

The Landscape Of Circular RNA Expression In The Human Brain

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14 **ABSTRACT**

15 Circular RNAs (circRNAs) are enriched in the mammalian brain and are upregulated in
16 response to neuronal differentiation and depolarisation. These RNA molecules, formed by non-
17 canonical back-splicing, have both regulatory and translational potential. Here, we carried out an
18 extensive characterisation of circRNA expression in the human brain, in nearly two hundred human
19 brain samples, from both healthy individuals and autism cases. We identify hundreds of novel
20 circRNAs and demonstrate that circRNAs are not expressed stochastically, but rather as major
21 isoforms. We characterise inter-individual variability of circRNA expression in the human brain
22 and show that inter-individual variability is less pronounced than variability between cerebral
23 cortex and cerebellum. We also find that circRNA expression is dynamic during cellular maturation
24 in brain organoids, but remains largely stable across the adult lifespan. Finally, we identify a
25 circRNA co-expression module upregulated in autism samples, thereby adding another layer of
26 complexity to the transcriptome changes observed in autism brain. These data provide a
27 comprehensive catalogue of circRNAs as well as a deeper insight into their expression in the
28 human brain, and are available as a free resource in browsable format at:

29 http://www.voineagulab.unsw.edu.au/circ_rna

30

31 **INTRODUCTION**

32 Circular RNAs (circRNAs) are an emerging class of RNAs formed by the non-sequential
33 back-splicing of pre-messenger RNAs [1]. CircRNAs are expressed in a tissue-specific manner and
34 in some cases are more efficiently generated than their linear cognate mRNAs [2, 3]. Although
35 circRNAs are considered to be primarily non-coding molecules, a subset of circRNAs can be
36 translated [4]. Due to their circular nature, circRNAs lack a 5' cap structure and a poly-A tail,
37 which in turn renders them resistant to enzymatic degradation. Consequently, circRNAs are
38 exceptionally stable.

39 Several studies have demonstrated that across a range of mammalian tissues, circRNA
40 expression is most abundant in the brain, with an overall enrichment in the cerebellum [5-7].
41 Similarly, in *Drosophila*, circRNA expression is enriched in the nervous system compared to other
42 tissues [8]. In addition to tissue specificity, circRNAs also show dynamic expression during
43 neuronal differentiation and depolarisation [6, 7, 9, 10], and are highly concentrated in
44 synaptosomes [6], indicating that these molecules likely play a functional role in neurons.

45 The extent to which circRNAs influence the expression levels of their parental genes is yet to
46 be elucidated, but at least two mechanisms are known to be at play: they can function as miRNA
47 sponges [11, 12], and can increase the transcriptional efficiency of their parental genes [13]. The
48 former mechanism is important for pluripotency and differentiation [14], as well as astrocyte
49 activation [15]. Remarkably, the first study to investigate circRNA loss-of-function *in vivo* revealed
50 that interactions between circRNAs and miRNAs are important for normal brain function [12].
51 *Cdr1as* knock-out mice, which lack circRNA expression at the *Cdr1as* locus, display impaired
52 sensory-motor gating and abnormal synaptic transmission. These phenotypes are associated with
53 changes in miR-7 and miR-671 levels, and consequent transcriptional changes in immediate early
54 gene expression.

55 Despite accumulating evidence for an important role of circRNAs in the brain, our current
56 understanding of their expression in the human brain is limited by relatively low sample sizes of
57 existing studies. The largest study to date included 12 ENCODE normal human brain samples,
58 while several other studies have assessed circRNA expression in small cohorts with at most 10
59 samples/group [5, 16-20]. A recent study combined data across multiple datasets, including a total
60 of 21 human brain samples [21]; however, 19 of these samples were poly-A selected and thus
61 circRNA detection was dependent on the inefficiency of the poly-A selection step. Therefore, a
62 robust large-scale dataset of circRNA expression in the human brain is currently lacking.

63 Given the limited data on circRNA expression in the human brain, several questions remain
64 open: (a) How does circRNA expression vary across individuals and human brain regions? (b)

65 Does circRNA expression change significantly in an age-dependent manner? (c) Given that the
66 brain is characterised by extensive alternative splicing (AS), is the abundant circRNA expression
67 observed in the brain primarily a reflection of the complexity of brain AS events?

68 Here, we begin to address these questions by investigating circRNA expression in nearly two
69 hundred human brain samples, from three brain regions: frontal cortex, temporal cortex and
70 cerebellum, from normal individuals and autism spectrum disorder (ASD) cases. We first assessed
71 the global properties of circRNA expression in control samples, to gain novel insights into the
72 expression of this class of RNA molecules in the human brain.

- 73 • We identify hundreds of novel circRNAs, some of which are expressed in over a hundred brain
74 samples.
- 75 • We demonstrate for the first time that circRNA expression is characterized by major isoforms,
76 rather than stochastic expression.
- 77 • By investigating the interplay between AS and circRNA expression, we demonstrate that
78 circRNAs are formed primarily from exons with high inclusion rates, supporting the notion that
79 they are not primarily a by-product of exon skipping in the brain.
- 80 • We find that circRNAs only mildly change in expression in the human brain across the adult life
81 span.
- 82 • We also investigate the developmental aspect of circRNA expression by assessing for the first
83 time circRNA expression in human brain organoids [22], and providing the first resource of
84 circRNA expression in brain organoids.

85 CircRNA expression has been previously shown to be brain-region specific for a subset of
86 circRNAs [6]. Here, due to the dataset sample size we are able for the first time to investigate the
87 relationship between inter-individual variability and brain-region specific circRNA expression. We
88 show that similarly to protein-coding gene expression, inter-individual variability of circRNA
89 expression is less pronounced than variability between brain regions (cerebral cortex and
90 cerebellum), a property that holds true for control as well as ASD samples.

91 By investigating circRNA expression in ASD brain for the first time, we provide another
92 layer of complexity to our understanding of transcriptome changes in ASD. Using a co-expression
93 network approach, we identify circRNAs with increased expression in cerebral cortex in ASD.

94 Overall, our data provides a rich resource of circRNA expression in the human brain, and
95 brings further insight into the expression properties of this class of RNA molecules.

96

97 **RESULTS**

98 *Dataset Overview and Benchmarking*

99 We investigated circRNA expression in a total of 197 human brain samples [23] from frontal
100 cortex, temporal cortex and cerebellum (Methods; Supplementary Table 1) obtained from control
101 (CTL) and ASD individuals (Figure 1a). These paired-end unstranded RNA-seq data were
102 generated following ribosomal RNA-depletion (Methods; [23]), which makes them suitable for
103 circRNA detection, unlike other large-scale brain datasets, which include poly-A selection (e.g.
104 GTEX data [24]). In order to assess the reproducibility of our findings, we divided these samples
105 into a discovery dataset (DS1, 144 samples) and a replication dataset (DS2, 53 samples). To allow
106 adequate statistical power, the discovery dataset DS1 was assigned a larger sample size than the
107 replication dataset DS2, where we would test for effects already identified in DS1. Given the well
108 documented transcriptional similarity between frontal and temporal cortex [25], which we also
109 observed for circRNAs (see results section on inter-region variability), we considered these regions
110 as a single group (cerebral cortex; CTX). Within each dataset, there was no significant difference in
111 age or gender ratios between CTX and cerebellum (CB) samples, or between ASD and CTL
112 samples (Supplementary Figure 1).

113 To assess the quality of the RNA-seq data and of the circRNA quantification approach, we
114 first carried out a benchmarking analysis:

- 115 • For a subset of 5 brain tissue samples we generated two sets of benchmarking data: poly-A+
116 RNA-seq (to assess false-positive rates of circRNA detection), and ribo-depleted stranded RNA-

117 seq (to assess the effect of strandedness on circRNA quantification). These benchmarking data
118 were generated from the same individual and brain region as the original data [23].

119 • We quantified circRNA expression using two different algorithms: CIRCexplorer [26] and DCC
120 [27]. Based on a recent independent comparison of the performance of existing circRNA-
121 quantification methods [28], both CIRCexplorer and DCC had a low false-positive rate, were
122 robust to background noise, and had good sensitivity of detecting true positives (i.e. RNaseR
123 enriched circRNAs).

124 The false-positive detection rate (i.e. the percentage of circRNAs detected in DS1 data that
125 were also detected in the polyA+ data from the same sample, normalized for sequencing depth) was
126 < 1% for DCC and < 3% for CIRCexplorer (Methods; Supplementary Figure 2a). Notably, we
127 observed low false-positive rates despite the fact that the sequencing depth and the paired-end read
128 length were higher for the polyA+ libraries than the original RNA-seq data (Supplementary Figure
129 2b). Since previously reported polyA+ based false positive rates were 2.7%-8% depending on
130 algorithm [10], we concluded that both DCC and CIRCexplorer performed well on the brain dataset,
131 with DCC showing a particularly low false-positive rate.

132 We also assessed the effect of strand-specificity of the RNA-seq data on false-positive rate
133 detection. We found that all false-positive circRNAs detected in the unstranded data were detected
134 in the stranded data as well (Supplementary Figure 2c), demonstrating that lack of strand-
135 specificity did not lead to false-positive circRNA detection.

136 Across the five DS1 brain tissue samples, DCC and CIRCexplorer identified 5706 and 5426
137 circRNAs, respectively (Methods), with 91% of these being identified by both algorithms.
138 Furthermore, the correlation between circRNA expression quantified by DCC and CIRCexplorer in
139 the same sample was between 0.97 and 0.99 (Supplementary Figure 2d). This result indicated that
140 circRNA quantification was robust to the choice of method, and due to its lower false-positive
141 detection rate, DCC was chosen for downstream analyses.

142 CircRNA quantification in all 197 samples (including control and ASD samples) lead to the
143 detection of a total of 43,872 circRNAs in DS1 and 28,251 circRNAs in DS2 (Methods). Circular
144 junction (i.e. back-splice) reads were normalised to total library size as counts per million (CPM).
145 Given that the expression of circRNAs can be influenced by the expression level of the parental
146 transcript, we also normalised circRNA expression to that of the parental transcript by calculating a
147 circular-to-linear ratio (CLR), as well as a circularisation index (CI; Figure 1b).

148 CircRNAs were considered robustly expressed if they were detected by at least 2 circular
149 junction reads per sample in a minimum of 5 distinct samples. We selected these filtering criteria
150 based on assessment of reproducibility between DS1 and DS2 at a range of filtering criteria
151 (Methods, Figure 1c and Supplementary Figure 2e). Since our purpose was to construct a resource
152 of circRNAs reliably detectable in the human brain, we considered reproducibility between
153 independent sets of samples to be an important criterion. Requiring circRNA detection by at least 2
154 circular junction reads per sample in a minimum of 5 distinct samples maximised the
155 reproducibility between DS1 and DS2 (90% overlap between datasets; Figure 2a).

156 The filtered datasets (DS1: 14,386 circRNAs, DS2: 9,440 circRNAs) were used for all
157 downstream analyses.

158 We assessed the circular nature of a subset of 22 circRNAs by RT-PCR with divergent
159 primers (which would amplify on a circular but not a linear molecule), and found a 90.9%
160 validation rate (Methods; Figure 2b).

161 We also observed a strong correlation (Spearman $r_{ho}=0.93$) between the mean circRNA
162 expression level (CLR) in DS1 and DS2, supporting the robustness of these data.

163 The 14,386 DS1 circRNAs and 9,440 DS2 circRNAs (listed in Supplementary Table 2) were
164 expressed from a total of 4,555 and 3,650 unique genes, respectively. Gene ontology enrichment
165 analysis of circRNA producing genes, with correction for gene length (Methods), showed an over-
166 representation of genes functioning at the synapse, particularly those involved in synapse vesicle

167 transport and localisation, but also genes involved in cell cycle G2/M phase transition, chromatin
168 organisation and gene silencing (Figure 2c and Supplementary Table 3).

169 Previous data has shown that around 6% of the circRNAs detected in the human brain are
170 also detected in mouse brain, with 28% of mouse circRNAs showing conserved expression in
171 human [6]. We assessed the conservation rate of circRNA expression between human and mouse
172 by using the liftOver tool [29] to obtain orthologous mouse coordinates of our circRNAs. The
173 proportion of circRNAs for which the strict orthologous mouse coordinates were also detected as
174 circRNAs was then assessed using mouse brain circRNA expression data from Rybak-Wolf et al.
175 2015 [6] (Methods). We found a circRNA expression conservation of 8.6% in DS1 and 9.6% in
176 DS2, consistent with previous observations [6].

177 Overall the circRNA data showed high reproducibility across the two datasets, high RT-PCR
178 validation rates, and were consistent with previous data regarding human-to-mouse conservation
179 and expected functional enrichment of circRNA-producing genes.

180

181 *Identification of novel circRNAs*

182 Given the much larger dataset employed in our study compared to existing human circRNA
183 expression studies [6], which are curated in circBase [30], we expected to identify novel circRNAs
184 despite our more stringent detection criteria (the higher stringency in our dataset comes from the
185 fact we required detection of circRNAs in a minimum of 5 independent samples in each dataset,
186 which had not been feasible with previous human brain sample sizes). Indeed, relative to circBase,
187 we detected 1,548 novel circRNAs in DS1 and 692 in DS2, of which 83% were detected in both
188 datasets (Methods; Figure 2a). Hundreds of novel circRNAs were detected in more than 10 brain
189 samples, and some were expressed in more than a hundred samples, demonstrating that they are
190 frequently expressed in the human brain (Figure 2d). CircRNA annotation relative to genomic
191 features showed that most circRNAs, including novel circRNAs, were formed between annotated
192 exon-exon junctions (Supplementary Figure 3).

