Climate and vegetation collectively drive soil respiration in montane forest-

grassland landscapes of the southern Western Ghats, India

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Abstract

Land-use conversion to non-native species plantations not only affects biodiversity but also

alters important ecosystem functions including above- and below-ground carbon

sequestration, and CO_2 release rates from soils via soil respiration. Though the role of soil

temperature and moisture on soil respiration is well recognized, little is known about how

their effects vary across different land-use types. This study looked at the effects of land-

cover change on temporal patterns of soil respiration in a montane forest-grassland-

plantation matrix, a highly diverse but climatically sensitive ecosystem in the tropical

Western Ghats of India. Among native vegetation types, soil respiration rates were higher in

grassland compared to forest patches. Invasion of grassland by an exotic tree species - wattle

(*Acacia mearnsii*) reduced soil respiration rates to levels similar to that of forests. However,

conversion of native grasslands to non-native pine (*Pinus patula*) plantations led to the

largest declines in soil respiration rates. In addition, the sensitivity of soil respiration to

changes in temperature and moisture differed between different vegetation types. Across all

vegetation types, respiration was largely insensitive to changes in soil temperature when

moisture levels were low. However, when soil moisture levels were high, respiration

increased with temperature in grassland and wattle patches, decreased in the case of pine

plantations, and remained largely unchanged in shola forests.   Our results suggest that

changes in aboveground vegetation type can significantly affect soil C cycling even in the

absence of any underlying differences in soil type.

Keywords: Shola-grassland, climate change, soil carbon, land-use, plantations, invasion

Introduction

Soils constitute a major pool of terrestrial C, storing about ~1500 Pg of carbon, around three

times more than that in aboveground vegetation which accounts for ~560 Pg of carbon [1,2].

Soil respiration, the production of CO_2 in soils from root respiration and microbial

decomposition of organic matter, currently releases 75-100 Pg of this Cback to the

atmosphere annually, which constitutes ~30% of the total terrestrial and marine C

contribution to the atmosphere [3,4]. Like most biochemical processes, rates of soil

respiration are largely governed by temperature and moisture availability which play major

roles in regulating both plant growth as well as soil microbial activity. Soil respiration rates

are typically highest in the tropics where plant growth is luxuriant and conditions for

decomposition are optimal, and lowest in cold and dry biomes where microbial activity is

limited by low temperatures and moisture availability, respectively [3]. The tropics currently

contribute ~67% of the global soil CO_2 efflux [1,4,5]. Future changes in temperature and

precipitation regimes in tropical regions are therefore likely to affect soil respiration rates

with potentially significant effects on the global C-cycle and atmospheric CO_2 levels [1].

Besides climatic variables, changes in land use and land cover also influence global

terrestrial-atmosphere CO_2 fluxes. Since the 1990's, ~1.14 Pg of C are estimated to have

been released back into the atmosphere annually, largely as a result of the conversion of

intact forests to secondary forests, pastures and croplands [6-9]. Land-use changes, besides

having significant negative impacts on biodiversity, can also alter ecosystem processes by

changing the quantity and quality of carbon inputs to soils, changing soil temperature and

moisture regimes and by altering hydrological cycles [3, 10-12]. However, the impact of such

land-use changes on ecosystem processes, particularly in tropical regions, has received very

little attention. More accurate quantification of the effects of land-use changes on carbon

fluxes to the atmosphere is needed for better implementing policies such as REDD (Reducing

Emissions from Deforestation and Degradation), especially in the tropics which account for

the substantial proportion of the emissions due to land-use changes [13].

In this study, we quantified soil CO_2 effluxes over three years across different land-use types

in the climatically sensitive tropical montane forest-grassland mosaics of the Western Ghats

biodiversity hotspot in India. These mosaics have undergone extensive land-use changes

since the mid-nineteenth century, primarily through the establishment of non-native

plantations in grasslands especially of acacia (*Acacia* spp.), eucalyptus (*Eucalyptus* spp.),

pine (*Pinus* spp.) and tea (*Camellia sinensis*). Specifically, we looked at how land-cover

changes influenced both total and temporal patterns in soil respiration, and how such changes

were mediated by alterations to moisture and temperature regimes.

