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# Microsecond Interaural Time Difference Discrimination Restored by Cochlear Implants After Neonatal Deafness

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# 30 Keywords

- 31 Deafness; prosthetics; cochlear implant; binaural hearing; interaural time difference;
- 32 psychoacoustics; hearing experience; inferior colliculus

# 33 Abstract

- 34 Cochlear implants (CIs) can restore a high degree of functional hearing in deaf patients but
- 35 enable only poor spatial hearing or hearing in noise. Early deaf CI users are essentially
- 36 completely insensitive to interaural time differences (ITDs). A dearth of binaural experience
- 37 during an early critical period is often blamed for these shortcomings. However, here we
- 38 show that neonatally deafened rats which are fitted with binaural CIs in early adulthood are
- 39 highly sensitive to ITDs immediately after implantation. Under binaural synchronized
- 40 stimulation they can be trained to localize ITDs with essentially normal behavioral
- 41 thresholds near 50 µs. This suggests that the deficits seen in human patients are unlikely
- 42 to be caused by lack of experience during their period of deafness. It may instead be due
- 43 to months or years of CI stimulation with inappropriate binaural parameters provided by CI
- 44 processors which do not provide sub-millisecond temporal fine structure of sounds.

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The World Health Organization reports that about 466 million people suffer from disabling hearing loss, making it the most common sensory impairment of our age. For people with severe to profound sensorineural hearing loss, cochlear implants (CIs) can be enormously beneficial, quite routinely allowing near normal spoken language acquisition, particularly when CI implantation takes place early in life [1]. Never the less the performance of CI users remains variable, and even in the best cases falls short of natural hearing.

Good speech understanding in multi-sound environment requires the ability to separate 52 speech from background, which relies in part on a phenomenon known as "spatial release 53 from masking". This relies on the brain's ability to process binaural spatial cues, including 54 55 interaural level and interaural time differences (ILDs/ITDs) [2]. To benefit from binaural cues in everyday life, bilateral cochlear implantation is becoming increasingly common for 56 deaf patients [3-5]. However, even binaural CI patients perform much below the level of 57 normal listeners in sound localization or auditory scene analysis tasks, particularly when 58 multiple sound sources are present [6,7]. The parameters that would allow CI patients to 59 60 derive maximum benefits from binaural spatial cues are still only partially understood. A number of technical problems (see [8], chapter 6) limit the fidelity with which CIs can 61 encode binaural cues, particularly ITDs. The fact that contemporary CI speech processors 62 were originally designed for monaural, rather than binaural, hearing likely contributes the 63 observed deficits in ITD performance of bilateral CI users [3]. Standard CI processors 64 provide pulsatile stimulation which is not locked to the temporal fine structure of the 65 incoming sounds, and the timing of the electrical pulses is not synchronized between both 66 67 ears, which makes these devices fundamentally incapable of encoding sub-millisecond binaural time structure. To be useful, ITDs as small as a few tens of µs need to be 68 resolved. Under optimal conditions, normal human listeners may be able to detect ITDs 69 70 not much larger than 10 µs [9]. In contrast, the ITD sensitivity of CI patients is highly variable and generally very poor, even when tested with experimental processors capable 71 72 of delivering synchronized stimulus pulses with sub-millisecond resolution [3,5-7,10,11].

The binaural performance of CI patients depends to a fair extent on the patients' history. 73 Importantly, pre-lingually deaf CI users invariably appear to exhibit no ITD sensitivity at all, 74 whereas many post-lingually deaf CI users do exhibit at least some degree of ITD 75 sensitivity [4,5,11-13]. This has led to the suggestion that early auditory deprivation during 76 77 a sensitive period may prevent the development of ITD sensitivity, and that this cannot be recovered with later binaural stimulation using state-of-the-art speech processors 78 [1,13,14]. If that hypothesis is correct, then developing more sophisticated binaural CI 79 processors might not benefit the many patients who are born deaf or lose their hearing 80 very early in life. By the time these patients are old enough to receive implants, they may 81 82 already have missed out on the formative sensory input needed to develop the brain circuitry required for binaural processing with microsecond precision. This possibility 83 seems particularly plausible given that immunohistochemical studies have shown that the 84 tonotopic organization is degraded [15,16] and that stimulation-induced molecular, 85 morphological, and electrophysiolological plasticity is altered in neonatally deafened rats 86 compared to CI-stimulated rats with normal auditory development [15-19]. Furthermore, it 87 has been shown that early acoustic experience shapes ITD tuning curves in key brainstem 88

89 nuclei of gerbils [20], probably by shaping the precise timing of inhibitory inputs into

90 superior olivary nuclei [20,21].

91 However, it is also possible that the unstimulated auditory pathway may retain the ability to

92 encode ITDs during a period of early deafness, and may only lose it as a result of

- 93 maladaptive plasticity after a period of CI stimulation which conveys no useful ITD
- 94 information. These possibilities cannot be distinguished based on clinical data, as there
- 95 are no binaural CI processors capable of resolving sub-millisecond ITDs which are
- 96 currently available for implantation in neonatally deaf children. To find out what level of
- binaural performance might be achievable with different stimulation strategies therefore
  requires animal experimentation. So far, studies investigating binaural sensitivity with CIs
- 99 in adult, early deaf animals have been limited to acute electrophysiological experiments on
- 100 cats, and these studies have reported significant amounts of ITD sensitivity in the inferior
- 101 colliculus (IC) [22-24] and auditory cortex (AC) [25,26], even if that sensitivity appeared
- 102 reduced compared to that seen with acoustic stimulation in normal animals. However,
- 103 there have been no previous attempts to measure the extent to which chronic, precisely
- 104 synchronized, bilateral CI stimulation might restore the ability of an adult implanted,
- 105 neonatally deaf animal to use ITDs across the normal, sub-millisecond physiological range
- 106 to guide behavior. We here address this question by investigating ITD sensitivity, both
- 107 physiologically and behaviorally, in cohorts of neonatally deafened rats which received
- 108 synchronized bilateral CIs in young adulthood.

