

1 **Transitioning between preparatory and precisely sequenced neuronal activity in production of a skilled**
2 **behavior**

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16 **ABSTRACT**

17 Precise neural sequences are associated with the production of well-learned skilled behaviors. Yet, how neural
18 sequences arise in the brain remains unclear. In songbirds, premotor projection neurons in the cortical song nucleus
19 HVC are necessary for producing learned song and exhibit precise sequential activity during singing. Using cell-type
20 specific calcium imaging we identify populations of HVC premotor neurons associated with the beginning and ending
21 of singing-related neural sequences. We discovered neurons that bookend singing-related sequences and neuronal
22 populations that transition from sparse preparatory activity prior to song to precise neural sequences during singing.
23 Recordings from downstream premotor neurons or the respiratory system suggest that pre-song activity may be
24 involved in motor preparation to sing. These findings reveal population mechanisms associated with moving from
25 non-vocal to vocal behavioral states and suggest that precise neural sequences begin and end as part of orchestrated
26 activity across functionally diverse populations of cortical premotor neurons.

27 INTRODUCTION

28 The sequential activation of neurons is implicated in a wide variety of behaviors, ranging from episodic memory
29 encoding and sensory processing to the voluntary production of skilled motor behaviors¹⁻¹⁰. Neural sequences
30 develop through experience and have been described in several brain areas, including the motor cortex,
31 hippocampus, cerebellum, and the basal ganglia^{3,7,11-20}. Although computational models provide important insights
32 into circuit architectures capable of sustaining sequenced activity^{9,10,20-24}, our understanding of sequence initiation
33 and termination is still limited.

34

35 The precise neural sequences associated with birdsong provide a useful biological model for examining this issue.
36 Premotor projection neurons in the cortical vocal region HVC (HVC_{RA} neurons, see legend Figure 1 for anatomical
37 abbreviations) exhibit precise sequential activity during song and current evidence suggests that this activity is
38 acutely necessary for song production^{1,25-28}. HVC_{RA} neurons are thought to be exclusively active during vocal
39 production, yet ~50% of recorded HVC_{RA} neurons do not exhibit any activity during singing^{1,6,27-29}, leaving the function
40 of much of this circuit unresolved. To examine the neural mechanisms associated with the initiation and termination
41 of singing we imaged from populations of HVC_{RA} neurons in freely singing birds.

42

43 Motor planning and preparation activity are associated with accurate production of volitional motor movements^{8,30}
44 but are poorly described in the context of precise neural sequences or in the production of song. Here we show that
45 ~50% of HVC_{RA} neurons are active during periods associated with preparation to sing and recovery from singing. One
46 population is only active immediately preceding and following song production, but not during either singing or non-
47 vocal behaviors. A second population of neurons exhibits ramping activity before and after singing and can also
48 participate in precise neural sequences during song performance. Recordings from downstream neurons in the
49 motor cortical nucleus RA reveal neural activity prior to song initiation and during song termination. The control of
50 respiratory timing is essential for song³¹, and our measurements of respiratory activity suggest that pre-singing
51 activity in HVC_{RA} neurons functions to coordinate changes in respiration necessary for song initiation. From these
52 findings, we argue that subpopulations in HVC encode the neural antecedents of song that drive recurrent pathways
53 through the brainstem to prepare the motor periphery for song production.

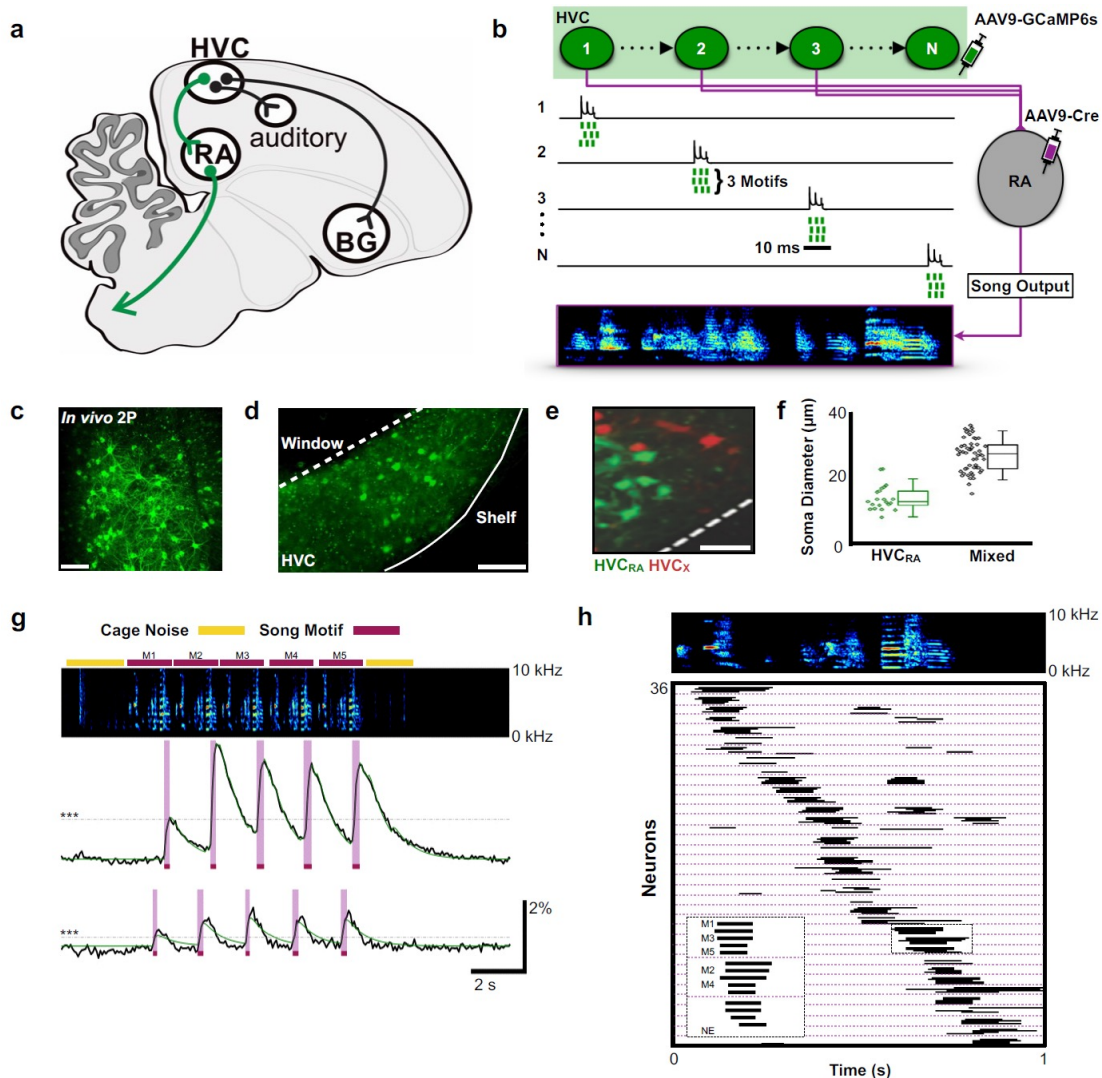
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55 RESULTS

56 Activity Sequences in Populations of HVC_{RA} Neurons

57 We used miniscope calcium imaging to examine the activity of populations of HVC_{RA} neurons in singing zebra
58 finches^{32,33}. A total of 223 HVC_{RA} neurons were imaged during production of 1,298 song syllables from 6 birds (30
59 song phrases across 18 imaging trials, **EDTable 1**). To selectively target HVC_{RA} neurons, we combined retrograde viral
60 expression of cre recombinase from injections into RA with viral expression of cre-dependent GCaMP6s from
61 injections into HVC (**Figure 1a-b and legend, see Methods**)³³. We confirmed the identity of imaged neurons using

62 conventional retrograde tracing, anatomical measures of neuronal features, and post-hoc histological verification.
 63 We found that this approach exclusively and uniformly labeled populations of HVC_{RA} neurons (**Figure 1c-f**).
 64
 65 To elicit courtship singing, we presented male birds with a female and imaged HVC_{RA} neurons during song
 66 performance (**EDVideo 1**). Given the slow decay times of calcium signals relative to singing behavior, we defined
 67 neuronal activity by the rise times of calcium events that were >3 standard deviations (SD) above baseline (**Figure 1g**,
 68 average rise time: 0.112 ± 0.047 s SD, **see Methods**). The activity of individual HVC_{RA} neurons was time-locked to a
 69 moment in the birds' song, with different neurons active at different moments in the song motif (**Figure 1g-h**; onset
 70 jitter = 55.0 ± 60.9 ms, populations imaged at 30 frames per second). We found that the sequential activity of HVC_{RA}
 71 neurons roughly coded for all moments in the song motif (**Figure 1h**). These results provide the first glimpse of
 72 activity across populations of identified HVC_{RA} neurons during singing and support the idea that sparse and precise
 73 neuronal sequences underlie the sequential structure of birdsong^{1,6,27,34,35}.
 74



