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Ecological causes of uneven diversification and richness in the mammal tree of life

Short title: Ecological causes of diversification in mammals

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Abstract

The uneven distribution of species in the tree of life is rooted in unequal speciation and extinction among groups. Yet the causes of differential diversification are little known despite their relevance for sustaining biodiversity into the future. Here we investigate rates of species diversification across extant Mammalia, a compelling system that includes our own closest relatives. We develop a new phylogeny of nearly all ~6000 species using a 31-gene supermatrix and fossil node- and tip-dating approaches to establish a robust evolutionary timescale for mammals. Our findings link the causes of uneven modern species richness with ecologically-driven variation in diversification rates, including 24 detected rate shifts. Speciation rates are a stronger predictor of among-clade richness than clade age, countering claims of clock-like speciation in large phylogenies. Surprisingly, rate heterogeneity in recent radiations shows limited association with latitude, despite the well-known richness increase toward the equator. Instead, we find a deeper-time association where clades of high-latitude species have the highest speciation rates, suggesting that species durations are shorter outside than inside the tropics. At shallower timescales (i.e., young clades), diurnality and low vagility are both linked to greater speciation rates and extant richness. High turnover among small-ranged allopatric species may erase the signal of vagility in older clades, while diurnality may adaptively reduce competition and extinction. These findings highlight the underappreciated joint roles of ephemeral (turnover-based) and adaptive (persistence-based) diversification processes, which manifest as speciation gradients in recent and more ancient radiations to explain the evolution of mammal diversity.

Keywords

Phylogenetics, macroevolution, dispersal, mass extinction

Significance statement

The over 6000 living species in the mammalian tree of life are distributed unevenly

among branches so that similarly aged groups sometimes differ many fold in species richness

(e.g., ~2500 rodent species versus 8 pangolins). Why differential bursts of species diversification

occur, and how long they persist, has implications for sustaining biodiversity. Here we develop a

robust evolutionary timescale for most extant species, recovering signatures of rate-variable

diversification linked to ecological factors. Mammals with low dispersal or that are day-active

show the fastest recent diversification, consistent with mechanisms of allopatric speciation and

ecological opportunity, respectively. Speciation rates are surprisingly faster in extra-tropical than

tropical lineages, suggesting that longer species durations for tropical lineages underpin the

latitudinal diversity gradient in mammals.

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Introduction

Branches in the mammal tree of life range from mega-diverse rodents and bats to
similarly old, yet species-poor, groups like treeshrews and pangolins (stem ages all ~60-70
million years ago [Ma]). Questioning why some evolutionary groups are more speciose than
others traces to the classic 'hollow curve' observation of Willis (1), which was formalized for
phylogenetic tree shape as unevenness (or imbalance) (2). Uneven species richness implies
uneven net diversification (speciation – extinction), but whether speciose clades usually derive
from faster rates or older ages is controversial (2–4). Similarly debated are the causal roles of
environmental factors (3, 5–7) or intrinsic traits of species (8, 9) as determinants of rate-variable
diversification. Recently, analytical advances in identifying macroevolutionary rate regimes (10)
and species-level rate variation at the instantaneous present (e.g., the tip DR metric (11, 12))
have uncovered gradients of higher speciation rates with latitude (13–15) and elevation (7).
Ephemeral speciation processes (16) appear to underlie these dynamics, where unstable
environments produce many short-lived species via high turnover (speciation + extinction). In
contrast, adaptive processes that involve accessing novel ecospace are expected to decrease
extinction rates (6, 17, 18), so that species accumulate via persistence rather than turnover. If
nascent allopatric species form regularly (19), then identifying which factors cause them to
persist or go extinct (e.g., habitat seasonality, dispersal ability, niche adaptations; (7, 13, 14, 16,
19–21)) is central to understanding why evolutionary tree shapes and geographic diversity are
uneven. The challenge to reconstructing species birth and death across Mammalia is that a robust
evolutionary timescale is required for among-clade tests of rate variation to be meaningful.

Until now, the species-level phylogenies of mammals have been inadequate for the task
of understanding macroevolutionary tree shape. Parsimony supertrees (22) were first

implemented on large scales across Mammalia (Bininda-Emonds et al. (23) and its updates (24,

25)). However, supertree methods add artifacts of short branch lengths when merged source trees

disagree (e.g., >50% of nodes in Bininda-Emonds et al. (23)), and are thus biased toward

inferences of rapid radiation at regions of the tree with the greatest phylogenetic uncertainty. For

example, a series of polytomies in rodents and bats were inferred as an ~30-Ma pulse of tree-

wide diversification ((26); *SI Appendix*, Fig. S14 for a comparison of tree shapes). Despite this

issue, supertrees continue to be a popular choice for large-scale phylogenetic inference and tests

of diversification rate hypotheses (e.g., 27, 28). Herein, we abandon the supertree paradigm,

using instead a single DNA supermatrix to improve upon the Bayesian backbone-and-patch

approach developed in birds (11), squamates (29), and amphibians (30).

Our mammal tree (Fig. 1) includes 5,804 extant and 107 recently extinct species in a

posterior distribution of 10,000 trees, integrates age and topological uncertainty, and incorporates

1,813 DNA-lacking species using probabilistic constraints. It thereby offers a species-level

phylogeny with all branches estimated under a unified birth-death model (available at

vertlife.org). Trees are built using: (i) an updated taxonomy; (ii) a newly assembled 31-gene

supermatrix; and (iii) the backbone-and-patch framework, which estimates the phylogenies of 28

mammal subclades (identified in a global DNA tree) with relative branch lengths, re-scales the

branches to corresponding divergence times in fossil-calibrated backbones, and grafts each

subclade to the backbone (Fig. 2; *Methods*, *SI Appendix*, Datasets S1-S6). We developed four

posterior sets of Mammalia-wide trees based on node- or tip-dated backbones (31, 32) and the

inclusion or exclusion of DNA-lacking species. Analyzing samples of trees from each set yields

some variation in node ages, but consistent results across all comparative analyses (*SI Appendix*,

Fig. S9-11, S21-S22).

