

1 **Fragmentation Through Polymerization (FTP): A New Method to** 2 **Fragment DNA for Next-Generation Sequencing**

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18 **Keywords: Next Generation Sequencing (NGS), DNA fragmentation, Fragmentation Through**
19 **Polymerization (FTP), strand-displacement, SD DNA polymerase**

20 **Abstract**

21 Fragmentation of DNA is the first and very important step in preparing nucleic acids for NGS.
22 Here we report a novel Fragmentation Through Polymerization (FTP) technique, which is simple,
23 robust and low-cost enzymatic method of fragmentation. This method generates double-stranded
24 DNA fragments that are suitable for the direct use in NGS library construction, and allows to
25 eliminate the need of an additional step of reparation of DNA ends.

27 **Introduction**

28 Next Generation Sequencing (NGS) has become one of the major and widely used techniques
29 in genomic research and genetic diagnostics. Fragmentation of DNA is the first main step in
30 preparing sequencing library for NGS. The well-known NGS technologies, like Illumina or Ion
31 Torrent, generate a plethora of reads with lengths under 600 - 1000 bases. The quality of NGS is
32 largely dependent on the quality of the DNA fragmentation, thus making this step utterly critical in
33 the process of library construction.

34 There are three main approaches to shorten long DNA for the library preparation: physical (by
35 using acoustic sonication or by hydrodynamic shearing), enzymatic (based on the usage of
36 endonucleases or Transposase) and chemical shearing (utilizing hydrolyze of DNA at heating with
37 divalent metal cations) [1, 2].

38 Acoustic shearing with Covaris ultrasonicators (Covaris, Woburn, MA, USA) is currently the
39 gold standard for fragmentation at random nucleotide locations for a NGS library construction, but
40 it requires a significant upfront capital investment and can be financially inaccessible for many
41 laboratories [3].

42 Enzymatic methods versus acoustic shearing have a similar efficiency and do not need
43 expensive equipment [2]. Commercially available Fragmentase (New England Biolabs, Ipswich
44 MA, USA) and Nextera tagmentation (Illumina, San Diego, CA, USA) are the most popular
45 enzymatic techniques. Nextera uses a transposase to simultaneously fragment and insert adapters
46 onto dsDNA [4]. Fragmentase contains two enzymes: one randomly nicks dsDNA and the other
47 cuts the strand opposite to the nicks [2].

48 DNA fragments obtained by physical fragmentation or by Fragmentase method require a
49 reparation of DNA ends for the following ligation with adapters during NGS library construction [1,
50 2]. To reduce a reparation stage and improve a protocol of NGS library generation, we have
51 developed a new enzymatic method for DNA fragmentation: Fragmentation Through

52 Polymerization (FTP). Our FTP method is based on the use of two enzymes: non-specific
53 endonuclease, which randomly nicks dsDNA (DNase I) and thermostable DNA polymerase with
54 strong strand-displacement activity (SD DNA polymerase [5]). At the first stage of FTP DNase I
55 introduces nicks into dsDNA, and at the second stage SD polymerase elongates 3'-ends of the nicks
56 in a strand-displacement manner. As a result, FTP generates a number of double-stranded DNA
57 fragments (Fig. 1) that are suitable for direct ligation with adapters (without a reparation of the
58 ends).

59

60 **Fig 1. General overview of dsDNA Fragmentation Through Polymerization (FTP)**
61 **method.** FTP method is based on two enzymatic reactions: a DNA nicking with DNase I and
62 strand-displacement DNA polymerization with SD DNA polymerase. As a result, a number of
63 double-stranded DNA fragments with overlapping sequences are generated. *De novo* synthesized
64 DNA is indicated in grey, and SD polymerase is indicated in red.

65

66 Here we describe the detailed FTP method of DNA fragmentation and compare it with the
67 well-known and widely used Fragmentase technique (New England Biolabs). Systematic
68 comparison of Fragmentase with other fragmentation methods has been described earlier [2].

