1 Spatio-temporal organization of cell assemblies

² in Nucleus Reuniens during slow oscillations.

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24 Author Contributions:

- 25 M.F. and P.P.Q. designed the experiments. M.F. and P.P.Q. performed the experiments.
- A.G. assisted in performing experiments. D.A.G., M.F. and P.P.Q. interpreted the results
- 27 and performed the analysis. All the authors reviewed and edited the manuscript.

28 **Declaration of Interests:**

29 The authors declare no competing interests.

Abstract The nucleus reuniens (NR) is an important anatomical and functional relay 30 31 between the medial prefrontal cortex (mPFC) and the hippocampus (HPC). Whether the 32 NR controls neuronal assemblies - a hallmark of information exchange between the HPC 33 and mPFC for memory transfer/consolidation - is not known. Using simultaneous LFP 34 and unit recordings in NR, HPC and mPFC in rats during slow oscillations under 35 anesthesia, we identified a reliable sequential activation of NR neurons at the beginning 36 of UP states, which preceded mPFC ones. NR sequences were spatially organized, from 37 dorsal to ventral NR. Chemical inactivation of the NR disrupted mPFC sequences at the onset of UP states as well as HPC sequences present during sharp-wave ripples. We 38 39 conclude that the NR contributes to the coordination and stabilization of mPFC and HPC 40 neuronal sequences during slow oscillations, possibly via the early activation of its own 41 sequences.

42 Introduction

43 Information exchange between the hippocampus (HPC) the medial prefrontal cortex (mPFC) is 44 essential for different memory processes, including consolidation (Siapas and Wilson, 1998, 45 Frankland and Bontempi, 2005, Maingret et al., 2016, Eichenbaum, 2017, Kitamura et al., 46 2017, Latchoumane et al., 2017, Preston and Eichenbaum, 2013). In both regions, the 47 representation of information is supported by the recruitment of cell assemblies of neurons 48 which fire in a fine time-resolved manner (Lee and Wilson, 2002, Euston et al., 2007, Luczak 49 et al., 2007, Pastalkova et al., 2008, Luczak et al., 2015, Peyrache et al., 2009, Battaglia et 50 al., 2011, Skaggs and McNaughton, 1996, Nadasdy et al., 1999). These neuronal assemblies 51 display a precise temporal sequential activation, which reflects the encoded information 52 (Pfeiffer, 2017, Marre et al., 2009, Davidson et al., 2009, Foster and Wilson, 2006, Ji and 53 Wilson, 2007, Nádasdy, 2000). Such activity occurs mainly during sleep, particularly during 54 non-REM sleep, and is organized in space and time by a set of oscillations, such as hippocampal 55 sharp-wave ripples, cortical slow oscillations and spindles (Sirota et al., 2003, Sirota and 56 Buzsáki, 2005, Maingret et al., 2016, Staresina et al., 2015). Yet, how these cells assemblies 57 are finely coordinated between HPC and mPFC to support memory consolidation is not well 58 understood. The thalamic nucleus reuniens (NR), which bi-directionally connects the HPC and 59 mPFC (Herkenham, 1978, Van der Werf et al., 2002, Vertes, 2006, Varela et al., 2014), plays 60 a key role in memory consolidation (Loureiro et al., 2012, Cassel et al., 2013, Pereira de 61 Vasconcelos and Cassel, 2015), and synchronizes gamma bursts between HPC and mPFC 62 during non-REM sleep (Ferraris et al., 2018). The NR is therefore ideally posed to orchestrate 63 the dynamics of cell assemblies in both regions.

64 **Results**

65 Cell assemblies are recruited in NR at UP state onset

We first assessed the behavior of NR (n=166 cells, n=5 rats), mPFC (n=496, n=7) and HPC (n=163, n=4) neurons during slow oscillations (SO) in anesthetized rats. Slow oscillations during anesthesia share similar features as non-REM sleep (**Ferraris et al., 2018**) and offer the advantages of long duration stable recordings necessary to identify statistically significant sequences. Neighboring thalamic neurons (antero-median and ventro-median nuclei) were also recorded as a control group ("TH", 89 neurons, n=4).

72 During SO, most of the neurons fired at the onset of the UP states, mainly in mPFC and NR 73 (Figure 1A) (Ferraris et al., 2018). To identify any ordering in this firing activity, we ranked 74 each neuron according to its mean preferred SO phase (Figure 1Ba). Neurons were organized 75 in increasing order of average preferred phase, defining a *template order* (Figure 1Bb). With this 76 ordering, we found a robust recruitment of neuronal sequences at the UP state onset in NR 77 (median percentage of recruited neurons per UP state 70.35 %, min/max: 61.13 / 85.85 %), and 78 mPFC (median: 62.9 %, min/max: 42.06 / 70.02 %). In comparison, HPC neurons showed less 79 sequential activation (median: 33.82 %, min/max: 29.26 / 46.42 %, Figure 1B). However, HPC 80 neurons displayed better sequential activation during sharp wave ripples (SPW-Rs), which tend 81 to occur at the end of the SO cycle (Sirota et al., 2003, Isomura et al., 2006, Buzsáki, 2015, 82 Khodagholy et al., 2017, Battaglia et al., 2004, Maingret et al., 2016) (median: 45 %, 83 min/max: 35 / 64 %). Sequences in NR always preceded mPFC ones (Figure 1B). As a negative 84 control, we analyzed the activity of neighboring thalamic nuclei (TH) neurons. Although TH 85 neurons displayed a strong entrainment by SO (Ferraris et al., 2018), there was poor sequential 86 activity (Figure 1B). The sequential activity at UP state onset therefore appears to be specific of 87 NR and mPFC neurons in the areas investigated here. As a further control, we analyzed the

activity of NR neurons during epochs dominated by theta (4-6 Hz) oscillations. We did not find
stable sequential neuronal assemblies during theta (data not shown). Together, these results
show that, at the beginning of UP states, cell assembly formation occurs first in NR, then in
mPFC and marginally in the HPC and neighboring thalamic nuclei.