193 The novel circRNAs identified included both novel isoforms from known circRNA-
194 producing genes, as well as over a thousand circRNAs expressed from genes not previously
195 reported to circularise (Supplementary Table 2). Throughout this manuscript, we use the term
196 “circRNA isoforms” to refer to circRNAs produced by back-splicing of distinct exon-exon
197 junctions of a gene.

198 Among the novel circRNA-producing genes, several are involved in psychiatric disorders
199 including *MEF2C-AS1* (a susceptibility locus for Alzheimer’s disease [31]) and *BRINP2* (a locus
200 strongly associated with schizophrenia [32, 33]). Our data adds another layer to the transcriptome
201 complexity of these genes, with potential implications for their transcriptional regulation.

202 As an example of the insight into circRNA expression in the human brain provided by our
203 study, we outline circRNA expression from *RIMS2*, a gene that encodes a presynaptic protein
204 involved in regulating synaptic membrane exocytosis [34]. *RIMS2* shows conserved circRNA
205 expression in human, mouse, and pig brain [6, 35], with 35 known isoforms of *circRIMS2* in
206 human brain [30]. Here, we identify 15 *RIMS2* circRNA isoforms detected in the human brain in
207 both DS1 and DS2, of which 7 are novel circRNAs (Figure 2e). Notably, 3 of the novel circRNAs
208 are highly expressed in both datasets (> 0.1 CPM in at least 2 distinct samples), and 2 of the novel
209 isoforms were expressed in more than 50 brain samples. In addition to the high complexity of
210 *circRIMS2* isoform expression, we also find that *RIMS2* shows circRNA isoform switching
211 between cerebral cortex and cerebellum (Figure 2e).

212

213 ***CircRNA expression is characterised by major isoform(s)***

214 To investigate the global properties of circRNA expression in the human brain, we first used
215 the data from control samples (N=68 in DS1; N=29 in DS2). Previous studies have shown that
216 circRNA expression generally does not follow the expression of the parental gene [2, 6, 36].
217 Consistently, we found that circRNA expression levels did not correlate with that of the parental
218 gene, whether the latter was measured as total gene-level expression, or as the expression of the

219 corresponding linear junction (Spearman ρ : 0.09 and 0.09 respectively for DS1; 0.08 and 0.06 for
220 DS2; Supplementary Figure 4). This observation is generally interpreted to suggest that circRNA
221 expression is regulated independently of their parental linear transcript expression. However, given
222 that circRNAs are stable molecules, and thus are less susceptible to degradation than mRNAs [37,
223 38], the correlation between circRNA and mRNA might be attenuated due to the different
224 degradation rates.

225 To further investigate whether circRNAs show evidence of regulated expression in the human
226 brain, we assessed the relative expression of circRNA isoforms for each circRNA-producing gene.

227 An important layer of regulation of mRNA expression consists of major isoform(s), which
228 account for most of the transcriptional output of a gene in a given tissue of cell type. We thus asked
229 whether circRNA isoforms are stochastically expressed, or show evidence of major isoform
230 expression. The latter scenario would strongly indicate regulated circRNA expression, in a manner
231 that is not confounded by the difference in circRNA vs. mRNA degradation rates (Figure 3).

232 We thus assessed the major circRNA isoform relative expression (i.e. the expression level of
233 the most highly expressed circRNA relative to the total circRNA expression from a given gene;
234 Figure 3b). We classified genes by the number of circRNAs expressed, and found that across a
235 wide range of such classes, the major circRNA isoform accounts for the majority of circRNA
236 output (Figure 3c). To test whether this simply reflects the linear major isoform expression, we
237 carried out the same analysis using circular-to-linear ratios. Based on CLR data, we also found that
238 the major circRNA isoform accounts for the majority of circRNA expression normalised to linear
239 transcript expression (Figure 3d).

240 We then investigated whether major isoform expression is explained by sequence
241 complementarity of flanking introns, i.e. does the major isoform tend to show the highest sequence
242 complementarity? Reverse-complementary sequence matches (RCM) of flanking introns were
243 calculated for all circRNAs using autoBLAST ([39]; Methods). We found that circRNA major
244 isoforms were only marginally more likely than expected by chance to have the highest sequence

245 complementarity score. For example, among genes producing 2 circRNAs, the major isoform
246 showed the highest RCM score in only 53% of cases, while for genes producing 3 circRNAs the
247 major isoform showed the highest RCM score in 36% of cases (Supplementary Figure 5).

248 These data demonstrate for the first time that circRNAs are expressed predominantly as
249 major isoforms and further support the notion that circRNA formation is a regulated process.

250

251 *Interplay between canonical- and back-splicing in the human brain*

252 To assess the interplay between alternative splicing (AS) and exon circularisation in the
253 normal human brain, we characterised alternative splicing events in the larger dataset (DS1, control
254 samples) using rMATS [40], and contrasting CTX and CB (Methods). Cassette exon (i.e. exon
255 skipping) was the predominant AS event (72%), and thus we focused on the comparison of
256 alternatively spliced cassette exons (referred to as “AS” from here on) and circRNA formation.

257 We found that the percentage of AS exons was significantly higher among circRNA-forming
258 exons than among non-circ forming exons after correction for gene expression levels and intron
259 length (Figure 4a).

260 To further investigate the relationship between alternative splicing and circularisation, we
261 quantified exon inclusion level (i.e. the ratio between the inclusion and the skipping isoform of a
262 given AS exon; Methods). When comparing exon inclusion levels between circ-exons and non-
263 circ-exons that undergo alternative splicing, we found that circ-exons were formed primarily from
264 exons with high inclusion rates (Figure 4b), indicating that circRNA formation is not primarily a
265 by-product of exon skipping.

266 The number of circRNAs expressed per gene varied between one and sixty (DS1), and was
267 highly correlated between DS1 and DS2 (Spearman $\rho = 0.82$). Using circRNAs expressed in both
268 DS1 and DS2, we found that 446 genes expressed more than 5 circRNAs. Given the observed
269 association between circRNA expression and AS, we investigated whether these “circRNA hotspot
270 genes” were also characterised by complex AS. We found a significant correlation between the

271 number of circRNAs expressed and the number of alternative splicing events detected per gene
272 ($\rho=0.32$, $p < 2.2e-16$). The correlation remained significant after correction for the total number
273 of exons per gene ($\rho=0.14$, $p < 2.2e-16$). Some of the major hotspot genes (Figure 4c), including
274 *RIMS1* (a schizophrenia associated gene [33]) and *NRXN1* (involved in autism [41, 42]) were
275 indeed characterised by extensive alternative splicing (Figure 4c). However, hotspots of circRNA
276 expression were also observed for 22 genes showing no detectable AS event (Figure 4c).

277 Overall, our data suggests that AS often co-occurs with circRNA formation, yet the interplay
278 between AS and circRNA formation is highly locus-specific.

279

280 ***Inter-individual variability of circRNA expression is less pronounced than inter-region***
281 ***variability***

282 Transcriptome data from human brain tissue commonly shows that inter-individual variability
283 of gene expression is less pronounced than the similarity within broad brain regions, such as
284 cerebral cortex and cerebellum [25]. As a consequence, gene expression data commonly clusters by
285 broad brain region, while cerebral cortex sub-regions, such as frontal and temporal cortex, are
286 transcriptionally very similar and cluster together [25, 43].

287 To begin to understand the inter-individual variability of circRNA expression in the human
288 brain, we first compared the relationship between mean expression and variance for canonical
289 splice junctions and circRNAs (i.e. back-splice junctions) using the DS1 control samples (N=68).
290 We observed a higher coefficient of variance [CV] for circRNA back-splice junctions compared to
291 canonical splice junctions (mean CV: 3.6 and 3.08 respectively; $p < 2.2e-16$, Wilcoxon rank sum
292 test; Supplementary Figure 6a). This difference is explained by the fact that while most splice
293 junctions are detected in nearly all samples, circRNAs are often expressed in a small proportion of
294 samples (Supplementary Figure 6b). This observation is consistent with (a) the fact that the
295 efficiency of canonical splicing is higher than that of back-splicing, and consequently back-splicing

296 occurs less frequently [9] and (b) the well documented property of non-coding RNAs to be
297 specifically rather than broadly expressed [44].

298 Since circRNA formation is a more rare event than the transcription of the parental transcript,
299 we then investigated whether its frequency was consistent across the two datasets. We found high
300 agreement between the proportion of samples in which a circRNA was detected in DS1 and DS2,
301 as well as high correlation between CLRs in the two datasets (Figure 5a-b). These data indicate that
302 although circRNA formation is rare, the frequency and expression level are intrinsic properties of
303 circRNAs.

304 We also found that circRNA expression data clustered by brain region, even after
305 normalisation of circRNA levels to the expression of the parental transcript (i.e. CLR), suggesting
306 that similarly to mRNA expression, circRNA expression variability between individuals is less
307 pronounced than region-specific differences (CTX and CB, Figure 6a). Within CTX, frontal and
308 temporal cortex samples clustered together, as commonly observed for gene expression data. ASD
309 samples did not show distinct clustering based on CLR, rather followed the overall pattern of
310 clustering based on brain CTX and CB region (Figure 6a).

311

312 ***CircRNA expression differences between CTX and CB***

313 One of the important properties of human brain regions is their cellular composition and layer
314 structure, which in turn can affect the cellular composition of dissected brain samples. Therefore,
315 we estimated *in-silico* the proportion of individual cell types in the brain tissue samples, using
316 DeconRNAseq [45] (Methods), and gene expression data from pure populations of immunopanned
317 neurons, astrocytes, oligodendrocytes, microglia and endothelial cells [46] as reference
318 transcriptomes. We found a significant difference in the proportion of neurons between CB and
319 CTX (Supplementary Figure 7a), with lower and more homogeneous neuronal proportions in the
320 CB samples. We also found that the first principle components of both gene expression and
321 circRNA expression data strongly correlated with the estimated proportion of neurons ($|rho| > 0.8$

322 for gene expression PC1; $|\rho| > 0.6$ for circRNA expression PC1; Supplementary Figure 7b-c),
323 indicating that cellular composition is an important covariate when assessing gene and circRNA
324 expression differences between brain regions. Thus we assessed circRNA expression differences
325 between control CTX and CB samples using a linear model that included the estimated neuronal
326 proportions as a covariate, in addition to age, sex, brain bank, RNA integrity number (RIN), and
327 sequencing batch (Methods). We identified 501 circRNAs for which expression normalised to the
328 linear transcript (i.e. CI) was significantly different between CTX and CB (FDR < 0.05; Methods).
329 266 of the 501 circRNAs were replicated as significant in DS2 (FDR < 0.05; Methods), with high
330 agreement of directionality (Figure 6b). Interestingly, 97% of these region-specific circRNAs
331 showed higher circularisation rate in CB, suggesting the existence of trans-factors that favour
332 circRNA formation in CB.

333 We also investigated how circRNA expression varies with age in cerebral cortex and
334 cerebellum from control individuals. We found that the total number of circRNAs expressed
335 showed an increasing trend with age in both brain regions, which was borderline-significant using a
336 linear model with correction for covariates ($p = 0.047$; DS1 data; Figure 6c). This observation was
337 not statistically significant in DS2, likely due to the lower sample size ($p > 0.05$). In addition, we
338 assessed how individual circRNAs change in expression across the life span, using both a linear
339 model and spline regression with inflexion points at 0, 10, 20 and 40 years. We did not identify any
340 circRNAs that significantly changed in expression with age, after correction for co-variables and
341 multiple testing (Methods). This is in contrast with gene expression changes with age, where as
342 expected we identified over 500 genes significantly changing in expression across the life-span,
343 most of which changed in the early developmental period (0-10 years), consistent with previous
344 data [47, 48]. Our data indicate that the variation of circRNA expression with age is less
345 pronounced than that of gene expression, with a mild increasing trend across the life span.

346 ***Cell-type specific and developmental variation of circRNA expression***

347 We next investigated circRNA expression in individual brain cell types by generating ribo-
348 depleted RNA-seq data from cultured human primary astrocytes as well as *in-vitro* differentiated
349 neurons (2-weeks differentiation; Methods). We found a much higher number of circRNAs
350 expressed in neurons than in astrocytes: 3,601 in neurons vs. 93 in astrocytes, of which 74 were
351 present in both cell types (Methods).

352 To investigate if this large difference in the number of circRNAs expressed in neurons and
353 astrocytes is replicable, and to further investigate circRNA expression during cellular maturation,
354 we mined RNA-seq data from human brain organoids immunopanned using either neuronal or
355 astrocyte markers at various time points of organoid development (0-495 days) [22]. We observed
356 variable numbers of circRNAs expressed (≥ 0.1 CPM) at early maturation time points (0-150 days),
357 followed by a progressive decrease in the number of circRNAs expressed in mature neurons and
358 astrocytes (>150 days; Figure 7a, $p= 0.003$, linear model t-statistic). Mature neurons indeed
359 expressed higher number of circRNAs than mature astrocytes: 420 circRNAs were expressed in
360 mature neurons vs. 318 in mature astrocytes (at a minimum of 0.1 CPM, in at least two mature cell
361 samples, i.e. >150 days of maturation). However, the difference was less pronounced than what we
362 had observed between neurons differentiated for 2 weeks and cultured astrocytes. This observation
363 is consistent with our data showing a progressive decrease in the number of circRNAs with cellular
364 maturation.

