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34 35	<i>Keywords</i> : binocular rivalry; double pass consistency; internal noise; computational modelling; bistable stimuli.	
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- 41
- 42 Abstract
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44 Neural systems are inherently noisy, and this noise can affect our perception from moment 45 to moment. This is particularly apparent in binocular rivalry, where our perception of 46 competing stimuli shown to the left and right eyes alternates over time in a seemingly random fashion. We investigated internal noise using binocular rivalry by modulating rivalling stimuli 47 48 using dynamic sequences of external noise of various rates and amplitudes. As well as 49 measuring the effect on dominance durations, we repeated each external noise sequence 50 twice, and assessed the consistency of percepts across repetitions. External noise 51 modulations with standard deviations above 4% contrast increased consistency scores above 52 baseline, and were most effective at 1/8Hz. A computational model of rivalry in which internal 53 noise has a 1/f (pink) temporal amplitude spectrum, and a standard deviation of 16%, 54 provided the best account of our data, and was able to correctly predict perception in 55 additional conditions. Our novel technique provides detailed estimates of the dynamic 56 properties of internal noise during binocular rivalry, and by extension the stochastic processes 57 that drive our perception and other types of spontaneous brain activity.

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# 63 Significance statement

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65 Although our perception of the world appears constant, sensory representations are variable because of the 'noisy' nature of biological neurons. Here we used a binocular rivalry 66 67 paradigm, in which conflicting images are shown to the two eyes, to probe the properties of this internal variability. Using a novel paradigm in which the contrasts of rivalling stimuli are 68 69 modulated by two independent external noise streams, we infer the amplitude and character 70 of this internal noise. The temporal amplitude spectrum of the noise has a 1/f spectrum, 71 similar to that of natural visual input, and consistent with the idea that the visual system 72 evolved to match its environment.

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#### 75 Introduction

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Despite appearing constant, our sensory perception fluctuates from moment to moment because of the non-deterministic nature of biological neurons. This 'internal noise' operates at multiple timescales, and affects our decisions about sensory information. Internal noise is particularly apparent in bistable phenomena such as binocular rivalry, in which our perception of conflicting images shown to the two eyes fluctuates over time in a stochastic fashion. Because phenomena like rivalry make otherwise invisible processes available to conscious perception, they provide a useful tool for probing the properties of internal noise.

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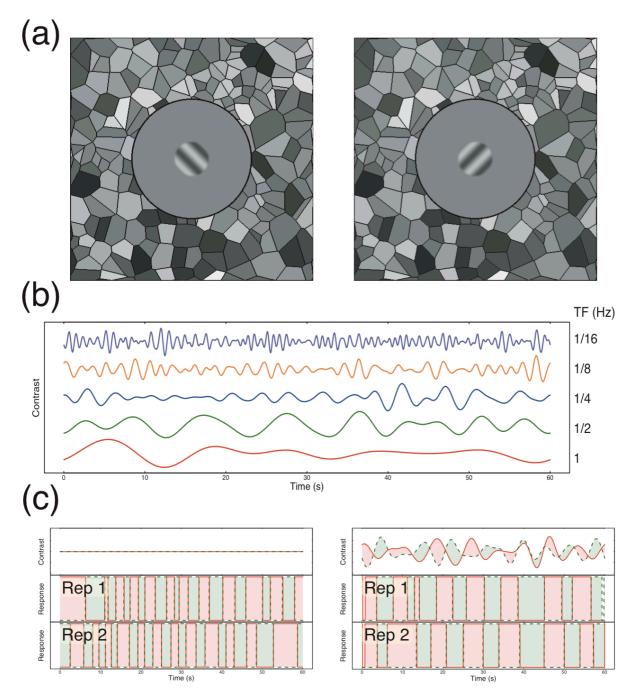
85 In a typical rivalry experiment, participants view sine wave grating patterns with orthogonal orientations in the left and right eyes (see Figure 1a). They are asked to report which stimulus 86 87 they perceive at each moment in time by continuously pressing a response button that 88 corresponds to the perceived orientation. Histograms of the durations for which each image 89 remains dominant typically have positive skew, approximating a gamma distribution (or a 90 normal distribution on logarithmic axes). Computational models of rivalry (e.g. Kim et al., 91 2006; Lehky, 1988; Wilson, 2007, 2003) have successfully explained the statistical pattern of 92 percepts reported by assuming the presence of three key processes: inhibition between 93 neurons representing the two stimuli, adaptation to the dominant stimulus, and noise. 94 Inhibitory properties have been investigated using dichoptic masking paradigms (Baker and 95 Meese, 2007; Legge, 1979; Meese and Baker, 2009) and by varying the properties of rivalling 96 stimuli (Baker and Graf, 2009a, 2009b; Stuit et al., 2011, 2009), and there is direct evidence 97 of adaptation during a period of dominance (Alais et al., 2010). However, comparatively little 98 is known about the precise properties of the noise, as there have been few attempts to 99 investigate it directly, despite recognition of its importance (Brascamp et al., 2006; Lehky, 100 1995; Moreno-Bote et al., 2007; Shpiro et al., 2009).

101

102 One exception is a study that randomly manipulated the coherence of rivalling dot motion 103 stimuli throughout a trial in order to influence alternations (Lankheet, 2006). By reverse-104 correlating coherence with the observers' percepts, a biphasic profile was apparent, in which 105 coherence was stronger in the suppressed eye and weaker in the dominant eye during the 106 ~1s preceding a flip. This pattern was reversed at longer pre-flip durations, and overall the 107 results were predicted by a simple rivalry model featuring adaptation and mutual inhibition. 108 Although the results demonstrate that external noise can influence rivalry alternations, the 109 parameters of the external noise were not manipulated, and so the results can reveal little 110 about the characteristics of internal noise.