92 We then quantified the reliability of these sequences. To do so, we computed at each UP state 93 the activation latency of each neuron relative to the population peak activity (see Methods). The 94 local activation order of the cell assembly was determined from the ascending sorting of the 95 activation latencies. We then measured the Spearman rank correlation between the local 96 activation order and the template order. A rank correlation r = 1 indicates that the sequential 97 activation follows exactly the template order determined previously by the average phase 98 preferences. For NR neurons, the template order was significantly expressed in 32% of the total 99 number of UP states (min = 15%, max = 37 %, Spearman test, p < 0.01), while mPFC reliable 100 sequences were found in only 25 % of the UP states (min/max = 5 / 31 %, Figure 1C). In 101 contrast, reliable HPC sequences were only detected in 2 % of UP states (min/max = 1 / 6 %) 102 and in 2 % for sequences in TH neurons (min/max = 1 / 14 %). Although the proportion of 103 reliable sequences in NR and mPFC were not significantly different (KS-test, p = 0.15), the 104 average Spearman correlation values of the reliable sequences were significantly larger in NR 105 than in mPFC (KS-test, p < 0.01, Figure 1D), indicating that the NR cell assembly sequential 106 organization is the most consistent. To estimate the participation of neurons in sequence 107 generation, we calculated a participation index, defined as the probability for a given neuron to 108 be involved in a given sequence (see Methods). The participation index was consistently larger 109 across experiments in NR (median: 0.63, min/max: 0.55 / 0.81) than in mPFC (median: 0.52, 110 min/max: 0.36 / 0.60; KS-test, p < 0.05). Thus, mPFC and NR are characterized by highly stable

patterns of sequential neuronal activations at the onset of the UP state, with NR sequences being more reproducible.

113 NR cell assemblies are spatially distributed

114 We also investigated the spatial distribution of the NR and mPFC cells assemblies. For each UP 115 state, we correlated the local activation order of each neuron to their anatomical dorso-ventral 116 localization (Figure 2A). The slope of a linear fit of such correlation provides the information on 117 whether the activity is propagating in a given direction (Luczak et al., 2007) (see Methods, 118 Figure 2B). Even though both NR and mPFC can show some degree of propagation in a 119 preferential direction, NR average slopes were consistently larger than mPFC ones (KS-test, p < 120 0.05, Figure 2C), reflecting a dorsal-to-ventral direction of propagation of the neuronal 121 activations. To assess the consistency of the preferential direction of propagation, we calculated 122 the Spearman rank correlation between the rank of the template order and the anatomical 123 location of the corresponding recording site. We found a highly significant correlation in NR 124 recordings in most cases (n = 4 out of 5, median | r | value across all n: 0.65, p < 0.001), 125 whereas it was virtually absent in mPFC (n = 1 out of 7, |r| = 0.35, p < 0.01) (Figure 2D). There 126 are thus more spatially organized sequences in NR as compared to mPFC (z-test, p < 0.05, 127 Figure 2E).

Altogether, these results demonstrate that NR displays at the UP state onset robust sequential activations which are spatially organized in a dorso-ventral stream. This is particularly surprising as it suggests a topical spatial organization of the neuronal output in the NR.

131 NR activity is necessary to the mPFC and HPC sequences stability

132 The fact that NR cell assemblies are generated before mPFC and HPC ones raises the 133 possibility that NR neurons may control mPFC and HPC sequences. To test this hypothesis, we 134 performed chemical inactivation of NR using Muscimol (see Methods) and recorded the activity 135 of mPFC neurons (n=140, n=3) and HPC (n=71, n=3) neurons. Following NR inactivation, mPFC 136 neurons showed a less rich activity during the UP state as compared to non-inactivation, control 137 recordings (Figure 3A) (Ferraris et al., 2018). A hallmark of highly active, long-lasting firing of 138 cells in control conditions is the broad distribution of inter-spike intervals (ISI) smaller than 0.5 s. 139 The distribution was much narrower in inactivation conditions (Figure 3B). We then calculated 140 the distribution of the pooled variability of the activity peak triggered histogram (APTH, see 141 methods and Figure 3C insert) in control and NR inactivation conditions. The median APTH 142 variability across experiments was consistently lower when NR is inactivated (control median: 143 9.62, min/max: 8.98 / 10.60, NR inactivation median: 8.92, min/max: 8.67 / 9.02, KS-test, p<0.05), 144 as shown in Figure 3C. Moreover, the UP state duration was slightly shorter (control: 0.55 s, NR 145 inactivation: 0.48 s, Mann-Whitney test, p < 0.001), as revealed by the extra peak in UP state 146 duration distribution around 0.25 s, which did not exist in control data (Figure 3D). We then 147 evaluated the outcome of the mPFC sequences in such conditions. First, NR activity suppression 148 resulted in a reduced capacity of mPFC to generate reliable sequences as compared to control 149 condition since only 6.5% of them (min = 4.0% max =8.4%) were reliable (as compared to 150 control median: 25%, min/max: 5 / 30 %, KS-test, p<0.05 Figure 3E). Moreover, the fraction of 151 participating neurons in reliable sequences decreased in such condition (control: 53%; 152 inactivation: 38%; KS-test, p < 1e-5; Figure 3F). These results support the proposal that NR 153 controls the stability and reliability of mPFC sequences.

