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A generative learning model for saccade adaptation

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1 Abstract

2 Plasticity in the oculomotor system ensures that saccadic eye movements reliably meet their visual 3 goals-to bring regions of interest into foveal, high-acuity vision. Here, we present a 4 comprehensive description of sensorimotor learning in saccades. We induced continuous 5 adaptation of saccade amplitudes using a double-step paradigm, in which participants saccade to 6 a peripheral target stimulus, which then undergoes a surreptitious, intra-saccadic shift (ISS) as the 7 eyes are in flight. In our experiments, the ISS followed a systematic variation, increasing or 8 decreasing from one saccade to the next as a sinusoidal function of the trial number. Over a large 9 range of frequencies, we confirm that adaptation gain shows (1) a periodic response, reflecting the 10 frequency of the ISS with a delay of a number of trials, and (2) a simultaneous drift towards lower 11 saccade gains. We then show that state-space-based linear time-invariant systems (LTIS) 12 represent suitable generative models for this evolution of saccade gain over time. This state-13 equation algorithm computes the prediction of an internal (or hidden state-) variable by learning 14 from recent feedback errors, and it can be compared to experimentally observed adaptation gain. 15 The algorithm also includes a forgetting rate that guantifies per-trial leaks in the adaptation gain, as 16 well as a systematic, non-error-based bias. Finally, we study how the parameters of the generative 17 models depend on features of the ISS. Driven by a sinusoidal disturbance, the state-equation 18 admits an exact analytical solution that expresses the parameters of the phenomenological 19 description as functions of those of the generative model. Together with statistical model selection 20 criteria, we use these correspondences to characterize and refine the structure of compatible state-21 equation models. We discuss the relation of these findings to established results and suggest that 22 they may guide further design of experimental research across domains of sensorimotor 23 adaptation.

24 Author Summary

25 Constant adjustments of saccade metrics maintain oculomotor accuracy under changing 26 environments. This error-driven learning can be induced experimentally by manipulating the 27 targeting error of eye movements. Here, we investigate oculomotor learning in healthy participants 28 in response to a sinusoidally evolving error. We then fit a class of generative models to the 29 observed dynamics of oculomotor adaptation under this new learning regime. Formal model 30 comparison suggests a richer model parameterization for such a sinusoidal error variation than 31 proposed so far in the context of classical, step-like disturbances. We identify and fit the 32 parameters of a generative model as underlying those of a phenomenological description of 33 adaptation dynamics and provide an explicit link of this generative model to more established state 34 equations for motor learning. The joint use of the sinusoidal adaption regime and consecutive 35 model fit may provide a powerful approach to assess interindividual differences in adaptation 36 across healthy individuals and to evaluate changes in learning dynamics in altered brain states, 37 such as sustained by injuries, diseases, or aging.

38 Introduction

The accuracy of saccadic eye movement is maintained through mechanisms of saccade adaptation, which adjust the amplitude [1-3] or direction [4-6] of subsequent movements in response to targeting errors. As online visual feedback cannot be used to correct the ongoing movement, saccadic eye movements need to be preprogrammed and adaptation must largely rely on past experience and active predictions [7,8] rather than closed-loop sensory information.

44 To induce saccade adaptation in the laboratory [1], participants are instructed to follow a step of a 45 target stimulus with their eyes and this visual cue is then displaced further during the saccade eye 46 movement. Typically, this second, intra-saccadic step (ISS) is constant across trials and directed 47 along the initial target vector towards smaller or larger saccade amplitudes. Although the ISS is 48 visually imperceptible [9], saccades adjust their amplitude to compensate for the induced error. In 49 phenomenological analyses of such saccade adaptation data, the amount of adaptation is usually 50 quantified by comparing saccade gain values before and after the adapting block and interpolating 51 an exponential fit in between [1-3,10].

We recently presented a version of this paradigm in which the ISS (the disturbance responsible for inducing adaptation) follows a sinusoidal variation as a function of trial number ([11,12]; see also [4,13,14]). We reported that gain changes were well described by a parametric functional form consisting of two additive components. One component was a *periodic response* reflecting the frequency of the ISS that was adequately fitted with a lagged but otherwise undistorted sinusoid. The second component constituted a *drift of the baseline* toward lower saccade gain (larger hypometria) that was appropriately accounted for using an exponential dependence.

Here, we investigate whether a generative algorithm that models saccade gain modifications on a trial-by-trial basis by learning from errors made on previous trials can account for this response. To this end, we implemented and fit a series of state-space models in which a modified delta-rule algorithm updates a hidden or latent variable (for which the experimentally observed adaptation gain is a proxy) by weighting the last experienced visual error, in addition to other error-based and non-error based learning components [8,15-23]. 65 We adopt the approach that these algorithms are linear time-invariant systems (LTIS), in that their 66 coefficients are time and trial-independent. LTIS models, also known as linear dynamical systems 67 (LDS) have been successfully used in a number of motor adaptation studies [8,19-22,24-27]. 68 Applied to saccade adaptation, they may predict the dynamics of the saccade amplitude itself as 69 well as various forms of movement gain typically used in describing adaptation [2,3,10,11]. Our first 70 goal was to establish empirically whether LTIS models could fit the data recorded with a sinusoidal 71 adaptation paradigm, as efficiently as when using a constant (fixed) ISS. Once we have 72 established this point, we will explore the relation between the predicted phenomenological 73 parameters [11,12] and the learning parameters of the underlying generative model, as well as 74 their potential dependence on the perturbation dynamics.

75 We first analyze the ability of a family of generative models to describe experimental recordings of 76 saccade adaptation by fitting the relevant learning parameters. We then perform statistical model-77 selection analysis to determine those that best fitted the same data in the various experimental 78 conditions. We fitted models to two data sets, a previously published one [11] and a variation of 79 that paradigm that extended the range of frequencies of the sinusoidal variation of the ISS. Both 80 data sets contrasted two established saccadic adaptation protocols [11,12]: Two-way adaptation 81 (i.e., bidirectional adaptation along the saccade vector of saccades executed along the horizontal 82 meridian) and Global adaptation (i.e., adaptation along the saccade vector of saccades executed in 83 random directions). We then explore consequences for current models of motor learning and 84 suggest possible modifications that may be required to generate a suitable description of 85 sensorimotor learning during sinusoidal saccadic adaptation. In conducting this selection, we 86 confirm that a single learning parameter model (a state-equation with just an error-based learning 87 term; cf. [19]) does not suffice to fit the data. We then demonstrate that including an extra term 88 that weights the next-to-last trial's error provides a better fit for the Two-way type of adaptation.

This learning rate has the intriguing feature that it has negative values for all frequencies,
suggesting an active unlearning of the next-to-last trial's feedback error, close, but not equal in

91 magnitude to the learning rate of the last trial's error. We discuss possible functional roles of these

92 processes for oculomotor adaptation in natural situations, where saccadic accuracy is expected to

93 exhibit slow dynamic changes across time.

94 Methods

95 Procedure

We re-analyzed the data we recently collected using a fast-paced saccade adaptation paradigm with a sinusoidal disturbance. We had previously described these data by fitting a phenomenological model that we identified using statistical model selection. For details on the experimental procedures pertaining to this original data set (henceforth, ORIG) and to the selection of the functional form of this phenomenological model, please refer to our former communication [11].

We applied the same experimental procedure in collecting further data with an enhanced range of frequencies. In this case, thirteen participants ran two sessions with similar Two-way and Global adaptation protocols as used in previous reports [11,12]. In short, Two-way adaptation refers to bidirectional adaptation along the saccade vector of saccades executed along the horizontal meridian. In turn, Global adaptation refers to adaptation along the saccade vector of saccades executed in random directions.

108 In collecting this dataset (henceforth, FREQ), each session had 2370 trials divided in 11 blocks. 109 Odd numbered blocks had 75 no-adaptation trials (zero ISS). The five even-numbered blocks 110 consisted of 384 trials each with a sinusoidal disturbance similar to that used before but with 111 frequencies of 1, 3, 6, 12 and 24 cycles per block (i.e., 384, 128, 64, 32, and 16 saccades per 112 cycle, respectively). The order of adaptation blocks was randomly interleaved for each observer 113 and type of adaptation. The program was paused after each adaptation block, giving participants 114 some resting time, and we calibrated eye position routinely at the beginning of each non-adapting 115 (odd-numbered) block. In each trial, the pre-saccadic target step was fixed at 8 degrees of visual 116 angle (dva). The subsequent second step (ISS) then ranged between -25% and +25% of the first

117 step, changing size according to a sine function of trial number.

The Ethics Committee of the German Society for Psychology (DGPs) approved our protocols. We obtained written informed consent from all participants prior to their inclusion in the study. The present study conformed to the Declaration of Helsinki (2008).

121 Data analysis and phenomenological model

122 *Modeling of the saccadic response.* In a double-step adaptation paradigm [1], after a fixation 123 interval the fixation target FP(n) undergoes a first step to become the target of a saccade, 124 displayed at the pre-saccadic location TP1(n). Because the eyes might have been stationed at a 125 location EP1(n) close to but different than FP(n), we define the pre-saccadic target amplitude 126 preTP(n) = TP1(n) - EP1(n), with origin at EP1(n) rather than FP(n) and keep this convention 127 throughout the study.

The second step of the McLaughlin paradigm (i.e., the target displacement inducing a feedback error) then shifts the target during the saccade to a position TP2(n) (so that ISS(n) = TP2(n) - TP1(n)). Therefore, the post-saccadic target amplitude (at or immediately after saccade landing) is given by the identity: postTP(n) = preTP(n) + ISS(n). For convenience, we will define a *target gain*, t(n), as the ratio of the post-saccadic target amplitude to the pre-saccadic one, as well as a *disturbance gain*, d(n), as the ratio of the second to the first target steps, i.e., the ratio of the *ISS* to the saccade proxy:

135
$$t(n) = \frac{postTP(n)}{preTP(n)} = 1 + \frac{ISS(n)}{preTP(n)} = 1 + d(n).$$
(1)

In the general case, there would be a constant and a variable component in the second target step, ISS(n) = C + V(n). In our sinusoidal adaptation paradigms, C = 0 and $V(n) = P \sin\left(\frac{2\pi f}{N}n\right)$ is a sine function of the trial number so that:

139
$$t(n) = 1 + \frac{ISS(n)}{preTP(n)} = 1 + c + v(n) = 1 + p(n) \cdot \sin\left(\frac{2\pi f}{N}n\right),$$
 (2)

where *c* and v(n) are the ratios of the constant and variable part of the *ISS* to the pre-saccadic target amplitude. In the sinusoidal paradigms, *f* is the frequency of the sinusoid in cycles per block, *N* is the number of trials in an adaptation block, and *n* is the index of the current trial. At 143 fixed amplitude, the dynamics of the disturbance is fully determined by its angular frequency $\omega = \frac{2\pi f}{N}$, that characterizes the rate of change of the sinusoid in each trial. P is the maximum 144 145 absolute magnitude of the variable part V(n), i.e., the 'amplitude' of the sinusoid that defines the 146 ISS. It was fixed at 2 dva throughout all sinusoidal adaptation datasets. Therefore, ISS(n) changed 147 in magnitude periodically and in a sinusoidal fashion between approximately -25% and +25% of 148 the magnitude of the pre-saccadic target eccentricity (preTP(n)), which was held approximately 149 fixed at 8 dva in all datasets. Finally, p(n) is the ratio of P and preTP(n), and had an approximately 150 constant value of 0.25 across the sinusoidal datasets (the slight dependence on the trial number 151 was a consequence of the slight dependence of the normalizing factor preTP(n) on the trial 152 number; in actuality, the magnitude held constant at 8 dva across the experiment was TP1(n) – 153 FP(n), which differed slightly but not systematically from TP1(n) - EP1(n)). Given that we used 154 integer number of cycles across all sinusoidal adaptation experiments, we expressed the 155 frequency in cycles per block (cpb). We set the initial phase to zero, which means that the 156 magnitude of the ISS starts at zero in the direction of positive ISS (outward second-steps of the 157 saccade target) first. Equation 2 provides a complete description of the stimulus that we used. Yet, 158 for the analyses pursued here and to make closer contact with our phenomenological 159 characterization of oculomotor responses in sinusoidal adaptation [11], we will further define a 160 stimulus gain, s(n), to be the disturbance gain normalized to (i.e., divided by) its maximum 161 absolute value. Therefore, s(n) would range within ± 1 in units of its maximum amplitude following 162 a sinusoidal variation with trial number:

