Ancient *Yersinia pestis* genomes from across Western Europe reveal early diversification during the First Pandemic (541–750)

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Abstract

The first historically documented pandemic caused by *Yersinia pestis* started as the Justinianic Plague in 541 within the Roman Empire and continued as the so-called First Pandemic until 750. Although palaeogenomic studies have previously identified the causative agent as *Y. pestis*, little is known about the bacterium's spread, diversity and genetic history over the course of the pandemic.

To elucidate the microevolution of the bacterium during this time period, we screened human remains from 20 sites in Austria, Britain, Germany, France and Spain for *Y. pestis* DNA and reconstructed six new genomes. We present a novel methodological approach assessing SNPs in ancient bacterial genomes, facilitating qualitative analyses of low coverage genomes from a metagenomic background. Phylogenetic analysis reveals the existence of previously undocumented *Y. pestis* diversity during the 6th–7th centuries, and provides evidence for the presence of multiple distinct *Y. pestis* strains in Europe. We offer genetic evidence for the presence of the Justinianic Plague in the British Isles, previously only hypothesized from ambiguous documentary accounts, as well as southern France and Spain, and that southern Germany seems to have been affected by at least two distinct *Y. pestis* strains. Four of the reported strains form a polytomy similar to others seen across the *Y. pestis* phylogeny, associated with the Second and Third Pandemics. We identified a deletion of a 45 kb genomic region in the most recent First Pandemic strain affecting two virulence factors, intriguingly overlapping with a deletion found in 17th–18th-century genomes of the Second Pandemic.

Significance Statement

The first historically reported pandemic attributed to *Yersinia pestis* started with the Justinianic Plague (541–544) and continued for around 200 years as the so-called First Pandemic. To date, only one *Y. pestis* strain from this pandemic has been reconstructed using ancient DNA. In this study, we present six new genomes from Britain, France, Germany and Spain, demonstrating the geographic range of plague during the First pandemic and showing microdiversity in the Early Medieval Period. Moreover, we detect similar genome decay during the First and Second Pandemic (17th to 18th century) that includes the same two virulence factors, thus providing an example of potential convergent evolution of *Y. pestis* during large scale epidemics.

Keywords

Justinianic Plague, Ancient DNA, Bacterial evolution, Anglo-Saxons, Merovingians, Visigoths, multiple burials

Introduction

Yersinia pestis, the causative agent of plague, is a Gram-negative bacterium that predominantly infects rodents and is transmitted by their ectoparasites such as fleas. As a zoonosis, it is also able to infect humans with a mortality rate of 50–100 % without antibiotic treatment (1), manifesting as bubonic, septicaemic or bubonic plague. After the pathogen spread worldwide at the end of the 19th century in the so-called Third Pandemic that started in 1855 in Yunnan, China, it established new local foci in Africa and the Americas in addition to the ancient foci that exist in Central and East Asia. Today, *Y. pestis* causes sporadic infections every year and even local recurrent epidemics such as documented in 2017 in Madagascar (2).

Although recent palaeogenetic analyses have been able to reconstruct an ancient form of *Y. pestis* that infected humans as early as in prehistoric times (2,800 to 1,700 BCE (3–5)) the First Pandemic (541–750) is the earliest historically recorded pandemic that has been clearly attributed to *Y. pestis* (6, 7), starting with the fulminant Justinianic Plague (541–544). It was later followed by the Second Pandemic, which started with the Black Death of 1347–1353 (8, 9) and persisted in Europe until the 18th century (10–12).

First attempts in the 2000s aimed to amplify *Y. pestis*-specific DNA fragments from burials of the 6th century (13–15). Although some early studies are controversial due to methodological limitations (16) and proved inconsistent with later work (17), more recent studies have been successful in reconstructing and authenticating whole *Y. pestis* genomes from two early medieval burial sites in modern-day Bavaria, Germany (6, 7).

These genomic investigations identified a previously unknown lineage associated with the First Pandemic that was found to be genetically identical in both sites and falls within the modern diversity of *Y. pestis*. Moreover, this lineage is distinct from those associated with the Second Pandemic that started ca. 800 years later, indicating two independent emergence events.

Although these studies have unequivocally demonstrated the involvement of *Y. pestis* in the First Pandemic, the published genomes represent a single outbreak, leaving the genetic diversity of that time entirely unexplored. Here, we assess the diversity and microevolution of *Y. pestis* during that time by analysing multiple and mass burials in a broader temporal and spatial scope than previously attempted. After screening 167 samples from 20 archaeological sites, we were able to reconstruct six new genomes with higher than 5-fold mean coverage from Britain, France, Germany and Spain. Furthermore, we identified a large deletion in the most recent First Pandemic strain that affects the same region as a deletion observed in late Second Pandemic strains, suggesting similar mechanism of pathogen adaptation in the waning period of the two separate pandemics.

127 **Results**

128 Screening and Capture

129 We used a previously described quantitative PCR assay (18) that targets the *Y. pestis*-specific
130 *pla* gene on the pPCP1 plasmid to test 145 teeth from a minimum of 96 individuals from 19
131 sites (Table S1). All 19 PCR-positive extracts were subsequently turned into double-stranded
132 libraries and enriched for *Y. pestis* DNA following an in-solution capture approach (19).
133 Whereas some samples reached up to 9.6-fold chromosomal mean coverage after whole
134 genome capture, four of the PCR-positive samples yielded a coverage of lower than 0.1-fold.
135 Since the qPCR assay can amplify non-specific products and subsequent capture can enrich for
136 environmental DNA that sporadically maps to the *Y. pestis* reference, it is crucial to differentiate
137 between samples that show low DNA preservation and those that are false positives.

138 False positive samples are unlikely to show similar mapping success on all genetic elements
139 when compared to true positive samples. Therefore, mapping to all three plasmids was used in
140 combination with a statistical outlier detection for verification of low coverage genomes. Ratios
141 of reads mapping to the *Y. pestis* chromosome and the three individual plasmids were
142 determined, and samples were authenticated by calculating the Mahalanobis distance to detect
143 outliers (χ^2=9.210, df=2, p=0.01; Table S2). Two samples, DIR002.A and PEI001.A, were
144 classified as outliers: despite having chromosomal coverage, they had no or only a few reads
145 mapping to the plasmids and were therefore considered as *Y. pestis* negative. The remaining 17
146 samples come from four sites in Germany (Dittenheim [DIT], n=3; Petting, n=3; Waging
147 [WAG], n=1; Unterthürheim [UNT], n=5), one in Spain (Valencia [VAL], n=1) and one in
148 France (Lunel-Viel [LVC], n=6) (Table 1, Fig. 1).

149 After mapping to the chromosome, seven genomes showed a higher than 5-fold mean coverage
150 and were used for downstream analyses. These were DIT003.B (9.4-fold), VAL001.B (9.6-
151 fold), PET004.A (5.6-fold) as well as UNT003.A and UNT004.A (7.6-fold and 5.2-fold
152 respectively) (Table S3). Six positive samples of the individuals LVC001, LVC005 and
153 LVC006 were merged to yield a mean coverage of 6.7-fold for the site of Lunel-Viel. For the
154 phylogenetic analysis, we omitted the lower-covered UNT004.A after assuring that there are
155 no conflicting positions with UNT003.A that derives from the same archaeological site.

