Ecological causes of uneven diversification and richness in the mammal tree of life

Short title: Ecological causes of diversification in mammals

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Abstract

The uneven distribution of species in the tree of life is rooted in unequal speciation and extinction among groups. Yet the causes of differential diversification are little known despite their relevance for sustaining biodiversity into the future. Here we investigate rates of species diversification across extant Mammalia, a compelling system that includes our own closest relatives. We develop a new phylogeny of nearly all ~6000 species using a 31-gene supermatrix and fossil node- and tip-dating approaches to establish a robust evolutionary timescale for mammals. Our findings link the causes of uneven modern species richness with ecologically-driven variation in rates of speciation and/or extinction, including 24 detected shifts in net diversification. Speciation rates are a stronger predictor of among-clade richness than clade age, countering claims of clock-like speciation in large phylogenies. Surprisingly, speciation rate heterogeneity in recent radiations shows limited association with latitude, despite the well-known increase in species richness toward the equator. Instead, we find a deeper-time association where clades of high-latitude species have the highest speciation rates, suggesting that species durations are shorter (turnover is higher) outside than inside the tropics. At shallower timescales (i.e., young clades), diurnality and low vagility are both linked to greater speciation rates and extant richness. We suggest that high turnover among small-ranged allopatric species has erased the signal of vagility in older clades, while diurnality has adaptively promoted lineage persistence. These findings highlight the underappreciated joint roles of ephemeral (turnover-based) and adaptive (persistence-based) processes of diversification, which manifest in recent and more ancient evolutionary radiations of mammals to explain modern diversity.

Keywords

Phylogenetics, macroevolution, dispersal, mass extinction

Author Summary

The over 6000 living species in the mammalian tree of life are distributed unevenly

among branches so that similarly aged groups sometimes differ many fold in species richness

(e.g., ~2500 rodent species versus 8 pangolins). Why differential bursts of species diversification

occur, and how long they persist, has implications for sustaining biodiversity. Here we develop a

robust evolutionary timescale for most extant species, recovering signatures of rate-variable

diversification linked to ecological factors. Mammals with low dispersal or that are day-active

show the fastest recent speciation rates, consistent with mechanisms of allopatric isolation and

ecological opportunity, respectively. Speciation is surprisingly faster in extra-tropical than

tropical lineages, suggesting that longer species durations for tropical lineages underpin the

latitudinal diversity gradient in mammals.

Introduction

Branches in the mammal tree of life range from mega-diverse rodents and bats to similarly old, yet species-poor, groups like treeshrews and pangolins (stem ages all ~60-70 million years ago [Ma]). Questioning why some evolutionary groups are more speciose than others traces to the classic 'hollow curve' observation of Willis [1], which was formalized for phylogenetic tree shape as unevenness (or imbalance) [2]. Uneven species richness implies uneven net diversification (speciation – extinction), but whether speciose clades usually derive from faster rates or older ages is controversial [2–4]. Similarly debated are the causal roles of environmental factors [3,5–7] or intrinsic traits of species [8,9] as determinants of rate-variable diversification. Recently, analytical advances in identifying macroevolutionary rate regimes [10] and species-level rate variation at the instantaneous present (e.g., the tip DR metric [11,12]) have uncovered gradients of higher speciation rates with latitude [13–15] and elevation [7]. Ephemeral speciation processes [16] appear to underlie these dynamics, where unstable environments produce many short-lived species via high rates of lineage turnover (speciation + extinction). In contrast, adaptive processes that involve accessing novel ecospace are expected to decrease extinction rates [6,17,18], so that species accumulate via persistence not turnover. If nascent allopatric species form regularly [19], then identifying which factors cause them to persist or go extinct (e.g., climate seasonality, dispersal ability, niche adaptations; [7,13,14,16,19–21]) is central to understanding why evolutionary tree shapes and geographic diversity are uneven. The challenge to reconstructing species birth and death across Mammalia is that a robust evolutionary timescale is required for any test of rate variation to be meaningful.

Until now, the species-level phylogenies of mammals have been inadequate for the task of understanding macroevolutionary tree shape. Parsimony supertrees [22] were first

implemented on large scales across Mammalia (Bininda-Emonds et al. [23] and its updates

[24,25]). However, supertree methods inherently result in node conflict when merged source

trees disagree (e.g., >50% of nodes [23,24]) that, when secondarily resolved [25], add branch

length artifacts to regions of the tree with the greatest phylogenetic uncertainty. Supertrees are

thus poorly suited for studying rates of lineage diversification (*SI Appendix*, Fig. S14 for a

comparison of tree shapes). However, they continue to be a popular choice for large-scale tests

of diversification-rate hypotheses [e.g., 26–29]. Herein, we abandon the supertree paradigm,

using instead a single DNA supermatrix to improve upon the Bayesian backbone-and-patch

approach developed in birds [11], squamates [30], and amphibians [31].

Our goals are to: (i) build sets of species-level mammal phylogenies that are optimized

for root-to-tip comparisons of lineage diversification and trait evolutionary rates; (ii) test for tree-

wide and among-clade variation in rates through time; and (iii) evaluate the ecological causes of

those rate-variable processes, which we find are the primary predictors of species richness

among mammal clades. Due to the rapid innovations in phylogenetic comparative methods and

frequent controversies over their implementation [e.g., 32–37], we employ multiple modeling

strategies at each analysis stage to corroborate our results. Testing for ecological effects on

recent versus older radiations reveals complex and age-dependent connections between clade

traits, speciation rates, and species richness. We paradoxically find that extant lineages outside

the tropics have faster rates of recent speciation than do tropical lineages where modern species

richness is the greatest. This type of mismatch suggests that high lineage turnover characterizes

larger swaths of the mammal tree of life than previously appreciated—complementing

traditionally invoked mechanisms of 'key innovations' [38] and disparate ecological opportunity

[6,17,18] to explain uneven species richness patterns.

