**Sex-specific regulatory mechanisms underlying Hepatocellular**

**Carcinoma**

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## Abstract

Sex differences in cancer occurrence and mortality are evident across tumor types; men exhibit higher rates of incidence and often poorer responses to treatment. Targeted approaches to the treatment of tumors that account for these sex differences require the characterization and understanding of the fundamental biological mechanisms that differentiate them. Hepatocellular Carcinoma (HCC) is the second leading cause of cancer death worldwide, with the incidence rapidly rising. HCC exhibits a male-bias in occurrence and mortality. Most HCC studies, to date, have failed to explore the sex-specific effects in gene regulatory functions. Here we have characterized the regulatory functions underlying HCC tumors in sex-stratified and combined analyses. By sex-specific analyses of differential expression of tumor and tumor adjacent samples, we uncovered etiologically relevant genes, pathways and canonical networks differentiating male and female HCC. While both sexes exhibited activation of pathways related to apoptosis and p53 signaling, pathways involved in innate and adaptive immunity showed differential activation between the sexes. Using eQTL analyses, we discovered germline regulatory variants with differential effects on tumor gene expression between the sexes. We discovered eQTLs overlapping HCC GWAS loci, providing regulatory mechanisms connecting these loci to HCC risk. Furthermore, we discovered genes under germline regulatory control that alter survival in patients with HCC, including some that exhibited differential effects on survival between the sexes. Overall, our results provide new insight into the role of genetic regulation of transcription in modulating sex differences in HCC occurrence and outcome and provide a framework for future studies on sex-biased cancers.

## Author Summary

Sex differences in cancer occurrence and mortality are evident across tumor types, and targeted approaches to the treatment male and female tumors require the characterization and

understanding of the fundamental biological mechanisms that differentiate them. Hepatocellular

Carcinoma (HCC) is the second leading cause of cancer death worldwide, with the incidence

rapidly rising. HCC exhibits a male-bias in occurrence and mortality. Here, we have characterized

the regulatory functions underlying male and female HCC. We show that while HCC shares

commonalities across the sexes, it shows demonstrable regulatory differences that could be

critical in terms of prevention and treatment: we detected differential activation of pathways

involved in innate and adaptive immunity, as well as differential genetic effects on gene

expression, between the sexes. Furthermore, we have detected regulatory variants overlapping

known HCC GWAS risk loci, providing regulatory mechanisms connecting these risk variants to

HCC. Finally, we discovered genes under germline regulatory control altering the overall survival

of patients with HCC, including some that showed differential effects between the sexes. Overall,

our findings create a paradigm for future studies on sex-biased cancers.

**Introduction**

Differences in cancer occurrence and mortality between sexes are evident across tumor types;

males exhibit higher rates of cancer incidence and often poorer response to treatment, including

some forms of chemotherapy and immunotherapy [1,2]. While differences in risk behaviors and

environmental exposure may explain some portion of the sex-bias, cellular and molecular

differences are also likely to be important. The sexes differ in their endocrinological profile,

immunological functions and genetic makeup [3–5]. However, sex differences are rarely

considered in the development of cancer therapies, and the contribution from sex chromosome

variation to tumor etiology remains poorly understood. Sex-biased gene expression and

regulatory functions may underlie differences between the sexes in disease prevalence and

severity [6,7]. Analyses of sex-specific regulation of gene expression are essential for

understanding sources of sex difference in cancer incidence, as well as sex-specific mechanisms

affecting tumor etiology, disease progression and outcome.

Hepatocellular carcinoma (HCC) exhibits sex-bias in occurrence, with a male-to-female

incidence ratio between 1.3:1 and 5.5:1 across populations [8,9]. HCC is the second leading cause

of cancer mortality worldwide, accounting for 8.2% of all cancer deaths [10]. HCC risk is

influenced by genetic susceptibility, environmental factors such as oncogenic viral infections,

metabolic syndrome, and alcohol use, and shaped by numerous biological processes, resulting in

a high degree of genetic and transcriptional heterogeneity [11]. HCC incidence in the US has

doubled in the last 3 decades, attributable to increased rates of obesity [9].