Here we use this novel phylogenetic framework to investigate the potential extrinsic and

intrinsic causes of uneven diversification in mammals, including whether evolutionary rate

processes are recorded differently in recent radiations vs. older clades where extinction is more

likely to have pruned lineages from trees of extant species (33, 34).

Results and Discussion

Tree-wide and among-clade tempo of lineage diversification. The absolute and

relative timings of mammal diversification are debated (23, 35), with particular controversies

around whether early placentals diverged before, after, or during the Cretaceous-Paleogene (K-

Pg) mass extinction event, 66 Ma (short fuse, long fuse, or explosive models, respectively (36)).

We estimate the age of crown Placentalia at 92 Ma (95% confidence interval [CI] of 77, 105

using node-dating; tip-dating yielded mostly similar results, *SI Appendix*, Fig. S9). The first four

placental divergences unambiguously preceded the K-Pg (Fig. 3a; filled circles), followed by the

next 21 divergences with CIs that overlap the K-Pg (Fig. 3a–b). We find a Cretaceous "fuse" of

~25-Ma between the radiation of crown Placentalia and nine of 18 crown orders (*SI Appendix*,

Table S6), in line with some estimates (35, 37), but longer than others (e.g., (23)). The burst of

tree-wide lineage turnover we recover near the K-Pg (visual anomalies in speciation and

extinction rates; Fig. 3c) is remarkable for matching concurrent fossil evidence for pulses of

origination and extinction (36, 38, 39) (Fig. 3d). Despite spatiotemporal biases in fossil

preservation (40, 41) and extant phylogeny reconstruction (33), corroboration between these

genetic and fossil data suggests they reflect genuine dynamics in mammalian evolution (42).

We recover at least 24 lineage-specific shifts in net diversification rates (Fig. 1, 3c, e; *SI*

Appendix, Table S8), the earliest of which occurs in either crown Placentalia (1.1x higher than

the Mammalia-wide median rate of 0.138 species/lineage/Ma) or Boreoeutheria (1.6x, node C in

Fig. 1). These shifts involve 18 different lineages and are all positive, except a rate decrease

uncovered for the primate clade of lemurs, lorises, and galagos (Strepsirrhini; node O). The two

largest rate increases (4.0x and 3.2x) occurred in the last 10 Ma: the gopher-like tuco-tucos of

South America (*Ctenomys*, node Q), and the Indo-Pacific flying foxes (*Pteropus*, node J).

Overall, rate increases near the present tend to be particularly high, with a 2.2x mean in the

Miocene versus 1.3x in each the Oligocene and Eocene (Fig. 3c; df=2, F=7.772, P=0.003), which

corroborates the expectation for extinctions deeper in the tree (e.g., (38)) to have reduced our

ability to detect more ancient shifts (33, 43). Different to the explosive model (36), no lineage-

specific rate shifts implicate the K-Pg in promoting radiations, either preceding the event

(Placentalia) or occurring later (Fig. 3c, e). Notably, we record the highest probability of tree-

wide rate increases ~15 Ma (*SI Appendix*, Fig. S15c and d), in contrast to previous results for rate

decreases ~8 and ~3 Ma in mammals (23, 26).

Within-clade tempo of lineage diversification. The timings of radiation we recover

emphasize that the majority of mammalian diversification in extant lineages occurred during the

last ~50 Ma (Fig. 1, 3). Environmental changes during this period are posited to have broadly

changed the biosphere (3, 44), with potential imprints on phylogenies as temporal variation in

diversification rates (4–6, 42). We predicted that species-rich clades would display stronger

signatures than depauperate clades of rate-variable (RV) diversification if RV processes were

predominant, since the likelihood of rare events (within-clade shifts in speciation or extinction)

and our statistical power to detect them should increase with clade size. We find that models of

RV diversification (42) were favored over rate-constant (RC) models (33) for five out of 12

placental subclades tested (Fig. 3f; *SI Appendix*, Table S9). The strongest RV signal is in the

speciose mouse-related clade of rodents, along with shrews and catarrhine primates and

matching observed rate shifts (clades 46, 31, and 42; Fig 1, 3e–f). The cow- and whale-related

clades of artiodactyls also have strong RV signatures (Fig. 3e), concordant with previous

suggestions (e.g., (42, 43)).

As an additional, more sensitive, test we use clade-wide distributions of tip-level (i.e.,

species') diversification rates (tip DR (11)) to capture the within-clade rate heterogeneity arising

from RV processes (Fig. 3f). We find the overall-highest tip DRs in simian primates (clades 42–

43), including the human genus *Homo* (80th percentile, median 0.321 species/lineage/Ma; *H.*

sapiens and three extinct species) and Indomalayan lutung monkeys (95th percentile, 0.419,

Trachypithecus), while the distinctive aardvark and platypus have the lowest tip DRs (clades 1,

14; Fig. 1). Broadly, we recognize substantial heterogeneity in tip DR across the mammal tree,

sometimes with a few high-tip-DR species nested together with low-tip-DR species (Fig. 1),

resulting in long right-side tails in the tip DR distributions (positive skew, e.g., clades 38 and 44

in Fig. 1, 3f). We find that tip DR skew measures aspects of within-clade rate variation otherwise

uncaptured by birth-death models (*SI Appendix*, Table S10).