365

366 ***Predicting trans-factors involved in circRNA formation in the human brain.***

367 Although the formation of circRNAs is strongly influenced by *cis*-factors, in particular the
368 presence of repeats in the flanking introns [26, 49, 50], such factors cannot explain circRNA
369 expression differences between cell types or brain regions. Among *trans*-factors, Quaking (QKI)
370 has been identified as a regulator of circRNA formation during epithelial-to-mesenchymal
371 transition [51], while Muscleblind (MBNL) has been shown to regulate circRNA formation from

372 its parental gene in *Drosophila* [36]. We hypothesized that additional RNA-binding proteins may
373 play similar roles in the human brain. We thus carried out an enrichment analysis of circRNA-
374 flanking regions for RNA binding protein (RBP) consensus binding sites, using MEME-ChIP [52]
375 and a 100 bp window around each circRNA end (Methods). This enrichment analysis was carried
376 out for astrocyte-specific circRNAs and neuron-specific circRNAs, defined as circRNAs detected
377 in one cell type by the above criteria, but not the other. QKI served as a positive control, since it
378 was four-fold higher in expression in organoid-derived mature astrocytes vs. neurons, and it is
379 known as a potent regulator of circRNA formation during epithelial-to-mesenchymal transition [51].
380 Indeed, QKI binding sites were enriched in the set of astrocyte-specific circRNAs (Supplementary
381 Table 4), confirming the validity of our approach. In addition, astrocyte-specific circRNAs also
382 showed enrichment for PCBP1 binding sites. Remarkably, the top enriched RBP binding sites for
383 neuron-specific circRNAs were PCBP1 and QKI sites (Figure 7b). The enrichment of neuronal-
384 specific circRNAs for QKI binding sites suggests that despite its lower expression levels in neurons,
385 QKI also plays an important role in circRNA formation in these cells, acting upon neuron-specific
386 transcripts. Our data also suggests that PCBP1, which is expressed in both cell types, may play a
387 similarly important role in circRNA formation in both neurons and astrocytes. Three other RBPs
388 showed binding site enrichment only for neuron-specific circRNAs: SRSF1, SRSF10 and PABPC4
389 (Supplementary Table 4), indicating that these RBPs may play a role in circRNA formation
390 specifically in neurons.

391

392 ***Co-expression networks identify circRNA expression differences in cerebral cortex in ASD***

393 Previous studies [23, 43] identified gene expression differences between ASD and controls in
394 cerebral cortex. Given the heterogeneity of ASD and the often subtle gene expression changes,
395 advanced methods such as co-expression analyses were required to uncover gene expression
396 changes in ASD [23, 43]. Therefore we carried out a co-expression network analysis of circRNA
397 expression (DS1 data, CPM) using the cortex samples, after regressing out all covariates except

398 phenotype (Methods). To address the problem of sparse data (i.e. most circRNAs being expressed
399 in a small number of samples) we (a) used a similarity measure robust to outliers (biweight
400 midcorrelation [53]), and (b) only included in the network analyses circRNAs expressed in at least
401 a half of the 92 CTX samples (1,280 circRNAs). We identified 5 co-expression modules, of which
402 one module (M4) showed significant eigengene differences between ASD and controls, with higher
403 levels in ASD ($p=0.006$, Wilcoxon rank-sum test, Bonferroni corrected; Figure 8a; Methods). M4
404 contained 98 circRNAs (Supplementary Table 5). Interestingly, the hub of this co-expression
405 module is a circRNA expressed from *ZKSCAN1*, which plays a role in cell proliferation and
406 migration in hepatic cells but its role has not yet been investigated in the brain (Figure 8b). M4 also
407 included *circHIPK3*, a circRNA implicated in cell proliferation and migration through a miRNA
408 sponge mechanism (Figure 8b) [54, 55]. Consistent with previous data on gene expression [23], we
409 did not identify any ASD-associated circRNA co-expression module in the cerebellum.

410

411 **DISCUSSION**

412 The data presented here represents the first large-scale assessment of circRNA expression in
413 the human brain, bringing further insight into an additional layer of brain transcriptome complexity.

414 The circRNAs included in our resource were detected in a minimum of 5 samples in DS1 and
415 5 samples in DS2, with >90% reproducibility of detection between the two datasets, therefore
416 representing a set of circRNAs reliably detected in the human brain. We focussed on identifying
417 circRNAs reproducibly expressed, rather than cataloguing very rare back-splicing events.

418 CircRNAs, similarly to lncRNAs, were expressed in a very specific manner, and thus
419 observed only in a subset of samples. Despite the fact that the expression of most circRNAs was
420 restricted to a subset of samples, we observed a remarkably high correlation between DS1 and DS2
421 circRNA expression levels, suggesting that the circularization rate is an intrinsic property of a
422 given back-splice junction. To facilitate further mining of this rich resource for a wide range of
423 biological questions, for each circRNA we provide information on the number of samples it was

424 detected in, as well as the mean expression level in each brain region of DS1 and DS2
425 (Supplementary Table 2). CircRNA expression values normalized to sequencing depth for all DS1
426 and DS2 circRNAs are provided in Supplementary Table 2 and can be accessed as a genome
427 browser track at: http://www.voineagulab.unsw.edu.au/circ_rna. We also provide the first resource
428 of circRNA expression in human brain organoid cultures (Supplementary Table 6).

429 The analysis of this rich resource revealed several novel insights into circRNA expression in
430 the human brain. We found that circRNA expression is characterised by major isoforms, adding a
431 novel aspect to the notion that circRNA expression is regulated in the human brain. As more data
432 on circRNA expression in other tissues becomes available, it will be interesting to determine
433 whether the major isoform circRNA expression is tissue-specific, as it is often the case for mRNAs,
434 and how this relates to the tissue specificity of alternative splicing isoforms.

435 We observed a significant association between AS events and circRNA expression, which is
436 consistent with previous data from human fibroblasts [49]. The number of AS events per gene
437 explained ~10% of the variance in the number of circRNAs produced. This indicates that AS is a
438 relevant factor in circRNA biogenesis in the brain, but despite the complexity of AS in the brain, it
439 only partially accounts for circRNA formation. We also found that some of the circRNA hotspot
440 genes, expressing more than five circRNA isoforms, did not show evidence of AS. These data
441 suggest that the interplay between circRNA formation and AS is locus-specific, in agreement with
442 the notion that *cis*-factors, such as the presence of complementary repeat sequences, play an
443 important role in circRNA formation [26, 49, 50]. CircRNAs can be themselves alternatively-
444 spliced, and while we don't address this aspect (it would require higher read length and sequencing
445 depth [56]), an interesting future avenue is to investigate whether the complexity of circRNA AS in
446 the human brain parallels that of linear RNAs.

447 The circRNA expression conservation rate between human and mouse determined in our
448 study was consistent with that reported previously (Rybak-Wolf et al. 2015, [6]), at 8-9%. This
449 estimate is very conservative since we (as well as Rybak-Wolf et al. 2015) required precise

450 matching of human and mouse back-splice junction coordinates. The conservation of circRNA
451 expression from mouse to human using the same approach is much higher, close to 30% [6],
452 indicative of higher complexity of circRNA expression in the human brain.

453 The analysis of circRNA expression changes with age showed a mild increase in the total
454 number of circRNAs expressed across the life-span (0 to 60 years; DS1). Unlike gene expression,
455 which shows major changes in the early postnatal period, followed by a plateau after 20 years of
456 age [47], circRNAs showed a mild increasing trend throughout the life span. Previous studies have
457 reported that circRNAs accumulate with age in mouse and *drosophila* brain [8, 57]. The
458 comparison of 1 month and 22 month-old mice identified more up-regulated than down-regulated
459 circRNAs in CTX and hippocampus, at $p < 0.05$ using a *t*-test without correction for multiple testing
460 [57]. Given the weak statistical significance and the fact that down-regulation might be more
461 difficult to detect for these already lowly expressed molecules, further data would be required to
462 determine whether circRNA expression changes with age in a similar manner in mouse and human
463 brain. In *Drosophila* CNS, the total number of circRNAs detected increases with age, while
464 individual circRNAs only passed a permissive statistical threshold ($p < 0.05$, without multiple
465 testing correction) [8]. Taken together, the, available data across species support the notion of a
466 progressive increase in circRNA levels with age in the brain, but this effect appears to be of a low
467 magnitude, and therefore challenging to detect at genome-wide significance.

468 In contrast to age-dependent changes, circRNA expression differences between CTX and CB
469 were pronounced, overriding inter-individual variability. We identified over two hundred circRNAs
470 differentially expressed between CTX and CB, in both DS1 and DS2 and after correction for
471 cellular composition. We also found that more than 90% of differentially expressed circRNAs
472 showed higher expression in CB. This is in agreement with a previous observation based on two
473 frontal cortex and two cerebellum replicates [6], showing overall higher circRNA expression in the
474 cerebellum. The initial observation was interpreted as a reflection of higher proportion of neurons

475 in cerebellum than in cerebral cortex, a known property of this brain region. Here we demonstrate
476 that the enrichment of circRNAs in the cerebellum is independent of cell-type composition.

477 In human brain organoids, the number of circRNAs expressed showed a progressive decrease
478 with cellular maturation in both neurons and astrocytes. Despite the documented increase in
479 circRNA expression during early neuronal differentiation [6, 7, 9, 10], we found that neuronal
480 maturation beyond 150 days in organoid culture leads to an overall decrease in circRNA expression.
481 Using data from neuronal and glial cells at the same maturation stage we found an enrichment of
482 astrocyte-specific circRNAs for QKI binding sites, consistent with its known role in circRNA
483 formation. We also uncovered PCBP1 as a novel candidate for circRNA expression regulation in
484 astrocytes and neurons, and SRSF1, SRSF10 and PABPC4 as potential regulators of circRNAs in
485 neurons, thereby highlighting these proteins as valuable candidates for further functional studies.

486 Finally, using a co-expression network approach we identified a circRNA co-expression
487 module showing increased expression in ASD, the first identification of circRNA expression
488 changes in this disorder.

489 Taken together our data is the first to provide information on circRNAs detected in human
490 brain across multiple individuals. It represents a rich resource on circRNA expression in the human
491 brain, available in a browsable format at http://www.voineagulab.unsw.edu.au/circ_rna

492

493 **METHODS**

494 **RNA samples and RNA-seq data**

495 The RNA-seq data published by Parikshak et al. [23] (ribo-depleted, unstranded, 50 bp
496 paired-end), was kindly provided by Prof. Daniel Geschwind before publication. Frontal cortex
497 samples had been obtained from Brodmann area (BA) 9, temporal cortex samples from BA 41/42
498 and 22, and cerebellar samples had been obtained from cerebellar vermis. We only included
499 samples with RIN > 5 (Supplementary Table 1).

500 The distribution of samples between the two datasets (DS1 and DS2) is listed in
501 Supplementary Table 1, and was based on the order in which we obtained the data. This in turn was
502 based on the order in which RNA-seq data was generated, which was randomised across groups
503 (Figure 1a).

504 RNA-seq data for benchmarking circRNA detection was generated for 5 DS1 tissue samples:
505 5115_ba9, 5278_ba9, 5297_ba41-42, 5308_ba41-42, 5309_ba41-42. Brain tissue from the same
506 individuals and brain region had been obtained by our lab from the NICHD brain and tissue bank.
507 Total RNA was extracted from ~ 100 mg of brain tissue using a Qiagen miRNeasy kit according to
508 the manufacturer's protocol, and treated with 1 µl DNase I (Thermo Fisher Scientific, #AM2238)
509 per 10 µg of RNA. Each RNA sample was divided in two, for library preparation with either the
510 TruSeq Stranded Total RNA Ribozero kit or the TruSeq Stranded mRNA kit (which includes
511 polyA selection). Library preparation was carried out at the UNSW Ramaciotti Centre for
512 Genomics, followed by sequencing on an Illumina NextSeq 500 sequencer to obtain 100 bp paired-
513 end reads (Supplementary Table 1).

514 For generating RNA-seq data from astrocytes and neurons, total RNA was extracted from
515 human primary astrocytes and from neurons derived from human fetal neural progenitors.

516 Human primary astrocytes (Lonza, #CC-2565) stably expressing GFP from pCMV6-AC-GFP
517 had been generated by selection with G418 (Thermo Fisher Scientific, #10231027) at 800µg/ml.
518 Cells were cultured in RPMI GlutaMAX™ (Thermo Fisher Scientific, #35050061) supplemented
519 with 10% foetal bovine serum, 1% streptomycin (10,000 µg/ml), 1% penicillin (10,000 units/ml)
520 and 1% Fungizone (2.5 µg/ml) and seeded into 6-well tissue culture plates at a density of 0.5×10^6
521 cells 24 hours prior to RNA extraction. Total RNA was extracted using TRIzol® reagent and a
522 Qiagen miRNeasy kit and treated with 1 µl DNase I (Thermo Fisher Scientific, #AM2238) per 10
523 µg of RNA.