While sex-bias in HCC is partly attributed to sex-specific differences in risk behaviors and

environmental exposure, the relationship of these factors and sex as a biological variable has not

been systematically investigated. Previously, sex differences in HCC were explored in

conjunction with 12 additional Cancer Genome Atlas (TCGA) tumor types by Yuan et al [12].

They discovered extensive sex-biased signatures in gene expression in HCC and other strongly

sex-biased cancers.

HCC shows evidence of molecular subtypes, though their role in sex-bias has yet to be explored.

More specifically, clustering analyses of gene expression data have produced a characterization

of four distinct HCC subtypes that differ in terms of gene expression, pathway enrichment, and

median survival [13]. A study utilizing a weighted co-expression network analysis method

discovered hub genes associated with HCC outcome [14]. On the other hand, genetic variants

affecting the expression of *TNFRSF10 [15]*, *PAX8 [16],* and *PVT1 [17]* have been found to alter

HCC disease progression and outcome, highlighting the role of regulatory variants in HCC. How

these progression altering subtypes or variants are related to HCC sex-bias has not been

examined.

Sex-specific analyses can reveal genetic and regulatory mechanisms of sexual dimorphism in

HCC susceptibility, progression, and mortality. Targeted approaches to the treatment of male and

female HCC will require the characterization and understanding of the fundamental biological

mechanisms that differentiate them. Here, we analyzed data from The Genotype-Tissue

Expression (GTEx) project and The Cancer Genome Atlas (TCGA) to examine the sex-specific

patterns of gene expression and regulation in HCC and healthy liver tissue. We find etiologically

meaningful differences in regulatory functions in HCC between males and females, providing

insight into the mechanisms underlying sex-bias in cancer. Additionally, we observed genes

under germline regulatory control that alter survival in sex-biased way in patients with HCC.

**Results**

**Sex-specific patterns of gene expression HCC**

We found sex-differences in gene expression in normal liver, tumor adjacent, and tumor tissues

(Fig 1). We found 13 genes showing a consistent sex-bias in expression in liver, HCC adjacent

tissue and HCC. Notably, we find that X-inactivation and X-linked dosage compensation appear

to be functioning in the healthy liver, HCC adjacent and HCC tissues. This is concluded from the

bulk RNAseq measurements where we observe *XIST* expression only in female samples. As *XIST*

is primarily involved in X-inactivation [18], this is further supported by the observation of very

little or no sex differences in expression of other X-linked genes. We find Y-linked genes

expressed in male samples in all three tissues. Many of these Y-linked genes have functional X-

linked homologs. When examining the combined expression levels of these homologous gene

pairs, we did not detect notable differences between the sexes (Fig S1).

Interestingly, we identify 9 genes, including 6 protein-coding genes (*CYP4F22*, *HRCT1*,

*ZCCHC16*, *DTX1*, *ATF5*, *CD24)*, 2 non-coding RNAs (*CTD-2325A15.3*, *RP11-495P10.9*) and

one pseudogene (*ASB9P1*) that show sex-differences in expression in HCC, but not in HCC

adjacent or healthy liver. Notably, Notch-regulating *DTX1*, activating transcription factor 5

(*ATF5*) and signal transducer *CD24* were downregulated in male HCC.

To further investigate the regulatory mechanisms underlying HCC of males and females, we

detected differentially expressed genes (DEGs) between tumor and tumor adjacent normal

samples in males and females, as well as in a joint analysis of both sexes (Fig 2A). Substantially

more DEGs were detected in sex-specific analyses than in the unstratified analysis (Fig 2B).