111

112 Other work has aimed to influence rivalry alternations by periodically changing the contrast 113 of the rivalling stimuli. In a study by O'Shea and Crassini (1984), the contrasts of rivalling 114 gratings were periodically reduced to 0, either in phase or in antiphase across the eyes. At 115 modulation frequencies above 20Hz (and sometimes as low as 3Hz), rivalry alternations still 116 occurred as normal regardless of phase, suggesting a persistance in the underlying 117 mechanism (see also Buckthought et al., 2008; Leopold et al., 2002). In a related study, Kim, 118 Grabowecky and Suzuki (2006) used a square wave temporal modulation to alter the contrast 119 of rivalling stimuli in antiphase (i.e. one stimulus increased in contrast and the other 120 decreased at the same time) at a range of temporal frequencies from 0.28Hz to 2.48Hz. This



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Figure 1: Methodological details. Panel (a) shows example stimuli with conflicting orientations, surrounded by a 123 Voronoi texture to aid binocular fusion. Panel (b) shows example waveforms used to modulate stimulus 124 contrasts at the five temporal frequencies used in the experiment. Panel (c) shows example trial timecourses for 125 two repetitions of an unmodulated condition (left) and a modulated condition (right). Red (green) regions in the 126 lower two plots indicate periods of time when the left (right) eye's stimulus was perceived. Note that in the 127 example on the right, percepts closely followed the physical contrast with a slight lag.

129 manipulation caused a peak in the histogram of dominance durations at the half period of the 130 modulation frequency. The increase was greatest when the half period was 600ms, a duration 131 corresponding to the peak of the histogram for unmodulated rivalry using the same stimuli. 132 Furthermore, there were additional peaks at odd integer harmonics of the modulation 133 frequency. The authors consider this to be evidence of a stochastic resonance effect, and

134 support this with a computational model of rivalry alternations. 135 Here we extend these approaches by modulating the contrast of rivalling stimuli using two 136 independent dynamic noise sequences instead of square wave modulations (see Figure 1b,c). 137 As well as measuring the effect on dominance durations, this design allows us to reverse 138 correlate the participant's reported percept with the timecourse of the external noise. In 139 addition, we can use the same noise sequences multiple times, and measure the consistency 140 of the participants' percepts in a dynamic version of the 'double pass' paradigm (Burgess and 141 Colborne, 1988; Green, 1964). If the external noise sequences were entirely determining 142 perception, responses should be identical across the two repetitions. On the other hand, if 143 the external noise sequences have no influence on perception then the similarity of responses 144 will be determined by internal noise, and response consistency will be that expected by chance. The empirically measured consistency scores will therefore give an index of the 145 relative influences of internal and external noise on perception. By manipulating the variance 146 and temporal frequency content of the noise sequences, we can investigate properties of the 147 148 internal noise that influences rivalry alternations. We interpret the results with reference to 149 an established computational model of rivalry proposed by Wilson (2007, 2003) (see Figure 150 2), to which we add different types of internal noise.

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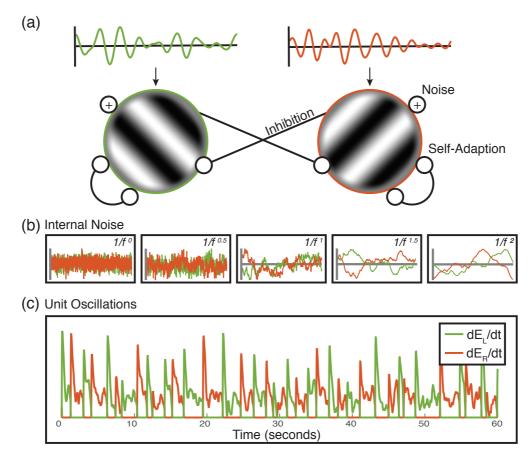




Figure 2. Model details. (a) Model diagram of the two competing units. Each receives as input an independent 154 white noise stream, bandpass filtered at one of five different temporal frequencies (see Methods). The minimum 155 rivalry model (Wilson, 2007) defines the oscillatory behaviour of rivalry between two units with self-adaptation 156 and mutual inhibition. We include additive internal independent monocular noise in our model, marked by the 157 (+) symbol. (b) Examples of the five different internal noise spectral slopes ( $\alpha = 0 - 2.0$ ) of the model for the left 158 (green) and right (red) responding units. Noise streams with steeper slopes have an increased relative amplitude 159 of low temporal frequencies relative to high, which leads to slower changes in the noise amplitude. (c) Example 160 oscillatory behaviour of the model for a given trial (60s). The colours represent the responses of the left (green) 161 and right (red) responding units.

#### 162 Results

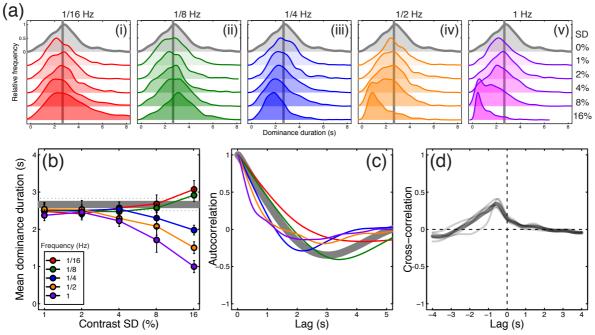
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### 164 External noise strongly modulates binocular rivalry alternations

In the absence of any noise modulations, binocular rivalry produced a typical histogram of 166 167 dominance durations with a positive skew (see grey curve in Figure 3ai), and a mean of 2.7 seconds. A 5x5 repeated measures ANOVA indicated that the mean dominance duration 168 depended on both temporal frequency (F(4,16)=34.43, p<0.001,  $\eta_p^2$ =0.90) and modulation 169 contrast (F(4,16)=8.15, p<0.01,  $\eta_p^2$ =0.67), and also showed that the two variables interacted 170 (F(16,64)=18.01, p<0.001,  $\eta_p^2$ =0.82). The histograms in Figure 3a show that at lower temporal 171 172 frequencies, strong contrast modulation resulted in slightly more long-duration percepts (an 173 increase in positive skew), whereas at higher temporal frequencies the peak of the histogram 174 shifted leftwards. These patterns were reflected in both the change in means (Figure 3b) and 175 also the shift in the autocorrelation functions (Figure 3c), such that high temporal frequencies 176 (e.g. the purple curve) had a shorter lag than long ones (e.g. the red curve). The functions in 177 Figure 3b begin to diverge at a contrast of around 4%, and data from individual participants

