# Running head: Varenicline, cognitive bias modification and fMRI in smokers

1 2 3 4 5	Effects of varenicline and cognitive bias modification on neural response to
6	smoking-related cues: a randomised control trial
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#### Abstract

45	Drug-related cognitive biases have been positively associated with drug-craving and
46	increased likelihood of relapse. Cognitive bias modification paradigms have been
47	developed to attenuate cognitive biases but there have been few studies that
48	examined neural responses to these paradigms. This study compared neural
49	responses following CBM and explored whether CBM effects were potentiated by
50	varenicline administration. This was a double-blind placebo-controlled study with two
51	between subject factors of drug (varenicline, placebo) and CBM (attend towards
52	smoking cues, train away from smoking cues, control training) that recruited daily ( $\geq$ 10
53	cigarettes per day) non-treatment seeking smokers. Participants (n = 67, 53% female)
54	were randomised to one-week of drug administration (varenicline or placebo) before
55	attending a study session at which they were randomised to CBM condition, and
56	underwent an fMRI scan were they were presented with smoking and neutral cues.
57	Neural response to smoking (vs. neutral) cues, cognitive bias, craving and mood were
58	assessed. There was no evidence of CBM effects on any outcomes. There was
59	evidence of effects of varenicline on craving, with greater reductions in craving in the
60	week preceding the study session in the varencline group ( $p = 0.04$ , $\eta_p^2 = .06$ ). There
61	was also evidence of a drug by CBM interaction for neural responses ( $z = 3.78$ , $p$
62	<0.001). Compared to placebo, varenicline was associated with greater activation in
63	the right temporal middle gyrus in the CBM control condition, compared to an opposite
64	effect in the CBM "attend towards" condition. These data suggest that CBM does not
65	modify cognitive bias, subjective craving and mood, or neural response to smoking
66	cues. There was also no evidence that CBM effects were potentiated by varenicline.

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#### Introduction

68	Smoking remains the leading cause of preventable death worldwide, with an
69	estimated 6 million tobacco-related deaths occurring every year (World Health
70	Organization, 2013). Despite many smokers reporting wanting to quit, few achieve
71	long-term abstinence. This may be partly due to the presence of smoking-related cues
72	in the environment, which through repeated and contingent pairing with drug
73	administration, acquire powerful motivational properties that can precipitate craving
74	and drug seeking (Foltin & Haney, 2000; Gray, LaRowe, & Upadhyaya, 2008;
75	McClernon et al., 2016; Mucha, Pauli, & Angrilli, 1998; Muntaner et al., 1989).
76	Drug-related cognitive biases, characterised by selective or disproportionate
77	attention allocation to drug cues, have been reported in users of a number of drugs
78	and have been positively associated with drug craving (Field, Munafo, & Franken,
79	2009; Wakefield, Germain, & Henriksen, 2008), future drug use (Cox, Pothos, &
80	Hosier, 2007), approach behaviours to drug-related cues (Franken, 2003) and
81	increased likelihood of relapse (Marissen, Franken, Blanken, van den Brink, &
82	Hendriks, 2007). Of particular importance, the drug-stimulus learning that is believed to
83	underlie these biases is long-lasting, which makes an individual vulnerable to relapse
84	long after initial cessation. In smokers, increased reactivity to smoking cues has been
85	found to predict decreased likelihood of cessation (Abrams, Monti, Carey, Pinto, &
86	Jacobus, 1988; Niaura, Abrams, Demuth, Pinto, & Monti, 1989; Waters et al., 2004).
87	Consequently, reduction in cognitive bias is a potential target for therapeutic
88	intervention.
89	There is evidence that it is possible to reduce cognitive biases using computer-
90	based cognitive bias modification (CBM) paradigms that "train" individuals to allocate
91	attention away from disorder-relevant cues. CBM has been shown to reduce cognitive
92	and has also been associated with reduction in other symptoms such as low mood
93	(Baert, De Raedt, Schacht, & Koster, 2010). Attwood and colleagues (Attwood,

94 O'Sullivan, Leonards, Mackintosh, & Munafo, 2008) reported decreased cognitive bias

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95 in a group of smokers following one session of stimulus-avoidance CBM using a 96 modified dot probe task. Compared to a group who had been trained to attend to 97 smoking cues, there was evidence that the avoid group also showed attenuated 98 craving in response to in vivo smoking cues in a subsequent cue exposure test (male 99 participants only). A subsequent study in tobacco smokers found similar decreases in 100 cognitive bias following CBM, but did not observe generalisation of these effects of 101 other relevant behaviours (e.g., cigarette craving) or novel (untrained) stimuli (Field, 102 Duka, Tyler, & Schoenmakers, 2009). The weak effects may be due to the limited 103 number of sessions used in laboratory-based studies and that multiple session 104 approaches may be more efficacious than single session CBM (Lopes, Pires, & 105 Bizarro, 2014; McHugh, Murray, Hearon, Calkins, & Otto, 2010; Unrod et al., 2014). 106 Taken together, the research suggests CBM may have some clinical utility but the 107 effects are compromised by small effect sizes and issues with generalisation of the 108 training effects.

