

1 Real-time markerless video tracking of body parts in mice using deep neural networks.

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10 **Disclosure:** No conflicts of interest, financial or otherwise, are declared by the authors.

11

12 **Acknowledgements:** We thank Pumin Wang, Cindy Jiang for surgical assistance and technical  
13 assistance. We thank Hongkui Zeng and Allen Brain Institute for providing transgenic mice. We  
14 thank Rene Tandun for assisting in pose estimation model training.

15

16 **Contributions:** B.F. and D.X. performed animal experiments. B.F., D.X., P.G., and J.D.B.  
17 developed and implemented the hardware and software for the apparatus. B.F. and D.X. wrote  
18 the analysis. B.F., D.X., and T.H.M. wrote the manuscript. B.F. and D.X. drew models and  
19 figures. D.X. and T.H.M. conceptualized the experiment.

20

21 **Funding Sources:** This work was supported by Canadian Institutes of Health Research (CIHR)  
22 Foundation Grant FDN-143209 to T.H.M., THM is supported by the Brain Canada  
23 Neurophotonics Platform and the Canadian Partnership for Stroke Recovery.

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29 **ABSTRACT**

30 Markerless and accurate tracking of mouse movement is of interest to many biomedical,  
31 pharmaceutical, and behavioral science applications. The additional capability of tracking body  
32 parts in real-time with minimal latency opens up the possibility of manipulating motor feedback,  
33 allowing detailed explorations of the neural basis for behavioral control. Here we describe a  
34 system capable of tracking specific movements in mice at a frame rate of 30.3 Hz. To achieve  
35 these results, we adapt DeepLabCut – a robust movement-tracking deep neural network  
36 framework – for real-time tracking of body movements in mice. We estimate paw movements of  
37 mice in real time and demonstrate the concept of movement-triggered optogenetic stimulation by  
38 flashing a USB-CGPIO controlled LED that is triggered when real time analysis of movement  
39 exceeds a pre-set threshold. The mean time delay between movement initiation and LED flash  
40 was 93.44 ms, a latency sufficient for applying behaviorally-triggered feedback. This manuscript  
41 presents the rationale and details of the algorithms employed and shows implementation of the  
42 system using behaving mice. This system lays the groundwork for a behavior-triggered ‘closed  
43 loop’ brain-machine interface with optogenetic stimulation of specific brain regions for feedback.

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45 **Keywords:** movement tracking, optogenetics, closed loop, real-time tracking

## 46 INTRODUCTION

47 Real-time movement tracking is a challenging computer vision problem that is crucial for  
48 constructing precise movement-triggered systems and brain-machine interfaces (BMIs). Most  
49 BMIs use electroencephalograms (EEGs) as inputs <sup>1</sup> to systems that provide feedback in near  
50 real time. We sought to explore methods by which we could precisely track movements of  
51 specific body parts in real time using computer vision techniques, to investigate whether video-  
52 based movement tracking could be used in BMIs.

53  
54 Significant progress has been made in movement tracking and it is now possible to  
55 estimate and analyze poses in humans <sup>2</sup>. Marker less, accurate tracking of specified movements  
56 without having to manually label large datasets as inputs for training <sup>3</sup> is possible. In particular,  
57 the approach presented by Mathis et al.'s (2018) "DeepLabCut" generalizes well across animals,  
58 and allows movement schemas to remain accurate across different mice. The flexibility offered  
59 by this approach is important for real-time movement tracking; instead of tracking movement  
60 based on databases of stereotyped movement data – such as those used by Insafutdinov et al. for  
61 pose estimation (2016). The DeepLabCut approach <sup>3</sup> generates models that can be more  
62 sensitive to the movements of animals under our specific laboratory conditions. Such sensitivity  
63 will help us overcome the limitations of a computer vision approach for measuring movement  
64 activity compared to more traditional BMI techniques, such as those utilizing EEG data <sup>1</sup> or  
65 single-neuron input data <sup>4</sup>. Additionally, a robust, customizable framework for real-time tracking  
66 of specific body parts in atypical subjects (such as specific animals) would have many  
67 applications in psychiatry, rehabilitation engineering, and other fields that make use of BMIs.  
68 Our main motivation for developing a real-time movement tracking framework is to enable

69 optogenetic<sup>5,6</sup> or sensory stimulation based on classification of movements of specific body  
70 parts. This framework may provide insight into the operations of the cortical regions involved in  
71 the coordination and planning of movements when used in combination with optogenetics<sup>7-9</sup>.