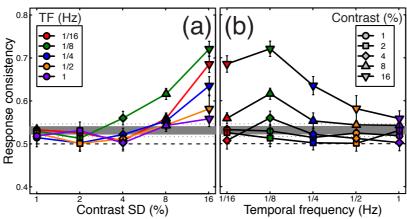
- 178 showed a similar pattern (see Supplementary Figure S1).
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180 181 Figure 3: Traditional rivalry measures for all conditions, averaged (or pooled) across all participants (N=5). Panel 182 (a) shows histograms of pooled dominance durations at five temporal frequencies (i-v) and a range of contrast 183 levels (standard deviations of 0 – 16%, increasing down each plot). The grey histogram, duplicated in each plot, 184 shows the baseline condition with no contrast modulation. For low temporal frequency, high contrast 185 modulations, there were more very long dominance periods (the positive skew of the red histogram increases). 186 For high temporal frequency, high contrast modulations there were more short dominance periods, and the 187 histograms shifted left. Panel (b) shows mean dominance durations for all conditions, plotted as a function of 188 modulation contrast. The grey horizontal line shows the baseline (no modulation) condition. Error bars (and 189 dotted lines) show ±1SE across participants. Panel (c) shows autocorrelation functions averaged across 190 participants for the baseline condition (grey curve) and the highest contrast modulation at each temporal 191 frequency (curves, see panels a,b for colour legends). Panel (d) shows the cross correlation between the 192 difference in noise modulations at the highest modulation contrast, averaged across all modulation frequencies. 193 The thin grey lines denote individual participants and the thick black line is the average.

195 We also cross-correlated the noise time course (difference between left and right eye 196 contrasts for the 16% contrast modulation conditions pooled across all temporal frequencies) 197 with the participants' responses (Figure 3d). This revealed a mean response lag of 583ms, 198 somewhat faster than estimates from previous studies (Baker and Graf, 2009a). The mean 199 cross-correlation coefficient at this time point was 0.35, indicating that a substantial 200 proportion of the variance in participant percepts was predictable from the changes in 201 stimulus contrast. Functions for individual participants are shown by the thin traces in Figure 202 3d, and are similar to the mean. Note that the auto- and cross-correlation functions shown 203 here differ from the switch-triggered-average reverse correlation measure reported by 204 Lankheet (2006), and the serial correlation measures used by Lehky (1995), van Ee (2009) and 205 others (where 'lag' on the x-axis refers to dominance epoch rather than time). These 206 measures assess different aspects of rivalry data that are not the focus of the current work. 207

208 Next, we calculated the consistency of responses across pairs of presentations of identical 209 noise streams. In the absence of any noise modulation, the mean consistency was slightly 210 above the expected baseline of 0.5, having a value of 0.53 (horizontal grey lines in Figure 4). 211 The most likely explanation for this is that slight eye dominances or biases towards one or 212 other stimulus will increase the consistency across repetitions, however the effect is very 213 small. For conditions where the stimulus contrast was modulated, a 5x5 repeated measures 214 ANOVA indicated that the response consistency depended on both temporal frequency  $(F(4,16)=9.90, p<0.001, \eta_p^2=0.71)$  and modulation contrast (F(4,16)=28.81, p<0.001,215 216  $\eta_p^2$ =0.88), as well as the interaction between the two variables (F(16,64)=3.55, p<0.001,  $\eta_p^2$ =0.47). These effects are shown in Figure 4, which plots the same data twice as a function 217 218 of either modulation contrast (Fig 4a) or temporal frequency (Fig 4b). The general trends are 219 that consistency increases with contrast, and at each contrast is strongest for the 1/8Hz 220 temporal frequency (shown in green). The maximum consistency was 0.72, for the 1/8Hz, 16% 221 contrast condition, which is particularly noteworthy given that this temporal frequency had 222 the weakest influence on dominance durations (see green points in Figure 3b). Consistency 223 exceeded baseline for the 1/8Hz condition at around 4% modulation contrast (green 224 diamonds in Figure 4). These main findings were also clear in the data of individual 225 participants, shown in Supplementary Figure S1. 226



227 Contrast SD (%) Temporal frequency (Hz)
 228 Figure 4: Response consistency across two passes through the experiment. The same data are plotted in both
 229 panels, as a function of modulation contrast (a) or temporal frequency (b). In each panel, the thick grey line
 230 represents the baseline (no modulation) condition, colours represent different temporal frequencies, and
 231 symbol types represent different contrasts. All data points are averaged across participants, with error bars

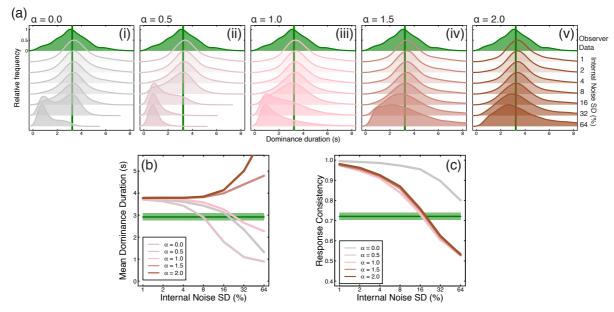
232 indicating ±1SE of the mean. The dashed horizontal line at y=0.5 indicates a theoretical baseline in the absence 233 of any response bias or eye dominance effects.

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236

235 A computational model with pink internal noise describes the human results

237 We first investigated how the amplitude of internal noise, and its spectral slope (a), affected model behaviour. We selected a single stimulus condition (stimulus noise frequency of 1/8Hz 238 239 and amplitude of 16%) and ran the model with a range of internal noise contrast levels (SD = 240 1 - 64%) at five different spectral slopes ( $\alpha = 0 - 2$ ). The results of our simulations on 241 dominance duration and response consistency are shown in Figure 5a(i-v), with the 242 equivalent human data plotted in green for comparison. For all spectral slopes, as internal 243 noise contrast increased it more strongly affected rivalry alternations. This is shown by the 244 change in dominance duration (Figure 5b; increases for steep slopes and decreases for 245 shallow slopes), and response consistency (Figure 5c), which decreased as responses became 246 increasingly dominated by internal noise.