## 109 **Results**

- 110 Experiments were performed on 14 female Wistar rats. Litter mates were divided into three
- groups: 1) neonatally deafened (ND) rats (n=4) who received bilateral CIs in young
- adulthood (postnatal weeks 10-14), followed immediately by acute, terminal IC recording
- 113 under anesthesia; 2) ND rats (n=5) who received chronic bilateral CIs in young adulthood
- 114 (10-14 weeks) and were trained on ITD discrimination with electric stimulation; and 3)
- normal hearing (NH) rats (n=5) trained in young adulthood on ITD discrimination with
- acoustic stimuli. Care and use of all rats reported here were approved by the appropriate
- agency (Department of Health of Hong Kong, permission number 16-52 DH/HA&P/8/2/5;
- 118 Regierungspräsidium Freiburg, permission number 35-9185.81/G-17/124).

#### **IC neurons of ND rats exhibit varying degrees and types of ITD sensitivity**

#### 120 immediately after adult cochlear implantation.

- 121 To measure the physiological ITD sensitivity of hearing inexperienced rat brains, we
- 122 recorded responses to isolated, bilateral CI pulse stimuli with ITDs varying randomly over
- 123 a ±160 μs range (ca 130% of the rat's physiological range [27]). We recorded from n=1230
- multi-units in the IC of four ND rats. The binaural stimuli were simple biphasic current
- 125 pulses of identical amplitude in each ear. The only parameter that varied from trial to trial
- 126 was the interaural timing, and any systematic differences in responses can only be
- 127 attributed to ITD sensitivity (see Fig. S2). Responses of IC neurons were detected for
- 128  $\,$  currents as low as 100  $\mu A$  or less. Figure 1 shows a selection of responses as raster plots

129 (Fig. 1a) and the corresponding ITD tuning curves (Fig. 1b). As might be expected in light

of previous studies investigating ITD sensitivity in the IC [22,24,28,29], we observed that

the large majority of multi-units exhibited at least some, and at times substantial degreesof tuning to stimulus ITD.

- The manner in which changes in ITD changed neural discharge patterns was also highly variable from one recording site to the next. While many multi-units showed typical shortlatency onset responses to the stimulus which varied in response amplitude (Fig. 1a, #2, #3, #6, #9), some showed sustained, but still clearly tuned, responses extending for up to 80 ms or longer post-stimulus (Fig. 1a, #5, #7, #8). The shapes of ITD tuning curves we observed in rat IC (Fig. 1b) resembled the "peak", "biphasic" or "sigmoid", and "multi-peak"
- 130 observed in racio (Fig. 10) resembled the peak, bipmasic of sigmoid, and
- 139 shapes previously described in the IC of cats [28].

## 140 Signal-to-noise and mutual information values show that substantial ITD

## 141 tuning is widespread in the IC of ND rats.

To quantify how strongly the neural responses recorded at any one site depended on 142 143 stimulus ITD we used two measures previously described in the literature. The first, a signal to noise ratio (SNR), was calculated as described by [22], and simply guantifies the 144 145 proportion of the trial-to-trial response variance that can be accounted for by changes in ITD (see Methods for details). The second, a mutual information (MI) measure, quantifies 146 the mutual information between trial-to-trial response amplitude and stimulus ITD in bits 147 148 per response. It was calculated using a direct method with shuffling for bias correction (see Methods for details). Each sub-panel of Figure 1 indicates the SNR and MI values 149 obtained for the corresponding multi-unit, while Figure 2 shows the distributions of SNR 150 (Fig. 2a) and MI (Fig. 2b) values, respectively. For comparison, Figure 2a also shows the 151 SNR values reported by [22] for the IC of congenitally deaf cats. As can be readily seen 152 from Figure 2, multi-units with quite substantial ITD tuning (SNRs or MI values  $\geq$  0.5) are 153 by no means rare exceptions. The amount of ITD tuning we observed in the IC of 154 neonatally deafened, adult CI-implanted rats is about the same as reported for deaf cats, 155 although the proportion of units with ITD SNR > 0.5 is higher in our rats. Furthermore, the 156 great majority (1050/1230  $\approx$  85%) of multi-units showed statistically significant ITD tuning 157 (p≤0.01), as determined by MI values significantly greater than zero (permutation test, 158 159 α=0.01).

160 The results in Figures 1 and 2 clearly illustrate that the auditory midbrain of adult implanted 161 ND rats exhibits substantial amounts of tuning to changes in ITDs of CI pulse stimuli of just 162 a few tens of µs. Behavioral experiments described next showed that ND rats can readily 163 learn to use this neural sensitivity to perform behavioral ITD discrimination with an 164 accuracy similar to that seen in their NH litter mates.

## 165 Early deaf CI rats discriminate ITD as accurately as their normally hearing

## 166 litter mates.

We trained five ND, adult implanted rats and five NH rats in a simple two-alternative forcedchoice (2AFC) ITD lateralization task. The animals had to initiate trials by licking a center

169 "start spout", and then respond to 200 ms long 50 Hz binaural pulse trains by licking one of 170 two "response spouts" positioned to either side to receive drinking water as a positive reinforcer (Figs. S2a, S3b). Which response spout would give water was signaled by the 171 172 ITD of the stimulus. Again, we used simple biphasic pulses of identical amplitude in each 173 ear, so that systematic ITD differences were the only reliable cue available to the animal 174 (Fig. S2c-d). While the ND rats were stimulated with electrical pulse trains delivered through chronic CIs, the NH rats were stimulated with acoustic pulse trains delivered 175 through near-field sound tubes positioned next to each ear when the animal was lined up 176 to the start spout (Fig. S3a). During testing, stimulus ITDs varied randomly, and the 177 178 discrimination performance of each rat as a function of ITD was fitted with sigmoid 179 psychometric functions. Further details are given in the Methods section. The behavioral performance of each animal is shown in Figure 3, using light blue for NH (top) and dark 180 blue for ND (bottom) animals. 181