156 For the 22 samples from Edix Hill, Britain, only shotgun sequencing data was available and,
157 therefore, pathogen DNA screening was performed using the metagenomic tool MALT (19).
158 This analysis revealed six putatively *Y. pestis*-positive samples after visual inspection of aligned
159 reads in MEGAN (20) (Table S4). The sample EDI001.A had more than 9000 reads assigned

160 to *Y. pestis* and was sequenced to a greater depth without enrichment to yield a mean
161 chromosomal coverage of 9.1-fold.
162
163 SNP Evaluation
164 In the context of ancient pathogen DNA, there are three possible sources for false positive
165 SNPs: First, DNA damage such as deamination of cytosine to uracil can lead to
166 misincorporation of nucleotides during sample processing (21). Second, the mapping of closely
167 related environmental species to the reference sequence of the target organism is likely,
168 especially for conserved regions of the genome (22). Third, mapping of short reads is more
169 prone to mismapping and calling of false positive SNPs generated at sites of genome
170 rearrangement. Whereas the first source can be circumvented via *in vitro* protocols like UDG
171 treatment (23), the latter two can be reduced but not eliminated with strict mapping parameters
172 and exclusion of problematic regions (24) as applied here. A fourth source for false SNP
173 assignments could result from multiple genetically distinct strains that would lead to a chimeric
174 sequence. The later was not observed in our data (Fig. S1) and this phenomenon might be
175 limited to chronic infections with pathogens such as *Mycobacterium tuberculosis,* where mixed
176 infections have been previously documented (25).
177 The retrieval of genomes that span a wide geographic area gives us the opportunity to assess *Y.*
178 *pestis* microdiversity present in Europe during the First Pandemic. Given that our genomes are
179 of relatively low genomic coverage, we critically evaluated uniquely called and shared SNPs
180 among the First Pandemic genomes in order to accurately determine their phylogenetic position.
181 This analysis was performed for all genomes retrieved from UDG-treated libraries with higher
182 than 5-fold mean coverage, including the previously published high-quality Altenerding
183 genome (17.2-fold mean coverage).
184 For this, we developed the tool 'SNPEvaluation' and defined three different criteria, all
185 applying for a 50 bp window surrounding the SNP: (A) Comparing the mean coverage after
186 BWA mapping with high and low stringency and excluding all SNPs that showed a higher
187 coverage under low stringent mapping than in high stringent mapping. In metagenomic
188 datasets, reads of related species map frequently to conserved regions in the reference genome.
189 When the position is not covered by reads from the target organism (*Y. pestis*) but the genomic
190 region is similar enough in other environmental organisms so that their reads can map, they
191 might mimic a SNP in *Y. pestis* when the contaminant species carries a different allele in that
192 position. (B) Excluding all SNPs for which heterozygous calls were identified in the
193 surrounding regions. Heterozygous calls accumulate in conserved regions due to the above-

194 described effect. (C) Excluding all SNPs within regions that include positions that lack genomic
195 coverage. Variants in genome architecture often appear as gaps in mapped data and are likely
196 to cause mapping errors, potentially resulting in false positive SNPs.
197 This evaluation was applied to all SNPs identified as unique to the First Pandemic lineage,
198 totalling between one and 15 per genome, respectively (Table S5). 17 authentic derived
199 chromosomal SNPs and an additional one detected on the pMT1 plasmid were found across all
200 seven genomes (Table S6). The Altenerding genome (AE1175) as well as the genomes of
201 Unterthürheim (UNT003.A, UNT004.A) and Dittenheim (DIT003.B) appear identical after
202 SNP evaluation at all positions, with the exception of one SNP which is covered in both UNT
203 samples, and by only one read in DIT003.B, but not covered in Altenerding. The genomes from
204 Petting (PET004.A), Valencia (VAL001.B) and Lunel-Viel (LVC00_merged) appear distinct,
205 each occupying a unique branch comprised of two, three and ten unique SNPs, respectively
206 (Fig. 2B and Table S6). One additional SNP was found on the pMT1 plasmid in the Valencia
207 genome (VAL001.B). An analysis of the Aschheim genome as well as a SNP effect analysis is
208 presented in the SI.
209 Since the Altenerding genome shows the highest coverage (6), all SNPs previously presented
210 as unique SNPs for this genome were evaluated as potentially shared SNPs when they appeared
211 in at least one of the new genomes – excluding the position shared exclusively with DIT003.B
212 and UNT004.A. We applied the exact same parameters as for the unique SNPs, but also
213 considered positions with less than 3-fold coverage (Table S7). Only SNPs that pass all three
214 criteria of our SNP evaluation in at least half of the analysed genomes (i.e., four out of seven)
215 were accepted as true shared SNPs, reducing the number from 53 identified in a previous study
216 (6) to 43.
217 The Waging sample (WAG001.A) had a genomic coverage too low for inclusion in our
218 phylogenetic analysis. Since it was the only sample giving evidence for *Y. pestis* presence at
219 this site, it was assessed for all SNPs that were either shared or unique in the other First
220 Pandemic genomes. Visual inspection revealed seven of the 43 shared SNPs to be present in
221 the WAG001.A genome at low coverage (<3-fold), but none of the unique ones. For both shared
222 and unique SNPs, no conflicting positions were found. This strain could, therefore, be attributed
223 to the First Pandemic lineage without, however, resolving its exact position (Table S5).
224 The genome reconstructed from the non-UDG library of EDI001.A was not considered in the
225 presented SNP analysis, since damaged sites interfere with the defined SNP evaluation criteria.
226 With a mean coverage of 9.1, we accept the two relevant positions (one ancestral and one

227 derived SNP) as true positive after visual inspection. Regardless, metrics determined in the SNP
228 evaluation are still reported for comparison.
229
230 Phylogenetic analysis
231 A set of 233 modern *Y. pestis* genomes (Table S8) as well as seven Second Pandemic genomes,
232 including a representative of the Black Death strain (London) and six post-Black Death
233 genomes (16th-century Ellwangen (11); 18th-century Marseille (12)), and an ancient genome
234 from Tian Shan (DA101, 2nd to 3rd century (26)) were used for phylogenetic analyses alongside
235 our First Pandemic genomes presented here (Table S3) and the previously published genome
236 of Altenerding. The *Y. pseudotuberculosis* isolate IP32953 (27) was used as an outgroup.
237 Our maximum likelihood tree (28) constructed from the full SNP alignment reveals that all of
238 the genomes presented here occupy positions on the same lineage (Fig. 2A, Fig. S2). This
239 confirms their authenticity and is congruent with previous association of this lineage to the First
240 Pandemic (541–750). In addition, the previously reported genome from Altenerding (2148) is
241 identical to the new genomes from Dittenheim (DIT003.B) and Unterthürheim (UNT003.A).
242 Moreover, the genomes of Petting (PET004.A), Valencia (VAL001.B) and Lunel-Viel
243 (LVC_merged) seem to diverge from the Altenerding cluster through a polytomy (Fig. 2C,
244 bootstrap support 98 %). The British genome of EDI001, however, branches off one SNP
245 ancestral to this polytomy (bootstrap support 100 %) and possesses one unique SNP. This is
246 remarkable, since the British Isles are one of the most remote places where the First Pandemic
247 has been suspected to have reached in relation to the presumed starting point in Egypt.
248
249 Virulence factor and deletion analysis
250 We screened for the presence/absence of 80 chromosomal and 42 plasmid associated virulence
251 genes (29, 30) in all First Pandemic genomes with higher than 5-fold coverage (Fig. 3 and Fig.
252 S3). Only the filamentous prophage was consistently found absent in all presented genomes.
253 This is expected, since it has integrated into the genome of only a number of modern Branch 1
254 genomes (31). Reduced coverages for a set of virulence factors can be seen in the Altenerding
255 (AE1175) and Ellwangen genomes due to a capture bias, since the capture probe set in the
256 respective studies was designed on the basis of *Y. pseudotuberculosis* rather than of *Y. pestis*
257 (6, 11).
258 Intriguingly, the most derived First Pandemic genome from Lunel-Viel shows a deletion of two
259 chromosomal virulence factors, *mgtB* and *mgtC* (Fig. 3). These magnesium transporters are part
260 of the PhoPQ regulon, which is important for survival of *Y. pestis* in the magnesium-deficient

environment of macrophages. However, functional studies on *mgtB* hint at an important role during macrophage invasion rather than intracellular survival (32).

A second deletion was observed for the gene YPO2258, categorized as a potential virulence factor based on the presence of a frame shift mutation in the avirulent 0.PE2_Microtus91001 strain (30). Its inactivation in the 2.ANT1_Nepal516 strain, isolated from a human patient, nevertheless indicates that this gene is not essential for virulence in humans (33).

Further exploration of the deletion of the two neighbouring genes *mgtB* and *mgtC* revealed that they are part of a ca. 45 kb deletion (positions 1,883,402 to 1,928,869 in the CO92 reference), affecting 34 genes including multiple motility (*motA*, *motB*) and chemotaxis genes (*cheA, cheB, cheD, cheR, cheW, cheY, cheZ*) (Fig. S4). On the downstream end, the deletion is flanked by an IS100 insertion element. A potential upstream insertion element might be undetectable at our current resolution due to a genome rearrangement in the reference genome CO92. This is in agreement with previous findings concerning the highly abundant IS100 element in *Y. pestis*, responsible not only for disruptions of multiple genes caused by homologous recombination (27), but also for the loss of the 102 kb long *pgm* locus containing a high-pathogenicity island in several strains (34). To address the specificity of this deletion to the 6th–7th century strain from France, we also investigated the presence of the two virulence factors in all other modern and ancient strains in this study. Intriguingly, a similar deletion affecting the same region including *mgtB* and *mgtC* was observed in the late Second Pandemic genomes from London New Churchyard, (1560-1635 (35)) and Marseille L'Observance (1720-1722 (12)). However, a full deletion of this 45 kb region was not found in any of the other ancient or modern genomes. Therefore, the deletion appeared independently in the course of both the First and Second Pandemics.

