

1 **Linking the Resistome and Plasmidome to the Microbiome**

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18 **Keywords:** metagenomics, Hi-C, antibiotic resistance, plasmid, integron, proximity ligation,

19 genome

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21

22 **Abstract**

23 The rapid spread of antibiotic resistance is a serious human health threat. A range of
24 environments have been identified as reservoirs of the antibiotic resistance genes (ARGs) found
25 in pathogens. However, we lack understanding of the origins of these ARGs and their spread
26 from environment to clinic. This is partly due to our inability to identify the bacterial hosts of
27 ARGs and the mobile genetic elements that mediate this spread, such as plasmids and integrons.
28 Here we demonstrated that the *in vivo* proximity ligation method Hi-C can determine the *in situ*
29 host range of ARGs, plasmids, and integrons in a wastewater sample by physically linking them
30 to their host chromosomes. Hi-C detected both previously known and novel associations between
31 ARGs, mobile elements and host genomes, mostly validating this method. A better identification
32 of the natural carriers of ARGs will aid the development of strategies to limit resistance spread to
33 pathogens.

34 **Main body of text**

35 Multi-drug resistant pathogens are increasing in prevalence worldwide [1–3]. The alarming
36 rate at which bacteria adapt to antimicrobial compounds is partly due to their ability to acquire
37 antimicrobial resistance genes (ARGs) through horizontal transfer of mobile genetic elements
38 such as plasmids. For example, plasmid-mediated resistance has emerged against quinolones [4],
39 carbapenems [5], and colistin [6]. In addition, other genetic elements such as integrons facilitate
40 the acquisition and expression of ARGs [7].

41 Numerous studies have revealed the diversity, abundance, and distribution of ARGs in
42 habitats such as soil, rivers, human and animal guts, and wastewater treatment plants, implicating
43 them all as plausible reservoirs for ARGs [8]. While cultivation-independent metagenomics of
44 environmental samples has become a widespread approach, most metagenomic data do not
45 provide information about the bacterial hosts of the ARGs and the replicons that carry them
46 (chromosome vs. plasmid) [9]. They cannot identify the hosts of plasmids because total DNA
47 extraction disconnects mobile elements from their host genomes. This host-plasmid association
48 is nevertheless critical to understand the ecology of antibiotic resistance and the trajectories that
49 bring resistance genes into the clinic [10].

50 Proximity-ligation methods such as Hi-C and 3C have been used to detect interactions
51 between DNA molecules originating in the same cell within microbial communities (Fig. S1).
52 Both methods are able to reconstruct strain- and species-level genomes from mixed bacterial
53 cultures, and correctly link plasmids and phage to their bacterial hosts [11–13]. These methods
54 can also reconstruct metagenome-assembled genomes (MAGs) from bacterial communities such
55 as those of the mammalian gut communities [14–16]. In this study, we showed that cultivation-
56 independent metagenomic Hi-C data can help determine the reservoirs of ARGs and the

57 plasmids and integrons that carry them in a diverse wastewater community. It also led to the
58 reconstruction of several novel MAGs.

59

60 *Proximity ligation reconstructs a known plasmid-host association from a wastewater sample.*

61 We first validated the ability of Hi-C to assemble the genome of a completely sequenced
62 plasmid-bearing bacterium from a wastewater metagenome. We added $\sim 7 \times 10^7$ CFU/mL of *E.*
63 *coli* K12::gfp containing the multi-drug resistance plasmid pB10::rfp, hereafter named EC, to a
64 portion of a wastewater sample (the raw sample is designated WW, the spiked sample WWEC).
65 For both samples we generated short-read metagenome assemblies and used ProxiMeta Hi-C
66 deconvolution [15] to cluster these metagenomic contigs into putative MAGs (supplementary
67 information). This yielded >1000 clusters of contigs for each sample, of which 51 (WW) and 38
68 (WWEC) were >80% complete bacterial MAGs, as measured by CheckM [17] (Fig. S2, Tables
69 S1-S2). In this paper, we use the term “cluster” to describe a cohesive group of contigs belonging
70 to a genome of a microorganism. The EC genome was represented by one large cluster (4.2
71 Mbp) and three small ones (480 Kbp total) with similar high abundance, producing a >97%
72 complete *E. coli* genome (Fig. S3A). Furthermore, Hi-C linkage between pB10::rfp and its host
73 was extremely strong relative to other clusters (Fig. S3B), confirming that Hi-C can accurately
74 ascertain plasmid-host relationships within a natural diverse microbial community.