109 There has been arowing interest in the development of combination drug-110 behavioural therapies, in which a drug is used to augment the outcomes of a 111 behavioural intervention (Swerdlow, 2012). This may offer a solution to the low efficacy 112 and reliability of CET effects, if a suitable pharmacological agent can be identified. The 113 smoking cessation pharmacotherapy varenicline acts as a partial agonist of the  $\alpha 4\beta 2$ 114 nicotinic acetylcholine receptor and aids cessation by reducing cigarette craving and 115 withdrawal symptoms. However, it has also been associated with a reduction in cue-116 related craving in humans (Ray et al., 2013), particularly following chronic 117 administration (Brandon et al., 2011), and reductions in cue-induced reinstatement of 118 drug taking in animals (Le Foll et al., 2012; Wouda et al., 2011). Therefore, varenciline 119 may be a useful adjunct to CBM, particularly as it is already licensed as a smoking 120 cessation aid.

121 The current study replicated earlier work by examining the effects of CBM on 122 behavioural measures of cognitive bias (visual dot probe and modified Stroop), and

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123	extended the work in two important ways. First, we examined whether 7-day pre-
124	treatment of varenicline enhanced the effects of CET on smoking cue reactivity and
125	attentional bias. Second, using fMRI, we examined the neural responses to smoking
126	cues following treatment. Neuroimaging studies suggest that drug-related cognitive
127	biases are the result of a failure of cognitive regulatory systems to increase control in
128	the presence of salient cues that increase processing in the reward and emotional
129	centres of the brain (e.g., striatum, amygdala) (Hester & Luijten, 2013). Therefore,
130	these additional measures offer important insight into the mechanisms underlying the
131	effects of CBM, particularly as computer-based measures of cognitive bias are known
132	to lack reliability (Ataya et al., 2012; Field & Christiansen, 2012).
133	In this study, participants attended two sessions, approximately one-week
134	apart. Participants were randomised to receive either 7-day treatment of varenicline or
135	matched placebo, prior to completion of 1-hour of CBM training. For CBM training,
136	participants were further randomised (stratified by drug group) to one of three
137	conditions: 1) training towards smoking cues (attend), 2) training away from smoking
138	cues (avoid), or 3) control training (control). After training, participants underwent a cue
139	reactivity task during an fMRI scan. We hypothesised that participants in the CBM-
140	avoid condition would show a decrease in cognitive bias and neural response to
141	smoking-related cues compared to those in the CBM-attend and CBM-control
142	conditions, and that changes in cognitive bias and neural response, would be greatest
143	in individuals trained to avoid smoking-related cues and treated with varenicline.
144	
145	Methods
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# 146 **Participants**

Daily smokers (≥10 cigarettes or 15 roll ups/day who smoke within one hour
 of waking) were recruited from the staff and students at the University of Bristol and
 the general population through existing participant databases, posters, online flyers
 and word of mouth. Participants were required to be between 18 and 40 years of

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151	age, fluent in English and registered with a General Practitioner. Exclusion criteria
152	were pregnancy, breast feeding or at risk of pregnancy (i.e., females not using
153	adequate contraception), substance misuse, high alcohol consumption (>35
154	units/week if female or >50 units/week if male) or caffeine consumption (> 8 cups of
155	caffeinated beverage per day), current or past psychiatric disorder, clinically
156	significant abnormality (including cardiology risk factors), use of medication
157	(participants were required to be 8 weeks clear of any prescribed medication),
158	known hypersensitivity to varenicline, high blood pressure or heart rate
159	(systolic/diastolic >140/90 mmHg or heart rate >90 bpm), uncorrected visual or
160	auditory impairment, and any condition that would make MRI scanning unsafe (e.g.,
161	metallic implants) or intolerable. The study was approved by the National Research
162	Ethics Service (London Brent Committee, reference: 11/LO/1726). All participants
163	gave written informed consent and were reimbursed £70 at the end of the study.
164	

# 165 **Design**

This was a double-blind, placebo-controlled study that used a 2 × 3 betweensubjects design, comprising one factor of drug (varenicline, placebo) and one factor of CBM group (attend, avoid, control). For the behavioural assessments of cognitive bias (dot probe, modified Stroop), there was an additional within-subjects factor of cue type (smoking, neutral).

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## 172 **Drug administration**

Following initial consent and screening on day 0, varenicline (or matched placebo) was prescribed by a medical doctor for one week. Participants were told to take one tablet (0.5 mg) daily on days 1 - 3, two tablets daily (total 1 mg) on days 4 -6, and one tablet (0.5 mg) on day 7, consistent with standard dosing regimen for smoking cessation. Participants completed daily diaries detailing the time at which the tablets were taken and any side effects. Participants attended their second

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session on day 7 (i.e., their last drug day) and were asked to take the drug in themorning prior to their study session.