72

73 Although our aim is to employ optogenetics, we must first show that we can track the  
74 movement of specific body parts in real time. However, virtually all current real-time tracking  
75 systems used for animal tracking are based on blob detection algorithms<sup>10,11</sup> which, while more  
76 lightweight than pose estimation algorithms, are better suited to whole-body tracking than body  
77 part tracking. As such, while previous approaches to real-time tracking are effective for  
78 examining social interactions or holistic body movements, they are typically unable to discern  
79 small-scale movements – such as whisker or nose movements – which are crucial as inputs to an  
80 appropriately robust BMI. Therefore, here we discuss adaptations we have made to *DeepLabCut*  
81<sup>3</sup>, a precise movement tracking framework, in order to leverage it for real-time movement  
82 tracking and analysis on individual body parts in mice.

83

## 84 **MATERIALS AND METHODS**

85 **Animals and surgery.** Animal protocols (A13-0336 and A14-0266) were approved by the  
86 University of British Columbia Animal Care Committee and conformed to the Canadian Council  
87 on Animal Care and Use guidelines and animals were housed in a vivarium on a 12 h day light  
88 cycle (7 AM lights on). For head fixation experiments animals were anesthetized with isoflurane  
89 (2% in pure O<sub>2</sub>) and body temperature was maintained at 37°C using a feedback-regulated  
90 heating pad monitored by a rectal thermometer while they received a cranial window. Mice  
91 received an intramuscular injection of 40 µl of dexamethasone (2 mg/ml) and a 0.5 ml

92 subcutaneous injection of a saline solution containing buprenorphine (2 µg/ml), atropine (3  
93 µg/ml), and glucose (20 mM), and were placed in a stereotaxic frame. After locally anesthetizing  
94 the scalp with lidocaine (0.1 ml, 0.2%), the skin covering the skull was removed and replaced by  
95 dental cement<sup>7,12,13</sup>. A metal screw was attached to the chamber for future head fixation during  
96 recordings. At the end of the procedure, the animal received a second subcutaneous injection of  
97 saline (0.5 ml) with 20 mM of glucose and recovered in a warmed cage for 30 min.

98  
99 For movement recordings, the heads of awake mice were stabilized by attaching a skull-  
100 mounted screw to a pole mounted on a base-plate while the body was resting on a running wheel.  
101 We used a USB 3.0 webcam (Logitech BRIO, Logitech, Lausanne, Switzerland, 60 Hz) or a  
102 Raspberry Picam's RGB sensor (Raspberry Pi Foundation, Cambridge, UK, 60 Hz) to capture  
103 body movements.

104

### 105 **Training movement tracking models**

106 We use DeepLabCut<sup>3</sup> as the basis for our movement tracking framework. Using the  
107 standard protocol developed by Mathis et al. (<https://github.com/AlexEMG/DeepLabCut>), we  
108 train models to analyze movement of each mouse's nose, left and right barrel, and left and right  
109 paws. All image processing, tracking, and LED output was carried out on a computer with 64  
110 GB of RAM, 3.3 GHz and an Nvidia Titan Xp GPU; however, we also conducted a number of  
111 trials on another computer with 128 GB of RAM.