It is readily apparent from Figure 3 that all rats, whether NH or ND with CIs, were capable 182 183 of lateralizing ITDs. As might be expected, the behavioral sensitivity and performance was variable between individuals, with some animals (e.g. NH 1604 or CI 1734) exhibiting very 184 few lapses and near perfect performance at ITDs larger than 0.1 ms, while others (e.g. NH 185 1606) had higher error rates and a less steep dependence of responses on stimulus ITD. 186 To quantify the behavioral sensitivity of each rat to ITD we fitted psychometric curves (red 187 lines in Fig. 3) to the raw behavioral data and calculated the slope of that curve at ITD=0. 188 189 Figure 3k summarizes these slopes for NH (light blue) and ND CI (dark blue) animals. The 190 slopes for ND CI rats and NH rats fall within the same range. The differences in mean slope were so small between both experimental groups (NH: 0.489 %/µs, ND CI: 0.601 191 %/µs) that very large cohorts of animals would be required to have any reasonable 192 prospect of finding a significant difference. Similarly, the two cohorts showed quite similar 193 75% correct lateralization performance: NH rats with median of 45.4 µs and mean of 80.9 194 195 us; ND CI rats with median of 57.8 us and mean of 60.3 us. Remarkably, the ITD thresholds of our CI rats are thus orders of magnitude better than those reported for early 196 deaf human CI patients, who typically have ITD thresholds too large to measure, in excess 197 198 of 3000 µs [5,30]. Indeed, their thresholds are not dissimilar from the approx. 10-60 µs range of 75% correct ITD discrimination thresholds reported for normal human subjects 199 200 tested with noise bursts [31], and pure tones [9], or the  $\approx$  40 µs thresholds reported for normally hearing ferrets tested with noise bursts [32]. 201

## 202 **Discussion**

This study is the first demonstration that, at least in rats, a lack of auditory experience in early development does not inevitably lead to impaired binaural time processing in subjects supplied with CIs in adulthood. These results may well generalize to other mammalian species, with major potential implications: If early deaf human CI patients cannot achieve accurate ITD discrimination, but early deaf CI rats can, then we should review with some urgency the manner in which we supply early deaf human patients with binaural CI stimulation.

210 But before we discuss these potential implications, we should address three aspects of

211 this study which colleagues in this field of research may find surprising:

Firstly, some studies deemed rats to be generally poor at processing ITDs [33,34].

213 However, the only previous behavioral study in rats only tested interaural phase sensitivity

of relatively low frequency tones. We focused on broad-band acoustic or electrical pulse

- stimuli which provide plenty of "onset" and "envelope" ITDs, and which are processed well
- even at high carrier frequencies [35,36]. That may also explain why our CI rats showed
- 217 good ITD sensitivity even though our CIs targeted the mid-frequency region of their 218 cochleae, and not the apical region normally associated with low frequency hearing.
- cochleae, and not the apical region normally associated with low frequency hearing.
   Recent studies in human CI patients who suffered late deafness have shown that ITDs
- 219 delivered to mid, and even high-frequency parts of the cochlea can be detected
- 221 behaviorally [5,37].

222 Secondly, previous electrophysiological studies on congenitally deaf CI cats reported a 223 substantially reduced ITD sensitivity relative to that seen in NH animals [22,25,26]. These

studies recorded neural tuning relatively high up in the auditory pathway (AC and IC

- respectively), so one cannot be certain whether the relatively reduced sensitivity seen reflects a fundamental degradation of ITD processing in the olivary nuclei, or merely a poor
- maturation of connections from there to higher order areas which might be reversed with
- experience and training. In the IC of our ND rats we found significant ITD sensitivity in 85%

of recordings sites, compared to only 48% previously reported for congenitally deaf cats

- [22]. The proportion of ITD sensitive sites in our ND rats is thus more similar to reported
- proportions in adult deafened cats (84%-86%; [22,28]), rabbits (73%; [38]) or gerbils (at

least 74%; [29]). Our Figure 2a also shows a relatively greater proportion of units showing

233 ITD SNRs above 0.6 for our ND rats than for ND cats of [22]. These relatively modest

234 differences in proportions of sensitive sites are probably at least in large part to

235 methodological differences. For example, Hancock et al. [22] recorded single-unit data,

while we report analog multi-unit data which is likely to give better SNRs, and hence also a

higher proportion of units above significance threshold, simply by pooling responses over

238 multiple, similarly tuned neighboring units.

239 Thirdly, we don't really know how much ITD tuning in the IC or AC is really necessary to

240 make ITD discrimination thresholds of  $\approx$  50 µs possible. Even if the amount of ITD

sensitivity reported in the IC [22] and AC [25,26] of congenitally deaf cats is somewhat less

than that in normal cats, it may still be sufficient to permit accurate localization behavior,

and it might improve with training. Thus, while our finding of apparently normal behavioral

244 ITD sensitivity in ND rats may appear surprising, it is not in contradiction with previously

- 245 published animal work [22,24-26].
- Finally, the biggest difference between our results and that from previously published

studies remains the vastly better behavioral ITD discrimination we see in our ND CI rats

compared to that reported for early deaf human CI patients [5,13]. Previous authors have