181

## 182 **Randomisation**

183 Participants were randomly assigned to drug and CBM groups (stratified by 184 gender), but equal numbers of participants per group were maintained. Drugs were 185 supplied by Pfizer and shipped to University Hospitals Bristol Pharmacy who 186 prepared two batches of 36 bottles (one for male and one for females). Within each 187 group of 36 bottles, 18 bottles contained 10 varenicline tablets (0.5 mg each) and 18 188 bottles contained 10 tablets of matched placebo. Each bottle was given a numeric 189 identifier that enabled study staff involved in data collection to be fully blinded to 190 drug condition.

In addition, an experimental collaborator (who had no direct contact with the study participants) prepared a numeric code using random number assignment software to further randomise participants to CBM groups. Randomisation was stratified so that equal numbers of male and female participants (n = 12) were allocated across the six experimental cells (drug treatment [2] × CBM group [3]).

196

# 197 Measures and materials

198 Materials: Stimuli for the CBM and the fMRI cue exposure task comprised 199 full-colour 32 smoking-related pictures and 32 neutral pictures. Smoking-related 200 cues consisted of full-colour pictures of people smoking. Control cues consisted of 201 full-colour pictures of people engaged in everyday activities (e.g., talking on the 202 telephone, writing). Equal numbers of females and males were represented in each 203 category. The set of cues pictures is the same as used in previous imaging studies 204 (McClernon, Kozink, Lutz, & Rose, 2009; McClernon, Kozink, & Rose, 2008). For the 205 cognitive bias modification task, an additional 4 picture pairs, unrelated to smoking, 206 were used in practice and buffer trials.

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207 Cognitive Bias Modification: Participants were randomised to complete a 208 modified visual dot probe task designed to induce a biased cognitive response away 209 from (avoid: n = 24), or towards (attend: n = 24) smoking-related cues, or a control 210 condition (control: n = 24). Each task version comprised 768 trials. Each trial began 211 with a fixation cross (500 ms), before a picture pair (smoking image, neutral image) 212 was presented on a computer screen. The picture pair staved on screen for 500 ms 213 and then was replaced by a probe (small square or circle) in a location previously 214 occupied by one of the pictures. Participants were required to identity whether the 215 probe was a square or circle by pressing designated keyboard keys.

216 The majority of trials (n = 512) were training trials, presented in four blocks, 217 and the remainder of trials (n = 256) were test trials. Half of the test trials (n = 128)218 were presented prior to the training trials (baseline test), and half (n = 128) after the 219 training trials, in order to assess the effect of the CBM on cognitive bias. In the test 220 trials, the probe appeared with equal frequency in the location of the smoking-related 221 or neutral picture. In the training trials, the probe appeared in the location of the 222 neutral picture on 75% of trials in the avoid condition, or the smoking-related picture 223 on 75% of trials in the attend condition, or with equal frequency in the location of neutral and smoking-related pictures in the control condition. The inter-trial interval 224 225 jittered between 750 ms and 1,250 ms. The tasks were programmed and presented 226 using EPrime version 2 software (Psychology Software Tools Inc., Pittsburgh PA), 227 and total task time was approximately 50 minutes.

228 *Cognitive Bias Generalisation Test (modified Stroop):* A pictorial version of the 229 modified Stroop task was used to investigate the effect of dot-probe CBM on a different 230 measure of cognitive bias. The task began with 16 practice trials followed by two 231 experimental blocks, each comprising 8 buffer and 96 experimental trials (i.e., 208 232 trials in total). For each trial a picture was presented (smoking-related or neutral) 233 centrally on screen. The picture was surrounded by a coloured border and the

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participant was required to identify the colour of the border (red, blue, yellow or green)using colour-marked keys on the keyboard.

*Questionnaires:* Questionnaire measures included the Eysenck Personality
Questionnaire – Revised (EPQ-R) (Eysenck & Eysenck, 1991), the Questionnaire of
Smoking Urges - Brief (QSU-Brief) (Tiffany & Drobes, 1991), the Minnesota Nicotine
Withdrawal Scale (MNWS) (Hughes & Hatsukami, 1986) and visual analogue scales
(VAS) of mood and cigarette craving.

241 fMRI Acquisition: An anatomical and a fMRI scan were performed on the test 242 day (Day 7) with a Siemens Magnetom Skyra 3T scanner. BOLD images were 243 acquired using an EPI sequence (36 slices, TR = 2,500 ms, TE = 30 ms, FOV = 19.2 244 cm, matrix =  $64 \times 64$ , flip angle =  $90^{\circ}$ , slice thickness = 3 mm, resulting in 3 mm<sup>3</sup> 245 isotropic voxels). A T1-weighted structural image was acquired using an MP-RAGE 246 sequence with a 0.9 mm<sup>3</sup> isotropic voxel size and 192 slices. During the fMRI cue 247 exposure procedure, smoking-related and control cues were presented in a boxcar design with four blocks per category. Participants were required to make a button 248 249 press on each stimulus presentation to confirm they had seen the image (this did not 250 terminate viewing time). Each block lasted 40 s, during which time 8 cues were 251 presented. Before and after each block, a crosshair was presented for 5 s. 252 Participants were then asked to rate cigarette craving on an 8-point scale ("none at 253 all" to "extreme"). The scale was presented for 10 s followed by a crosshair for 254 another 10 s. Thus, the total interblock-interval was 25 s. The sequence of events 255 was controlled using EPrime version 2 software (Psychology Software Tools Inc., 256 Pittsburgh PA), and total task time was approximately 10 min.