69

70 **Materials and methods**

71 **Enzymes and reagents**

72 Lyophilized DNase I (deoxyribonuclease I from *Bovine pancreas*) was obtained from Sigma-
73 Aldrich (St Louis, MO, USA) and solved in the storage buffer (50% glycerol, 100 mM NaCl, 0.2
74 mg/ml BSA, 1 mM EDTA, 0.2 mM DTT, 20 mM Tris-HCl, pH=8.0) up to 1 mg/ml.

75 SD DNA polymerase (50 U/ μ l) and the reaction buffer were supplied by Bioron GmbH,
76 (Ludwigshafen, Germany). *E.coli* BL21(DE3) gDNA was supplied by Evrogen JSC (Moscow,
77 Russia). dNTPs were obtained from Bioline Limited (London, GB).

78 NEBNext dsDNA Fragmentase and NEBNext Ultra II DNA Library Prep kit were supplied by
79 New England Biolabs, Inc. (Ipswich, MA, USA).

80

81 **dsDNA Fragmentation Through Polymerization (FTP)**

82 For fragmentation, 200 ng gDNA of *E.coli* strain BL21(DE3) was added to the reaction
83 mixture: 1X reaction buffer for SD polymerase (Bioron GmbH), 3.5 mM MgCl₂, dNTPs 0.25 mM
84 (each), DNase I 1.5 ng/μl, SD DNA polymerase 1.5 U/μl. The total volume of the reaction was 25
85 μl. The reaction mixture was completed at 4°C (wet ice). The fragmentation of gDNA was carried
86 out by two-step incubation: 20 minutes at 30°C and then 20 minutes at 70°C. For incubation we
87 used thermal cycler with heated lid. The reaction was stopped by cooling down to 10°C. The
88 mixture was diluted 1:1 with sterile water and fragmented DNA was purified with SPRI beads.

89

90 **DNA Fragmentation with NEBNext dsDNA Fragmentase**

91 gDNA of *E.coli* strain BL21(DE3) was digested by using NEBNext dsDNA Fragmentase
92 (New England Biolabs, Inc.), following the manufacturer's protocol. Briefly, 200 ng of gDNA were
93 added to the reaction mixture (total volume 25 μl): 1X Fragmentase Reaction Buffer v2, 10 mM
94 MgCl, and 1X dsDNA Fragmentase. The mixture was incubated at 37°C for 20 minutes. The
95 digestion was stopped by adding EDTA up to 100 mM. The mixture was diluted 1:1 with sterile
96 water and fragmented DNA was purified with SPRI beads.

97

98 **Preparation of NGS libraries**

99 We prepared four NGS libraries from four different samples of Fragmentase-digested gDNA
100 and four NGS libraries from four different samples of FTP-digested gDNA. NGS libraries were
101 generated using NEBNext Ultra II DNA Library Prep kit (New England Biolabs, Inc.) according to
102 the manufacturer's instruction. The conventional procedure for Fragmentase digested DNA
103 included: repair of DNA ends with "NEBNext Ultra II End Prep Enzyme Mix", addition of adapters
104 to the DNA fragments by "NEBNext Ultra II Ligation Master Mix" and amplification of the
105 adaptor-ligated DNA fragments with "NEBNext Ultra II Q5 Master Mix". Input amount of each
106 DNA sample was 200 ng. The library indexing and amplification were performed for 5 PCR cycles
107 as described in the kit's manual.

108 NGS libraries from FTP digested gDNA were constructed by NEBNext Ultra II DNA Library
109 Prep Kit procedure, but with the exception of DNA end reparation stage.

110 After the amplification stage, all libraries were quantified with Quant-iT PicoGreen dsDNA
111 Assay Kit (Molecular Probes, Inc., Eugene, OR, USA), pooled (500 ng of each) and purified with
112 AMPure XP beads.

113

114 **Next Generation Sequencing (NGS) and bioinformatic analysis**

115 The pooled libraries were sequenced on the Illumina MiSeq Instrument (Illumina, California,
116 USA) with a 300 cycles MiSeq Sequencing Kit v2, paired-end mode, resulting in 12×10^6 reads.
117 Each of the reads was ~150 nt long. The FASTQ files generated on the instrument were uploaded to
118 NCBI SRArchive under project ID: PRJNA509202.