75

76 *Cultivation-independent identification of host-ARG and host-plasmid associations*

77 We investigated if Hi-C links could be used to identify the hosts that contain ARGs,
78 integrons, and plasmids directly from the WW sample. We searched the WW and WWEC
79 metagenome assemblies for sequences demonstrating high similarity to described plasmids [18],

80 ARGs [19], or integrase genes (Tables S3-S4). Additionally, we inferred phylogenomic
81 placements of each cluster. We then computed the Hi-C linkage of each ARG-bearing or
82 plasmid-bearing contig to each cluster (Fig. 1 and Figs. S4-S8). ARGs were mostly linked to
83 contigs in clusters related to the Gammaproteobacteria, Betaproteobacteria and the Bacteroidetes
84 (Figs. S5-S6). Reproducible linkages observed in both WW and WWEC samples pointed to
85 specific candidates in this wastewater sample that are well known to carry these ARGs. For
86 example, clusters related to *Prevotella* and *Bacteroides* were linked to *tetQ*, *ermG*, *mefA*, *bla_{CFX}*
87 and *bla_{CBLA}* conferring resistance to tetracycline, macrolides/lincosamides/streptogramins
88 (MLS), and beta-lactams, respectively (Fig. S7)[20–23]. Similarly, in the *Acinetobacter*, the
89 ARGs *mphE* and *tet39* were widespread in several clusters affiliated to the genus [24], and an
90 *ant3''* and class 3 integron were linked with a cluster related to *Acinetobacter johnsonii* [25].
91 Strikingly, the bacterial taxa identified to have the most contacts with known ARGs were
92 affiliated with the *Aeromonadaceae* (Fig. 1-A), a taxonomic group typically associated with
93 aquatic environments. Overall, the results are in line with current knowledge of the taxonomic
94 distribution of these ARGs. This technique suggests that *Aeromonadaceae*, *Acinetobacter*, and
95 *Bacteroidetes* are reservoirs of ARGs in this wastewater, as also recently suggested for another
96 wastewater sample in Portugal using different approaches [26].

97 We also compared the *in situ* host range of both broad-host-range (BHR) and narrow-host-
98 range (NHR) plasmids (Fig. 1) [27]. The results were strikingly consistent with our expectations,
99 with IncQ plasmids showing the broadest range of putative hosts, followed by the IncP-1 β
100 plasmids, which were widespread but limited to the Betaproteobacteria [27]. It should be noted
101 that most plasmids were linked to clusters related to the *Enterobacteriaceae* (Fig. 1-B), which
102 may be due to the bias in the plasmid database we used [18]. Nevertheless, we show here that the

103 Hi-C method allows assessing the *in situ* plasmid host range without any cultivation steps (Fig.
104 1-C).

105 Interestingly, in the WW sample, one *Comamonadaceae* cluster with high genome
106 completeness (88.4%; cluster.20) showed strong linkages to an IncP-1 β plasmid, a well-known
107 host-plasmid association [28, 29]. We were able to reconstruct two large fragments (22.7kb and
108 12.9kb) carrying the typically conserved transfer regions of IncP1- β plasmids and genes of the
109 maintenance/control region. The closest relative was plasmid pALIDE02 of a WWTP isolate,
110 *Alicyclophilus denitrificans* BC of the *Comamonadaceae* (see SI for some limitations of the
111 method). Among the integrons, class 1 integrons exhibited links to the most clusters, and were
112 the most widespread among the Proteobacteria. We conclude that the Hi-C links can help in
113 determining the taxonomic placement of the hosts of ARGs and mobile elements in an
114 environmental habitat despite some methodological challenges to be addressed in future work
115 (see SI).