Specifically, DEGs that showed different magnitudes in fold change between the sexes were

detected in the sex-specific analyses, while DEGs with similar fold changes across all

comparisons were detected in the unstratified analysis as well as the sex-specific analyses (Fig

S2). DEGs that were only detected in the unstratified analysis, and not in sex-specific analyses,

showed a large variance in expression and were thus not detected as statistically significant DEGs

in sex-specific analyses (Fig S2). Tumor-infiltrating immune cells may produce spurious signals

in DEG analyses, which is evident from the detection of various immunoglobulin genes in tumor

vs. tumor adjacent comparisons (Table S4-6). However, cell content analyses based on gene

expression data did not exhibit sex differences in the prevalence of tumor-infiltrating immune

cells (Fig S3), and thus such spurious signals are unlikely to affect male-female comparisons.

In the joint analysis of male and female samples, we detected 581 DEGs, 23 of which were X-

linked (Table S4). In male and female specific analyses, we detected 606 and 416 DEGs,

respectively (Supplementary Tables 5 and 6). In both sex-specific analyses, 25 X-linked genes

were detected. Some of these X-linked genes had similar expression patterns in male and female

comparisons: including the MAGE (Melanoma-associated antigen) gene family members

*MAGEA1*, *MAGEA12*, *MAGEA6*, *MAGEC2*, *MAGEA3*, XAGE (X Antigen) family members

*XAGEA1* and *XAGEB1*, PAGE (Prostate-associated) family member *PAGE2*, as well as SXX

(synovial sarcoma X) family member *SSX1* were overexpressed in both male and female HCC.

Interestingly, prostate-associated antigen family member *PAGE4* was only overexpressed in male

HCC.

To further investigate the sex-specific functions of HCC tumors, we analyzed the lists of male-

and female-specific DEGs for the enrichment of functional pathways and canonical networks (Fig

2C and 2D). We found that sex-specific DEGs were enriched in pathways relevant to oncogenesis

and cancer progression (Supplementary Tables 7 and 8). Most of the top pathways were non-

overlapping, strongly indicating that male and female HCC are driven by different mechanisms

and processes.

**Differential *cis*-eQTL effects in male and female HCC**

We used eQTL analyses to detect germline genetic effects on tumor gene expression in males,

females, and in both sexes (Fig 3A). Due to the small number of samples from females, we were

unable to reliably detect female-specific eQTL associations. However, we detected 24 male-

specific eGenes (Fig 3B), which were not detected in the female-specific or in the unstratified

analysis. Since these associations were not detected in the unstratified analysis, they are likely not

a result of differential power to detect associations due to different sample sizes or allele

frequencies between the sexes, but exhibit differential effects between the sexes. None of the

male-specific eGenes were differentially expressed between male and female HCC, indicating

that the male-specific associations are not a driven of differences in overall gene expression levels

between males and females, but are likely to arise from factors such as chromatin accessibility,

transcription factor activity, and hormone receptors [19,20]. Genomic annotations show that most

of the detected regulatory variants were located on the non-coding regions (Fig 3C).

**Overlap of eQTLs and HCC risk GWAS loci**

eQTL mapping can be used to find a regulatory mechanism explaining GWAS risk loci by

identifying associations between genotypes and intermediate molecular phenotypes (gene

expression levels). A number of variants in the HLA region in chr6, as well as variants near

Signal Transducer and Activator of Transcription 4 (*STAT4*) in chr4 and Aspartylglucosaminidase

(*AGA*) in chr2, have been identified as HCC risk loci [21–25], but the regulatory mechanisms

connecting these risk variants to the HCC phenotype are not clear. We discovered sex-shared

regulatory variants tightly linked with known HCC risk loci altering gene expression levels in

HCC tumors (Table 1).