Results and Discussion

Our mammal tree (Fig. 1) includes 5,804 extant and 107 recently extinct species in a credible set of 10,000 trees, integrates age and topological uncertainty, and incorporates 1,813 DNA-lacking species using probabilistic constraints. It thereby offers a species-level phylogeny with all branches estimated under a unified birth-death framework (available at vertlife.org). Trees are built using: (i) an updated taxonomy; (ii) a newly assembled 31-gene supermatrix; and (iii) the backbone-and-patch approach, which here estimates the phylogenies of 28 mammal subclades (identified in a global DNA tree) with relative branch lengths, re-scales the branches to corresponding divergence times in fossil-calibrated backbones, and grafts each subclade to the backbone (Fig. 2; *Methods*, *SI Appendix*, Datasets S1-S6). We developed four credible sets of Mammalia-wide trees based on node- or tip-dated backbones [39,40] and the inclusion or exclusion of DNA-lacking species. Analyzing samples of trees from each set yields some variation in node ages, but consistent results across all sensitivity analyses (*SI Appendix*, Fig. S9-11, S21-S22). We recommend that researchers use the 'completed' or 'DNA-only' tree sets for addressing questions where diversification rates or trait evolution are paramount, respectively; when that distinction overlaps (e.g., trait-dependent diversification) we recommend comparing analyses run on tree samples from both sets.

Tree-wide and among-clade tempo of lineage diversification. The absolute and relative timings of mammal diversification are debated [23,41], with particular controversies around whether early placentals diverged before, after, or during the Cretaceous-Paleogene (K-Pg) mass extinction event, 66 Ma (short fuse, long fuse, or explosive models, respectively [42]). We estimate the age of crown Placentalia at 92 Ma (95% confidence interval [CI] of 77, 105 using node-dating; tip-dating yielded mostly similar results, *SI Appendix*, Fig. S9). The first four

placental divergences unambiguously preceded the K-Pg (Fig. 3a; filled circles), followed by the

next 21 divergences with CIs that overlap the K-Pg (Fig. 3a–b). We find a Cretaceous "fuse" of

~25-Ma between the radiation of crown Placentalia and nine of 18 crown orders (*SI Appendix*,

Table S6), in line with some estimates [41,43], but longer than others (e.g., [23]). The burst of

tree-wide lineage turnover we recover near the K-Pg (visual anomalies in speciation and

extinction rates; Fig. 3c) is remarkable for matching concurrent fossil evidence for pulses of

origination and extinction [42,44,45] (Fig. 3d). Despite spatiotemporal biases in fossil

preservation [46,47] and extant phylogeny reconstruction [48], corroboration between these

genetic and fossil data suggests they reflect genuine dynamics in mammalian evolution [49].

We recover at least 24 lineage-specific shifts in the net diversification rates of mammals

(Fig. 1, 3c, e; shifts present in ≥ 50% of maximum shift credibility trees analyzed in BAMM

[50]; see *SI Appendix*, Table S8). The earliest rate shift occurs in either crown Placentalia (1.1x

higher than the Mammalia-wide median rate of 0.138 species/lineage/Ma) or Boreoeutheria

(1.6x, node C in Fig. 1). These shifts involve 18 different lineages and are all positive, except a

rate decrease uncovered for the primate clade of lemurs, lorises, and galagos (Strepsirrhini; node

O). The two largest rate increases (4.0x and 3.2x) occurred in the last 10 Ma: the gopher-like

tuco-tucos of South America (*Ctenomys*, node Q), and the Indo-Pacific flying foxes (*Pteropus*,

node J). Overall, rate increases near the present tend to be particularly high, with a 2.2x mean in

the Miocene versus 1.3x in each the Oligocene and Eocene (Fig. 3c; df=2, F=7.772, P=0.003),

which corroborates the expectation for extinctions deeper in the tree (e.g., [44]) to have reduced

our ability to detect more ancient shifts [48,50]. Different to the explosive model [42], no

lineage-specific rate shifts implicate the K-Pg in promoting radiations, either preceding the event

(Placentalia) or occurring later (Fig. 3c, e). Notably, we record the highest probability of tree-

wide rate increases ~15 Ma (*SI Appendix*, Fig. S15c and d), in contrast to previous results for rate

decreases ~8 and ~3 Ma in mammals [23,26].

Within-clade tempo of lineage diversification. The timings of radiation we recover

emphasize that the majority of mammalian diversification in extant lineages occurred during the

last ~50 Ma (Fig. 1, 3). Environmental changes during this period are posited to have broadly

changed the biosphere [3,51], with potential imprints on phylogenies as temporal variation in

diversification rates [4–6,49]. We predicted that species-rich clades would display stronger

signatures than depauperate clades of rate-variable (RV) diversification if RV processes were

predominant, since the likelihood of rare events (within-clade shifts in speciation or extinction)

and our statistical power to detect them should increase with clade size. Rate increases are also

expected to yield more extant species. We find that models of RV diversification [49] were

favored over rate-constant (RC) models [48] for five out of 12 placental subclades tested (Fig. 3f;

SI Appendix, Table S9). The strongest RV signal is in the speciose mouse-related clade of

rodents, along with shrews, catarrhine primates, and the cow- and whale-related clades of

artiodactyls (Fig. 3e), the latter of which was previously suggested [49,50]. However, since we

also found lineage-specific rate shifts in those groups (clades 46, 31, 42, 37, and 36; Fig. 1, 3e–

f), it was not possible to distinguish between within-lineage scenarios of multiple rate regimes or

time-variable rates using these modeling approaches.