Methods

Study area

Our study was conducted in the high elevation forest-grasslands mosaics of the upper Nilgiri

landscape (11.27° N 76.55° E, elevation: ~2300 m) in the southern Western Ghats, India

(Fig1). The upper reaches (1200 m to 2650 m) of India's Western Ghats biodiversity hotspot

(8° to 21°N, 73° to 78°E) are characterized by stunted evergreen tree forest patches, locally

known as sholas, embedded within grasslands, with abrupt transitions between them (Fig 1).

These bi-phasic mosaics are Pleistocene relics that have been in existence for more than

20,000 years [14,15], and support a diverse array of plant and animal species, many of which

are endemic [See:16-20].

Large-scale plantations of alien tree species, chiefly of eucalyptus (*Eucalyptusglobulus*), pine

(*Pinuspatula*) and wattle (*A. mearnsii*) were established in grasslands of this landscape in the

latter half of the nineteenth century [21,22]. Among these, wattle eventually became invasive

and now occupies large tracts of former grassland. The landscape is currently characterized

by a mix of native and exotic vegetation. The prominent land-cover types include native

shola forests, grasslands, invasive wattle patches, and plantations of eucalyptus, pine and

commercial tea. We selected four land-use types for this study that were in close proximity to

each other - native shola forests, grasslands, invasive wattle patches and pine plantations.

Fig 1. Map showing the distribution of shola-grassland ecosystems across the Western

Ghats biodiversity hot-spot, with the study area circled. (Reproduced with modification

from Das et al. 2015 [23]).

Average annual temperatures in the study area range from a minimum of 5°C in January to a

maximum of 24°C in April [24]. Nocturnal frosts are common in grasslands during the winter

(November to March) when temperatures routinely drop down below 0°C. Annual

precipitation is spatially and temporally variable across the landscape, ranging from 2500 to

5000mm, with most of the rainfall received between June and October from the south-west

monsoon. Soils of the area are derived from parent rocks which are gneiss, charnockites and

schists [24].

Study design

In October 2014, we identified three replicate sites in each of four different land-use types:

shola forests, grasslands, wattle patchesand pine plantations for the study. Replicate sites

within each land-use type were separated by a minimum distance of 100m. At each site, we

established five soil respiration collars spaced at least 1m apart from each other. Respiration

collars were 10cm long open-ended PVC pipes with an inner diameter of 10cm, inserted 3cm

into the soil with 7cm exposed above the soil surface. Collars remained permanently installed

in the soil throughout the study, with a few exceptions when collars were lost (due to animal

activity or burnt following a fire event) and had to be replaced. In such cases, collars were

replaced immediately, and at least a week before the next measurement. In total, we had 60

respiration collars across the different land use categories.

Soil respiration was measured every 2 weeks at each of the 60 collars using an EGM-4

Environmental Gas Monitor (EGM-4, PP Systems, USA). All measurements were made

between 10 am and 4 pm. All plant material, primarily litter, within collars was carefully

removed before respiration measurements were taken. Alongside CO_2 efflux measurements,

ambient atmospheric temperature, soil temperature and soil moisture were also quantified.

Soil temperature and soil moisture were measured at 0-12 cm depth at three locations around

each collar using a handheld long-stem thermometer (HI145-00 and HI145-01, Hanna

Instruments, USA) and soil moisture using a FieldScout TDR 200 soil moisture meter

(Spectrum Technologies, USA), respectively.