116

117

118 *Clustering-independent identification of plasmid and ARG hosts*

119 It is possible that ProxiMeta has misclustered contigs, giving us chimeric clusters composed
120 of contigs from different microorganisms. To independently verify the accuracy of our
121 plasmid/integrons/ARGs host assignments, we performed taxonomic profiling of all the contigs
122 that were linked to plasmid, integrons, and ARGs contigs. Fig. 2 shows that the host taxonomy of
123 the contigs linked to these genes and mobile elements were mostly similar to those identified by
124 ProxiMeta (Fig.1).

125

126 **Conclusions**

127 While several questions about the accuracy and sensitivity of this approach remain, we show
128 that *in vivo* proximity ligation can help assess the *in situ* host range of ARGs, plasmids, and
129 integrons in a natural microbial community. Such analysis can be expanded to other mobile
130 genetic elements and other habitats with complex communities. This novel approach fills an
131 important gap in our ability to track the reservoirs and horizontal transfer of antibiotic resistance
132 genes, with the ultimate goal of slowing down the spread of drug resistance.

133 **ACKNOWLEDGEMENTS**

134 Research reported in this publication was supported by an Institutional Development Award
135 (IDeA) from the National Institute of General Medical Sciences of the National Institutes of
136 Health under grant number P30 GM103324 through an IBEST Pilot Grant, and in part by grants
137 2R44AI122654-02A1 and 1R43AI122654-01 to Phase Genomics. We thank the IBEST
138 Genomics Resources Core staff Dan New, Matt Fagnan and Sam Hunter for assistance with
139 shotgun library preparations and sequencing.

140 **CONTRIBUTIONS**

141 TS, ET, and IL conceived the project, TS and MP wrote the manuscript, IL and ET provided
142 thorough revisions, TS collected the sample and prepared the libraries, MP, SS, IL and TS did
143 the bioinformatics analysis.

144 **CONFLICT OF INTEREST:**

145 MP, SS, and IL are employees and shareholders of Phase Genomics, Inc – a company
146 commercializing proximity-ligation technology. IL and SS are executives at Phase Genomics,
147 Inc.

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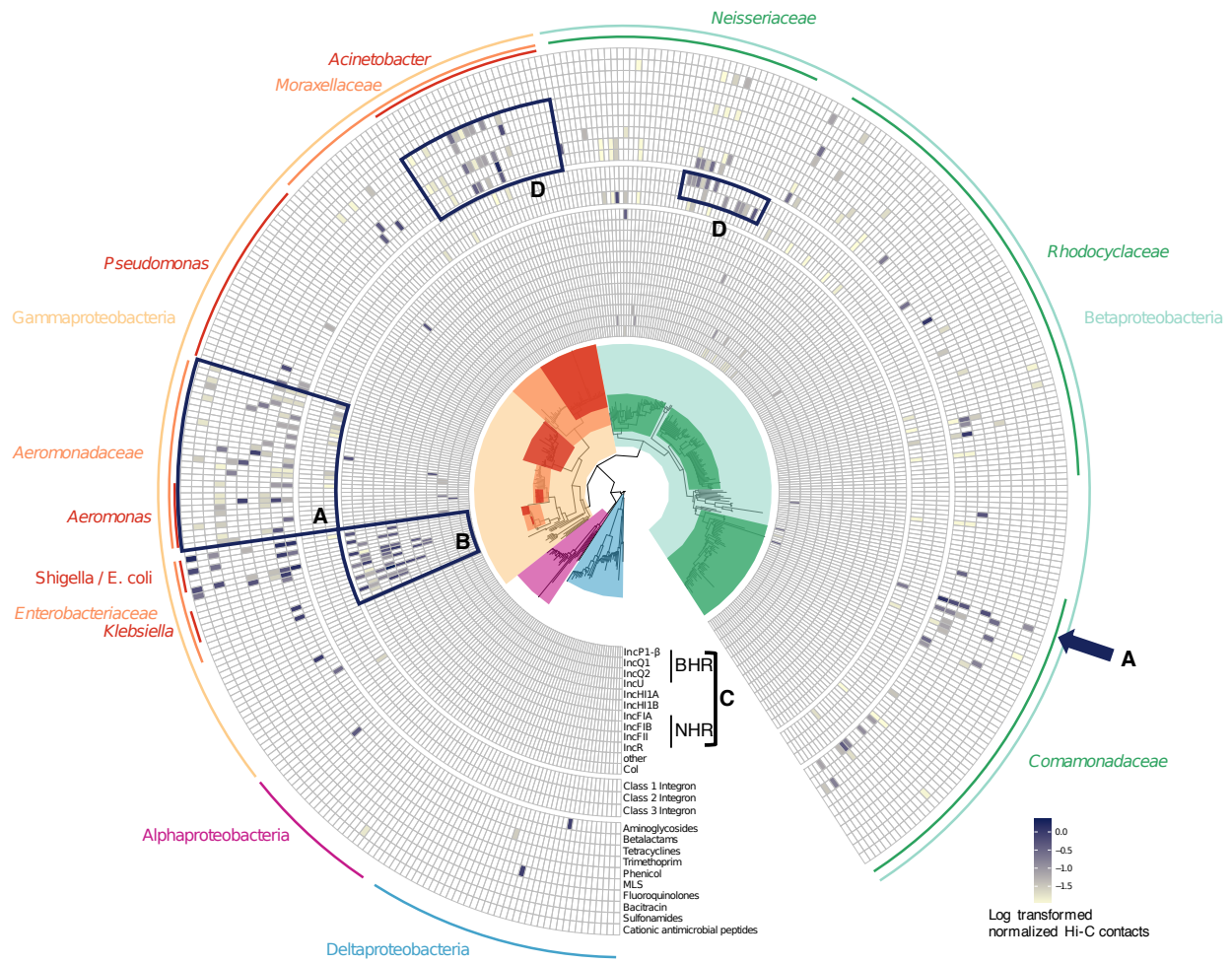
236