257

## 258 Procedure

259 Individuals who responded to study advertisements were sent the full

260 information sheet and completed a telephone screening to assess basic eligibility.

261 Eligible participants were then booked in for a screening and baseline assessment

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262 session (Day 0). At this session full written informed consent was taken by a trained 263 researcher, and then the screening procedure was completed. This included 264 measures of expired breath alcohol and carbon monoxide, height, weight, blood 265 pressure and heart rate. A urine screen was performed to test for recent drug use 266 (all) and pregnancy (females). A medical doctor then completed a general physical 267 and psychiatric health assessment, and prescribed the study medication if 268 appropriate. Then participants completed a baseline assessment of cognitive bias 269 (modified Stroop), questionnaires assessing personality (EPQ-R), cigarette craving 270 and withdrawal (QSU, MNWS) and mood (VASs), and a practice version of the task 271 that they would completed during the fMRI scan at the second visit (Day 7). 272 Participants were then sent away with the study medication, medication 273 packaging information and a drug diary (which they were required to complete and 274 return at the next visit). The second session (test day) was then scheduled for 275 approximately one week later. This session fell on day 7 of their drug regimen. 276 On the test day (Day 7), participants returned with their drug diaries and any 277 untaken medication. Prior to the scan, they completed the Stroop task followed by a 278 short visual dot probe task that measured baseline cognitive bias. Participants then 279 completed one version of CBM (avoid, attend, control) per the study randomisation. 280 The test version of the dot-probe task was run again immediately post-CBM in order 281 to assess changes in cognitive bias. Following this, participants completed a 4-282 minute anatomical scan and then the cue-exposure test during a 15 minute fMRI 283 scan. After scanning, participants completed the modified Stroop task and 284 questionnaires (QSU, visual analogue scales) again. At the end of the test session, 285 participants were offered smoking cessation literature, debriefed, and reimbursed. 286

## 287 Data analysis

The protocol for this study was published in Trials in October 2014 (Attwood,
Williams, Adams, McClernon, & Munafo, 2014).

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290 Cognitive bias analyses (visual dot probe test of CBM and Stroop): All data 291 were examined for outliers (defined as scores three or more standard deviations 292 above or below the group mean). Removals are noted in text. Data were assessed 293 for normality and transformed using log 10 transformations (or square root where 294 data include zero scores) where deviations from normality were observed. 295 Mean reaction time data were extracted for each of the four variables from 296 the visual dot probe tests that were completed before and after CBM training (pre-297 training neutral, pre-training smoking, post-training neutral, post-training smoking). 298 Cognitive bias scores were calculated by subtracting RTs to probes that replaced 299 smoking-related pictures from RTs to probes that replaced neutral pictures, so that 300 positive scores represent a bias towards smoking cues and negative scores 301 represent a bias towards neutral cues. These bias scores were used to examine 302 cognitive training effects in a 2 (pre-, post-CBM)  $\times$  2 (varenicline, placebo)  $\times$  3 303 (attend, avoid, control) mixed model ANOVA. 304 A similar procedure was applied to Stroop data (test of cognitive bias 305 generalisation). Mean reaction times and errors were extracted for smoking and 306 neutral images during tasks completed before and after CBM training. Bias scores 307 were calculated for each variable and used in the same 3-way mixed model ANOVA 308 (detailed above), with exception that the subtraction was reversed (i.e., neutral 309 scores were subtracted from smoking scores). This was done for ease of 310 interpretation as (unlike the visual dot probe) slower scores represent cognitive bias 311 on the Stroop task. Therefore, for Stroop data presented here positive scores

312 represent a cognitive bias to smoking cues.

313 *Questionnaire analyses:* Withdrawal (MNWS), craving (QSU) and mood (VAS) 314 data were analysed in two time phase analyses using ANOVA. We first assessed tonic 315 craving (QSU) defined as craving during drug treatment. This analysis used baseline 316 data from sessions one and two in a 2 (pre-drug, post-drug treatment) × 2 (varenicline, 317 placebo) mixed model ANOVA. We then examined craving and mood change across

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318 CBM (i.e., on test day) in a series of 2 (pre-, post-CBM) × 2 (varenicline, placebo) × 3
319 (attend, avoid, control) mixed ANOVAs.

*fMRI analysis:* BOLD signal pre-processing was conducted in FSL version
5.0.1 (Jenkison et al, 2012) to remove noise and artefacts. The first two volumes of
each run were discarded to allow for T1 stabilization. All functional images were
corrected for head motion using rigid-body transformations (MCFLIRT; Jenkinson et
al, 2002) and acquisition timing. Additional pre-processing steps included spatial
smoothing using an 8 mm FWHM Gaussian filter, high-pass filtering, and registration
to standard space using FLIRT.