The UP state of the SO poorly modulates the activity of HPC neurons and the formation of timely organized cell assemblies, however, SPW-Rs are more likely to trigger a sequential activation of HPC firing (**Buzsáki, 2015**). We therefore investigated the consequences of NR inactivation on HPC sequences during SPW-Rs. NR inactivation did not affect HPC neurons firing rate (control 158 median: 70 Hz, min/max: 41 / 85 Hz, NR inactivation median: 52.59 Hz, min/max: 33 / 71 Hz, 159 KS-test, p=0.97, Figure 4A). In addition, NR inactivation did not alter the frequency of SPW-Rs 160 occurrence (median control: 0.087 Hz, min/max: 0.043 / 0.11 Hz, NR inactivation: 0.029 Hz, 161 min/max: 0.022 / 0.049 Hz, KS-test, p=0.32, Figure 4B). Similarly, neither their power (mean 162 normalized power control: 157 ± 2, NR inactivation: 144 ± 5, T-test, p=0.295; Figure 4C bottom 163 panel) nor their inner frequency (mean frequency control: 193 ± 1 Hz, NR inactivation: 213 ± 3 164 Hz, T-test, p=0.084, Figure 4C top panel) were modified. In contrast, the number of reliable 165 sequences found within SWP-Rs was drastically reduced (control median: 20%, NR inactivation 166 median: 2%, z-test, p<0.001; Figure 4D). Besides, the remaining cell assemblies recruited a 167 significantly lower number of neurons (control median: 37.5 %, min/max: 12.5% / 80%, NR 168 inactivation median: 8.3 %, min/max: 0% / 66.7%, KS-test, p<0.001, Figure 4E). These findings 169 support the proposal that NR also controls the sequential organization of neuronal firing in HPC 170 during SWP-Rs.

171 Discussion

172 In this study, we showed that $\frac{2}{3}$ of NR neurons fire within robustly spatially and temporally 173 organized cell assemblies at UP state onset; and that NR activity controls cell assemblies' 174 stability in mPFC at UP state onset and in HPC during SPW-Rs. These results further support the 175 concept that the NR is a key functional hub in memory networks involving the medial prefrontal 176 cortex and hippocampus.

The sequential activation of neuronal assemblies constitutes a core feature of information processing in the brain (Tonegawa et al., 2018). Cell assemblies are found in archicortical (Lee and Wilson, 2002, Pastalkova et al., 2008, Villette et al., 2015, Malvache et al., 2016, Harris et al., 2003, Dragoi and Buzsáki, 2006) and cortical areas (Euston et al., 2007, Luczak and

181 Maclean, 2012, Luczak et al., 2007, Kenet et al., 2003, MacLean et al., 2005, Ferezou et al., 182 2006) (as well as in striatum (Lansink et al., 2009)). They constitute a way to code/encode/store 183 information (Maass, 2016, Kitamura et al., 2017). During non-REM sleep, cortical activity is 184 dominated by the sequential activation of cortical neurons at the onset of the UP state, while, in 185 the hippocampus, the sequential activation mostly occurs during SPW-Rs at the end on the slow 186 oscillation cycle (Sirota et al., 2003, Battaglia et al., 2004, Maingret et al., 2016, Khodagholy 187 et al., 2017, Peyrache et al., 2009). Similar sequential firing occurs during the slow oscillations 188 measured during anesthesia (Luczak et al., 2007), supporting the view that such brain state 189 shares many features with non-REM sleep (Tung and Mendelson, 2004, Clement et al., 2008, 190 Isomura et al., 2006, Quilichini et al., 2010, Hutt, 2011, Ferraris et al., 2018). Whether 191 sequences represent internally generated representations or preconfigured cell assemblies 192 (Pastalkova et al., 2008, Dragoi and Tonegawa, 2012, Liu et al., 2018) or a functional 193 template of offline replay in the framework of memory consolidation (Buzsáki, 2015. Lee and 194 Wilson, 2002, Pfeiffer, 2017) still remains to be elucidated, yet this work shows that they can 195 also be recorded during anesthesia in this thalamic nucleus (Bermudez Contreras et al., 196 2013). The way mPFC and HPC sequences are generated remains poorly understood. Our 197 results demonstrate that NR activity is a key regulator of mPFC and HPC sequence stability. 198 Although the basic dynamical properties of UP states and SPW-Rs were mostly not affected by 199 NR activation, mPFC and HPC sequences were considerably disrupted. The NR is ideally 200 located for this, as it is bi-directionally connected to the mPFC and HPC (Vertes, 2006, Cassel 201 et al., 2013, Varela et al., 2014). The fact that NR sequences always precede mPFC ones at UP 202 state onset suggests that NR cells may directly drive mPFC cells. In keeping with this proposal, 203 NR neurons have an excitatory action on HPC and mPFC (Dolleman-Van der Weel et al., 204 1997, Dolleman-Van der Weel and Witter, 2000, Di Prisco and Vertes, 2006) by

205 modulating/activating both interneurons and principal cells. However, the control of sequences
 206 during hippocampal SPW-Rs is more difficult to explain as SPW-Rs occur at variable times after

207 UP state onset (Sirota et al., 2003, Battaglia et al., 2004).

The presence of sequences specifically in the NR is quite remarkable (sequences were not found in neighboring thalamic nuclei). Since NR neurons are involved in reference memory consolidation (Loureiro et al., 2012) and in spatial memory (Jankowski et al., 2014, Ito et al., 2015, Jankowski et al., 2015, Ali et al., 2017, Cholvin et al., 2018), NR sequences may constitute an activity template used to organize information at the beginning of the UP state (i.e. a default activity in a default mode (Sanchez-Vives and Mattia, 2014)), in order to transmit it to the target areas in a packet-based manner (Luczak et al., 2015).