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## 115 **Implementing real-time tracking**

116           We examine two approaches to streaming video for real time tracking. In both  
117 approaches, we primarily investigate and collect data for the left and right paw movement; this is  
118 because, for the purposes of developing a robust real-time movement tracking paradigm, larger  
119 movements are easier to track. Additionally, the relatively high speed of paw movement enabled  
120 us to verify the fidelity of tracking. In the first approach, we stream a frontal video of the mouse  
121 (in the same position and under the same conditions as the videos on which the models were  
122 trained) *via* TCP, using a Raspberry Picam RGB sensor. We use a Python server script on the  
123 Raspberry Pi and a Python client script on the computer with DeepLabCut to accomplish this. In  
124 the second approach, we stream a video through the same neural network and video  
125 configuration as described above using a USB 3.0 webcam connected directly to the computer on  
126 which the DeepLabCut neural network model operates. Image retrieval, pre-processing,  
127 analysing and saving in serial loop is slow. To speed-up the real-time tracking, we split these  
128 serial operations into parallel tasks. An independent thread continuously captures the current  
129 frame from the camera and makes it available for pre-processing. Pre-processing and analysis  
130 processes run in serial and the results, along with the frame as passed to another independent  
131 thread that saves them. De-coupling 1) reading the frame from camera and 2) saving the frames  
132 and results from the main analysis process resulted in six-fold boost in the speed.

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134           For each approach, we integrate our client script with DeepLabCut's movement analysis  
135 functions. As each video frame arrives on the computer, we convert it to an 8-bit unsigned byte  
136 format and pass it to DeepLabCut's pose analysis function. This function returns a set of six  
137 predicted locations on the body part being analyzed. Optionally, we then render these

138 coordinates onto the newly analyzed frame using matplotlib (<https://matplotlib.org/>) and display  
139 the frame or save the frame. In the second approach, we shift the plot rendering process to a  
140 discrete thread in order to improve performance, and plot the coordinates using opencv2  
141 (<https://opencv.org/>). We measure analysis performance through visual inspection and  
142 comparison of frame rates. The stream's frame rate indicates the computational weight of the  
143 analysis; we optimize the computational environment in order to maximize the frame rate. We  
144 also manipulate lighting intensity and direction, camera position, stage position, resolution, and  
145 cropping: all of these factors have been observed to affect tracking accuracy.

146

### 147 **Prototyping optogenetic stimulation**

148 In each approach, we calculate either a dynamic (cumulative standard deviation) or static  
149 threshold for movement classification. We then check if the average movement of the six points  
150 tracked on the selected body part exceeds this threshold.

151

152 In the first approach, if movement exceeds this threshold, we pass a packet containing "1"  
153 over UDP (over ethernet) to the Raspberry Pi, triggering the LED connected to the Raspberry Pi.  
154 If movement does not exceed this threshold, we extinguish the LED by sending a packet  
155 containing "0" over UDP (over ethernet) to the Raspberry Pi. In this approach, all LED  
156 operations are carried out asynchronously.

157

158 In the second USB 3.0 approach, if movement exceeds the threshold, we directly pass a  
159 command to turn the LED on or off over USB *via* an Adafruit FT232h breakout board (Adafruit

160 Industries, New York, NY). In this approach, all LED operations are carried out asynchronously  
161 using a discrete thread.

162

### 163 *Code Availability*

164 We have released our modifications to DeepLabCut and pyftdi, which enable real-time  
165 pose estimation and LED testing, at <https://github.com/bf777/DeepCutRealTime>.

166

## 167 **RESULTS**

168 We have evaluated several approaches for real-time tracking using DeepLabCut. The  
169 performance of each model was evaluated on frame rate over 120 seconds, through visual  
170 inspection, and through average likelihood of each prediction being correct. For the TCP  
171 approach using Raspberry Pi, the mean frame rate across all trials ( $N = 17$ ) with frame recording  
172 was 1.73 Hz,  $SD = 0.10$  Hz; the best performing model with frame recording was 2.00 Hz. For  
173 the wired approach using the USB 3.0 webcam, the mean frame rate across all trials ( $N = 37$ , 3  
174 mice) with frame recording was 30.3 Hz,  $SD = 0.53$  Hz. When LED feedback was not  
175 implemented, the mean frame rate across all trials ( $N = 3$ ) without frame recording was 50.87 Hz,  
176  $SD = 8.79$  Hz; the best performing model under these conditions was 56.67 Hz.

177

178 We evaluated the performance of the optogenetic stimulation prototype by inspecting the  
179 delay between movement initiation and LED illumination in the behavioral video frames we  
180 recorded. Across all trials, the mean delay between movement initiation and LED illumination  
181 across trials ( $N = 37$ , 3 mice) was 93.44 ms,  $SD = 22.99$  ms (Fig. 3, Supplementary video 1).