As an additional, more sensitive, test of within-clade rate variation, we use clade-wide

distributions of tip-level speciation rates as assessed using the tip DR metric [11] (Fig. 3f). We

find the overall-highest tip speciation rates in simian primates (clades 42–43), including the

human genus *Homo* (80th percentile, median 0.321 species/lineage/Ma; *H. sapiens* and three

extinct species) and Indomalayan lutung monkeys (95th percentile, 0.419, *Trachypithecus*), while

the distinctive aardvark and platypus have the lowest tip speciation rates (clades 1, 14; Fig. 1).

Broadly, we recognize substantial heterogeneity in tip rates across the mammal tree, sometimes

with a few high-tip-rate species nested together with low-tip-rate species (Fig. 1), resulting in

long right-side tails in the tip rate distributions (positive skew, e.g., clades 38 and 44 in Fig. 1,

3f). We find that tip rate skew measures aspects of within-clade speciation rate variation that is

otherwise uncaptured by model-fitting approaches (*SI Appendix*, Table S10).

Time and ecology relative to clade species richness. The relative importance of clade

ages (time) versus rates of speciation and extinction (whether stochastic or ecologically driven)

as an explanation of extant diversity levels is a matter of intense debate in mammals [5,6,52,8]

and other taxa [19,53,27,54]. Past efforts to separate these hypotheses have focused on named

clades (e.g., [4]), which are biased by subjective delineation and often vast age differences

(mammal families range 3.8–59.0 Ma in mean crown ages; *SI Appendix*, Dataset S7). To avoid

this bias, we sliced phylogenies at five-million-year intervals and took the tipward clades as

objective units for analysis (Fig. 4a; *SI Appendix*, Fig. S5). Time-sliced clades thus account for

the 'pull of the present' in modern trees [55] by analyzing successive levels of rootward

covariance among clade crown age, species richness, tip speciation rate mean and skew, and

mean ecological traits. If time-constant rates predominate [27,53,56], crown ages will explain

most of the among-clade variation in species richness. In contrast, if rate variation is strong, as

we already recognized for some nodes and named clades (Fig. 3) and expect from varying

ecological regimes [2,5,6,19], diversification rates will have the greater explanatory power.

We find that clade age and richness are positively correlated—yet significantly less so

than the unique effects of tip speciation rate mean and skew on richness (Fig. 4, multivariate

PGLS; *SI Appendix*, Fig. S18 for univariate and taxon-based results). Critically, clade tip rate

mean has stronger effects on richness than expected from simulated RC trees containing only

stochastic rate variation (Fig. 4c). Clade tip rate skew is also significant, especially so at deeper

time slices (Fig. 4d), confirming that single speed-ups in diversification within a clade (e.g., due

to a rate shift in one lineage) can drive much of its overall species richness today. These analyses

support arguments that 'ecology' (broadly defined to include anything that alters rate processes)

is a greater macroevolutionary force than time [54]; however, both clearly contribute to observed

richness (adjusted-R^2: 0.88 full model versus 0.26 with crown age only, means of 100-tree PGLS

among 35-Ma clades). Jointly analyzing richness determinants in time-sliced clades offers an

objective way to assess age and rate effects that, in turn, enables tests for which ecological

factors are driving rate variation.

196   **Linking ecology to uneven diversification and richness.** Vagility, latitude, and

diurnality are among the key purported causes of variation in mammalian species richness

[3,5,6,57]. Species vagility, through its effect on gene-flow patterns [19,58], has been posited as

inversely related to the probability and scale of geographic isolation, and hence allopatric

speciation [21,59]. However, to our knowledge, vagility has never been assessed for its effects

on mammalian speciation rates (see *SI Appendix*, Fig. S7 for an explanation of our allometric

index of vagility). We performed phylogenetic path analysis [60] to assess the indirect effects of

these ecological factors on mammalian richness via their impact on the joint, yet unequal,

contributions of rates and ages to extant species numbers (Fig. 5, *Methods*, *SI Appendix*, Fig. S8).

Here, the time-sliced clades allow us to distinguish trait-rate dynamics that are localized near the

species level (if traits drive ephemeral speciation [16] or if they evolved very recently) from

those that occur deeper in the tree and persist (if traits evolved anciently and did not affect

extinction rates). We find that at the species level, and especially in herbivores and carnivores,

low-vagility mammals have higher tip speciation rates (Fig. 5a; ecological trait ~ rate PGLS [8]).

Effects of vagility on clade tip rate mean are weakened toward deeper time slices, where they are

instead recorded on tip rate skew (Fig. 5b). We interpret these short-lived effects of vagility on

speciation rates as consistent with expectations that nascent allospecies are produced at a high

rate, but are ephemeral, going extinct before their peripheral isolate can expand [16,19,59].

While the nearly 20% of mammal species that are endemic to islands complicates our allometric

vagility index, we note that the ~10-million-year 'threshold' whereby low-vagility lineages find

an adaptive zone, evolve greater vagility, or vanish is robust to multiple sensitivity tests (*SI*

Appendix, Fig. S21-S22). The influence of vagility on mammal diversification, however, might

be non-linear as it is in birds (e.g., humped [19] or sigmoidal [21]).

Latitude, through strong covariation with environmental conditions and species richness,

is considered to represent key mechanisms behind cross-taxon disparities in richness [3,13]. But

recent evidence casts doubt on this presumed negative association between latitude and

diversification rates [11,13,14]. Here we find that there is no effect of absolute latitude on tip-

level rates of speciation (Fig. 5a). Instead, strong positive associations with latitude arise at

deeper time slices, but without corresponding effects on clade tip rate skew (Fig. 5b). Similarly

weak latitude-to-rate effects in young clades and species of birds [11,13,14] appear to emphasize

the impact on species turnover cycles of temperate climatic instability, seasonality, and

expansion of new habitats. We suggest that the traditionally invoked tropical 'cradle' (higher

speciation) and 'museum' (lower extinction [3]) should re-focus upon the *combined turnover* of

those processes, testing whether extratropical species are 'cycled' faster than tropical species

and, if so, relative to which biogeographic processes. Extratropical lineages may not cycle fully,

but instead persist through climatic oscillations in glacial refugia [61]. The Eocene-Oligocene

transition (~34 Ma) from tropical to temperate habitats [3] would then have initiated converse

latitudinal gradients in species turnover rates and total richness, although North American

mammal fossils suggests a steeper richness gradient beginning ~15 Ma [62].