75

76 **Figure 1. Population imaging of song-related HVC_{RA} sequences.** **a)** Diagram showing 3 distinct projection neuron targets of the
77 vocal premotor nucleus HVC. The projection neurons connecting HVC to the downstream motor nucleus RA (HVC_{RA} neurons) are
78 shown in green. Basal ganglia (BG), robust nucleus of the arcopallium (RA), nucleus HVC of the nidopallium (HVC). **b)** Schematic
79 showing HVC_{RA} neuron somata (green) and their outputs (magenta) to the downstream motor nucleus RA. AAV9-Flex-CAG-
80 GCaMP6s was injected into HVC (green syringe) and AAV9-CAG-Cre was injected into RA (magenta syringe) to selectively label
81 HVC_{RA} neurons. **c)** In vivo two-photon maximum density projection of retrogradely labeled HVC_{RA} neurons expressing GCaMP6s.
82 Scale bar = 100 μ m. **d)** A cross-section of HVC showing GCaMP6s-labeled HVC_{RA} neurons (green). The dashed line indicates where
83 the cranial window was made over HVC. Note the lack of labeling in the region directly ventral of HVC, known as the HVC shelf.
84 Scale bar = 100 μ m. **e)** A sagittal section of HVC showing HVC_{RA} neurons (green) and retrogradely labeled HVC_X neurons (red). The
85 dashed line indicates the border between HVC and HVC shelf. Scale bar = 50 μ m. **f)** Whisker and scatter plots of soma diameters
86 of GCaMP6s expressing cells show that retrogradely labeled HVC_{RA} neurons (green) have smaller diameters than neurons labelled
87 using only direct viral injections into HVC (mixed population neurons, black). Boxes depict 25th and 75th percentiles, whiskers
88 depict SD. HVC_{RA}: N=21 neurons; mean diameter = 14.0 \pm 3.8 μ m (SD); Mixed: N=52 neurons; mean=26.9 \pm 4.9 μ m; $t = -10.7$, $p =$
89 2.0×10^{-16} , two-sample t test. **g)** Example calcium traces from 2 HVC_{RA} neurons in a bird that sang 5 consecutive motifs. Shown
90 are the background-subtracted traces (black) and the inferred calcium traces (green). The magenta overlays indicate the rise
91 periods (intervals between onset and peak times) of the recorded calcium transients. The horizontal dashed line (gray) denotes 3
92 SD above baseline activity. The bars above the spectrogram denote cage noise associated with birds hopping or flapping their
93 wings (yellow) or production of song motifs (red). **h)** Motif-related activity of 36 HVC_{RA} neurons across 5 motifs. Each row shows
94 activity of a neuron from 1 trial. The dashed magenta lines separate different neurons. Empty spaces indicate trials wherein
95 neurons were not active (no event, NE). The inset shows a zoom-in of activity from 3 separate HVC_{RA} neurons.
96

97 **Peri-Song Activity in Populations of HVC_{RA} Neurons**

98 The sequence of syllables in zebra finch song is stereotyped and unfolds in less than a second. Like other rapid and
99 precise motor movements, song may benefit from motor planning and preparatory activity; however, HVC_{RA} neurons
100 have been hypothesized to exclusively represent temporal sequences for songs and calls, and to remain quiescent at
101 all other times^{1,5,6,28,29,34}. To scrutinize this prediction, we examined the activity of HVC_{RA} neurons prior to song onset,
102 in between song bouts, and immediately after singing (**Figure 2a-c, EDFig. 1**, song onset defined as the beginning of a
103 song-phrase, including introductory notes; see **Methods** for detailed definitions of phrases, song, and peri-song
104 behavior). For any given song phrase, we observed significant activity surrounding singing behavior, refuting the
105 hypothesis that HVC_{RA} neuronal activity is restricted to periods of active song production. 30 out of 30 song phrases
106 from 6 birds exhibited 'peri-song' activity, defined as the 5 second intervals before and after singing, plus silent gaps
107 within song phrases. Slightly fewer HVC_{RA} neurons were active during peri-song intervals (54.3% of neurons) than
108 during singing (59.9%), ($t = -1.1$, $p = 0.29$ two-sample t test; **Figure 2b**). Of the neurons that were active during peri-
109 song intervals, 40.6% were active prior to song onset, 17.8% were active following song offset, and 41.6% were active
110 before and after song (N=197 neurons, 6 birds, **EDFig. 2**). When we examined the timing of peri-song events, we
111 found no correlation in the timing of pre-song and post-song event onsets in neurons that were sparsely both before
112 and after song (**EDFig. 3**). Although neuronal populations displayed considerably more calcium events during song (t
113 $= 5.635$, $p = 5.65 \times 10^{-7}$ two-sample t , normalized song event rate = 24.09 events/s \pm 15.95 SD, normalized peri-song
114 event rate = 6.97 events/s \pm 3.72 SD), a substantial fraction of all recorded calcium events occurred within the 5
115 second intervals before or after song (997/2366 or 32.5% of all calcium events).

116

117 To better characterize these newly discovered activity profiles, we indexed the song and peri-song activity of all
118 HVC_{RA} neurons throughout a day of singing (phrase index: range -1 to +1, with neurons exclusively active outside of
119 singing scoring -1 and neurons active only during singing +1, **Figure 2c-d, see Methods**). We found that HVC_{RA} phrase
120 indices were not uniformly distributed (χ^2 (7, N = 223) = 46.3, $p = 7.6 \times 10^{-8}$, Chi-square goodness of fit test), with a
121 significant fraction (36%) falling at the extremes of this scale (**Figure 2d**), and that neurons with different phrase
122 indices were anatomically intermingled throughout HVC (**EDFig. 4**). Most neurons (64.1%) displayed sparse
123 heterogenous activity during peri-song periods and transitioned to temporally precise activity during singing
124 (referred to here as ‘**pan-song neurons**’; **EDFig. 5**). At the extremes of the phrase index scale (phrase indices -1 or
125 +1), we found that 18.4% of neurons were exclusively active during peri-song intervals (phrase index = -1, referred to
126 here as ‘**peri-song neurons**’), while 17.5% participated exclusively in neural sequences during singing (phrase index =
127 +1, referred to here as ‘**song neurons**’).

128

129 These results provide a starkly different view of HVC_{RA}’s potential contribution to behavior, revealing that a
130 substantial portion of this network can be active outside of the precise neuronal sequences associated with song.
131 One interpretation is that HVC_{RA} neurons may play a role in motor planning and in preparation to sing. That more
132 than half of all HVC_{RA} neurons can be active during peri-song intervals also raises the prospect that precise neural
133 sequences emerge as part of changing network dynamics across subpopulations of HVC_{RA} neurons. Lastly, these
134 results lend insight into why approximately half of HVC_{RA} neurons recorded using electrophysiological methods
135 appear to be inactive during song. Nonetheless, this result also raises questions as to why previous studies have not
136 identified peri-song activity. First, previous calcium imaging experiments have not restricted GCaMP expression to
137 HVC_{RA} neurons, but rather relied on either non-selective labeling of neuronal populations in HVC^{4,34,36,37} or have been
138 restricted to imaging small populations of other classes of HVC neurons³⁸. Second, electrophysiological studies of
139 identified HVC_{RA} neurons have been mostly confined to recording one neuron at a time and these experiments have
140 focused on understanding coding during song production, often using short (~500 ms) buffering windows triggered
141 by singing behavior. Therefore, sparse heterogenous activity occurring seconds before or after song could be simply
142 overlooked or could appear irrelevant unless viewed through the lens of population dynamics. Consistent with this
143 idea, previous multi-unit recordings in zebra finches and mockingbirds, which are dominated by activity of
144 interneurons or neurons projecting to the basal ganglia, have identified ‘anticipatory’ activity in HVC hundreds of
145 milliseconds prior to song onset, but the role of this activity and whether HVC_{RA} neurons are active prior to song
146 onset have not been examined³⁹⁻⁴¹.

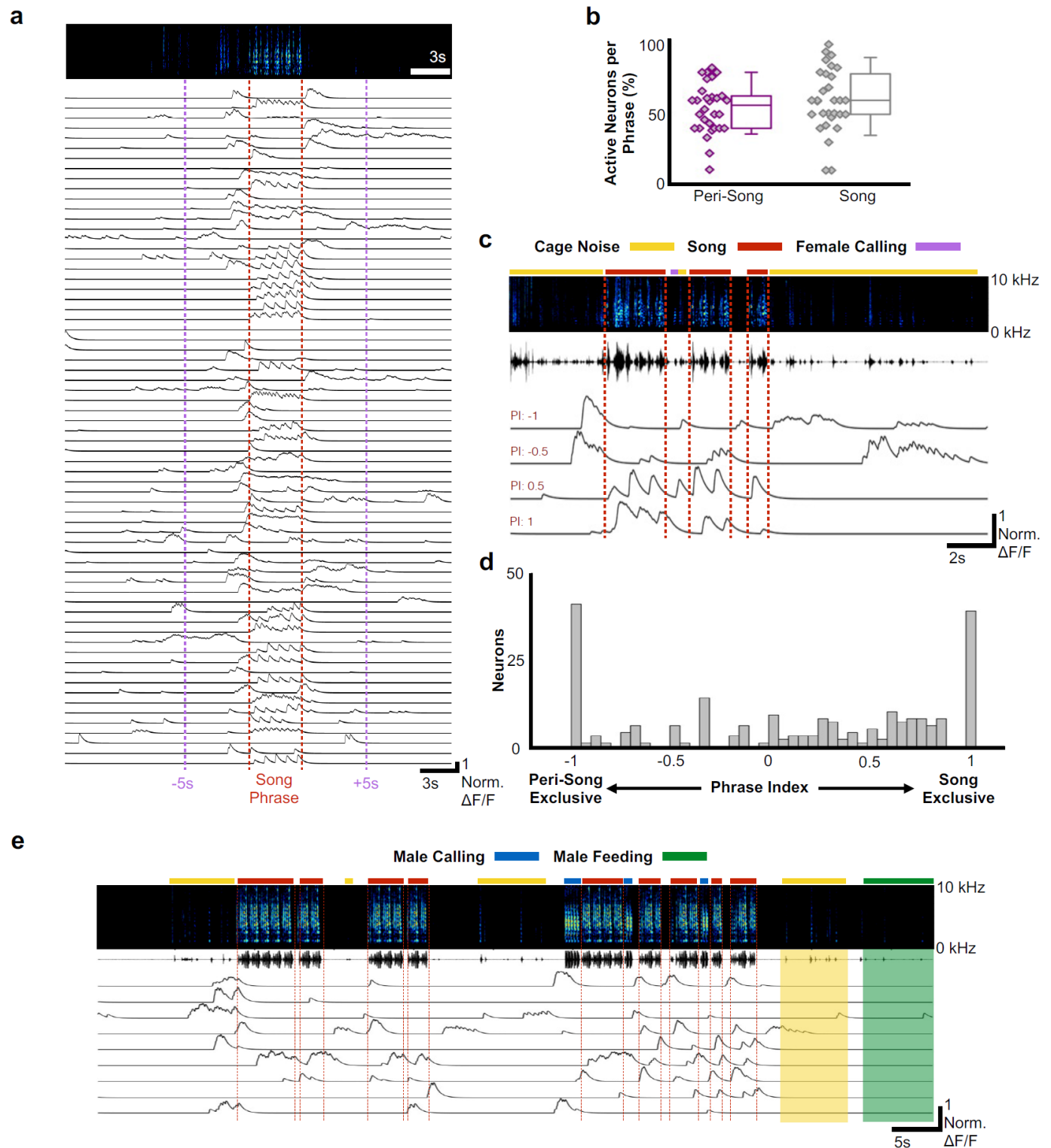
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148 Another possibility is that peri-song activity is unrelated to singing and merely reflects low levels of spontaneous
149 activity intrinsic to HVC_{RA} neurons. This was not the case, however, as HVC_{RA} neurons were largely inactive outside of
150 pan-song intervals and were significantly more active during the peri-song periods than baseline (baseline calculated