163
$$s(n) = \left| \frac{preTP(n)}{\|ISS\|} \right| \left(\frac{postTP(n)}{preTP(n)} - 1 \right) = \frac{ISS(n)}{\|ISS\|} = \frac{1}{\|d\|} d(n) = \sin\left(\frac{2\pi f}{N}n\right).$$
(3)

Saccade amplitude adaptation is usually described in terms of the changes in *saccade gain* (*SG*(*n*)), defined as the ratio of the saccade amplitude (*SA*(*n*)) to the pre-saccadic position error (*preTP*(*n*)). During non-adapting trials and at the beginning of the adaptation blocks, *SG*(*n*) is typically slightly smaller than 1, which means that the saccade undershoots the target. Since we are interested in keeping track of the excursions of the saccade gain with respect to a perfect

169 completion of the saccade that matches preTP(n) exactly, we shall define an *adaptation gain* 170 subtracting one from the usual saccade gain and normalized to the maximum absolute value of the 171 *ISS*,

172
$$g(n) = \frac{preTP(n)}{\|ISS\|} (SG(n) - 1) = \frac{SA(n) - preTP(n)}{\|ISS\|}.$$
 (3a)

173 The adaptation gain represents the residual of the saccade gain with respect to perfect landing. 174 When a saccade lands exactly on the first target step (a perfectly accurate saccade), the saccade 175 gain will be one while the adaptation gain will be zero. Therefore, the adaptation gain uses perfect 176 landing as the origin of coordinates and quantifies departures from this ideal goal state. Clearly, in 177 both descriptions the reference represents a state of no adaptation. The adaptation gain 178 description may be viewed as following the evolution of the error rather than that of the full eye 179 movement. As long as the true underlying learning model is strictly linear, both descriptions must 180 be equivalent since they relate to each other by a shift. We used the adaptation gain, g(n), in our 181 previous reports [11,12] to provide phenomenological parametric description of sinusoidal 182 adaptation data and it is also commonly used within motor control research. Throughout the 183 manuscript we shall use SG(n) or g(n) as the relevant behavioral variables describing the data, 184 which are computed directly from the experimental measurements of the eye and target positions 185 in each trial.

186 Assessment of the evidence in favor of a model. In implementing the phenomenological parameter 187 estimation, we adopted a Gaussian likelihood for the data given the model. This likelihood can be 188 maximized with respect to the parameters at a fixed but unknown width. Instead we adopted the 189 following procedure [11,13]. Using Bayes theorem, priors for the parameters to be estimated, and 190 assuming a constant prior probability for the data, we can obtain a joint probability amplitude for all 191 parameters that can be marginalized to extract individual probability amplitudes for each 192 parameter. In this process, the width of the Gaussian likelihood is a nuisance parameter that we 193 integrate out using a non-informative prior [13,28,29]. Once such integration is conducted, the 194 volume of the resulting probability density (given the data) provides an estimate of the odds that 195 the model would provide a reasonable description of the data. Here we provide a full model 196 consisting of six parameters (sinusoidal entraining of the oculomotor response riding over a 197 baseline drift) that we want to compare to a partial model (the drift of the baseline alone) and to a 198 minimal model consisting of the mean of the adapting block with variance equal to the variance of 199 the recorded data over that block. To establish which situation is more likely across different 200 number of parameters, we take the log of the ratio of the odds across the models. The resulting 201 magnitude is the evidence that the data are in favor of a particular model and is measured in 202 decibels (db). When this magnitude is positive, the odds favor the model in the numerator, with 203 evidence higher than 3 db indicating that this model is significantly favored to the one in the

204 denominator. We use this metric to assess the quality of our parameter estimation.

205 Statistics. Throughout the manuscript we report results as mean ± SD for individual data and

mean ± SEM when we discuss group data. In the phenomenological fittings, to determine average parameters from the parameter estimation other than the frequency, we computed the mean and variance for each parameter and participant as the first two moments of the corresponding posterior probability distribution and took the average of the means weighted by their standard deviations (square root of the estimated variance) to generate each point on the population plot. Alternative estimators (e.g., the modes of the posterior distributions, with and without weighting) gave qualitatively similar results.

213 Modeling of the sensorimotor learning process: the modified delta-rule state equation.

To investigate generative models, we adopt the following rationale. In each trial, the oculomotor system must generate a motor command to produce the impending saccade. This needs to be calibrated against the actual physical size of the required movement [15,20,22,24,30,31].

If the saccade fails to land on target, the motor command needs to be recalibrated based on preexisting calibrations, and we will hypothesize that those changes take place in an obligatory manner (cf. [19]) through additive, error-based modifications attempting to ameliorate postsaccadic mismatches between the eyes' landing position and target location.

We model the underlying sensorimotor learning using linear time-invariant systems (LTIS) because the model parameters (or the learning coefficients) are time independent in each experimental block, although they can vary across experimental conditions or phases [32]. These models are closely related to linear dynamical systems (LDS; cf. [20-22]), except that here we only address noise-free models.

226 Because saccades are extremely rapid movements that do not admit reprogramming in mid-flight, 227 it is assumed that all gain changes take place in between saccades. In our models, therefore, the 228 error-based correction terms weight errors that were experienced in previous saccades. As a 229 consequence, in the estimation of the forthcoming event, the post-saccadic stimulus gain is not 230 compared against the adaptation gain measured for that trial but against the previous estimate of 231 the gain. To justify these assumptions, it is usually assumed that the motor system sends an 232 efference copy of the motor command to the sensory areas, which enables prediction of the 233 sensory consequences of the movement and therefore avails comparison to experienced post-234 saccadic feedback [7,19,20,31,33-35].

235 We will assume that the values of saccade and adaptation gains observed and extracted from the recorded data (i.e., $SG(n) = \frac{SA(n)}{preTP(n)}$ and $g(n) = \frac{SA(n) - preTP(n)}{\|ISS\|}$) are adequate proxies of that motor 236 237 calibration process. Yet the calibration itself is an internal feature of the brain and therefore the 238 adaptation gain that enters the generative algorithm (the state-equation) that we intend to study is 239 a hidden variable representing the internal state of the system. A model providing its temporal 240 evolution can then be fitted to the data; yet the variable itself is not experimentally accessible. We 241 denote the internal variable associated to the saccade gain by z(n). To describe the evolution of 242 this *state* variable we introduce the state-equation:

$$z(n+1) = A \cdot z(n) + K \cdot (t(n) - z(n)) + M + D$$
$$\cdot (t(n-1) - z(n-1)), \tag{4}$$

supplemented with an initial condition that sets the initial value $z(1) = G \cdot t(1)$. Here, the target gain t(n) is available from recordings in each trial and we shall assess how well the *prediction* of

245 the saccade gain (z(n + 1)), provided by **Equation 4**) fits the recorded data SG(n). The first term on 246 the RHS of the equation is a persistence term. The persistence rate A determines how much of the 247 estimate of the state variable at trial n is transferred to the estimate at the next trial [8,25,36]. 248 Therefore, its magnitude is expected to be typically slightly smaller than 1 and it is set to be 1 in the 249 models that do not include its effect. The second term weights the discrepancy between the gain of 250 the target at trial n and the predicted gain of the movement under the underlying assumption that 251 the size of the state variable is an adequate proxy for the (sensory) consequences of the 252 movement. The weighting coefficient K is called *learning rate*. M embodies any systematic effect 253 (drift or bias) that takes place in each trial but is not directly determined by the sensory feedback 254 2[37]; we shall call it a drift parameter. The last term is a second error-based correction term that 255 weights the discrepancy between the gain of the target and the estimate of the movement at a trial 256 other than the last error with and additional (distal) learning rate D. For concreteness we shall 257 assume that this correction is based on the sensory feedback arising from the next-to-last trial. 258 However, we shall return to this specific assumption further in the **Discussion**. Note that with the 259 inclusion of this hypothetical double error sampling the full model of **Equation 4** (and **Equation 5**) 260 below) becomes an algorithm that coherently uses two delayed feedbacks to estimate the state of 261 a single internal variable that models the sensory consequences of the intended motion.

Formatting of the data for fittings of the learning model. To be able to consistently compare results from this manuscript with the phenomenological analyses of the data presented in our earlier report, we will write the generative model in terms of a state variable associated to the *adaptation gain* of **Equation 3a** (cf. [11], and therefore naturally defined as $x(n) = \frac{1}{p}(z(n) - 1)$. Applying these changes, we obtain:

$$x(n+1) = A \cdot x(n) + K \cdot (s(n) - x(n)) + m + D \cdot (s(n-1) - x(n-1)).$$
(5)

As suggested by **Equations 4** and **5**, a sensorimotor learning model can be written in terms of hidden variables that would be naturally associated with the saccade gain or the adaptation gain defined in **Equations 3** and **3a** respectively. When transitioning from the saccade gain to the adaptation gain description in this linear model, the only parameter of **Equation 4** susceptible to changes is M, which we indicated in **Equation 5** using the lower-case m instead. Throughout the manuscript we adopt the adaptation gain (defined above), as the state variable to characterize the internal model and **Equation 5** as its relevant state-equation. In this description, the stimulus gain reduces to a pure sinusoidal disturbance with zero mean (i.e., with no static component), which minimizes confounds between the effects of the retention rate A and the drift parameter m.

Because movement gains are computed from experimental observations, models of motor control often include a second equation that maps the estimates of the hypothesized internal variable to real-world observations (see, e.g., [20,21]). In our simplified analyses and again invoking the preprogrammed nature and accuracy of saccades, we set this second (observation) stage to be an identity.

281 Estimation of the learning parameters, model classification and model selection.

282 We conducted our analyses using the full form of **Equation 5**. We were interested in determining 283 which model suffices to account for the data with the least number of parameters. The magnitude 284 being learned is x, the internal representation of the adaptation gain of the imminent saccade. This 285 gain has value zero upon the ideal outcome of perfect movement accuracy and in that respect, it 286 can be interpreted as the gain of an internal prediction error. Using **Equation 5**, we generated the 287 predicted values of x(n) in each condition and for each participant, and then fitted a number of 288 models that differed from each other in which parameters were estimated. When a parameter 289 among K, m, or D was not present, the corresponding term was removed from Equation 5. Note 290 however, that when the parameter A was not included as a fitting parameter, its value was set to 291 unity (i.e., A = 1). In the case of the initial value G, we obtained an estimate by taking the average 292 of the first five valued of the gain. We proceeded in this way because the initial value of the state of 293 the system is unknown and, while the first recorded value of the gain could be considered a proxy 294 for such initial state, execution and motor errors could yield a value of the gain significantly 295 different than the actual initial state of the system; we averaged over 5 trials to alleviate this 296 problem. In models where the initial value of the gain was left free to become a fitting parameter, 297 this average over the first five saccades was used as an initial value for the fitting routine for that

298 particular parameter. Improvements can be achieved by letting the initial condition become an 299 extra parameter. We discuss below the interpretation of using the initial condition as a fitting 300 parameter of the model.

301 In view of these features of the generative model, a natural classification of the models tested 302 arises as follows: given the parameters K, A, m, D_1, \dots, D_w, G , we will 1) include K in every model 303 because we are modeling intrinsic learning where we assume that learning from the last 304 experienced feedback is always present as well as obligatory [19,20,22,31]; 2) models will be 305 generated by adding successively the parameters A, m, and D, of which one or more could be 306 present but in this study we restrict ourselves to learning possibly from only one extra feedback in 307 the past; 3) G is an optional parameter that is included in an attempt to alleviate extreme effects of 308 the initial condition(s) as explained above. By applying points 1) through 3), sixteen different 309 models can be generated. For reasons to become clear below we would group them in four 310 families according to whether or not they contain the bias term (m) and the additional error term 311 (with learning rate D): K only (although with A = 1 when omitted), KA, KG, KAG feature zero bias 312 and a single error term; Km, KAm, KmG, KAmG are models with a single error term that allow bias; 313 KD, KAD, KDG, KADG have no bias term but sample two errors, and KmD, KAmD, KmDG, 314 KAmDG feature both a bias term and learn based on double error sampling. Therefore, the 315 simplest model had a single fitting parameter (the learning rate K, cf. [19]) and was obtained by 316 setting A = 1, removing the terms that involved m and D, and setting the initial value G to be the 317 mean of the first five values of the gain in the block. The full model had all five as fitting 318 parameters.