524 Neuronal differentiation of human neural progenitors stably transfected with pLRC-GFP was
525 carried out for 2 weeks as previously described [58]. RNA extraction was carried out using a
526 Qiagen miRNeasy kit, with on-column DNase digestion [58].

527 RNA samples from astrocytes (n=1), neurons (n=1) were depleted of ribosomal RNA using
528 the Epicentre Ribo-zero kit, according to the manufacturer's protocol. Library preparation using the
529 Illumina TruSeq Stranded kit
530 (http://www.illumina.com/products/truseq_stranded_total_rna_library_prep_kit.html) and
531 sequencing on a NextSeq 500 Illumina sequencer were carried out at the UNSW Ramaciotti Centre
532 for Genomics, generating 75 bp paired-end reads (Supplementary Table 1).

533 The RNA-seq data from human brain organoids was downloaded from SRA, accession
534 number GSE99951.

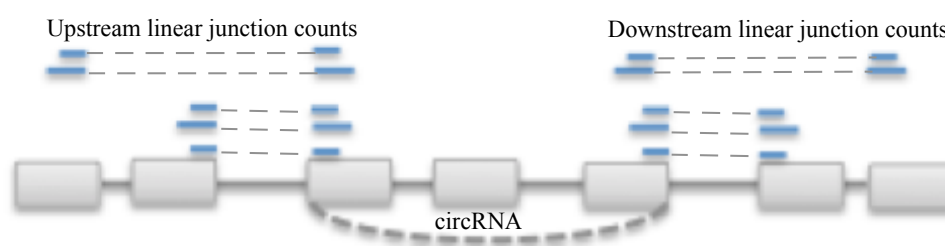
535 **CircRNA benchmarking analysis**

536 For five brain tissue samples (5115_ba9, 5278_ba9, 5297_ba41-42, 5308_ba41-42,
537 5309_ba41-42), the polyA+ and ribo-depleted stranded data generated in the present study, as well
538 as the ribo-depleted unstranded data from Parikshak et al. were mapped to the human genome
539 (hg19) using STAR [59], and the chimeric read alignments were used as input for either DCC [27]
540 or CIRCexplorer [26], run with default parameters. CircRNAs were filtered to include those
541 detected by at least 2 back-spliced junction reads in a minimum of 2 distinct samples. CircRNA
542 counts were normalised to library size to obtain counts-per-million (CPM).

543 **Human brain circRNA dataset**

544 RNA sequencing reads from DS1 and DS2 brain samples were aligned to the human genome
545 (hg19) using STAR [59] as described above. Gene-level expression was assessed with
546 *featureCounts*, as implemented in STAR. Samples with more than 3 standard deviations away from
547 the mean of mean inter-sample correlations, as well as outliers on PCA analysis were eliminated
548 from further analyses. For each dataset (DS1 and DS2), genes were filtered for expression at a
549 minimum of 1 RPKM in at least 30% of the smallest sample group within each dataset (i.e. 6

550 samples in DS1 and 4 samples in DS2). CircRNAs were identified using STAR's chimeric read
551 alignments as input for DCC [27], with default parameters for paired-end un-stranded data. Counts
552 for circRNAs with identical genomic coordinates on opposite strands were summed, given the
553 unstranded nature of the data. CircRNA counts were normalised to the total number of uniquely
554 aligned reads, to obtain counts per million (CPM). For each circRNA, its corresponding linear
555 junction counts were quantified using splice junction counts generated by STAR. The downstream
556 and upstream linear junction counts were calculated as the sum of all linear junction reads spanning
557 the start- and the end- circRNA coordinate respectively (schematic representation below). Linear
558 junction reads were also normalised to library size (i.e. the total number of uniquely aligned reads).
559



560

561

562 • *CircRNA annotation relative to genomic features*

563 Since the RNA-seq data was un-stranded, circRNAs were assigned a strand based on their
564 overlap with exon junctions as follows: if either the start or the end overlapped an annotated exon
565 junction, the circRNA was assigned the strand of the corresponding transcript. If neither start nor
566 end overlapped an exon junction, or if they overlapped exon junctions on both strands, the strand
567 was set as ambiguous. CircRNAs left with ambiguous strand annotation were next overlapped with
568 gene intervals and assigned a strand in the same manner as above. CircRNA genomic annotation
569 was done separately for the start and end coordinates with the following hierarchy: exon
570 junction>exonic>intronic>genic (Supplementary Figure 3). CircRNAs were annotated relative to
571 circBase downloaded 09.2017. All genomic annotations were performed relative to Ensembl
572 GRCh37 transcript annotations downloaded 10.2014.

573 • *CircRNA filtering*

574 To select robustly expressed circRNAs we used the overlap between DS1 and DS2 as a guide
575 for filtering criteria. We assessed the overlap between circRNAs detected in DS1 and DS2 when
576 requiring circRNAs to be expressed at a minimum of either 2 read counts (a permissive criterion)
577 or 0.1 CPM (stringent criterion) in a range of numbers of samples from 1 to 10 (Supplementary
578 Figure 2e). We found that the overlap between DS1 and DS2 increased with the number of samples
579 we required expression in, as expected, and plateaued at 5 samples. Notably, when requiring
580 expression in a minimum of 5 samples, the overlap between DS1 and DS2 was above 90% using
581 either the permissive or the stringent criteria, and thus we used this filtering parameter for inclusion
582 of circRNAs in further analyses. However, we also include in the supplementary information
583 (Supplementary Table 2) the number of samples in which each circRNAs is expressed at a higher
584 expression threshold (≥ 0.1 CPM), to allow this resource to be easily used to identify highly
585 expressed circRNAs.

586 **Gene ontology enrichment analyses of circRNA-producing genes**

587 GO enrichment analysis was carried out using the intersection of circRNA producing genes
588 from DS1 and DS2, and the intersection of all genes expressed in DS1 and DS2 as background. The
589 enrichment analysis was done using GOrseq [60], with correction for gene length and Benjamini
590 and Hochberg (BH) correction for multiple testing.

591 **Conservation of circRNA expression**

592 To assess circRNA expression conservation between human and mouse brain, we first
593 converted hg19 human genomic coordinates to mm9 mouse coordinates using the liftOver tool
594 from the UCSC genome browser. The converted coordinates were then interrogated using the
595 mouse circRNA expression data from Rybak Wolf et al. [6] (Supplementary Table S1 of that study).
596 The % conservation was calculated as the percentage of human circRNAs in DS1 and DS2
597 respectively, for which their converted genomic coordinates were found as circRNAs expressed in
598 the mouse brain.

599 **CircRNA flanking-intron sequence complementarity analysis**

600 Introns flanking circRNA back-splice junctions were used as input for autoBLAST [39],
601 which employs BLAST with the following settings: parameters: blastn, word size 7, output format
602 5, to determine sequence complementarity in circRNA flanking introns. Intron-pairing score for a
603 given circRNA was defined as the number of reverse-complementary matches with a minimum bit
604 score of 20.

605 **Alternative splicing analysis**

606 rMATS [40] was run with default parameters for 50 bp paired-end reads on DS1 samples
607 contrasting CTX (Sample 1) and CB (Sample 2), and identified 145,995 cassette exons.
608 Alternatively spliced exons were then filtered within each brain region, to include those supported
609 by at least 2 inclusion junction reads and 2 skipping junction reads in two independent samples,
610 leading to 33,207 AS exons in CTX and 24,380 AS exons in CB. These data are consistent with
611 recent alternative splicing analyses in the human brain: Takata et al. 2017 identified 29,271
612 alternatively spliced exons using RNA-seq data from the Common Mind consortium (206 human
613 dorsolateral-prefrontal cortex samples) [61].

614 All comparisons between circRNA expression and AS were carried out in control samples.

615 ***In-silico* deconvolution**

616 To estimate *in-silico* the proportion of individual cell types in brain tissue samples, we used
617 DeconRNAseq, which is specifically designed for RNA-seq data [45], and two distinct reference
618 transcriptome datasets: (a) CAGE data from cultured neurons and astrocytes from the FANTOM5
619 consortium [62], and (b) RNA-seq data from immunopanned human astrocytes and neurons from
620 the Barres lab (Zhang et al., 2016 [46]). We found a very high correlation between the neuronal
621 proportion estimates based on the two reference transcriptomes (Spearman $\rho > 0.9$,
622 Supplementary Figure 8a). We also found high correlation between neuronal proportion estimates
623 obtained by FANTOM5 or Zhang et al., 2016 reference transcriptomes and the expression level of
624 neuronal-specific genes (*MAP2*, *RBFOX1*, Supplementary Figure 8b). Given the highly similar

625 results obtained with neuronal proportion estimates based on the two reference transcriptome
626 datasets, we used the cell-type reference transcriptome data from Zhang et al., 2016 in all
627 downstream analyses. However, to show that the significant changes in circRNA expression
628 between brain regions is robust to the neuronal proportion estimates, we also report the differential
629 expression results obtained with FANTOM5 reference-based estimates in Supplementary Table 7.

630 **Differential expression with brain region and age**

631 To assess differential circRNA expression with brain region and age, we applied a linear
632 model to circRNA expression levels normalised to their linear transcript (CI), using the control
633 samples (DS1). Only circRNAs expressed in at least half of the samples were included in the
634 analysis. Data was log₂-transformed with an offset of 0.5. The following variables were included in
635 the model: proportion of neurons, sex, RNA integrity number, sequencing batch, brain bank, age
636 and brain region (CTX and CB). The same linear model was applied to gene-level expression data
637 (RPKM). P-values for the linear model, obtained using the *summary* function in R, were corrected
638 for multiple testing using a BH correction as implemented in the *multtest* Bioconductor package
639 (<https://www.bioconductor.org/packages/release/bioc/html/multtest.html>). We did not identify any
640 circRNAs differentially expressed with age (adjusted $p < 0.05$). We also assessed circRNA
641 expression changes with age using spline regression, with knots at 0, 10, 20, and 40 years old, and
642 degree=1, using the basic spline (*bs*) function in the *splines* R package ([https://cran.r-](https://cran.r-project.org/web/packages/splines/index.html)
643 [project.org/web/packages/splines/index.html](https://cran.r-project.org/web/packages/splines/index.html)), with the same result. The result remained the same,
644 whether or not we included the proportion of neurons in the model.

645 501 circRNAs were differentially expressed between brain regions in DS1. To assess whether
646 this result is replicable in the smaller dataset (DS2), we applied the same data analysis approach as
647 above in DS2. We included both ASD and control DS2 samples, in order to increase statistical
648 power, and added phenotype to the list of co-variates. 266 circRNAs were replicated in DS2 and
649 are listed in Supplementary Table 7.

650 **Co-expression network analyses**

651 Network analysis was carried out in the larger dataset using circRNAs expressed in at least
652 half of the CTX samples (DS1) using the blockwiseModules function in the WGCNA R package
653 [63] with the following parameters: power =10, networkType = "signed", corFnc="bicor",
654 minModuleSize=10, mergeCutHeight=0.15. The beta power was chosen so that the network
655 fulfilled scale-free topology ($r^2 > 0.8$). CircRNAs were assigned to a module based on their
656 correlation to the module eigengene value ($kME > 0.1$) and a significant BH-corrected p-value for
657 this correlation (adjusted $p < 0.05$). kME values are listed in Supplementary Table 5.

658 **RT-PCR validation of circRNAs**

659 22 circRNAs present in more than a third of the brain tissue samples were chosen for
660 validation. RT-PCR was performed with divergent primers, on three independent RNA samples.
661 RNA was extracted using a Qiagen miRNeasy kit, with on-column DNase digest. PCR
662 amplification was carried out using BioRad iTaq Polymerase for 35 cycles, on an ABI ViiA7 cycler.
663 Primer sequences for RT-PCR experiments are listed in Supplementary Table 8.

664 **Astrocyte and neuron circRNA dataset**

665 Mapping and circRNA quantification for RNA-seq data generated in the present study
666 (cultured neurons and astrocytes), and data from brain organoids were carried out as described
667 above for brain tissue data, with the difference that the RNA-seq data generated in this study is
668 strand-specific, which was specified as a parameter in DCC. Gene-level expression data was
669 filtered to include genes expressed at a minimum of 1 RPKM in at least 1 sample in each dataset.
670 CircRNAs were included in the dataset if they were expressed at a minimum of 0.1 CPM in at least
671 1 sample.

672 CircRNAs expressed in neurons and astrocytes in the brain organoid data were defined as
673 circRNAs expressed at ≥ 0.1 CPM in at least two samples of the fully matured neuronal and
674 astrocyte samples (> 150 days) respectively. Neuron-specific and astrocyte-specific circRNAs were
675 defined as circRNAs fulfilling the above criteria in one cell type but not the other.