151 from periods ≥ 10 s removed from periods of singing or calling, $p = 8.4 \times 10^{-5}$, Chi-square = 18.78 Friedman test,
152 baseline = $12.9\% \pm 5.7$ SD of fluorescence values normalized to song, pre-song = $26.9\% \pm 14.7$, and post-song = 30.2%
153 ± 10.7). In addition, we examined the amplitudes of peri-song calcium events and found that they were larger than
154 events occurring during song ($t = 3.2769$, $p = 0.0012$, two-tailed t test, 279 fluorescence peaks measured from
155 neurons active during both peri-song and song, pan-song neurons with phrase indices between -0.18 to 0.18). We
156 also asked whether peri-song activity might relate to factors other than singing. We examined trials in which birds did
157 not sing to female birds but found no HVC_{RA} neuron that responded solely to presentation of the female or during
158 non-song related movements of the head, beak, or throat, such as during eating, grooming, and seed-shelling (**Figure**
159 **2e, EDFig. 6**). Indeed, our populations of HVC_{RA} neurons only became substantially active prior to singing or calling.
160 Moreover, we found peri-song activity in the moments before and after undirected song bouts produced in isolation
161 (**EDFig. 6 - 7**), suggesting that peri-song activity is unlikely to be solely associated with extraneous courtship behaviors
162 such as courtship dance.

163

164 We next examined the possibility that HVC_{RA} neurons play a role in motor planning or preparation as birds prepare to
165 sing. We found pre-song activity in 28/30 song phrases analyzed. In the two instances when we did not detect any
166 pre-song activity, less than 6 HVC_{RA} neurons were active within our imaging window during singing, indicating that
167 the lack of activity was likely the result of under sampling from the population. Electrophysiological recordings in
168 young zebra finches have identified HVC neurons that mark the onset of song bouts⁵, ‘bout neurons’ that burst
169 immediately prior to vocalizations. The vast majority of pre-song activity we describe occurs hundreds of milliseconds
170 to seconds prior to vocalizations, suggesting a role in planning or preparation to vocalize (96.2% of calcium events
171 occurred more than 100 ms prior to vocal onset and 65.6% occurred more than 1 second prior to vocal onset). Zebra
172 finches often sing a variable number of introductory notes prior to the first song motif of a song bout. Pre-song
173 activity (prior to introductory notes) could be related to the number of introductory notes to be sung, but we found
174 no correlation between pre-song event rates and the number of introductory notes (**EDFig. 8**). In 27/28 song phrases
175 we found increases in population activity greater than 3 SDs above baseline predicted song onset within the
176 following 4-5 seconds (2.44 s ± 1.0 s SD, 28 song phrases from 5 birds). Calcium activity reached two-thirds of the
177 maximum pre-song activity only prior to song onset or prior to short vocalizations (**EDFig. 9**). Together, these results
178 indicate that HVC_{RA} neuron activity is predictive of the voluntary production of courtship song and suggests a role for
179 this network in motor planning and in preparation to sing.



180

181 **Figure 2. Most HVC_{RA} neurons exhibit peri-song activity. a)** Normalized calcium transients from 67 simultaneously recorded
 182 HVC_{RA} neurons during production of a song phrase. The red dashed lines delimit 5 consecutive motifs. **b)** Percentages of active neurons during peri-song ($54.3 \pm 17.8\%$, SD, purple) and song ($59.9 \pm 22.5\%$, gray) are similar (30 phrases, $t = -1.1$, $p = 0.29$
 183 paired two-sample t test). Box plots show the median, 25th and 75th percentiles with whiskers showing ± 1.5 IQR. **c)** Sample
 184 neurons with diverse phrase indices ranging from -1 to 1 and their corresponding calcium traces during 6 motifs over 3 bouts. Dashed lines indicate bout onsets and offsets. Bars above spectrogram indicate the presence of cage noise related to hopping
 185 and wing flapping (yellow) or female calling (FC, blue). **d)** Histogram of phrase indices for all 223 neurons from 6 birds. **e)**

188 Undirected song from a different male showing periods of cage noise or hopping behavior (yellow) and feeding behavior (green).
189 Blue boxes indicate the male calling. Red dashed lines indicate onsets and offsets of song bouts.

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192 **Peri-Song and Pan-Song Neurons**

193 A substantial fraction of all imaged neurons (41/223 neurons) were active exclusively before or after singing (**Figure**
194 **2d**, neurons with a phrase index of -1). These peri-song neurons exhibited sparse heterogeneous activity before song
195 phrases and were occasionally active in the silent intervals between song motifs (**Figure 3a-c, and EDFig. 10**). Both
196 the number of active peri-song neurons and the density of calcium events increased 1-3 s prior to song onset, with
197 the event rate peaking 1.5 s prior to singing (**Figure 3b-c, i**) and then declining sharply in the last second before song
198 onset (**Figure 3i**). Most of the neurons we imaged, pan-song neurons (143/223 neurons), exhibited sparse,
199 heterogeneous activity before and/or after song and exhibited time-locked sequences during singing (**Figure 3d-f**).
200 Pan-song neurons exhibited substantial increases in their activity in the last ~2 s prior to song onset. Their activity
201 continued to increase as the activity of peri-song neurons began to ramp-off prior to song onset (**Figure 3g,i, and**
202 **EDFig. 11**). Differences in pre-song activity profiles between peri-song and pan-song neurons (Kolmogorov-Smirnov,
203 K-S test, $k = 0.26$, $p = 0.056$) may support temporally coordinated network transitions as birds prepare to sing,
204 suggesting that neural sequences for song could emerge as part of changing network dynamics in HVC.