All parameters of the generative models were estimated by fitting the model to the experimental data using MATLAB function nlinfit; 95% parameters confidence intervals were computed using MATLAB function nlparci and predicted response for the hidden variable *x* with its corresponding 95% confidence intervals were obtained from MATLAB function nlpredci.

All 16 models were fitted to each individual participants' data, parameters were extracted for each model, and models were compared using the Akaike information criterion (AIC; [38-41]) by

325 computing Akaike weights across models for each participant. Finally, these weights were
 326 averaged across participants for each model in each condition.

327 Using the generative model to predict the parameters of the phenomenological description of the328 adaptation gain

329 The adaptation gain of the oculomotor response to a sinusoidal disturbance is best described by a 330 phenomenological function consisting of a decaying exponential added to a lagged but otherwise 331 undistorted sinusoid [11]. The sinusoidal component of the response onsets at the beginning of the 332 adaptation block but all fittings include the pre-adaptation block as well. The frequency of the 333 stimulus disturbance is matched closely by the gain. To fully describe the response, five extra 334 phenomenological parameters are required: amplitude (a) and lag (ϕ) of the periodic part of the 335 error gain complete the description of the periodic part. The exponential decaying component that 336 describes the baseline on which the periodic response rides requires other three: an asymptotic 337 value (B_0) where the baseline stabilizes at large trial number, a timescale (λ) in which the baseline 338 reaches 1/e of the full decay, and the amplitude of the decay (B):

$$g(n) = a \cdot \sin(\omega n - \phi) + Be^{-\lambda n} + B_0, \qquad \text{with} \qquad \omega = \frac{2\pi v}{N}.$$
(8)

339 We use here the same denominations used in our previous report [11], except for changing the 340 name of the timescale to λ to prevent confusion with the amplitude of the periodic component a. To 341 estimate parameters of the phenomenological functional form that best fits the data we used the 342 same general procedure and parameter estimation algorithm implemented in our earlier 343 contributions [11,13]. Solving the state-equation via iteration in the simpler case where the system 344 learns only from the last experienced feedback (cf. S1 Appendix), or borrowing techniques from 345 the theory of LTIS reveals a correspondence between these phenomenological parameters and 346 the coefficients of the generative model of Equation 5. (A complete derivation of the 347 phenomenological parameters as functions of the generative ones is not presented here due to 348 space limitations; details about the analytical procedures adopted can be found in [42]). Depending 349 on the parameters that each generative model includes, the functional form and value of the

- 350 phenomenological coefficients may change. Here we are interested in assessing which theoretical
- 351 prediction of the relation among phenomenological and generative model parameters matches the
- 352 data best as a way to validate the underlying sensorimotor learning algorithm.

353 Lag and amplitude of the periodic response

- 354 The lag of the periodic response of the error gain derived from the (full version of the) generative
- 355 model of **Equation 5** including the next-to-last feedback-error term is given by:

$$\cos(\phi) = \frac{\cos\omega - (A - K - D\cos\omega)}{\sqrt{[\cos\omega - (A - K - D\cos\omega)]^2 + [(1 - D)\sin\omega]^2}}$$

$$\sin(\phi) = \frac{(1 - D)\sin\omega}{\sqrt{[\cos\omega - (A - K - D\cos\omega)]^2 + [(1 - D)\sin\omega]^2}}$$
(9)

356 In models without next-to-last feedback term *D* should be set to zero; in models that do not have *A*

as a fitting parameter, its value should be set to 1 in **Equation 9**.

358 The periodic component of the response to a sinusoidal disturbance in models where the next-to-359 last feedback is included can be written as:

$$h(n) = \frac{K}{R}\sin(\omega n - \phi) + \frac{D}{R}\sin(\omega(n-1) - \phi),$$
(10)

360 where
$$R = \sqrt{[\cos \omega - (A - K - D \cos \omega)]^2 + [(1 - D) \sin \omega]^2}$$
.

Equation 10 shows that if D = 0 we recover the solution expected by iteration when there is learning from the last error only. Then the amplitude of the periodic component (*a*) in Equation 8 can be read out directly to be $a = \frac{K}{R}$. When $D \neq 0$ we need to re-write Equation 10 so that it matches the periodic part of Equation 8. After some algebra Equation 10 can be recast as:

$$h(n) = \frac{Q}{R} \cdot \sin(\omega n - (\phi + \varphi))$$
(11)

365 where

$$Q = \sqrt{(K + D\cos\omega)^2 + (D\sin\omega)^2}, \quad \cos\varphi = \frac{K + D\cos\omega}{Q}, \quad \sin\varphi = \frac{D\sin\omega}{Q}.$$
 (12)

Equations 9 to 12 clarify the effect of the presence of the next-to-last error learning rate *D*. Equation 9 shows how the *bare* lag ϕ changes when *D* is present. Yet, it would be incorrect to compare the fitted values of the phenomenological lag to Equation 9. The reason is that the second contribution in Equation 10 modifies not only the amplitude of the periodic component to the new value $a = \frac{Q}{R}$, but it also adds the shift ϕ to the lag. Therefore, if there were also learning from the next-to-last error, the observed (behavioral) lag should be compared to $\phi + \phi$.

372 Baseline drift parameters

Following a sinusoidal disturbance, the baseline of the error gain will approach an asymptote at large trial number that can be written as a function of parameters of **Equation 5** as (see also **S1 Appendix**):

$$B_0 = \frac{m}{1 - (A - (K + D))}$$
(13)

376 The timescale λ for the decay of the baseline, has units of 1/trials and it is defined by:

$$e^{-\lambda} = \frac{1}{2} \left\{ (A - K) \pm \left[(A - K)^2 - 4D \right]^{\frac{1}{2}} \right\}$$
(14)

377 **Equation 14** provides the weights of the *impulse response* that generates the integral solution by 378 convolving the stimulus (i.e., s(n); cf. **S1 Appendix**, [42]). The inverse of the timescale parameter 379 λ gives the number of trials over which the stimulus is integrated. Beyond this window of 380 integration, the weighting of the stimulus would have reduced enough to ignore further 381 contributions. When D = 0, the integration weight becomes $e^{-\lambda} = (A - K)$, which is positive and 382 smaller than 1, provided that the learning rate K < 1 and $A \sim 1$. When $D \neq 0$, Equation 14 provides 383 timescales for two modes that compose the integral solution of the state-equation. These result 384 from the addition or subtraction of the second term in braces. If the parameter D is negative, the 385 second term inside the braces becomes slightly larger than the first. The timescale resulting from 386 the addition is positive and can be expressed as a decaying exponential. The subtraction solution 387 is negative and of small magnitude and, therefore, it will decay much faster when raised to the trial 388 number. It introduces small additive fluctuations to the exponential decay of the addition solution Page 17 of 48

without changing its overall behavior. Critically, diverse sizes of the learning parameters may result in smaller or larger timescales in models with $D \neq 0$ compared to models where D = 0 (cf. **Results** section and **S1 Appendix**).

To recap, **Equation 8** has four phenomenological parameters that we shall explore in further detail: B_0 , λ , a, and ϕ . The former two parameters are already familiar from phenomenological descriptions of data in paradigms using fixed-sized second-step for the target. The latter are new, arising in paradigms with sinusoidal disturbances.

The amplitude of the decay of the baseline also bears dependence on the learning rates as well as on the initial condition. Because of the strong influence of the initial condition on this parameter, we refrain from a comparison of the behavioral fittings to the predictions from the generative model for this case.

400 Part of the material discussed in this contribution have been presented in the form of posters or 401 slide presentations [43,44].

402 Results

403 Analysis of the data at the phenomenological level

To obtain a general idea of patterns present in the data, we first collapsed the data for each stimulus frequency and adaptation type across participants (group data). We fit these data using a piecewise continuous function given by the addition of a monotonic (exponential) decay of the baseline –spanning both pre-adaptation and adapting trials- and a periodic entraining of the oculomotor response to the sinusoidal stimulus that begins at the onset of the adaptation block. This choice was supported by the fact that we had confirmed using statistical model selection criteria (i.e., AIC and BIC, [38-41,45]) that this functional dependence was the best descriptor of

411 the oculomotor response among the set of models tested in Cassanello et al. [11]. For illustration 412 purposes only, **Fig 1** shows the group data in each dataset, along with the fits resulting from the

413 parameter estimation based on the phenomenological model of Equation 8. The same

- 414 parameterization was used to fit each participant's run. Figs 2 and 3 summarize the estimation of
- the phenomenological parameters entering **Equation 8**. Fig 2 shows the values of mean ± SEM of
- the parameters estimated from every individual dataset for each frequency and adaptation type.

417 Fig 1. Fits of the phenomenological model to the experimental data. The plots show adaptation gain (colored lines) 418 averaged over individuals in the (a) Two-way adaptation and (b) Global adaptation condition of the ORIG data set 419 (reported in [11]), as well as the (c) Two-way adaptation and (d) Global adaptation condition of the FREQ data set, using 420 the same paradigm over an extended range of frequencies. The fit (black line) is based on Equation 8. The same 421 equation was fitted to data from each participant in each condition and experiment, to estimate phenomenological 422 parameters on an individual bases. For illustration purposes only, the figure depicts fittings done over the averages along 423 with 95% confidence intervals (gray shaded areas). The black dotted lines indicate the time evolution of the baseline if 424 the amplitude of the periodic response were zero, corresponding to a drift only model. The solid black lines indicate the 425 approximate middle-point locations of the periodic component.

Fig 2. Phenomenological parameters as a function of ISS frequency, estimated from both datasets (ORIG, diamonds, and FREQ, circles). (a,b) Amplitude (a) and Lag (b) parameters of the periodic (sinusoidal) component of the response. (c,d) Asymptote (c) and timescale (d) parameters of the monotonic drift of the baseline toward greater hypometria. Each point is a condition defined by type of adaptation and ISS frequency. Blue and red colors correspond to horizontal Two-way and Global adaptation, respectively. Error bars are SEM across participants. These four parameters are further compared to the values predicted by the solution to the generative models tested.

Fig 3. Assessment of the quality of the fits of the parametric phenomenological model to the group data. (a,c) Each bar is split into the log of the odds ratio of the full model to a drift only model that lacks the sinusoidal component (darker tone of the bars) added to the log of the odds ratio of the drift only model to the noise only model described above (lighter tone of the bars). For all but one condition (Global adaptation, 24 cpd), the full model provides the best account of the data. (b,d) Estimates of the frequency of the periodic component of the oculomotor response, for dataset ORIG (b) and dataset FREQ (d). Error bars are SEM.

438 Some features are readily apparent from these plots. First, the frequency of the ISS is reliably 439 estimated (cf. Fig 3b,d). Second, the amplitude and the lag of the periodic components of the 440 adaptation gain decay with increasing frequency of the stimulus (Fig 2a,b). The amplitudes of the 441 periodic component are systematically larger in Two-way adaptation, while the lags observed in 442 global adaptation are systematically larger than in the Two-way case. The systematic decay of the 443 values of the lag with increasing frequency does not seem to extend to the smallest frequency (1 444 cpb in the new dataset). This may be related to the fact that at such low frequency the stimulus 445 resembles more the behavior of a ramp that then turns rather than a truly periodic disturbance. 446 The parameters that affect the observed drift in the baseline (i.e., asymptote and timescale, Fig

447 **2c,d**) remain rather independent of the experimental condition. This feature is more apparent in the

448 ORIG dataset, but it still seems to hold in the FREQ dataset. An exception arises at the lower

frequency (1 cpb) tested in the FREQ dataset. However, the case of frequency one is rather special and should possibly be considered as transitional between periodic and non-periodic stimuli.

452 Fig 3 provides an idea of the quality of the fits by showing the evidence of the data in favor of the 453 models tested (cf. [11,13,28]). Upper and lower rows correspond to Two-way and Global 454 adaptation type respectively. For dataset ORIG, Fig 3a shows the logs of the odds ratio of the 455 parametric model of Equation 8 against a noise only model consisting of the block mean with 456 variance similar to that of the data. Each bar is split into the log of the odds ratio of the full model to 457 a drift only model that lacks the sinusoidal component (darker tone of the bars) added to the log of 458 the odds ratio of the drift only model to the noise only model described above (lighter tone of the 459 bars). This separation is possible because the models are nested so that the simpler models can 460 be obtained from the full model by eliminating parameters. The evidence then compares the 461 density of models likely to fit the data. Fig 3b shows the estimation of the frequency of the 462 oculomotor response against the actual frequency of the stimulus for the three frequency values 463 tested in dataset ORIG (3, 4, and 6 cpb). Fig 3c and 3d shows the evidence and the agreement of 464 the response with the five frequencies used in dataset FREQ (1, 3, 6, 12, and 24 cpb).