**Table 1. Tightly linked ($r^2$>0.8) HCC risk loci and sex-shared regulatory variants**.

| GWAS variant and study | Position | Adjacent gene(s) | Context | eVariant Position | eVariant distance to GWAS variant | eVariant Annotation | Target eGene |
|---|---|---|---|---|---|---|---|
| rs757486 5[22] | chr2:19 1099907 | *STAT4* | Intron | 190603780 | 496127 | Intergenic, inter-CpG-island | *ORMDL 1* |
| rs11741 3665[24 ] | chr4:17 7496993 | *LOC2855 00* | Intron | 177438565 | 58428 | CpG-shelf | *AGA* |
| rs252396 1[25] | chr6:29 971803 | *MICD* | Non-coding transcript exon | 29985849, 29659172*, 29626949* | 14046, 312631, 344854 | Intergenic, inter-CpG-island; Intron, intron-exon-boundary, inter-CpG-island; 1 to 5kb, intron, CpG-shore | *MCCD1 P2*, *MICD*, *MICD* |
| rs111046 46[25] | chr6:30 103160 | *TRIM31* | 3'UTR | 30035457, 30010508 | 67703, 92652 | Promotor, exon, intron; Intron, CpG-shelf, lncRNA | *ZNRD1-AS1_2*, *ZNRD1-AS1_3* |
| rs309413 7[25] | chr6:30 233096 | *TRIM26 - HCG17* | Intergeni c | 29828972* | 404124 | Intron, CpG-shore | *HCG17* |

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| rs259654 2[21] | chr6:31 398818 | *LOC1019 29072 - MICA* | Intergeni c | 31411200, 31411843 | 12382, 13025 | Intron, CpG-shelf, lncRNA; Intron, CpG-shelf, lncRNA | *XXbac-BPG181 B23.7, MICA* |
| rs927210 5[23] | chr6:32 632222 | *HLA-DRB1 - LOC1079 86589* | Intron | 32429758*, 32473499* | 202464, 158723 | Intergenic, inter-CpG-island; Intergenic, inter-CpG-island | *HLA-DRB1* |
| rs927531 9[22] | chr6:32 698518 | *HLA-DQB1 - MTCO3P 1* | Intergeni c | 32604372*, 32662070* | 94146, 36448 | Intergenic, inter-CpG-island; 1 to 5kb, CDS, exon, intron, intron-exon boundary, CpG-shelf | *HLA-DQB1* |
| rs285672 3[99] | chr6:32 699985 | *HLA-DQB1 - MTCO3P 1* | Intergeni c | 32604372*, 32662070* | 95613, 37915 | Intergenic, inter-CpG-island; 1 to 5kb, CDS, exon, intron, intron-exon boundary, CpG-shelf | *HLA-DQB1* |
| rs927557 2[21] | chr6:32 711222 | *MTCO3P 1 - LOC1027 25019* | Intergeni c | 32637497, 32662070, 32637430, 32662025 | 73725, 49152, 73792, 49197 | CDS, exon, first exon, intron-exon boundary, inter-CpG-island; 1 to 5kb, CDS, exon, intron, intron-exon boundary, CpG-shelf;Promoter, 5'UTR, exon, first exon, intron-exon boundary, inter-CpG-island; 1 to 5kb, exon, intron, intron-exon boundary, 3'UTR, CpG-shelf | *HLA-DQA1, HLA-DQB, HLA-DQB1-AS1, HLA-DQA2, HLA-DQB2* |

GWAS study citation is denoted in the risk variant column. Adjacent genes: If the GWAS

variant is located within a gene, that gene is listed. If the variant is intergenic, the upstream

and downstream genes are listed. Asterisk denotes instances where eVariants outside the LD

block associated with reported or adjacent genes were found. eVariant sites have been

annotated for genes and putatively regulatory regions (Methods, Table S12). Variant

positions and distance are denoted as base pairs.

**Germline genetic effects on HCC tumor gene expression and survival**

We used Cox's proportional hazard model to test for effects of eGene expression on patient

survival in the TCGA Liver Hepatocellular Carcinoma (LIHC) cohort. Out of the 1204 sex-shared

eGenes, 50 were associated with joint survival (FDR-adjusted *p*-value <0.05, Table 2). No

female-specific survival associations were detected, likely due to the low number of female

samples affecting statistical power to detect survival effects. However, we detected 65 sex-shared

eGenes associated with male survival (Table 3). 15 of these were not associated with joint

survival, clearly indicating differential effects on overall survival between the sexes.