119 The FASTQ files were quality controlled using FASTQC v0.11.4 (Babraham bioinformatics,
120 Cambridge, UK). PHRED scores were calculated by FASTQC v0.11.4. Adapters were trimmed
121 with FLEXBAR v.2.5 [6]. Filtered reads with a minimum length of 30 bp were subsequently
122 aligned to the *E.coli* BL21(DE3) genome (NCBI Reference Sequence: NC_012971.2) using
123 BOWTIE2 software v2.3.4 [7]. Random samples of reads were generated using Seqtk software
124 (<https://github.com/lh3/seqtk>). *De novo* assembly of contigs was carried out with SPAdes tool
125 v3.10.1 (<http://cab.spbu.ru/software/spades/>). Statistics were calculated using QUAST software v5
126 [8, 9] (<http://quast.sourceforge.net/>).

127

128 **Results and discussion**

129 **Digestion of gDNA by Fragmentation Through Polymerization (FTP) method**

130 We compared two enzymatic methods of dsDNA fragmentation for NGS library construction:
131 digestion with Fragmentase from New England Biolabs and Fragmentation Through Polymerization
132 (FTP). FTP method consists of two consequent enzymatic reactions: random DNA nicking and
133 elongation in a strand-displacement manner of the 3'-ends of nicked DNA. As a result, a number of

134 double-stranded DNA fragments with overlapping sequences are generated. The general overview
135 of FTP method is outlined in Figure 1.

136 We carried out FTP in one-tube format as described in the “Materials and methods”.

137 Mesophilic DNase I and thermophilic SD DNA polymerase were added to the reaction mixture that
138 contained gDNA of *E. coli* strain BL21(DE3). The reaction was incubated at 30°C for 20 minutes,
139 plus additional 20 minutes at 70°C. DNase I has the optimum performance temperature between
140 30°C and 40°C. During the first stage of incubation at 30°C, DNase I introduced nicks into the
141 dsDNA. In order to optimize an average size of the obtained fragments we tested different DNase I
142 concentrations and/or incubation times (results are not shown). During the second stage, the DNase
143 I was heat-inactivated and the SD polymerase was activated by increasing the reaction temperature
144 up to 70°C. The SD polymerase is the Taq DNA polymerase mutant that has a strong strand
145 displacement activity and high thermostability (up to 93°C) with optimum of enzymatic activity at
146 70-75°C [5]. These properties of SD DNA polymerase, in combination with the robust polymerase
147 activity, make it very suitable for the application in FTP technique. In summary, DNase I generated
148 3'-ends by nicking dsDNA at 30°C, followed by SD polymerase that used these ends for strand
149 displacement DNA polymerization at 70°C and subsequently disjointed dsDNA fragments. As a
150 result, the fragments with an average size about 500 bp (in a range 150 – 1500 bp) were obtained
151 from the intact gDNA. Agarose-gel electrophoresis of gDNA fragmented by FTP is demonstrated in
152 Figure 2. As it is seen, a cooperative work of DNase I and SD polymerase is required for the perfect
153 DNA fragmentation (Fig. 2, lanes 4, 5).

154

155 **Fig 2. Agarose-gel electrophoresis of gDNA fragmented by FTP method.** gDNA of *E. coli*
156 BL21 was incubated as described in the “Materials and Methods”: without enzymes (lane 1), with
157 SD polymerase (lane 2), with DNase I (lane 3), and with both DNase I and SD polymerase (lane 4,
158 5). **M1** – 1 kb DNA Ladder; **M2** – 100 bp DNA Ladder.

159

160 Fragmentase and other methods of fragmentation (with the exception of Illumina's Nextera
161 tagmentation) generate DNA fragments by introducing nicks and counter nicks in DNA strands that
162 disassociate at 8-12 nucleotides downstream or upstream from the nick site. Thus, the generated
163 fragments need a repair of DNA ends for the following NGS library construction [1, 2]. Unlike in
164 other methods, in FTP the DNA fragments are separated by strand-displacement DNA
165 polymerization and not by counter nicks (Fig. 2 demonstrates that SD polymerase is required for the
166 fragment disassociation). As a result of FTP, double-stranded DNA fragments have ends that are
167 suitable for direct NGS library construction and an additional step of DNA ends reparation is no
168 longer necessary.