465 State-equation fittings and model selection

To assess the generative model, we fit **Equation 5** to all data available. For illustration puposes only, we first show that the model provides a reasonable overall fit to the group data. **Fig 4** shows fits of the oculomotor response predicted by the full form of the generative model given by **Equation 5** with all five parameters described in the **Methods** section: *K*, *A*, *m*, *D* as well as the initial condition *G*. As before the qualitative agreement of the fits and the data is evident in both datasets. As we did with the phenomenological fits, we included the pre-adaptation blocks in each condition in each dataset.

Fig 4. Fits of the oculomotor response predicted by the state-equation (Equation 5) with all five parameters. The plots show adaptation gain (colored lines), averaged over individuals in the (a) Two-way adaptation and (b) Global adaptation condition of the ORIG data set (reported in [11]), as well as the (c) Two-way adaptation and (d) Global adaptation condition of the FREQ data set, using the same paradigm over an extended range of frequencies. The stimuli input in the model fits is s(n) (cf. Equations 1-3), which is zero in the preadaptation block. The same equation was fitted

to data from each participant in each condition and experiment, to estimate parameters of the generative model on an individual bases. For illustration purposes only, the figure depicts fittings done over the averages along with 95% confidence intervals (gray shaded areas).

481 For all subsequent analyses, we fitted models to individual data. In particular, we compared 16 482 different models that differed from each other depending on which parameters were fitted (see 483 **Methods** for details). We used Akaike's information criterion (AIC) to explore statistical selection 484 among these models. Akaike weights (cf. section II of [40]) are shown in Fig 5 segregated by 485 model and condition, for datasets ORIG and FREQ, respectively. In each condition (identified by 486 adaptation type and stimulus frequency), we computed a matrix of weights in the following way. 487 Because the best fitted model may differ between individuals, we first computed the AIC weights 488 among the 16 models for each participant and condition. Then we averaged the resulting individual 489 weights across participants. Results from this procedure are shown in Fig 5.

Fig 5. Akaike weights [40] for the 16 versions of the generative model, segregated by condition (frequency and type of adaptation). The label along the middle y-axis indicates the model for the weight displayed in the horizontal bars. Results from dataset ORIG (a) and FREQ (b). Weights for each of the three frequencies for each type of adaptation (blue tones for Two-way expanding to the right, red tones for Global to the left) are stacked for each model and color-coded as in Fig 1. The models are grouped according to the criterion described in the subsection *Rationale for generative model building and parameter exploration* in the Discussion section (see text for further details). Gray areas in the background indicate the average weight of the corresponding model group.

Inspection of **Fig 5** suggests clear overall preference for models in groups II (which include *m* but not *D*) and IV (featuring both *m* and *D*). We discuss below why this is expected on theoretical grounds given the features of the data. Models from group IV that learn based on two error samples, are preferred in Two-way adaptation, specifically the full model (KAmDG) and the model in which *A* was set to unity (KmDG). Models in group II that feature a single learning rate (errorcorrecting based only on the last experienced feedback), specifically *KAm* and *KAmG*, have an edge in Global adaptation. In what follows, we will focus on a comparison of these four models.

504 Fig 6 shows the values of the generative parameters (Mean \pm SEM, N = 10 for dataset ORIG,

505 N = 13 for dataset FREQ) of the best models that learn only from the last experienced feedback

506 error (*KAm*, *KAmG*). Upper and lower rows correspond to datasets ORIG and FREQ respectively.

507 Learning rate K, persistence rate A and drift parameter m are shown in columns **a**, **b** and **c** of **Fig 6**

respectively. **Fig 7** reports the parameters of the best models that update their hidden variable based on double error sampling. Those models are KmDG and KAmDG. **Fig 7 a-d** show

510 respectively the learning rates K and D that weight the contributions of last and next-to-last

511 feedback, the persistence rate A and the drift parameter m. Note that all models include the drift

512 parameter *m* as a fitting parameter. We shall explain below why this should be expected.

Fig 6. Average over individual parameters of generative models KAm, KAmG, the best among those that learn from the last feedback only (cf. Equation 5 with D = 0). Blue and red colors correspond to horizontal Two-way and Global adaptation, respectively. (a) Learning rate K, (b) Persistence rate A, and (c) bias or drift-parameter m are plotted as a function of condition. Both favored models feature A and m as fitting parameters. Note the variability in their fitted values across conditions, in particular for dataset FREQ. Error bars are ±SEM.

Fig 7. Average over individual parameters of generative models KmDG, KAmDG, the best among those learning from last and next-to-last feedbacks (double-error-sampling model; cf. full Equation 5). Blue and red colors correspond to horizontal Two-way and Global adaptation, respectively. (a) Learning rate K, (b) Learning rate D, (c) Persistence rate A, and (d) bias or drift-parameter m are plotted as a function of condition. Both favored models feature D and m as fitting parameters. Note that in both models, the bias parameter m, and the persistence rate A in model KAmDG, display much lower variability in their fitted values across conditions when compared to that of Fig 6. (e,f) Addition and difference of both learning rates, $\kappa = K + D$ and $\eta = K + D$ (see text for discussion).

525 Again, several features are readily apparent from these plots. The learning rates (K and D) 526 obtained from ORIG [11], show a rather clear segregation between Two-way adaptation and 527 Global adaptation: K and D are larger for Two-way (blue colors) than for the Global case (red 528 colors) suggesting that the extra variability brought upon by the random directions of the 529 subsequent saccades characteristic of Global adaptation has a detrimental effect on all learning 530 rates. They do not show a strong dependence on the frequency but the range of values used in 531 that experiment was rather narrow, ranging from 3 to 6 cpb. This segregation in the learning rates 532 between Two-way and Global adaptation is also clearly present in the best models fitted to dataset

533 FREQ.

A feature observed in all cases is that in models that learn only from the last experienced error, the (single) learning rate (K) shows a mild increase with the frequency. This changes substantially if learning from the next-to-last feedback is included. In all of these models, the following features are observed. First, the magnitude of K, the learning rate of the last-feedback error-correction term increases by about an order of magnitude with respect to the models that do not have next-to-last error-correction. Second, the magnitude of the next-to-last error learning rate (D) is similar to that 540 of the last error (K) but with opposite sign. This seems to suggest that the next-to-last error is 541 weighted negatively (or actively attempted to be forgotten) in the algorithm. Third, the discrepancy 542 in magnitude between K and D is consistently larger for Two-way than for Global adaptation 543 (compare the separation between corresponding blue and red lines in **Fig 7**, **a** and **b**). Fourth, the 544 learning rate K reverses its dependence with the frequency of the stimulus with respect to the 545 models without D, and now decreases monotonically as the frequency of the stimulus increases. At 546 the same time, the magnitude of D also decreases with the frequency. As a consequence, the 547 discrepancy in magnitude between K and D is such that the addition of both learning rates 548 approximately matches the range of the values of K fitted in the models that learn only from the 549 last feedback (compare the values plotted in column a of Fig 6 to those of column e in Fig 7). This 550 suggests that when the additional error learning is not part of the model, the only learning rate 551 fitted may represent an average across sub processes.

552 The values of the parameters fitted with the best four models are shown in S1 Table (Mean ±

553 SEM, N = 10 for ORIG, N = 13 for FREQ). To assess dependence of the generative parameters on

554 the experimental conditions we run 2 X 3 (ORIG) and 2 X 5 (FREQ) repeated-measures ANOVA 555 on the fitted values using as regressors type of adaptation (Two-way and Global) and ISS 556 frequency. Results are shown in S2 Table for the parameters given in S1 Table. We regard as 557 more representative the results from dataset FREQ due to the more extended range of frequencies 558 tested. Consistent with the qualitative observations mentioned above, while type of adaptation is 559 highly significant for the learning rates in every model, frequency show significance for K and D 560 only in the models that feature double error sampling (*KmDG*, for both datasets, *KAmDG* only for 561 FREQ) but not in those learning just from the last feedback (KAm, KAmG). As for the persistence 562 rate, frequency is never significant suggesting that it can be kept fixed as in model *KmDG*. Type of 563 adaptation is significant in KAm and KAmG but such significance disappears in KAmDG.

564 Analytical solution of the generative model: predicting the phenomenological parameters

565 The iteration of state-equations that learn from the last feedback already qualitatively predicts both 566 components of the phenomenological response. In general, the complete response can be Page 23 of 48 567 interpreted as a convolution of the stimulus with a response function. This response function 568 integrates the stimulus by weighting the disturbance over a temporal window, the size of which 569 depends on the magnitude of the learning and persistence rates that combine to assemble the 570 weights (cf. S1 Appendix). Contributions from constant components of the disturbance that arise 571 either from constant features in the stimulus (as in the traditional fixed-ISS paradigm [1]) or from 572 intrinsic biases that may not be strictly error-based in nature (e.g., in our case represented by the 573 drift parameter; cf. [37]) accumulate across trials, changing saccade gain in a monotonic fashion 574 akin to a drift of the baseline towards an asymptote. Iteration of the systematically varying part of 575 the disturbance results in its convolution with similar weights but the trial-by-trial variation usually 576 prevents finding a closed form for the series re-summation. However, a sinusoidal disturbance 577 avails a closed analytical integral solution, it is periodic with the same frequency, lagging the 578 stimulus by a number of trials. Two new phenomenological parameters of this periodic response-

579 its amplitude and lag—bare characteristic dependences on the learning parameters.

Above, we fitted the extended version of **Equation 8** to the data and obtained and reported estimates for its phenomenological parameters (i.e., frequency v, amplitude a, lag ϕ , asymptote B_0 , timescale λ and decay amplitude B; cf., **Fig 2** above). Similarly, we fitted the generative parameters for all generative models using the corresponding versions of **Equation 5**. **Figs 6** and **7** display those estimates for the four models that provided the best fits (excluding D: *KAm* and *KAmG* and including D: *KAmDG* and *KmDG* respectively).

586 When the learning algorithm includes several error-based terms, **Equation 5** can be integrated 587 using techniques standard within the theory of LTIS [42]. This integration provides analytical 588 predictions of the phenomenological parameters as functions of the learning parameters fitted with 589 the generative models (Equations 9 through 14). We attempt matching these predictions to the 590 values fitted using the phenomenological parameter estimation implemented before (see Fig 2). It 591 should be pointed out, however, that the phenomenological parameter values have also been 592 obtained from fits to the data and therefore should only be regarded as indicative reference values 593 to guide intuition, not as ground truth. Validation of the actual underlying structure of the learning

594 model relies ultimately on statistical model selection. Yet, a direct comparison between the fitted 595 phenomenological parameters and analytical predictions evaluated on the fitted generative 596 parameters is informative because a given value of a phenomenological parameter has to be 597 compared to diverse combinations of the generative parameters depending on the specific 598 structure of the learning model.

599 We start with **Equation 13** that provides a relationship between the expected asymptote of the 600 adaptation gain at large trial number and the generative model parameters.

$$B_0 = \frac{m}{1 - \left(A - \left(K + D\right)\right)}$$

601 A first significant observation about this expression is that in order to observe a drift in the baseline 602 of the adaptation gain (i.e., in order to have an asymptote $B_0 \neq 0$), a finite value of the drift 603 parameter m is strictly necessary. If m vanishes, the adaptation gain would maintain a baseline pinned at zero regardless of the values of K, A or D. In addition, in a situation where $A \sim 1$, $B_0 \approx \frac{m}{\kappa_{+D}}$ 604 or $\frac{m}{\nu}$ in models where D = 0. Note that these are all signed magnitudes, not absolute values. In 605 606 other words, a small learning rate K or a small number resulting from the addition K + D will 607 modulate the size of the asymptote and will determine its sign (i.e., will modify the degree of 608 hypometria or hypermetria). Still a finite value for m is strictly needed to have non-zero asymptote. 609 Recall that when m is not a fitting parameter, its value is set to zero. Due to the pervasive baseline 610 drift across all of our data, all models favored under statistical model selection contain m as a 611 fitting parameter. This is why model groups II and IV (cf. Fig. 5) are preferred, as pointed out 612 above and in the **Discussion**. Note, in addition, that the smaller the learning rate (K or K + D), the 613 larger the size of the asymptote B_0 .