327 Each participant's fMRI data was then entered into a first-level voxel-by-voxel 328 analysis using the general linear model. Each cue block (smoke, control) was 329 modelled as a boxcar function convolved with a double-y hemodynamic response 330 function that begins at the onset of the first cue in the block and ends at the end of 331 the block (duration = 40 s). A smoking>control cue contrast image was created and 332 input into a random effects analysis. A 2 (varenicline, placebo) × 3 (attend, avoid, 333 control) mixed-model whole-brain ANOVA was used to examine smoking cue 334 reactivity (smoking greater than control) between each group. Activation was 335 evaluated within an a priori mask of anatomical brain regions identified in a meta-336 analysis of cue reactivity (Tang, Fellows, Small, & Dagher, 2012): nucleus 337 accumbens, caudate, putamen, temporal gyrus, anterior cingulate gyrus, amygdala, 338 insula, posterior cingulate cortex, inferior frontal gyrus, and angular gyrus. Resulting 339 activations within the mask were considered significant at p<0.001 (uncorrected) 340 with a minimum cluster extent threshold of 20 contiguous voxels. Smoking cue 341 greater than control cue contrast images for each participant were input into random 342 effects regression analyses examining relations between post-training cognitive bias 343 scores and brain cue reactivity.

344 *Sample size determination:* The effects of cognitive bias on brain responses to 345 smoking cues have not been evaluated in previous research. Data from our previous

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346	studies (Attwood et al., 2008) indicated a likely increase in cognitive bias index of 30
347	ms in the attend group, and a decrease of 30 ms in the avoid group. We assumed that
348	the change in the control condition would be intermediate (i.e., 0 ms). Using these
349	estimates, we calculated that we would achieve greater than 80% power to detect a
350	linear effect across the three study groups on change in cognitive bias index with a
351	total sample size of $n = 30$ , at an alpha level of 0.05. Due to an additional factor of drug
352	group (varenicline vs. placebo), the actual sample size we recruited was n = 72, with n
353	= 12 per experimental group.
354	
355	Results
356	Characteristics of participants
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<ul><li>357</li><li>358</li><li>359</li><li>360</li><li>361</li></ul>	Four participants withdrew from the study (two from varenicline/control CBM condition, and two from placebo/avoid CBM condition) and therefore the final sample comprised 68 participants (53% female). Participants were aged between 18 and 39 years ( $M = 23$ , $SD = 5$ ) and smoked between 10 and 25 cigarettes per day ( $M = 15$ , $SD = 3$ ). Alcohol Use Disorders Identifier Test scores ranged between 5 and 24 ( $M = 13$ ,
<ul> <li>357</li> <li>358</li> <li>359</li> <li>360</li> <li>361</li> <li>362</li> </ul>	Four participants withdrew from the study (two from varenicline/control CBM condition, and two from placebo/avoid CBM condition) and therefore the final sample comprised 68 participants (53% female). Participants were aged between 18 and 39 years ( $M = 23$ , $SD = 5$ ) and smoked between 10 and 25 cigarettes per day ( $M = 15$ , $SD = 3$ ). Alcohol Use Disorders Identifier Test scores ranged between 5 and 24 ( $M = 13$ , $SD = 4$ ). EPQ-R scores ranged between 3 and 17 ( $M = 8$ , $SD = 3$ ) for psychoticism, 0

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367	Table 1. Mean (SD	participant characteristics,	baseline craving and nicotine
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368 withdrawal

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$\mathcal{I}$	$\mathbf{v}$

	Attend		Avoid		Control	
	(N = 24)		(N = 22)		(N = 22)	
	Varen.	Placebo	Varen.	Placebo	Varen.	Placebo
	(N = 12)	(N = 12)	(N = 12)	(N = 10)	(N = 10)	(N = 12)
Age	25.5	22.3	26.0	21.7	21.9	21.3
	(4.9)	(4.5)	(7.6)	(3.0)	(3.3)	(2.9)
CPD	15.5	14.5	15.5	14.2	14.6	16.1
	(2.8)	(3.7)	(3.6)	(2.9)	(3.3)	(3.6)
AUDIT	8.1	9.3	9.5	9.0	9.5	8.0
	(5.1)	(3.9)	(3.3)	(2.9)	(3.2)	(3.0)
Baseline	35.4	35.7	33.8	38.2	31.5	36.4
QSU	(8.7)	(11.0)	(12.3)	(10.6)	(9.8)	(9.8)
Baseline	7.2	8.5	6.6	9.9	5.8	10.5
MNWS	(5.5)	(6.9)	(3.9)	(9.6)	(4.9)	(6.8)
EPQ-R	7.1	7.0	7.4	7.0	5.0	6.4
Neu.	(4.7)	(3.5)	(4.8)	(5.5)	(2.9)	(4.6)
EPQ-R	8.3	6.7	7.4	6.1	7.4	9.0
Psy.	(4.2)	(2.8)	(3.6)	(1.9)	(3.1)	(4.1)
EPQ-R	17.9	18.8	17.9	17.5	17.7	17.8
Ext.	(3.4)	(3.1)	(2.7)	(3.3)	(2.4)	(2.7)

370

Values represent mean (SD). SD = standard deviation; Varen. = varenicline, CPD = cigarettes
 per day; AUDIT = Alcohol Use Disorder Identifier Test; QSU = Questionnaire of smoking urges;
 MNWS = Minnesota Nicotine Withdrawal Scale; EPQ-R = Eysenck Personality Questionnaire Revised, Neu = neuroticism; Psy = psychoticism; Ext = extraversion.