215 Another remarkable feature of NR sequences is that their dorso-ventral organization, suggesting 216 a precise topological organization in terms of afferences and efferences, despite the fact that NR 217 does not have a layered organization (Jones, 1985, Bokor et al., 2002, Van der Werf et al., 218 2002). Studies report topographically (dorso-ventral) organized inputs to NR (from subiculum 219 (Van der Werf et al., 2002, McKenna and Vertes, 2004), but most of the differences involve 220 the rostro-caudal axis (Cassel et al., 2013). However, a fuzzy dorso-ventral gradient has been 221 reported on the NR output to the temporal lobe (Dolleman-Van Der Weel and Witter, 1996, 222 Vertes et al., 2006). There is also no available information on the local connectivity among NR 223 neurons, except a caudal to rostral pathway (Dolleman-Van der Weel et al., 1997). The NR 224 includes difference cell types, but the lack of specific molecular markers prevents, so far, a 225 proper optogenetic investigation (Bokor et al., 2002, Walsh et al., 2017).

In conclusion, our results further support the concept that the NR plays a key role as an
anatomical and functional hub between the mPFC and HPC. The control it exerts on mPFC and
HPC information packets suggests that it strongly participates in the organization of information

- in both regions but also in the transfer of information from the HPC to mPFC. Its internal
- 230 organization allows the genesis of information packet sequences, which may represent similar
- 231 features as those coded in the mPFC and HPC.

232 Materials and Methods

233 Contact for Reagent and Resource Sharing

- Further information and requests for resources may be directed to and will be fulfilled by the
- 235 Lead Contact, Dr. Pascale P. Quilichini (pascale.quilichini@univ-amu.fr).

236 Key Resources Table

Reagent type (species) or resource	Source	Identifier
Animals		
Wistar Han IGS rats	Charles River	RRID:RGD_2308816
Chemicals		
Urethane	Sigma-Aldrich	Cat#U2500;
		CAS: 51-79-6
Isoflurane	Baxter	CAS: 26675-46-7
Ketamine	Renaudin	Cip: 3400957854195
Xylazine	CENTRAVET	Cat#ROM001
		CAS: 7361-61-7
Sodium pentobarbital	CEVA	CAS: 76-74-4
Paraformaldehyde	Carlo Erba	Cat#387507
		CAS: 30525-89-4
NeuroTrace 500/5225	Invitrogen	Cat#N21480
Green Fluorescent Nissl Stain		
Muscimol BODIPY TMR-X	Invitrogen	Cat#M23400
Conjugate	-	
DilC18(3)	Interchim	Cat#46804A
		CAS: 41085-99-8
Software and Algorithms		
MATLAB v2013b	MathWorks	RRID:SCR_001622
MATLAB v2015b	MathWorks	RRID:SCR_001622

Circular Statistics Toolbox	https://philippberens.wordpress.	Berens, P. (2009).
	com/code/circstats/	
KlustaKwik	http://klustakwik.sourceforge.net	RRID:SCR_008020
	Harris et al., 2000	RRID:SCR_014480
Klusters	http://neurosuite.sourceforge.net	RRID:SCR_008020
	Hazan et al., 2006	
NeuroScope	http://neurosuite.sourceforge.net	RRID:SCR_008020
	Hazan et al., 2006	
NDManager	http://neurosuite.sourceforge.net	RRID:SCR_008020
	Hazan et al., 2006	
Other		
Digital Neuralynx recording system	Neuralynx	Model: 64-channel
(32 kHz sampling)		Digital Lynx
Pulse oximeter	Starr life sciences	MouseOx
Motorized manipulator	Scientifica	Scientifica IVM single
Silicon probe	NeuroNexus	A1x32-Edge-10mm-
32 aligned sites (177µm2), 20µm		20-177-H32-50
spacing, 50µm thick,10mm long		
Silicon probe	NeuroNexus	A1x32-Edge-5mm-20-
32 aligned sites (177µm2), 20µm		177-H32-15
spacing, 15µm thick, 5mm long		
Silicon probe	NeuroNexus	A1x32-6mm-50-177-
32 aligned sites (177µm2), 50µm		H32-15
spacing, 15µm thick, 6mm long		
Vibratome	Leica	VT1000S
Stereotaxic frame	Kopf	#962
Syringe 75RN 5µl	Hamilton	Cat#87930
Needle 33 gauge	Hamilton	Cat#7803-05
UltraMicroPump	World precision instrument	UMP3-1

237 **Experimental Model and Subject Details**

All experiments were performed in accordance with experimental guidelines approved by Aix-

239 Marseille University Animal Care and Use Committee. A total of 16 rats were used in this study.

240 Part of these data (14 Wistar Han rat data) were used in a previously published study (Ferraris

et al., 2018), and 2 Wistar Han rats are original data. They include local field potentials (LFPs)

and single-unit recordings made in the mPFC, HPC and NR of anesthetized rats.