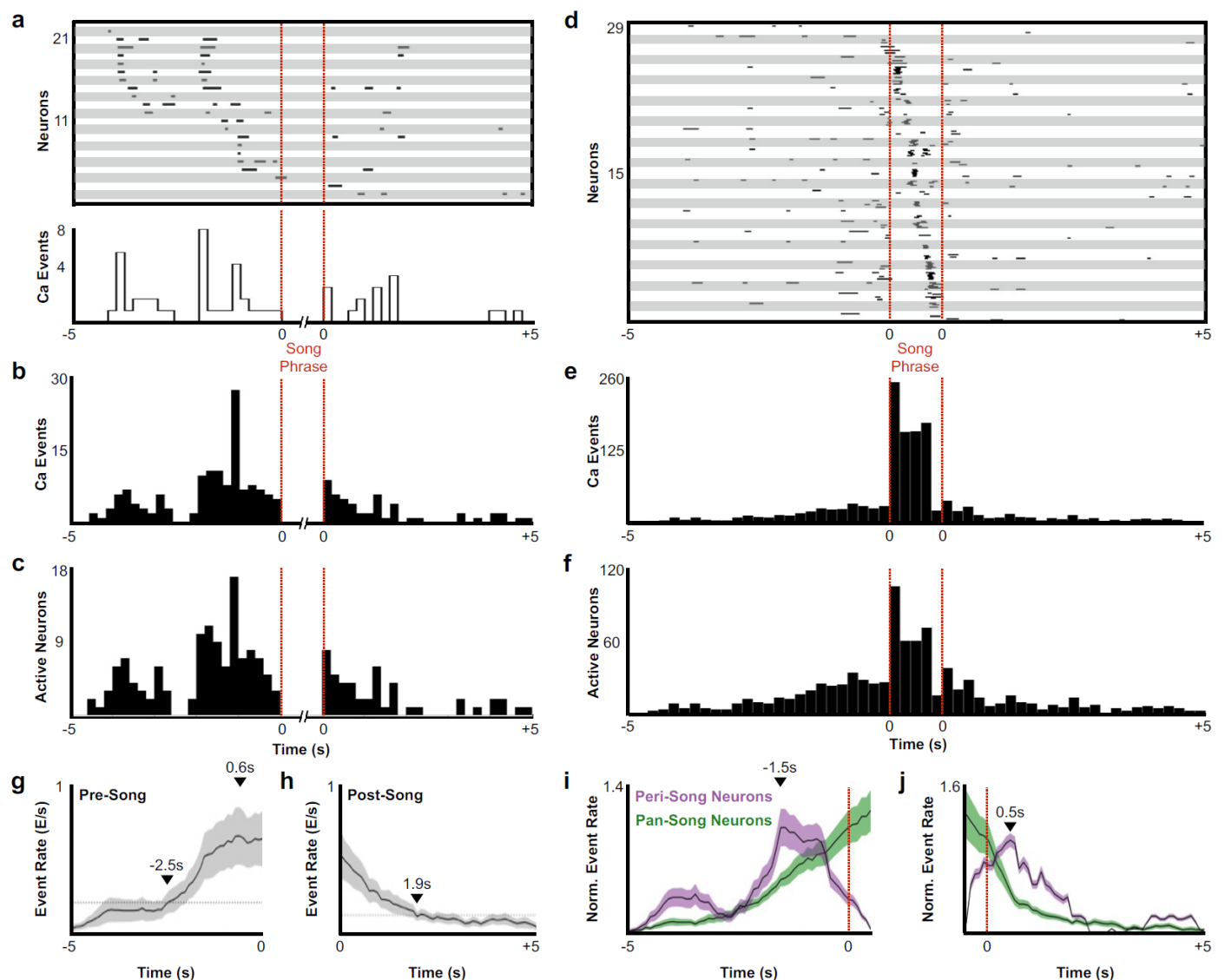
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206 During production of song motifs, pan-song neurons exhibited sequential bursts of activity that roughly coded for all
207 moments in the bird's song, similar to sequencing previously described in song neurons. We found that pan-song and
208 song neurons had a similar probability of being active with each motif (song neurons probability of at least one
209 calcium event per motif $P(\text{motif}) = 0.72 \pm 0.32$, pan-song neurons $P(\text{motif}) = 0.66 \pm 0.29$, K-S test, $p = 0.11$; **EDFig. 12**);
210 however, we also noted that the probability of being active was lower than in electrophysiological recordings^{1,28}. This
211 likely reflects limitations in event detection using single-photon calcium imaging. To better understand this, we
212 calculated signal to noise ratios (SNRs, signal defined as peak fluorescence of calcium events during song) between
213 pan-song neurons and song neurons during singing (SNR song neurons = 913.8 ± 405.4 (7 neurons), pan-song neurons
214 = 638.3 ± 248.2 SEM, $n=36$ neurons). We found no difference in SNR between these neurons (two-sample t test, $p =$
215 0.6 ; SNR calculated from 156 calcium events (28 from song neurons and 128 from pan-song neurons), suggesting that
216 although we are underestimating activity during singing, these limitations are unlikely to obscure differences
217 between pan-song and song neurons.

218

219 In addition to preceding song onset, neurons also marked the end of song phrases. Within 5 seconds after song
220 offset, peri-song neurons exhibited a sharp increase in activity followed by a gradual ramp-off (**Figure 3a-c, h, j, and**
221 **EDFig. 11**) whereas pan-song neurons only exhibited a ramp-off (**Figure 3d-f, h, j**). Although the distribution of post-
222 song activity differed between peri-song and pan-song neurons (K-S test, $k = 0.2692$, $p = 0.0373$), both populations

223 returned to baseline activity over similar timescales. The function of post-song activity is unclear but may provide a
 224 circuit mechanism for birds to rapidly re-engage in song performances given appropriate social feedback or context.
 225 Courtship singing is tightly coupled to social interaction with female birds and it is common for male birds to string
 226 two or more song phrases together during courtship song⁴² (see **EDFig. 1 & 6**). Post-song activity could also reflect
 227 moments when birds are unable to continue singing due to hyperventilation induced by the rapid respiratory
 228 patterns associated with song⁴³. To explore this idea, we examined whether the duration of song phrases was
 229 correlated with the number of active neurons in the post-song period, but found no correlation ($r^2 = 0.03$). Although
 230 the function of post-song activity is unclear, our results indicate that pre-song activity forecasts impending song and
 231 suggest a previously unappreciated role for the HVC_{RA} network in planning or preparing to sing.
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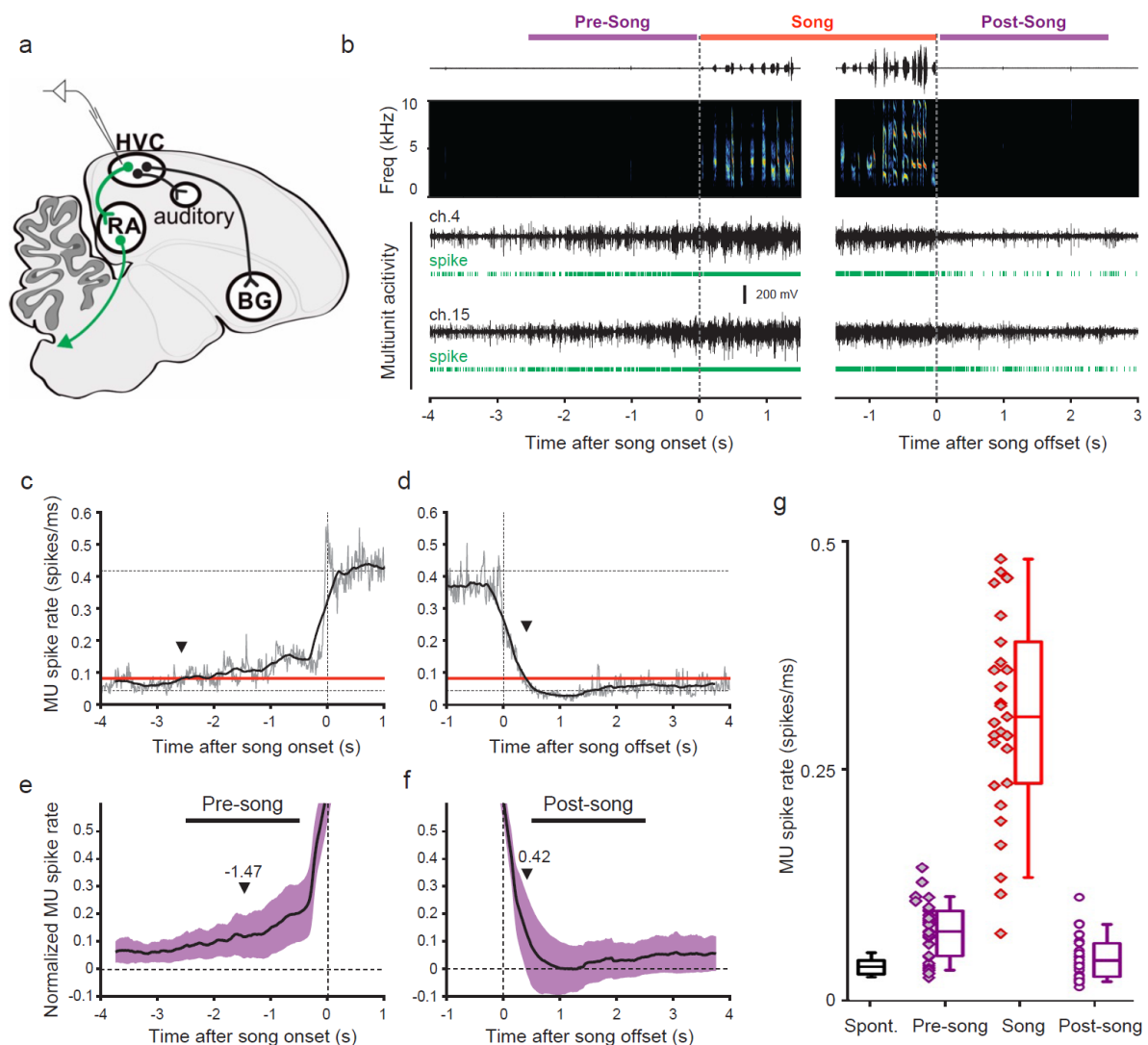
234 **Figure 3. Description of peri-song and pan-song neuron activity.** a) 21 peri-song neurons from one bird singing 3 bouts
 235 containing 6 motifs (1st bout: 3 motifs; 2nd bout: 2 motifs; 3rd bout: 1 motif, the dashed red lines indicate the onset and offset
 236 of the three bouts). Each row shows song-aligned calcium events (CEs N=54 CE; average rise time = 0.18 ± 0.09 s SD). The
 237 shaded horizontal bars separate different neurons. One CE is seen to overlap with the beginning of the song phrase. The onset