Diurnality is a core behavioral-physiological trait tied to temporal niche innovation [57]

and the associated potential for adaptive diversification. We find that repeated origins of daytime

activity since the late Eocene (~35 Ma [57,63]) are associated with faster speciation, both at the

present (Fig. 5a) and among 10-Ma time-sliced clades (Fig. 4b). Lineage-specific rate regimes

also reflect signatures of greater diurnal activity on speciation rates (*SI Appendix*, Fig. S17a).

These results affirm the importance of diurnality [63] in the context of other drivers of rate

variation (vagility and latitude), placing previous findings of rapid diversification in diurnal

lineages of primates [64] in a broader context. Results for 30- and 50-Ma clades appear to be

confounded with nocturnal ancestors, including inverse effects on tip rate skew (Fig. 5b), which

is consistent with diurnality evolving well after a "nocturnal bottleneck" among K-Pg-surviving

mammals [57]. In contrast to vagility and latitude, we posit that greater daytime activity is an

example where adaptive divergence in niche traits has decreased extinction rates via competitive

release [17], and therefore led to greater persistence and species richness in diurnal lineages.

Conclusions. Our novel, time-calibrated phylogeny addressing all extant and described

species of mammals puts a focus on ecological drivers of speciation and diversification. Rate-

shifted clades have disparate ecological characteristics (*SI Appendix*, Fig. S17e), suggesting that

lineage-specific events have fostered mammalian radiations. Nevertheless, we detect cross-clade

signatures of intrinsic (vagility, activity pattern) and extrinsic (latitude) factors driving aspects of

diversification at nested phylogenetic levels. We hypothesize that two main processes are at

work. First, turnover-mediated diversification is acting on short timescales due to geographic

isolation among low-vagility species, and on longer timescales due to the dynamics of

extratropical climates. Second, persistence-mediated diversification is demonstrated for diurnal

lineages and related more generally to adaptations (or stable habitats) that result in lower

extinction rates. Traversing between these modes of diversification may be possible if otherwise

ephemeral allospecies can enter novel regions of the phenotype-to-environment landscape, either

via niche evolution or extrinsic opportunity [6,16,17,59], to then continue diversifying with

lower extinction risk. Overall, we show that ecological factors are influencing speciation rates,

but the effects manifest at different hierarchical levels of the tree. Geologically recent processes

associated with turnover or adaptation are not yet studied for most of life, but our results in

mammals suggest that lineage-level gradients in these novelty-originating processes have causes

rooted in the dynamics of population-level gene flow.

Methods

Building new species-level trees for extant Mammalia

Overview. We reconstructed the evolutionary history of extant Mammalia aiming to maximize the accuracy and comparability of temporal information (branch lengths) across lineages in a credible set of time-calibrated phylogenies. Trees were built using a multi-step strategy (Fig. 2) designed to: (i) sample and vet available DNA sequences for extant and recently extinct species into a 31-gene supermatrix; (ii) use an updated taxonomy accounting for 367 new species and 76 genus transfers (5,911 total species; *SI Appendix*, Table S2 and Dataset S2); (iii) estimate a global maximum likelihood (ML) tree for 4,098 species in the DNA supermatrix to inform taxonomic constraints (*SI Appendix*, Dataset S3); (iv) include species unsampled for DNA within the Bayesian phylogenetic analyses (PASTIS completion [65]); and (v) integrate fossil data at nodes and tips to compare methods of calibrating backbone divergence times in mammals. We modified the backbone-and-patch analysis framework [11] to estimate the relative-time phylogenies of 28 non-overlapping subclades of mammals, called "patches" (identified in the global ML tree; Table S5 and Dataset S3). We then re-scaled branches to corresponding divergence times in fossil-calibrated backbones, and grafted the subclade patches to backbones to form Mammalia-wide trees (*SI Appendix*, Fig. S1-S3). We compared trees built using node-dated backbones (17 fossil calibrations [39]) and tip-dated backbones (matrix of modern and Mesozoic mammals [40]), which yielded broadly similar ages (*SI Appendix*, Fig. S9-S11). Strict topology constraints from the global ML tree were used in the 10,000 taxonomically completed trees (5911 species, 'TopoCons') while the DNA-only trees were estimated without topology constraints (4098 species, 'TopoFree').

DNA gathering pipeline. We used the BLAST algorithm (Basic Local Alignment Search Tool [66]) to efficiently query a local copy of NCBI's nucleotide (nt) database, targeting 31 gene fragments (*SI Appendix*, Table S1) commonly sampled among mammals. Meredith et al. [41] was our starting point since their matrix included most extant families for 22 exons and 5 non-coding regions. We further targeted four protein-coding mitochondrial genes to maximize species-level sampling. For each gene, we used a set of pre-vetted sequences or 'baits' as queries for extracting homologous gene fragments from the NCBI database using the "blastn" executable (BLAST+ version 2.2.31) and the XML2 output format to assign taxonomic information for subsequent parsing.