169

170 **NGS library constructions from Fragmentase and FTP digested gDNA**

171 Two techniques, FTP and standard Fragmentase, were used to digest the gDNA of *E. coli*
172 strain BL21(DE3). The fragmented DNA samples were then used for the construction of NGS
173 libraries with NEBNext Ultra II DNA Library Prep Kit from New England Biolabs. Four libraries
174 were prepared from the DNA samples digested with Fragmentase by the standard protocol, which
175 included the stage of DNA end repair.

176 Another four libraries were prepared using the same NEBNext kit, but the DNA samples for
177 these libraries were generated by FTP method, without the stage of DNA reparation. It is worth
178 noting that when the DNA fragments are obtained by physical fragmentation or by Fragmentase
179 method, the reparation of the DNA ends is required for the library construction [1, 2]. The FTP
180 method does not require this step, therefore, the procedure of NGS library preparation is more
181 simple.

182 The DNA amount in each library was quantified with Quant-iT PicoGreen dsDNA Assay Kit.
183 All libraries generated with both Fragmentase and FTP method contained similar amounts of ds
184 DNA, about 800 ng. This result shows that the efficiency of NGS libraries generation with FTP
185 method is comparable to the efficiency of NGS library generation with Fragmentase technique.

186

187 **Assessment of NGS libraries generated from Fragmentase and FTP digested gDNA by**
188 **Next Generation Sequencing**

189 The obtained NGS libraries of gDNA *E.coli* BL21(DE3) were sequenced at 48× depth with an
190 Illumina MiSeq Instrument. The raw data (about 220 Mb for each DNA sample) generated in this
191 study have been deposited in the National Centre for Biotechnology Information (NCBI) Sequence
192 Read Archive under BioProject accession number PRJNA509202
193 (<https://www.ncbi.nlm.nih.gov/sra/PRJNA509202>).

194 Different fragmentation and NGS library preparation protocols could potentially affect quality
195 of reads. We therefore estimated the quality of reads as described in [2] for comparison of different
196 fragmentation methods. PHRED quality scores for each base provide a sequencing error estimate
197 and are hence a good tool to assess the quality of sequences and to compare the reliability of
198 different sequencing runs on the same instrument [10]. We did not detect any significant differences
199 in the quality scores obtained from the Fragmentase and FTP NGS libraries (Fig. 3).

200

201 **Fig 3. Comparison of the sequence qualities scores (PHRED) at the 38-ends of the**
202 **sequences that have been generated from the NGS libraries constructed with Fragmentase (red) and**
203 **FTP (blue) methods of DNA fragmentation. No difference was found between the libraries.**

204

205 After NGS, the generated reads were subsequently aligned to the *E.coli* BL21(DE3) reference
206 genome sequence (NCBI Ref Seq: NC_012971.2). There are several key characteristics of NGS that
207 depend on a quality of the library: genome coverage, identity with a reference sequence, the rate of
208 errors and amount of unmappable sequences. These characteristics were estimated for different
209 sequencing depths of the NGS libraries. For the simulation of different depth, random samples of
210 NGS reads were generated. To compare the genome coverage (the total number of aligned bases in
211 the reference, divided by the genome size) we used the genome sequence NCBI Ref Seq:
212 NC_012971.2 as the reference on the assumption this represented 100% coverage. Unmappable

213 sequences were calculated as a rate of unmappable reads. A large fraction of these reads reduces the
214 efficiency and the apparent coverage of the genome sequencing. The rate of indels was estimated as
215 the average number of single nucleotide insertions or deletions per 100,000 aligned bases, and the
216 rate of mismatches as the average number of mismatches per 100,000 aligned bases. The resulting
217 average data of NGS analysis are shown in Table 1. The statistics for Fragmentase and FTP NGS
218 libraries were calculated from the data of the four independent libraries for the each fragmentation
219 method. The detailed data for each NGS library are shown in Supporting information (S1 Table).
220 The obtained characteristics were about the same for the assembled sequences from the libraries
221 generated by different methods (Table 1).