238 time for this event is 170 ms before song, but the rise time is slow and extends to 100 ms after song onset. Below the CE raster
239 plot is a peri-event histogram with the event rate in 200 ms bins shown for the trial above. **b)** Song-aligned CEs in peri-song
240 neurons 5 s before and after phrase onset (41 neurons, 190 CEs). The activity rate peaks ~1.2 s before phrase onset. **c)** The
241 number of active peri-song neurons in 200 ms bins before and after phrase onset (41 neurons, 169 CEs). **d)** Song-aligned activity
242 of pan-song neurons (same trial as shown in panel **a**, N=29 neurons, 253 CEs). **e)** Peri-event histogram of pan-song neurons (143
243 neurons, 1,333 CEs). **f)** The number of active pan-song neurons in 200 ms bins (143 neurons, 853 CEs). **g)** Pre-phrase event rate
244 for all pan-song neurons. The event rate was calculated by counting event onsets in 100 ms bins and then smoothed with a 1
245 second moving window. 28 trials are shown from 5 birds, the black line indicates the average event rate. The black triangles mark
246 the peak event rate occurring 0.6 s before song onset and 2.5 s when the event rate reaches 3 SD above the baseline event rate,
247 respectively. Baseline event rate was determined by measuring the average event rate from -5 to -4 seconds before song onset.
248 Shaded region indicates standard deviation. **h)** Post-phrase event rate for all neurons. 27 trials are shown from 5 birds. The black
249 triangles mark when the event rate reaches 3 SD above the baseline event rate. Baseline event rate was determined by
250 measuring the average event rate during -5 to -4 seconds before song onset. **i)** Pre-phrase event rate for peri-song and pan-song
251 neurons calculated as calcium events in moving 1 s windows. The black line indicates average event rate. The black triangle
252 indicates peak event rate occurring 1.5 s before phrase onset. **j)** Same as **i**, but post-phrase event rates for peri-song and pan-
253 song neurons. The black triangle indicates peak event rate occurring 0.5 s after phrase offset.
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256 **Common Preparatory Activity in Premotor Circuits Across Multiple Species**

257 To examine whether preparatory activity is a common circuit mechanism for the production of birdsong, we
258 recorded HVC and RA neural activity in another songbird species, Bengalese finches (*Lonchura striata domestica*).
259 Motor planning and preparation facilitate the accurate execution of fast and precise movements, which are common
260 to the songs of zebra finches and Bengalese finches, however, syllable sequences in Bengalese finches are less
261 stereotyped than those in zebra finches⁴⁴. Using multi-channel neural recordings in HVC, we identified robust
262 preparatory activity several hundreds of milliseconds prior to song onset (**Figure 4a-g**). The pre-song and song-
263 related multiunit spike rates were significantly above baseline (Wilcoxon signed-rank test after Bonferroni correction,
264 $n = 29$ MU sites. pre-song: $z = 4.62$, $p = 0.030$; song: $z = 4.70$, $p < 0.001$), whereas the post-song spike rates was not (z
265 $= 2.17$, $p = 0.089$). Pre-song activity increased above baseline -1.47 ± 1.05 s prior to song onset, a timescale that
266 closely matched the timing of peak calcium-event rates in peri-song neurons in zebra finches. The offset timing of
267 post-song activity was 0.42 ± 0.23 s. This indicates that preparatory activity in HVC is a common network motif
268 important for song generation and the onset of precise neural sequences.
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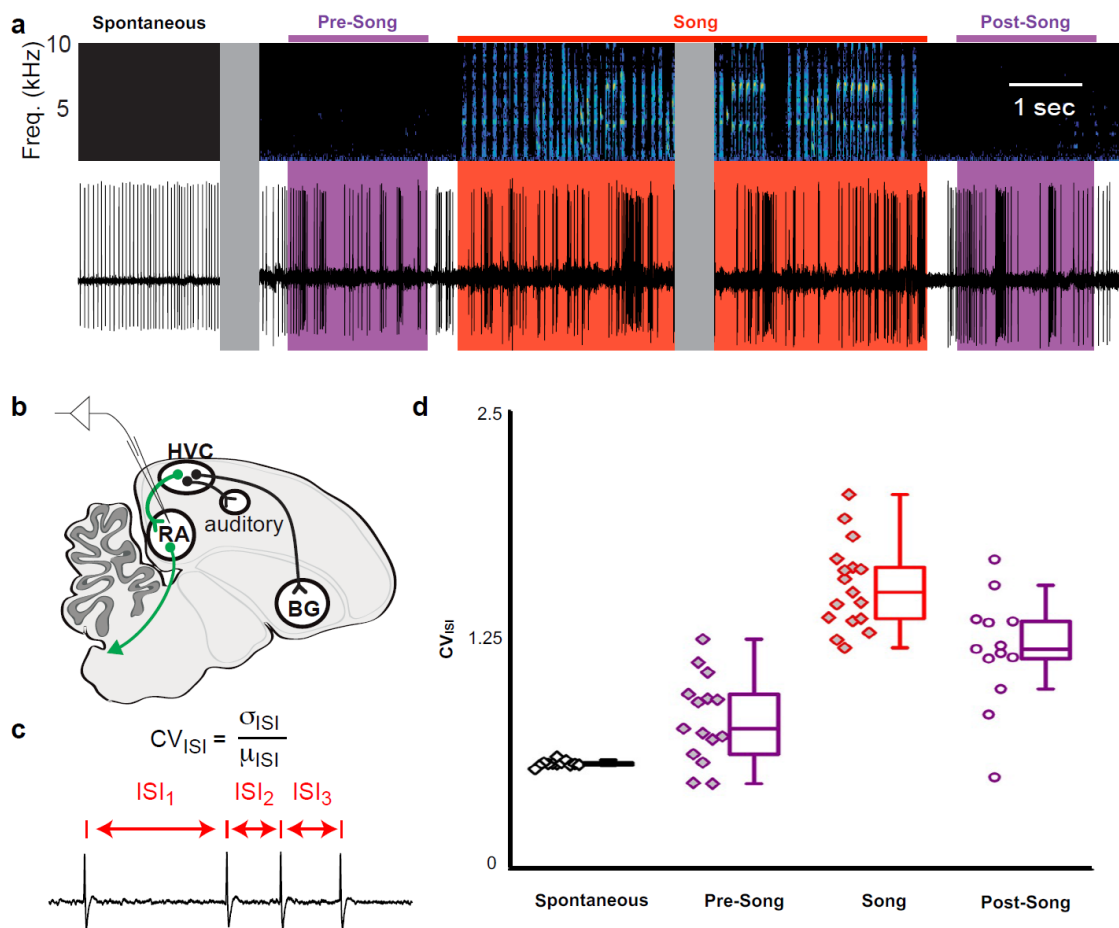
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Figure 4. Pre-song and post-song firing in HVC of Bengalese finches. **a)** Schematic of recording site. **b)** An example of song initiation and termination (dashed lines indicate phrase onset and offset, pre and post-song period marked in purple and song marked in red) and simultaneously recorded HVC multiunit activity on two electrodes (channels #4 and #15, bird p15o56). Green raster plots represent detected spikes on the two electrodes. **c,d)** Phrase onset (c) and offset (d) related average multiunit activity obtained from an example electrode channel (vertical dashed lines indicate phrase onset and offset, respectively). The spike rate was averaged across multiple song onsets or offsets and was first calculated in 10 ms bins (gray thin line) and then smoothed with a 500 ms window (bold line). Upper and lower horizontal dotted lines show mean spike rates during singing and baseline, respectively. Arrowheads indicate onset (c) and offset (d) timings of spike rate, as assessed by crossing of a pre-defined threshold (red line). **e,f)** Normalized multiunit activity related to phrase onset (e) and offset (f). Before averaging, the spike rate trace of each electrode channel was normalized such that 0 corresponds to the mean rate during baseline and 1.0 to the mean rate during singing (see Method). The bold line shows an average across all electrodes and birds ($n = 29$ channels). Purple area indicates \pm one SD. Arrowheads show mean onset (e) and offset (f) timing of pre-song and post-song activity, respectively. **g)** Mean multiunit spike rates during spontaneous (black), pre-song (purple with diamonds), song (red), and post-song (purple with circles) periods. Pre-song and post-song periods are indicated by the horizontal bars in panels e and f. Box plots show the median, 25th and 75th percentiles with whiskers showing ± 1.5 IQR.

HVC contains multiple cell types, including interneurons and at least three different classes of projection neurons^{45,46}. Multichannel recordings in HVC provide an important read-out of the network activity prior to song onset but alone are insufficient to assess whether this preparatory activity influences descending cortical pathways involved in song

290 motor control. Therefore, we recorded single unit electrophysiological activity from the downstream targets of HVC_{RA}
 291 neurons within the cortical premotor nucleus RA. Projection neurons in RA are tonically active at baseline (**Figure 5a**,
 292 “Spontaneous”) and exhibit precise bursts of activity during singing (**Figure 5a**, “Song”), a transition well captured by
 293 changes in the coefficient of variation of the inter-spike intervals (CV_{ISI} , **Figure 5c**). We measured changes in RA
 294 neuron activity in the period just prior to song initiation and just after the conclusion of each song bout (between 0.5
 295 and 2.5 s before/after the first/last song syllable). As expected, we did not find substantial differences in spike rates
 296 between non-singing and singing states (not shown) but found that the CV_{ISI} for RA neurons changed significantly
 297 during song, reaching higher values during pre-song, song, and post-song epochs as compared to spontaneous
 298 activity (**Figure 5d**, $p < 0.005$, two-sided K-S tests). This suggests that HVC_{RA} sequences associated with preparation to
 299 sing propagate to downstream premotor circuits prior to song onset and that HVC continues to influence descending
 300 motor pathways following song cessation.
 301