237 **FIGURE LEGENDS**

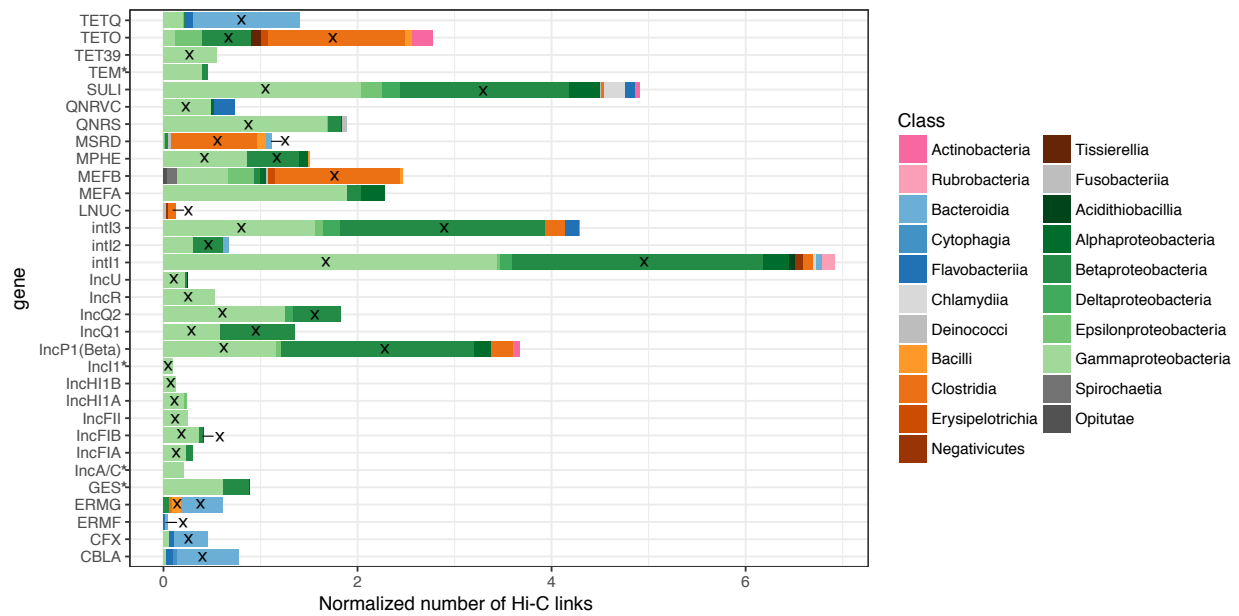
238 **Fig. 1:** Hi-C linkage between plasmid markers, integrons, and ARGs among clusters belonging
239 to Alpha-, Beta-, Gamma- and Deltaproteobacteria in the wastewater sample WW. Clusters are
240 arranged in the inner circular phylogenetic tree where each tip represents a cluster. The presence
241 or absence of a link is shown in the heatmap circling the tree, with the shading representing the
242 intensity of the normalized Hi-C linkage signal. **A)** Identified natural reservoirs of ARGs:
243 *Aeromonadaceae* linked to 18 ARGs conferring resistances to eight antibiotic classes, as well as
244 the class 1 integron integrase gene and plasmids of the incompatibility groups IncQ and IncU
245 (originally described in *Aeromonas* [30]), and a col plasmid [31]; a cluster in *Comamonadaceae*
246 linked to an IncP-1 β plasmid, class 1 integron, and 11 ARGs (links suggest some ARGs are
247 plasmid-borne, SI). **B)** Most plasmids detected belonged to clusters related to
248 *Enterobacteriaceae*. **C)** As expected, markers for broad-host-range (BHR) plasmids were linked
249 to clusters spanning both *Beta*- and *Gammaproteobacteria*, specifically the *Enterobacteriaceae*,
250 *Aeromonadaceae*, *Neisseriaceae*, *Rhodocyclaceae*, and *Comamomadaceae*. In contrast, markers
251 for narrow-host-range (NHR) plasmids were almost exclusively linked to clusters belonging to
252 the *Enterobacteriaceae*. Only one contig with a marker for an IncFIB plasmid was found in a
253 *Betaproteobacteria* cluster, but the link was ~100 times weaker than linkage with the
254 *Enterobacteriaceae*. **D)** Clusters affiliated with the genus *Acinetobacter* showed high Hi-C
255 linkage to the ARGs conferring resistance to aminoglycoside (*aacA3*), betalactam (*bla*_{OXA}
256 genes), tetracycline (*tet39*), phenicol (*floR*) and macrolides (*mphE*). Class 2 and 3 integron
257 integrase genes were associated with clusters affiliated with the *Neisseriaceae* while the class 1
258 integrons had links to 39 clusters within the Beta- and Gammaproteobacteria. Class 2 integrons
259 were previously found in *Neisseria* sp. isolated from a WWTP, but this may be the first time that
260 class 3 integrons are found in this family (see caption of Fig S4).