Experimentally, we observed drifts towards higher hypometria in all averages and in most of the individual data. Note that formatting the data in terms of *adaptation gain* instead of *saccade gain* allows us to remove confounds coming from constant contributions from the stimulus and therefore the parameter m should be regarded as intrinsic to the system. In other words, m characterizes or quantifies learning that would occur in absence of stimulus disturbance (i.e., with zero ISS), as if

- 619 the system has an intrinsic propensity to modify its gain by virtue of environmental or experimental
- 620 conditions not necessarily linked to an error.
- 621 **Fig 8a** displays the matching of the analytical predictions of the asymptotes computed by inserting
- 622 the fitted values of m, A, K and D into **Equation 13** for each participant's data, to the
- 623 phenomenological estimation of B_0 obtained from **Equation 8** and the parameter estimation of the
- 624 phenomenological fits of the data for both datasets and both adaptation types.

625 Fig 8. Comparison of phenomenologically fitted parameters to their theoretical predictions based on the 626 generative model. y-axes show the values obtained with the phenomenological parameter estimation (Equation 8); x-627 axes show predictions obtained by inserting the best estimated values of the generative parameters into the the 628 analytical expressions of Equation 9-14 (cf. 'Using the generative model to predict the parameters of the 629 phenomenological description of the adaptation gain' in the Methods section). Each row corresponds to one of the four 630 best generative models. Each point is a single participant in a given condition and experiment. Data from the condition 631 with a frequency of 1 cpb has been omitted because the predictions were poor for all models (in particular for the lag 632 valiable, see the text for a discussion of this point). (a) Asymptotes of the gain at large trial numbers (B_0 in Equation 8 633 vs predictions by Equation 13). (b) Timescale of the decay of the baseline (λ in Equation 8 vs predictions by Equation 634 14). Note the wide spread of the predicted values for models KAm, and KAmG that also results in several ouliers beyond 635 the limits of the subplot. In contrast, models KmDG tend to underestimate the phenomenological timescales. Model 636 KAmDG provides the best prediction of the phenomenologically fitted values with only two points just beyond the limits of 637 the plot. (c) Amplitude of the periodic component of the gain (a in Equation 8 vs predictions by Equations 10-12). Note 638 that models KAm and KAmG tend to underestimate the observed amplitudes of the peridic component of the gain. (d) 639 Lag of the periodic component of the gain (ϕ in Equation 8 vs predictions by Equations 9-12). The plots reveal a slight 640 tendency for models KAm and KAmG to overestimate the length of the lag with respect to the predictions of the models 641 including double error sampling (*KmDG* and *KAmDG*).

642 A second parameter characteristic of the baseline drift is given by the timescale. Fig 8b shows 643 predicted values for the timescales that result when values of m, A, K and D fitted with the state-644 equation are inserted in Equation 14. The first two rows of Fig 8b show a clear overestimation of 645 the baseline timescale in models that do not feature double error sampling (i.e., KAm and KAmG) 646 as several individual data points fall outside the boundaries of the plot. Yet, models that include 647 corrections based on the next-to-last error term, seem to underestimate the timescale (in particular 648 model KmDG). When introducing **Equation 14**, we pointed out that if the second error learning rate 649 D is negative, the dominant mode in the solution still features a monotonic decay that can fit the 650 phenomenologically observed exponential baseline drift of the gain. This is indeed the case in the 651 majority of fits to the individual participants' runs: Across models in group IV, D was non-negative 652 in only 13% of the individual runs; 6% for Two-way adaptation and 21% for Global adaptation data. 653 For model *KmDG*, *D* was non-negative in 7% of all runs; with only 1% (1 run out of 95) for Two-way 654 adaptation and 14% for Global adaptation. Furthermore, when estimating the timescales of models 655 that include double error-correction, **Equation 14** consistently gives smaller values than for models 656 without the second error term (cf. compare subplots of Fig 8b for the corresponding models, and 657 Fig S1 in S1 Appendix). This ordering relation between the timescales of models with and without 658 D was unknown before conducting the fits. Thus, data collected using a sinusoidal adaptation 659 paradigm suggests that including a second error-correction term yields a significant decrease in 660 the timescale with respect to models featuring a single error-correction term. Therefore, the 661 integration window (i.e., the inverse of the timescale) of models with double error-correction grow 662 significantly larger compared to those that lack the second error sampling.

663 Asymptote and timescale are parameters traditionally investigated and reported in adaptation to 664 fixed-step disturbances. Sinusoidal adaptation paradigms provide two additional parameters 665 associated to the periodic component of the adaptation gain observed in these protocols. Fig 8c 666 and d compare predictions for the amplitude and the lag of the periodic component of the gain 667 obtained by using Equation 9 through 12 above. Data from both datasets suggest that models that 668 do not feature double error sampling underestimate the magnitude of the amplitude of the periodic 669 component of the oculomotor response (cf. predictions from these models in Fig 8c). This feature 670 in fact is common to all models that learn from a single feedback and include m (besides models 671 *KAm* and *KAmG*; not shown) but the inclusion of *D* helps mitigating misestimation of this amplitude.

672 The last comparison is provided by the lag of the periodic component. Fig 8d compares predictions 673 based on the state-equation learner (Equations 9 and 11 furnish predictions for the components of 674 the lag ϕ and φ after inserting the parameter values fitted with **Equation 5**) and the 675 phenomenology (parameter ϕ in **Equation 8**). From **Fig 8c** and **d** it is apparent that the models 676 that include both m and D as fitting parameters provide better predictions, also displaying less 677 variability across participants, in particular for the Two-way adaptation type. Among models with 678 D = 0, again models KAm and KAmG fit best. Fig 8d shows, however, that these models appear to 679 overestimate the lag (cf. compare corresponding subplots in the figure), while models that have a 680 second learning rate D match better the empirically observed lag. In addition, all models fail the 681 estimation of the lag for a disturbance of frequency one as they all significantly overestimate the 682 lag observed. Even though the predictions of the other phenomenological parameters are 683 reasonable (the amplitude of the periodic component, timescale and asymptote), predictions for the 684 1cpb condition for both Two-way and Global adaptation have been omitted altogether in Fig 8. This 685 mismatch between the direct phenomenological estimation of the lag from the data and the 686 analytical predictions arising from the integration of the state-equation for the case of the 1cpb 687 condition, may be rooted in the fact that the functional dependence of the phenomenological 688 parameters on the generative ones is determined by the specific sinusoidal dynamics of the driving 689 stimulus, while the case of a 1-cpb frequency is the least periodic condition among all tested.

690 Discussion

We used a modified version of the traditional two-step saccade adaptation paradigm ([1]; see [2,46] for reviews) in which the size of the second step varied as a sinusoidal function of trial number with an amplitude of 25% of a fixed pre-saccadic target amplitude. We recorded observers'

694 eve movements at a total of six different frequencies and applied the sinusoidal disturbance always 695 along the saccade vector which was aligned either in a horizontal bi-directional fashion (Two-way 696 adaptation) or in random directions drawn from a uniform circular distribution (Global adaptation). 697 The oculomotor response, quantified by the adaptation gain, followed the disturbance variation with 698 comparable frequency, an amplitude ranging between 10 and 30% of that of the stimulus (i.e., 2.5 699 to 7.5% of the saccade amplitude), and lagging the stimulus by a few trials. In addition, it 700 developed a systematic drift of the baseline towards larger hypometria that reached asymptotes of 701 around 40% of the disturbance amplitude (i.e., 10% of the saccade amplitude) and was largely 702 comparable across conditions. The phenomenological description in Equation 8-composed of a

- periodic response and an exponential decay—captured this behavior well and we estimated all six
- 704 parameters pertaining to that description.

The present study explored whether the phenomenology described by **Equation 8** can be modeled with a state-equation, i.e., a generative rather than descriptive model of the underlying sensorimotor learning. We clearly show that the recorded saccade adaptation data is indeed Page 28 of 48 predictable in a robust and stable way using a linear time invariant state-equation similar but not identical to those proposed before in the literature. Moreover, in previous accounts, simulations based on generative models as well as ad-hoc fittings (mostly exponential or monotonic) of the temporal evolution of the gain were provided without specifying a pathway of how to evolve from one description to the other. We suggest that connection here and provide results of the derivation involved in transitioning between these descriptions.

714 Rationale for generative model building and parameter exploration

715 In mathematical terms, the functional form in **Equation 8** is the integral solution of a family of 716 LTISs of which **Equation 5** is a particular example. It is referred to as a state-equation or state-717 space model because the internal variable x characterizes the gain or state of adaptation of the 718 system. This algorithm is generative because it estimates the value of x at trial n + 1 by modifying 719 its estimate at the previous trial including possible effects of systematic biases and correcting the 720 former value by weighting sensory feedback resulting from movement execution [21,26,47,48] (see 721 also [25,32]; for further details on our specific use see the Methods section). Here we limit our 722 discussion to *noise-free* generative models in that **Equation 5** does not include any noise term. 723 Yet, Fig 1 together with Fig 4 suggest that integral solutions as well as numerical outcomes of 724 noise-free generative models survive ensuing variability, at least for the paradigm, type of stimulus 725 and within the ranges of the conditions tested.

We analyzed 16 models that differed in the specific parameters that were fitted and then used Akaike's information criteria to attempt model selection. Since we were primarily modeling intrinsic

error-based sensorimotor learning, the learning rate K —that weights the impact of the last feedback error on the state of adaptation—was present in every model. Second, we included the initial condition G as a fitting parameter in half of the models. This parameter is not part of the trialby-trial learning algorithm and its effect should decay as the trial number increases (cf., **Equation 7**). However, the initial condition affects the amplitude of decay of the baseline drift (cf. B in **Equation 8**). Because the argument of **Equation 5** is an internal variable not directly experimentally accessible, a proxy for its initial value can only be approximated (for example, by averaging the first five gain values in the block) or included as a fitting parameter. Third, we included a persistence rate *A* that weighted how much of the estimate from the previous movement remained in the subsequent one. The fourth parameter, *m*, captured systematic effects, that are not error-based in nature, and gave origin to drifts in the baseline that were pervasive across all conditions. Finally, we considered the plausibility and study the effects of a second learning rate *D* that tracks errors other than the most recent (here, the next-to-last feedback error).

To further discuss the effect of the generative parameters, we split the 16 models into four groups:

743 I. Models that neither included terms depending on the second learning rate *D* nor the drift
744 term *m* (*K*, *KG*, *KA*, *KAG*);

745 II. Models without terms depending on *D* but including *m* (*Km*, *KmG*, *KAm*, *KAmG*);

746 III. Models including terms depending on *D* but excluding *m* (*KD*, *KDG*, *KAD*, *KADG*);

747 IV. Models with both *D* and *m* terms included (*KmD*, *KAmD*, *KmDG*, *KAmDG*).

748 We recall that in models where A is not a fitting parameter, A = 1. The groups are listed on the left 749 side of Fig 5. Models within group I consistently fitted worst. Moreover, models that do not include 750 m (groups I and III) cannot capture an evolution of the gain into a stationary asymptotic value 751 because the state equation does not admit a solution featuring that behavior (that is, if the stimulus 752 has no constant term). These models, however, may be useful in experimental paradigms where a 753 stable state of adaptation is not clearly reached either because the length of the adaptation block 754 used may be too short or because the driving disturbance is unbounded (for example a linear 755 ramp). On the other hand, models that include sampling from two errors (cf. groups III and IV) will 756 likely be better suited to extract correlations built into the stimulus as it is the case of a sinusoidal 757 ISS.