676 **RBP binding site enrichment analysis**

677 DNA sequence corresponding to a window of 100 bp upstream and 100 bp downstream of
678 the start and end coordinates of each circRNA was retrieved using the *fastaFromBed* function in
679 BedTools [64]. For each set of circRNAs (i.e. astrocyte-specific and neuron-specific) both the start
680 and end sequences were included in the RBP enrichment analysis. Local enrichment analysis was
681 carried out using CENTRIMO, implemented in MEME-ChIP. MEME-ChIP was run on the online
682 server (<http://meme-suite.org/tools/meme-chip>) with default parameters, using the “RNA, DNA
683 encoded option”, and the RBP binding site data from Ray et al. 2013 [65], *Homo sapiens*. The
684 analysis was limited to the strand corresponding to the provided sequence, as recommended for
685 RNA data. The background set of sequences consisted of 100 bp windows around the start and end
686 coordinates of 100,000 exons randomly selected from genes not forming circRNAs in the brain or
687 in the organoid culture data.

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691 **DATA AVAILABILITY** Sequencing data from this study is available in SRA [ID:TBD] before
692 publication.

693 **AUTHOR CONTRIBUTIONS** IV conceived the study and supervised all aspects of the project.
694 AG, FA, and IV analysed data, AG carried out experimental validations, AG and IV wrote the
695 manuscript.

696 **COMPETING INTERESTS** The authors declare no competing financial interests.

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827

828 **FIGURE LEGENDS**

829 **Figure 1. Dataset Overview.** (A) Sample composition of dataset 1 (DS1) and dataset 2 (DS2).

830 *Left*: schematic representation of brain regions included in the study. FC - frontal cortex; TC -

831 temporal cortex; CB - cerebellum. *Right*: barplot displaying the number of samples included in

832 DS1 and DS2 categorised by brain region and phenotype (ASD and CTL). (B) Outline of data

833 analysis approach. *Top*: schematic representation of a gene expressing circRNA. Grey boxes -

834 exons; grey lines - introns; blue lines - linear junction reads; red lines - circular (i.e. backsplice)

835 junction reads. ULJ - upstream linear junction; DLJ - downstream linear junction. CJ - circular

836 junction. *Bottom*: data analysis pipeline. (C) Overlap between circRNAs detected in DS1 and DS2

837 as a function of filtering parameters. y-axis:

838 Overlap between DS1 and DS2 as a proportion of the smaller dataset (DS2); x-axis: number of

839 independent samples in which detection (by either 2 back-splice junction reads or 0.1 CPM) is

840 required. Based on these data, the chosen filtering parameter was 2 back-splice junction reads

841 detected in a minimum of 5 independent samples (*).

842 **Figure 2. Dataset characterisation.** (A) Venn diagram displaying the overlap between DS1, DS2

843 and circBase. The numbers between brackets show the total number of circRNAs in each dataset,

844 after filtering for circRNAs expressed in a minimum of 5 samples in each dataset. (B) circRNA

845 PCR validation Top: schematic display of divergent primers (black arrows) around a circRNA

846 junction (dashed arc) which would amplify circRNAs, but not linear RNA molecules; negative

847 control divergent primers anneal to exons that do not circularise, within the same gene. Bottom:

848 Agarose gel electrophoresis of RT-PCR products using divergent primers around 22 selected

849 circRNA junctions. Each RT-PCR is carried out on 3 brain samples (S1-S3). All circRNAs

850 generate a PCR product at the expected size, except *circRMST*, and *circDCUNID4*, for which the
851 product is not of the expected size. Additional bands at higher length are likely rolling circle
852 amplification products. For a subset of circRNAs, RT-PCR was carried out with primers around the
853 circRNA junction, and negative control primers, on a distinct sample (S4; bottom panel). **(C)** Gene
854 ontology enrichment of circRNA producing genes. FDR - false discovery rate. The most
855 biologically relevant enriched terms are displayed. A full list of enriched terms is provided in
856 Supplementary Table 3. **(D)** Histogram displaying novel circRNAs expressed in more than 10
857 samples. Left: DS1. Right: DS2. **(E)** Top: schematic display of seven highly expressed *RIMS2*
858 circRNAs. Top track: hg19 chromosomal coordinates and a representative Ensembl transcript
859 annotation of the region (due to space limit only one of multiple *RIMS2* transcripts annotated at
860 this position is displayed). Each circRNA is displayed as a line spanning the interval between its
861 start and end junctions. Red: Novel circRNAs. Black: circRNAs present in circBase. Bottom, left:
862 Boxplots displaying circRNA expression differences between CTX and CB, in both DS1 and DS2.
863 CI: circularisation index. Only five of the seven circRNAs are displayed, which showed significant
864 differences in CI levels between CTX and CB after correction for covariates and multiple testing
865 (Supplementary Table 7). Boxplots were generated using the boxplot function in R; the horizontal
866 line represents the median, boxes extend between the first and third quartiles, and whiskers extend
867 from the box to 1.5x the inter-quartile range. Bottom, right: Barplot showing the number of
868 samples in which each circRNA was detected. circRNA labels correspond to the labels from the top
869 annotations track.

870 **Figure 3. Major circRNA isoform expression.** **(A)** Histogram of the number of circRNA
871 isoforms per gene (DS1). *Left*: all genes. *Right*: genes with more than 5 isoforms per gene (blow-
872 out of the data in the left panel). **(B)** *Top*: schematic representation of a hypothetical gene
873 expressing three circRNAs (circRNA1-3), of which circRNA1 has the highest expression level.
874 Circular junction (CJ) reads are shown in red; linear junction reads are shown in blue. Circular-to-
875 linear ratios (CLR) are calculated for each circRNA as shown in Figure 1. *Bottom*: Formulas for the

876 major isoform relative expression and major isoform relative CLR for the hypothetical example
877 shown in the top schematic. **(C)** and **(D)** Boxplots showing the major isoform relative expression
878 and major isoform relative CLR respectively. Red line displays the expected major isoform relative
879 expression and CLR if all isoforms were equally expressed. Boxplots were generated using the
880 boxplot function in R; the horizontal line represents the median, boxes extend between the first and
881 third quartiles, and whiskers extend from the box to 1.5x the inter-quartile range.

882 **Figure 4. Interplay between circRNA expression and alternative splicing.** **(A)** The percent of
883 alternatively spliced exons (Percent AS) is significantly higher among circ-forming exons (red line)
884 than among non-circ forming exons (histogram displaying percent AS for 10,000 random
885 samplings of non-circ forming exons with similar flanking intron length as circ-forming exons,
886 sampled from genes with similar expression levels as circ-forming genes). P-values were calculated
887 as the number of random sampling scores with more extreme values than the circ-exons score. Left:
888 CTX, DS1; right: CB, DS1. **(B)** Density plots of exon inclusion level for circ-exons (red) and non-
889 circ exons (blue) in CTX (left) and CB (right). **(C)** Scatterplot of the number of alternative splicing
890 (AS) events per gene (y-axis) and the number of circRNAs expressed per gene (x-axis). Each data
891 point represents a gene. Gene symbols are displayed for the genes discussed in text.

892 **Figure 5. CircRNA expression variability.** **(A)** Scatterplot showing the proportion of samples
893 expressing a given circRNA at ≥ 0.1 CPM in DS1 (x-axis) and DS2 (y-axis). Each data point
894 represents a circRNA. Only circRNAs detected in both datasets are included. rho: Spearman
895 correlation coefficient. **(B)** Scatterplot showing the mean CLR in DS1 (x-axis) and DS2 (y-axis).
896 Each data point represents a circRNA. Only circRNAs detected in both datasets are included. rho:
897 Spearman correlation coefficient.

898 **Figure 6. circRNA expression in CTX and CB.** **(A)** Principal component plots of normalised
899 circRNA expression (CLR) data. *Left:* DS1. *Right:* DS2. PC1, PC2 – first and second principal
900 components. **(B)** Scatterplot of the circRNA expression difference between CB and CTX in DS1
901 (x-axis) and DS2 (y-axis). **(C)** Scatterplot displaying the total number of circRNAs expressed in

902 each sample (y-axis; Methods) versus age (x-axis) in CB and CTX. Each data point represents a
903 sample. Regression lines were generated using a loess smoothing function (`geom_smooth`) in
904 `ggplot2`.

905 **Figure 7. CircRNA expression changes during cellular maturation in neurons and astrocytes.**

906 **(A)** The number of circRNAs expressed in astrocytes and neurons (y-axis) vs. the maturation time
907 point in organoid cultures (x-axis). **(B)** Top two enriched RBP motifs for neuron-specific circRNAs.

908 **Figure 8. Co-expression networks identify circRNA expression changes in ASD. (A)** Module

909 eigengene values for M4 show significant differences between ASD and control CTX samples.

910 $p=0.006$, Wilcoxon rank-sum test, Bonferroni corrected. Boxplots were generated using the `boxplot`

911 function in R; the horizontal line represents the median, boxes extend between the first and

912 third quartiles, and whiskers extend to 1.5 IQR (inter-quartile range) from the box. Notches

913 mark $\pm 1.58 \text{ IQR}/\sqrt{n}$, where n represents the number of data points. **(B)** Network plot of M4,

914 showing the top 20 circRNAs by kME (blue circles), and the top 50 connections between them as

915 edges.

916

917 SUPPLEMENTARY FIGURES

918 **Supplementary Figure 1. Characterisation of DS1 and DS2 sample composition. (A)** DS1. **(B)**

919 DS2. p : Wilcoxon rank-sum test p -values for age, and Fisher test p -values for gender ratios.

920 Boxplots were generated using the `boxplot` function in R; the horizontal line represents the median,

921 boxes extend between the first and third quartiles, and whiskers extend to 1.5 IQR (inter-quartile

922 range) from the box. Notches mark $\pm 1.58 \text{ IQR}/\sqrt{n}$, where n represents the number of data

923 points.

924 **Supplementary Figure 2. Benchmarking of circRNA expression data. (A)** Barplots of false

925 positive rates defined as the % of circRNAs detected in each DS1 sample that were also detected in

926 polyA+ data from the same sample, normalized for the sequencing depth. **(B)** Barplots of

927 sequencing depth (millions of uniquely mapped reads) for DS1 libraries, as well as RNA-seq data

928 generated in the present study (polyA+ and RD_stranded). RD: ribo-depleted. **(C)** Barplots of the
929 number of circRNAs detected in polyA+ libraries (i.e. false-positives) for DS1 data, ribo-depleted
930 stranded data, as well as those common between both types of data. RD: ribo-depleted. Left: DCC,
931 Right: CIRCexplorer. **(D)** Scatterplots of normalized circRNA expression levels (CPM: counts per
932 million) quantified by DCC and CIRCexplorer. r : Pearson correlation coefficient. **(E)** CircRNA
933 detection using DCC across various expression thresholds. Number of circRNAs detected by using
934 a threshold of either 2 back-splice junction reads (left), or 0.1 CPM (right), in a minimum of 1 to 10
935 independent samples.

936 **Supplementary Figure 3. CircRNA annotation relative to genomic features.** exonJ-exonJ:
937 circRNAs for which both ends correspond to annotated exon junctions. The rest of circRNAs were
938 annotated based on the overlap of at least one end with genomic features, using the following
939 hierarchy: exonJ > exonic > intronic > intergenic.

940 **Supplementary Figure 4. Comparison of circRNA expression and linear gene expression in**
941 **CTL samples. (A) and (B).** Scatterplots for DS1 **(A)** and DS2 **(B)** displaying circular junction
942 expression vs. the expression of the corresponding linear junctions, quantified as the maximum of
943 the upstream and downstream junctions (left panel), circular junction expression vs. parental gene
944 expression (centre), and linear junction expression for circRNA-forming junctions vs. parental gene
945 expression (right panel) **(C).** Boxplots displaying the mean expression of circRNA-genes and non-
946 circRNA forming genes in DS1 (left) and DS2 (right). Boxplots were generated using the boxplot
947 function in R; the horizontal line represents the median, boxes extend between the first and
948 third quartiles, and whiskers extend to 1.5 IQR (inter-quartile range) from the box. Notches
949 mark $\pm 1.58 \text{ IQR}/\sqrt{n}$, where n represents the number of data points.

950 **Supplementary Figure 5. Intron-pairing rank of circRNA major isoforms.** Genes are classified
951 based on how many circRNAs they express, and for each class (X-axis), the intron pairing rank of
952 the major isoform is plotted in % (Y-axis). Rank=1: highest intron pairing score; Rank=10: lowest
953 intron pairing score. Only genes expressing up to 10 circRNAs are plotted.

954 **Supplementary Figure 6. CircRNA expression variability. (A)** Scatterplots of mean expression
955 (x-axis) and standard deviation (y-axis). Left: genes, centre: splice junctions, right: circRNAs. CV:
956 mean coefficient of variation. **(B)** Histograms displaying the number of samples in which genes
957 (left), splice junctions (centre), and circRNAs (right) were expressed.

958 **Supplementary Figure 7. Estimated proportion of neurons in DS1 and DS2. (A)** Boxplots
959 displaying the estimated proportion of neurons across brain regions and phenotypes, in DS1 (left)
960 and DS2 (right). Boxplots were generated using the `geom_boxplot` and `geom_violin` functions in R;
961 the horizontal line represents the median, boxes extend between the first and third quartiles, and
962 whiskers extend to 1.5 IQR (inter-quartile range) from the box. Notches mark $\pm 1.58 \text{ IQR}/\sqrt{n}$,
963 where n represents the number of data points. **(B)** Scatterplots of first principal component values
964 of gene expression data (PC1, y-axis) vs. estimated proportion of neurons. **(C)** Scatterplots of first
965 principal component values of circRNA expression data (PC1, y-axis) vs. estimated proportion of
966 neurons. All neuronal proportion estimates are based on reference transcriptome data from Zhang
967 et al. 2016.