**Table 2. Sex-shared eGenes associated with overall survival in joint analysis of both sexes.**

| Gene name | Likelihood ratio $\chi^2$ | FDR-adjusted *p*-value |
|-----------|---------------------------|------------------------|
| *PSRC1* | 27.30 | 2.09E-04 |
| *MMP1* | 22.24 | 1.45E-03 |
| *CFHR3* | 19.15 | 3.63E-03 |
| *PON1* | 19.45 | 3.63E-03 |
| *RP11-274H2.3* | 17.26 | 7.83E-03 |
| *FAM110D* | 16.49 | 9.83E-03 |
| *CYP3A5* | 15.94 | 1.12E-02 |
| *TAF1B* | 15.43 | 1.15E-02 |
| *BAATP1* | 15.49 | 1.15E-02 |
| *RP4-608O15.3* | 14.64 | 1.46E-02 |
| *AC018641.7* | 14.43 | 1.46E-02 |
| *RP11-459O16.8* | 14.54 | 1.46E-02 |
| *CCDC163P* | 14.27 | 1.47E-02 |
| *RP11-108O10.2* | 14.00 | 1.57E-02 |
| *TMPRSS11D* | 12.78 | 2.08E-02 |
| *RP11-650L12.2* | 12.88 | 2.08E-02 |
| *TMEM147-AS1* | 12.76 | 2.08E-02 |
| *LPA* | 12.90 | 2.08E-02 |

| | | |
|---|---|---|
| *RRM1-AS1* | 13.12 | 2.08E-02 |
| *RP11-105C19.2* | 12.72 | 2.08E-02 |
| *RP11-669E14.4* | 13.13 | 2.08E-02 |
| *CYP4F60P* | 12.02 | 2.88E-02 |
| *RP11-711K1.8* | 11.79 | 3.10E-02 |
| *RGN* | 11.72 | 3.10E-02 |
| *RP11-738E22.3* | 11.41 | 3.15E-02 |
| *LINC01252* | 11.43 | 3.15E-02 |
| *TMEM147* | 11.52 | 3.15E-02 |
| *CCDC146* | 11.51 | 3.15E-02 |
| *RP1-239B22.5* | 11.29 | 3.20E-02 |
| *ANKRD20A7P* | 11.25 | 3.20E-02 |
| *GLMP* | 11.16 | 3.25E-02 |
| *MASTL* | 10.92 | 3.58E-02 |
| *CFHR1* | 10.75 | 3.80E-02 |
| *CTD-2270P14.1* | 10.66 | 3.88E-02 |
| *TRG-AS1* | 10.53 | 3.93E-02 |
| *CHRNA5* | 10.58 | 3.93E-02 |
| *FCRL3* | 10.33 | 4.00E-02 |
| *DDT* | 10.30 | 4.00E-02 |
| *RP4-736L20.3* | 10.32 | 4.00E-02 |
| *IKBIP* | 10.39 | 4.00E-02 |
| *TMEM79* | 10.05 | 4.38E-02 |
| *GABPB1-AS1* | 10.07 | 4.38E-02 |
| *LDAH* | 9.63 | 4.77E-02 |
| *FAM99B* | 9.71 | 4.77E-02 |
| *RP11-109L13.1* | 9.72 | 4.77E-02 |
| *SPSB2* | 9.59 | 4.77E-02 |

| Gene name | Likelihood ratio χ² | FDR-adjusted p-value |
|-----------|------|-----------|
| DDX11 | 9.62 | 4.77E-02 |
| DDTL | 9.69 | 4.77E-02 |
| CR848007.2 | 9.65 | 4.77E-02 |
| TECTB | 9.57 | 4.77E-02 |