Discussion

Identifying *Y. pestis* DNA in low complexity specimens

In total, we screened 145 samples from 19 sites in France, Germany and Spain for *Y. pestis* with a qPCR assay (18) and 22 additional samples from Edix Hill, Britain, with the metagenomic tool MALT (19). While the most promising sample of Edix Hill was directly sequenced to greater depth to reach a chromosomal mean coverage of 9.1-fold, all qPCR positive samples of the other sites were turned into UDG libraries and subsequently enriched for *Y. pestis*, resulting in mean coverages ranging from 0.01 to 9.6-fold.

293 The validation of ancient genomes with relatively low coverage as presented here is challenging
294 since the DNA extracted from archaeological remains results in metagenomic data and the
295 differentiation between target organism DNA and environmental background can be difficult.
296 The identification of *Y. pestis* DNA based on PCR targeting the *pla* locus on the pPCP1 plasmid
297 has theoretically been shown to be problematic (36), leading to discussions about false positive
298 results (16). However, assignment to *Y. pestis* based on reads retrieved from shotgun
299 sequencing and mapping to a reference genome also can be challenging in case of extremely
300 low genomic coverage (3, 4). Since all the presented genomes, except the one from Edix Hill,
301 are derived from DNA libraries specifically enriched for *Y. pestis* DNA and are thus biased
302 towards the target organism, a previously suggested competitive mapping approach (3) would
303 not be suitable. Instead, we considered the relative number of mapping reads to the plasmids
304 and chromosome to identify false positive samples from captured data. We were able to verify
305 that 17 out of 19 samples were positive for *Y. pestis* with as few as 4000 reads mapping to the
306 chromosome. Since the three plasmids pCD1, pMT1 and pPCP1 were already present in the
307 early divergent Neolithic and Bronze Age strains (3, 4) and loss of plasmids has only been
308 observed sporadically in attenuated strains (37), this method could be reliably applied to data
309 stemming from other branches in the *Y. pestis* phylogeny.
310
311 <u>Analysing microdiversity with low coverage genomes</u>
312 Reliable SNP calling is crucial for the phylogenetic analysis of verified low coverage genomes
313 and can be challenging when dealing with ancient pathogen DNA stemming from metagenomic
314 contexts. This has been demonstrated on *Y. pestis* genomes (6), but previously applied visual
315 inspections are time-consuming and not easily reproducible.
316 Here, we present a novel approach for SNP authentication using a semi-automated SNP
317 evaluation. We selected three criteria for our evaluation to assess the likelihood of mismapping.
318 We excluded all SNPs that (A) had higher coverage when mapped with less strict parameters,
319 (B) had 'heterozygous' positions in close proximity or (C) were flanked by gaps. With these
320 filters, we tolerate a loss of sensitivity to increase specificity, which is critical for detection and
321 characterization of microdiversity. Moreover, the tool 'SNPEvaluation' that was newly
322 developed for this analysis offers a highly flexible framework for the assessment of VCF files
323 and can be utilized also for a variety of analyses on different organisms.
324
325
326

327 Phylogenetic analysis

328 We were able to confidently reconstruct six new genomes from the First Pandemic in Britain,
329 France, Germany and Spain, providing insights into the microdiversity of *Y. pestis* in Europe
330 between the 6th and 7th centuries.

331 Our presented genomes add diversity to a phylogenetic lineage that was previously shown to
332 contain two identical 6th century genomes of southern Germany (Aschheim and Altenerding (6,
333 7)). It diverges between the 0.ANT1, 0.ANT2 and 0.ANT5 clades in the main *Y. pestis*
334 phylogeny and shares a short branch with a 2nd- to 3rd-century genome from the Tian Shan
335 mountains (26). Intriguingly, a single diversification event gave rise to the published as well as
336 three of the presented additional genomes, each defined by 1 to 10 derived SNPs. Similar
337 polytomies can be detected in other parts of the phylogeny of *Y. pestis* that have been related to
338 human epidemics (38): one gave rise to Branches 1 to 4 (including ancient Second Pandemic
339 genomes, Fig. 2A) and is dated to 1142-1339 (38), shortly before the European Black Death.
340 To date it is unknown if this event was restricted to a rodent reservoir, or if it was already
341 associated with a human epidemic. A second polytomy gave rise to the 1.ORI clade, which
342 includes strains related to the worldwide spread of plague during the Third Pandemic in the 19th
343 century (Fig. 2C).

344 Within the First Pandemic lineage, the genomes that derive from this polytomy display variable
345 terminal branch lengths (1-10 SNPs), which are likely concurrent with their different ages (see
346 below). Given that *Y. pestis* is a pathogen that can cover large geographic distances by
347 accumulating little to no genetic diversity (11), it is challenging to elucidate the geographic
348 origin for this diversification event. A first hypothesis suggests an origin of this diversification
349 event within the historically recorded geographic range of the First Pandemic, i.e. either in
350 Europe, the Mediterranean basin, or the Middle East. Our current data may lend some
351 credibility to this scenario for two reasons: First, we identify four European strains with short
352 genetic distances from this polytomy, the shortest of which is identified in three locations in
353 rural Bavaria, and second, we identify an almost direct ancestor of this polytomy to be present
354 in Europe during the 6th century, represented by a genome from Britain. Alternatively, the
355 bacterium may have been recurrently introduced to the affected regions from a single remote
356 reservoir.

357 The hypothesis of a single introduction would require the establishment of a local reservoir,
358 since we have to assume that at least the genome recovered from Lunel-Viel is not directly
359 associated with the initial outbreak in 541–544 but rather with a subsequent one (see below).
360 Possible locations for reservoirs during the First Pandemic have been suggested in the Iberian

361 peninsula and the Levant (39). There is also a growing body of evidence for the presence of
362 black rats (*Rattus rattus)* in Europe in late Antiquity and the Early Medieval Period (40, 41),
363 suspected to represent the main reservoir species during the Second Pandemic (40).
364 Such a scenario would be congruent with the Second Pandemic, where the phylogeny of ancient
365 genomes is in line with a single introduction and subsequent persistence in a local host (11, 35,
366 42), although this hypothesis was challenged by an alternative scenario claiming multiple
367 introductions on the basis of climatic data (43). Similar to the European Second Pandemic
368 lineage (11, 12), strains emerging from the First Pandemic lineage have so far been recovered
369 solely from ancient DNA of European plague burials, suggesting that the lineage either went
370 extinct or persists in a yet unsampled reservoir.
371
372 Origin of the Justinianic Plague
373 Based on available data, it has been suggested that the most parsimonious location for the
374 divergence event that gave rise to the First Pandemic lineage is Central Asia (26). All published
375 genomes of the branches 0.ANT1, 0.ANT2 and 0.ANT5 that frame the First Pandemic lineage
376 in the phylogenetic tree were sampled in the autonomous Xingjiang region in north-western
377 China or in Kyrgyzstan (38, 44). In addition, an ancient 2nd- to 3rd-century *Y. pestis* genome
378 from the Tian Shan mountains in Central Asia (26) branches off basal to all the First Pandemic
379 genomes. The resulting claim that the Huns might have brought plague to Europe is however
380 unsubstantiated due to the gap of more than three centuries prior to the onset of the First
381 Pandemic.
382 Since the long shared branch of the First Pandemic genomes (43 SNPs) does not have any
383 known extant descendants, this strain might have been maintained in a now extinct reservoir
384 after its emergence in Central Asia. The first outbreak is reported in Pelusium, Egypt; an
385 introduction from either Africa or Asia was presumed, given the sudden and dramatic onset of
386 the pandemic. Previous assumptions of an African origin were mainly based on a single deeply
387 diverging 0.PE strain 'Angola' (45) and the reports of the Byzantine historian Evagrius
388 Scholasticus, who wrote in his *Ecclesiastical History* that the plague began in "Ethiopia".
389 However, there are legitimate doubts about the characterization of the 'Angola' genome as a
390 genuine African strain (24, 46) and the account of Evagrius has been assessed critically with
391 historical and philological methods (47, 48). For an Asian origin, the sea route via the Red Sea
392 and the Indian Ocean is a plausible scenario since India was well connected by marine traffic
393 with the early Byzantine Empire (39). A suggested alternative scenario would require overland
394 transport from the Eurasian Steppe via Iran to the Red Sea that is, so far, not supported by any