Time and ecology relative to clade species richness. The relative importance of clade

ages (time) versus rates of speciation and extinction (whether stochastic or ecologically driven)

as an explanation of extant diversity levels is a matter of intense debate in mammals (5, 6, 45, 8)

and other taxa (19, 46, 28, 47). Past efforts to separate these hypotheses have focused on named

clades (e.g., (4)), which are biased by subjective delineation and often vast age differences

(mammal families range 3.8–59.0 Ma in mean crown ages; *SI Appendix*, Dataset S7). To avoid

this bias, we sliced phylogenies at five-million-year intervals and took the tipward clades as

objective units for analysis (Fig. 4a; *SI Appendix*, Fig. S5). Time-sliced clades thus account for

the 'pull of the present' in modern trees (48) by analyzing successive levels of rootward

covariance among clade crown age, species richness, tip DR mean and skew, and mean

ecological traits. If time-constant rates predominate (28, 46, 49), crown ages will explain most of

the among-clade variation in species richness. In contrast, if rate variation is strong, as we

already recognized for some nodes and named clades (Fig. 3) and expect from varying ecological

regimes (2, 5, 6, 19), diversification rates will have the greater explanatory power.

We find that clade age and richness are positively correlated—yet significantly less so

than the unique effects of tip DR mean and skew on richness (Fig. 4, multivariate PGLS; *SI*

Appendix, Fig. S18 for univariate and taxon-based results). Critically, clade tip DR mean has

stronger effects on richness than expected from simulated RC trees containing only stochastic

rate variation (Fig. 4c). Clade tip DR skew is also significant, especially so at deeper time slices

(Fig. 4d), confirming that single speed-ups in diversification within a clade (e.g., due to

ecological opportunity for one lineage (6, 17)) can drive much of its overall species richness

today. These analyses support arguments that ecology is a greater macroevolutionary force than

time (47), yet obviously both contribute to richness (adjusted-R^2: 0.88 full model versus 0.26

with crown age only, means of 100-tree PGLS among 35-Ma clades). Jointly analyzing richness

determinants in time-sliced clades offers an objective way to assess age and rate effects that, in

turn, enables tests for ecological drivers of rate variation.

Linking ecology to uneven diversification and richness. Vagility, latitude, and

diurnality are among the key purported causes of variation in mammalian species richness (3, 5,

6, 50). Species vagility, through its effect on gene-flow patterns (19, 51), has been posited as

inversely related to the probability and scale of geographic isolation, and hence allopatric

speciation (21, 52). We performed phylogenetic path analysis (53) to assess the indirect effects

of these ecological factors on mammalian richness via their impact on the joint, yet unequal,

contributions of rates and ages to extant species numbers (Fig. 5, *Methods*, *SI Appendix*, Fig. S8).

Here, the time-sliced clades allow us to distinguish trait-rate dynamics that are localized near the

species level (if traits drive ephemeral speciation (16) or if they evolved very recently) from

those that occur deeper in the tree and persist (if traits evolved anciently and did not affect

extinction rates). We find that at the species level, and especially in herbivores and carnivores,

low-vagility mammals have higher tip DRs (Fig. 5a; ecological trait ~ rate PGLS (8)). Effects of

vagility on clade tip DR mean are weakened toward deeper time slices, where they are instead

recorded on tip DR skew (Fig. 5b). We interpret these short-lived effects of vagility on tip DR

mean as consistent with expectations that nascent allospecies are produced at a high rate, but are

ephemeral, going extinct before their peripheral isolate can expand (16, 19, 52). While the nearly

20% of mammal species endemic to islands complicates our allometric estimate of species

vagility (Fig. S9), we note that the ~10-million-year 'threshold' whereby low-vagility lineages

find an adaptive zone, evolve greater vagility, or vanish is robust to multiple sensitivity tests (*SI*

Appendix, Fig. S21-S22). The influence of vagility on diversification, however, might not be

linear (e.g., humped (19) or sigmoidal (21)).

Latitude, through strong covariation with environmental conditions and species richness,

is considered to represent key mechanisms behind cross-taxon disparities in richness (3, 13). But

recent evidence casts doubt on this presumed negative association between latitude and

diversification rates (11, 13, 14). Here we find that there is no effect of absolute latitude on tip

DR at the species level (Fig. 5a). Instead, strong positive associations with latitude arise at

deeper time slices, but without corresponding effects on skew (Fig. 5b). Similarly weak latitude-

to-rate effects in young clades and species of birds (11, 13, 14) appear to emphasize the impact

on species turnover cycles of temperate climatic instability, seasonality, and expansion of new

habitats. We suggest that the traditionally invoked tropical 'cradle' (higher speciation) and

'museum' (lower extinction (3)) should re-focus upon the *turnover ratio* of those processes,

testing whether extratropical species are 'cycled' faster than tropical species and, if so, relative to

which biogeographic processes. Extratropical lineages may not cycle fully, but instead persist

through climatic oscillations in glacial refugia (54). The Eocene-Oligocene transition (~34 Ma)

from tropical to temperate habitats (3) would then have initiated converse latitudinal gradients in

species turnover and richness, although North American mammal fossils suggests a steeper

richness gradient beginning ~15 Ma (55).