222
223

Table 1. Key averaged NGS characteristics of Fragmentase and FTP generated libraries.

Sequencing depth (numbers of reads)	Method of DNA fragmentation	Genome coverage (%)	Ref. Seq. identity (%)	Mismatch errors (per 100 kb)	Indel errors (per 100 kb)	Unmappable reads (%)
32× depth (10×10 ⁵ reads)	Fragmentase	98.226	99.999	1.01	0.24	3.07
	FTP	98.224	99.999	1.02	0.14	3.91
16× depth (5×10 ⁵ reads)	Fragmentase	98.193	99.999	1.05	0.13	3.09
	FTP	98.200	99.999	1.17	0.16	3.92
8× depth (2.5×10 ⁵ reads)	Fragmentase	98.042	99.996	3.70	0.22	3.17
	FTP	98.068	99.996	4.02	0.24	3.90
3× depth (1×10 ⁵ reads)	Fragmentase	91.100	99.974	25.23	0.70	3.13
	FTP	90.908	99.971	27.70	1.21	3.90

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225
226

The mean NGS statistics per library were calculated from the data of the four independent libraries for the each method. All metrics were obtained for different depths of *E.coli* BL21 genome sequencing. We found no significant differences between Fragmentase and FTP generated NGS libraries.

227 To evaluate the genome assembly *de novo* for Fragmentase and FTP libraries, we used
228 QAST software (quality assessment tool for genome assemblies) [9]. We compared the following
229 assembling metrics:

- 230 • Number of contigs: The total number of contigs in the assembly.
- 231 • Largest contig: The length of the largest contig in the assembly.
- 232 • Total length: The total number of bases in the assembly.
- 233 • N50 and N75: The contig length such that using equal or longer length contigs produces at
234 least 50% and 75% (accordingly) of the bases of the assembly length [9, 11, 12].
- 235 • NG50 and NG75 (Genome N50/75): The contig length such that using equal or longer
236 length contigs produces at least 50% and 75% (accordingly) of the length of the reference
237 genome, rather than 50% and 75% of the assembly length [9, 11, 12].

238 The assembly metrics were calculated for different sequencing depths of the libraries obtained
239 by Fragmentase and FTP methods. The mean statistics calculated from the data of the four
240 independent libraries for the each fragmentation method are shown in Table 2. The metrics for each
241 NGS library are shown in Supporting information (S2 Table). Our results demonstrate that the
242 characteristics of the genome assembly of libraries obtained by the novel FTP method are similar to
243 those obtained by the Fragmentase method (Table 2).

244

Table 2. The averaged assembly metrics of the NGS libraries obtained by Fragmentase and FTP methods.

Sequencing depth (numbers of reads)	Method of DNA fragmentation	Number of contigs	Largest contig (bp)	Total length (bp)	N50	NG50	N75	NG75
32× depth (10×10 ⁵ reads)	Fragmentase	182	272230	4485104	81481	80813	41851	40741
	FTP	195	265892	4484951	81981	80479	43990	41542
16× depth (5×10 ⁵ reads)	Fragmentase	204	217222	4483651	69766	69259	37530	36159
	FTP	196	194626	4484098	70654	69018	39773	39008
8× depth (2.5×10 ⁵ reads)	Fragmentase	304	134506	4478279	45010	44221	26078	24944
	FTP	274	133551	4479908	41368	40106	21611	19769
3× depth (1×10 ⁵ reads)	Fragmentase	2414	14250	4178082	2886	2689	1753	1476
	FTP	2500	15256	4178040	2666	2456	1628	1348

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246

The mean assembly statistics were calculated from the data of the four independent libraries for the each method and for the different depths of

247

E.coli BL21 genome sequencing.No significant differences between Fragmentase and FTP generated NGS libraries were found.

248 In summary, the Fragmentation Through Polymerization is a novel, robust and simple method
249 of DNA fragmentation, which is suitable for NGS. It simplifies the procedure and reduces the price
250 of NGS library preparation by eliminating the DNA end-repair stage from the protocol. Thus, the
251 FTP method can become a helpful tool for NGS.