243 Animal surgery

244 Wistar Han IGS male rats (250-400g; Charles River) were anesthetized with urethane (1.5 g/kg, 245 i.p.) and ketamine/xylazine (20 and 2 mg/kg, i.m.), additional doses of ketamine/xylazine (2 and 246 0.2 mg/kg) being supplemented during the electrophysiological recordings. The heart rate, 247 breathing rate, pulse distension and the arterial oxygen saturation were also monitored with an 248 oximeter (MouseOx, Starr Life Science) during the entire duration of the experiment to ensure 249 the stability of the anesthesia and monitor the vital constants. The head was secured in a 250 stereotaxic frame (Kopf, Phymep) and the skull was exposed and cleaned. Two miniature 251 stainless-steel screws, driven into the skull, served as ground and reference electrodes. Up to 252 three craniotomies were performed to target, from bregma: the pre-limbic area of the medial 253 prefrontal cortex (mPFC) at +3 mm AP and +0.8 mm ML; the CA1 field of the intermediate 254 hippocampus (HPC) at -5.6 mm AP and +4.3 mm ML; and the nucleus reuniens (NR) at -1.8 mm 255 AP and -2 mm ML. Silicon probes (NeuroNexus) were used to record from these structures: a 256 A1x32-Edge-5mm-20-177-H32-15 probe placed at [-2.5 -3.1] mm from brain surface to reach 257 mPFC layer 5; a A1x32-Edge-10mm-20-177-H32-50 32-site probes placed at -7.2 mm from 258 brain surface to reach the NR; a HPC A1x32-6mm-50-177-H32-15 probe placed at [-2.8 -3.0] 259 mm perpendicularly to the CA1 field from stratum oriens to stratum lacunosum moleculare in 260 the HPC. All the probes were lowered inside the brain with a motorized manipulator (Scientifica). 261 For the NR inactivation experiments (n = 3 rats), a local injection of a fluorophore-conjugated 262 muscimol (BODIPY-MSCI TMR-X Conjugate, Invitrogen) was performed in the NR and data from 263 the mPFC and the HPC (CA1) were simultaneously acquired. The injection needle (33 gauge, 264 Hamilton) was inserted in the NR (using the same depth coordinates as the probes and mounted 265 on the same micromanipulator) and 0.70 nmol of muscimol in 0.3 µl of PBS (Ferraris et al., 266 **2018)** was delivered over 60s through a micropump (UltraMicroPump, WPI). The needle was left

in place for 3 additional minutes to allow for adequate diffusion of the drug, then carefullyremoved.

At the end of the recording, the animals were injected with a lethal dose of Pentobarbital Na (150mk/kg, i.p.) and perfused intracardially with 4% paraformaldehyde solution in phosphate buffer (0.12M). The position of the electrodes (DilC18(3), InterChim) was applied on the back of the probe before insertion) was confirmed histologically on Nissl-stained 60 µm sections (NeuroTrace 500/5225 Green Fluorescent Nissl Stain, Invitrogen). Only experiments with appropriate position of the probe were used for analysis (Figure 2A).

275 Data collection and initial analysis

276 Extracellular signal recorded from the silicon probes was amplified (1000x), bandpass filtered (1 277 Hz to 5 kHz) and acquired continuously at 32 kHz (64-channel DigitalLynx; NeuraLynx) at 16-bit 278 resolution. Raw data were preprocessed using a custom-developed suite of programs 279 (Csicsvari et al., 1999). After recording, the signals were downsampled to 1250 Hz for the local 280 field potential (LFP). Spike sorting was performed automatically, using KLUSTAKWIK (Harris et 281 al., 2002), followed by manual adjustment of the clusters, with the help of autocorrelogram, 282 cross-correlogram and spike waveform similarity matrix (KLUSTERS software, (Hazan et al., 283 **2006).** After spike sorting, the spike features of units were plotted as a function of time, and the 284 units with signs of significant drift over the period of recording were discarded. Moreover, only 285 units with clear refractory periods and well-defined cluster were included in the analyses. 286 Recording sessions were divided into brain states of theta and slow oscillation periods. The 287 epochs of stable slow oscillations (SO) periods were visually selected respectively from the 288 ratios of the whitened power in the slow oscillations band (1-2 Hz) and the power of the

neighboring band (20-30 Hz) of mPFC or NR LFP and assisted by visual inspection of the raw
traces (Quilichini et al., 2010).

Neurons were assigned as "NR neurons" by determining the approximate location of their somata relative to the recording sites, the known distances between the recording sites, the histological reconstruction of the recording electrode tracks and subsequent estimation of the recording sites. All the neurons recorded from sites located near the close contour of the NR were discarded. Neurons located at a minimal distance of 200µm of NR border and located within contours of the ventro-median, submedian or antero-median thalamic nuclei were classified as "other thalamic neurons" and used in the analysis (Ferraris et al., 2018).

298 Data post-processing

299 From the spike times, the instantaneous firing rates of each cell was calculated by counting the 300 number of spikes inside a window of 50 ms, in overlapping intervals of 10 ms. The population 301 firing rate was estimated averaging the single cell firing rates at each 10ms interval. Both the 302 population rate and the single cell firing rate were smoothed with a Gaussian kernel of 5ms 303 width. Peaks of population activity (AP) were identified as the points where the population rate 304 amplitude was larger than the average rate plus 1 standard deviation. A separation between two 305 consecutive peaks of at least 600 ms was imposed on the peak detection algorithm to avoid 306 multiple peaks of activity within one up-state. For visualization purposes, all firing rate's heat 307 maps were normalized with the peak firing rate for each cell to guarantee a variation between 308 [0:1].