176      Cox's proportional hazard ratio: likelihood ratio $\chi^2$, FDR-adjusted $p$-value threshold 0.05.

177     **Table 3. Sex-shared eGenes associated with overall survival in males.**

| Gene name | Likelihood ratio $\chi^2$ | FDR-adjusted $p$-value |
|-----------|------|-----------|
| PSRC1 | 30.89944 | 3.27E-05 |
| MMP1 | 21.751568 | 1.25E-03 |
| RGN | 21.758012 | 1.25E-03 |
| RP11-650L12.2 | 20.488249 | 1.81E-03 |
| PON1 | 18.294246 | 2.74E-03 |
| FAM99A* | 18.308255 | 2.74E-03 |
| FAM99B | 17.940412 | 2.74E-03 |
| RP1-239B22.5 | 18.05079 | 2.74E-03 |
| CHRNA5 | 19.089358 | 2.74E-03 |
| TMEM147 | 18.899008 | 2.74E-03 |
| RP11-108O10.2 | 17.018204 | 4.05E-03 |
| LPA | 16.509603 | 4.48E-03 |
| RRM1-AS1 | 16.518558 | 4.48E-03 |
| CYP3A5 | 16.170768 | 4.98E-03 |
| AADACP1* | 15.893269 | 5.04E-03 |
| TMEM147-AS1 | 15.899306 | 5.04E-03 |
| RP11-274H2.3 | 15.100292 | 7.22E-03 |
| CCDC163P | 14.133518 | 1.03E-02 |
| CENPQ* | 14.243691 | 1.03E-02 |
| RP4-736L20.3 | 14.142785 | 1.03E-02 |

| | | |
|---|---|---|
| F12* | 13.823228 | 1.05E-02 |
| GRK6* | 13.92507 | 1.05E-02 |
| LRRC23* | 13.902588 | 1.05E-02 |
| RP11-105C19.2 | 13.577894 | 1.15E-02 |
| CFHR3 | 13.16418 | 1.37E-02 |
| RP4-608O15.3 | 13.044288 | 1.41E-02 |
| CMA1* | 11.929339 | 2.29E-02 |
| RP13-753N3.3* | 12.001046 | 2.29E-02 |
| RP11-669E14.4 | 11.937499 | 2.29E-02 |
| WHAMM* | 11.580894 | 2.59E-02 |
| RRP12* | 11.538845 | 2.59E-02 |
| CPXM2* | 11.518611 | 2.59E-02 |
| RP11-80A15.1* | 11.223818 | 2.70E-02 |
| BAATP1 | 11.314561 | 2.70E-02 |
| FRMPD2B* | 11.377566 | 2.70E-02 |
| RP11-153K11.3* | 11.258503 | 2.70E-02 |
| AC114730.7* | 11.069887 | 2.71E-02 |
| RP11-459O16.8 | 11.068885 | 2.71E-02 |
| ULK4P2* | 11.108146 | 2.71E-02 |
| TRG-AS1 | 10.96346 | 2.80E-02 |
| RP11-421M1.8* | 10.769889 | 2.97E-02 |
| RP11-434C1.2* | 10.739028 | 2.97E-02 |
| FAM110D | 10.717104 | 2.97E-02 |
| TMPRSS11D | 10.580786 | 3.13E-02 |
| DDX11 | 10.472235 | 3.24E-02 |
| CTD-2270P14.1 | 10.390323 | 3.32E-02 |
| AGMO* | 10.305048 | 3.40E-02 |
| SPSB2 | 9.904202 | 4.05E-02 |

| | | |
|---|---|---|
| LARS* | 9.936718 | 4.05E-02 |
| ZG16B* | 9.800817 | 4.10E-02 |
| DCTN5* | 9.801029 | 4.10E-02 |
| CCDC146 | 9.774758 | 4.10E-02 |
| FCRL3 | 9.62148 | 4.37E-02 |
| CFHR1 | 9.357763 | 4.43E-02 |
| LDAH | 9.444584 | 4.43E-02 |
| RPL23AP7* | 9.502224 | 4.43E-02 |
| LINC01252 | 9.43441 | 4.43E-02 |
| RP11-1260E13.2* | 9.441852 | 4.43E-02 |
| NDUFA6-AS1* | 9.337829 | 4.43E-02 |
| CYP2D6* | 9.363136 | 4.43E-02 |
| LINC01277* | 9.411621 | 4.43E-02 |
| SLC25A1P5* | 9.211757 | 4.67E-02 |
| RP11-738E22.3 | 9.142993 | 4.77E-02 |
| RP11-711K1.8 | 9.071118 | 4.88E-02 |
| CYP2D7 | 9.043546 | 4.88E-02 |

Cox's proportional hazard ratio: likelihood ratio $\chi^2$, FDR-adjusted $p$-value threshold 0.05.