968 **Supplementary Figure 8. Assessment of cellular composition estimates. (A)** Scatterplot of
969 estimated neuronal proportions based on the FANTOM5 and Zhang et al. reference transcriptome
970 data in DS1 and DS2; ρ : Spearman correlation coefficient. **(B)** Scatterplot of gene expression
971 levels of two neuronal-specific genes (*RBFOX1*-top row, and *MAP2*-bottom row; y-axis) vs.
972 estimated neuronal proportions (x-axis). ρ : Spearman correlation coefficient.

973

974 SUPPLEMENTARY TABLES

975 **Supplementary Table 1.** Sample description (DS1 and DS2) and summary of mapping results for
976 all RNA-seq data included in this study.

977 **Supplementary Table 2.** CircRNA annotation and expression data. A. DS1 circRNA annotation. B.
978 DS2 circRNA annotation. C. DS1 circRNA expression (CPM). D. DS2 circRNA expression
979 (CPM)

980 **Supplementary Table 3.** Gene Ontology enrichment of circRNA-producing genes.

981 **Supplementary Table 4.** MEME-ChIP results

982 **Supplementary Table 5.** WGCNA circRNA results. kME: correlation between individual
983 circRNA expression and module eigengene values. pvalBH: Student p-values for the kME
984 correlation values, corrected for multiple testing using a Benjamini and Hochberg correction.

985 **Supplementary Table 6.** CircRNA expression during astrocyte and neuronal maturation.
986 Expression values are in CPM. Sample names include SRA id, day of the maturation, time point
987 and either “Hepa” for the astrocyte immunopanned samples or “Thy-1” for neuronal
988 immunopanned samples.

989 **Supplementary Table 7.** Brain region-specific circRNAs

990 **Supplementary Table 8.** Primer sequences for RT-PCR validation of circRNAs.

991

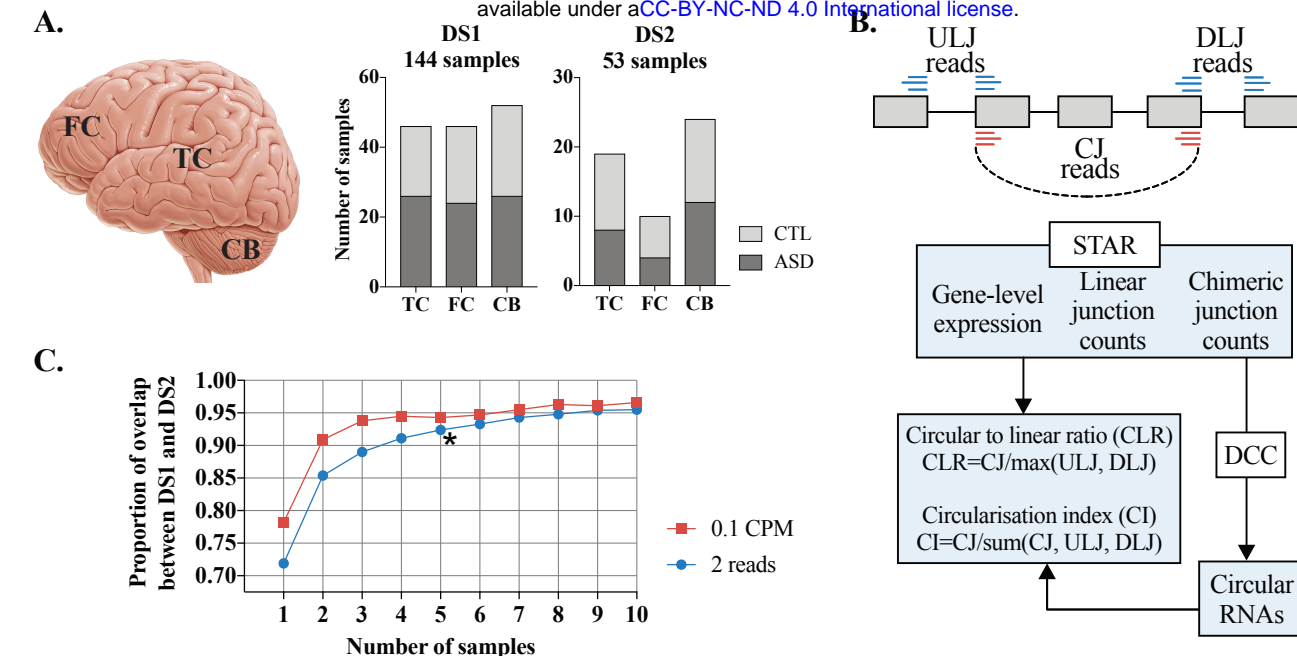


Figure 1. Dataset Overview. (A) Sample composition of dataset 1 (DS1) and dataset 2 (DS2). *Left:* schematic representation of brain regions included in the study. FC - frontal cortex; TC - temporal cortex; CB - cerebellum. *Right:* barplot displaying the number of samples included in DS1 and DS2 categorised by brain region and phenotype (ASD and CTL). (B) Outline of data analysis approach. *Top:* schematic representation of a gene expressing circRNA. Grey boxes - exons; grey lines - introns; blue lines - linear junction reads; red lines - circular (i.e. backsplice) junction reads. ULJ - upstream linear junction; DLJ - downstream linear junction. CJ - circular junction. *Bottom:* data analysis pipeline. (C) Overlap between circRNAs detected in DS1 and DS2 as a function of filtering parameters. y-axis: Overlap between DS1 and DS2 as a proportion of the smaller dataset (DS2); x-axis: number of independent samples in which detection (by either 2 back-splice junction reads or 0.1 CPM) is required. Based on these data, the chosen filtering parameter was 2 back-splice junction reads detected in a minimum of 5 independent samples (*).

FIGURE 2

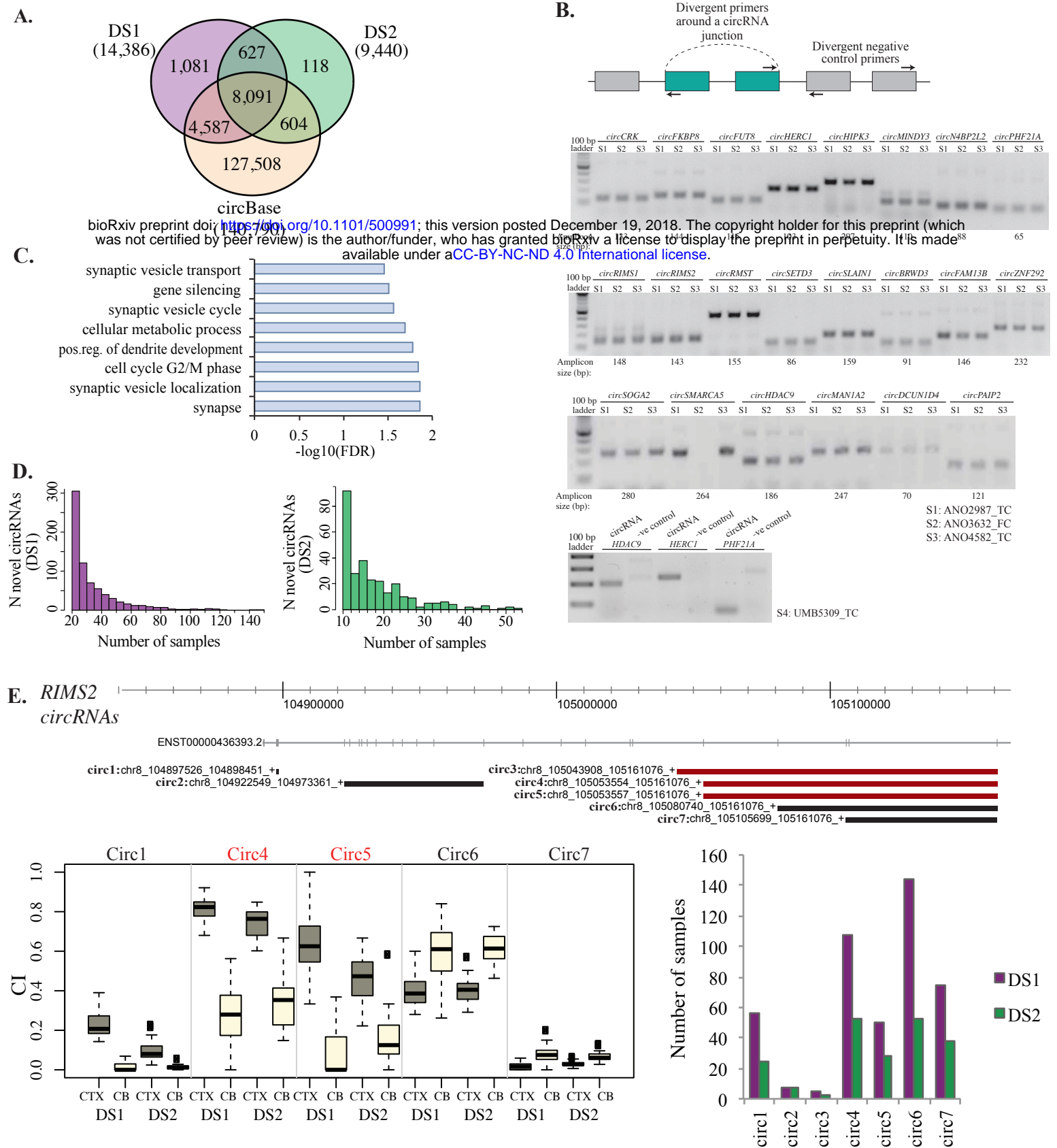
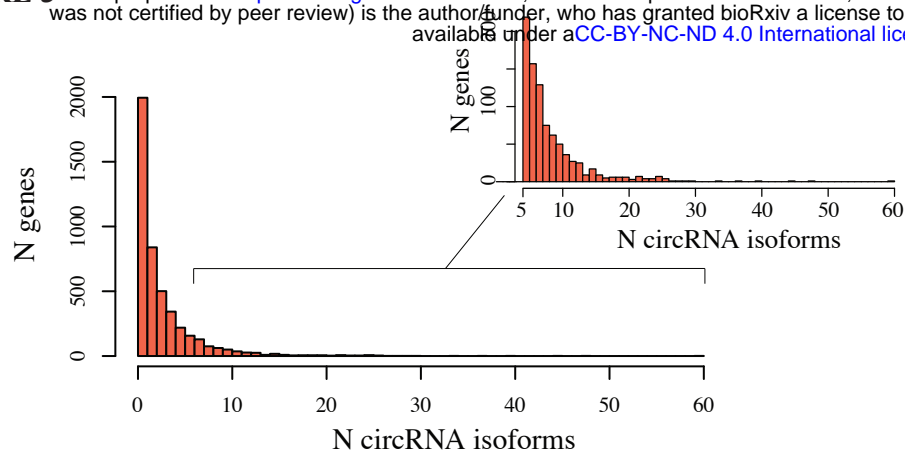
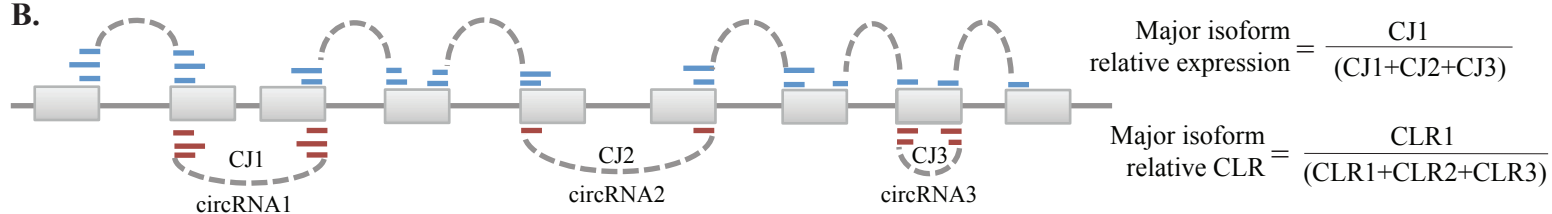


Figure 2. Dataset characterisation. (A) Venn diagram displaying the overlap between DS1, DS2 and circBase. The numbers between brackets show the total number of circRNAs in each dataset, after filtering for circRNAs expressed in a minimum of 5 samples in each dataset. (B) circRNA PCR validation. *Top*: schematic display of divergent primers (black arrows) around a circRNA junction (dashed arc) which would amplify circRNAs, but not linear RNA molecules; negative control divergent primers anneal to exons that do not circularise, within the same gene. *Bottom*: Agarose gel electrophoresis of RT-PCR products using divergent primers around 22 selected circRNA junctions. Each RT-PCR is carried out on 3 brain samples (S1-S3). All circRNAs generate a PCR product at the expected size, except circRMST, and circDCUN1D4, for which the product is not of the expected size. Additional bands at higher length are likely rolling circle amplification products. For a subset of circRNAs, RT-PCR was carried out with primers around the circRNA junction, and negative control primers, on a distinct sample (S4; bottom panel). (C) Gene ontology enrichment of circRNA producing genes. FDR - false discovery rate. The most biologically relevant enriched terms are displayed. A full list of enriched terms is provided in Supplementary Table 3. (D) Histogram displaying novel circRNAs expressed in more than 10 samples. Left: DS1. Right: DS2. (E) *Top*: schematic display of seven highly expressed *RIMS2* circRNAs. Top track: hg19 chromosomal coordinates and a representative Ensembl transcript annotation of the region (due to space limit only one of multiple *RIMS2* transcripts annotated at this position is displayed). Each circRNA is displayed as a line spanning the interval between its start and end junctions. Red: Novel circRNAs. Black: circRNAs present in circBase. *Bottom, left*: Boxplots displaying circRNA expression differences between CTX and CB, in both DS1 and DS2. CI: circularisation index. Only five of the seven circRNAs are displayed, which showed significant differences in CI levels between CTX and CB after correction for covariates and multiple testing (Supplementary Table 7). Boxplots were generated using the boxplot function in R; the horizontal line represents the median, boxes extend between the first and third quartiles, and whiskers extend from the box to 1.5x the inter-quartile range. *Bottom, right*: Barplot showing the number of samples in which each circRNA was detected. circRNA labels correspond to the labels from the top annotations track.