Diurnality is a core behavioral-physiological trait tied to temporal niche innovation (50)

and the associated potential for adaptive diversification. We find that repeated origins of daytime

activity since the late Eocene (~35 Ma (50, 56)) are associated with faster species diversification,

both at the present (Fig. 5a) and among 10-Ma time-sliced clades (Fig. 4b). Lineage-specific rate

increases also reflect signatures of greater diurnal activity on diversification (*SI Appendix*, Fig.

S17a). These results affirm the importance of diurnality (56) in the context of other drivers of

rate variation (vagility and latitude), placing previous findings of rapid diversification in diurnal

lineages of primates (57) in a broader context. Results for 30- and 50-Ma clades appear to be

confounded with nocturnal ancestors, including inverse effects on tip DR skew (Fig. 5b), which

is consistent with diurnality evolving well after a "nocturnal bottleneck" among K-Pg-surviving

mammals (50). In contrast to vagility and latitude, we posit that greater daytime activity is an

example where adaptive divergence in niche traits has decreased extinction rates via competitive

release (17), and therefore led to greater persistence and species richness in diurnal lineages.

Conclusions. Our novel, time-calibrated phylogenetic framework addressing all extant

and described species of mammals puts a focus on ecological drivers of diversification. Rate-

shifted clades have disparate ecological characteristics (*SI Appendix*, Fig. S17e), suggesting that

lineage-specific events have fostered mammalian radiations. Nevertheless, we detect cross-clade

signatures of intrinsic (vagility, activity pattern) and extrinsic (latitude) factors driving aspects of

diversification at nested phylogenetic levels. We hypothesize that two main processes are at

work. First, turnover-mediated diversification is acting on short timescales due to geographic

isolation among low-vagility species, and on longer timescales due to the dynamics of

extratropical climates. Second, persistence-mediated diversification is demonstrated for diurnal

lineages and related more generally to adaptations (or stable habitats) resulting in lower

extinction rates. Traversing between these processes may be possible if otherwise ephemeral

allospecies can enter novel regions of the phenotype-to-environment landscape, either via niche

evolution or extrinsic opportunity (6, 16, 17, 52), to then continue diversifying with lower

extinction risk. Overall, we show that ecological factors are influencing diversification rates, but

the effects manifest at different hierarchical levels of the tree of extant mammals. Geologically

recent processes associated with species turnover or adaptation are not yet studied for most of

life, but our results in mammals suggest that gradients in these novelty-originating processes

have ecological causes relevant to the capacity for future biodiversification.

Methods

Building new species-level trees for extant Mammalia – Overview. We reconstructed
the evolutionary history of extant Mammalia aiming to maximize the accuracy and comparability
of temporal information (branch lengths) across lineages in a posterior set of time-calibrated
phylogenies. Trees were built using a multi-step strategy (Fig. 2) designed to: (i) sample and vet
available DNA sequences for extant and recently extinct species into a 31-gene supermatrix; (ii)
use an updated taxonomy accounting for 367 new species and 76 genus transfers (5,911 total
species; *SI Appendix*, Table S2 and Dataset S2); (iii) estimate a global maximum likelihood
(ML) tree for 4,098 species in the DNA supermatrix to inform taxonomic constraints (*SI*
Appendix, Dataset S3); (iv) include species unsampled for DNA within the Bayesian
phylogenetic analyses (PASTIS completion (58)); and (v) integrate fossil data at nodes and tips
to compare methods of calibrating backbone divergence times in mammals. We modified the
backbone-and-patch analysis framework (11) to estimate the relative-time phylogenies of 28
non-overlapping subclades of mammals, called "patches" (identified in the global ML tree; Table
S5 and Dataset S3). We then re-scaled branches to corresponding divergence times in fossil-
calibrated backbones, and grafted the subclade patches to backbones to form Mammalia-wide
trees (*SI Appendix*, Fig. S1-S3). We compared trees built using node-dated backbones (17 fossil
calibrations (31)) and tip-dated backbones (matrix of modern and Mesozoic mammals (32)),
which yielded broadly similar ages (*SI Appendix*, Fig. S9-S11). Strict topology constraints from
the global ML tree were used in the 10,000 taxonomically completed trees (5911 species,
'TopoCons') while the DNA-only trees were estimated without topology constraints (4098
species, 'TopoFree').

DNA gathering pipeline. We used the BLAST algorithm (Basic Local Alignment Search
Tool (59)) to efficiently query a local copy of NCBI's nucleotide (nt) database, targeting 31 gene
fragments (*SI Appendix*, Table S1) commonly sampled among mammals. Meredith et al. (35)
was our starting point since their matrix included most extant families for 22 exons and 5 non-
coding regions. We further targeted four protein-coding mitochondrial genes to maximize
species-level sampling. For each gene, we used a set of pre-vetted sequences or 'baits' as queries
for extracting homologous gene fragments from the NCBI database using the "blastn" executable
(BLAST+ version 2.2.31) and the XML2 output format to assign taxonomic information for
subsequent parsing.

Synonym resolving and master taxonomy for this study. The NCBI taxonomy of our
genetic data contained many synonyms that required matching to accepted mammalian species
prior to analysis. We based this matchup on a synonym list compiled from Catalogue of Life,
MSW3 (60), and IUCN (total of 195,562 unique equivalencies; updated from Meyer et al. (61)).
This procedure yielded direct matches for 75% of the NCBI names from our BLAST search. We
matched an additional 765 names via manual reference to the literature and identified 1273
species synonyms to yield a list of 4,217 accepted species with ≥1 sampled gene for subsequent
error-checking. This taxonomic matchup also produced a master taxonomy of 5911 mammalian
species for this study, of which 5,804 species are considered extant (*SI Appendix,* Table S2). The
Mammal Diversity Database (62, 63) (mammaldiversity.org) was an outgrowth of our project,
and continues to update mammalian taxonomy as new literature is published.