Synonym resolving and master taxonomy for this study. The NCBI taxonomy of our genetic data contained many synonyms that required matching to accepted mammalian species prior to analysis. We based this matchup on a synonym list compiled from Catalogue of Life, MSW3 [67], and IUCN (total of 195,562 unique equivalencies; updated from Meyer et al. [68]). This procedure yielded direct matches for 75% of the NCBI names from our BLAST search. We matched an additional 765 names via manual reference to the literature and identified 1273 species synonyms to yield a list of 4,217 accepted species with ≥1 sampled gene for subsequent error-checking. This taxonomic matchup also produced a master taxonomy of 5911 mammalian species for this study, of which 5,804 species are considered extant (*SI Appendix,* Table S2). The Mammal Diversity Database [69,70] (mammaldiversity.org) was an outgrowth of our project, and continues to update mammalian taxonomy as new literature is published.

DNA sequence error-checking and alignment. We used an iterative per-gene approach to clean annotation errors in NCBI, as follows: (i) sequence alignment, (ii) gene-tree construction

(RAxML v.8.2.3 [71]), and (iii) error-checking for stop codons and insufficient alignment
overlap (Fig. 2a). In total, our error-checking steps excluded 1618 sequences across all genes
(i.e., 7.2% of the 22,504 individual DNA sequences; *SI Appendix*, Table S1 and S3)
corresponding to 119 species, and yielding 4098 species with ≥1 gene fragment validated in the
final 31-gene matrix (Dataset S1 lists excluded sequences).

Global DNA-only ML tree. Phylogenetic analysis of the 4098-species DNA matrix was
first performed in RAxML with the goal to identify the single best-supported topology for global
mammals (*SI Appendix*, Table S4, Dataset S3). The supermatrix of 39,099 base pairs (bp) was
11.9% complete in terms of ungapped sites, which was a level of missing data not expected to
confound phylogeny estimation [72,73].

Patch subclades and PASTIS completion of missing species. Examination of well-
supported nodes (>75% bootstrap support) in the global ML tree informed our division of the
mammalian phylogeny into 28 patch subclades [11]. Delimiting patches was an essential step for
conducting Bayesian analyses on manageable tree sizes given that co-estimation upon ~1000 or
more species from our DNA supermatrix exceeded reasonable computational limits (*SI
Appendix*, Fig. S2, Table S5). Taxonomic constraints for MrBayes v.3.2.6 [74] were formed with
the R package PASTIS [65], reducing the potential for human error while identifying non-
monophyletic genera in the global ML tree (see Dataset S4). Completed species' branch lengths
were drawn from the same birth-death distribution as the rest of the patch clade, tending PASTIS
completions conservatively to rate-constant processes while preserving the taxonomically
expected tree shape [11,65].

Fossil-dated backbone trees. Two backbones were constructed: (i) node-dating (ND),
using 17 fossil calibrations from Benton et al. [39], as augmented by Philips [75]; and (ii) tip-
dating (fossilized birth-death, FBD [76]), using the morphological data set of Zhou et al. [40]
trimmed to 76 fossil and 22 extant taxa (mostly Mesozoic fossils, 66–252 Ma). In both analyses,
we focused on a common set of extant taxa to subset the full supermatrix for molecular
characters (59 mammals, representing each of the 28 patch clades plus select family-level taxa
with morphological data, and 1 outgroup *Anolis carolinensis*). ND and FBD analyses were
conducted in MrBayes analogously to patch clades, and compared to test dating sensitivity (*SI
Appendix*, Fig. S9, Table S6, Dataset S5).

Construction of full dated mammalian phylogenies. Tree distributions from the 28
patch subclades (Completed TopoCons and DNA-only TopoFree) and two backbones (ND and
FBD) was performed in ape [77], as outlined in the *SI Appendix*. Sets of 10,000 trees will be
available in the phylogeny subsetting tool at vertlife.org/phylosubsets and temporarily at XXXX.

Tests for diversification-rate variation or constancy

Tip-level speciation rates. Following ref. [11] we calculated per-species estimates of
expected pure-birth diversification rates for the instantaneous present moment (tips of the tree)
using the inverse of the equal splits measure [11,12]. This metric has been called 'tip-level
diversification rate' (tip DR) because it measures recent diversification processes among extant
species [7]. However, to avoid confusion with 'net diversification', for which tip DR is
misleading when extinction is very high (relative extinction >0.8 [78]), we here refer to tip DR as
a tip-level speciation rate metric. At the tip level, we show that tip DR is tightly associated with
model-based estimators of speciation and net diversification rates in our trees (*SI Appendix*, Fig.
S4a). At the clade-level, we measure 'clade tip speciation mean' as the harmonic mean of tip DR

among species, which is known to converge to the maximum likelihood estimator of pure-birth
diversification rate in clades >10 species [11,12]. We show that clade tip DR mean indeed best
approximates pure-birth clade rates for time-sliced clades in our mammal trees (R^2: ~0.7 versus
~0.5 for birth-death speciation and net diversification rates; *SI Appendix*, Fig. S4b).

Lineage-specific rate shifts. We performed searches for macroevolutionary shifts using
BAMM v2.5 [50], a reversible-jump algorithm for sampling birth-death scenarios of variable rate
regimes without a prior hypothesis. The phylogenetic uncertainty in our trees prompted us to
evaluate the number and location of rate shifts on 10 trees from the node-dated sample. We
summarized across the most likely shifts per tree—called maximum shift credibility (MSC) sets
(*SI Appendix*, Fig. S15a)—using the ratio of the mean net diversification rate of all branches
inside the shifted clade (clade rate) and outside that clade (background rate) to calculate the rate
shift magnitude and direction for each MSC set (*SI Appendix*, Table S8 and Dataset S7; for tree-
wide rate shifts, see *SI Appendix*, Fig. S15-S16).