395 data (49). In conclusion, we interpret the current data as insufficient to resolve the origin of the
396 Justinianic Plague as a human epidemic.
397
398 Archaeological and historical context
399 Here, we present the first genomic evidence for the First Pandemic reaching the British Isles in
400 the 6th century. This genome was recovered from a burial on the site of Edix Hill, close to
401 Cambridge (Roman *Duroliponte*) and near a Roman road running north from London
402 (*Londinium*) toward Lincoln (*Lindum Colonia*) via Braughing, all of which were Roman
403 settlements. Based on archaeological dating in combination with its rather basal position within
404 the clade, this genome is likely related to the very first occurrence of plague in Britain suggested
405 for 544 (see SI). The close proximity to the trade center of ancient London supports that the
406 strain was introduced by sea communications, e.g., with Brittany, following the outbreak in
407 central Gaul in 543 (Fig. 1, (50)). Interestingly, the genome was recovered from a single burial,
408 underlining that in small settlements, plague-induced mortality crises need not always involve
409 a radical change in mortuary practice towards multiple or mass burials. The fact that three of
410 the five additional Edix Hill individuals that appeared positive for plague in the MALT
411 screening were buried in two simultaneous double burials nevertheless suggests that multiple
412 burials in normal cemeteries are indeed a good indicator for epidemic events (17).
413 In addition, we were able to reconstruct two genomes from the Mediterranean basin, where the
414 historical records are more explicit about the presence of plague during the First Pandemic.
415 Regarding Spain, the radiocarbon dating of the *Y. pestis*-positive individual from Valencia
416 (432-610) would include the first outbreak reported for Spain in 543 in a contemporary
417 chronicle (see SI). The three unique SNPs identified in this genome, which separate it from the
418 identified polytomy may suggest its association with a later outbreak. Intriguingly, a canon of
419 a church council held in 546 in Valencia dealing with burial practices for bishops in case of
420 sudden death was recently connected with plague by philological and contextual analysis (51).
421 Later outbreaks within the relevant timeframe are documented in Spain's Visigothic kingdom,
422 e.g., in 584 and 588 by Gregory of Tours, and by a funerary inscription dated 609 at Cortijo de
423 Chinales 35 km northeast of Malaga (31).
424 The second Mediterranean genome from Lunel-Viel in southern France likely represents
425 another outbreak, since it forms an independent strain which derives from the same polytomy
426 as the Spanish and German genomes. The radiocarbon dates for the inhumations give an interval
427 of at least 567-618 (youngest lower and oldest upper boundary, Table S9) overlapping with
428 documented outbreaks in 571, 582, 588, 590 and possibly 599–600 in southern France (see

429 Fig.1A and C). Lunel-Viel's broader vicinity includes Arles, the seaport city of Marseille and
430 the Rhône mouth. Close to important coastal and fluvial shipping routes as well as Roman roads
431 that facilitated the spread of plague (39), Lunel-Viel could have been affected by all five
432 recorded epidemics. The initial outbreak, documented for Arles ca. 543, falls outside of some
433 of the radiocarbon intervals. This is consistent with the phylogenetic analysis that shows a
434 higher accumulation of SNPs in this genome. Thus the victims at Lunel-Viel can most likely
435 be attributed to one of the subsequent outbreaks.
436 Moreover, within Bavaria, Germany we detected *Y. pestis* in four sites (Dittenheim, Petting,
437 Unterthürheim, Waging) in addition to the two previously published sites (Altenerding (6),
438 Aschheim (7)). Two of the reconstructed genomes were identical to Altenerding and Aschheim,
439 suggesting that these four can be attributed to the same epidemic event. Some of the radiocarbon
440 intervals of these sites fall even slightly before the onset of the First Pandemic, suggesting an
441 association of this outbreak directly with the Justinianic Plague. Regarding the Edix Hill
442 genome, this would in turn necessitate the accumulation of one (Edix Hill) to two (Altenerding
443 cluster) SNPs within the onset of the First Pandemic between 541–544.
444 Intriguingly, the genome of Petting, Bavaria falls not with the Altenerding cluster but in a
445 distinct phylogenetic position. Since this strain also branches off from the common node with
446 the other Bavarian strain as well as the French and Spanish genomes, this shows the presence
447 of two independent strains and, therefore, presumably two independent epidemic events in early
448 medieval Bavaria. This is striking, since we lack any historical records of the First Pandemic
449 affecting southern Germany. The radiocarbon dates for the Bavarian sites are inconclusive and
450 do not allow for a clear temporal separation of the two events. The higher number of
451 accumulated SNPs nevertheless suggests a younger date for the epidemic represented by
452 Petting. Further phylogeographic analyses are presented in the SI.
453
454 Deletion analysis
455 The analysis of virulence factors revealed a deletion of a ca. 45 kb region in the most derived
456 and putatively most recent genome thus far identified for the First Pandemic. This deletion
457 contained two previously described virulence factors involved in host cell invasion and
458 intracellular growth (*mgtB* and *mgtC*). Intriguingly, a similar deletion covering the same
459 genomic region was detected in the most derived available Second Pandemic genomes from
460 London New Churchyard (1560-1635) and Marseille (1720-1722). Genome decay by deletion
461 or pseudogenization is a well-known trait of *Y. pestis* and has contributed to its distinct ecology
462 and pathogenicity (53). Both deletions from the First and Second Pandemic are observed in

463 genomes recovered from human victims. Therefore, it is reasonable to assume that the deletion
464 may not have reduced the bacterium's virulence. Moreover, it affects a number of cell surface
465 proteins – remnants of the motile lifestyle of non-pestis *Yersiniae* (54) – so the deletion might
466 have even facilitated immune evasion.
467 Because none of the investigated modern strains harboured this specific deletion, this possible
468 case of convergent evolution might be an adaptation to a distinct ecological niche in Europe or
469 the Mediterranean basin since an ancient local reservoir is the most parsimonious hypothesis
470 for both historical pandemics (12, 42).
471
472 Concluding remarks
473 Our study succeeds in offering new insights into the first historically documented plague
474 pandemic, complementing the limited power of conventional historical, archaeological or
475 palaeoepidemiological research. Moreover, we show the potential of palaeogenomic research
476 for understanding historical and modern pandemics by a comparative approach on genomic
477 features throughout millennia. Facing the problem of low coverage genomic data with a high
478 environmental background – a notorious challenge in ancient DNA research –, we have
479 developed new approaches to facilitate the authentication and confident phylogenetic
480 placement of such genomes.
481 In the future, more extensive sampling of putative plague burials will help to draw a more
482 comprehensive picture of the onset and persistence of the First Pandemic, especially on sites in
483 the eastern Mediterranean basin, where not only is the Justinianic Plague reported to have
484 started, but where also the 8th century outbreaks also clustered. This will contribute to the
485 comparative exploration of *Y. pestis'* microevolution and human impact in the course of past
486 and present pandemics.
487
488 **Material and Methods**
489 Sites and Samples
490 The acquisition and selection of samples followed two approaches: Focussing on Bavaria, we
491 concentrated on one region, where the two previously reconstructed *Y. pestis* genomes
492 attributed to the Justinianic Plague had been found (6, 7). Additionally, given the absence of
493 robust genetic evidence from the Mediterranean basin, which historical records depict as the
494 epicenter of the pandemic, and the controversial presence of plague on the British Isles during
495 the Justinianic Plague, we extended our screening to three sites with multiple burials in a