247

248 Figure 5: Summary of model behaviour for internal noise amplitude and spectral slope estimation. (a) The 249 histograms of dominance durations for each spectral slope ( $\alpha = 0.0 - 2.0$ ) and contrast (SD = 1% - 64%) values. 250 Within each subplot, the uppermost (green shaded) histogram shows the equivalent human data for a stimulus 251 temporal frequency of 1/8Hz and a contrast modulation of SD = 16%. The solid vertical green line marks the 252 average dominance duration for human observers. Histograms below show model dominance duration 253 distributions for each internal noise contrast value. (b) Average dominance durations of the model for each 254 spectral slope (coloured lines). The green line and shaded area mark human average dominance duration and 255 ±1SE of the mean, respectively. Average dominance duration was affected by internal noise once its contrast 256 reached 4%. Noise with steeper slopes ( $\alpha = 1.5$ -2.0) increased mean dominance duration as a function of noise 257 contrast, while noise with shallower slopes decreased mean dominance duration. (c) Response consistency 258 decreased as a function of internal noise contrast for all spectral slopes. The green line and shaded area mark 259 human observer average consistency and  $\pm 1SE$  of the mean, respectively. For all a>0, response consistency 260 reached human levels at an internal noise contrast of 16%.

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We can use the joint dominance durations and consistency scores to rule out several types of 262

263 internal noise. White internal noise ( $\alpha = 0$ ) is not viable because there is no internal noise level

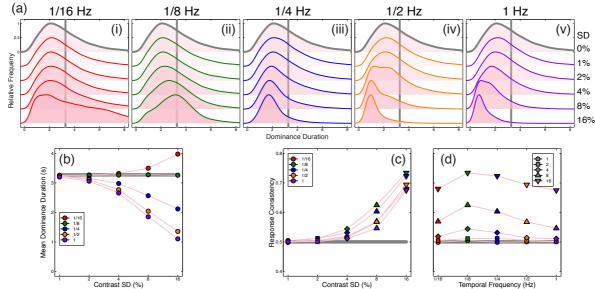
264 for which both durations and consistency are close to human levels. Internal noise with steep

- 265 amplitude slopes (a > 1) produces sensible consistency scores, but dominance durations
- 266 become too long. This leaves slopes of  $\alpha = 0.5$  and  $\alpha = 1$ , for which an internal noise contrast

267 of around 16% gives a good approximation to the human data. We performed full simulations 268 for all noise spectral slopes with this contrast. A slope of a = 1 was the best predictor of the 269 human data, so these simulations are discussed in the main text, with simulations of other 270 spectral slopes presented in Supplementary Figures S2 and S3.

271

272 The histograms of dominance durations, mean dominance duration and response consistency 273 of the model simulations for all 26 stimulus conditions are shown in Figure 6. The model 274 replicated the pattern of human data shown in Figures 3 & 4 remarkably well. The histograms 275 of dominance durations of the model (Figure 6a i-v) show similar trends to those of human 276 observers (Figure 3a). Slow modulation frequencies (1/16Hz and 1/8Hz) increased positive 277 skew at high modulation contrasts (Figure 6a i-ii), while higher modulation frequencies shifted 278 the peak of the dominance duration histograms leftwards as modulation contrast increased. The shifts in the histograms are reflected in the mean dominance durations of the model 279 280 (Figure 6b), just as with human observers. Similarly, response consistency (Figure 6c, d) 281 increased when stimulus noise contrast reached 4% and was highest for each contrast at a 282 temporal frequency of 1/8Hz. Whereas human response consistency was quite bandpass 283 (peaking at 1/8Hz and dropping quickly for faster frequencies), the model exhibited slightly 284 broader tuning at high stimulus noise contrast. This may be due to the other parameters of 285 the model that were fixed prior to our simulations, or it could imply additional physiological 286 constraints such as bandpass temporal filters on the input, or variable response lag. 287



288 289 Figure 6: Summary of modelling results. (a) Histograms of dominance durations of the model with pink (a = 1) 290 internal noise of 16% contrast for each stimulus temporal frequency (i-v) and contrast SD. The solid line colour 291 serves as a legend for the stimulus noise temporal frequency (red = 1/16Hz, green = 1/8Hz, blue = 1/4Hz, yellow 292 = 1/2Hz, purple = 1Hz). The histogram marked in grey represents baseline dominance durations with no contrast 293 modulation. (b) The mean dominance durations of the histograms in (a). Marker colour represents the 294 modulation temporal frequency, while the x-axis gives the modulation contrast. The grey line marks the baseline 295 dominance duration of the model (3.18s), slightly slower than that of the human data. (c-d) Model response 296 consistency plotted in the same manner as Figure 4. In (c), marker colour indicates the modulation temporal 297 frequency while the x-axis indicates the modulation contrast. For all stimulus frequencies, response consistency 298 increased according to modulation contrast, and was greatest when the stimulus temporal frequency was 1/8Hz. 299 (d) Identical data but plotted with modulation temporal frequency on the x-axis. The grey line (c,d) marks 300 response consistency at baseline with no external noise fed to the model (0.49). 301

### 302 The model predicts consistency with antiphase noise sequences

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317

304 We next explored whether the model could predict performance in novel conditions. Inspired 305 by Kim et al. (2006), we designed a further condition in which the noise modulations were in 306 antiphase across the eyes (i.e. a contrast increase in one eye matched with an equal contrast 307 decrease in the other). We chose a temporal frequency of 1/8Hz, and tested four of our 308 original participants. With no free parameters, the model described above made a clear 309 quantitative prediction about performance in this condition (see Figure 7a), namely that 310 response consistency should be reliably increased for the antiphase noise (brown squares in 311 Figure 7a), compared to the equivalent condition from the main experiment with two 312 independent streams of external noise (green circles in Figure 7a). This prediction was borne 313 out empirically, as shown in Figure 7b. We note that dominance duration histograms from our human participants (and therefore mean dominance durations) remained relatively 314 315 unaffected by this manipulation (see Figure 7c), consistent with performance with 316 independent noise streams (Figure 3aii).