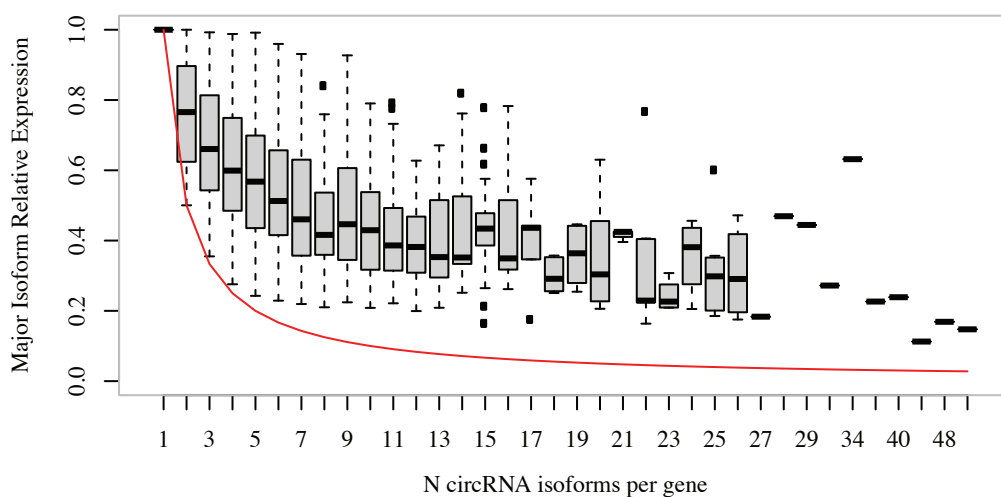
A.



B.



C.



D.

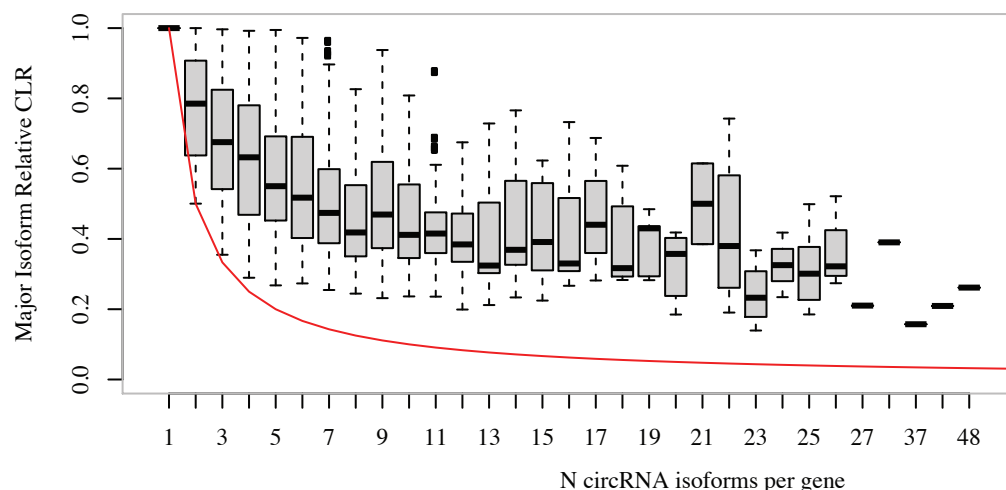


Figure 3. Major circRNA isoform expression. (A) Histogram of the number of circRNA isoforms per gene (DS1). *Left*: all genes. *Right*: genes with more than 5 isoforms per gene (blow-out of the data in the left panel). (B) *Top*: schematic representation of a hypothetical gene expressing three circRNAs (circRNA1-3), of which circRNA1 has the highest expression level. Circular junction (CJ) reads are shown in red; linear junction reads are shown in blue. Circular-to-linear ratios (CLR) are calculated for each circRNA as shown in Figure 1. *Bottom*: Formulas for the major isoform relative expression and major isoform relative CLR for the hypothetical example shown in the top schematic. (C) and (D) Boxplots showing the major isoform relative expression and major isoform relative CLR respectively. Red line displays the expected major isoform relative expression and CLR if all isoforms were equally expressed. Boxplots were generated using the boxplot function in R; the horizontal line represents the median, boxes extend between the first and third quartiles, and whiskers extend from the box to 1.5x the inter-quartile range.

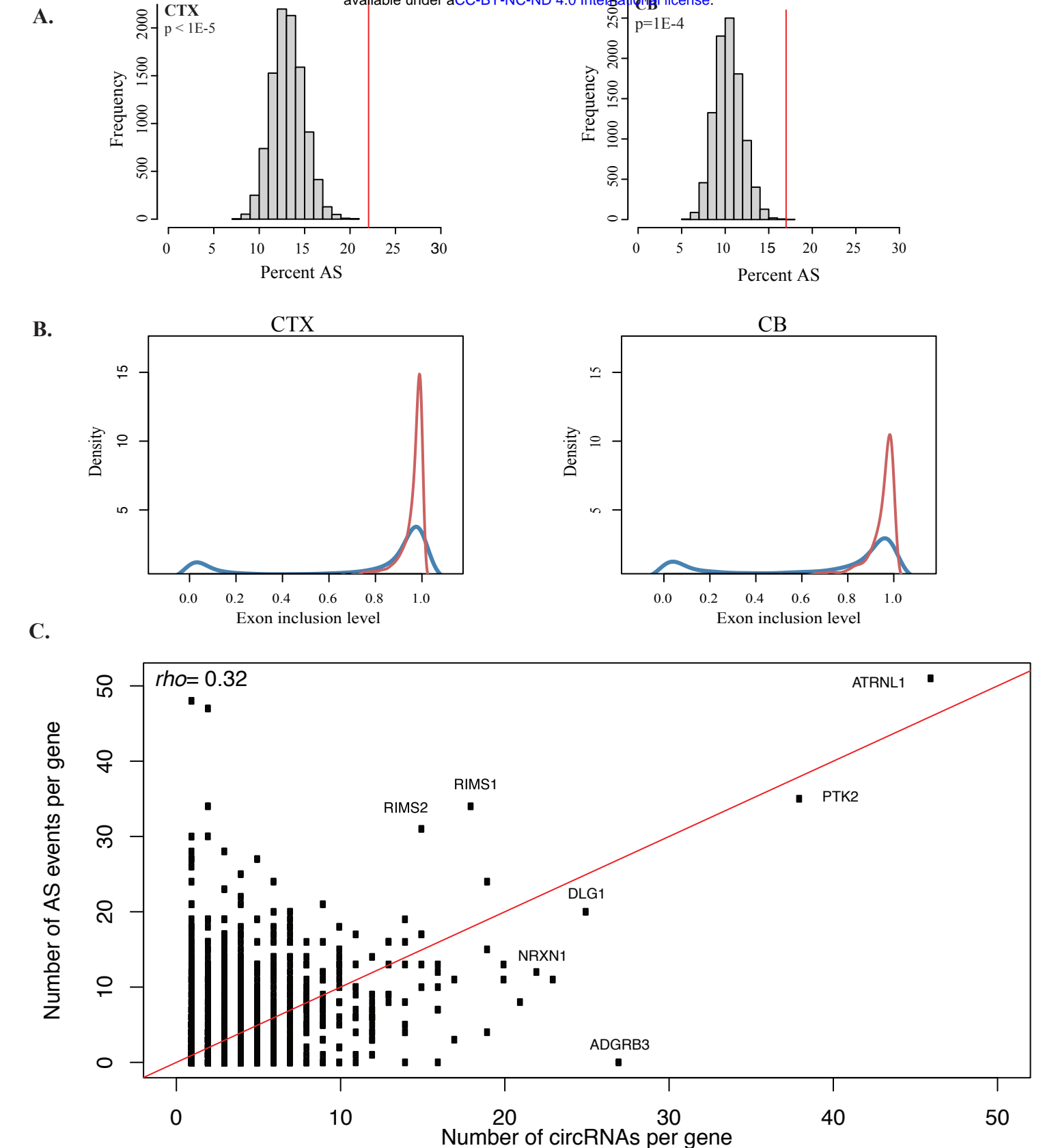
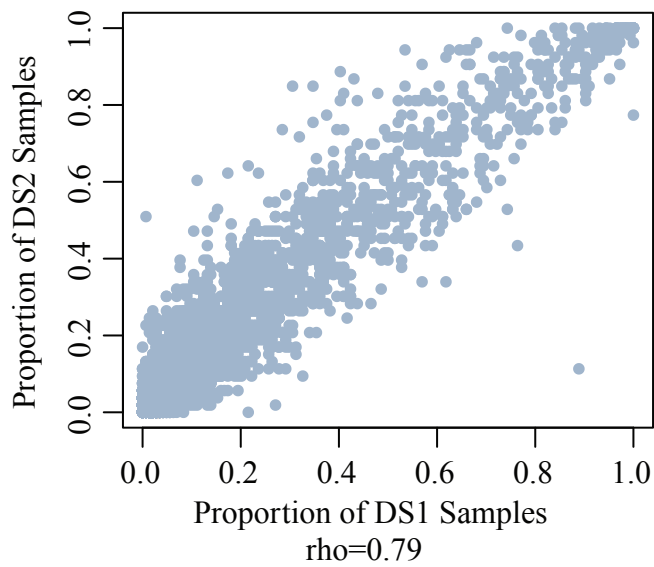


Figure 4. Interplay between circRNA expression and alternative splicing.

(A) The percent of alternatively spliced exons (Percent AS) is significantly higher among circ-forming exons (red line) than among non-circ forming exons (histogram displaying percent AS for 10,000 random samplings of non-circ forming exons with similar flanking intron length as circ-forming exons, sampled from genes with similar expression levels as circ-forming genes). p-values were calculated as the number of random sampling scores with more extreme values than the circ-exons score. Left: CTX, DS1; right: CB, DS1. (B) Density plots of exon inclusion level for circ-exons (red) and non-circ exons (blue) in CTX (left) and CB (right). (C) Scatterplot of the number of alternative splicing (AS) events per gene (y-axis) and the number of circRNAs expressed per gene (x-axis), for circRNA hotspots (i.e. ≥ 5 circRNAs per gene). Each data point represents a gene. Gene symbols are displayed for the genes discussed in text.

A.



B.