309 **Phase analysis and sequence identification**

LFP signals (from mPFC or NR) during slow oscillation phase were band-pass filtered between
0.5 Hz and 2 Hz with a second order Butterworth filter to extract only the UP/DOWN transitions.

312 The time evolution of the phase during the UP/DOWN cycle was extracted performing the 313 Hilbert transform of the filtered LFP. We used Rayleigh circular statistics (Ferraris et al., 2018, 314 Berens, 2009) to compute the mean phase at which each neuron fires ("preferred phase") and 315 to build their firing-phase histograms (Figure 1Ba). For visualization purposes, the resulting 316 histograms depicted in the heat maps were normalized with the peak value of the distribution. 317 For each cell, we then calculated a resultant vector characterized by an angle describing the 318 average preferred phase and a magnitude with values between [0 1], quantifying the coherence 319 of the phases. The template order was obtained by organizing the average preferred phase in 320 increasing order (0 to 2π).

321 Sequence reliability, sequence velocity and participation index

322 We extracted the UP state duration as described in (Ferraris et al., 2018). At each UP state, the 323 local activation order was calculated by measuring the time lag between the first activation of 324 each neuron relative to the AP in a +/- 200ms window. Ordering the latencies in increasing order 325 resulted in the local activation order. The reliability of a given sequence within a population peak 326 was guantified as the Spearman rank correlation of the template order and the local activation 327 order in that particular UP state. Sequences were considered reliable above 99% significance 328 level. For each reliable sequence, the velocity of sequential activation was computed as the 329 slope of a robust fit between the local activation order and the activation latency. To assess 330 whether a neuron participated in a given sequence, the outliers in the robust fit were identified 331 as those whose residual value were larger than twice the standard deviation of the residuals in 332 the robust fit. The participation index is then calculated as the mean fraction of neurons that 333 participated in the sequences of a recording session. A second linear fit between the local 334 activation latency and the site of the linear probe closest to the neuron gives a measure of the

directionality of the sequence activation. A slope of the fit different than 0 implies an activation towards a given direction. Since this slope has units of time/electrode site, we multiplied the resulting value by 32 (the number of sites in the electrode) to account for the time required for a sequence to travel along the electrode.

339 Activity peak triggered histogram (APTH)

Storing the values of activation time lag relative to the AP at each UP state allows us to compute the so-called activity peak triggered histogram for each cell. With the APTH it is possible to assess the statistics of the firing latencies in the neighborhood of the UP state (+/- 200 ms). Once the APTH is obtained, one can calculate the variability of the activation lags as a measure of the firing extent around the peak reported in Figure 3C. Variability of the APTH can be calculated in analogy to the variance of a probability distribution function where τ is the mean value of the APTH (See Figure 3C insert).

347 SPW-Rs detection and analysis

348 The procedure of SPW-Rs detection in the HPC stratum pyramidale LFP was based on those 349 described previously (Ferraris et al., 2018, Isomura et al., 2006). Briefly, the LFP was digitally 350 bandpass filtered [80 250] Hz, and the power (root-mean-square) of the filtered signal was 351 calculated. The mean and SD of the power signal were calculated to determine the detection 352 threshold. Oscillatory epochs with a power of 5 or more SD above the mean were detected. The 353 beginning and the end of oscillatory epochs were marked at points where the power fell 0.5 SD. 354 Once the SPW-Rs were detected, the SPW-R half time was calculated as the average between 355 the start and the end of it. Then, the APTH for each cell was computed, taking each SPW-R half 356 time as the activity peak. To test whether a cell robustly participates in the SPW-Rs, we 357 compared the APTH against a uniform distribution with identical mean and standard deviation.

358 Cells whose APTH were different from the flat distribution above a 95% level were considered 359 as robustly participating in the SPW-Rs. Cell participation ratio was obtained dividing the number 360 of robustly participating neurons by the total number of recorded neurons for that session. 361 Organizing the average time lag for robustly participating neurons in increasing order defined 362 the template order for the ripple. For each SPW-R, the reliability of activation respect to the 363 template was calculated via the Spearman rank correlation between the activation order of that 364 SPW-R and the template order. To calculate this correlation, only the neurons that belong to the 365 template order were considered. A correlation above 95% confidence interval was considered 366 reliable.

367 Statistics

All results reported are based on a significance threshold α=0.05, otherwise stated, and all groups included enough samples to enable rejection of the null hypothesis at that level. We used two sample Kolmogorov-Smirnov test to assess differences between distributions, and t-Student's test to evaluate differences in the mean of distributions. Correlation tests involving ranked variables (neuron indices and electrode sites) were performed via a Spearman rank correlation. We tested significant differences between percentages with a two proportion Z-test.