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## DISCUSSION

We have adapted DeepLabCut for real-time tracking of behavior. We found that the USB 3.0 approach enabled a much higher frame rate than the TCP/UDP approach. Additionally, we were able to use threading to virtually eliminate any latency introduced by labelling frames. Shifting from matplotlib (Hunter, 2007) to native opencv2 (Bradski, 2000) plotting functions also appeared to contribute to the performance improvement. A number of factors could potentially affect the quality of the tracking. While tracking was typically robust, the most prominent of these factors was lighting; deviations from the lighting conditions of the videos on which we trained our models resulted in tracking of spurious body parts (such as the ear) or arbitrary points. In particular, regions of the video with high contrast relative to the intended body parts tended to be the focus for tracking when lighting conditions were incorrect. This may be a function of how the scoremap calculations that are involved in pose estimation are carried out within DeepLabCut<sup>3</sup>. Additionally, fast running movements resulted in blurred body part tracking, which decreased quality; this is likely a function of the frame rate, which was influenced by 1) the GPU's processing power, 2) the RAM of the computer, 3) the throughput of the connection between the camera and the computer (e.g. USB 3.0 vs. USB 2.0), and 4) the threading strategy used for image processing and LED control. As such, frame rate was improved by 1) upgrading the computer's GPU and 2) upgrading its RAM, 3) switching from a USB 2.0 to a USB 3.0 webcam, and 4) switching from merely asynchronous plotting and LED operations to fully threaded versions of these operations. A smaller factor was the contrast level set on the webcam (likely for similar reasons to the effects of different lighting).

206           The relatively short delay between movement initiation and LED illumination is  
207 promising for further development of a movement-triggered biofeedback system with  
208 optogenetics. We have determined that, with only trivial modification, our existing mechanism  
209 can also interact with a trigger connected to a laser for optogenetic stimulation. However, this  
210 delay could be shortened by examining the hardware latency of our breakout board. The pyftdi  
211 library is one of the lowest-level interfaces between a computer and an LED; however, the  
212 breakout board is connected via USB 2.0, which presents a hardware bottleneck compared to the  
213 USB 3.0 technology used for our camera. As such, for future optogenetic work we need to  
214 account for and minimize this delay, perhaps by quantifying the delay and appropriately altering  
215 the frame rate to ensure that each LED flash is synchronized with a frame.

216  
217           Further progress can likely be made by streamlining the deeper-level analytical  
218 operations of DeepLabCut and by further tweaking the threading strategy used. This would  
219 require deeper investigation into the most computationally intensive aspects of DeepLabCut; an  
220 especially important area to focus on would be parallelization of pose estimation operations in  
221 DeepLabCut. Additionally, it would be beneficial to batch the frames that are streamed into the  
222 pose estimation framework, in order to enable parallel processing of frames (which would then  
223 be sorted chronologically).

224  
225           Our aim of integrating movement tracking with optogenetics will leverage recent  
226 advances in understanding of the mouse brain's motor planning circuits<sup>14</sup>. Further directions for  
227 this research may combine movement tracking with two-photon microscopy to investigate

228 whether real time DeepLabCut can be used to condition motor behaviours in mice through  
229 closed-loop feedback with the potential goal of understanding and localizing motor memory<sup>15,16</sup>.

230

### 231 ***Conclusion***

232 Our framework for real-time tracking based on DeepLabCut is capable of relatively high-  
233 speed tracking while maintaining performance. We demonstrate a movement magnitude  
234 classifier that can be used to trigger an LED, therefore prototyping a biofeedback brain-machine  
235 interface (BMI) that integrates optogenetic stimulation with movement tracking. This project  
236 forms the basis for future work on building a robust brain-machine interface that, through  
237 optogenetic stimulation that could be employed in forms of closed loop brain stimulation<sup>17,18</sup>  
238 and used to explore the function of various movement-related and somatosensory activities in the  
239 brain<sup>19</sup>, including behavioural conditioning in mice via optogenetic stimulation<sup>20</sup>. Such  
240 exploratory research could contribute to more advanced and effective BMIs that leverage both  
241 neural and non-neural data, adding greater diversity to the types of information that are  
242 integrated to treat somatosensory dysfunction.