DNA sequence error-checking and alignment. We used an iterative per-gene approach
to clean annotation errors in NCBI, as follows: (i) sequence alignment, (ii) gene-tree construction
(RAxML v.8.2.3 (64)), and (iii) error-checking for stop codons and insufficient alignment

overlap (Fig. 2a). In total, our error-checking steps excluded 1618 sequences across all genes
(i.e., 7.2% of the 22,504 individual DNA sequences; *SI Appendix*, Table S1 and S3)
corresponding to 119 species, and yielding 4098 species with ≥1 gene fragment validated in the
final 31-gene matrix (Dataset S1 lists excluded sequences).

Global DNA-only ML tree. Phylogenetic analysis of the 4098-species DNA matrix was
first performed in RAxML with the goal to identify the single best-supported topology for global
mammals (*SI Appendix*, Table S4, Dataset S3). The supermatrix of 39,099 base pairs (bp) was
11.9% complete in terms of ungapped sites, which was a level of missing data not expected to
confound phylogeny estimation (65, 66).

Patch subclades and PASTIS completion of missing species. Examination of well-
supported nodes (>75% bootstrap support) in the global ML tree informed our division of the
mammalian phylogeny into 28 patch subclades (11). Delimiting patches was an essential step for
conducting Bayesian analyses on manageable tree sizes given co-estimation upon 1000 or more
species exceeds current computational limits (*SI Appendix*, Fig. S2, Table S5). Taxonomic
constraints for MrBayes v.3.2.6 (67) were formed with the R package PASTIS (58), reducing the
potential for human error while identifying non-monophyletic genera in the global ML tree (see
Dataset S4). Completed species' branch lengths were drawn from the same birth-death
distribution as the rest of the patch clade, tending PASTIS completions conservatively to rate-
constant processes while preserving the taxonomically expected tree shape (11, 58).

Fossil-dated backbone trees. Two backbones were constructed: (i) node-dating (ND),
using 17 fossil calibrations from Benton et al. (31), as augmented by Philips (68); and (ii) tip-
dating (fossilized birth-death, FBD (69)), using the morphological data set of Zhou et al. (32)
trimmed to 76 fossil and 22 extant taxa (mostly Mesozoic fossils, 66–252 Ma). In both analyses,
we focused on a common set of extant taxa to subset the full supermatrix for molecular
characters (59 mammals, representing each of the 28 patch clades plus select family-level taxa
with morphological data, and 1 outgroup *Anolis carolinensis*). ND and FBD analyses were
conducted in MrBayes analogously to patch clades, and compared to test dating sensitivity (*SI
Appendix*, Fig. S9, Table S6, Dataset S5).

Construction of full dated mammalian phylogenies. Tree distributions from the 28
patch subclades (TopoCons and TopoFree) and two backbones (ND and FBD) was performed in
ape (70), as outlined in the *SI Appendix*. Sets of 10,000 trees will be available in the phylogeny
subsetting tool at vertlife.org/phylosubsets and temporarily at XXXXXXX.

***Tests for diversification-rate variation or constancy* -- Tip-level rates.** Following ref.
(11) we calculated per-species estimates of expected pure-birth (PB) diversification rates for the
instantaneous present moment (tips of the tree) using the inverse of the equal splits measure (11,
12). We call this metric 'tip-level diversification rate' (tip DR) because it measures recent
diversification processes among extant species (7) (≈ speciation rate, if recent extinction is
minimal (71)). Tip DR is tightly associated with more complex tip-level metrics (*SI Appendix*,
Fig. S4a), and as a clade-level harmonic mean approximates PB clade rates (R^2: ~0.7 versus ~0.5
for BD speciation and net diversification rates; *SI Appendix*, Fig. S4b).

Lineage-specific rate shifts. We performed searches for macroevolutionary shifts using
BAMM v2.5 (43), a reversible-jump algorithm for sampling birth-death scenarios of variable rate
regimes without a prior hypothesis. The high degree of phylogenetic uncertainty across our trees
(~30% completed species) prompted us to evaluate the number and location of rate shifts on 10

trees from the node-dated sample. We summarized across the most likely shifts per tree—called
maximum shift credibility (MSC) sets (*SI Appendix*, Fig. S15a)—using the ratio of the mean net
diversification rate of all branches inside the shifted clade (clade rate) and outside that clade
(background rate) to calculate the rate shift magnitude and direction for each MSC set (*SI
Appendix*, Table S8 and Dataset S7; for tree-wide rate shifts, see *SI Appendix*, Fig. S15-S16).

Fossil diversification. We analyzed Mammalia fossil occurrence data from the
Paleobiology Database (72), grouping by genus after excluding ichnotaxa and uncertain genera,
we recovered 71,928 occurrences of 5300 genera, which we binned in 10-Ma intervals and used
shareholder quorum subsampling (SQS (73); quorum size: 0.5) to maximize the uniformity of
coverage. Corresponding origination and extinction rates per stage were calculated using the per-
capita rate method (74), and the oldest fossil per extant order was compared to stem ages in our
node-dated phylogeny (*SI Appendix*, Fig. S13, Table S7).