- 249 put forward a number of possible explanations for the very poor performance seen in these
- 250 human patients, including "factors such as auditory deprivation, in particular, lack of early
- 251 exposure to consistent timing differences between the ears" [5]. However, our ND rats

achieved very good performance despite lack of early exposure, which makes that 252 explanation appear substantially less likely. Admittedly, there may be species differences 253 at play here. Our ND animals were implanted in young adulthood and thus were severely 254 255 deprived of auditory input throughout their childhood. But humans mature much more 256 slowly so that even human patients implanted at a very young age will have been deprived of auditory input for a substantially longer absolute time period compared with our rats. 257 258 Nevertheless, our results strongly hint at the possibility that the complete insensitivity of current early deaf, binaural CI patients to ITD cues may be not so much the "lack of 259 exposure to consistent timing differences", but rather the massive and prolonged exposure 260 261 to entirely inconsistent ITDs they will experience as soon as they are bilaterally fitted with standard implants which do not synchronize inputs between ears. In addition, most 262 binaural CI patients receive their CIs sequentially, and their initial, potentially formative, 263 auditory experience is therefore monaural. 264

Developmental anatomical studies in ferrets have shown that the formation of afferent 265 266 synapses to medial superior olive, one of the main brainstem nuclei for ITD processing, is essentially complete before the onset of hearing [39]. Similarly, the highly specialized calyx 267 of held synapses which are thought to play key roles in relaying precisely timed 268 information in the binaural circuitry of the brainstem have also been shown to mature 269 before the onset of hearing in mice [40]. Admittedly, it has been shown in gerbils that key 270 parts of the binaural ITD processing circuitry in the auditory brainstem will fail to mature 271 272 when driven with strong, uninformative omnidirectional white noise stimulation during a 273 critical period [20,32,41-43], but there are no studies demonstrating that critical periods in 274 the ITD pathways will irrevocably close if sensory input is simply absent. These data are therefore also compatible with our interpretation that inappropriate input, rather than a lack 275 of experience, may be the predominant reason why neonatally deaf CI users fail to 276 277 develop ITD sensitivity.

It is well known that the normal auditory system not only combines ITD information with 278 279 ILD and monaural spectral cues to localize sounds in space, but that it also adapts strongly to changes in these cues and can re-weight them depending on their reliability 280 [26,44,45]. Current standard CI processors produce pulsatile stimulation based on fixed 281 rate interleaved sampling, which is neither synced to stimulus fine structure nor 282 283 synchronized between the ears. Consequently these processors only ever provide uninformative ITDs to the children fitted with these devices. In sharp contrast, for our ND 284 CI rats, binaural cues were essentially the only form of useful auditory input they ever 285 experienced, and they guickly learned to make effective use of them. Thus, the brainstem 286 circuits of human children fitted with conventional binaural CIs may simply "learn" to ignore 287 288 inputs that aren't helpful. That would be adaptive to them given that the only ITDs they ever receive carry no useful information about the external world. 289

In the light of our data, we suggest that the mammalian auditory system develops some
sensitivity to ITD cues in the absence of early sensory input, which is then either refined or
lost depending on whether the inputs received once hearing starts are appropriate and
informative or not. For the visual system it has already been shown that orientation
selective neuronal responses exist at eye-opening and thus are established without visual

input [46]. Whether human CI patients are able to recover near normal ITD sensitivity
much later if rehabilitated with useful ITDs for prolonged periods, or whether their ability to
process microsecond ITDs atrophies irreversibly, is unknown. The inability of early deaf CI
patients to use ITDs may thus be somewhat similar to conditions such as amblyopia or
failures of stereoscopic depth vision development, pathologies which are caused more by

300 unbalanced or inappropriate inputs than by a lack of sensory experience [47].

While these interpretations of our findings would lead us to argue strongly that binaural CI 301 processing strategies ought to change to make microsecond ITD information available to 302 early deaf binaural CI patients, one must nevertheless acknowledge that it may be difficult 303 304 to change established CI processing strategies without at the same time compromising the 305 Cl's effectiveness in encoding speech formant information. The continuous interleaved sampling (CIS) paradigm [48] from which almost all current CI speech processing 306 307 algorithms are derived, times the stimulus pulses so that only one electrode channel delivers a pulse at any one time. This is thought to minimize "current spread" in the inner 308 309 ear which might further reduce the accuracy of the already guite limited tonotopic place coding which CIs can deliver. At the same time, CI processors routinely run at relatively 310 high pulse rates (900 Hz and above), which seems to be necessary to encode enough 311 information about amplitude modulations (AM) in speech signals to facilitate accurate word 312 recognition [49]. Here, the needs for speech encoding and ITD encoding seem to diverge, 313 as previous studies on humans [50,51] and animals [38] have shown that ITD 314 discrimination deteriorates dramatically when pulse rates exceed a few hundred Hz. This 315 316 fact is likely related to the physiological observation that the ability of superior olivary 317 neurons to encode envelope ITDs declines at envelope rates exceeding several hundred Hz [52]. Our own behavioral experiments described here were conducted with very low 318 319 pulse rates of only 50 Hz, and it is doubtful that our animals would have been able to perform the task nearly as well at pulse rates close to 1 kHz. 320

Thus, designers of novel human binaural CI speech processors face seemingly 321 irreconcilable demands: They must invent devices which fire each of 20 or more electrode 322 channels in turn, at rates that are at the same time fast, so as to encode speech AM in fine 323 detail, but also slow, so as not to overtax the brainstem's ITD extraction mechanisms. In 324 addition, they must make the timing of at least some of these pulses encode stimulus fine 325 326 structure and ITDs. While that is difficult, it may not be impossible, and promising lines of research are already pursued, which either use a mixture of different pulse rates for 327 different electrode channels [53] or "reset" the brain's ITD extraction mechanisms by 328 329 introducing occasional "double pulses" into the stimulus regime [54]. However, a detailed discussion of such approaches is beyond the scope of this paper. Our findings raise the 330 331 hope that even early deafened patients may be able to develop useful ITD sensitivity, if 332 informative ITD cues are made available to them right after implantation and they are not subjected to prolonged CI stimulation with ITDs which are uninformative. 333