The fits of the phenomenological model (**Fig 1**; **Equation 8**) suggest that asymptotic behavior of the baseline and reflection of the stimulus self-correlation (entraining) were clear structural properties of the oculomotor response. The analytical solutions of models in both groups II and IV Page 30 of 48

761 are consistent with this phenomenology. Fig 5 summarizes the AIC weights emerging from the fits 762 to the individual participants' data. The weights shown in the horizontal bars are averages over 763 individual participants' weights for each condition and color coded by the frequency of the stimulus. 764 Data from Two-way adaptation is depicted with blue tones in bars increasing towards the right. 765 Global adaptation is shown with bars spanning to the left in red tones. The average weight for each 766 model family is shown by the gray background behind the corresponding group. While models in 767 group II already generate responses in qualitatively good agreement with the evolution of the 768 adaptation gain, it remains to be decided whether corrections based on the memory of more than a 769 single error provide for a better fit. AIC weights show that group IV clearly outperforms all others in 770 Two-way adaptation in both datasets, suggesting that the best generative model to describe this 771 type of adaptation includes all four parameters K, A, m and D. In Global adaptation, models from 772 group II either match or slightly outperform those of group IV. Model comparison showed that a 773 state-equation including a single parameter or any combination of only two of the four parameters 774 K, A, m and D could not adequately account for our data (cf. Fig 5). In addition, an inspection of 775 actual values of the parameters fitted across the population suggests that the parameters A and m776 may be set to constant values, that is, to almost one for the former and to a very small and 777 negative number for the latter (cf. Fig 7, columns c and d), at least within the range of frequencies 778 tested in these experiments. Overall, the drift parameter m and the second learning rate D proved 779 useful and necessary to account for systematic effects in our data, suggesting (1) that some 780 changes in the adaptation state are not error-based and (2) that-at least under specific

781 circumstances—the brain keeps track of at least one extra occurrence of the error besides the last

experienced one. Three-parameter models that did not involve *D* (specifically *KAm*) were most successful in Global adaptation and in the high frequencies of Two-way adaptation. This could be simply a reflection of increased levels of measurement noise in these conditions giving an upper hand to models with fewer parameters. More interestingly, it could point to an architecture that samples two errors only under certain conditions, for instance, when errors are repeatedly experienced for the same saccadic vector, or, when the variation of the feedback error has a high signal-to-noise ratio. We speculate that overtraining along a given direction, understood

789 as the repetitive experience of consistent error along similar saccade vectors in Two-way 790 adaptation (note that in our paradigm Two-way adaptation stimulates only two retinal locations) 791 may give rise to vector specificity and, consequently, to the adaptation fields typically observed 792 with fixed-step paradigms. Indeed, Rolfs and collaborators [18] suggested that Global adaptation, 793 featuring apparent full transfer across random directions, appears to onset ahead of the 794 development of vector-specific adaptation fields. This appears consistent with the present finding 795 that models that rely on a single error-correction show timescales corresponding to faster evolution 796 of the baseline drift (although with longer lags in the sinusoidal component) as compared to those 797 of Two-way adaptation (featuring shorter lags in the sinusoidal component consistent with tracking 798 the stimulus more closely due to the repetitive training in a specific direction).

799 Drift in the baseline and the meaning of m

800 The persistent drift of the baseline towards higher hypometria is a distinctive feature in our data 801 that cannot be accounted for on the basis of motor adaptation [49]. We included an extra 802 parameter m to account for this drift in mean adaptation gain towards an asymptote differing from 803 the mean of the stimulus (cf. Equation 13). This parameter is conceptually novel, distinct and 804 independent of the persistence rate A, and determines the presence of a non-zero asymptote via 805 **Equation 13**. Because in our paradigm the goal of the task was to land on the target as close as 806 possible, and because the sinusoidal ISS introduced a continuously changing prediction error, the 807 best expected outcome would be to track the disturbance within the levels of error typical of trials 808 without disturbance. With respect to that goal, the presence of a baseline drift introduces an 809 additional discrepancy that does not, however, hinder successful adaptation to the disturbance.

Saccadic eye movements slightly undershoot their target on average [50] and this systematic offset corresponds to the internally predicted visual outcome of a saccadic eye movement [51,52]. We surmise that our paradigm may have yielded a re-calibration of this desired offset [53] over the course of an experimental run. This recalibration towards a larger undershoot may result from the high probability of a quick return saccade after every eye movement in our fast-pace paradigm,

reducing the utility of maintaining a saccade gain close to one. We note that this systematic decrease in saccade gain may in general—albeit to different degrees—pervade the study of saccadic adaptation (but see [7,54]). In fixed-step paradigms (as opposed to the sinusoidal paradigm employed here), however, it would have been obscured as the error-based correction for the surreptitious target displacement undergoes similar dynamics as the drift reported here.

On the other hand, from the point of view of the internal model of the movement that the brain may implement [33-35], this bias parameter m may hint to a discrepancy between the experimental coordinate system where measurements are acquired and the coordinate system in which the internal model is represented.

On a neurophysiological level, the small systematic bias that gives rise to the drift of the baseline may originate from the dynamics of the responses in the neuronal substrates involved with saccade adaptation ([55-60], Reza Shadmehr, *personal communication*, July 12, 2018). It is also possible that the fast-pacing used in our paradigm exacerbates effects that generate a small and negative bias parameter, m, which appeared to onset already at the pre-adaptation block. That would further suggests that the magnitude of m may depend on the inter-saccade interval as well as on the precise timing of the ISS onset, which should be addressed in future studies.

831 Consequences of learning from double error sampling (D parameter): Two learners?

832 The models that best explained the data featured a double error sampling, learning not only from 833 the feedback experienced after the last saccade but also from the movement that occurred in a trial 834 before that. Hence, the best models used a feedback reaching further back in time through the K-835 and *D*-terms of **Equation 5**. Yet, does the oculomotor system actually implement this double error 836 sampling that may coherently participate in a single internal model prediction? We suggest that the 837 brain may attempt to approximate the performance achieved by the double-error-sampling 838 algorithm by using two single-feedback learners operating on appropriate combinations of the 839 stimulus sampled at two different times.

To understand that, we return to **Equation 5**. For simplicity, we will assume that m = 0.

$$841 x(n+1) = (A-K)x(n) - Dx(n-1) + Ks(n) + Ds(n-1), (5a)$$

842 and write a transformation among state variables sampled at two different trials as,

$$X_{+}(n) = \frac{1}{2} \{x(n) + x(n-1)\} \text{ and } X_{-}(n) = \frac{1}{2} \{x(n) - x(n-1)\},\$$

that can be substituted in the RHS of **Equation 5a** using the inverse relations:

$$x(n) = X_{+}(n) + X_{-}(n)$$
, and $x(n-1) = X_{+}(n) - X_{-}(n)$.

844 We can re-write **Equation 5a** in terms of these alternative state variables X_+ and X_- :

845
$$X_{+}(n+1) + X_{-}(n+1) = (A - \kappa)X_{+}(n) + (A - \eta)X_{-}(n) + \kappa S_{+}(n) + \eta S_{-}(n),$$
 (5b)

where we adopted the definitions of $\kappa = K + D$, $\eta = K - D$, $S_+(n) = \frac{1}{2}(s(n) + s(n-1))$ and 846 $S_{-}(n) = \frac{1}{2} (s(n) - s(n-1))$. Equation 5b avails the interpretation of the generative model as 847 848 selectively learning into two component channels that learn from a single feedback error taken 849 from different sources. The source for the learner X_+ is the mean of the two samplings of the stimulus, i.e., $S_{+}(n) = \frac{1}{2}(s(n) + s(n-1))$. The source for the second learner is the rate of change 850 of the stimulus across the sampling events given by $S_{-}(n) = \frac{1}{2} (s(n) - s(n-1))$ which, when the 851 852 samplings occur on successive trials, it could be interpreted as the discrete time derivative of the 853 stimulus taking the elementary timestep as the (average) inter-trial interval.

854 Note that the representation in terms of these alternative internal variables would significantly alter 855 the underlying structure of the noise-free learning model. But if we insist on keeping a close 856 connection to the parameters extracted using the double-error-sampling algorithm, we would 857 expect that the learning rate for learner X_+ would be the addition of the rates for the two errors, 858 $\kappa = K + D$, while for learner X₋ it would be $\eta = K - D$ (cf. Fig 7, columns e and f). In all our fittings 859 using the double error sampling, K and D were very close in magnitude but carried opposite sign. 860 Furthermore, κ was small and similar in magnitude to the learning rate K obtained for models that 861 learned only from the last error. Because D was negative, the learning rate η for the second learner 862 became also positive but much larger than κ , in fact about an order of magnitude larger (Fig 7, Page 34 of 48

columns **e** and **f**) effectively enhancing the overall gain of the process without driving the system unstable [61-63]. As a consequence, $(A - \kappa)$, which can be thought of as an *effective* A_+ will be much closer to unity than $A_- = (A - \eta)$. Therefore, X_+ will learn and forget much slower than X_- .

866 Using this double error sampling, the oculomotor system could track the rate of change of the 867 stimulus from one saccade to the next, besides just its last change in size and it would 868 approximate the learning efficiency of the double-error-sampling algorithm. The new internal 869 learning variables $(X_+ \text{ and } X_-)$ would learn from smoothed-out versions of the disturbance resulting 870 from the average sum and difference of the two sampled inputs. Whether this constitutes an 871 advantage over learning exclusively from the last feedback depends on the nature of stimulus. If 872 the disturbance is constant or fully random there would be very little advantage in performing the 873 double error sampling. In the former case, the inter-sampling variation is zero leaving nothing to 874 learn. In the latter, the inter-sampling variation would be another random magnitude and there 875 would be little advantage in learning from the variation in the feedback. However, if the mean of the 876 disturbance varies in a systematic way—as it does during sinusoidal adaptation, and presumably in 877 natural scenarios—learning from its rate of variation would be advantageous and could well justify 878 a large learning rate. In the representation of the double-error-sampling model, unlearning actively 879 the next-to-last sampled feedback error (i.e., with a large and negative D subtracted from an 880 enhanced K) would materialize this advantage with little extra investment. However, a negative 881 learning rate feels counter-intuitive as learning is believed to follow the direction of the correction 882 suggested by the feedback. Segregation of the learning underlying motor (or saccade) adaptation 883 into two learners displaying similar characteristics to those suggested here have indeed been 884 proposed in other contexts [8,25,64,65]. The argument presented above suggests a mechanistic 885 way to construct a two-learner system, in which the components X_+ and X_- can be considered 886 statistics in counterphase. To approximate the double-error-sampling learner, the system may hold 887 in memory both samples, compute mean sum and differences between the samples and 888 implement two learners based on those statistics rather than from bare values of errors or stimulus 889 occurrences. To achieve that, the oculomotor system would need to keep memory and weight 890 prediction errors from a former time scale besides the last feedback [65].

891 An important point to notice is that, even if there is double error sampling, it does not need to be 892 strictly the next-to-last error. It would be enough that the brain keeps a correlation of errors over 893 two different trials (cf. [66]) although it would be reasonable that they are spaced only by a short 894 delay [61]. This is a reasonable generalization since the inter-trial interval is rather arbitrarily set by 895 the pacing of the task that may or may not match a possible internal sampling frequency by the 896 brain. The frequency of the stimulus then determines to what degree differences in the stimulus 897 can be sampled, which may explain the dependence of the amplitude and lag of the periodic 898 component of the response with the frequency as well as the fact that the evidence for the full 899 model seems to peak at intermediate frequencies. In other words, it may be easier to learn at 900 certain frequencies (for a fixed amplitude) or at certain effective rates of change of the stimulus.

901 Dependency of learning rate on perturbation dynamics: Linear but not strictly Time Invariant 902 Systems

903 We further explored whether the values of the generative parameters exhibited dependence on the 904 experimental condition, specifically with the type of adaptation and the frequency of the 905 disturbance. The parameters of our models remained time-invariant across pre-adaptation and 906 adaptation blocks. However, we did not rule out that these parameters may change with adaptation 907 type and stimulus frequency. In fact, LTIS models with parameters not strictly time-invariant have 908 been invoked to model (meta-learning in) savings in adaptation to visuomotor rotations [32]. Strict 909 LTIS models with two learners had been able to successfully account for savings in long-term 910 saccade adaptation [8,25,64,67]) but were not able to fit differences in the dynamics of the 911 adaptation, extinction and re-adaptation phases observed using counter-adaptation and wash-out 912 paradigms in adaptation to visuomotor rotations without letting the rates change across the phases 913 [32].