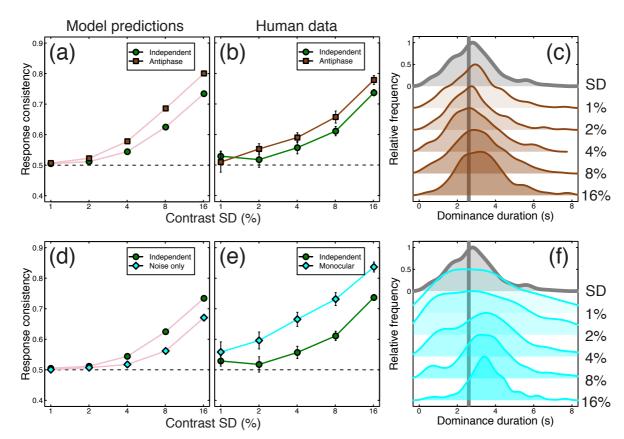


Figure 7: Summary of further conditions testing antiphase modulation and monocular rivalry. Panel (a) shows 320 response consistency predictions of the model for independent (green circles) and antiphase (brown squares) 321 external noise (modulation temporal frequency = 1/8hz). Panel (b) shows the human response consistency for 322 the same conditions as (a). Panel (c) shows histograms of human dominance durations in the same format as 323 Figure 3a, with the unmodulated rivalry condition shown at the top in grey. Panel (d) shows the response 324 consistency of the model when the oscillatory mechanism is removed and modulations are driven by internal 325 and external noise only (cyan diamonds) versus the response consistency for the main model (green cirxles). 326 Panel (e) shows human response consistency to the 'monocular rivalry' condition (cyan diamonds) compared 327 with that of the main experiment (green circles). Panel (f) shows human dominance duration histograms for the 328 'monocular rivalry' condition. Error bars and dotted lines show ±1SE across participants (N=4; for the conditions 329 from the main experiment, we omitted results from the participant who did not complete the additional 330 conditions when constructing this figure).

332 We also tested a condition in which we presented both stimuli to both eyes as a plaid, and 333 modulated the contrast of the components. Just as in the main experiment, we asked 334 participants to report which component appeared higher in contrast at each moment in time, though there was no binocular rivalry. This 'monocular rivalry' condition also produced 335 greater consistency scores than the equivalent condition from the main experiment (see 336 337 Figure 7e), and demonstrates that the technique can be used to dynamically monitor 338 perception even in the absence of interocular competition. The distributions of dominance 339 durations were rather broader for low contrast modulations, but narrowed at higher 340 contrasts (see Figure 7f).

341

342 We reasoned that one way to model this condition might be to remove the rivalry mechanism from the model, leaving only the combination of internal and external noise to determine 343 344 dominance at each moment. The predictions for this arrangement are shown by the cyan 345 symbols in Figure 7d, and involve markedly *lower* consistency scores than both the model and 346 empirical binocular rivalry conditions (green circles in Figures 7d,e), and also the monocular 347 rivalry data itself (cyan diamonds in Figure 7e). Clearly then, monocular rivalry still involves 348 some sort of alternation process (e.g. O'Shea et al. (2017), but see Georgeson (1984) for 349 evidence to the contrary), but the increased empirical consistency scores in this condition 350 suggest that the alternating mechanism is more strongly driven by the external noise 351 modulations than during binocular rivalry.

352

# 353 Discussion

354

355 Using a combination of psychophysical experiments and computational modelling, we infer 356 that the source of internal noise relevant to perceptual alternations during binocular rivalry 357 has an amplitude spectrum of 1/f, and a standard deviation of around 16%. Our method 358 facilitates these inferences because it uses a double pass design, in which an external noise 359 sequence is repeated twice, under the assumption that internal noise will be different on each 360 pass. Although the double pass method has been used previously for briefly presented stimuli 361 (Baker and Meese, 2012; Burgess and Colborne, 1988), this is the first time (to our knowledge) 362 it has been used in a dynamic paradigm. We now discuss details of the rivalry model, 363 relevance to other work on noise in binocular vision, and broader implications for our 364 understanding of internal noise in the brain.

365

# 366 Model variants and alternative models of rivalry

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In the course of developing the model, we also considered several variants using same 368 architecture that were either less successful or less plausible. One variant was a model in 369 370 which a single source of internal noise was added to both channels. In this arrangement, the 371 internal noise was less effective, because it increased or decreased the response in both 372 channels by the same amount, and so did not materially influence the competition between 373 channels. Another variant placed the internal noise sources outside of the gain control 374 equation (i.e. added after eqn 1 rather than appearing on the numerator and denominator). 375 Although moving internal noise later is consistent with the assumptions of a family of popular 376 computational models of early binocular vision (Legge, 1984; Meese et al., 2006 see next 377 section), this was less successful than our main model because internal noise levels sufficient

to influence consistency had too large an effect on dominance durations. This rendered the
 dynamic properties of the model moot, with rivalry percepts being largely determined by the
 internal noise streams.

381

382 We also tested alternative values of the main parameters in the rivalry model. These altered 383 model behaviour in the unmodulated baseline condition much as described in previous work (Wilson, 2007), but had relatively minimal effects on dominance durations and consistency 384 385 scores with strongly noise-modulated stimuli, where rivalry alternations depend more on the 386 interplay of internal and external noise than on adaptation and inhibition. We anticipate that 387 other rivalry models with architectures related to that of Wilson (2007, 2003) could be 388 modified in a similar way as described here to achieve comparable effects, but have not tested 389 this assumption.

390

391 Related work on rivalry

392

393 As mentioned above, Kim et al. (2006) modulated the contrast of rivalling stimuli periodically 394 in antiphase at a range of temporal frequencies (building on earlier work by O'Shea and 395 Crassini (1984) in which rivalling stimuli were entirely removed at different frequencies and 396 phases). They implement three computational models to account for their results, each of 397 which has random walk (i.e. brown) noise with a spectral slope of  $1/f^2$ , but report obtaining 398 similar results with white noise for their experimental conditions. Furthermore, one of the 399 models they implement is a version of the Wilson (2003) model considered here, but they 400 report the best performance when the internal noise is added to the adaptation differential 401 equation (see Methods), rather than the rivalling units (see also van Ee, 2009). In our 402 simulations, we found similar effects on the dominance duration distributions for internal 403 noise placed either in the main equation or adaptation equation (not shown here). However, 404 placing internal noise in the adaptation differential equation resulted in response consistency 405 that was not tuned to modulation frequency (i.e., flat). We suspect that Kim et al.'s paradigm 406 did not afford sufficient constraints to distinguish between the two very different internal 407 noise types or the locus of internal noise.