375

## 376 Cognitive bias modification test (visual dot probe)

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7 Due to computer malfunction, post-training CBM data were not recorded for

378 one participant, therefore post-training sample comprises 67 participants. This

379 participant completed the allocated CBM training and therefore their data have been

380 retained in all other analysis. Prior to the calculation of bias scores, reaction time data

381 of the four primary variables (pre-training neutral, pre-training smoking, post-training

382 neutral, post-training smoking) were assessed for normality, and there was evidence of

383 positive skewness on three of the four variables. Therefore log 10 transformation was

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384 applied to the data prior to the bias calculations being performed. The 2 (pre-, post-

385 CBM) × 2 (varenicline, placebo) × 3 (attend, avoid, control) mixed model ANOVA

- 386 showed no main effects or interactions (ps >.23). These findings did not change if
- 387 untransformed data were used (ps > .18).
- 388

# 389 Cognitive bias generalisation test (modified Stroop)

390 For error data, three participants were identified as outliers in the pre-CBM

391 condition and one participant was identified as an outlier in the post-CBM condition.

392 These data were removed from main analysis. After data removal error data were not

393 normally distributed and a square root transformation was applied to these data.

394 There was weak evidence of a drug × CBM interaction (F[1, 57] = 2.74, p =395 .073,  $n_p^2 = .09$ ) for errors, reflecting a bias towards smoking (versus neutral) cues in 396 the attend CBM condition (compared to avoid and neutral conditions) but only in 397 individuals who had received placebo. In contrast, participants who received 398 varenicline showed a bias towards neutral in the attend condition (compared to avoid 399 and neutral conditions). The evidence for this effect was weaker when untransformed 400 data were used (p = .18) and when outliers were included (p = .29). There was no 401 evidence of any other main effects or interactions for Stroop error (ps > .13) or reaction 402 time (ps > .15) data.

403

# 404 Questionnaire data

Withdrawal across drug treatment: There was weak evidence of an effect of drug (F[1,66] = 3.34, p = .072,  $\eta_p^2$  = .05) with lower nicotine withdrawal in the drug (M= 7.0, SD = 6.3) compared to placebo group (M = 9.8, SD = 6.3). There was no clear evidence of an effect of time or time by drug interaction (ps > .35).

409 Tonic craving across drug treatment: For QSU data, there was evidence of 410 effects of time (F[1,65] = 33.61, p < .001,  $\eta_p^2 = .34$ ) and drug (F[1,65] = 6.06, p = .017, 411  $\eta_p^2 = .09$ ), which were subsumed under a time x drug interaction (F[1,65] = 4.37, p =

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0.04,  $\eta_{p}^{2}$  = .06). Post-hoc paired samples t-tests showed that there was a decrease in 412 413 craving from session one (pre-drug) to session two (post-drug) in both drug groups, but 414 this effect was larger in the varenicline group (see Table 2). For cigarette craving VAS data, the main effects of time (F[1,61] = 56.62, p < .001,  $\eta_p^2 = .48$ ) and drug (F[1,61] =415 16.32, p < .001,  $\eta_p^2 = .21$ ) were replicated but there were no other effects or 416 417 interactions (ps > .34). 418 Mood (VAS) across drug treatment: There was evidence of effects of time for 419 happiness (F[1,62] = 4.37, p = .041,  $\eta_p^2 = .07$ ), drowsiness (F[1,62] = 3.78, p = .057,  $\eta_{p}^{2}$  = .06), depression (*F*[1,62] = 9.93, *p* = .003,  $\eta_{p}^{2}$  = .14), anxiety (*F*[1,62] = 3.22, *p* = 420 .078,  $\eta_p^2 = .05$ ) and irritability (F[1,62] = 8.56, p = .005  $\eta_p^2 = .12$ ), with decreases in 421 happiness, and increases in drowsiness, depression, anxiety and irritability. There was 422 also evidence of an effect of drug for anxiety (F[1,62] = 9.01, p = .004,  $n_p^2 = .13$ ), with 423 lower anxiety reported in the varenicline group. There was no clear evidence of any 424 425 other main effects or interactions (ps > .10).

426

Table 2: Craving (QSU) scores from pre- to post drug administration in varenicline andplacebo groups

429

_	Mean difference from session one to session two (SD)	Effect size (dz)	95% CI	<i>p</i> -value
Placebo	-4.7 (10.3)	0.46	-8.4 to -1.1	0.013
Varenicline	-10.1 (10.6)	0.95	-13.8 to -6.4	<0.001

430

431 *Withdrawal across CBM (pre-CBM to post-scan):* There was evidence of a main effect 432 of time (F[1,62] = 14.98, p < .001,  $\eta_p^2 = .20$ ) with increases in withdrawal pre-CBM (M433 = 8.6, SD = 7.3) to post-CBM (M = 11.3, SD = 7.7). There was no clear evidence for 434 other main effects or interactions (ps > .14).