Likelihood tests of RC and RV models of diversification. We analyzed the branching
times of 27 named subclades (11 orders and 16 suborders) that contained ≥25 species. For each
subclade, we tested 10 models developed by Morlon et al. (42): two rate-constant (RC) models,
constant PB and BD; and eight rate-variable (RV) models, with exponentially and linearly time-
varying rates. We fit models for 100 trees of the empirical subclades and their matching RC-
simulated trees (null models, simulated under the empirical extinction fractions of $\sim \varepsilon=0.65$ over
100 trees using the "pbtree" function in phytools (75)). Subtracting AICc scores of the best-
fitting RC and RV models provided the ΔAIC_{RC-RV} test statistic per tree and subclade for
comparison to the simulated null distribution (alpha=0.05; see *SI Appendix*, Table S9).

Time-sliced clades and clade-level PGLS. To objectively define clades, we arbitrarily
drew lines (referred to as "time slices") at 5-Ma intervals and took the resulting *tipward*
monophyletic clades as non-nested units of analysis. The *rootward* relationships of those clades
(the "rootward backbone") was retained for each interval, giving the expected covariance
structure among clades when performing phylogenetic generalized least squares (PGLS) analyses
(*SI Appendix*, Fig. S5 for illustration). We used the "treeSlice" function in phytools to construct
clade sets across Mammalia trees and the three sets of RC simulations, empirical ($\varepsilon=0.65$), low
($\varepsilon=0.2$), and high ($\varepsilon=0.8$), also comparing our results to analyses on traditional taxon-based
clades (genera, families, and orders; *SI Appendix*, Fig. S18-S20). All PGLS was performed
excluding extinct species, using Pagel's "lambda" transformation in phylolm (optimized for large
trees (76)), and repeating the analysis across 100 or 1000 trees.

***Tests for causes of diversification-rate variation* -- Mammalian trait data.** Our
workflow for gathering trait data involved (i) unifying multiple trait taxonomies (e.g.,
EltonTraits v1.0 (77)) to our phylogeny's master taxonomy; and (ii) interpolating home range
area and vagility to the species level using known allometric relationships in mammals (*SI
Appendix*, Fig. S6, Dataset S7). Vagility was calculated as the maximum natal dispersal distance
per individual (km) and interpolated for each species following our updated version of Whitmee
and Orme's (78) best-fit equation, testing for collinearity prior to analyses (*SI Appendix*, Fig.
S7).

Tip-level correlates of diversification rates. To better understand correlative structures
underlying the observed rate variation, we performed tip-level PGLS analyses between species'
ecological traits and tip DR values across 1000 trees, focusing on a 5675-species data set that
excluded all extinct (n=107) and marine (n=129) species. We followed Freckleton et al. (8) in

using trait ~ rate models in our tip-level PGLS analyses to avoid identical residuals in the dependent variable (i.e., sister species have identical tip DR values, violating the assumption of within-variable data independence in bivariate normal distributions). The trait ~ rate approach has been applied using tip DR in univariate contexts (79) (see *SI Appendix*, Fig. S21 for sensitivity tests).

Clade-level correlates of diversification rates. At the clade level, univariate PGLS was performed typically (rate ~ trait models), since clade tip DR mean gave independent values to sister clades. These analyses were conducted on 1000 trees by analogy with those previous, except that per-clade trait summaries were the standardized predictors (geometric means for vagility, otherwise arithmetic means). We also performed tests for trait-dependent diversification using rate-shifted clades identified in BAMM runs on 10 mammal trees (STRAPP (80) method), which corrects for phylogenetic pseudoreplication similar to PGLS except considering only the covariance structure among rate regimes (see *SI Appendix*, Fig. S17).

Phylogenetic path analyses. Path analysis aims to fully resolve correlational structures and thereby translate from the language of statistical probability to causality. In phylogenetic path analyses, we used PGLS to test statements of conditional independence (53) across 27 pre-selected path models (*SI Appendix*, Fig. S8). For each tree and clade set, we used "phylopath" (81) to analyze models and perform conditional model averaging. Time-sliced clades at 10-, 30-, and 50-Ma intervals were analyzed along with taxon-based clades (*SI Appendix*, Fig. S20, S22).

Data availability. All data and code is available in the manuscript, supplementary materials, and after publication on Dryad (all code will be available at github.com/n8upham/).

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575

 Figure legends

Fig. 1. Species-level relationships and diversification rates across mammals. The node-dated
analysis of 5911 species shows branches colored with tip-level diversification rates (tip DR) and
marked with 24 shifts in lineage diversification rates (labels A-X; shifts with multiple circles
occurred on either branch, not both; all shifts were detected in $\geq$ 5 of 10 trees analyzed in
BAMM (43)). Maximum clade credibility topology of 10,000 trees. Numbered clade labels
correspond to orders and subclades listed in the plot periphery: Mars, Marsupialia; X, Xenarthra;
Afro, Afrotheria; Laur, Laurasiatheria; Euar, Euarchontoglires. Scale in millions of years, Ma.

Fig. 2. Building the backbone-and-patch Mammalia phylogenies. (a) Schematic overview of
DNA sequence gathering from NCBI, taxonomic matchup, iterative error checking, and
estimating a global maximum-likelihood (ML) tree from the resulting supermatrix (31 genes by
4098 species (64)). Subclade (patch) phylogenies were then delimited, estimated using Bayesian
inference (67), and joined to fossil-calibrated backbone trees (node- or tip-dated). The resulting
posterior samples of 10,000 fully dated phylogenies either had the global ML tree topology
constrained (completed trees of 5911 species, 'TopoCons') or no topology constraints (DNA-
only trees, 'TopoFree'). (b) Backbone trees contained topological and age uncertainty, including
the unresolved base of Placentalia (e.g., (35)), slightly favoring the Atlantogenata hypothesis
(blue) versus Exafroplacentalia (red). (c) Bayesian phylogenies of 28 patch clades were
separately estimated in relative-time units for re-scaling to representative divergence times on the
backbone. Combining sets of backbones and patch clades yielded four posterior distributions for
analysis (see *SI Appendix*, Fig. S9-12).