408

409 Other models that have incorporated a stochastic component include the model of Lehky 410 (1988) which also used random walk (brown) noise, Kalarickal and Marshall (2000) who used 411 additive uniformly distributed (effectively white) noise, and Stollenwerk and Bode (2003) who 412 used temporally white noise that was correlated across space. A further model developed by 413 Rubin and colleagues (Moreno-Bote et al., 2007; Shpiro et al., 2009) uses exponentially 414 filtered white noise which progressively attenuates higher frequencies. However none of 415 these studies report testing other types of internal noise, nor were their experimental 416 conditions sufficient to offer meaningful constraints on the internal noise properties. As far 417 as we are aware, this is the first study that has modelled internal noise of different amplitudes 418 and spectral properties and compared the predictions to empirical results.

419

Baker & Graf (2009a) explored binocular rivalry using broadband pink noise stimuli that also varied dynamically in time. By testing factorial combinations of temporal amplitude spectra across the two eyes, they showed that stimuli with 1/*f* temporal amplitude spectra tended to dominate over stimuli with different spectral slopes (the same was also true of static stimuli with a 1/*f* spatial amplitude spectrum). Whilst these results do not directly imply anything about the properties of internal noise, they are consistent with the idea that the visual system
is optimised for stimuli encountered in the natural world, which are typically 1/*f* in both space
and time (e.g. Dong and Atick, 1995; Field, 1987; Geisler, 2008; Hansen and Essock, 2005;
Simoncelli and Olshausen, 2001). Our findings here imply that as well as having a preference
for external stimuli with naturalistic properties, the internal structure of the visual system
might itself have evolved to emulate these temporal constraints (Field, 1987; Haun and Peli,
2013; Schwartz and Simoncelli, 2001; Schweinhart et al., 2017).

- 432
- 433 Internal noise in binocular vision and throughout the brain
- 434

435 Early models of binocular signal combination attributed the  $\sqrt{2}$  improvement in contrast 436 sensitivity for fusible stimuli viewed binocularly vs monocularly to the pooling of independent 437 monocular noise sources (Campbell and Green, 1965). However this model assumes that 438 during monocular presentation, the noise in the unstimulated eye can be ignored, which is 439 unlikely in the absence of experimental confounds (Legge, 1984). Contemporary binocular 440 models of contrast detection and discrimination assume noise that is late and additive, 441 occurring at a point beyond binocular signal combination (Meese et al., 2006). It is generally 442 assumed that this late source of noise is the combination of multiple noise generators at 443 successive stages of processing, though relatively little is known about their precise 444 characteristics. However a small number of studies have investigated this issue, as we now 445 summarise.

446

447 Pardhan & Rose (1999) added binocular external noise during a monocular or binocular 448 detection task and found that binocular summation decreased at high levels of external noise, 449 and that equivalent input noise (the minimum external noise level required to influence 450 thresholds) was higher for monocular than binocular targets. One interpretation of these 451 results is that the effective internal noise is greater for monocularly presented stimuli (see 452 also Anderson and Movshon, 1989). However, the type of external noise that they used was 453 broadband white pixel noise, which can also cause substantial gain control suppression (see 454 Baker and Meese, 2012), potentially confounding the effects of increased variance. These 455 results are therefore relatively inconclusive regarding sources of internal noise in binocular 456 vision.

457

458 Recently, Ding & Levi (2016) have demonstrated that the inclusion of early (monocular) 459 multiplicative noise in gain control models can account for some subtle features of binocular 460 contrast discrimination performance. It has also been suggested that monocular noise might 461 be increased in the affected eye of individuals with amblyopia (Baker et al., 2008). Finally, we have recently shown (Vilidaite et al., 2018) using a contrast discrimination paradigm that EEG 462 463 and MEG data are consistent with both an early (~100ms post stimulus onset) noise source in 464 low level visual areas, and a later noise source in more frontal and parietal brain areas, both 465 of which affect perceptual decisions. All of these results are therefore consistent with an early 466 monocular source of internal noise, as included in our model, but do not preclude the addition 467 of later sources of noise which we do not consider here.

468

Regarding noise more generally, surprisingly few studies have addressed the spectral and
 distribution properties of internal noise using psychophysical methods. The default
 assumption is typically that internal noise is Gaussian (owing to Central Limit Theorem) and

472 white. However, Neri (2013) concluded that internal noise had a Laplacian distribution, and 473 other psychophysical work has assumed Poisson processes for internal noise (May and 474 Solomon, 2015), based on single cell recordings (Goris et al., 2014). Noise with a pink 475 amplitude spectrum typically retains a Gaussian distribution, though in principle non-476 Gaussian distributions (such as Laplacian or Poisson distributions) could also be altered to 477 have a pink spectrum. Although we are unaware of any other psychophysical studies 478 attempting to estimate the spectral characteristics of internal noise, we note that 479 measurements of spontaneous neural activity using ECoG and fMRI also have fractal 480 properties, and a slope of approximately 1/f in visual areas (He et al., 2010).

- 481
- 482 Conclusions
- 483

Using a novel dynamic double pass paradigm with binocular rivalry, we measured how alternation rates and response consistency were affected by different types and amounts of external noise. The results were consistent with a computational model of rivalry in which internal noise was independent in each monocular channel. We conclude that internal noise relevant to rivalry has an amplitude spectrum of 1/*f*, and a standard deviation of around 16%. We anticipate that future studies might use temporally sensitive neuroimaging techniques such as EEG and MEG to further investigate these sources of internal noise.

- 491
- 492 Methods
- 493
- 494 Participants
- 495

The main experiment was completed by five psychophysically experienced observers (2 male), who provided written informed consent. Two were the authors, the remainder were unaware of the aims or design of the study. A control experiment was completed by four of the same observers. All observers had no known abnormalities of binocular vision, and wore their standard optical correction if required. Procedures were approved by the Ethics Committee of the Department of Psychology at the University of York.

- 502
- 503 Apparatus and stimuli
- 504

505 Stimuli were sinusoidal grating patches with a spatial frequency of 1c/deg, subtending two 506 degrees of visual angle, and ramped in contrast by a cosine function over a further ¼ degree. 507 The gratings shown to the left and right eyes had orthogonal orientations (±45 degrees) which 508 were assigned randomly on each trial (see Figure 1a for examples). The mean Michelson 509 contrast of the gratings was 50%, but this was modulated by dynamic noise streams of various 510 centre frequencies (1/16 Hz to 1Hz) and standard deviations (1% to 16% Michelson contrast). 511 The noise streams were constructed by bandpass filtering white noise at the required 512 frequency using a one octave bandpass filter (see Figure 1b). In the main experiment, the 513 noise streams used to modulate the contrast of each eye were independent.