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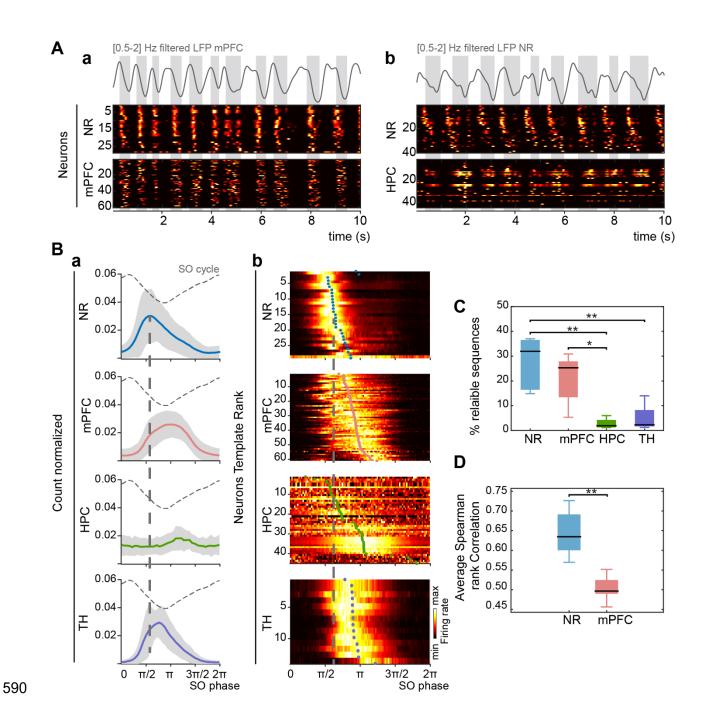
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576 FIGURES & FIGURE LEGENDS

577 Figure 1. Sequential dynamics of cell assemblies.

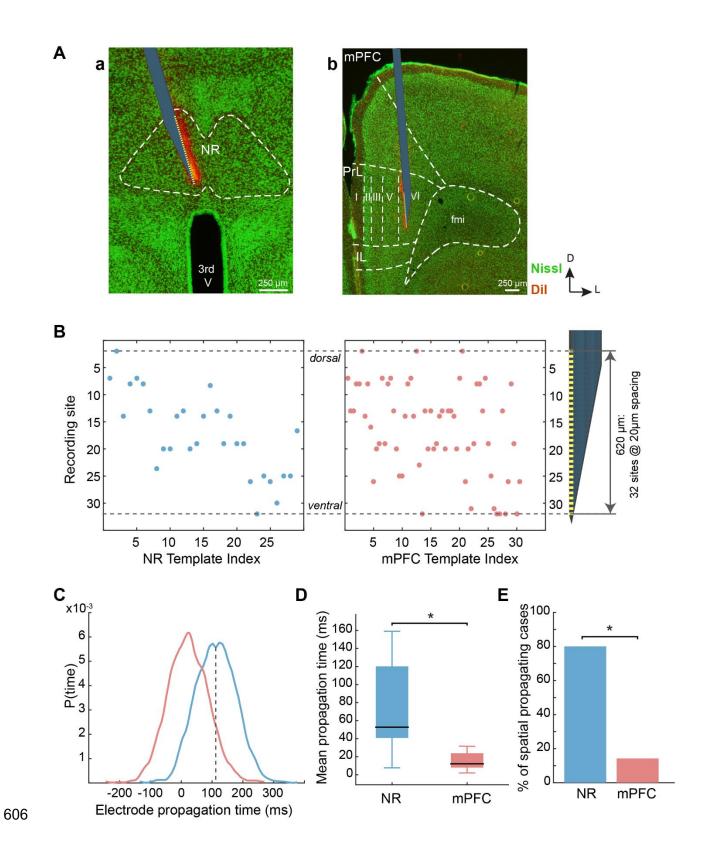
- 578 **A)** Two template heat maps of normalized NR unit activities (top) with **(a)** mPFC or **(b)** HPC 579 normalized unit activities showing the repetition of neuronal assemblies particularly in NR and
- 580 mPFC. The upper trace depicts the [0.5-2] Hz filtered LFP in (a) mPFC and (b) NR, where the
- 581 UP states correspond to troughs in the LFP signal.
- 582 B) (a) Phase distribution of the NR, mPFC, HPC and TH population firing (grouped data) as a
- reference to SO phase (depicted by the dashed curve). (b) Heat maps of normalized distribution
- 584 of neurons preferred SO phase for NR, mPFC, HPC and TH. The activation order for each
- 585 neuron is calculated as the average preferred phase. Heat maps are ordered according to the
- 586 increasing value of average preferred phase (colored circles), defining the sequential activation
- 587 template.
- 588 C) Percentage of reliable sequences detected in the NR, mPFC, HPC and TH.
- 589 **D)** Average Spearman correlation of reliable sequences only found in NR and mPFC.



591 Figure 1. Sequential dynamics of cell assemblies.

592 Figure 2. Spatial organization of NR and mPFC cell assemblies.

- A) Representation of the position of a linear silicon probe with 32 recording sites in (a) the NR and (b) mPFC. NR and mPFC contours (and layers) are delimited by the white dashed line over the green fluorescent Nissl staining (fmi: forceps minor of the corpus callosum; 3⁻⁻⁻ V: third ventricle; PrL: prelimbic area; IL: infralimbic area). The red-orange staining corresponds to the Dil that was deposited at the back of the silicon probe before insertion (D: dorsal; L: lateral).
- 598 B) Relationship between anatomical dorso-ventral location of NR (left panel) and mPFC (right
- 599 panel) neurons (location defined by the site of the probe recording the maximum amplitude of
- 600 the action potentials) and the template rank showing a linear correlation for NR neurons but not
- 601 for mPFC ones in a template experiment.
- 602 C) Distribution of spatial propagation velocities across the probe for a template simultaneous
- 603 recording of mPFC (red) and NR (blue) neurons.
- 604 **D)** Mean propagation time across experiments for NR and mPFC.
- 605 **E)** Percentage of spatially propagating cases found in NR and mPFC.



607 Figure 2. Spatial organization of NR and mPFC cell assemblies.

608 Figure 3. Inactivation of NR impairs reliable sequential activation of mPFC neurons

609 at the beginning of the UP state.