Fossil diversification. To assess the congruence of our molecular phylogeny-based rate
estimates with the fossil record, we analyzed Mammalia fossil occurrence data from the
Paleobiology Database [79]. Grouping by genus after excluding ichnotaxa and uncertain genera,
we recovered 71,928 occurrences of 5300 genera, which we then binned in 10-Ma intervals and
used shareholder quorum subsampling (SQS [80]; quorum size: 0.5) to maximize the uniformity
of coverage. Corresponding origination and extinction rates per stage were calculated using the
per-capita rate method [81], and the oldest fossil per extant order was compared to stem ages in
our node-dated phylogeny (*SI Appendix*, Fig. S13, Table S7).

Likelihood tests of RC and RV models of diversification. We analyzed the branching
times of 27 named subclades (11 orders and 16 suborders) that contained ≥25 species. For each
subclade, we tested 10 models developed by Morlon et al. [49]: two rate-constant (RC) models,
constant PB and BD; and eight rate-variable (RV) models, with exponentially and linearly time-
varying rates. We fit models for 100 trees of the empirical subclades and their matching RC-
simulated trees (null models, simulated under the empirical extinction fractions of ~ ε=0.65 over
100 trees using the "pbtree" function in phytools [82]). Subtracting AICc scores of the best-
fitting RC and RV models provided the ΔAIC_{RC-RV} test statistic per tree and subclade for
comparison to the simulated null distribution (alpha=0.05; see *SI Appendix*, Table S9).

Time-sliced clades and clade-level PGLS. To objectively define clades, we arbitrarily
drew lines (referred to as "time slices") at 5-Ma intervals and took the resulting *tipward*
monophyletic clades as non-nested units of analysis. The *rootward* relationships of those clades
(the "rootward backbone") was retained for each interval, giving the expected covariance
structure among clades when performing phylogenetic generalized least squares (PGLS) analyses
(*SI Appendix*, Fig. S5 for illustration). We used the "treeSlice" function in phytools to construct
clade sets across Mammalia trees and the three sets of RC simulations, empirical (ε=0.65), low
(ε=0.2), and high (ε=0.8), also comparing our results to analyses on traditional taxon-based
clades (genera, families, and orders; *SI Appendix*, Fig. S18-S20). All PGLS was performed
excluding extinct species, using Pagel's "lambda" transformation in phylolm (optimized for large
trees [83]), and repeating the analysis across 100 or 1000 trees.

Tests for causes of diversification-rate variation

Mammalian trait data. Our workflow for gathering trait data involved (i) unifying
multiple trait taxonomies (e.g., EltonTraits v1.0 [84]) to our phylogeny's master taxonomy; and

(ii) interpolating home range area and vagility to the species level using known allometric
relationships in mammals (*SI Appendix*, Fig. S6, Dataset S7). Vagility was calculated as the
maximum natal dispersal distance per individual (km) and interpolated for each species
following our updated version of Whitmee and Orme's [85] best-fit equation, testing for
collinearity prior to analyses (*SI Appendix*, Fig. S7).

Tip-level correlates of diversification rates. To better understand correlative structures
underlying the observed rate variation, we performed tip-level PGLS analyses between species'
ecological traits and tip DR values across 1000 trees, focusing on a 5675-species data set that
excluded all extinct (n=107) and marine (n=129) species. We followed Freckleton et al. [8] in
using trait ~ rate models in our tip-level PGLS analyses to avoid identical residuals in the
dependent variable (i.e., sister species have identical tip DR values, violating the assumption of
within-variable data independence in bivariate normal distributions). The trait ~ rate approach
has been applied using tip DR in univariate contexts [86] (see *SI Appendix*, Fig. S21 for
sensitivity tests).

Clade-level correlates of diversification rates. At the clade level, univariate PGLS was
performed typically (rate ~ trait models), since clade tip DR mean gave independent values to
sister clades. These analyses were conducted on 1000 trees by analogy with those previous,
except that per-clade trait summaries were the standardized predictors (geometric means for
vagility, otherwise arithmetic means). We also performed tests for trait-dependent diversification
using rate-shifted clades identified in BAMM runs on 10 mammal trees (STRAPP [87] method),
which corrects for phylogenetic pseudoreplication similar to PGLS except considering only the
covariance structure among rate regimes (see *SI Appendix*, Fig. S17).

Phylogenetic path analyses. Path analysis aims to fully resolve correlational structures
and thereby translate from the language of statistical probability to causality. In phylogenetic
path analyses, we used PGLS to test statements of conditional independence [60] across 27 pre-
selected path models (*SI Appendix*, Fig. S8). For each tree and clade set, we used "phylopath"
[88] to analyze models and perform conditional model averaging. Time-sliced clades at 10-, 30-,
and 50-Ma intervals were analyzed along with taxon-based clades (*SI Appendix*, Fig. S20, S22).

Data availability

All data and code is available in the manuscript, supplementary materials, and after
publication on Dryad (all code will be available at github.com/n8upham/).

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 Figure legends

Fig. 1. Species-level relationships and tempo of diversification across mammals. The node-
dated analysis of 5911 species shows branches colored with tip-level speciation rates (tip DR
metric) and marked with 24 shifts in lineage-specific diversification rates (labels A-X; shifts with
multiple circles occurred on either branch, not both). Tip-level rates are reconstructed to interior
branches using Brownian motion for visual purposes only. The maximum clade credibility
topology of 10,000 trees is shown, and numbered clade labels correspond to orders and subclades
listed in the plot periphery: Mars, Marsupialia; X, Xenarthra; Afro, Afrotheria; Laur,
Laurasiatheria; Euar, Euarchontoglires. Scale in millions of years, Ma.