514

515 Stimuli were displayed on a ViewPixx 3D display (VPixx Ltd., Canada), driven by an Apple 516 Macintosh computer. The monitor operated with 16 bits of greyscale luminance resolution 517 (M16 mode) and was gamma corrected using a Minolta LS110 photometer. Independent 518 stimulation of the left and right eyes was achieved using stereo shutter glasses (NVidia 3D 519 Vision), synchronised with the monitor refresh rate of 120Hz via an infra-red signal. To 520 promote good vergence and binocular alignment, each stimulus was surrounded by a static 521 high contrast greyscale Voronoi texture (squares of 14 x 14 degrees, with a 7 degree diameter 522 disc in the centre set to mean luminance) that was identical in both eyes (see Figure 1a). A 523 different texture was presented on each trial, selected at random from a set of 99 pre-524 generated textures.

- 525
- 526 Procedure

527

528 Participants sat in a darkened room and viewed the display from a distance of 57cm. Stimuli 529 were presented for 60 seconds per trial, with condition order determined at random. 530 Participants were instructed to indicate using a two-button mouse which of the two grating 531 stimuli they perceived at each moment in time by holding down one or other button. If both 532 stimuli were perceived, they were instructed to choose the stimulus that was most visible (i.e. 533 that took up the largest part of the image), or to hold down both buttons if they were equally 534 salient. At the end of each trial, there was a minimum blank interval of three seconds, with 535 the following trial initiated by the participant.

536

Each of the 26 conditions (5 contrasts \* 5 temporal frequencies + 1 baseline) was repeated 5
times by each observer using unique noise sequences in each repetition, and then a further 5
times using the same noise sequences as in the first pass. This resulted in 260 trials (4.3 hours
of rivalry data) per participant, which were completed across multiple sessions (each typically
lasting 20-30 minutes) over several days. Raw data are available online at: http://dx.doi.org/
10.6084/m9.figshare.7262201

543

544 Modelling

545

546 There are multiple models that have been successful at capturing the oscillatory behaviour of 547 dominant percepts in binocular rivalry (Kim et al., 2006; Laing and Chow, 2002; Lehky, 1988; 548 Wilson, 2007, 2003). While they vary in complexity, all include two key characteristics: 549 inhibition between units responding to the left and right monocular stimuli, and self-550 adaptation. These guarantee that only one unit will be active at a given moment, and that 551 over time, the active unit will decrease its firing rate sufficiently to allow the suppressed unit 552 to be released from inhibition. Apart from a few exceptions (Brascamp et al., 2006; Kalarickal 553 and Marshall, 2000; Kim et al., 2006; Lehky, 1988; Moreno-Bote et al., 2007; Shpiro et al., 554 2009; Stollenwerk and Bode, 2003), most computational investigations of binocular rivalry 555 have focused on deterministic implementations of their models to investigate how suppression and self-adaptation contribute to oscillations in perceptual dominance. It is, 556 557 however, fairly straightforward to adapt these models of rivalry to include an additive noise 558 term and directly probe the properties (i.e., amplitude and spectral qualities) of internal 559 noise. Here, we probe the properties of internal noise with the minimum rivalry model of 560 Wilson (2007, 2003).

561

562 The minimum rivalry model defines the response of a single unit by two differential equations

- 563 (equation 1 and equation 2), which incorporate stimulus excitation (L/R), self-excitation ( $\varepsilon =$
- 564 0.2), competitive inhibition ( $\omega$  = 3.5), self-adaptation (*H*), and here, an additive internal noise

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term (N). For the unit responding to stimuli presented to the left eye ( $E_L$ ), the response term is

567

$$\tau \frac{dE_L}{dt} = -E_L + \frac{M[L - \omega E_R + \epsilon E_L + gH_L + N_L]_+}{1 + [L - \omega E_R + \epsilon E_L + gH_L + N_L]_+^{0.8}}$$
(1)

568

569 and self-adaptation is

570

$$\tau_h \frac{dH_L}{dt} = -H_L + E_L \tag{2}$$

571

572 which is identical for activity in the right eye (E<sub>R</sub>), but with the subscripts switched. The 573 constants M and q serve to scale the response gain and adaptation strength and were set to 574 values of 1.0 and 3.0, respectively. The excitatory ( $\tau$ ) and hyperpolarizing ( $\tau_h$ ) time constants 575 of equation 1 and equation 2 were set to 15ms and 4000ms respectively. All model 576 parameters were fixed in our simulations. Internal noise was additive and independently 577 generated for each eye. As previous studies have already investigated the locus of internal 578 noise with this particular model (Kim et al., 2006), we chose here to only conduct model 579 simulations with noise added to the unit response equation (equation 1). Note that as the 580 stimulus input to the model is identical to that of the psychophysical experiment (see Figure 581 2a), we use a contrast gain control variant of the Minimum rivalry model (Wilson, 2007) to 582 account for any differences in contrast between eyes. This also means that the noise term is 583 added to both the numerator and denominator of equation 1. 584

585 We probed the spectral characteristics of internal noise by injecting the model with broadband noise patterns  $(1/f^{\alpha})$  generated at one of five different spectral slopes<sup>\*</sup>, where  $\alpha =$ 586 587 [0, 0.5, 1.0, 1.5, 2.0] (see Figure 2b). Noise patterns were generated in the Fourier domain by 588 first creating a flat ( $\alpha = 0$ ) amplitude spectrum and then multiplying the amplitude coefficient 589 at each frequency by  $f^{\alpha}$ . The phase of each frequency component was assigned a random 590 value between  $-\pi$  and  $\pi$ . Two different phase spectra were generated in order to create two 591 independent noise streams ( $N_L$  and  $N_R$ ) with the same amplitude spectrum. These were 592 rendered in the temporal domain by taking the inverse Fourier transform and adding them to 593 the left and right units separately.