261 **Fig. 2:** Taxonomic assignment of contigs that were linked to contigs harboring plasmids, ARGs,
262 or integrons. We selected contigs harboring either a plasmids marker, an ARG, or and integrons
263 integrase gene, and isolated all the contigs linked to these contigs by at least one Hi-C links. We
264 used BLAST to find matches of each such linked contig against the NCBI bacterial database (see
265 Materials and Methods, SI). Here the strength of Hi-C linkage is represented by the length of the
266 bars and is summarized by Phylum (pink, Actinobacteria; blue, Bacteroidetes; orange,
267 Firmicutes; green, Proteobacteria, and grey: others), by Class (color shading), and stacked bars
268 of the same color represent different Families. *: ARGs or plasmids which did not have links to
269 cluster when using our first approach. The cross indicates that the gene, or marker, was found in
270 the same class when using our first approach (Fig. 1). For example, the MLS resistance gene
271 *mphE* was strongly associated with contigs related to both Gamma- and Betaproteobacteria.
272 More specifically links were associated with contigs belonging the *Moraxellaceae* and
273 *Neisseriaceae*. Also, both quinolone resistance genes *qnrS* and *qnrVC* were mainly associated
274 with contigs of the Gammaproteobacteria, mostly related to the *Aeromonadaceae*. As in our
275 previous approach, markers for BHR plasmids were linked to clusters spanning both Alpha-,
276 Beta- and Gammaproteobacteria while markers for NHR plasmids were strongly linked to
277 clusters belonging to the Gammaproteobacteria, in particular the *Enterobacteriaceae*. Only the
278 phylogenetic attribution of the ARG *mefA* was different between our two approaches.

279 **FIGURE 1**



281 **FIGURE 2**



282