Letter

Genetic combination risk for schizophrenia

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Summary Paragraph

Schizophrenia is a highly hereditary mental disease[1] related to abnormal dopaminergic

activities.[2,3] To elucidate the mechanisms underlying schizophrenia's development, genomic

studies have sought to identify the pathogenic genetic polymorphisms. Large-scale

genome-wide association studies (GWAS) have reported potential candidate loci that

contribute to schizophrenia's development.[4,5] The risk genetic profiles are not yet established.

Here we show that the combination of three functional single nucleotide polymorphisms

(SNPs) related to the key factors of dopaminergic signaling can be used to predict the risk of

schizophrenia's development, though none of the SNPs is known to be associated by itself.

These functional SNPs were reported to demonstrate directional influences in their parent

gene activity, perhaps characterizing the integrated properties of dopaminergic signaling.

Interestingly, the risk combination presented here included the major genotype as well as the

minor polymorphisms, suggesting a possible association of unaffected activities of some

dopamine-related genes with the disease development. The phenotype speculated based on

the allelic status seemed consistent with the conventional pathophysiological hypotheses,

although recently developed predictive methods, such as the polygenic risk score, could miss

this potent pathogenic role of carrying a normal genotype by evaluating only minor

polymorphisms. Our results demonstrate the presence of a subtype in schizophrenia with the

favored genetic background related to dopamine signaling. Our findings indicate the

possibility that the combinations could characterize integrated biological functions (including

neurotransmission) and therefore identify individuals with a disease risk. The biological

microenvironment indicated by the functional SNPs could bring an insight to elucidate the

pathogenic mechanisms of developing schizophrenia. Furthermore, we believe that our

1 approach will contribute to the development of innovative means to predict disease risks even

2 for other multi-factorial diseases and then, the following preventive medicine.

Main

Schizophrenia is a disabling chronic mental illness, consisting of the prodromal phase and

following psychotic onset, with the lifetime prevalence of 0.4% to 1.0% worldwide.[6,7] The

majority of first-episode schizophrenia patients positively respond to antipsychotic treatment

with dopamine D2 receptor (DRD2) antagonists.[2] Increased dopamine synthesis was

observed in these responding patients,[3] suggesting the involvement of abnormal

dopaminergic signaling in the pathology of schizophrenia. Although epidemiologic studies

convincingly suggested the high genetic heritability of schizophrenia,[1] genome-wide

association studies (GWAS) have not succeeded in indicating the apparent association of

dopamine-related genes with schizophrenia.[8] In fact, those studies tended to obtain negative

results regarding the genetic associations with schizophrenia among the candidates proposed

by a variety of psycho-pharmacological hypotheses.[9]

We recently demonstrated that analyses of the combinations of multiple functional

single nucleotide polymorphisms (SNPs) of the parent genes that are key factors in

dopaminergic signaling could be used to predict the risk of developing late-onset

treatment-resistant schizophrenia (L-TRS).[10] L-TRS may be due to antipsychotic-induced

dopamine supersensitivity;[11,12] i.e., L-TRS may be caused by the compensatory up-regulation

of DRD2.[13–15] Because individuals with L-TRS may be correctly classified as having typical

dopamine-related schizophrenia, we evaluated the combination of dopamine-related

functional SNPs, i.e., rs10770141 of the tyrosine hydroxylase (*TH*) gene, rs4680 of the

catechol-O-methyltransferase (*COMT)* gene, and rs1800497, also called TaqIA, of the *DRD2*

gene (Table 1). We identified two potent risk combinations for L-TRS; one is the double SNP

combination of T(+) of rs10770141 and Met(−) of rs4680, and the other is the triple SNP

combination of T(+) of rs10770141, Met(−) of rs4680, and A1(+) of rs1800497. It has been

reported that these individual SNPs may reflect the relatively higher *TH* gene expression[16]

and COMT activity.[17] In addition, the individuals with A1(+) of rs1800497 could have lower

DRD2 gene expression.[18] Since these dopaminergic characteristics could be associated with

schizophrenia, we tested here whether these allelic combinations could pose a risk for the

development of the disease.

Our case-control study included 361 schizophrenic patients and 282 healthy controls

(Suppl. Table S1). None of the included SNPs showed an allelic or genotypic association with

schizophrenia (Suppl. Table S2). However, we found that the individuals with the triple

combination were significantly associated with schizophrenia (odds ratio [OR] 5.56, 95%

confidence interval [CI] 1.25–24.69, p=0.011), whereas the double combination showed a

tendency to be associated with schizophrenia (OR 2.54, 95%CI 1.00–6.46, p=0.062) (Tables

2, 3). Within the total of 16 individuals who carried the triple combination, 14 had been

diagnosed as having schizophrenia.

Although we observed that none of the single SNPs was associated with schizophrenia,

a finding that is consistent with most of the literature, the significant association of the unique

triple combination might indicate that individuals with schizophrenia have some inborn

dopaminergic properties. Individuals with T(+) and Met(−) might be expected to show

relatively higher dopamine synthesis and more rapid dopamine degradation, which also

suggests that there are more dynamic alterations in dopamine concentrations at the synaptic

cleft. In addition, carrying A1(+) might be associated with a lower presynaptic DRD2 density

and consequently an enhanced dopamine release due to the attenuated negative feedback

loops.[19] Thus, these individuals might exhibit relatively more pulsatile dopamine signaling.