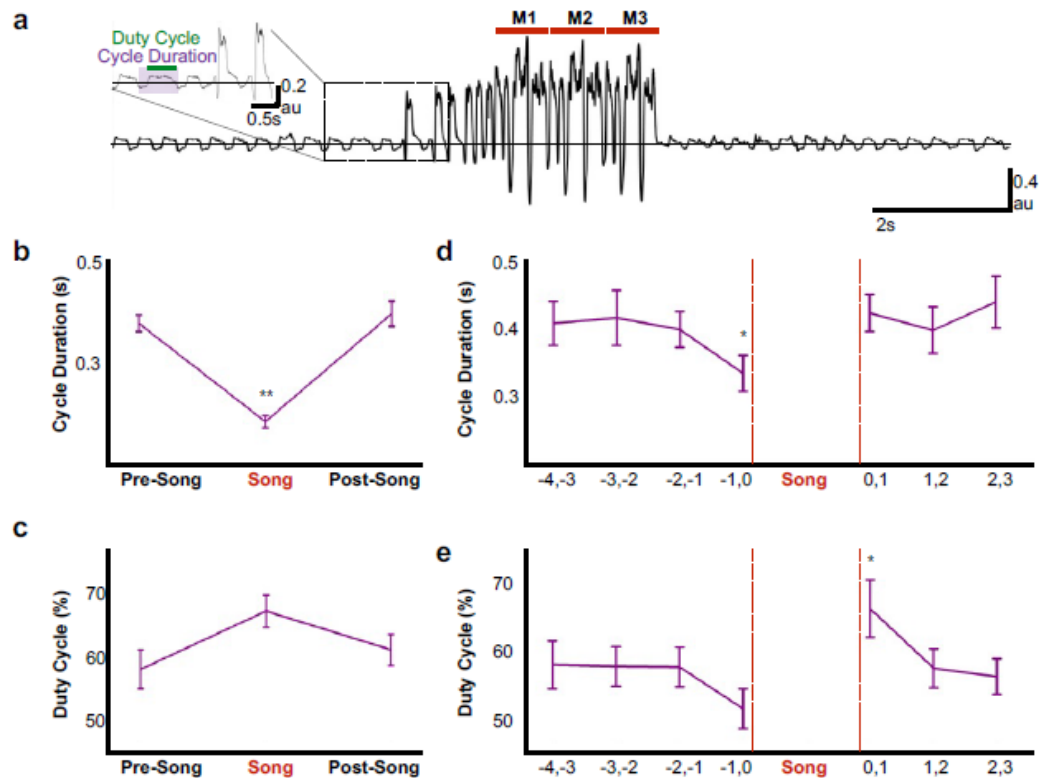
302
 303 **Figure 5. a**) Example extracellular recording from a single RA neuron. Colored areas highlight four epochs (spontaneous, pre-song
 304 (purple), song (red), and post-song (purple)) relative to the beginning and ending of a song phrase (see main text). Grey areas
 305 indicate discontinuities in time (pauses between “spontaneous” epoch and song initiation and within the middle portion of the
 306 song bout). **b**) Schematic of recording site. **c**) We quantified inter-spike-intervals (ISIs) and computed the coefficient of variation
 307 (CV) in each epoch. **d**) We found significantly higher ISI variability in the pre-song epoch (purple with diamonds) compared to
 308 spontaneous ($p < 0.005$, two-sided K-S test). Box plots show the median, 25th and 75th percentiles with whiskers showing ± 1.5
 309 IQR.

310

311 **Peripheral Preparation to Sing**

312 Pre-song activity could reflect motor planning (changes in network activity independent of changes in the motor
313 periphery) and/or motor preparation that functions to coordinate changes in the motor periphery as birds prepare to
314 sing. Song is a respiratory behavior that is primarily produced during expiration and silent intervals in the song
315 correspond to mini-breaths, which are rapid, deep inspirations^{31,47}. How birds plan to sing or prepare the respiratory
316 system to sing is poorly understood, but there is evidence that prior to song onset, oxygen consumption decreases
317 and respiratory rate increases⁴³. To explore the time course of changes in respiratory patterns in more detail, we
318 used air sac pressure recordings in singing zebra finches (**Figure 6a-c**). During singing, birds significantly accelerated
319 the respiratory rhythm and marginally shifted towards longer periods of expiration during each cycle (**Figure 6b**,
320 respiratory duration pre-song = 0.38 ± 0.04 s (SD), song = 0.18 ± 0.03 s, post-song = 0.39 ± 0.06 s: $F(2,10) = 46.63$, $p <$
321 0.001 ; duty cycle (% of time in expiration) pre-song = $58\% \pm 3$ (SEM), song = $57\% \pm 2.5$, post-song = $61\% \pm 2.4$: $F(2,10) =$
322 3.56 , $p = 0.07$). We found that significant changes in respiration also preceded song onset. Respiratory cycle duration
323 significantly accelerated in the last second prior to song onset with relative decreases of expiratory phases
324 (respiratory duration: $F(3,15) = 7.67$, $p = 0.02$, duty cycle: $F(3,15) = 4.077$, $p = 0.07$; **Figure 6d**). Following song
325 termination, birds immediately returned to longer respiratory cycles but during the first second post-song, they spent
326 more time exhaling compared to inhaling, a behavior likely involved in helping to recover from singing-related
327 hyperventilation (respiratory duration: $F(2,10) = 0.509$, $p < \text{n.s.}$, duty cycle: $F(2,10) = 6.553$, $p < 0.01$; **Figure 6e**). These
328 changes in respiration during the last second before and first second following song support the idea that HVC_{RA}
329 neurons provide descending motor commands that coordinate transitions between non-vocal and vocal states by
330 coordinating respiratory patterns. The lack of changes at earlier time-points prior to singing also indicate that pre-
331 song activity 1-3 s prior to song onset may reflect motor planning or the decision to sing, rather than respiratory
332 preparation. Together these, findings support the idea that HVC_{RA} neurons could function in aspects of motor
333 planning as well as preparation.

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344 DISCUSSION

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Figure 6. Air sac pressure recording in zebra finches. **a**) Waveform of pressure changes during non-singing and singing periods. Waveforms above the horizontal line (suprambient pressurization) indicate expiration and below the line (subatmospheric pressurization) indicate inhalation. Inset illustrates measurements for respiratory cycle duration and duty cycle (% time in expiration). **b**) Respiratory cycle duration and **c**) duty cycle of expiratory phase before (Pre), during (Song), and after (Post) song production (N = 6 birds). **d**) Plots of average respiratory cycle durations and **e**) duty cycles during pre-song and post song periods (N = 6 birds). Longer duty cycles correspond to increased periods of expiration.

Previous studies suggested that the HVC_{RA} network functions exclusively as a time-keeper, encoding motif-level temporal representations of song via propagation of precisely timed neural sequences^{1,4,6,28,34,35}. Central to this view is that the network is active only during singing and hence behaves in only two modes, inactive or propagating neural sequences. Our principal result is that neural sequences emerge as part of orchestrated activity across the network of HVC_{RA} neurons and that this activity is correlated with motor preparation prior to song initiation. Peri-song and pan-song neurons forecast the start of singing. Peri-song neurons are inactive during song, whereas pan-song neurons become heterogeneously active prior to time-locked sequential activity during song performances (**Figures 2 & 3**). Preparatory activity in HVC_{RA} neurons precedes the pre-bout activity observed in other classes of HVC neurons in zebra finches and Bengalese finches (**Figure 4**), suggesting that HVC_{RA} neurons may seed network wide changes in activity³⁹⁻⁴¹. The rigid stereotypy of singing behavior enables comparisons from different levels of the nervous system and periphery. We find that preparatory activity in HVC_{RA} neurons drives descending motor commands via RA and motor movements that set the stage for producing song (**Figures 5 & 6**). Because song is a self-initiated, volitional behavior, our findings further indicate that the HVC_{RA} network either functions as a sensitive read-out of the decision

358 to sing or as an integral factor in the decision itself. Finally, previous studies have shown that about half of HVC_{RA}
359 neurons are inactive during song^{1,27-29}. We find that approximately half of this network is active at peri-song intervals
360 and propose that one function of HVC_{RA} neurons is to plan and prepare for song performance.

361

362 Together, these findings support a simple model for song and neural sequence initiation. Preparatory activity in
363 populations of HVC_{RA} neurons drives descending motor commands via RA and its connections to the ventral
364 respiratory group and syringeal motoneurons in the medulla⁴⁸⁻⁵³. Given the sparsity of HVC_{RA} neuron activity and the
365 convergence of HVC_{RA} input to RA, it is likely that only population activity, like that described here, is sufficient to
366 drive bursting in RA. These motor signals increase respiratory rate, bringing it closer to the high rate needed to
367 coordinate production of song syllables. Because the initiation of singing requires precise coordination between
368 respiratory state and descending motor commands, we hypothesize that recurrent projections from the brainstem
369 update activity in HVC and trigger the initiation of neural sequences once the periphery is readied for the first
370 respiratory cycle for song^{29,54,55}. Circuitry related to recurrent projections into HVC increase the HVC_{RA} activity
371 hundreds of milliseconds prior to song onset⁵⁶⁻⁵⁸ and thus may also mediate shifts in activity between peri-song and
372 pan-song neurons.

373

374 Absent from this model is how activity in peri-song and pan-song neurons is first initiated seconds before song onset.
375 HVC receives input from cholinergic neurons in the basal forebrain⁵⁹⁻⁶¹, noradrenergic neurons in the locus
376 coeruleus⁶², and dopaminergic neurons in the midbrain⁶³, any or all of which could play potent roles in shifting the
377 excitability of subsets of HVC_{RA} involved in song preparation and initiation. Also absent are specific predictions about
378 the role of peri-song neurons and pan-song neurons in motor-planning. It is not yet known whether preparatory
379 activity in HVC is necessary for song initiation or production. These experiments will require novel closed-loop
380 manipulations to exclusively disrupt peri-song or pan-song neuron activity independent of any activity involved in
381 neural sequences. If possible, such experiments will undoubtedly lend insights into whether HVC is involved in
382 aspects of motor-planning independent of motor-preparation.