594

595 Perceptual switches were implemented as a winner-take-all rule: the dominance of a percept 596 was defined by the magnitude of  $E_{L/R}$  at any given moment in time (if  $E_L > E_R$ ,  $E_L$  is dominant; 597 see Figure 2c) Finally, all model simulations were conducted in MATLAB (version R2017a) 598 using ODE45 to solve the 4 differential equations that define the response of each unit and 599 their self-adaptation over 60 seconds (i.e. the duration of a trial in the psychophysical 600 experiment). We simulated binocular rivalry twice – with different internal noise samples but

<sup>&</sup>lt;sup>\*</sup> We also conducted simulations with bandpass filtered internal noise streams with the same frequencies as that of the stimulus sequences, in addition to the broadband internal noise simulations. Response consistency was high for all stimulus conditions, which suggests that this type of internal noise is incapable of modulating model responses beyond that of the external noise sequences. As these results do not offer any additional insight to the characteristics of internal noise, we do not show them here.

the same external noise sequences – for each stimulus noise condition in order to calculate
 the response consistency of the model. This was repeated 1000 times, and the model outputs

603 (dominance duration and response consistency) were averaged across repetitions.

### 604

# 605 Acknowledgements

606

507 Supported in part by the Wellcome Trust (ref: 105624) through the Centre for Chronic 508 Diseases and Disorders at the University of York. We thank Robert O'Shea and two 509 anonymous reviewers for helpful comments on a previous version of the manuscript.

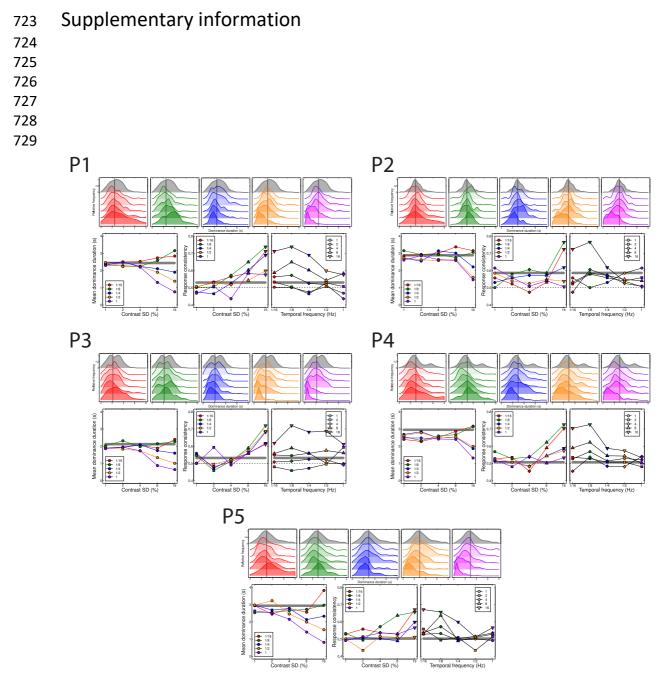
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- 732 Figure S1: Data for individual participants (P1-5). See the captions to Figures 3 and 4 for formatting details

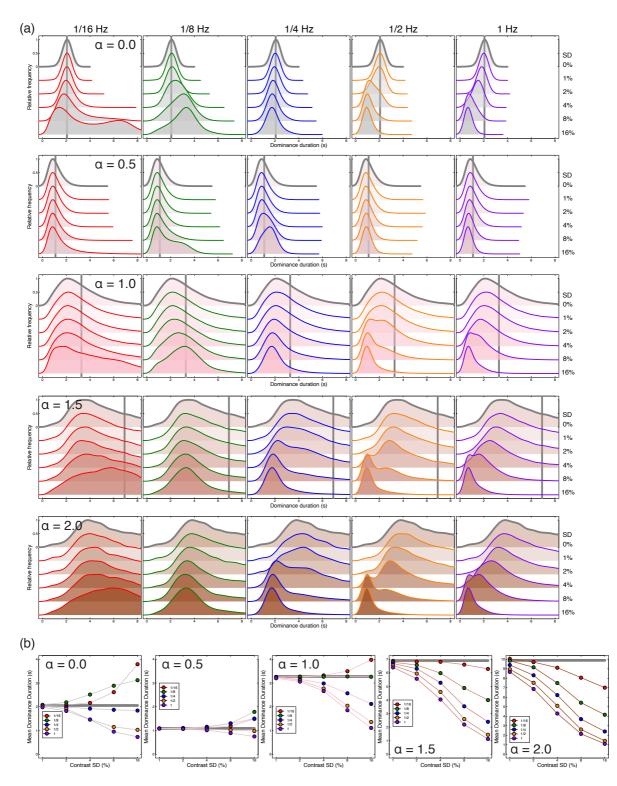




Figure S2. (a) Model dominance duration histograms for each of the five noise as and stimulus condition as in 736 Figure 6a. The solid line colour indicates the stimulus temporal frequency while the fill colour marks the noise 737 a. The grey vertical line marks the mean dominance duration of the 0% modulation contrast condition. For very 738 steep slopes ( $\alpha = 2$ ) the mean exceeds the x axis limit (~10s). (b) The average dominance duration for each 739 model noise a as in Figure 6b. Note the different scale for the y axis with internal noise as of 1.5 and 2.0.

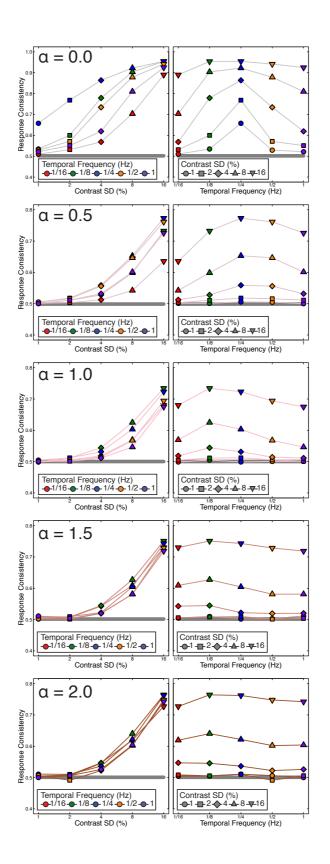


Figure S3. Response consistency for all five internal noise a values investigated here. The left column charts
 response consistency for each modulation contrast while the right column shows the same data replotted
 according to modulation frequency as in Figure 6c and 6d.