- 610 A) Two template heat maps of normalized mPFC unit activities in control and during NR
- 611 inactivation condition showing less stable cell assemblies. The upper traces depict the [0.5-2] Hz
- 612 filtered LFP in mPFC, where the UP states correspond to troughs in the LFP signal.
- 613 **B)** Inter-spike interval distribution of mPFC neurons grouped data for control (red) and NR 614 inactivation condition (purple).
- 615 C) Grouped data of the variability of the APTH for control (CTR) and NR inactivation conditions
- 616 (MSCI). Insert: APTH: the activity peak triggered histogram quantifies the lag distribution with
- 617 respect to the population activity peak for each neuron.
- 618 D) Distribution of the duration of the UP state in control (red) and NR inactivation (purple)
- 619 condition, where a peak at short values indicates the emergence of shorter UP states.
- 620 E) Number of reliable sequences found in mPFC when NR is inactivated (MSCI) as compared to
- 621 control (CTR) condition (grouped data, n=3 experiments).
- 622 F) Neurons participation to the reliable sequences (MSCI versus CTR, grouped data).

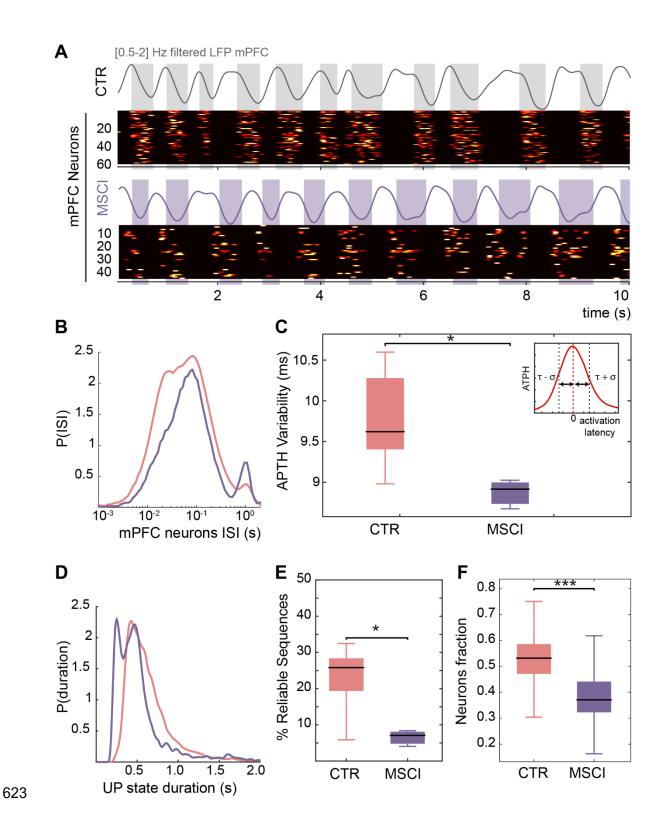


Figure 3. Inactivation of NR impairs reliable sequential activation of mPFC neurons at
the beginning of the UP state.

626 Figure 4: Inactivation of NR impairs reliable sequential activation of HPC neurons

627 during SPW-Rs.

A) Two template heat maps of normalized HPC unit activities in control (CTR) and NR
inactivation (MSCI) condition. The upper traces depict the [0.5-2] Hz filtered LFP in mPFC,
where the UP states correspond to troughs in the LFP signal, and the black arrow mark the

- 631 presence of a SPW-R event.
- 632 B) SPW-Rs occurrence is not changed when NR is inactivated (grouped data, n=3 experiments,
- 633 n=913 SPW-R events in CTR, n=89 SPW-R events in MSCI).
- 634 C) SPW-R events normalized power and inner frequency in control versus NR inactivation
- 635 conditions.
- 636 D) Proportion of reliable cell assemblies during SPW-Rs in control (CTR) and NR inactivation
 637 (MSCI) condition.
- 638 E) Fraction of reliably participating HPC neurons to sequences during SPW-R events in control
- 639 and NR inactivation conditions.

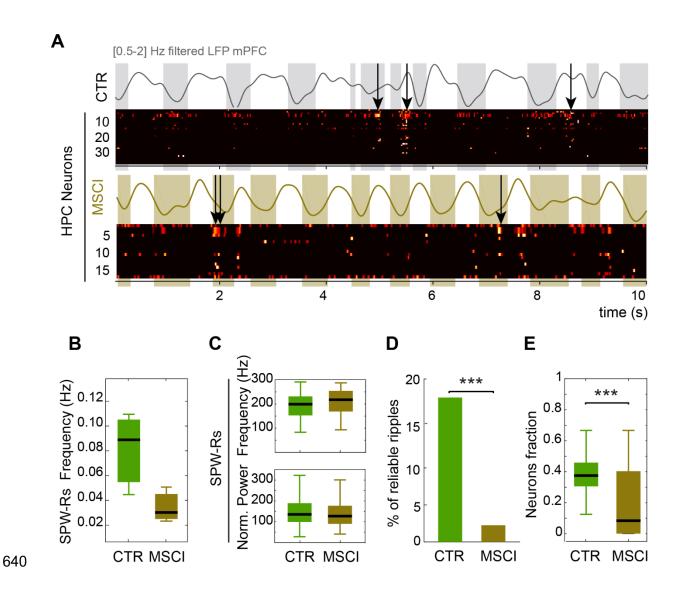


Figure 4: Inactivation of NR impairs reliable sequential activation of HPC neurons duringSPW-Rs.