383

384 Sequential activation of neurons is thought to provide computational advantages for encoding temporal information
385 associated with episodic memories or behavioral sequences. Neural sequences in HVC provide one of the cleanest
386 examples for linking brain activity with a naturally learned and volitionally produced skilled motor behavior. Our
387 study provides a glimpse of how these sequences emerge through temporally coordinated transitions within a
388 potentially hierarchically organized network and suggests a general framework for initiating the production of skilled
389 motor behaviors.

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398
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400 B.G.C. collected and analyzed respiratory data. R.O.T., S.K. and R.H.R.H. collected and analyzed the chronic recording
401 data from HVC. S.J.S. collected and analyzed the chronic recording data from RA. V.K.D. and T.F.R. wrote the paper
402 with input from all authors.

403
404 **Author Information:** The authors declare no competing financial interests. Correspondence and requests for
405 materials should be addressed to T.F.R. (todd.roberts@utsouthwestern.edu)
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408 **Methods**

409

409 **Animals**

410 Experiments described in this study were conducted using adult male zebra finches and Bengalese finches (>90 days
411 post hatch). During experiments, birds were housed individually in sound-attenuating chambers on a 12/12 h
412 day/night schedule and were given ad libitum access to food and water. All procedures were performed in
413 accordance with established protocols approved by Animal Care and Use Committee's at UT Southwestern Medical
414 Centers, Texas Christian University, Emory University, and the Korea Brain Research Institute.

415

416 **Viral Vectors**

417 The following adeno-associated viral vectors were used in these experiments:
418 AAV2/9.CAG.Flex.GCaMP6s.WPRE.SV40 (University of Pennsylvania) and AAV2/9.CMV.Cre.WPRE.SV40 (University of
419 Pennsylvania). All viral vectors were aliquoted and stored at -80°C until use.

420

421 **Imaging**

422 Two-photon microscopy was conducted with a commercial microscope (Ultima IV, Bruker) running Prairie View
423 software using a 20x (1.0 NA) objective (Zeiss) with excitation at 920 nm (Ti-Sa laser, Newport). Imaging was
424 conducted in lightly anesthetized animals that were head-fixed using a custom-built apparatus. Single-photon images
425 of HVC were acquired through the cranial window using a sCMOS camera (QImaging, optiMOS). These images were
426 used to guide placement of the baseplate for miniaturized single-photon microscope.

427

428 **Stereotaxic Surgery, Cranial Windowing, and Baseplate Implantation**

429 All surgical procedures were performed under aseptic conditions. Birds were anesthetized using isoflurane inhalation
430 (~1.5-2%) and placed in a stereotaxic apparatus. Viral injections were performed using previously described
431 procedures (Roberts et al., 2012, 2017) at the following approximate stereotaxic coordinates relative to interaural
432 zero and the brain surface (rostral, lateral, depth, in mm): HVC (0, 2.4, 0.1-0.6); and RA (-1.0, 2.4, 1.7-2.4). The
433 centers of HVC and RA were identified with electrophysiology. For calcium imaging experiments, 1.0 – 1.5 μ L of Cre-
434 dependent GCaMP6s was injected at 3 different sites into HVC and 350 nL of Cre was injected into RA. Viruses were
435 allowed to express for a minimum of 6 weeks before a cranial window over HVC was made. Briefly, a unilateral
436 square craniotomy (~3.5 x 3.5 mm) was created over HVC and the dura was removed. A glass coverslip was cut to
437 match the dimensions of the craniotomy and held in place with a stereotaxic arm as Kwik Sil was applied to the edges
438 of the cranial window. Dental acrylic was applied over the Kwik Sil and allowed to slightly overlap with the glass
439 coverslip to ensure the window would not move and would apply the appropriate amount of pressure to the brain.
440 An aluminum head post was affixed to the front of the bird's head to enable head-fixed imaging under the 2-photon
441 microscope and to enable head-fixation for baseplate implantation. Following verification of labeling, identification of
442 HVC boundaries, and high-resolution images of neurons under the 2-photon microscope, the bird was lightly
443 anesthetized with isoflurane and the miniaturized fluorescent microscope (Inscopix) was placed over the cranial
444 window. The field of view that matched the 2-photon images was identified and the focal plane that enabled the
445 largest number of neurons to be in focus was selected. Dental acrylic was used to fix the baseplate in the desired
446 position and any exposed skull was covered with dental acrylic. Once the dental acrylic dried, the microscope was
447 removed from the baseplate and the bird was allowed to recover overnight. About 30 minutes before the birds'
448 subjective daytime, the microscope was attached to the counterbalance (Instech) with enough cable to allow the bird
449 to move freely throughout the cage. The microscope was then secured to the baseplate with a setscrew. The bird
450 was allowed to wake up and accommodate to the weight of the microscope over the next 2-3 days.

451 452 **GCaMP6s Imaging Using a Miniaturized Fluorescent Microscope**

453 The miniaturized fluorescent microscope (Inscopix) was not removed following successful baseplate implantation and
454 remained attached to the birds' head until either the cranial window closed or 7-10 days had passed. The
455 counterbalance was adjusted based on the observed behavior of the bird and its ability to move freely. The female
456 was not housed in the cage with the male bird, but instead was introduced to the males during a minimum of 3
457 morning and afternoon sessions to evoke directed song. Video recording was first started followed by 5 to 10
458 seconds of spontaneous recording with the miniaturized fluorescent microscope. The female bird was placed in the
459 cage as quickly and as with little disruption as possible for each session. If the male bird did not sing within a minute
460 of the females' presence, the session was stopped, and the female was removed. All trials were recorded on video,
461 and audio was recorded using Sound Analysis Pro (SAP) software and the HD video camera microphone. Calcium
462 imaging was performed at 30 frames per second (fps), at 1080x1920 resolution, Gain was set to 4, and Power was set

463 to 90% for all birds, behavioral videos were collected at 24 fps. Calcium imaging data and behavioral data was
464 synchronized using start of calcium imaging on a frame by frame basis.

465

466 **Defining Song and Peri-Song Behavior**

467 We defined singing as the time from the first introductory note to the end of the last syllable of the birds' bout. The
468 time (inter-bout interval) between multiple renditions of song motifs determined whether subsequent singing was
469 included as another bout in the phrase or the start of another phrase. Silent gaps greater than 1 second but less than
470 2 seconds between the offset of the last syllable and the start of the next syllable in a motif were treated as inter-
471 bout intervals and calcium events occurring during this time were excluded from song-activity analysis. Inter-bout
472 intervals less than 2 seconds were included in the same phrase. Inter-bout intervals greater than 2 seconds served as
473 the cutoff between two separate phrases. Calcium events occurring during inter-phrase intervals (time between end
474 of previous song syllable and start of next song syllable, must be greater than 2 seconds) were correlated with the
475 closest phrase onset or offset.

476

477 **Calcium image processing and analysis**

478 Calcium images were collected using the miniaturized fluorescent microscope developed by Inscopix³². First, the FOV
479 was spatially cropped to exclude pixels that did not include neurons or observable changes in fluorescence. Next, the
480 preprocessing utility within the Mosaic data analysis software was used to spatially bin the images by a factor of 2 to
481 reduce demands on computer memory and enable faster data processing. The TurboReg implementation within
482 Mosaic was used to perform motion correction. A reference image was created using a maximum intensity projection
483 of the dataset and the images were aligned in the x and y dimension to the reference image. Imaging datasets with
484 translational motion greater than 20 pixels in either the x or y dimensions were excluded from further data analysis.
485 Post-registration black borders were spatially cropped out. The resulting spatially-cropped, preprocessed, and motion
486 corrected calcium imaging datasets were exported for further analysis in custom Matlab scripts.

487

488 We performed ROI-based analysis on the motion-corrected calcium imaging datasets using previously described
489 methods³. ROIs were manually drawn around identifiable soma and a secondary ROI that extended 6 pixels around
490 the boundaries of the neuronal ROI was used to estimate background fluorescence (i.e. neuropil or other neurons).
491 The pixel values were averaged within the neuronal and background ROIs, and background fluorescence signal was
492 subtracted from neuronal signal. An iterative procedure using custom Matlab scripts were used to estimate baseline
493 fluorescence, noise, and active portions of the traces³. A subset of calcium images were re-analyzed using previously
494 described constrained non-negative matrix factorization (CNMF) methods, but calcium fluorescence traces were
495 identical to the traces pulled out by the ROI-based analysis⁶⁴. Calcium traces generated by ROI-based analysis were
496 further deconvolved to produce inferred calcium traces using the pool adjacent violators algorithm (PAVA)⁶⁵. The

497 deconvolved calcium traces were normalized to values between 1 and 0 to enable visualization of activity across
498 different neurons during the same trial. Calcium transients that were 3 SD. above baseline activity were recorded as
499 events. The corresponding onset times and the rise times to peak fluorescence of individual calcium transients were
500 correlated with synchronized behavior.

501

502 All calcium events were first categorized as falling into peri-song or song behavioral epochs. Peri-song was limited to
503 the 5 second period before song onset (including introductory notes) and the 5 second period after offset of the last
504 syllable. These event counts were used to assign a Phrase index to all imaged neurons. Neurons that had fewer than
505 2 calcium events recorded over a day of singing were excluded from further analysis because sparsity of calcium
506 events could spuriously identify neurons as peri-song or song exclusive. We combined the number of calcium events
507 from neurons imaged across multiple trials during the same day. Neurons imaged across multiple days were treated
508 as unique neurons. The phrase index was calculated as a ratio of the total number of song events imaged from a
509 neuron during a day subtracted by the number of peri-song events to the total number of calcium events. This
510 bounded the phrase index to values of -1 (peri-song exclusive) and +1 (Song exclusive). We used the phrase index to
511 examine the timing properties of neurons active only during peri-song, song, or both behavioral epochs.