334

# 335 Methods

#### 336 Deafening

337 Rats were neonatally deafened by daily intraperitoneal (i.p.) injections of 400 mg/kg kanamycin from postnatal day 9 to 20 inclusively [15,17]. This is known to cause 338 widespread death of inner and outer hair cells [17,55,56] while keeping the number of 339 spiral ganglion cells comparable to that in untreated control rats [56]. We verified that this 340 procedure provoked profound hearing loss (> 90 dB) by first, the loss of Preyer's reflex 341 [57], before the onset of neural auditory brainstem response (ABRs) to pure tone pips [58], 342 343 and second, the absence of ABRs (Fig. S1b). ABRs were measured as described in [19]: 344 under ketamine (80mg/kg) and xylazine (12 mg/kg) anesthesia each ear was stimulated 345 separately through hollow ear bars with 0.5 ms broad-band clicks with peak amplitudes up to 130 dB SPL delivered at a rate of 23 Hz. ABRs were recorded by averaging scalp 346 347 potentials measured with subcutaneous needle electrodes between mastoids and the vertex of the rat's head over 400 click presentations. While normal rats typically exhibited 348 349 click ABR thresholds near 30 dB SPL (Fig. S1a), deafened rats had very high click

thresholds of  $\geq$ 130 dB SPL; Fig. S1b) [20,41].

#### 351 Cl implantation, stimulation and testing

All surgical procedures, including CI implantation and craniotomy, were performed under 352 anaesthesia induced with i.p. injection of ketamine (80mg/kg) and xylazine (12 mg/kg). For 353 354 maintenance of anesthesia during electrophysiological recordings, a pump delivered an i.p. infusion of 0.9% saline solution of ketamine (17.8 mg/kg/h) and xylazine (2.7 mg/kg/h) 355 at a rate of 3.1 ml/h. During surgical and experimental procedures the body temperature 356 357 was maintained at 38°C using a feedback-controlled heating pad (RWD Life Sciences, Shenzhen, China). Further detailed descriptions of our cochlear implantation methods can 358 359 be found in previous studies [15,59-62]. In short, two to four rings of an eight channel electrode carrier (ST08.45, Peira, Beerse, Belgium) were fully inserted through a 360 cochleostomy in medio-dorsal direction into the middle turn of both cochleae. 361 362 Electrically evoked ABRs (EABRs) were measured for each ear individually to verify that

363 both CIs were successfully implanted and operated at acceptably low electrical stimulation 364 thresholds, usually around 100 µA (Fig. S1c). EABR recording used isolated biphasic pulses (see below) with a 23 ms inter-pulse interval. EABR mean amplitudes were 365 determined by averaging scalp potentials over 400 pulses for each stimulus amplitude. For 366 electrophysiology experiments, EABRs were also measured immediately before and after 367 IC recordings, and for the chronically implanted rats, EABRs were measured once a week 368 369 under anesthesia to verify that the CIs functioned properly and stimulation thresholds were stable. 370

### 371 Electric and acoustic stimuli

- 372 The electrical stimuli used to examine the animals' EABRs, the physiological, and the
- 373 behavioral ITD sensitivity were generated using a Tucker Davis Technology (TDT, Alachua,
- 374 Florida, US) IZ2MH programmable constant current stimulator at a sample rate of
- 375 48,828.125 Hz. The most apical ring of the CI electrode served as stimulating electrode,
- 376 the next ring as ground electrode. All electrical intracochlear stimulation used biphasic
- 377 current pulses similar to those used in clinical devices (duty cycle: 61.44  $\mu$ s positive, 40.96
- $_{378}$  µs at zero, 61.44 µs negative), with peak amplitudes of up to 300 µA, depending on
- 379 physiological thresholds or informally assessed behavioral comfort levels (rats will scratch
- their ears frequently, startle or show other signs of discomfort if stimuli are too intense).
- 381 For behavioral training we stimulated all CI rats 6 dB above these thresholds.
- 382 Acoustic stimuli used to measure behavioral ITD sensitivity in NH rats were single sample
- 383 pulse clicks generated at a sample rate of 48,000 Hz via a Raspberry Pi 3 computer
- 384 connected to a USB sound card (StarTech.com, Ontario Canada, part #
- 385 ICUSBAUDIOMH), amplifier (Adafruit stereo 3.7W class D audio amplifier, New York City,
- US, part # 987) and miniature high fidelity headphone drivers (GQ-30783-000, Knowles,
- 387 Itasca, Illinois, US) which were mounted on hollow tubes. Stimuli were delivered at sound
- intensities of  $\approx$  80 dB SPL.
- 389 To produce electric or acoustic stimuli of varying ITDs spanning the rat's physiological
- 390 range of +/- 120 µs [27], stimulus pulses of identical shape and amplitude were presented
- to each ear, with the pulses in one ear delayed by an integer number of samples. Given
- 392 the sample rates of the devices used, ITDs could thus be varied in steps of 20.48 µs for
- 393 the electrical, and 20.83 µs for the acoustic stimuli. The physiological experiments
- 394 described here used single pulse stimuli presented in isolation, while the behavior
- 395 experiments used 200 ms long 50 Hz pulse trains.