Fig. 3. Diversification rate variation among mammal clades. Lineage-through-time plots and
estimated crown ages for (**a**) all superordinal divergences, and (**b**) placental orders with crown
age estimates overlapping the Cretaceous-Paleogene extinction event (K-Pg, dashed gray line;
means and 95% CIs; filled circle if statistically different). (**c**) Rate-through-time plots for
speciation, extinction, and net diversification (summarized from Fig. 1 rate shifts; medians from
10 trees, 95% CIs in light gray). (**d**) Fossil genus diversity through time for all Mammalia,
including subsampled genus richness (quorum 0.5) and per-capita rates of genus origination and
extinction. (**e**) Extant rates and lineage-specific rate shifts for the five most speciose mammal
orders (same symbols as in **c**). (**f**) Rate variation within subclades of these five orders as
numbered from Fig. 1; left: difference in AIC between best-fit models of diversification for trees
simulated under rate-constant birth-death (gray) versus observed mammal trees (color; filled
circle and * if ΔAIC on 100 trees is statistically different); and, right: tip DR distributions of the
same simulated and observed subclades (gray versus color, one tree), comparing variation in tip
DR mean and skew across 100 trees. The last 2 Ma are removed from parts **c-e** for clarity.

Fig. 4. Age and rate components of species richness variation across time-slice defined
clades. (**a**) The log species richness of clades tipward of each 5-Ma time slice (dotted lines from
5-70 Ma) across a sample of 100 phylogenies (maximum clade credibility tree shown) is best
predicted jointly by (**b**) clade crown age, (**c**) clade tip DR mean, and (**d**) clade tip DR skew.
Multivariate phylogenetic analyses of clade richness in observed trees (gray) is compared to trees
simulated under rate-constant birth and death with different extinction fractions, ε (colors in
legend; PGLS on standardized data with 95% confidence intervals [CIs] on parameter estimates).
Solid black lines are the observed best-fitting models given random effects of time slice and tree.
Insets (**b** to **d**) are examples from 35-Ma clades (red line) showing the bivariate plots underlying
each multivariate PGLS slope per tree and time slice.

Fig. 5. Ecological drivers of (a) species diversification and (b) clade diversification and richness. (**a**, top panel) Distribution of species-level diversification rates (tip DR, mean of 10,000 trees) relative to per-species estimates of vagility (maximum natal dispersal distance), diurnality (0=nocturnal or cathemeral, 1=diurnal), and absolute value of latitude (centroid of expert maps) across 5,675 species (excluding extinct and marine species). Loess smoothing lines visualize general trends (blue, span=0.33). Species-level effects (bottom panel) from univariate PGLS between tip DR and ecological traits subset across trophic levels (1000 trees, 95% CI, colored if significant). (**b**) Phylogenetic path analysis (53) of putative causal relationships between traits and rates leading to clade species richness for time-sliced clades. Path thickness, color, and directionality denote median coefficients of model-averaged analyses. The bottom panels provide per-estimate uncertainty across time slices (slope ± SE, 1000 trees). Non-zero estimates, either positive (blue shades) or negative (red shades), are totaled in the right margin; paths present in >500 trees are bolded and displayed in path model whereas others are dashed.

Tip diversification rate (species/Ma)

Diversification rate shifts
4.0x ⬤ up
2.0x ◯ down
1.1x ○ up/down

Euarchontoglires
27 RODENTIA
Marsupalia
Afro. X.
Xenarthra
Afrotheria
17 EULIPOTYPHLA
19 CARNIVORA
21 ARTIODACTYLA
Laurasiatheria
22 CHIROPTERA
25 PRIMATES
24
23
LAGO.

K-Pg boundary
crown Placentalia
160 140 120 100 80 60 40 20

Mars.	1 MONOTREMATA
	2 PAUCITUBERCULATA
	3 DIDELPHIMORPHIA
	4 MICROBIOTHERIA
	5 NOTORYCTEMORPHIA
	6 PERAMELEMORPHIA
	7 DASYUROMORPHIA
	8 DIPROTODONTIA
X.	9 PILOSA
	10 CINGULATA
Afro.	11 SIRENIA
	12 HYRACOIDEA
	13 PROBOSCIDEA
	14 TUBULIDENTATA
	15 MACROSCELIDEA
	16 AFROSORICIDA
Laur.	17 EULIPOTYPHLA
	18 PHOLIDOTA
	19 CARNIVORA
	20 PERISSODACTYLA
	21 ARTIODACTYLA
	22 CHIROPTERA
Euar.	23 DERMOPTERA
	24 SCANDENTIA
	25 PRIMATES
	26 LAGOMORPHA
	27 RODENTIA

Solenodons
True moles
Hedgehogs
True shrews
Cat-related (civets, hyenas)
Dog-related (bears, seals, otters)
Camels, llamas
Pigs, peccaries
Whales, dolphins, hippos
Cattle, deer, giraffes
Yinpterochiroptera
Yangochiroptera
Lemurs, lorises, galagos
Tarsiers
Old World monkeys, apes
New World monkeys
Guinea pig-related
Squirrel-related
Mouse-related

a Tree-building overview

I. DNA gathering pipeline → II. Taxonomic reconciliation → III. Per-gene DNA alignments → **Error checking** → IV. Gene trees (RAxML) → Concatenation → V. 31-gene supermatrix

rogue taxa, mis-IDs, contamination

non-overlapping seqs, pseudogenes

Fossil data

VIII. Patch clade estimation

IX. Backbone tree estimation

Node-dated backbone or **tip-dated (MrBayes)**

DNA uncertainty

DNA-only trees (MrBayes)

Topology free

VII. Delimitation of 28 patch clades

Topology informs

VI. Global RAxML tree

Partition Finder

Completed trees (MrBayes, PASTIS)

Topology constrained

Missing sp.