We limit our discussion to the best four generative models selected in the **Results** section. In models *KAm* and *KAmG* (and in general in all models of groups I and II), the (only) learning rate *K* remained relatively independent of, or exhibited a tendency to grow with, the frequency of the stimulus (**Fig 6a**). Learning rates for Two-way adaptation roughly ranged between 0.01 and 0.035 918 fraction of the error across the frequencies tested. The same parameter in Global adaptation was 919 smaller and remained within the range 0.005 to 0.015 (cf. Fig 6a and S1 Table). These 920 observations were confirmed by ANOVAs run on the fitted values of the parameter K in models 921 KAm and KAmG in that type of adaptation was always a significant factor while ISS frequency 922 never was (S2 Table). These values of K compare reasonably well with the magnitude of learning 923 rates previously reported in the literature (cf. [8,19]). The dependence of the learning rate on the 924 frequency of the disturbance seems in qualitative agreement with results from reaching 925 experiments in which subjects learned to track a target undergoing surreptitious displacement that 926 followed a random walk [30,47]. Using a Kalman filter to estimate corrections to the learning rate 927 due to various types of variability Burge and collaborators [30] argued that the learning rate 928 increased as the drift of the walker increased. In the sinusoidal adaptation paradigm where the 929 amplitude of the sine function that produces the ISS is of fixed amplitude, this situation occurs 930 when the frequency increases because its size from one trial to the next changes faster. However, 931 this suggestion seems at odds with the intuition that a more consistent stimulus should drive more 932 efficient adaptation [68,69]. In particular, it has been reported that a smooth gradual variation 933 results in more efficient adaptation [3,70]. If this were the case and reflected onto the model 934 parameters, the learning rate should be higher for smaller frequencies.

935 However, the dependence of the learning rate(s) on the frequency described above changed rather 936 dramatically when double error sampling was included (cf. Fig 7, columns a and b). Interestingly, 937 in models that feature double error sampling, the learning rate of the most-recent error-term (K)938 reversed its tendency and decreased as the frequency increases, achieving its highest values in 939 the conditions of lower frequency, this is, in situations where the stimulus displayed higher 940 consistency. Concurrently, the learning rate for the next-to-last feedback (D) achieved its most 941 negative values at lower frequencies and grew less negative as the stimulus frequency increased. 942 In the alternative scenario of two additive learners with single error correcting terms that learned 943 respectively from the half-sum and the half-difference of the two sampled errors suggested in the 944 previous sub-section, the learning rates κ and η also showed a distinct dependency on the ISS 945 frequency. The slow-learner (with learning rate κ) would only have corrected up to 1% of the

946 average of the two errors sampled while the *fast-learner* (with rate η) would have produced 947 corrections of up to 40% of the change experienced between the two sampled errors (cf. Fig 7e 948 and f). Note that this massive change in the dependence of the learning rates on the frequency 949 was a consequence of changing the hypothesized structure of the model and not of correcting the 950 magnitude of the rates for effects of variability. Once again, ANOVAs confirmed that not only the 951 type of adaptation but also the stimulus frequency had significant impact on the learning rates (K 952 and D, as well as κ and η) in models KmDG and KAmDG as well as all models of group IV (cf. S2 953 Table).

954 In contradistinction, the retention rate A (Figs 6b and 7c) and the bias parameter m (Figs 6c and 955 7d) remained relatively independent of the frequency under such changes, although their overall 956 variability was clearly reduced in the models featuring double error sampling (contrast the value 957 ranges of m and A in Fig 6, against the corresponding ones in Fig 7, aside from model KmDG in 958 which A = 1; see also corresponding entries in **S1 Table**). ANOVAs run over these parameters 959 further confirmed non-significance of the frequency except for model *KmDG* on *m* in dataset ORIG 960 (S2 Table). Type of adaptation occasionally modulated A in dataset FREQ in models with a single 961 error term. Taken altogether these suggests that both A and m may be largely frequency 962 independent and can be modeled as constant values maybe differing in value for Two-way and 963 Global types.

In summary, introducing a second error term increased the magnitude of both learning rates (Kand D) by an order of magnitude with opposing signs. The learning rates of these models showed a clear dependence on the frequency of the disturbance: higher stimulus consistency (i.e., lower stimulus frequencies) correlated with higher adaptation efficiency. At the same time, the inclusion of the double error sampling reduced variability in the estimates of the persistence rate A and the drift parameter m, indicating that their estimates were not affected by the ISS frequency, and could thus be set to appropriate constant values.

971 Relation to previous work on sensorimotor control and adaptation

972 Multiple distinct learning processes contribute to sensorimotor adaptation [8,25,64-66,71]. Recent 973 research conducted primarily within adaptation to visuomotor rotations or in reaching movements, 974 suggests that adaptation can be decomposed into two fundamental processes that may operate in 975 parallel: one that would be responsible for implicit learning that progresses slowly and can be 976 described mechanistically by a state-equation [49]. This slow learning process is relatively stable 977 over breaks, takes place with automatic, reflex-like behavior and its properties tend to be sturdy 978 and do not change fast with recent experience. A second, parallel process, in turn, learns explicitly, 979 is faster although it may require longer reaction time and possibly voluntary behavior to be 980 engaged. This faster process would exhibit longer term memory of prior learning [71-74].

981 We believe that our paradigm taps only the first, implicit component. Yet, we suggest that our 982 analyses provide evidence for two separable subcomponents, although both would be intrinsic in 983 nature [75]. In fact, a key difference between our oculomotor learning and learning that occurs in 984 adaptation to visuomotor rotations and during reaching in force fields is that our participants were 985 primarily unaware of the inducing disturbance. In contrast, in the aforementioned paradigms, 986 participants immediately notice a disturbance even when they may not be fully aware of the exact 987 effect. In this sense, our paradigm could be considered qualitatively closer to that used by Cheng 988 and Sabes [22] who studied calibration of visually guided reaching in participants fully unaware of 989 the stimulus manipulation. Their paradigm used a random, time-varying sequence of feedback 990 shifts. They found that a linear dynamical system (LDS) with a single error term and trial-by-trial 991 state update for variability implemented with an estimation-maximization algorithm successfully 992 described mean reach point and the temporal correlation between reaching errors and visual shifts. 993 They further argued that the learning taking place under a random stimulus generalizes to a 994 situation of constant shifts in a block paradigm and, therefore, that adaptation dynamics does not 995 rely on the sequence (or correlation) of feedback shifts but can be generally described with the 996 LDS model. In contrast to random or block constant ISS, our paradigm featured a disturbance that 997 was fully self-correlated since it followed a sine variation with the trial number. Therefore, it may 998 prove advantageous for the oculomotor system to extract correlations embedded in the

disturbance because they would help tracking the target. As pointed out, including double errorsampling would serve this purpose.

We believe that the presence of a systematically varying disturbance enables a further decomposition of the implicit component of adaptation, perhaps into a primary one, that attempts to mitigate the end-point discrepancy regardless of self-correlations in the disturbance, and a second one that attempts to extract (and use) such correlations. It remains an open question how these putative subprocesses may map on distinct or overlapping anatomical structures, such as cerebellar cortices, deep cerebellar nuclei and extracerebellar structures [55,57,59,60,64,76-80].

1007 A recent study suggested that learning in dynamic environments may be adequately modeled with 1008 an algorithm popular in industrial process control, the proportional-integral-derivative (PID) 1009 controller [81]. The algorithm generates a control signal adding three error-related contributions: a 1010 term proportional to the current error that resembles a usual delta-rule (the P-term), a term that 1011 integrates over a history of errors experienced before the current one, and a derivative term 1012 estimated from the difference between the last two errors. The model shares some features with 1013 ours, in particular that the learning rate for the next-to-last error needs to be negative to 1014 approximate the derivative term. The PID controller acts on the actual recorded errors (the 1015 equivalent of the visual errors observed after each saccade is executed) and contains no internal 1016 state estimation, whereas our model operates on an internal variable that contains the state 1017 estimation of the prediction error that would result from the movement execution. Our state variable 1018 in fact accumulates and retains a substantial portion of the history of previous error (the 1019 persistence term in **Equation 5**, see also the example given in **S1** Appendix), which is updated on 1020 a trial-by-trial basis by the term proportional to the latest prediction error (the delta-rule term). The 1021 inclusion of an extra error in our state-equation (specifically that of the previous to last one) 1022 effectively brings into play a contribution similar to the derivative term of the PID model. In short, 1023 our D-term enables a systematic correction to the integral term (our A-term) that otherwise would 1024 be determined rigidly by the iteration of the equation. In that respect, keeping track of former errors 1025 enables a structural correction that acts at a global level even when it is introduced on a trial-by-1026 trial basis, lending both robustness and flexibility to the algorithm. Ritz and collaborators [81]

1027 further compared the performance of the PID model to a Kalman filter used to update a state 1028 variable in presence of noise applied on the single error structure of the usual delta-rule and found 1029 that the PID controller performs better. A further similarity with the aforementioned work lies in their 1030 observation that models with a derivative term are usually not readily selected under statistical 1031 model selection even when they may display significant improvement in the description of the 1032 behavior (see [81] for a longer discussion on this point).

1033 Conclusions

1034 Having adequate generative models that describe eye movements have been stressed before 1035 [80,82-86] as an important tool to assess, at the single patient level, a variety of movement 1036 abnormalities that have been identified as markers of neurological conditions or disorders at a 1037 group level. In this study, elaborating on the idea of tracking a memory of errors [65], we attempted 1038 to identify and constrain a relatively minimal set of requirements that would suffice to model 1039 saccade adaptation data collected under the paradigm and stimulus that we recently implemented 1040 [11] but that would also include previous accounts of the phenomenon under other known 1041 paradigms. While certainly many refinements are still due, we unveiled features of an algorithm 1042 that seems suitable to account for the sensorimotor learning observed in our data. We hope it can 1043 be generalized, extended and adapted for use in future research.

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- 1047

1051 **S2 Table. ANOVA results on the generative parameters fitted with the best four models.** Repeated-measures 1052 ANOVA (2 X 3 on data from ORIG; 2 X 5 on data from FREQ) with factors type of adaptation and stimulus frequency was

¹⁰⁴⁸ **S1 Table. Generative parameters fitted with the best four models.** Model name is shown at the top. The corresponding datasets can be identified by the stimulus frequencies tested: ORIG: 3, 4 and 6cpb. FREQ: 1, 3, 6, 12 and 1050 24cpb.

- 1053 run on each of the four best models. Model name is shown at the side of the table and parameter names are on the top.
- 1054 The dataset is indicated in the cell at the upper left corner next the the parameter names. Highlights indicate the cases
- $1055\,$ where the corresponding factor shows significant effects.

1056 S1 Appendix. Predictions of a state-equation with a sigle, most-recent error-based correction term. Effects of including next-to-last error-sampling.

