the upper-left intersection of each grid-square that
493 fell over the left IC. Each image consisted of a 184 μm x 184 μm Z-stack collected at 1 μm depth intervals
494 with a 1.40 NA 63x oil immersion objective on a Leica TCS SP8 confocal microscope. Six to sixteen images
495 were collected per slice. Three slices were analyzed per mouse, with slices from each mouse evenly
496 distributed along the rostral-caudal axis of the IC. Images were imported to NeuroLucida 360 (MBF
497 Bioscience), where neurons were counted using the optical fractionator approach (West et al., 1991). In
498 this approach, we determined the image planes corresponding to the top, center, and bottom of the
499 slice in each image stack. Top and bottom regions of each slice (≥ 2 μm thick) were treated as guard
500 zones and discarded from subsequent analysis. Removal of guard zones left a 15 μm -thick region at the

501 center of the slice for subsequent analysis. Neurons within this region were counted by making a single
502 mark at the top of each cell. Cells crossing the right and top borders of the image stack were counted,
503 whereas those crossing the left and bottom borders were not. The green (NeuN) and red (tdTomato)
504 color channels were analyzed separately, so that labeling in one channel did not affect analysis of the
505 other. Next, the color channels were merged and cells with both NeuN and tdTomato markers were
506 counted. In every instance, tdTomato⁺ cells were also NeuN⁺ (205/205 cells). The total number of
507 double-labeled (tdTomato⁺/NeuN⁺) cells was then compared to the total number of NeuN⁺ cells.

508

509 *Analysis of the distribution of VIP neurons*

510 Following transcardial perfusion as described previously, brains from three VIP-IRES-Cre x Ai14 mice
511 were frozen and sectioned on a sliding microtome. Brains were cut into 40 μm sections, one each in the
512 transverse, sagittal, and horizontal planes, and sections were collected in three series. The distribution
513 of tdTomato-expressing (VIP⁺) cells in one series from each case was analyzed using a NeuroLucida
514 system (MBF Bioscience, Williston, VT) attached to a Zeiss Axioimager.Z1 fluorescence microscope.
515 Major IC subdivisions, including the central nucleus (ICc), dorsal cortex, (ICd) and lateral cortex (ICl),
516 were identified by comparing bNOS and GAD67 immunostains with previous studies of mouse IC
517 (Willard and Ryugo, 1983; Meininger et al., 1986; Ono et al., 2016; Dillingham et al., 2017). NeuroLucida
518 Explorer was used to export drawings to Adobe Illustrator for figure preparation.

519

520 *Electrophysiology*

521 Mice of either sex were used, aged postnatal day (P) 21 to P70 for VIP-IRES-Cre x Ai14 crosses and P21
522 to P113 for C57BL/6J animals. Mice were deeply anesthetized with isoflurane, decapitated, and the
523 brain was dissected quickly in ~34° C artificial cerebrospinal fluid (ACSF) containing (in mM): 125 NaCl,

524 12.5 Glucose, 25 NaHCO₃, 3 KCl, 1.25 NaH₂PO₄, 1.5 CaCl₂, 1 MgSO₄, bubbled to a pH of 7.4 with 5% CO₂ in
525 95% O₂. Chemicals were obtained from Fisher Scientific or Millipore Sigma unless stated otherwise.
526 Coronal or parasagittal slices (200 – 250 μm) containing the IC were cut with a vibrating microtome
527 (VT1200S, Leica Biosystems) and incubated at 34 °C for 30 minutes in a holding chamber filled with ACSF
528 and bubbled with 5% CO₂ in 95% O₂. After incubation, slices were stored at room temperature until used
529 for recordings.