CO_2 efflux rates were calculated following Marthews et al. 2014 [25] as follows:

$$r_{uc} = \left(\frac{C_n - C_1}{t_n - t_1}\right)\left(\frac{P}{T_a}\right)\left(\frac{V_d}{A}\right)\left(\frac{44.01 \times 0.36}{R}\right) g CO_2 m^{-2} h^{-1} \tag{1}$$

$$r_c = r_{uc}\left(\frac{V_d + V_{added}}{V_d}\right) g CO_2 m^{-2} h^{-1} \tag{2}$$

where r_{uc} denotes soil CO_2 efflux calculated without correcting for the added volume of the

respiration collar, and r_c denotes CO_2 efflux corrected for volume; $C_n - C_1$ is the CO_2 efflux

difference, typically between the last 10 readings per measurement, or between the first and

last flux values if the measurement had less than 10 readings; $t_n - t_1$ is the difference in

time, in seconds, over which the difference in CO_2 efflux was calculated; P is ambient

atmospheric pressure averaged over $t_n - t_1$; T_a is the atmospheric temperature in Kelvin; V_d

is volume within the EGM respiration chamber; A is the area of soil over which CO_2 efflux

was measured; R is the Universal Gas Constant, 8.314 J K^{-1} mol^{-1}; and V_{added} is the volume

of the respiration collar at the time of measurement. A more detailed discussion of the

method for measurement and calculation of CO_2 efflux can be found in Marthews et al. 2014

[25].

Given the possibility of measurement errors in CO_2 efflux while using the EGM in the field,

we assessed linearity of CO_2 accumulation for each collar for each sampling day using linear

models of CO_2 accumulation versus time, and only those measurements that satisfied the

criteria of $R^2 \geq 0.9$ [26], and had a positive slope, were used for further analyses. Further, we

expected soil respiration values to be similar among the five replicate collars within a site. In

cases where CO_2 efflux of an individual respiration collar was less than half or greater than

twice the mean of the CO_2 efflux of the other four adjacent respiration collars at a site, the

measurement was removed from the dataset. All data were then averaged by site for the

analysis. Annual estimates of soil respiration (g.m^{-2}.yr^{-1}) for each land-use type were obtained

by scaling up the mean hourly CO_2 efflux rates (g.m^{-2}.hr^{-1}) estimated for each site for the

corresponding year.

We analysed the effects of land-use type, soil temperature and soil moisture on soil

respiration using a linear mixed effect model framework with the interaction of land-use type,

soil temperature and soil moisture as fixed effects and site as a random effect. Soil respiration

values were log transformed before analyses to meet the assumptions of homogeneity and

normality of residuals.

The R package nlme with *lme* [27] was used to conduct the mixed effect model analysis. All

analyses were conducted using R version 3.3.2 [28].

Results

Temporal dynamics of soil respiration across land-uses

Soil respiration rates across land-use types varied both seasonally and annually, and ranged from 0.07 to 2.2 CO_2 $g.m^{-2}.hr^{-1}$ across the study in the different land use types. Soil CO_2 efflux typically peaked during the south-west monsoon season (June-October), and declined through the winter (November - January) to lowest values in summer (February to May; Fig 2a). Highest respiration rates were recorded in grasslands (average 0.57 $g.m^{-2}.hr^{-1}$ across the study) and lowest rates from pine soils (average 0.42 $g.m^{-2}.hr^{-1}$, Table 1; Fig 2a). Annual soil CO_2 effluxes in all land-use types were higher in 2016-17 compared to the previous two years (Fig 3). This increase appears to be associated with a more even distribution of precipitation throughout the year rather than higher total rainfall amounts (Fig 3).

Fig 2. Patterns of change in a) soil respiration, b) soil temperature 0-12cm below the surface and c) soil moisture 0-12 cm below surface over time (n=12). (gaps in 3b and 3c are due to no measurements during the correspondent period because of instrument failure).

Fig 3. Annual estimates of soil CO_2 effluxes across different land-use types based on three years of monitoring. Bar graphs on top show monthly precipitation measured at study sites during the corresponding year. Total precipitation during the corresponding year are shown in brackets on top of bar graphs.