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436 Craving (QSU) across CBM (pre-CBM to post-scan): For QSU data, there was 437 evidence of effects of time (F[1,62] = 62.6, p < .001,  $\eta_p^2 = .50$ ) and drug (F[1,62] =438 8.82, p = .004,  $\eta_p^2 = .13$ ), indicating increases in craving from pre-CBM (M = 27.7, SD =439 12.1) to post scan (M = 38.5, SD = 12.8) and higher craving in the placebo group (M =440 36.9, SD = 9.7) compared to varenicline group (M = 29.3, SD = 11.6). There was no 441 strong evidence of other main effects or interactions (ps > .08). The main effects of time (F[1,62] = 56.62, p < .001,  $\eta_p^2 = .48$ ) and drug (F[1,62] = 16.32, p < .001,  $\eta_p^2 = .001$ 442 443 .21) were replicated using craving VAS data, and there was no clear evidence of any 444 other effects or interactions (ps > .34).

445 Mood across CBM session (pre-CBM to post-scan): There was evidence of main effects of time for happiness (F(1,61) = 4.24, p = .044,  $n_p^2 = .07$ ), drowsiness 446  $(F(1,61) = 12.86, p = .001, n_p^2 = .17)$ , energy  $(F(1,61) = 8.24, p = .006, n_p^2 = .12)$  and 447 irritability (F(1,61) = 7.71, p = .007,  $\eta_p^2 = .11$ ), with decreases in happiness and energy, 448 449 and increases in drowsiness and irritability across the session. There was evidence of a main effect of drug (F(1,61) = 6.46, p = .014,  $\eta_p^2 = .10$ ) and a time x drug interaction 450 451  $(F(1,61) = 6.15, p = .016, n_p^2 = .09)$  for anxiety, with higher anxiety in the placebo group 452 (M = 25.4, SD = 19.9) compared to varenicline group (M = 15.1, SD = 14.0). Post-hoc 453 paired t-tests indicated a decrease in anxiety across the session in the placebo group 454 (t = 2.35, df = 33, p = .025, dz = .40), but not the varenicline group (t = -1.25, df = 32, p)455 = .22, dz = .22). Finally there was evidence of a drug × CBM interaction for happiness  $(F(2,61) = 4.36, p = .017, \eta_p^2 = .13)$ , with the varenicline group reporting lower 456 happiness than the placebo group but only in the attend CBM condition. 457

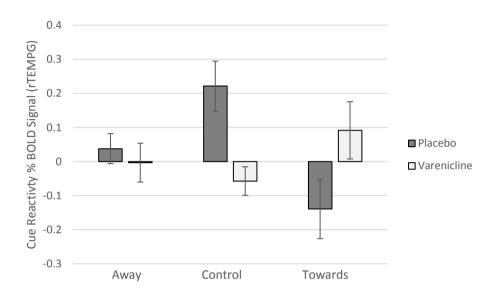
458

# 459 Neural response (fMRI data)

Following pre-processing, three participants (2 in the varenicline-attend group, 1 in the control-placebo group) were excluded from fMRI imaging analysis (2 due to excessive motion and 1 due to poor signal quality). There was no evidence of main effects of drug or CBM within the *a priori* mask. There was strong evidence of a drug ×

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- 464 CBM interaction in one cluster (k = 32) in the right middle temporal gyrus (peak voxel: x
- 465 = 58, y = -58, z = 12; z = 3.78, p < 0.001). The placebo group exhibited greater
- temporal gyrus activation to smoking cues than the varenicline group in the control 466
- 467 CBM condition (t = 2.86, p = .006) but less activation than the varenicline group in the
- 468 toward CBM condition (t = 2.41, p = .02) (Figure 1).
- 469



- 470
- 471

472 Figure 1: Neural response to smoking cues (% BOLD signal) in the right 473 temporal gyrus across the three CBM groups following 1-week administration of 474

475

placebo or varenicline.

476 Cognitive bias data from the visual dot probe task used in the regression 477 analysis were transformed as described above. One subject with useable imaging data 478 was excluded from the analysis due to incomplete behavioural data. Correlation 479 between post-training bias scores and smoking cue reactivity (i.e., smoking greater 480 than control cue contrasts) were put into a regression analysis. There was no clear 481 evidence for areas of activation (ps > 0.001).

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#### Discussion

484 We investigated the effects of CBM and one-week varenicline treatment on 485 neural response to smoking-related cues in current smokers. There was no evidence 486 that CBM training alone altered cognitive bias (post-CBM visual dot probe, Stroop), 487 mood, craving or neural response to smoking-cues. There was evidence of reduced 488 craving in the varenicline group as evinced by several drug by time interactions. Across 489 the drug administration phase of the study (i.e., the six days preceding the study 490 session), there was a greater reduction in QSU scores for the varenicline compared to 491 placebo group. A main effect of drug was also evident for VAS craving scores (i.e., 492 lower craving in varenicline group); however, the drug by time interaction was not 493 replicated. The lower reports of craving in the varenicline group were also evident at 494 the study session, during which CBM was administered. Finally, there was weak 495 evidence that varenicline may have attenuated CBM-induced smoking bias, as there 496 was an increase in smoking bias following CBM attend training, but only in the placebo 497 group. There was no evidence of an interaction between varenicline and CBM on 498 withdrawal, craving or mood.