## 396 Animal psychoacoustic testing

- We trained our rats on 2AFC sound lateralization tasks using methods similar to those described in [32,42,43]. The behavioral animals were put on a schedule with six days of testing, during which the rats obtained their drinking water as a positive reinforcer, followed by one day off, with *ad-lib* water. The evening before the next behavioral testing period, drinking water bottles were removed. During testing periods, the rats were given two sessions per day. Each session lasted 25-30 min, which typically took 150-200 trials during which  $\approx$  10 ml of water were consumed.
- One of the walls of each behavior cage was fitted with three brass water spouts, mounted 404  $\approx$  6-7 cm from the floor and separated by  $\approx$  7.5 cm (Fig. S2a-b). We used one center "start 405 406 spout" for initiating trials and one left and one right "response spout" for indicating whether the stimulus presented during the trial was perceived as lateralized to that side. Contact 407 408 with the spouts was detected by capacitive touch detectors (Adafruit industries, New York 409 City, US, part # 1362). Initiating a trial at the center spout triggered the release of a single 410 drop of water through a solenoid valve. Correct lateralization triggered three drops of water 411 as positive reinforcement. Incorrect responses triggered no water delivery but caused a 5-

15 s timeout during which no new trial could be initiated. Timeouts were also marked by a 412 413 negative feedback sound for the NH rats, or a flashing LED for the ND CI rats. After each correct trial a new ITD was chosen randomly from a set spanning  $\pm 160 \ \mu s$  in 25  $\mu s$  steps, 414 but after each incorrect trial the last stimulus was repeated in a "correction trial". Correction 415 416 trials prevent animals from developing idiosyncratic biases favoring one side [42,63], but since they could be answered correctly without attention to the stimuli by a simple "if you 417 just made a mistake, change side" strategy, they are excluded from the final psychometric 418 performance analysis. 419

The NH rats received their acoustic stimuli through stainless steel hollow ear tubes placed 420 421 such that, when the animal was engaging the start spout, the tips of the tubes were located right next to each ear of the animal to allow near-field stimulation (Fig. S3a). The 422 pulses resonated in the tubes, producing pulse-resonant sounds, resembling single-423 424 formant artificial vowels with a fundamental frequency corresponding to the click rate. Note 425 that this mode of sound delivery is thus very much like that produced by "open" 426 headphones, such as those commonly used in previous studies on binaural hearing in 427 humans and animals, e.g. [32,64]. We used a 3D printed "rat kemar head" with miniature microphones in the ear canals (Fig. S3c). It produced a frequency dependent channel 428 separation between ears of  $\geq$  20dB at the lowest, fundamental frequency and around 40 429 dB overall (data not shown). The ND CI rats received their auditory stimulation via bilateral 430 CIs described above, connected to the TDT IZ2MH stimulator via a custom-made, head 431 432 mounted connector and commutator, as described in [61].

#### 433 Multi-unit recording from IC

434 Anesthetized rats were head fixed in a stereotactic frame (RWD Life Sciences),

435 craniotomies were performed bilaterally just anterior to lambda. The animal and

436 stereotactic frame were positioned in a sound attenuating chamber, and a single-shaft, 32-

437 channel silicon electrode array (ATLAS Neuroengineering, E32-50-S1-L6) was inserted

stereotactically into the left or right IC through the overlying occipital cortex using a

439 micromanipulator (RWD Life Sciences). Extracellular signals were sampled at a rate of

440 24.414 Hz with a TDT RZ2 with a NeuroDigitizer headstage and BrainWare software. Our 441 recordings typically exhibited short response latencies ( $\approx$  3-5 ms), which suggests that

recordings typically exhibited short response latencies ( $\approx$  3-5 ms), which suggests that they may come predominantly from the central region of IC. Responses from non-

443 lemniscal sub-nuclei of IC have been reported to have longer response latencies ( $\approx$  20ms; 444 [65]).

445 At each electrode site, we first measured neural rate/level functions, varying stimulation currents in each ear to verify that the recording sites contained neurons responsive to 446 cochlear stimulation, and to estimate threshold stimulus amplitudes. Thresholds rarely 447 varied substantially from one recording site to another in any one animal. We then 448 measured ITD tuning curves by presenting single pulse binaural stimuli with equal 449 450 amplitude in each ear, ≈ 10 dB above the contralateral ear threshold, in pseudo-random order. ITDs varied from 163.84 µs (8 samples) contralateral ear leading to 163.84 µs 451 ipsilateral ear leading in 20.48 µs (one sample) steps. Each ITD value was presented 30 452

453 times at each recording site. The inter-stimulus interval was 500 ms. At the end of the

454 recording session the animals were overdosed with pentobarbitone.

#### 455 Data analysis

To quantify the extracellular multi-unit responses we calculated the average activity for 456 457 each stimulus over a response period (3-80 ms post stimulus onset) as well as baseline activity (300-500 ms after stimulus onset) at each electrode position. The first 2.5 ms post 458 459 stimulus onset were dominated by electrical stimulus artifacts and were discarded. For display purposes of the raster plots in Figure 1 we extracted multi-unit spikes by simple 460 threshold crossings of the bandpassed (300Hz-6kHz) electrode signal with a threshold set 461 at four standard deviation of the signal amplitude. To quantify responses for tuning curves, 462 instead of counting spikes by threshold crossings we instead computed an analog 463 measure of multi-unit activity (AMUA) amplitudes as described in [66]. The mean AMUA 464 amplitude during the response and baseline periods was computed by bandpassing 465 (300Hz-6kHz), rectifying (taking the absolute value) and lowpassing (6 kHz) the electrode 466 signal. This AMUA value thus measures the mean signal amplitude in the frequency range 467 in which spikes have energy. As illustrated in Figure 1 of [66], this gives a less noisy 468 469 measure of multi-unit neural activity than counting spikes by conventional threshold crossing measures because the later are subject to errors due to spike collisions, noise 470 471 events, or small spikes sometimes reach threshold and sometimes not. The tuning curves shown in the panels of Figure 1b were measured using this AMUA measure. It is readily 472 apparent that changes in the AMUA amplitudes track changes in spike density. 473

#### 474 Signal-to-noise ratio (SNR) calculation

SNR values are a measure of the strength of tuning of neural responses to ITD which we adopted from [22] to facilitate comparisons from previous work. The SNR is the proportion of trial-to-trial variance in response amplitude explained by changes in ITD. It is calculated by computing a one-way ANOVA ( $\alpha$ =0.01) of responses grouped by ITD value and dividing the total sum of squares by the group sum of squares. The n for each ITD was 30 with a degree of freedom (df) of 29. This yields values between 0 (no effect of ITD) and 1 (response amplitudes completely determined by ITD).