530 To make recordings, slices were placed in a recording chamber under a fixed stage upright
531 microscope (BX51WI, Olympus Life Sciences) and were constantly perfused with 34 °C ACSF at ~2
532 ml/min. All recordings were conducted near physiological temperature (34 °C). IC neurons were patched
533 under visual control using infrared Dodt gradient contrast and epifluorescence imaging. Recordings were
534 performed with a BVC-700A patch clamp amplifier (Dagan Corporation). Data were low pass filtered at
535 10 kHz, sampled at 50 kHz with a National Instruments PCIe-6343 data acquisition board, and acquired
536 using custom written algorithms in Igor Pro. For every recording, series resistance and pipette
537 capacitance were corrected using the bridge balance circuitry of the BVC-700A. Recordings with a series
538 resistance above 25 MΩ were discarded. All membrane potentials have been corrected for a liquid
539 junction potential of 11 mV.

540 Electrodes were pulled from borosilicate glass (outer diameter 1.5 mm, inner diameter 0.86
541 mm, Sutter Instrument) to a resistance of 3.5 – 4.5 MΩ using a P-1000 microelectrode puller (Sutter
542 Instrument). The electrode internal solution contained (in mM): 115 K-gluconate, 7.73 KCl, 0.5 EGTA, 10
543 HEPES, 10 Na₂ phosphocreatine, 4 MgATP, 0.3 NaGTP, supplemented with 0.1% biocytin (w/v), pH
544 adjusted to 7.3 with KOH and osmolality to 290 mmol/kg with sucrose.

545 Input resistance was determined by delivering a series of 100 ms hyperpolarizing current steps
546 incremented to elicit hyperpolarization ranging from just below the resting membrane potential to

547 < -110 mV. For each response, the amplitudes of the peak (most negative value) and steady-state
548 (average of last 10 ms of response) hyperpolarization were measured relative to the resting potential.
549 Voltage versus current plots were prepared, and input resistance was determined from the slopes of
550 lines fit to the peak (R_{pk}) and steady-state (R_{ss}) data for current steps that achieved a peak
551 hyperpolarization between 0 and -15 mV relative to rest. Membrane time constant was determined by
552 delivering 50 current steps at an amplitude that hyperpolarized the membrane potential by 1 – 3 mV.
553 Current step duration was set to ensure that the membrane potential achieved a steady-state value
554 before the end of the current step. An exponential function was then fit to onset of each response and
555 the median time constant determined.

556 To isolate or manipulate synaptic events, the following pharmacological agents were used, all
557 diluted in standard ACSF: 5 μ M SR95531 (gabazine, GABA_A receptor antagonist, Hello Bio), 1 μ M
558 strychnine hydrochloride (glycine receptor antagonist, Millipore Sigma), 50 μ M D-AP5 (NMDA receptor
559 antagonist, Hello Bio), 10 μ M NBQX disodium salt (AMPA receptor antagonist, Hello Bio).

560 Data analysis was performed using custom written algorithms in Igor Pro or MATLAB (Mathworks).
561 Statistical tests were performed in R Studio (RStudio, Boston) for R 3.5.1 (The R Project for Statistical
562 Computing, The R Foundation).

563

564 *Post-hoc reconstructions of morphology and morphology analysis*

565 After recordings, the electrode was removed slowly to allow the cell membrane to reseal, and the slice
566 was fixed in 4% paraformaldehyde (PFA) in 0.1 M phosphate buffer (PB, pH 7.4) for 12 – 24 hours. Slices
567 were then washed in 0.1 M PB and stored in 0.1 M PB for up to three weeks. Recorded neurons were
568 stained using fluorescent biocytin-streptavidin histochemistry. In brief, slices were washed in 0.1 M PB
569 three times for 10 minutes (3 x 10 min in PB), permeabilized in 0.2% Triton X-100 in 0.1 M PB for 2

570 hours, washed 3 x 10 min in PB, and stained at 4 °C for 48 hours with streptavidin-Alexa Fluor 488 or
571 647, diluted 1:1000 in 0.1 M PB. Slices were then washed 3 x 10 min in PB and mounted on Superfrost
572 Plus microscope slides in anti-fade media (Fluoromount-G). Z-stack images of streptavidin-Alexa Fluor
573 labeled cells were obtained with a Leica TCS SP8 laser scanning confocal microscope using a 1.40 NA 63x
574 oil-immersion objective. Three-dimensional reconstructions of neuronal morphology and quantitative
575 analyses of soma and dendrite shape were performed on image stacks imported into NeuroLucida 360
576 (MBF Bioscience). To facilitate comparisons of neuronal morphology, all reconstructed neurons are
577 displayed as if they were in the left IC as viewed from a caudal perspective. Reconstructions of neurons
578 that were located in the right IC were flipped along the dorsal-ventral axis so that they appear as if they
579 were in the left IC.