X. Full dated posteriors of Mammalia

Completed 5911 species TopoCons

DNA-only 4098 species TopoFree

Time

= ... **+**

b Node-dated backbone

0.53 (blue) Boreoeutheria / Xenarthra / Afrotheria

0.47 (red) Boreoeutheria / Xenarthra / Afrotheria

≥ 0.95
0.94-0.75
< 0.75

c 28 patch clade phylogenies

Clade	Species
Vespertilionioidea	(605)
Emballonuroidea	(70)
Noctilionoidea	(227)
Yinpterochiroptera	(385)
Pholidota	(8)
Carnivora	(298)
Perissodactyla	(24)
Artiodactyla	(348)
Eulipotyphla	(491)
Guinea pig−related	(304)
Squirrel−related	(320)
Castorimorpha	(109)
Anomaluromorpha	(9)
Dipodidae	(51)
Platacanthomyidae	(2)
Spalacidae	(21)
Calomyscidae	(8)
Nesomyidae	(63)
Cricetidae	(726)
Muridae	(779)
Lagomorpha	(91)
Primates	(458)
Dermoptera	(2)
Scandentia	(20)
Xenarthra	(33)
Afrotheria	(92)
Marsupialia	(362)
Monotremata	(5)

150   100   50   0 Millions of years (Ma)

a Higher taxa

Lineages (5000, 500, 50, 5, 1 axis)

crown **Placentalia**
Xenarthra and Afrotheria
Boreoeutheria
Euarchontoglires
Laurasiatheria
crown **Marsupialia**
K-Pg boundary

b K-Pg orders

RODENTIA
CHIROPTERA
EULIPOTYPHLA
PRIMATES
ARTIODACTYLA
AFROSORICIDA
SCANDENTIA
MACROSCELIDEA
PILOSA
K-Pg boundary

c Extant diversification (tree wide)

Rates (species / Ma)

- speciation
- extinction
- net diversification

Per-lineage rate shifts
- up
- down
- up/down

Shift factor

Q, J, W, C
A-B, D-I, K-P, R-V, X

d Fossil diversification (genera)

Fossil genera

- total diversity
- subsampled
- origination rate
- extinction rate

Rates (genera / Ma)

Million years before present (Ma)

e Order-wide diversification

Rates

Shift factor

RODENTIA
S, T, U, R, V, X, W, Q

CHIROPTERA
G, K, H, I, L, J

EULIPOTYPHLA
M

PRIMATES
O, N

ARTIODACTYLA
F, E

Million years before present (Ma)

f Within-order rates

Model fit (ΔAIC_{RC-RV})
−5, 0, 20, 40

Clade tip DR (species / Ma)
0, 0.21, 0.55, 0.80

Rate-constant (RC) models | Rate-variable (RV) models

Simulated (RC)
Observed

Tip DR mean (100 trees)
Tip DR skew (95% CI)

46 *   0.5, 1.1 / 0.7, 1.4
45   0.2, 1.2 / 0.6, 1.0
44   0.0, 1.0 / 1.0, 1.6
38   0.1, 1.4 / 0.8, 1.5
39   0.4, 1.1 / 0.6, 1.4
31 *   0.1, 1.2 / 0.5, 1.0
29   −0.5, 1.1 / 0.0, 0.8
42 *   0.1, 1.5 / −0.1, 1.0
43   −0.1, 1.3 / −0.3, 0.8
40   −0.3, 1.1 / −0.1, 0.4
37 *   0.1, 1.2 / 0.3, 0.6
36 *   −0.2, 1.1 / 0.4, 1.1

a 100 mammal phylogenies

Observed clades
○ P < 0.05   ○ P > 0.05
Rate-constant simulations
‑ ‑ ε = 0.8
‑ ‑ ε = 0.65
‑ ‑ ε = 0.2

b Crown age

(log) Clade richness
Clade crown age (Ma)

c Tip DR mean

(log) Clade richness
Clade tip DR mean
(species/Ma)

d Tip DR skew

(log) Clade richness
Clade tip DR skewness

Unique effects on (log) clade richness

Million years before present (Ma)

a Species

Tip DR (species / Ma)

Vagility (log km)

Diurnality

Latitude (°N or S)

Species richness

	#Signif.		#Signif.		#Signif.
All mammals	998		359		2
Herbivores	757		663		3
Omnivores	210		17		3
Carnivores	577		0		8

Standardized shared effects (1000 trees, PGLS)

b Clades

Vagility   Diurnality   Latitude

Tip DR mean   Crown age   Tip DR skew

Time-sliced clades
10 Ma
30 Ma
50 Ma

Species richness

1.0 ─ 0
Median standardized unique effect

positive   negative

	Vagility #Signif.	Slice (Ma)	Diurnality	Latitude
Tip DR mean →	854	10	746	591
	14	30	435	722
	464	50	58	572
Tip DR skew →	192		524	300
	710		132	148
	661		624	214

Vagility   Diurnality   Latitude

Standardized unique effects (1000 trees, PGLS)