It is known that repeated exposure to dopamine agonists (such as psychostimulants[20])

and/or stressors[21] causes sensitization. The term 'sensitization' means a progressive and

long-lasting amplification of the behavioral and neurochemical response[22] and has been

argued to play a pathogenic role in causing not only psychosis but also schizophrenia.[23–25] In

fact, it is clinically suggested that frequent exposure to stress poses a risk for prodromal

symptoms and schizophrenia.[26,27] Individuals with schizophrenia were reported to release

greater amounts of dopamine in response to stress.[6] Individuals who carry the risk genetic

combination might demonstrate similar dopaminergic pathogenesis, since relatively rapid

pulsatile signaling could be caused repeatedly in response to daily stressors. This similarity in

dopaminergic pathogenesis could contribute to sensitization and be a reason for the increased

likelihood of schizophrenia onset. It may also be well consistent with the observations that

both genetic and environment factors seem to present risks for schizophrenia.[28,29]

Our pathological hypothesis of schizophrenia demonstrated in this report accurately

accounts for the recently proposed clinical picture of schizophrenia.[6,25] Assuming that the

sensitization to internal dopamine is somehow involved in the pathogenic mechanism of

schizophrenia, the identification of the at-risk individuals at a preclinical stage might provide

psychiatrists a pivotal chance to prevent the onset by managing the individual's pulsatile

dopamine activities. The risk genotypic combination discovered in the present study were

detected in only 4.0% of the schizophrenic patients. However, the occurrence rate of the

disease within the risk population was 87.5%, where the 14 out of 16 individuals were

diagnosed to schizophrenia. Furthermore, although the polygenic risk score was reported to

show no association with TRS[31], the 9 out of these 14 schizophrenic patients (64.3%) were

classified as TRS (Suppl. Table S3). We speculate that the inclusion rate could be improved

by evaluating better combinations of other functional SNPs related to the dopamine pathway.

In addition, although the lowest MAF (5.7%, of rs10770141) was the apparently

narrowing polymorphism in our study population, the Trans-Omics for Precision Medicine

project recently reported to the U.S. National Center for Biotechnology Information that the

MAF was estimated to be as large as 42.9% in the global population, suggesting its

applicability.[30] In the present study, we focused on the genetic variants related to the

dopaminergic system, but our approach will be appropriate to assess other candidates related

to different neurotransmissions including gamma-aminobutyric acid (GABA) and

glutamatergic signaling.

There are some issues to be considered as study limitations. First, the mean age of our

healthy control subjects was significantly lower than that of the patients. Although

schizophrenia generally emerges during adolescence, our controls could still include potent

false negatives. However, the impact may be limited because (1) the mean age of disease

onset was significantly younger than the mean age of the controls, and (2) the lifetime

prevalence of schizophrenia was estimated to be as small as 0.4%–1.0%. In addition, our

sample size was large enough to allow us to evaluate our results, but further validations are

clearly expected □—□including those obtained for large and multi-ethnic populations.

In conclusion, we conducted a case-control study to investigate the association of

functional SNPs related to dopamine transmission with schizophrenia. Our analyses revealed

that the combination of the SNPs related to *TH*, *COMT* and *DRD2* genes was significantly

associated with the onset of schizophrenia. We hypothesize that the present genetic

combination characterizes dopamine transmission. The findings are important because of

their potential to bring new insights to risk prediction and the development of new treatment

methods for schizophrenia. However, future studies are necessary to test our findings.

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Table 1. The functional SNPs

SNP ID	Related gene	Polymorphism function [ref.]	WT	Poly-morphism	MAF
rs10770141	*TH*	30%–40% higher gene expression [16]	C	T	6.4%
rs4680	*COMT*	50%–75% reduction of the enzymatic activity [17]	Valine	Methionine	33.8%
rs1800497	*DRD2*	12% less availability of striatal DRD2 [18]	A2	A1	35.3%

[a]MAFs (minor allele frequencies) were estimated in all of the study subjects (Suppl. Table S1).

Table 2. The double combination of dopamine-related functional SNPs

Double combination	Schizophrenia patients		Healthy controls		Total	
	n	Proportion	n	Proportion	n	Proportion
Candidate [a]	19	5.4%	6	2.2%	25	4.0%
The rest	336	94.6%	270	97.8%	606	96.0%
Total	355	100.0%	276	100.0%	631	100.0%

The association of the candidate combination was evaluated by Fisher's exact test.

[a] The candidate double combination was T(+) of rs10770141 and Met(−) of rs4680, which showed a tendency to be related to schizophrenia (OR 2.54, 95%CI 1.00–6.46, p=0.062). The missing data: Six (1.7%) schizophrenia patients, six (2.1%) healthy controls; thus 12 (1.9%) for the total.

Table 3. The triple combination of dopamine-related functional SNPs

Triple combination	Schizophrenia		Healthy controls		Total	
	n	Proportion	n	Proportion	n	Proportion
Candidate [a]	14	4.0%	2	0.7%	16	2.5%
The rest	341	96.0%	271	99.3%	612	97.5%
Total	355	100.0%	273	100.0%	628	100.0%

The association of the candidate combination was evaluated by Fisher's exact test.

[a]The candidate triple combination was T(+) of rs10770141, Met(−) of rs4680, and A1(+) of rs1800497, which showed a significant association with schizophrenia (OR 5.56, 95%CI 1.25–24.69, p=0.011).

The missing data: Six (1.7%) patients in the schizophrenia group, nine (3.2%) healthy controls; thus 15 (2.8%) for the total.

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