#### 482 Mutual information (MI) calculation

483 MI quantifies the statistical dependence between stimulus and response amplitude in bits 484 per response according to the formula

485 
$$MI(S; R) = \sum_{s \in S} \sum_{r \in R} \log_2 \left( \frac{p(r, s)}{p(r) \cdot p(s)} \right).$$
(1)

Here p(r) is the probability that the response of a given trial is of magnitude *r*, p(s) is the probability that the ITD stimulus parameter of a given trial is *s*, and p(r,s) is the probability that response *r* and stimulus *s* co-occurred in a given trial. It is common practice to bin the set of possible responses into a suitable number of discrete steps. We performed this binning using the function *binr()* of the "information breakdown toolbox" [67] with 491 equipopulated binning. The probabilities p(s), p(r) and p(r,s) are not know exactly and must 492 be estimated from the observed frequencies of stimuli and responses in the data set. It is well established that sampling errors in these probability estimates lead to a somewhat 493 494 inflated (positively biased) estimate of the true MI [68]. As described in [69] a number of 495 methods have been proposed to correct for this bias. Here we bias corrected our MI estimates by a permutation test at  $\alpha$ =0.01 as described in [70], where stimulus-response 496 pairings are randomly reshuffled. This should destroy any underlying association between 497 stimulus and response and thereby, in theory, lead to an MI of zero. In practice, the 498 shuffled data yield small positive MI values which serve as bootstrap estimates for the size 499 500 of the bias. By repeating the random reshuffling 1000 times we calculated a distribution of bias estimates for each multi-unit, and subtracted the mean bias from the original, "raw" MI 501 value to obtain the bias corrected values (Fig. 2b). We also used the distribution of bias 502 estimates to assess whether the tuning of a multi-unit to ITD was statistically significant. 503 Only multi-units whose raw MI values exceeded 99% of the bias estimates were deemed 504 significantly tuned at  $\alpha$ <0.01. 505

#### 506 **Psychometric curve fitting**

In order to derive summary statistics that could serve as measures of ITD sensitivity from 507 the thousands of trials performed by each animal we fitted psychometric models to the 508 509 observed data. It is common practice in human psychophysics to fit performance data with cumulative Gaussian functions [71,72]. This practice is well motivated in signal detection 510 theory, which assumes that the perceptual decisions made by the experimental subject are 511 512 informed by sensory signals which are subject to multiple, additive, and hence approximately normally distributed sources of noise. When the sensory signals are very 513 514 large relative to the inherent noise then the task is easy and the subject will make the appropriate choice with near certainty. For binaural cues closer to threshold, the probability 515 516 of choosing "right" ( $p_R$ ) can be modeled by the function

517 
$$p_R = \Phi(ITD \cdot \alpha)$$
 (2)

518 where,  $\Phi$  is the cumulative normal distribution, *ITD* denotes the interaural time difference 519 (arrival time at left ear minus arrival time at right ear, in ms), and  $\alpha$  is a sensitivity scale 520 parameter which captures how big a change in the proportion of "right" choices a given 521 change in ITD can provoke, with units of 1/ms.

Functions of the type in equation (2) tend to fit psychometric data for 2AFC tests with 522 human participants well, where subjects can be easily briefed and lack of clarity about the 523 task, lapses of attention or strong biases in the perceptual choices are small enough to be 524 explored. However, animals have to work out the task for themselves through trial and 525 526 error, and may spend some proportion of trials on "exploratory guesses" rather than direct perceptual decisions. If we denote the proportion of trials during which the animal makes 527 such guesses (the "lapse rate") by  $\gamma$ , then the proportion of trials during which the animal's 528 responses are governed by processes which are well modeled by equation (2) is reduced 529 530 to  $(1-\gamma)$ . Furthermore, animals may exhibit two types of bias: an "ear bias" and a "spout" 531 bias". An "ear-bias" exists if the animal hears the mid-line (50% right point) at ITD values

532 which differ from zero by some small value  $\beta$ . A "spout bias" exists if the animal has an 533 idiosyncratic preference for one of the two response spouts or the other, which may 534 increase its probability of choosing the right spout by  $\delta$  (where  $\delta$  can be negative if the 535 animal prefers the left spout). Assuming the effect of lapses, spout and ear bias to be 536 additive, we therefore extended eqn (2) to the following psychometric model:

537  $p_{R} = \Phi(ITD \cdot \alpha + \beta) \cdot (1 - \gamma) + \gamma/2 + \delta$  (3)

We fitted the model in equation (3) to the observed proportions of "right" responses as a function of stimulus ITD using the scipy.optimize.minimize() function of Python 3.4, using gradient descent methods to find maximum likelihood estimates for the parameters  $\alpha$ ,  $\beta$ ,  $\gamma$ and  $\delta$  given the data. This cumulative Gaussian model fitted the data very well, as is readily apparent in Figure 3a-j. We then used the slope of the psychometric function around zero ITD as our maximum likelihood estimate of the animal's ITD sensitivity, as plotted in Figure 3k. That slope is easily calculated using the equation

545 
$$slope = \varphi(0) \cdot \alpha \cdot (1 - \gamma)$$
 (4)

- 546 which is obtained by differentiating equation 3 and setting ITD=0.  $\varphi(0)$  is the Gaussian 547 normal probability density at zero ( $\approx 0.3989$ ).
- 548 Seventy-five % correct thresholds were computed as the mean absolute ITD at which the
- 549 psychometric dips below 25% or rises above 75% "right" responses respectively.

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# 562 Author Contributions

563 N.R.K. and J.W.H.S. designed the study. N.R.K., A.N.B., K.L., and J.W.H.S. performed the

- 564 experiments. J.W.H.S., N.R.K., and A.N.B. evaluated the data. N.R.K. and J.W.H.S wrote
- the article. All authors approved the final manuscript.