Fig. 2. Building the backbone-and-patch Mammalia phylogenies. (a) Schematic overview of
DNA sequence gathering from NCBI, taxonomic matchup, iterative error checking, and
estimating a global maximum-likelihood (ML) tree from the resulting supermatrix (31 genes by
4098 species [71]). Subclade (patch) phylogenies were then delimited, estimated using Bayesian
inference [74], and joined to fossil-calibrated backbone trees (node- or tip-dated). The resulting
posterior samples of 10,000 fully dated phylogenies either had the global ML tree topology
constrained (completed trees of 5911 species, 'TopoCons') or no topology constraints (DNA-
only trees, 'TopoFree'). (b) Backbone trees contained topological and age uncertainty, including
the unresolved base of Placentalia (e.g., [41]), slightly favoring the Atlantogenata hypothesis
(blue) versus Exafroplacentalia (red). (c) Bayesian phylogenies of 28 patch clades were
separately estimated in relative-time units for re-scaling to representative divergence times on the
backbone. Combining sets of backbones and patch clades yielded four posterior distributions for
analysis (see *SI Appendix*, Fig. S9-12).

Fig. 3. Diversification rate variation among mammal clades. Lineage-through-time plots and
estimated crown ages for (**a**) all superordinal divergences, and (**b**) placental orders with crown
age estimates overlapping the Cretaceous-Paleogene extinction event (K-Pg, dashed gray line;
means and 95% CIs; filled circle if statistically different). (**c**) Rate-through-time plots for
speciation, extinction, and net diversification (summarized from Fig. 1 rate shifts; medians from
10 trees, 95% CIs in light gray). (**d**) Fossil genus diversity through time for all Mammalia,
including subsampled genus richness (quorum 0.5) and per-capita rates of genus origination and
extinction. (**e**) Extant rates and lineage-specific rate shifts for the five most speciose mammal
orders (same symbols as in **c**). (**f**) Rate variation within subclades of these five orders as
numbered from Fig. 1; left: difference in AIC between best-fit models of diversification for trees
simulated under rate-constant birth-death (gray) versus observed mammal trees (color; filled
circle and * if ΔAIC on 100 trees is statistically different); and, right: tip-level speciation rate (tip
DR metric) distributions of the same simulated and observed subclades (gray versus color, one
tree), comparing variation in clade tip rate mean and skew across 100 trees. The last 2 Ma are
removed from parts **c-e** for clarity.

**Fig. 4. Age and rate components of species richness variation across time-slice defined
clades. (a)** The log species richness of clades tipward of each 5-Ma time slice (dotted lines from
5-70 Ma) across a sample of 100 phylogenies (maximum clade credibility tree shown) is best
predicted jointly by (**b**) clade crown age, (**c**) the clade harmonic mean of tip speciation rates (tip
DR mean), and (**d**) the clade skew of tip speciation rates (tip DR skew). Multivariate
phylogenetic analyses of clade richness in observed trees (gray) is compared to trees simulated
under rate-constant birth and death with different extinction fractions, ε (colors in legend; PGLS
on standardized data with 95% confidence intervals [CIs] on parameter estimates). Solid black

lines are the observed best-fitting models given random effects of time slice and tree. Insets (**b** to
d) are examples from 35-Ma clades (red line) showing the bivariate plots underlying each
multivariate PGLS slope per tree and time slice.

**Fig. 5. Ecological drivers of (a) tip speciation rates and (b) clade speciation rates and
species richness.** (**a**, top panel) Distribution of tip-level speciation rates (tip DR metric,
harmonic mean of 10,000 trees) relative to per-species estimates of vagility (maximum natal
dispersal distance), diurnality (0=nocturnal or cathemeral, 1=diurnal), and absolute value of
latitude (centroid of expert maps) across 5,675 species (excluding extinct and marine species).
Loess smoothing lines visualize general trends (blue, span=0.33). Tip-level effects (bottom
panel) from univariate PGLS between tip speciation rates and ecological traits subset across
trophic levels (1000 trees, 95% CI, colored if significant). (**b**) Phylogenetic path analysis [60] of
putative causal relationships between traits and rates leading to clade species richness for time-
sliced clades. Path thickness, color, and directionality denote median coefficients of model-
averaged analyses. The bottom panels provide per-estimate uncertainty across time slices (slope
± SE, 1000 trees). Non-zero estimates, either positive (blue shades) or negative (red shades), are
totaled in the right margin; paths present in >500 trees are bolded and displayed in path model
whereas others are dashed.

Diversification rate shifts
4.0x ● up
2.0x ○ down
1.1x ● up/down

Tip diversification rate (species/Ma)
0.04   0.21   0.55   0.8

Mars.	1 MONOTREMATA
	2 PAUCITUBERCULATA
	3 DIDELPHIMORPHIA
	4 MICROBIOTHERIA
	5 NOTORYCTEMORPHIA
	6 PERAMELEMORPHIA
	7 DASYUROMORPHIA
	8 DIPROTODONTIA
X.	9 PILOSA
	10 CINGULATA
Afro.	11 SIRENIA
	12 HYRACOIDEA
	13 PROBOSCIDEA
	14 TUBULIDENTATA
	15 MACROSCELIDEA
	16 AFROSORICIDA
Laur.	17 EULIPOTYPHLA
	18 PHOLIDOTA
	19 CARNIVORA
	20 PERISSODACTYLA
	21 ARTIODACTYLA
	22 CHIROPTERA
Euar.	23 DERMOPTERA
	24 SCANDENTIA
	25 PRIMATES
	26 LAGOMORPHA
	27 RODENTIA