580

581 *Correlation of neuron location and intrinsic physiology*

582 Following biocytin-streptavidin histochemistry, tile scan images of the entire IC were collected using a
583 20x objective on a Leica TCS SP8 confocal microscope. These images were then used to determine
584 medial-lateral and dorsal-ventral coordinates of recorded neurons. The medial-lateral coordinate was
585 measured as the distance of the soma from the medial axis (midline) of the IC slice (x axis). The dorsal-
586 ventral coordinate was measured as the distance of the soma from the dorsal-most edge of the IC slice
587 (y axis). Neurons in the right IC were combined with those from the left IC by multiplying the medial-
588 lateral coordinate of neurons from the right IC by -1. Neuron coordinates were then compared to
589 physiological parameters obtained during whole cell recordings. To test for correlations, data were fit
590 with a plane using the Levenberg-Marquardt least squares method in Igor Pro. Fit quality was assessed
591 with Pearson's correlation coefficient and the adjusted R². Fit significance (p value) was calculated based
592 on the chi-squared statistic from the fit and the chi-squared cumulative distribution function.

593

594 *Determination of major axes of neuron morphology*

595 Neuron morphology was reconstructed as described above. To determine the “length” and “width” axes
596 of the dendritic arbors, the set of coordinates describing the morphology of the dendritic arbor of each
597 neuron was exported from NeuroLucida 360 (MBF Bioscience). Coordinates were imported to Igor Pro,
598 where principal components analysis (PCA) was performed on either the x and y coordinates (2D PCA) or
599 the x, y, and z coordinates (3D PCA). The orientation of the length and width axes was then derived from
600 the first and second principal directions of the resulting eigenvector matrices. 2D PCA was used to
601 determine the orientation of neurons within the coronal plane. 3D PCA was used to determine the axes
602 to use for measuring dendritic arbor length/width ratios. For this, the spread of the dendritic arbor
603 along the first and second principal directions was determined by rotating each morphology coordinate
604 set according to its eigenvector matrix, then calculating the range from the minimum to maximum
605 coordinates along the x (length, first principal direction) and y (width, second principal direction) axes.

606

607 *Intracranial virus injections*

608 Intracranial virus injections were performed on mice age P21 – P35 using standard aseptic techniques.
609 Throughout the procedure, mice were anesthetized with isoflurane and their body temperature
610 maintained with a homeothermic heating pad. An injection of the analgesic carprofen (5 mg/kg,
611 CarproJect, Henry Schein Animal Health) was delivered subcutaneously. The scalp was shaved and a
612 rostral-caudal incision was made along the midline to expose the skull. Injection sites were mapped
613 using stereotaxic coordinates relative to the lambda suture. A single craniotomy was performed using a
614 micromotor drill (K.1050, Foredom Electric Co.) with a 0.5 mm burr (Fine Science Tools).

615 Viral constructs were injected with a NanoJect III nanoliter injector (Drummond Scientific
616 Company) connected to a MP-285 micromanipulator (Sutter Instruments). Glass injection pipettes were
617 prepared by pulling capillary glass (Drummond Scientific Company) with a P-1000 microelectrode puller

Table 3. Stereotaxic coordinates for virus injections. All coordinates are relative to the lambda suture.