499 There was evidence of a drug by CBM interaction on neural responses in one 500 region within our *a priori* cue-reactivity mask. In right middle temporal gyrus (rMTG), 501 activation in response to smoking relative to neutral cues was greater in the placebo as 502 compared to the varenicline condition in the control CBM condition; the opposite 503 pattern was observed in the toward CBM condition. Whereas the rTMG has been 504 shown to be active in response to viewing smoking cues in meta-analyses (Tang et al., 505 2012), little has been reported regarding its potential role in processing conditioned 506 smoking cues. Activation in the specific rTMG location we observed, has also been 507 observed in studies of Theory of Mind, or the social-cognitive ability to infer the 508 emotional and motivational experience (Vollm et al., 2006), where it may be involved in 509 retrieval of memories associated with the behaviour of others. In the context of the 510 present study, varenicline in the control CBM condition may decrease the degree to

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511 which such memories are accessed due to decreased tonic craving. Why toward CBM 512 training would reverse such effects is unclear from the data, which suggests that 513 additional research is needed to fully understand the influence of CBM on the

514 processing of smoking cues.

515 Taken together these findings support a benefit of varenicline on tonic craving 516 and neural response to smoking cues (which may be driven by the craving effects). 517 While the effects of varenicline may be small, they are meaningful given the fact that 518 the dosing regime delivered in the study is substantially lower than the clinically 519 prescribed dose (i.e., 1 week compared to a standard 12-week course). However, we 520 found no evidence of a benefit of CBM on any outcomes, and little evidence that 521 varenicline would be a useful adjunct to smoking-related CBM. The CBM by drug 522 interaction that was observed for the fMRI data, indicated that the effects of varenicline 523 may have been attenuated for active CBM (i.e., the effects were only observed in the 524 control training group). However, numbers are small and therefore this effect requires 525 replication.

526 It is noteworthy that we did not find effects of CBM on measures of cognitive 527 bias (visual dot probe and Stroop). There are known issues with the reliability of 528 cognitive bias tests (Ataya et al., 2012), and therefore this may be a failure of the 529 measure rather than a lack of effect. However, this indicates that the CBM may not 530 have been effective, and these findings should be interpreted with this in mind. We 531 hypothesised that effects of CBM would be potentiated by varenicline and our failure to 532 observe such effects may be due to there being no CBM effects to strengthen. It is 533 plausible that varenicline may potential effects of CBM if these effects can be reliably 534 achieved.

535 There are some limitations of this study that should be considered when 536 interpreting these findings. First, our sample size was small for the analysis of 537 interactions. Our planned recruitment of 72 participants was achieved but not all 538 participants were tested to completion, and our final sample was lower (n = 67 for

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539	subjective and cognitive data; n = 64 for fMRI data). We also have a computer
540	malfunction for one of the conditions that was not identified until data were extracted.
541	We had to replace a number of participants in one CBM condition (avoid) and therefore
542	these individuals were tested outside of the randomisation sequence. We do not
543	however expect that this had a substantial effect on outcomes as these individuals
544	were testing in close time proximity to the rest of the sample. Furthermore, the
545	researchers collecting data were not aware of the reason for additional recruitment,
546	and therefore double-blinding was maintained. Third, our study recruited non-treatment
547	seeking smokers, and it is plausible that effects of CBM may be stronger in individuals
548	seeking treatment.
549	This study investigated neural responses to smoking cues following varenicline
550	and CBM treatment. There was little evidence of neural effects of either drug or CBM.
551	However, there was evidence of reductions in craving among smokers who completed
552	one-week of varenicline treatment. Drug by CBM interactions were exploratory due to
553	small sample sizes, but we observed an interaction on right temporal gyrus activity.
554	Specifically, varenicline appeared to attenuate cue-related activity in the right temporal
555	gyrus that was presented in the placebo group. However, this effect should be
556	replicated in future research. In summary, this study finds little evidence of clinical
557	potential of CBM.

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#### 560 Abbreviations

- 561 CBM: Cognitive bias modification; EPQ-R: Eysenck Personality Questionnaire-
- 562 Revised; MNWS: Minnesota Nicotine Withdrawal Scale; RT: reaction time: VAS: visual
- 563 analogue scales.
- 564

#### 565 **Competing interests**

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- 568 October 2010.
- 569

# 570 Author contributions

- 571 MRM, FJM, SA and ASA contributed to the conception and design of the trial, and
- 572 plans for data analysis. SA and ASA participated in data collection and project
- 573 management. FJM and RK analysed and interpreted fMRI data. TW leads the clinical
- 574 team (including DC, AG and KS) for subject recruitment. ASA drafted the manuscript,
- 575 and all authors discussed, read and revised the manuscript. All authors approved the
- 576 publication of this protocol.

577

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