243 **FIGURE LEGENDS:**

244 **Fig. 1.** An overview of the video collection, pose estimation, and movement classification and  
245 feedback processes in our study.

246 **Fig. 2.** Overview of the parallel frameworks used to compute pose estimates and output a GPIO  
247 signal. a.) Mouse activity is recorded by webcam for 15 seconds. b.) A previously trained model  
248 of movement in the desired body part is selected. c.) Each frame of video from the webcam is  
249 sent over USB 3.0 to the computer; based on the previously trained video, *DeepLabCut*  
250 (operating on the GPU) estimates body part locations in each frame. d.) The estimated body part  
251 locations are then output to three CPU threads. The first thread collects each frame from the  
252 webcam and prepares it for processing. The second thread plots estimated body part locations on  
253 each frame before saving each frame – this step carries a negligible performance impact. If  
254 horizontal movement in a specified body part exceeds a pre-set threshold (defined as  
255  $x \geq 20$  px), the third thread is used to output a high signal output to a specified port on the  
256 breakout board using GPIO (e.). The LED connected to this port will then light up when the  
257 movement exceeds the threshold and be recorded by the behavioral video. Separately, all pose  
258 estimation data is saved to a CSV file (f.), and output frames can be set to render all points of  
259 tracking (g.) or an average of all points of tracking (h.).

260 **Fig. 3.** A). A visualization of the typical latency between movement initiation and the LED flash.  
261 B). The mean frame rate across all trials ( $N = 37$ , 3 mice) with frame recording was 30.3 Hz,  $SD$   
262 = 0.53 Hz. the mean delay between movement initiation and LED illumination across trials ( $N =$   
263 37, 3 mice) was 93.44 ms,  $SD = 22.99$  ms. C). LED flashes – as detected by changes in a region  
264 of interest on the region of the head where the LED flashes – are plotted against the x-axis  
265 movement on the left paw for an example run. The threshold is set as a difference of 12 px

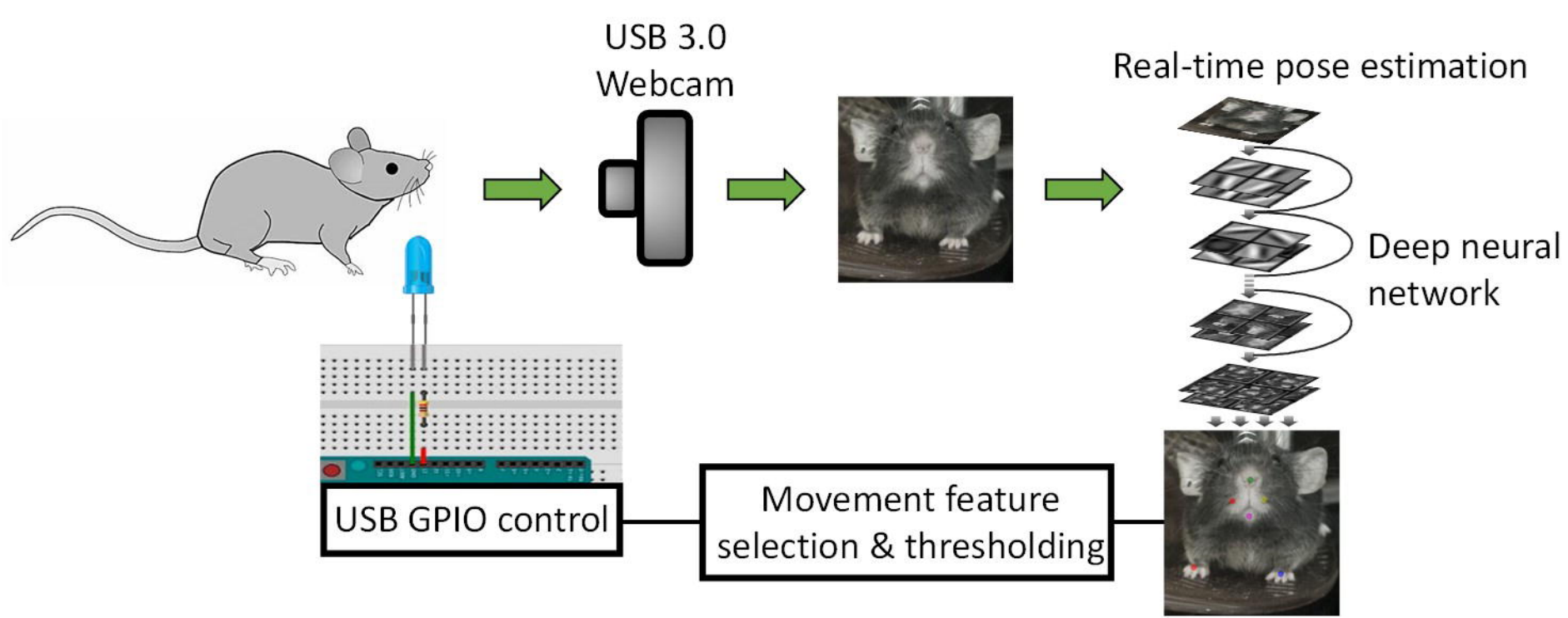
266 between the current frame and the last. D). GPIO output triggered average on LED flash of  
267 different experiments. The onset of LED flash is defined by  $3*SD$  of baseline.  
268 **Video 1.** Real-time tracking and feedback LED flash. The time indicates the delay between  
269 movement initiation and LED flash in ms.