496 broader geographical scope on the Mediterranean coast in France and Spain and inland Britain.
497 Table 1 gives an overview of all tested sites.
498 For the first focus, we collected samples of 79 individuals from 46 burials belonging to 16
499 archaeological sites in Bavaria, Germany and one site in Austria (See Fig. 1B). The dating of
500 the burials spans the 4th to 10th century, including also burials dating before (8 individuals on 3
501 sites) and after (17 individuals on 5 sites) the Justinianic Plague (541–544). Since mass graves
502 that could be indicative of an epidemic are unsurprisingly rare for the small settlements
503 associated with early medieval cemeteries in Bavaria, we followed the approach of the previous
504 successful studies (6, 7, 17): we systematically screened multiple burials, i.e., where two or
505 more individuals were found in a context indicating a simultaneous burial, such as a common
506 grave pit and articulated remains on the same level. Single burials were sporadically tested, if
507 the context suggested a close connection to a multiple burial. Burials with indications of a
508 violent death of the interred were excluded, since a coincidental acute infection with *Y. pestis*
509 seems unlikely.
510 Within the Mediterranean basin, we tested inhumations from Valencia, Spain and Lunel-Viel
511 (Hérault), France. A contemporary chronicler records that bubonic infection devastated Spain
512 during the first phase of the Justinianic Plague (541–544), and new interpretation of a
513 contemporary record argues that it reached Valencia presumably before 546 (51). Further
514 textual references, including an epitaph dating to 609, document later Iberian outbreaks (52)
515 (See Fig. 1). In the Visigothic levels of the *Plaça de l'Almoina* in Valencia, several collective
516 burials in an intramural cemetery were interpreted as possible plague burials (52, 55).
517 The historical evidence for the First Pandemic in France is more substantial, mainly based on
518 the contemporary bishop and historian Gregory of Tours (56). He reports several plague
519 outbreaks spanning from ca. 543 in the province of Arles through 588 in Marseille to 590 in
520 Avignon (See Fig. 1C). The site of Lunel-Viel, around 30 km southwest of the ancient Roman
521 city of Nîmes and less than 100 km from the mentioned cities, revealed eight exceptional
522 inhumations in demolition trenches unrelated to the nearby contemporary cemeteries (57).
523 For the British Isles, the historical evidence for plague presence in the 6th century is
524 controversial. Unlike later outbreaks in 7th-century Britain that are reported, e.g., by Bede, the
525 identification of a disease occurring in the 540s and called *blefed* in Irish chronicles as bubonic
526 plague is mainly based on coincidence with the Continental European outbreaks and thus
527 uncertain. The same is true for Britain, where a great mortality (*mortalitas magna*) is reported
528 in the *Annales Cambriae* (see SI). For this study, we screened 22 individuals from the Anglo-

529 Saxon cemetery of Edix Hill, well-connected to the Roman road network and Roman towns,
530 and characterized by a number of multiple burials.
531 For the screening, one tooth (preferentially molar) per individual was used for every individual
532 of a multiple burial, if available. For a number of individuals, additional teeth were tested, if
533 sequencing the first gave a weak positive. For the collective burials from Valencia, a clear
534 attribution to individuals was not assured, so multiple teeth were sampled per feature number,
535 if possible. Detailed site descriptions can be found in the SI, including a table with all screened
536 samples (Table S1).
537
538 Sample Preparation, DNA Extraction, qPCR and MALT Screening
539 The sample preparation and DNA extraction for samples from Austria, France, Germany and
540 Spain was done in the ancient DNA facilities of the ArchaeoBioCenter of the University of
541 Munich, Germany, and the Max Planck Institute for the Science of Human History in Jena,
542 Germany.
543 All teeth were cut along the cementoenamel junction and the surface of the pulp chamber was
544 drilled out with a dental drill from the crown and in some cases the root, aiming for 30 to 50 mg
545 of bone powder. DNA was extracted based on the protocol published in (58): The powder was
546 suspended in 1 ml of extraction buffer (0.45 M EDTA pH 8.0, and 0.25 mg/ml Proteinase K in
547 UV-irradiated HPLC water) and incubated at 37 °C overnight on a rotor. After centrifugation,
548 the supernatant was mixed with 10 ml binding buffer (5 M guanidinium hydrochlorid, 40 %
549 isopropanol and 90 mM sodium acetate) to bind the DNA on a silica column of either the
550 MinElute purification kit (Qiagen) or the High Pure Viral Nucleic Acid Kit (Roche). After
551 purification with washing buffer of the respective kit, the DNA was eluted in 100 µl TET buffer
552 (10 mM Tris-HCl, 1 mM EDTA pH 8.0, 0.05 % Tween20).
553 All extracts were tested with the qPCR assay targeting a 52 bp region on the pPCP1 plasmid
554 published in (18) with minor changes (0.75 mg/ml BSA, additional 5 % DMSO, EVA green
555 instead of SYBR green, annealing for 30 s, elongation for 30 s, gradient from 60 to 90 °C). All
556 samples showing an amplification with a melting peak between 74 and 80 °C were captured for
557 *Y. pestis*.
558 The samples of Edix Hill, UK were prepared in the ancient DNA facility of the University of
559 Cambridge, Department of Archaeology. Root portions of teeth were removed with a sterile
560 drill wheel. These root portions were briefly brushed with 5 % w/v NaOCl using a UV-
561 irradiated toothbrush that was soaked in 5 % w/v NaOCl for at least 1 min between samples.
562 Roots were then soaked in 6 % w/v bleach for 5 min. Samples were rinsed twice with ddH_2O

563 and soaked in 70 % Ethanol for 2 min, transferred to a clean paper towel on a rack inside the
564 glove box, UV irradiated for 50 min on each side, and then allowed to dry. They were weighed
565 and transferred to clean, UV-irradiated 5 ml or 15 ml tubes for chemical extraction. Per 100 mg
566 of each sample, 2 ml of EDTA Buffer (0.5 M pH 8.0) and 50 µl of Proteinase K (10 mg/ml)
567 were added. Tubes were rocked in an incubator for 72 h at room temperature. Extracts were
568 concentrated to 250 µl using Amplicon Ultra-15 concentrators with a 30 kDa filter. Samples
569 were purified according to manufacturer's instructions using the Minelute™ PCR Purification
570 Kit with the only change that samples were incubated with 50 µl Elution Buffer at 37 °C for
571 10 min prior to elution.
572
573 Library Preparation
574 Of putatively positive extracts in the qPCR screening, 50 µl were turned into Illumina double-
575 stranded DNA libraries with initial USER treatment (New England Biolabs) to remove post-
576 mortem damage in form of deaminated Cytosines by consecutive incubation with uracil-DNA-
577 glycosylase (UDG) and endonuclease VIII(23). To enhance the efficiency of subsequent double
578 indexing, UDG-treated libraries were quantified by qPCR using IS7/IS8 primer and split for a
579 maximum of 2×10^{10} DNA molecules. Every library was indexed with a unique index
580 combination in a 10-cycle amplification reaction using *Pfu Turbo Cx Hotstart DNA Polymerase*
581 (Agilent) (59, 60). The amplification products were purified using the MinElute DNA
582 purification kit (Qiagen) and eluted in TET (10 mM Tris-HCl, 1 mM EDTA pH 8.0, 0.05 %
583 Tween20). For the capture, the indexed libraries were amplified to 200-300 ng/µl using
584 *Herculase II Fusion DNA Polymerase* (Agilent) and purified a second time as described.
585 The non-UDG library preparation for all Edix Hill samples was conducted using a protocol
586 modified from the manufacturer's instructions included in the NEBNext® Library Preparation
587 Kit for 454 (E6070S, New England Biolabs, Ipswich, MA) as detailed in (61). DNA was not
588 fragmented and reactions were scaled to half volume, adaptors were made as described in (59)
589 and used in a final concentration of 2.5 µM each. DNA was purified on MinElute columns
590 (Qiagen, Germany). Libraries were amplified using the following PCR set up: 50 µl DNA
591 library, 1x PCR buffer, 2.5 mM $MgCl_2$, 1 mg/ml BSA, 0.2 µM in PE 1.0, 0.2 mM dNTP each,
592 0.1 U/µl HGS Taq Diamond, and 0.2 µM indexing primer. Cycling conditions were: 5' at
593 94 °C, followed by 18 cycles of 30 seconds each at 94 °C, 60 °C, and 68 °C, with a final
594 extension of 7 min at 72 °C. Amplified products were purified using MinElute columns and
595 eluted in 35 µl EB. Samples were quantified using Quant-iT™ PicoGreen® dsDNA kit (P7589,