Location	X coordinate (caudal)	Y coordinate (lateral)	Z coordinates (depth)
Right IC penetration 1 (CRACM)	-900 μm	1000 μm	2250-1500 μm , 250 μm interval
Right IC penetration 2 (CRACM)	-900 μm	1250 μm	2250-1750 μm , 250 μm interval
Right IC penetration 1 (axonal tracing)	-900 μm	1000 μm	1850 μm , 2000 μm
Right DCN	-1325 μm	2150 μm	4750 μm , 4550 μm

618 (Sutter Instrument). The injector tip was cut to an opening of $\sim 20 \mu\text{m}$ and beveled at 30° with a BV-10
619 pipette beveller (Sutter Instrument). Injectors were back-filled with mineral oil and then front-filled with
620 virus. AAV1.Syn.Chronos-GFP.WPRE.bGH (University of Pennsylvania Vector Core, Lot# CS1027L,
621 2.986×10^{13} genome copies (GC)/ml) was used for CRACM experiments. AAV1.CAG.FLEX.eGFP.WPRE.bGH
622 (Allen Institute 854, University of Pennsylvania Vector Core, Lot# CS0922, 4.65×10^{13} GC/ml) was used for
623 axonal tract tracing. For CRACM experiments, the IC was injected via two penetrations. Virus deposits
624 were made at 250 μm intervals along the dorsal-ventral axis, resulting in four deposits in penetration 1
625 and three deposits in penetration 2. At each depth, 20 nl of virus was deposited, resulting in seven virus
626 deposits and a total load of 140 nl virus per injected IC. DCN injections were limited to two deposits of
627 20 nl virus. For axonal tracing studies, viral load was reduced to 40 nl total (20 nl per site) to achieve
628 sparser labeling of neurons. Injections were made at the coordinates shown in **Table 3**.

629 After injections were completed, the scalp was sutured with Ethilon 6-0 (0.7 metric) nylon
630 sutures (Ethicon USA LLC), and the wound was treated with 0.5 – 1 ml 2% Lidocaine hydrochloride jelly
631 (Akorn Inc.). Once mice were ambulatory, they were returned to the vivarium where they were
632 monitored daily until sutures fell out and the wound was completely healed.

633

634 *Channelrhodopsin-assisted circuit mapping*

635 After allowing 3 – 4 weeks for Chronos expression, animals were used for in vitro slice electrophysiology
636 experiments as described above, with the exception that after decapitation all steps were performed in
637 red light and recordings were conducted in darkness or red light to limit Chronos activation. For CRACM
638 experiments, recordings were targeted to VIP neurons only. During whole cell recordings, Chronos was
639 activated by brief pulses of 470 nm light emitted by a blue LED coupled to the epi-fluorescence port of
640 the microscope. Blue light flashes were 2 to 5 ms long and optical power density ranged from 6 – 48
641 mW/mm², using a minimal stimulation protocol. Recording sweeps with light flashes were repeated 20
642 to 50 times in 0.5 – 1 s intervals to obtain average PSP values. During experiments to investigate
643 receptor contribution to PSPs, drugs were washed in for at least 10 minutes before recording under the
644 new condition. For each receptor antagonist, 7 - 8 washout experiments were conducted. In each case,
645 drug effects reversed after washout (data not shown).

646

647 *Axonal projections*

648 The right IC of VIP-IRES-Cre x Ai14 mice was injected with AAV1.CAG.FLEX.eGFP.WPRE.bGH and
649 transcardially perfused 3 – 4 weeks later, as described above. Brains were frozen and sectioned at 40 µm
650 on a sliding microtome. For some brains, sections were collected serially, and for others, sections were
651 collected in three series. The brains were examined for eGFP-labeled axons and boutons, which were
652 interpreted as VIP⁺ projections originating in the IC. Some sections were counterstained with a
653 fluorescent Nissl stain (Neurotrace 640/660, ThermoFisher, cat# N21483). Injection sites comprised a
654 collection of eGFP-labeled cell bodies. Cases were included for analysis only if the eGFP labeled cell
655 bodies were restricted to the IC. Images were collected on a Zeiss AxioImager.Z2 microscope. High
656 magnification images were collected as z-stacks using a 1.40 NA 63X oil-immersion objective and
657 structured illumination (Zeiss Apotome 2) for optical sectioning at 0.5 µm intervals. Images shown are

658 maximum projections of collected stacks. Adobe Photoshop was used to colorize images, to globally
659 adjust levels, and to add scale bars.

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