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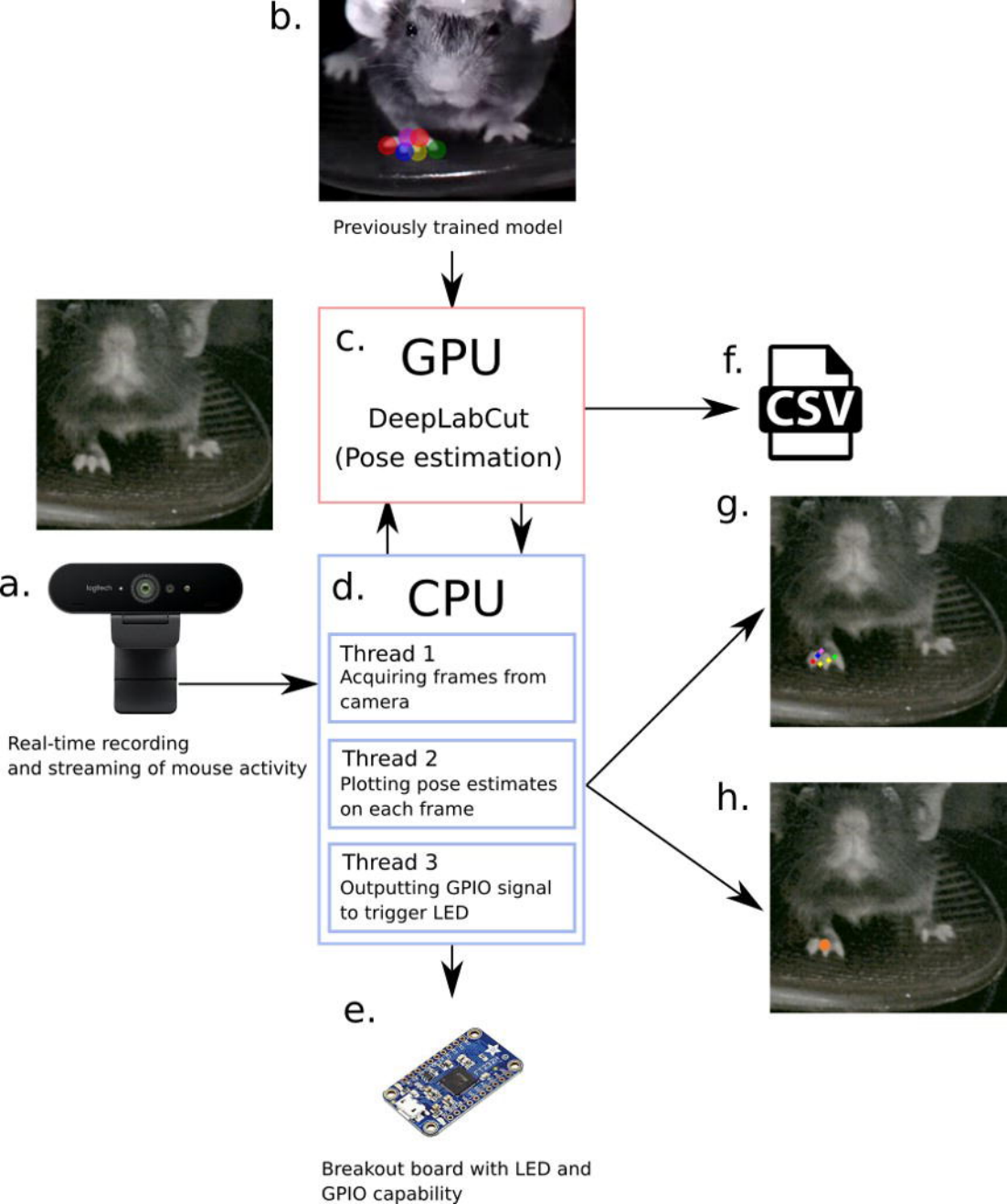
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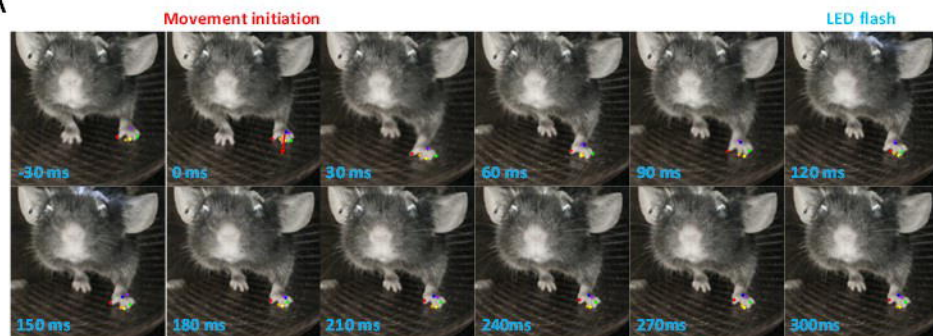
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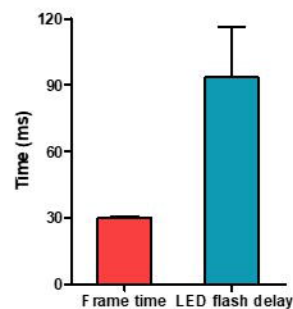