Table 1. Mean soil temperature, soil moisture and soil respiration across the land-uses. Range presented in brackets

Land-use category	Soil temperature at 0-12cm depth (℃)	Soil moisture at 0-12cm depth (%)	Soil respiration ($CO_2 g.m^{-2}.hr^{-1}$)

Grassland	18.3 (10.8-31.8)	29.7 (1.4-70.7)	0.57 (0.14-2.2)
Pine plantation	14.1(10.1-21.6)	28.2 (1.7-70.3)	0.42 (0.10-2.2)
Shola forest	13.4 (9.2-19.6)	41.7 (3.4-84.6)	0.51 (0.07-1.73)
Wattle patches	17.4 (11.6-29.0)	29.7 (1.1-66.8)	0.52 (0.10-1.9)

Seasonal soil CO_2 effluxes mirrored patterns in soil moisture. Over the course of the study,

soil moisture levels ranged from 1% to 85%, and not unexpectedly, peaked during the

monsoon and were lowest in summer (Fig 2b). Soil moisture levels were higher in shola

forests (average 42%) compared to other land-use categories which did not differ from one

another (average: 29%; Table 1). Soil temperature was similarly highly variable and ranged

from 9.2 to 31.8℃ over the course of the study. As expected, soil temperature also showed a

distinct seasonal pattern, being highest in summer (April) and lowest during the winter

(December) (Fig 2c). Temperatures were consistently higher, but also more variable in

grasslands and wattle patches compared to closed canopy shola forests and pine plantations

(Table 1, Fig 2c).

Interactive effects of land-use type, soil temperature and moisture on soil respiration

The linear mixed model analysis revealed a significant interactive effect of land-use type, soil

moisture and soil temperature on respiration, collectively explaining 66% of the variation in

the data ($F = 4.48$, $df = 3$, $P = 0.004$; Table S1). To visualize this interaction, we modelled

soil respiration as a function of temperature for fixed soil moisture levels across the different

land use types (Fig 4). Soil moisture values were fixed at the quantiles of measured

instantaneous soil temperature measurements over the study (Fig 4). In both grassland and

wattle patches, soil respiration was not affected by soil temperatures when moisture was low,

but increased with increasing soil temperature at high moisture levels (Fig 4). In pine

plantations, respiration was similarly largely unaffected by temperature at low moisture

levels, but decreased with increasing temperatures when soil moisture was high (Fig 4). In

contrast, soil respiration did not seem to be significantly impacted by changes in either soil

temperature or moisture in shola forests (Fig 4).

Fig 4. Effect plots depicting the interactive effect of soil moisture and soil temperature

across the different land-use types (Each column represent soil moisture in %).

Discussion

Our results indicate that land-use changes have substantially altered CO_2 fluxes in this

ecosystem. Grasslands consistently had higher CO_2 effluxes when compared to native shola

forests. However, conversion of natural grasslands to alien pine plantations and invasive

wattle patches has lowered soil respiration rates. The direction and magnitude of effects on

soil respiration due to these land-use changes appear to be collectively governed by the

identity of the associated tree species as well as their impacts on local micro-climates.

Reductions in soil C effluxes were highest following conversion of grasslands to pine

plantations, and somewhat lower following invasion of grasslands by wattle. In addition,

conversion of grassland to pine plantations also appears to have altered the sensitivity of soil

respiration to changes in soil temperature and moisture.

Soil respiration in all focal land-use categories was primarily governed by precipitation [29-

31], peaking during the monsoon when root growth, microbial activity and litter

decomposition are typically high [32] and dropping to their lowest levels during the summer.

However, intra-annual patterns of precipitation distribution also appear to play an important

role in regulating total annual soil CO_2 effluxes in this system. The highest annual soil

respiration levels recorded during 2016-17 in our study (Fig 3) coincided with a more even

distribution of precipitation through the year, and a potential lengthening of the growing

season, rather than higher overall precipitation during the year.