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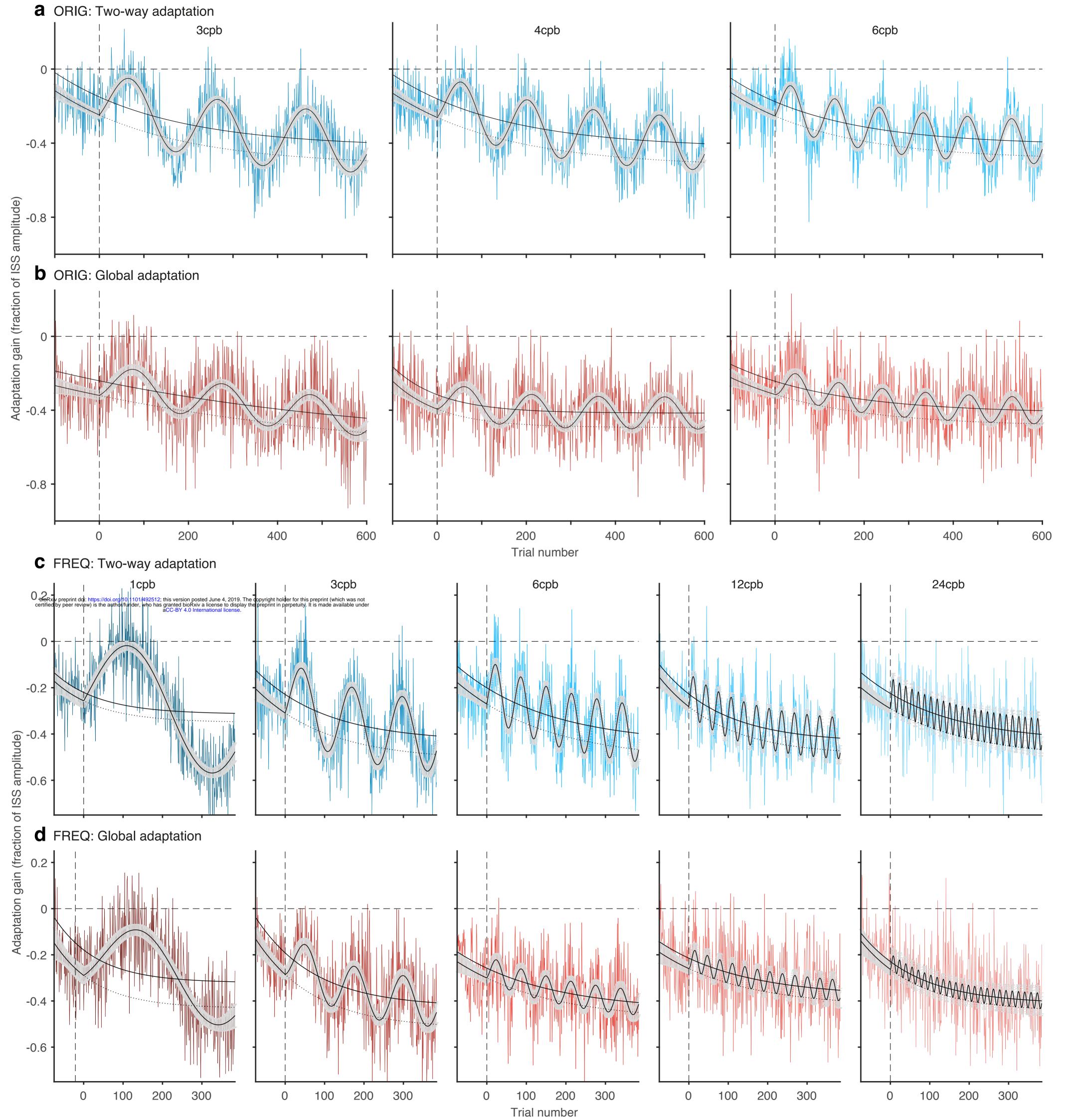
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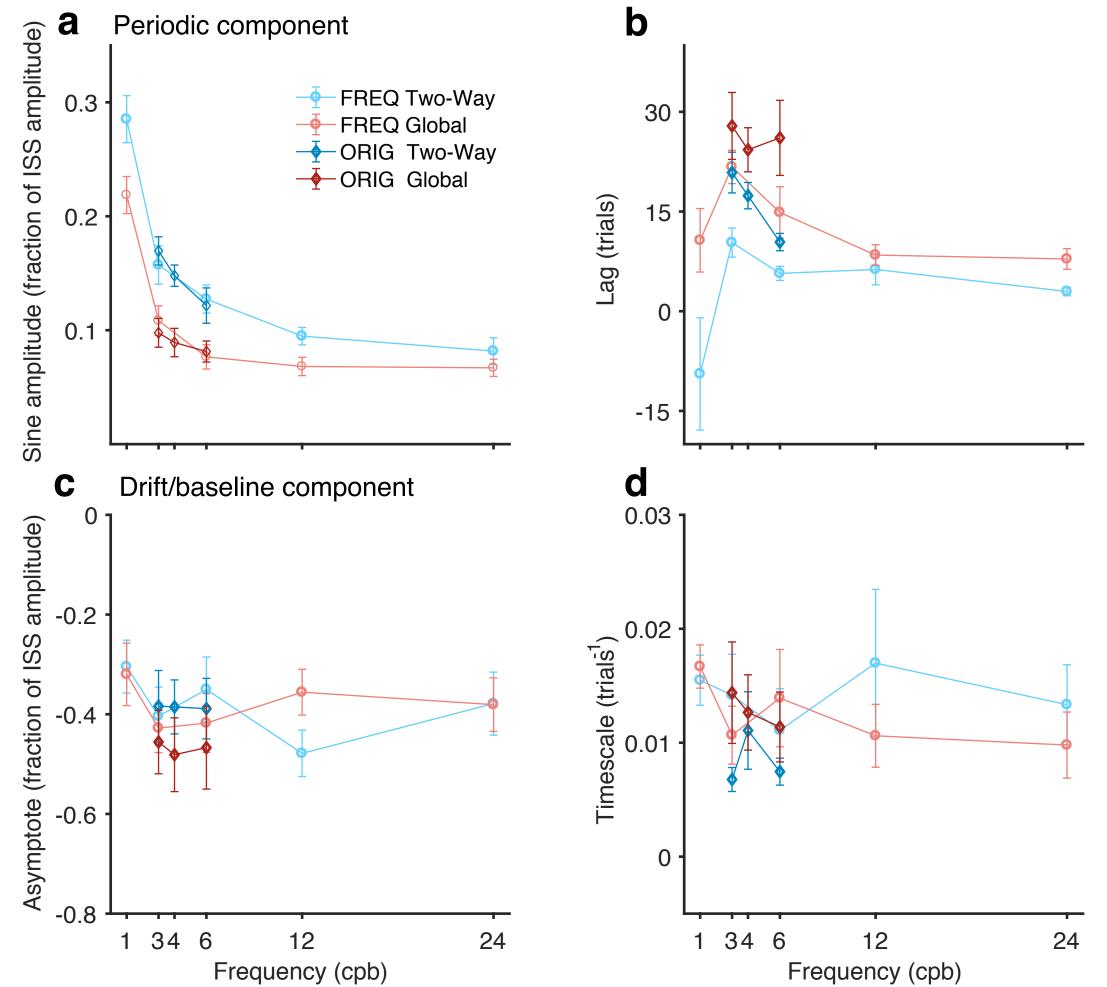
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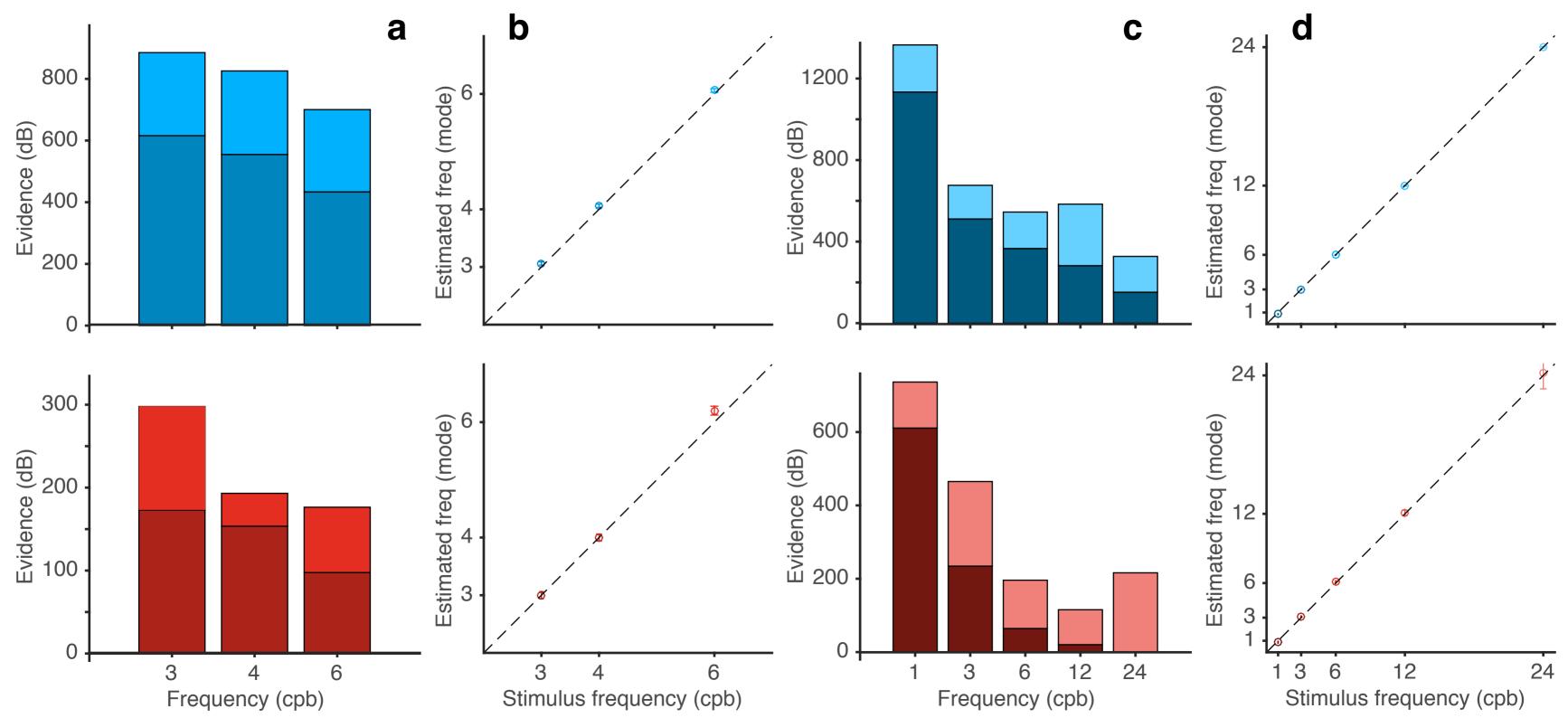
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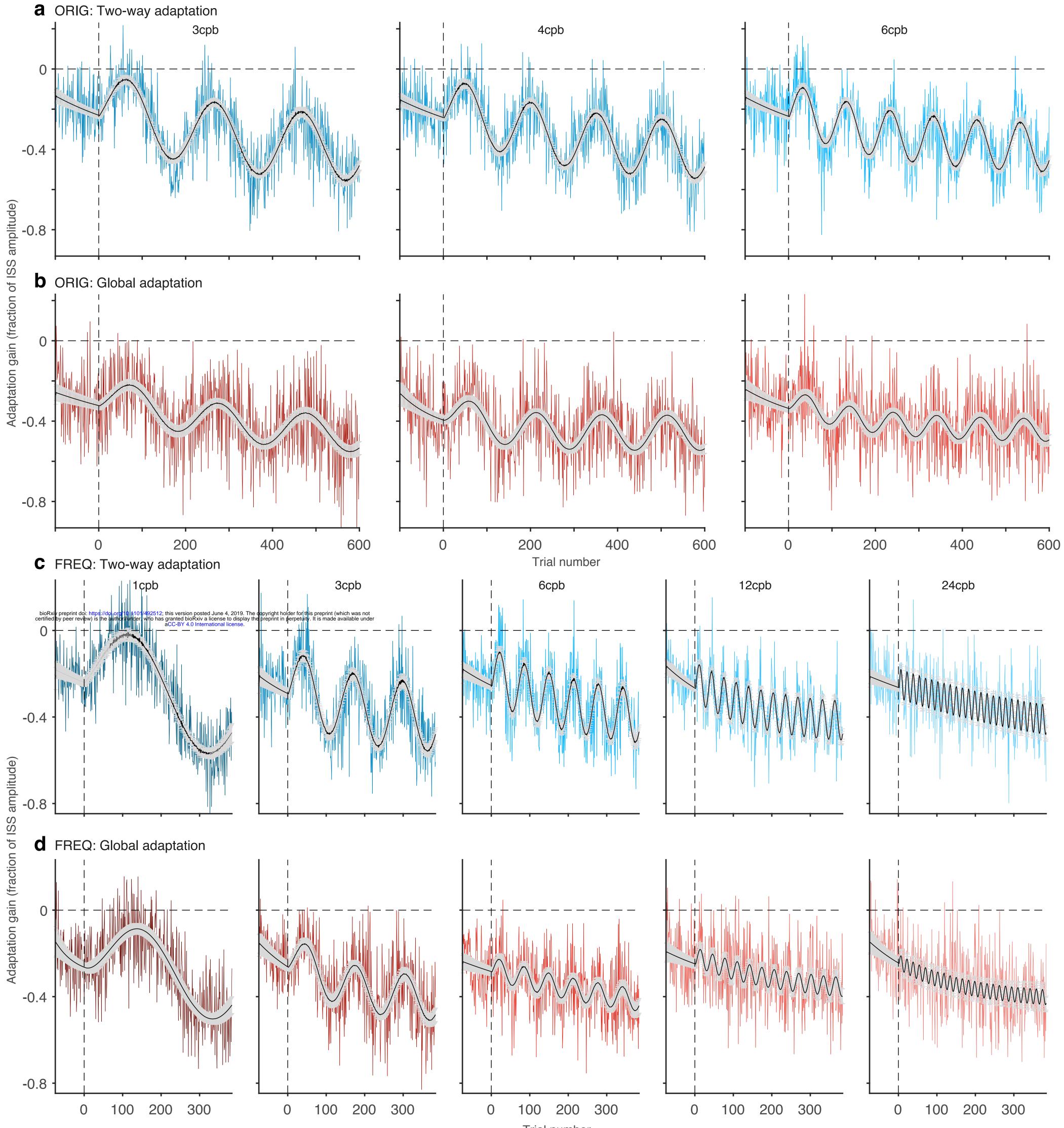
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