252

253 **Acknowledgments**

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291

292 **Supporting information**

293 **S1 Table. Key NGS characteristics of individual libraries generated by Fragmentase (A)**

294 **and FTP (B) methods.** All metrics were obtained for different depths of *E.coli* BL21 genome

295 sequencing.

296

297 **S2 Table. The assembly metrics of the individual NGS libraries obtained by**

298 **Fragmentase and FTP methods.** All metrics were obtained for different depths of *E.coli* BL21

299 genome sequencing.

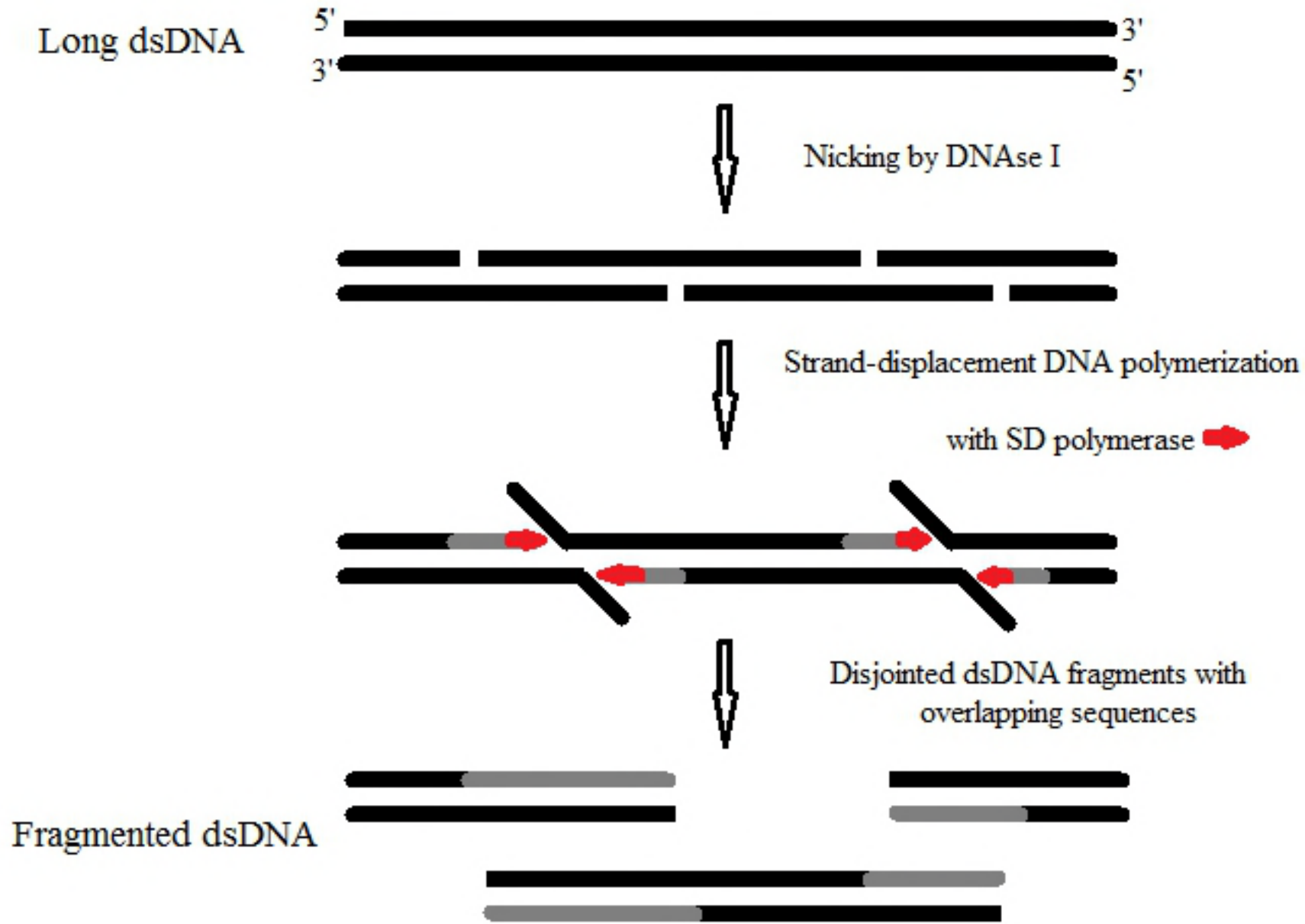


Figure 1

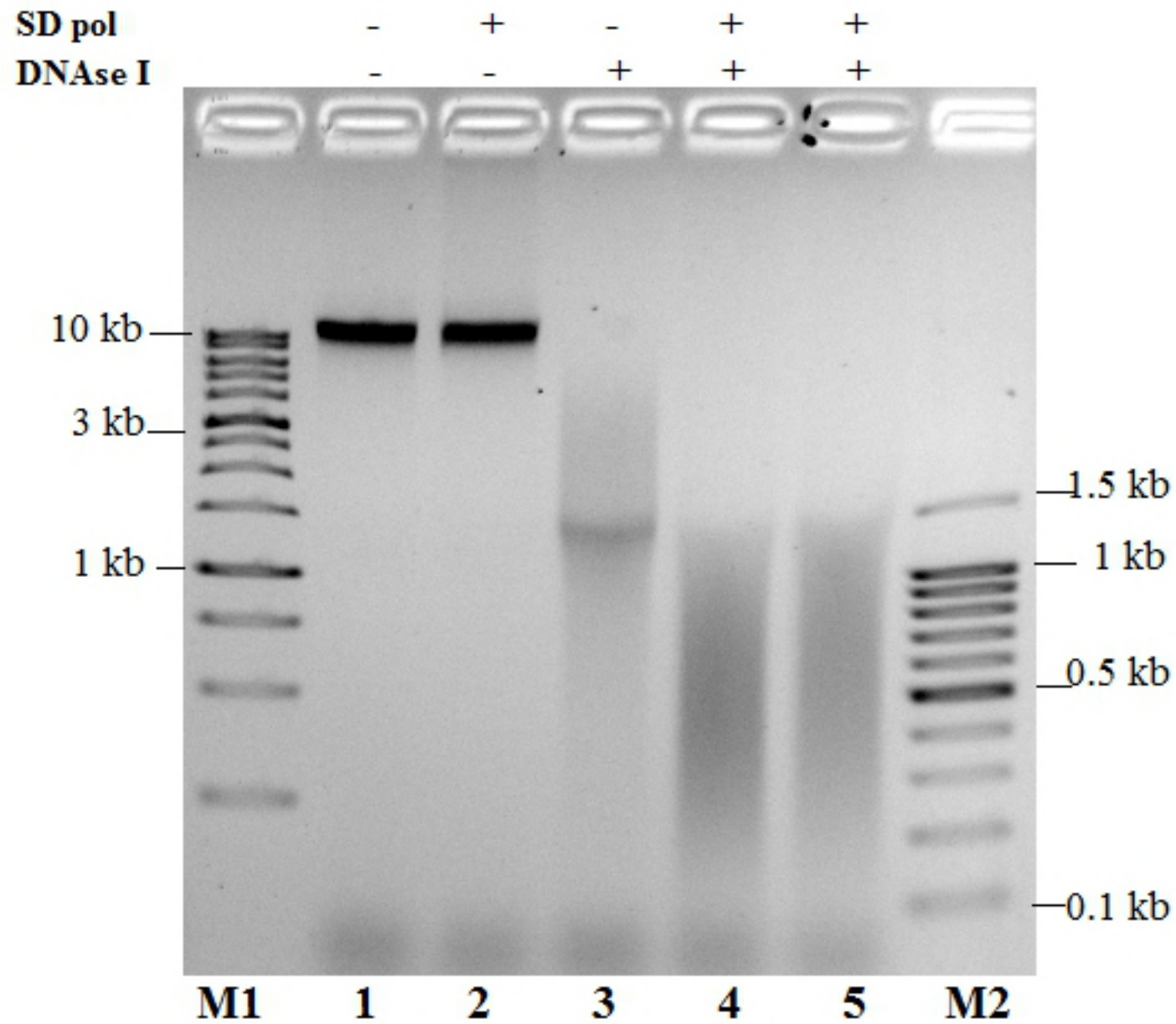


Figure 2

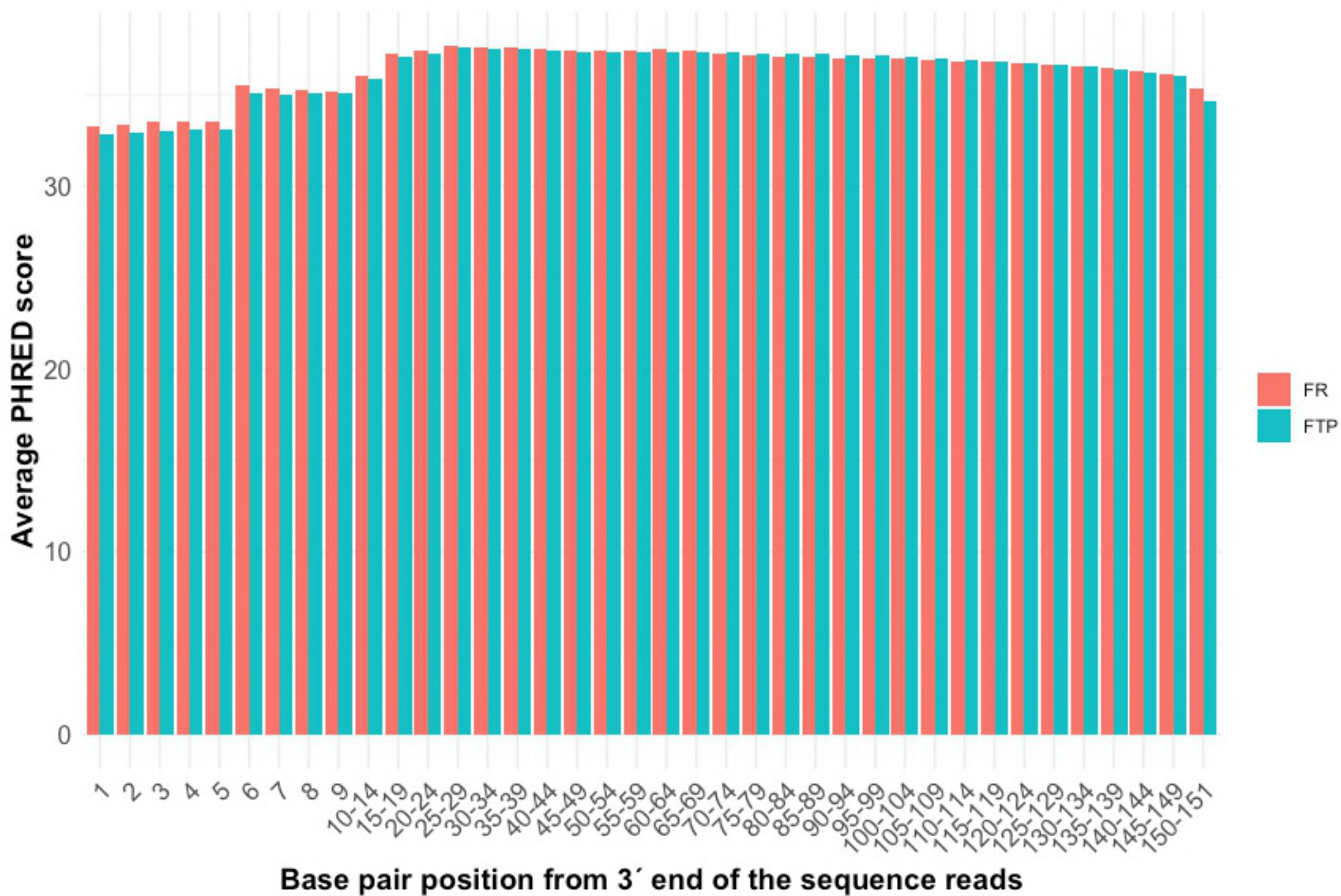


Figure 3