Across the different land-use types, highest rates of soil respiration rates were recorded in

grasslands (Table 1, Fig 3), which is in accordance with previous global syntheses that have

reported ~20% higher respiration in grasslands than in comparable forest stands [33].

Respiration rates in shola forests were lower by comparison (Table 1, Fig 3). Invasion of

grasslands by wattle reduced soil respiration rates to levels similar to those of natural shola

forests while, conversion of grasslands to pine plantations was associated with the greatest

reductions in soil respiration (Table 1, Fig 3), consistent with previous large-scale syntheses

that report ~10% lower respiration in coniferous stands compared to adjacent broad-leaved

forests [32,33]. The low rates of soil respiration recorded in pine plantations is potentially

attributable to the poor quality and low nutrient content of pine needles, resulting in slower

litter decomposition rates and lower soil respiration rates in pine plantations [32].

Although seasonal patterns of soil respiration were largely governed by soil moisture,

instantaneous soil CO_2 efflux rates were additionally regulated by soil temperature in ways

that differed across land-use types. In most land-use types, respiration was largely unaffected,

or only marginally increased with soil temperature when moisture levels were low. Low

water content is known to limit CO_2 production in soils, such that temperature effects are

typically manifest only when there is sufficient water to allow root and microbial CO_2

production [29]. However, the nature of temperature-respiration relationships at high

moisture levels differed between land-use types (Fig 4). Respiration increased with

temperature when moisture was high in both grassland and wattle patches, decreased in the

case of pine plantations, and remained largely unchanged in shola forests (Fig 4). The

reasons underlying these differences are presently unclear, but are potentially related to

differences in the temperature optima of microbial communities in the different land-use

types. Given that pine plantations were originally grassland, these results suggest that

changes in vegetation type can affect soil C cycling not only by changing above and below-

ground C stocks, but also by altering the temperature and moisture sensitivity of respiration

even in the absence of any underlying differences in soil type.

Conclusions

Our results indicate that different vegetation types growing adjacent to one another under the

same climatic and environmental conditions can have different soil respiration rates and

different sensitivities of soil respiration to temperature and moisture [20]. Soil respiration is

a combination of both autotrophic (root) and heterotrophic activity (microbes, soil fauna)

[34], and is influenced by several factors including substrate quality and quantity, microbial

activity, composition and biomass, and soil temperature and moisture [35 and references

therein). All of these likely differ between land-use types, but their relative contributions to

the observed differences in soil respiration between land-use types in our study remains

unknown. What is clear, however, is that past changes in land use and invasions by exotic

species have significantly altered C cycling in this system. Continued invasions and changes

in land-use, coupled with changes in temperatures and rainfall climatology are likely to

further alter soil respiration in this system in the future. Given the importance of soil

respiration for net ecosystem C balance and global carbon budgets, a better understanding of

the factors controlling soil respiration in different land-use types is critical in order to predict

the long-term responses of C-cycles in this ecosystem.

Acknowledgements

We thank Ministry of Earth Sciences, India (Grant Number: MoES/NERC/16/02/10 PC-11)

and National Centre for Biological Sciences, Bangalore for financial support for conducting

this study. We are grateful to Tamil Nadu Forest Department for permits. We thank Dr.

Jagdish Krishnaswamy (ATREE) and Dr. Ravi Bhalla (FERAL) for sharing precipitation

data, and Dr. Kavita Isvaran, Nachiket Kelkar, and Dr. Varun Varma for help with the

analysis. We also thank Amol, Kavita, Manaswi, Selvakumar, Susilan, Yadugiri, the FERAL

field team in the Nilgiris, residents of Emerald village and the frontline staff of the Nilgiri

South Forest Division of Tamil Nadu Forest Department for all their help during field data

collection.

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Supporting information caption

S1 Table. Linear mixed effect (LME) model of the interactive effect of land-use types,

soil moisture and soil temperature on the soil respiration.

Fig 1

Fig 2

Fig 3

Fig 4