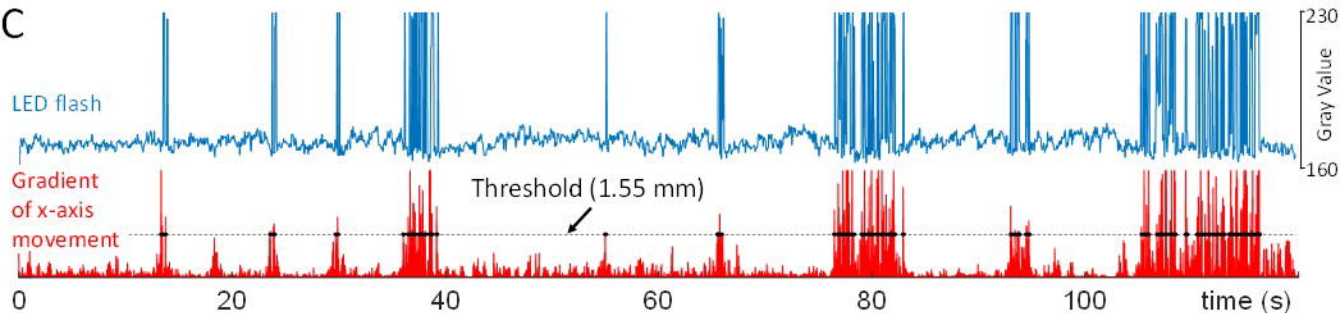
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