596 Invitrogen™ Life Technologies) on the Synergy™ HT Multi-Mode Microplate Reader with
597 Gen5™ software.
598
599 In-Solution Capture
600 For the in-solution capture, a probe set was generated using a fragment size of 52 bp and a tiling
601 of 1 bp with the following genomes as templates: CO92 chromosome (NC_003143.1), CO92
602 plasmid pMT1 (NC_003134.1), CO92 plasmid pCD1 (NC_003131.1), KIM 10 chromosome
603 (NC_004088.1), Pestoides F chromosome (NC_009381.1) and *Y. pseudotuberculosis* IP 32953
604 chromosome (NC_006155.1). The capture was performed as previously described (62) on 96-
605 well plates with a maximum of two samples pooled per well and all blanks with unique index
606 combinations in one well.
607
608 Sequencing and Data Processing
609 All captured products were sequenced either on a Illumina NextSeq500 or HiSeq4000 platform
610 at the Max Planck Institute for the Science of Human History in Jena, Germany. Edix Hill
611 libraries were sequenced on Illumina NextSeq500 at the University of Cambridge Biochemistry
612 DNA Sequencing Facility and the FastQ files were processed on the Estonian Biocenter server.
613 De-multiplexed reads were processed with the EAGER pipeline (63) starting Illumina adapter
614 removal, sequencing quality filtering (minimum base quality of 20) and length filtering
615 (minimum length of 30 bp). Sequencing data of paired end and single end sequencing were
616 concatenated after adapter removal and merging. The same was done for samples from the same
617 individual (DIT004) and all data from Lunel-Viel (LVC) due to low genomic coverage. The
618 sequencing results are shown in Table S3.
619 All Edix Hill samples were screened using MALT (19) against a reference set including full
620 bacterial and viral genomes with 85 % identity, the strong positive sample EDI001.A was then
621 sequenced deeper to a coverage of 9.1-fold without enrichment.
622 After clipping of 3 bases on each end with fastx_trimmer of the FASTX toolkit
623 (https://github.com/agordon/fastx_toolkit) to remove the majority of damaged sites for the non-
624 UDG library of EDI001.A, the sample was processed along the UDG treated libraries. Mapping
625 against reference genomes of CO92 (chromosome NC_003143.1, plasmid pMT1
626 NC_003134.1, plasmid pCD1 NC_003131.1, plasmid pPCP1 NC_003132.1) was done with
627 BWA using stringent parameters (-n 0.1, -l 32). Reads with low mapping quality were removed
628 with Samtools (-q 37) and duplicates were removed with MarkDuplicates. For the plasmids, a
629 merged reference was used, consisting of the CO92 reference of pCD1 (NC_003131.1), pMT1

630 (NC_003134.1) and pPCP1 (NC_003132.1, with base pairs 3,000 to 4,200 masked (18)), to
631 avoid overestimation of coverage due to homologous regions. For the verification of positive
632 qPCR results, we normalized the number of reads mapping to each plasmid with reads mapping
633 to the chromosome and calculated the Mahalanobis distance for each sample to detect outliers.
634 Based on this, we excluded the samples PEI001.A and DIR002.A as false positives (Table S2).
635 The raw data of the Aschheim and Altenerding genomes were processed identically, however
636 considering only the A120 sample for Aschheim (6, 7).
637
638 SNP Calling and Evaluation
639 All genomes recovered from UDG-libraries with higher than 5-fold mean coverage including
640 the Altenerding genome were assessed in the SNP analysis. Additionally, the sample
641 WAG001.A was evaluated to explore its phylogenetic position, since it was the only positive
642 sample of the relevant site.
643 The UnifiedGenotyper within the Genome Analysis Toolkit was used for SNP calling and
644 creating VCF files for all genomes, using 'EMIT_ALL_SITES' to generate calls for all
645 positions in the reference genome. For the subsequent analyses, 233 previously published
646 modern *Y. pestis* genomes (Table S8), one genome from 2nd to 3rd century Tian-Shan mountains
647 (DA101 (26)) one genome representing the Black Death from London East Smithfield (8291-
648 11972-8124 (12)), and six Second Pandemic genomes (Ellwangen, Marseille L'Observance
649 OBS107, OBS110, OBS116, OBS124, OBS137 (11, 12)) were taken along together with *Y.*
650 *pseudotuberculosis* (IP32953) as an outgroup. Previously identified problematic regions (24,
651 38) as well as regions annotated as repeat regions, rRNAs, tRNAs and tmRNAs were excluded
652 for all following analyses. MultiVCFAnalyzer v0.85 (64) was used for generating a SNP table
653 with the following settings: Minimal coverage for base call of 3 with a minimum genotyping
654 quality of 30 for homozygous positions, minimum support of 90 % for calling the dominant
655 nucleotide in a 'heterozygous' position. All positions failing these criteria would be called 'N'
656 in the SNP table. For the SNP evaluation, all 'N' positions of unique SNPs within the First
657 Pandemic lineage were re-evaluated, replacing 'N' by '0' for not covered and lower case letters
658 for homozygous positions with max. 2-fold coverage. To test for possible mixed infections of
659 elevated contamination, all SNPs not passing the 90 % threshold were plotted (Fig. S1).
660 For the evaluation of unique and shared SNPs of First Pandemic genomes retrieved from non-
661 UDG libraries, we used the newly developed tool 'SNPEvaluation'
662 (https://github.com/andreasKroepelin/SNP_Evaluation) and a comparative mapping, using
663 BWA with high stringent (-n 0.1, -l 32) and low stringent (-n 0.01, -l 32) mapping parameters,

664 allowing for more mismatches in the latter. SNPs were called true positive when meeting the
665 following criteria within a 50 bp window: (A) the ratio of mean coverage of low stringent to
666 high stringent mapping is not higher than 1, (B) no 'heterozygous' positions, (C) no non-
667 covered positions (Table S5). SNP evaluation on the plasmids was done using the same criteria
668 after mapping to the individual references as described above. For the SNP effect analysis, the
669 remaining unique true SNPs were compared to the genome annotations of the CO92 *Y. pestis*
670 reference genome (Table S6).
671 Shared SNPs (Table S7) were evaluated with the same criteria with minor modifications: The
672 minimum threshold for calling a position was set to 1 read covering and SNPs were called true
673 positive, if the SNP passed the criteria in more than half of the genomes under examination.
674 The genome of EDI001.A from Edix Hill was only included in the presented SNP evaluation
675 for comparison, since it is derived from a non-UDG library and damaged sites interfere with
676 both the comparative mapping and the count of heterozygous positions.
677 The Aschheim genome was evaluated separately (Table S8) but with the same criteria. As
678 previously addressed (6), the enormously high number of false positive SNPs might not be
679 explained solely by contamination by soil bacteria or sequencing errors but additionally by PCR
680 or capture artefacts.
681
682 Phylogenetic Analyses
683 For the phylogenetic analyses we aimed for one high coverage genome per site to minimize
684 missing data in the SNP alignment, excluding the genome of UNT004.A after assuring no
685 conflicting positions with UNT003.A in the SNP evaluation. A maximum likelihood tree
686 (RAxML 8 (28) using the GTR substitution model, Fig. 2A, for full tree see Fig. S2) was
687 generated without exclusion of missing and ambiguous data (full SNP alignment), resulting in
688 a total number of 6496 SNPs. Robustness of all trees was tested by the bootstrap methods using
689 1000 pseudo-replicates.
690 A detailed tree of the First Pandemic lineage was drawn manually based on the performed SNP
691 evaluation, excluding all false positive SNPs (Fig. 2B).
692
693 Analysis of virulence factors and genome decay
694 The presence/absence analysis for genes was performed with BEDTools (65) by calculating the
695 percentage across each gene (3). Since gene duplications can affect the mapping quality, the
696 mapping quality filter of BWA was set to 0 (-q = 0) to generate a bam-file as input. For the
697 heatmap of virulence factors (Fig. 3), a collection of proven and putative virulence genes (29,

30) was evaluated. The more extensive analysis on genome decay was based on the annotation file for the reference genome CO92 (54) by extracting all regions annotated as 'gene'.
For the exact determination of the start and end positions of deletions, mapping with BWA_MEM was performed (66).