Solenodons
True moles
Hedgehogs
True shrews
Cat-related (civets, hyenas)
Dog-related (bears, seals, otters)
Camels, llamas
Pigs, peccaries
Whales, dolphins, hippos
Cattle, deer, giraffes
Yinpterochiroptera
Yangochiroptera
Lemurs, lorises, galagos
Tarsiers
Old World monkeys, apes
New World monkeys
Guinea pig-related
Squirrel-related
Mouse-related

a Tree-building overview

I. DNA gathering pipeline → **II. Taxonomic reconciliation** → **III. Per-gene DNA alignments** → **Error checking** → **IV. Gene trees (RAxML)** → Concatenation → **V. 31-gene supermatrix**

rogue taxa
mis-IDs, contamination

non-overlapping seqs
pseudogenes

Partition Finder

X. Full dated posteriors of Mammalia
Completed 5911 species TopoCons
DNA-only 4098 species TopoFree

IX. Backbone tree estimation
Fossil data

Time

Node-dated backbone or tip-dated (MrBayes)

VIII. Patch clade estimation
DNA uncertainty

DNA-only trees (MrBayes)

Topology free

VII. Delimitation of 28 patch clades

Topology informs

VI. Global RAxML tree

Topology constrained

Completed trees (MrBayes, PASTIS)

Missing sp.

b Node-dated backbone

c 28 patch clade phylogenies

Clade	Species
Vespertilionioidea	(605)
Emballonuroidea	(70)
Noctilionoidea	(227)
Yinpterochiroptera	(385)
Pholidota	(8)
Carnivora	(298)
Perissodactyla	(24)
Artiodactyla	(348)
Eulipotyphla	(491)
Guinea pig−related	(304)
Squirrel−related	(320)
Castorimorpha	(109)
Anomaluromorpha	(9)
Dipodidae	(51)
Platacanthomyidae	(2)
Spalacidae	(21)
Calomyscidae	(8)
Nesomyidae	(63)
Cricetidae	(726)
Muridae	(779)
Lagomorpha	(91)
Primates	(458)
Dermoptera	(2)
Scandentia	(20)
Xenarthra	(33)
Afrotheria	(92)
Marsupialia	(362)
Monotremata	(5)

Placentalia
Boreoeutheria
Chiroptera
Rodentia
Monotr. Marsup. Xena. Afrot.

≥ 0.95
0.94−0.75
< 0.75

0.53
Boreoeutheria
Xenarthra
Afrotheria

0.47
Boreoeutheria
Xenarthra
Afrotheria

150   100   50   0   Millions of years (Ma)

a Higher taxa

Lineages

crown **Placentalia**

Xenarthra and Afrotheria

Boreoeutheria

Euarchontoglires

Laurasiatheria

crown **Marsupialia**

K-Pg boundary

b K-Pg orders

Lineages

RODENTIA
CHIROPTERA
EULIPOTYPHLA
PRIMATES
ARTIODACTYLA
AFROSORICIDA
SCANDENTIA
MACROSCELIDEA
PILOSA

K-Pg boundary

c Extant diversification (tree wide)

Rates (species / Ma)

speciation
extinction
net diversification

Per-lineage rate shifts
up
down
up/down

Shift factor

Q
J
W
C
A-B, D-I, K-P, R-V, X

d Fossil diversification (genera)

Fossil genera

total diversity
subsampled
origination rate
extinction rate

Rates (genera / Ma)

e Order-wide diversification

Rates

Shift factor

RODENTIA

Q
S
TU
R
V X
W

CHIROPTERA

G
K
H I
L
J

EULIPOTYPHLA

M

PRIMATES

O
N

ARTIODACTYLA

F
E

Million years before present (Ma)

f Within-order rates

Model fit (ΔAIC_{RC-RV})

−5   0   20   40

Rate-constant (RC) models | Rate-variable (RV) models

Clade tip DR (species / Ma)

0   0.21   0.55   0.80

Tip DR mean (100 trees)
Tip DR skew (95% CI)

Simulated (RC)
Observed

46 *   0.5, 1.1 / 0.7, 1.4
45   0.2, 1.2 / 0.6, 1.0
44   0.0, 1.0 / 1.0, 1.6
38   0.1, 1.4 / 0.8, 1.5
39   0.4, 1.1 / 0.6, 1.4
31 *   0.1, 1.2 / 0.5, 1.0
29   −0.5, 1.1 / 0.0, 0.8
42 *   0.1, 1.5 / −0.1, 1.0
43   −0.1, 1.3 / −0.3, 0.8
40   −0.3, 1.1 / −0.1, 0.4
37 *   0.1, 1.2 / 0.3, 0.6
36 *   −0.2, 1.1 / 0.4, 1.1

Million years before present (Ma)

a 100 mammal phylogenies

△ 35

Observed clades
○ *P* < 0.05   ○ *P* > 0.05
Rate-constant simulations
- - - ε = 0.8
- - - ε = 0.65
- - - ε = 0.2

b Crown age

(log) Clade richness

Clade crown age (Ma)

c Tip DR mean

(log) Clade richness

Clade tip DR mean (species/Ma)

d Tip DR skew

(log) Clade richness

Clade tip DR skewness

Unique effects on (log) clade richness

Million years before present (Ma)

a Species

Species richness

Tip DR (species / Ma)

Vagility (log km) Diurnality Latitude (°N or S)

	#Signif.		#Signif.		#Signif.
All mammals	998		359		2
Herbivores	757		663		3
Omnivores	210		17		3
Carnivores	577		0		8

Standardized shared effects (1000 trees, PGLS)

b Clades

Vagility   Diurnality   Latitude

Tip DR mean   Crown age   Tip DR skew

Time-sliced clades
10 Ma
30 Ma
50 Ma

Species richness

1.0   0   Median standardized unique effect

positive   negative

Tip DR mean	#Signif.	Slice (Ma)			
	854	10	746		591
	14	30	435		722
	464	50	58		572
Tip DR skew	192		524		300
	710		132		148
	661		624		214

Vagility   Diurnality   Latitude

Standardized unique effects (1000 trees, PGLS)