# 566 **Declaration of Interests**

567 The authors declare no competing interests.

569

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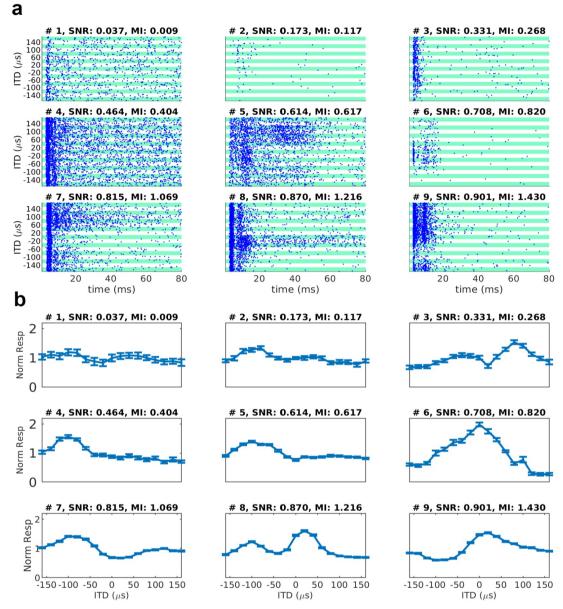
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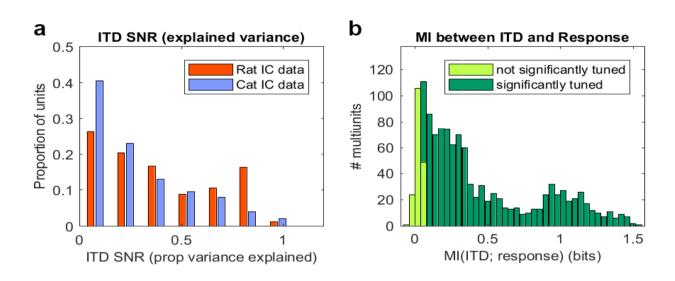
## **1** Figures plus Figure Legends



2

Figure 1: IC neurons of ND rats exhibit varying degrees and types of ITD sensitivity 3 immediately after adult cochlear implantation. Dot raster plots (a) and their corresponding 4 ITD tuning curves (b) for a number of multi-units selected to illustrate some of the variety 5 of ITD tuning depths and types observed in this study. a Each blue dot shows the timing of 6 7 one spike. The alternating white and green bands show responses to n=30 repeats each of CI binaural pulse stimuli at the ITDs shown along the left margins. b Corresponding 8 9 multi-unit tuning curves, showing the response amplitudes above baseline and normalized relative to the maximum response during a period of 3-80 ms post stimulus onset, as a 10 function of stimulus ITD. Error bars show SEM. Above each sub-panel we show the signal-11 12 to-noise (SNR) and mutual information (MI) values calculated to quantify the strength of

- 13 the tuning to ITD (see Methods). Sub-panels are arranged by increasing SNR and MI.
- 14 ITD>0: ipsilateral ear leading; ITD<0: contralateral ear leading.
- 15
- 16



#### 18

- 19 Figure 2: Signal-to-noise (SNR) and mutual information (MI) values show that substantial
- 20 ITD tuning is widespread in the IC of ND rats. **a** Distribution of ITD SNR values for multi-
- 21 units recorded in the ICs of our ND, CI-stimulated rats (red columns). For comparison, IC
- single-unit SNR values recorded in congenitally deaf CI cats by [22] (blue columns). **b**
- 23 Distribution of MI values in bits / response for mutual information between response
- 24 amplitude and stimulus ITD. MI values which were not significantly greater than zero
- 25 (permutation test,  $\alpha$ =0.01) are shown in light green.

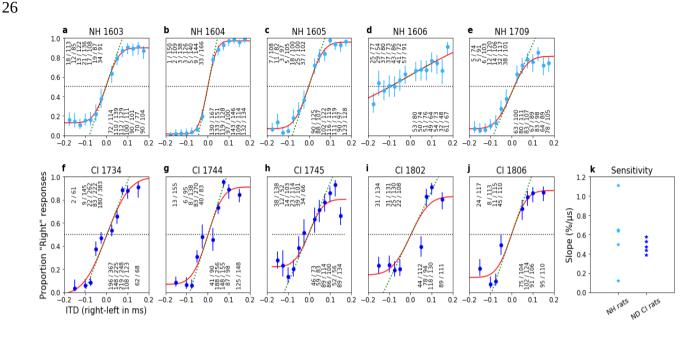


Figure 3: Early deaf CI rats discriminate ITD as accurately as their normally hearing litter

- 29 mates. **a-j** ITD psychometric curves of normal hearing (**a-e**) and neonatally deafened CI
- 30 rats (**f-j**). The titles above the panels show the ID number of the corresponding
- 31 experimental animal. Y-axis: proportion of responses to the right-hand side. X-axis:
- 32 Stimulus ITD in ms, with negative values indicating that the left ear stimulus is leading.
- 33 Blue dots: observed proportions of "right" responses for the stimulus ITD given by the x-
- 34 coordinate. Number fractions shown above or below each dot indicate the absolute
- number of trials and "right" responses for the corresponding ITD. Blue error bars show
- 36 Wilson score 95% confidence intervals for the underlying proportion "right" judgments. Red
- 37 lines show sigmoid psychometric curves fitted to the blue data using maximum likelihood.
- 38 The green dashed lines show the slopes of the psychometric curves at x=0. These slopes
- 39 serve to quantify the behavioral sensitivity of the animal to ITD. Panel  $\mathbf{k}$  summarizes the
- 40 ITD sensitivities (psychometric slopes) across the individual animal data shown in **a-j** in
- 41 units of % change in the animals' "right" judgments per  $\mu$ s change in ITD.