Radiocarbon Dating

At least one individual per burial was sampled for radiocarbon dating for all burials that tested positive for *Y. pestis*, assuming simultaneity of interment for the multiple burials. Samples were dated at the CEZ Archaeometry gGmbH, Mannheim, Germany. The raw radiocarbon dates were calibrated with IntCal13 (67) in OxCal v4.3.2 (68). All raw and calibrated dates are given in Table S9; Fig. S5 shows the respective probability distributions. Some of the intervals completely pre-date the onset of Justinianic Plague (541) which could be explained by a marine or freshwater reservoir effect (69, 70) or human bone collagen offset (71). In the absence of C/N isotope data and a well-established method for addressing the human bone collagen offset, we report calibrated dates without any correction.

Cartography

All maps were generated in ArcGIS 10.4.1 (ESRI) using the 'World Ocean Basemap' without references. The sources for all historical occurrences are given in the SI. The mapped regions in Fig. 1 are primarily based on the Digital Atlas of Roman and Medieval Civilizations (DARMC; https://darmc.harvard.edu) maps "Provinces AD303-324" for the western Europe and "Provinces ca. AD500" for eastern Europe, Middle East and Africa. The provinces in Fig. S6 are based on a georeferenced map by Rettner and Steidl (72), the Roman roads are combined from Rettner and Steidl and the DARMC map "Roman Roads". The main rivers in Figs. 1C and 3 were taken from Natural Earth (ne_10m_river_lake_centerlines, http://www.naturalearthdata.com), based on data provided by the European Commission, Joint Research Centre, Institute for Environment and Sustainability (JRC IES).

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Data availability

The raw sequencing data of the relevant plague positive samples will be available on the European Nucleotide Archive under project accession number PRJEB29991 upon publication.

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Fig. 1: Geographic extent of the First Pandemic and sampled sites. A: Map of historically documented occurrences of plague (regions shaded, cities depicted by circles, both with respective years of occurrence) between 541 and 650 in Europe and the Mediterranean basin. All sources are given in the SI. Sites with genomic evidence for *Y. pestis* are shown as pink (previously published) and yellow squares (presented here). B: Enlarged rectangular space of A (right) showing all sites in Germany and Austria that were included in this study. Sites tested negative are depicted in black upward-pointing triangles (burials dating before 541), squares (dating around 541–544) downward-pointing triangles (dating after 544). C: Enlarged inset of A (left) shows reported occurrences in France and main rivers.

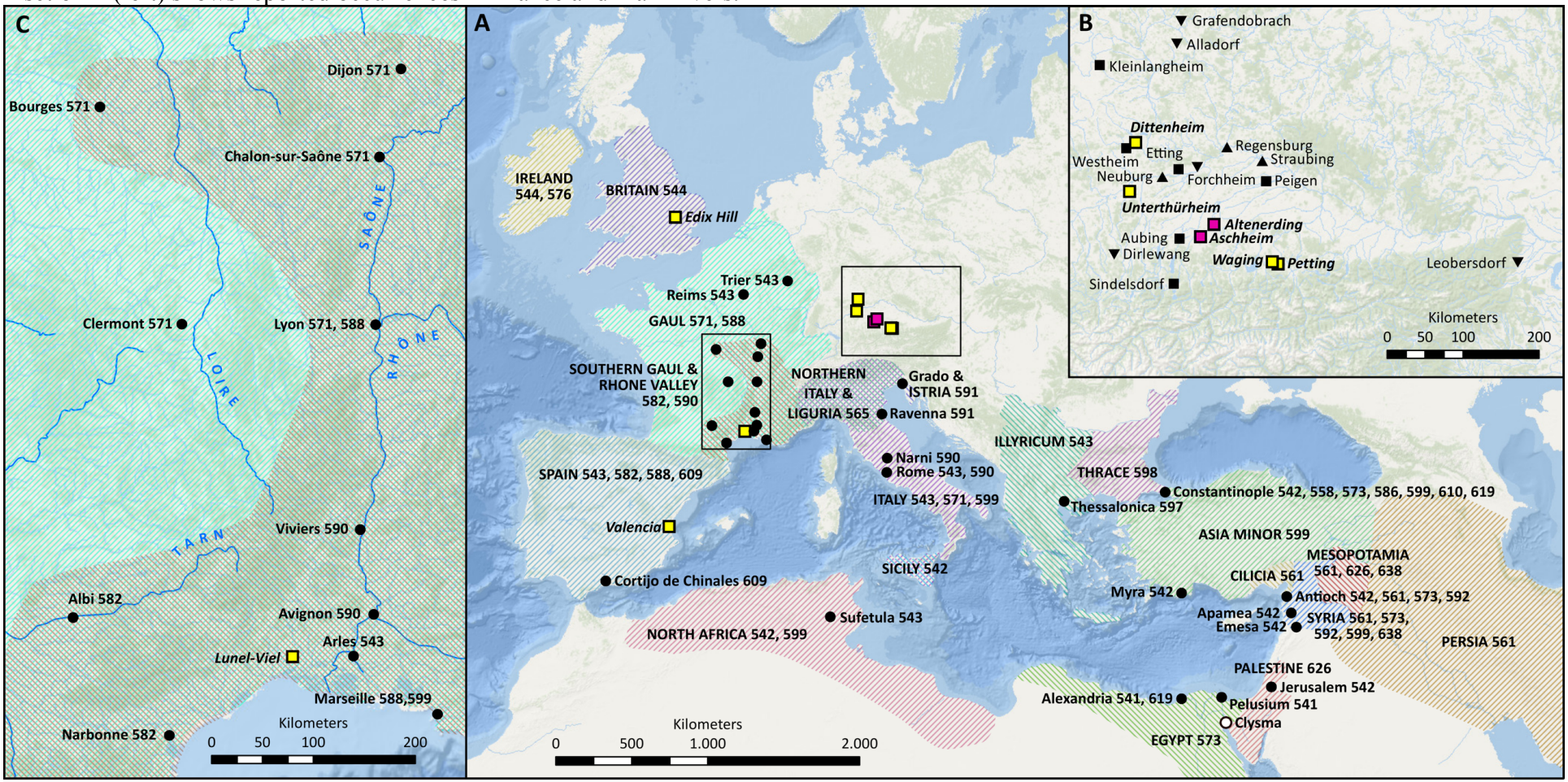

Fig. 2: Phylogenetic tree. A**:** Maximum Likelihood tree with full SNP alignment (6496 positions) of 233 modern *Y. pestis* and one *Y. pseudotuberculosis* genome, nine published (2nd to 3rd century Tian Shan in orange; Altenerding in blue; Second Pandemic in red) and six genomes presented here (green) with country given in brackets (DEU=Germany, ESP=Spain, FRA=France, GBR=Great Britain). Numbers and origins of modern genomes are given in brackets (CHN=China, COG=Congo, FSU=Former Soviet Union, IND=India, IRN=Iran, MDG=Madagascar, MMR=Myanmar, MNG=Mongolia, NPL=Nepal, UGA=Uganda). Numbers on nodes are showing bootstrap values (1000 iterations). B: Detailed, manually drawn tree of the First Pandemic genomes showing all remaining SNP positions after SNP evaluation (number of SNPs given in italics). C: Detailed tree of the 1.ORI clade within Branch 1, showing the polytomy.