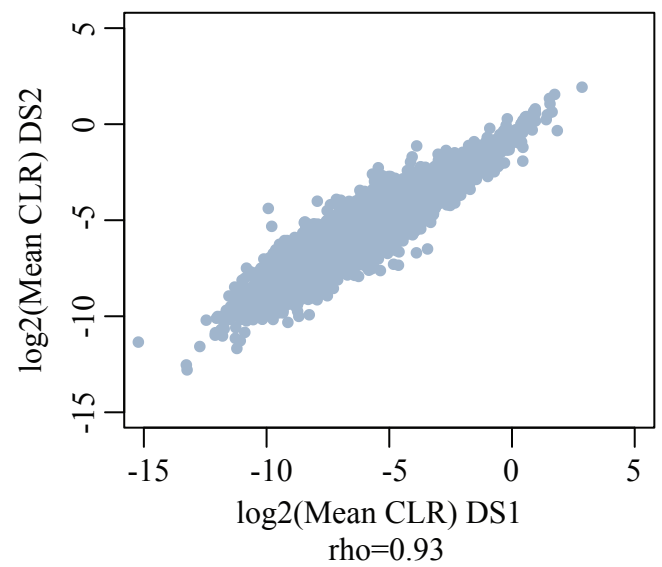
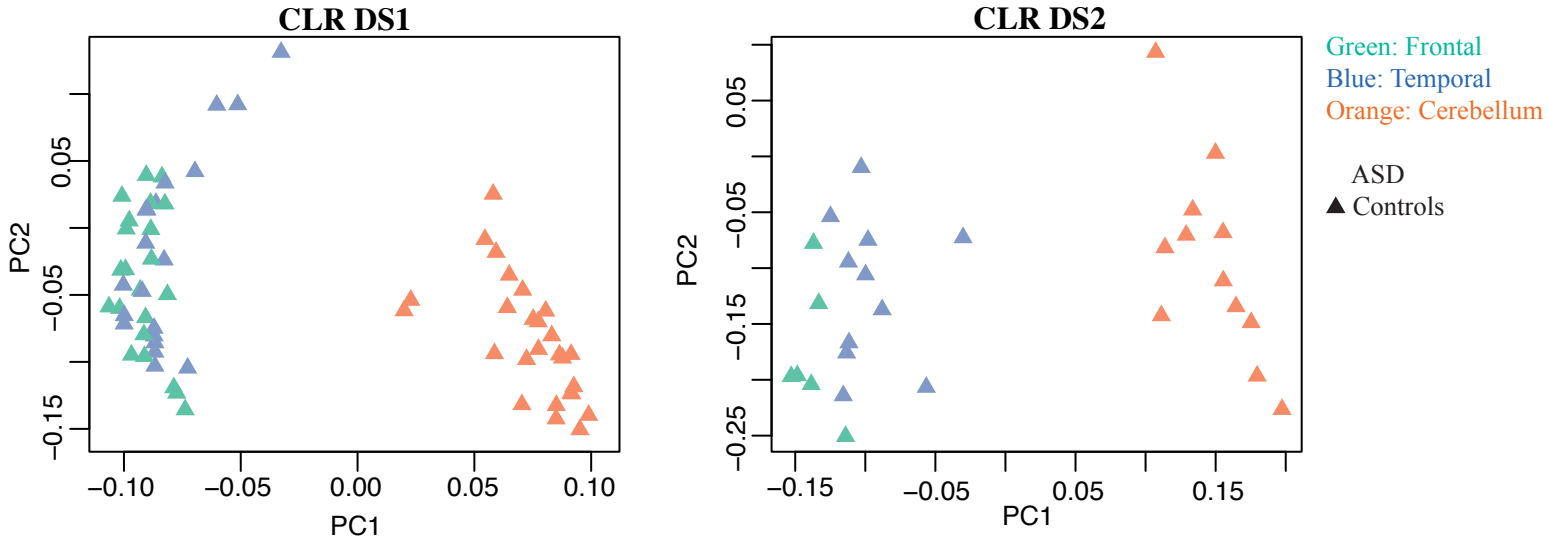
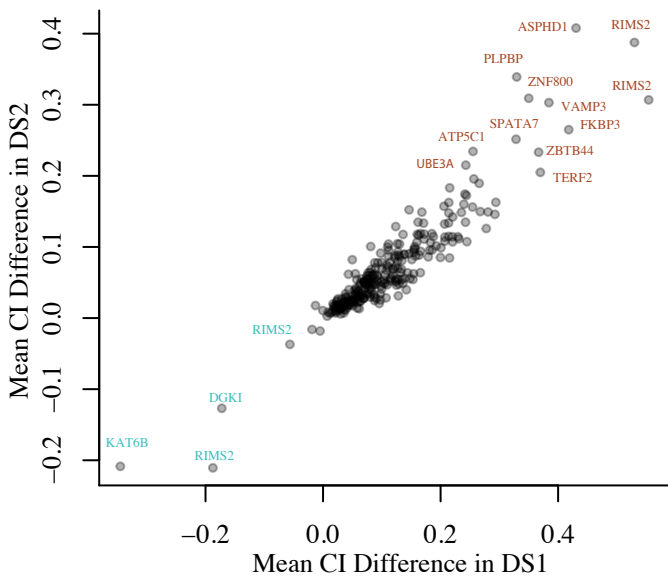


Figure 5. CircRNA expression variability. (A) Scatterplot showing the proportion of samples expressing a given circRNA at ≥ 0.1 CPM in DS1 (x-axis) and DS2 (y-axis). Each data point represents a circRNA. Only circRNAs detected in both datasets are included. ρ : Spearman correlation coefficient. (B) Scatterplot showing the mean CLR in DS1 (x-axis) and DS2 (y-axis). Each data point represents a circRNA. Only circRNAs detected in both datasets are included. ρ : Spearman correlation coefficient.

A.



B.



C.

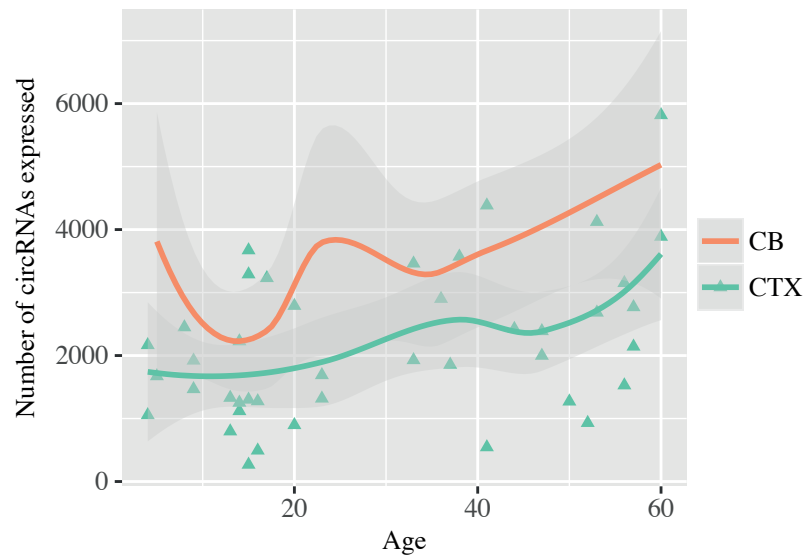
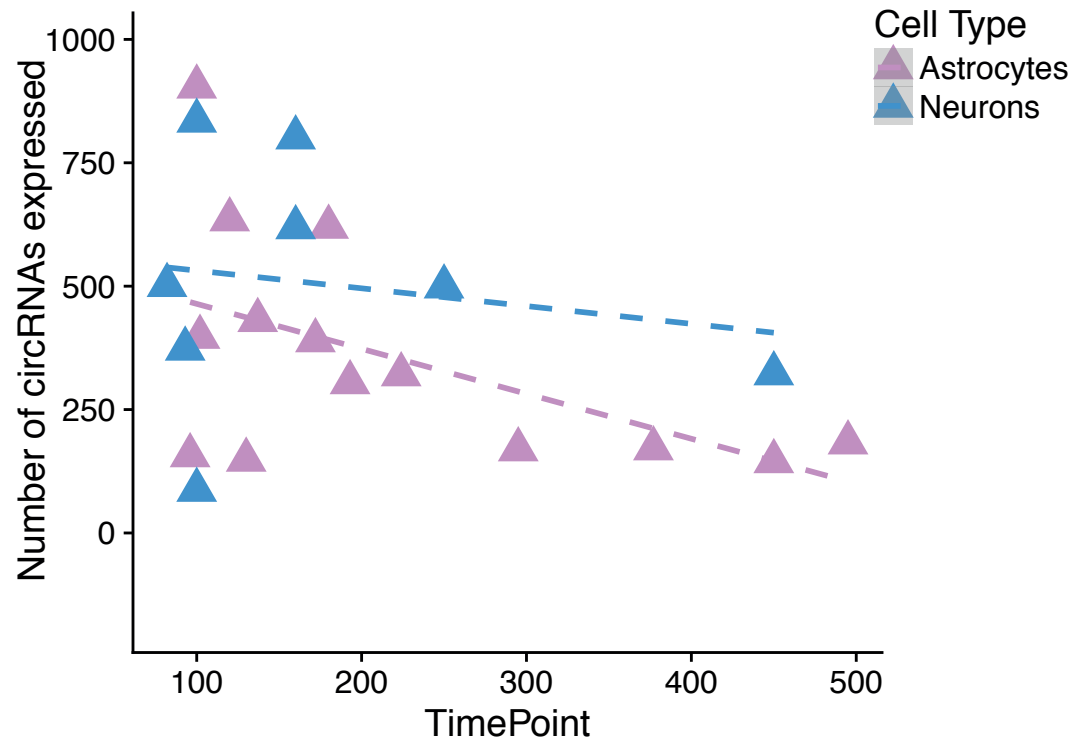


Figure 6. CircRNA expression in CTX and CB. (A) Principal component plots of normalised circRNA expression (CLR) data. *Left:* DS1. *Right:* DS2. PC1, PC2 – first and second principal components. (B) Scatterplot of the circRNA expression difference between CB and CTX in DS1 (x-axis) and DS2 (y-axis). (C) Scatterplot displaying the total number of circRNAs expressed in each sample (y-axis; Methods) versus age (x-axis) in CB and CTX. Each data point represents a sample. Regression lines were generated using a loess smoothing function (geom_smooth) in ggplot2.

A.



B.

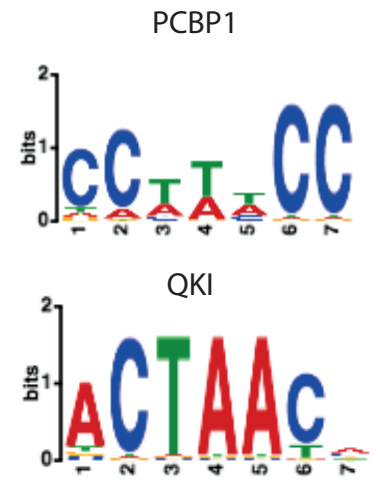
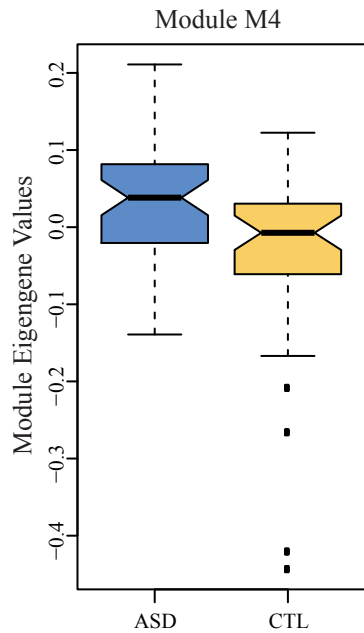


Figure 7. CircRNA expression changes during cellular maturation in neurons and astrocytes. (A) The number of circRNAs expressed in astrocytes and neurons (y-axis) vs. the maturation time-point in organoid cultures (x-axis). **(B)** Top two enriched RBP motifs for neuron-specific circRNAs.

A.



B.

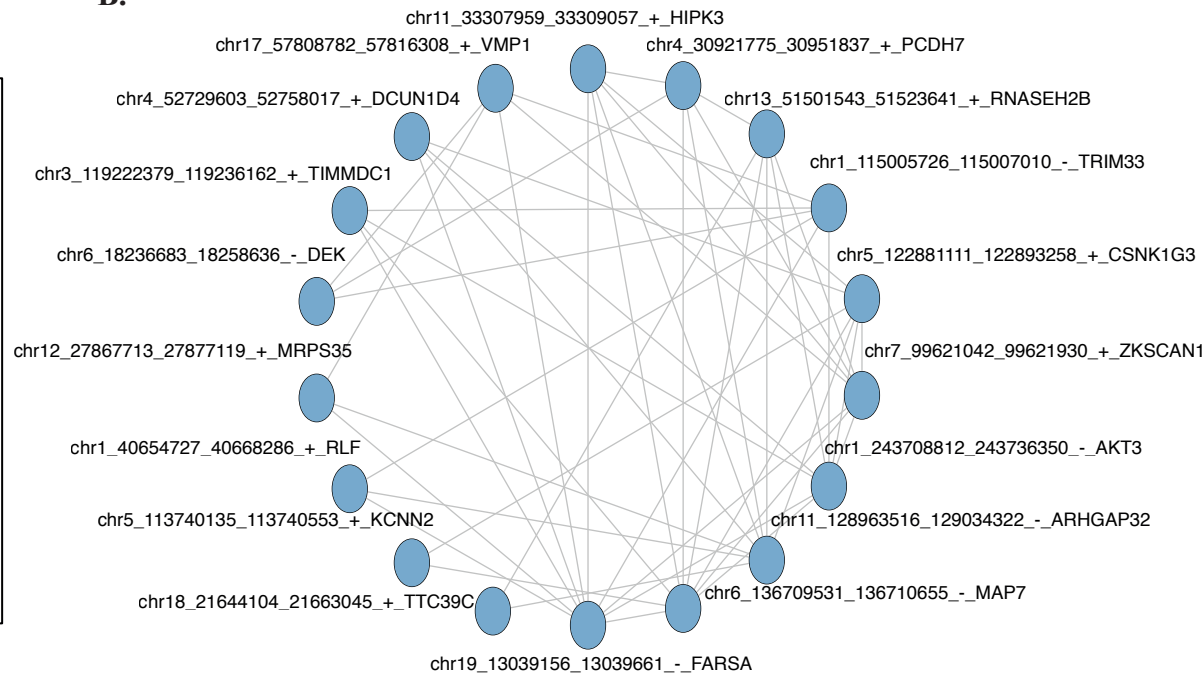


Figure 8. Co-expression networks identify circRNA expression changes in ASD. (A) Module eigengene values for M4 show significant differences between ASD and control CTL samples. $p=0.006$, Wilcoxon rank-sum test, Bonferroni corrected. Boxplots were generated using the boxplot function in R; the horizontal line represents the median, boxes extend between the first and third quartiles, and whiskers extend to 1.5 IQR (inter-quartile range) from the box. Notches mark $\pm 1.58 \text{ IQR}/\sqrt{n}$, where n represents the number of data points. (B) Network plot of M4, showing the top 20 circRNAs by kME (blue circles), and the top 50 connections between them as edges.