512

513 To examine the distribution of calcium events, we generated histograms with bin sizes of 200 ms. Calcium event
514 onset times were shifted to negative values if they occurred prior to song onset. Calcium events occurring after song
515 offset were shifted by 1 second to allow visualization of a 1 second song phrase window. The number of active
516 neurons was calculated in 200ms bins, if a neuron had more than 1 calcium event within a 200 ms window it was
517 considered active only once. Event rates were calculated as a moving average of the number of events organized in
518 100ms windows before and after song onset. Rates were calculated by phrase using a 1 second moving average
519 window, this window reaches a minimum of 500 ms at boundaries (-5, +5 seconds, and at song onset and offset). The
520 average event rate and standard deviation was calculated from all pre and post phrase event rates from all birds.

521

522 **Fluorescence Analysis Across Intervals**

523 Average fluorescent changes were measured for each neuron across baseline, pre-song, post-song, and song
524 behavioral periods. Baseline was defined as a behaviorally quiet period covering 5s of fluorescent activity that was
525 ≥ 10 s removed from periods of singing or calling. Pre-song was 5s before phrase onset and post-song was 5s after
526 phrase offset. The background subtracted fluorescent traces were used to measure average fluorescence across the
527 above intervals for all phrases and all birds. Averaged fluorescent values were then normalized to the average
528 fluorescence measured during song.

529

530 **Comparison of SNR and Calcium Event Peak Magnitudes**

531 We measured the SNR of events occurring during song for a subset of pan-song and song neurons. The SNR was
532 calculated as a ratio of peak fluorescence for each song event per neuron to the average fluorescence from baseline
533 period within the trial (as above, 5s of fluorescent activity that was ≥ 10 s removed from periods of singing or calling).
534 We determined the average SNR for each neuron and examined differences between pan-song and song neurons.

535

536 Peak magnitudes during peri-song and song periods of pan-song neurons were measured using normalized
537 deconvolved fluorescent traces. The peak values for each pan-song neuron (with phrase indices between -0.18 to
538 +0.18) during peri-song and song periods were used to evaluate potential differences between calcium events
539 occurring outside of song versus during song.

540

541 **Neural Recordings**

542 Multiunit recordings of HVC neurons were collected from three adult (>90 days old) male Bengalese finches. All
543 procedures were approved by the Korea Brain Research Institute. An array of 16 tungsten microwires (175 μ m
544 spacing, OMN1005-16, Tucker Davis Technologies) was implanted into left HVC. The location of HVC was identified by
545 searching for spontaneous spike bursts and for antidromic response to stimulation in RA. The extracellular voltage
546 traces of all channels from birds singing alone (without presentation of female) were amplified and recorded with an
547 interface board (RHD2132, Intan Technologies) at a sampling rate of 25kHz. The interface board was tethered to a
548 passive commutator (Dragonfly Inc.) via a custom-made light-weight cable. In total we obtained HVC recordings from
549 35 electrode channels in three birds (15, 7, and 8 channels, respectively), all of which showed spontaneous bursts
550 typical of HVC neurons. The impedance of successful electrodes was around 100-300 k Ω .

551

552 Recorded signals were bandpass filtered (0.3-5kHz) and negative signal peaks exceeding 4 SD of spontaneous activity
553 (no-song period separated more than 10 seconds from nearest song bouts) were interpreted as multi-unit spikes. In
554 total 38, 62, and 212 song onsets, and 42, 23, and 280 offsets were identified in these birds, respectively. We
555 produced firing rate-traces from each electrode channel with 10ms resolution and averaged them across song
556 renditions. After smoothing by moving average with 500ms window, the averaged firing rates were normalized into 0
557 to 1 as spanning between respective mean firing rates during spontaneous activity and singing (0-3s after song onset)
558 to remove any bias among channels to obtain the general trend of onset- and offset-related firing across channels
559 and birds.

560 The significance of activity elevation during pre-song, song, and post-song periods from the spontaneous activity
561 level was tested by Wilcoxon signed-rank test with significant level at 0.05 after Bonferroni correction for multiple
562 comparison.

563

564 Onset of pre-song and offset of post-song activity were estimated for each channel as the smoothed spike rate
565 trajectory was exceeded a threshold which was defined as mean + 2 SD of the spontaneous spike rate.

566
567

568 Single-unit and multiunit recordings of RA neurons were collected from six adult (>140 days old) male Bengalese
569 finches as described previously⁶⁶. All procedures were approved by the Emory University Institutional Animal Care
570 and Use Committee. Briefly, an array of four or five high-impedance microelectrodes was implanted above RA. We
571 advanced the electrodes through RA using a miniaturized microdrive to record extracellular voltage traces as birds
572 produced undirected song (i.e., no female bird was present). We used a previously described spike sorting algorithm
573 to classify individual recordings as single-unit or multiunit⁶⁷. In total, we recorded 19 single units (multiunit
574 recordings were not analyzed further in this study). Based on the spike waveforms and response properties of the
575 recordings, all RA recordings were classified as putative projection neurons⁶⁷⁻⁶⁹.

576

577 **Analysis of Chronic Recording Data**

578 To analyze the variation in inter-spike-interval (ISI) in different time periods (Figure 5), we restricted our analysis to
579 cases in which we collected at least one recording that included the relevant song epoch. “Spontaneous” epochs
580 were sampled from neural activity recorded more than 10 sec after the nearest song bout. “Pre-song” activity was
581 sampled from between 2.5 and 0.5 s prior to the first song syllable or introductory note. “Song” activity was sampled
582 from the onset of the first song syllable until the offset of the last syllable in a bout. “Post-song” activity was sampled
583 from between 0.5 and 2.5 s after the offset of the last syllable in a bout. In some cases, we did not have sufficient
584 data available from all epochs for all neurons (note the variation in the number of neurons included in the analyses
585 shown in Fig. 5d).

586

587

588 **Air Sac Recording Procedures**

589 Subsyringeal air pressure was recorded from six birds in directed singing conditions. Directed song was defined as a
590 female presented in an adjacent cage during a two-hour recording period. Data from four of the birds were re-
591 analyzed from a previously published study (Cooper & Goller, 2006) and data from two additional birds were
592 collected to replicate the effects observed in the previously collected data⁷⁰. As described in (Secora et al. 2004),
593 each bird was accustomed to carrying a pressure transducer that was held in place on the bird’s back with an elastic
594 band⁷¹. To facilitate relatively free lateral and vertical movement in the cage, the weight of the transducer was offset
595 by a counter-balance arm. Subsyringeal air pressure surgery was performed after birds sang while carrying the
596 pressure transducer. Prior to insertion of the air pressure cannula, animals were deeply anesthetized as verified by
597 an absence of a toe-pinch response. A small opening in the body wall below the last rib was made with a fine pair of

598 micro-dissecting forceps, and a flexible cannula (silastic tubing, OD 1.65 mm, 6.5 cm length) was inserted into the
599 body wall and suture was tied around the cannula and routed between the 2nd and 3rd ribs to hold it in place. The
500 skin was sealed to the cannula with tissue adhesive. The free end of the cannula was attached to the pressure
501 transducer. This allowed for measurement of relative subsyringeal air pressure changes inside the thoracic air sac
502 before, during, and after spontaneously generated song events. Birds were monitored following surgery until they
503 perched in the recording chamber.

504

505 The voltage output of the pressure transducer was amplified (50-100 x) and low-pass filtered (3 kHz cutoff; Brownlee,
506 Model 440, Neurophase, Santa Clara, CA). Respiration was recorded for five seconds prior to and following singing
507 epochs using a National Instruments analog-to-digital conversion board (NI USB 6251, Austin, TX) controlled by
508 Avisoft Recorder software (Avisoft Bioacoustics, Berlin, Germany). Data were collected in wav file format, 16 bit
509 resolution, with sampling rates varying from 22.05 to 40 kHz. Songs were selected for analysis that contained at least
510 3 s of uninterrupted quiet respiration prior to and following song. Songs that were preceded by calls, drinking,
511 defecation, or movement-related activity were excluded from the analysis.

512

513 **Air sac data classification**

514 Air pressure was analyzed as respiratory cycles, which was defined as an inspiration followed by expiration. The
515 onset of inspiration was identified as subambient air pressurization and the return to ambient pressure following the
516 expiratory phase of the cycle. The cycle duration (s), duty cycle (% time spent in the expiratory phase of respiration),
517 and average rectified amplitude (a.u.) was calculated for each cycle. Song respiration was analyzed prior to song
518 onset and following song termination. Song onset was defined as the inspiration preceding the first introductory
519 note; using this marker, the onset time for each respiratory cycle in the pre-song recording period was determined.
520 The conclusion of song was defined as the termination of the expiration generating the last song syllable in the bird's
521 song bout. The timing of the respiratory cycles following song were identified relative to the song termination
522 marker.

523

524 **Statistical analyses of respiratory data**

525 For statistical analyses of the respiratory data, each bird contributed a single average value for each measured
526 parameter (cycle duration, duty cycle, average amplitude). A repeated measures ANOVA was used to determine how
527 respiration changes prior to and following song. For each bird, ten to twenty songs were identified for the statistical
528 analysis (see above for criteria). The average for the pre- and post-song (3-5s) for each measured parameter for each
529 bird was calculated. To evaluate the time course of change in respiratory patterns preceding and following song, the
530 average for one second bins for each bird were used in the repeated measures ANOVA. In cases where the
531 assumption of sphericity was violated, the Greenhouse-Geisser correction for the *degrees of freedom* was used. All *p*

532 values reported are based on this correction. An *a priori* alpha level of .05 was used for determining statistical
533 significance.

534

535

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