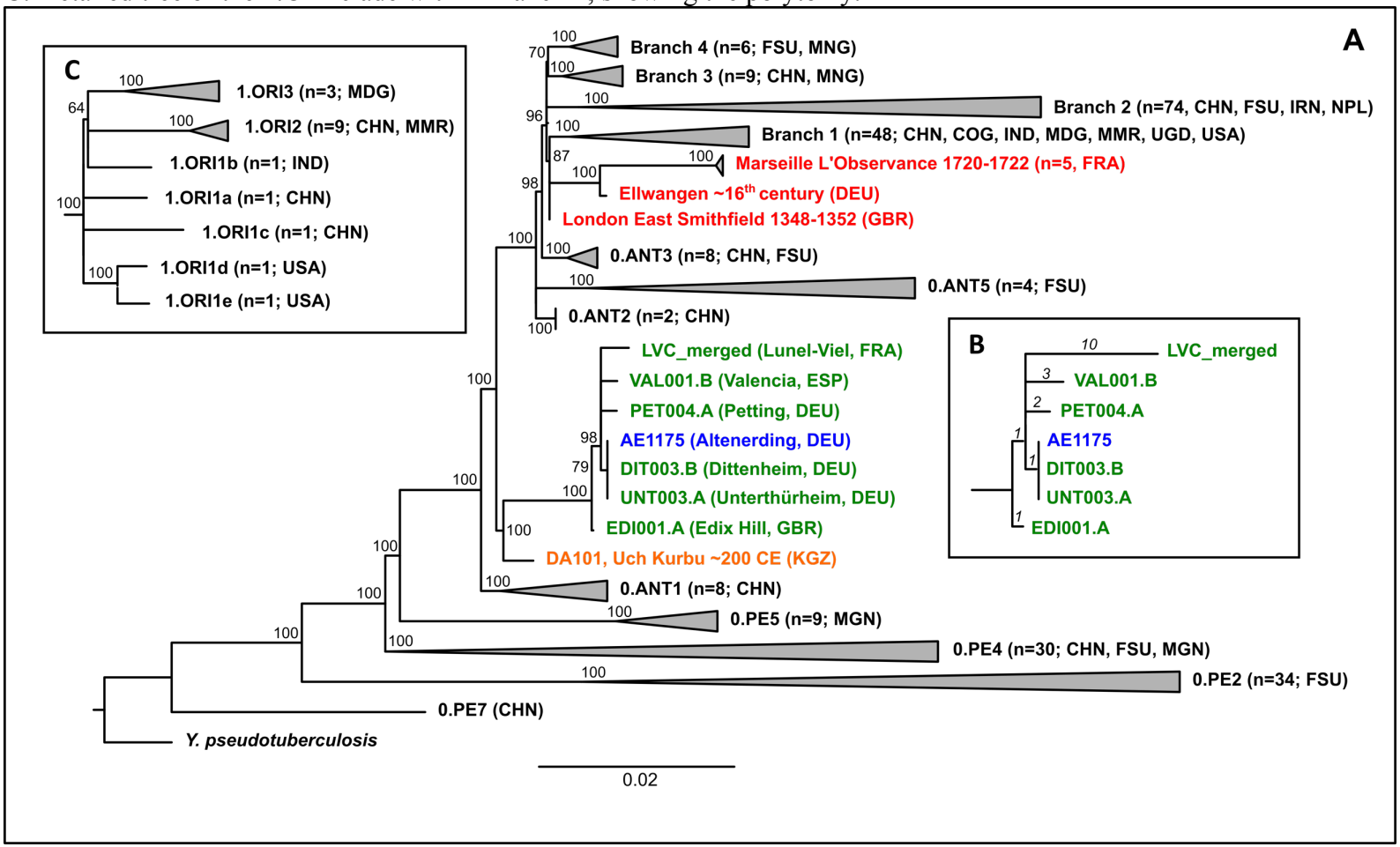

Fig. 3: Heatmap showing the percentage of coverage of chromosomal virulence factors. First Pandemic genomes (blue and green) and Second Pandemic genomes (red) are shown in combination with selected strains of main clades of modern *Y. pestis* diversity on Branch 0 as well as the reference genomes of *Y. pseudotuberculosis* and *Y. pestis* (CO92).

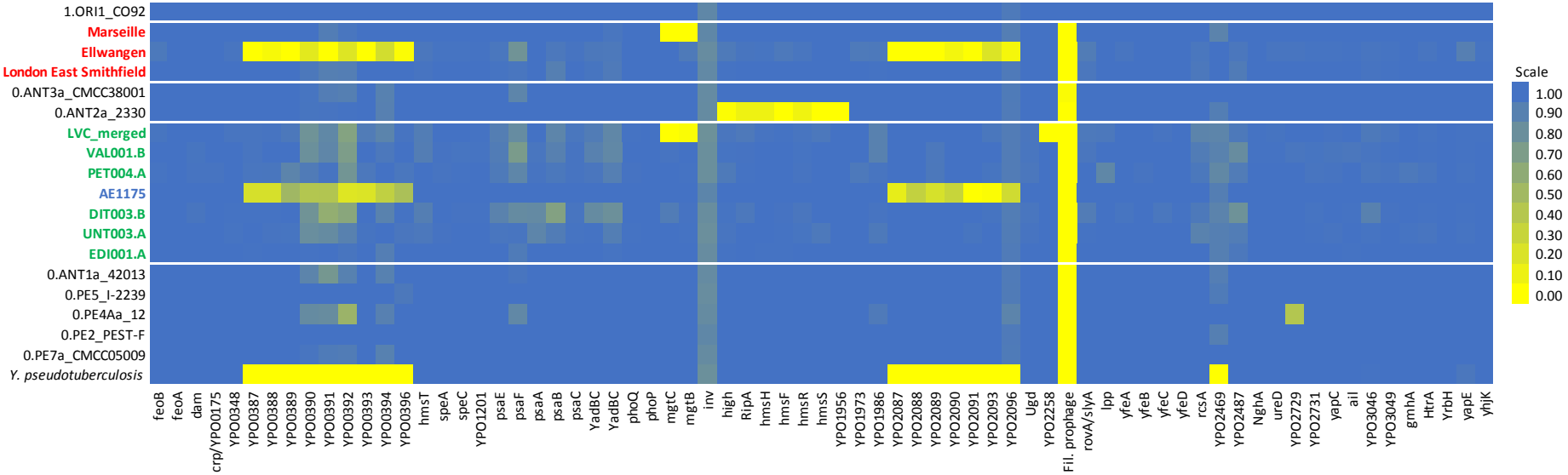

Table 1: List of all sites that were tested with country in brackets (AUS=Austria, DEU=Germany, ESP=Spain, FRA=France). The number of graves is counting multiple burials as single graves; cremations are counted separately. Multiple burials are listed as number of graves times number of individuals (5x2 translates to 5 double burials, 1x2+1 to one double burial associated with a single burial). Detailed site descriptions are given in the SI, a table of all screened samples in Table S1.

Site	Lab ID	Context	Graves in total	Multiple burials	Timeframe	Positive/total samples
Alladorf (DEU)	ALL	Separate burial area (*Hofgrablege*)	163	5x2	630-720	0/6
Dirlewang (DEU)	DIR	Early medieval cemetery	40	2x2	650-700	0/2
Dittenheim (DEU)	DIT	Early medieval cemetery	238, 10 crem.	4x2	550-700	3/9
Edix Hill	EDI	Early medieval cemetery	115	1x4, 9x2	500-650	1/22
Forchheim (DEU)	FOR	Special burial	1	1x4	650-700	0/3
Grafendobrach (DEU)	GRA	Settlement burials (*Hofgrablege*)	85	1x3, 1x2+1	850-930	0/3
Kleinlangheim (DEU)	KLH	Early medieval cemetery	244, 56 crem.	8x2, 1x3	470-720	0/5
Leobersdorf (AUS)	LEO	Early medieval cemetery	154	16x2, 4x3, 2x4, 1x5	640-800	0/3
Lunel-Viel Horts (FRA)	LVH	Early medieval cemetery	140	1x2	475-700	0/5
Lunel-Viel Quartier centrale (FRA)	LVC	Demolition trench inhumations	-	6+2 individuals in 2 trenches	400-600	6/16
München-Aubing (DEU)	AUB	Early medieval cemetery	896	4x2	400-700	0/8
Neuburg an der Donau (DEU)	NEU	Late Roman cemetery	130	3x2, 1x3	300-400	0/2
Peigen (DEU)	PEI	Early medieval cemetery	274	3x2	450-700	0/5
Petting (DEU)	PET	Early medieval cemetery	721	min. 1x3, 2x2, 1x2+1	530-730	3/7
Regensburg Fritz-Fend-Str. (DEU)	RFF	Late Roman cemetery	115, 48 crem.	2x2	350-450	0/3
Sindelsdorf (DEU)	SIN	Early medieval cemetery	331	3x2, 1x3+1	500-720	0/5
Straubing Azlburg I/II (DEU)	SAZ	Late Roman cemetery	541, 1 crem.	2x2, 1x3	300-450	0/3
Unterthürheim (DEU)	UNT	Early medieval cemetery	256	14x2, 2x3, 1x4	525-680	5/7
Valencia, Plaça de Almoina (ESP)	VAL	Visigothic intramural cemetery	67	3x2, 3x3, 4x4, 2x5, 15x5+	500-700	1/36
Waging (DEU)	WAG	Early medieval cemetery	239	min. 2x2, 1x2+1	530-700	1/12
Westheim (DEU)	WES	Early medieval cemetery	228	5x2, 1x3	500-650	0/3