Genes that were not associated with joint survival are indicated with an asterisk.

**Discussion**

**Sex-specific patterns of gene expression in liver and HCC**

It is well established that patterns of gene expression vary between the sexes. Previous studies

have confounded these differences with those which may be etiologically important in cancer. For

example, Yuan et al. previously reported extensive sex-biased signatures in gene expression in

HCC and other strongly sex-biased cancers [12]. From the results presented here, it is possible to

distinguish the differences detected in comparisons of male and female HCC from those

reflecting normal sex-differences.

We report etiologically meaningful differences in gene expression between male and female HCC

cases. In addition to X- and Y-linked genes, 8 autosomal genes were expressed in a sex-biased

way in HCC tumors. Three of these genes - all of which were underexpressed in male HCC

compared to female - are of particular interest in the context of HCC: Notch-regulating *DTX1* has

been identified as a putative tumor suppressor gene in head and neck squamous cell carcinoma

[26]. *ATF5* is highly expressed in the liver but downregulated in HCC [27]. *ATF5* is known to

inhibit hepatocyte proliferation [28] and re-expression of *ATF5* in HCC inhibits proliferation and

induces G2/M arrest of the cell cycle [28]. *ATF5* also may act as a negative regulator of IL-1β

signaling pathway in hepatocytes and thus act as a regulator of IL-1β-mediated immune response

[29]. *CD24* has a crucial role in T cell homeostasis and autoimmunity [30]. In breast cancer, high

*CD44*/*CD24* ratio is an indicator for malignancy [31]. The opposing roles of *CD24* expression in

cancer and autoimmune diseases raise interesting questions on the role of sex differences in

immunity underlying female prevalence in autoimmunity [32] and male prevalence in cancer.

More studies are needed to better understand the differential regulation of immune functions

between the sexes, and how these differences contribute to the observed biases in disease

occurrence.

In both sex-specific analyses, 25 X-linked genes were detected including the MAGE family

(Melanoma-associated antigen), the XAGE (X Antigen) family members, the PAGE (Prostate-

associated) family, and the SXX (synovial sarcoma X) family. While most of the 25 X-linked

genes exhibited similar patterns between the sexes, *PAGE4* was overexpressed only in male

HCC. *PAGE4* is expressed in the human fetal prostate during development as well as malignant

prostate and prostate cancer, but not in healthy adult prostate [33]. The role of *PAGE4* in prostate

cancer has been studied in considerable detail, and it has been indicated as a promising target for

therapies [33]. However, its role in other cancers has not been thoroughly explored. MAGE,

PAGE, and SSX genes are members of a large family of cancer testis antigens (CTAs). CTAs are

expressed in tumors, but not in normal tissue, with the exception of testis and placenta, and

recognized by cytotoxic T lymphocytes, making them ideal targets for immunotherapeutic

approaches [34]. *MAGE* expression has been associated with response to checkpoint inhibition

therapy in metastatic melanoma [35]. Given the observed differences here, CTA expression may

prove valuable in determining the potential response for checkpoint inhibition therapy in HCC

[36].

Sex-differences in the pathway and network activation strongly indicate that male and female

HCC are driven by distinct functional pathways. Males and females differed in oncogenic

processes with females showing RAS pathway enrichment while males showed PI3K/AKT

pathway enrichment. Additionally, female DEGs were highly enriched in genes involved in

innate immunity Toll-like receptor (TLR) signaling (Table S9), while male DEGs exhibited high

enrichment of genes involved in adaptive immunity and Notch signaling (Table S8). TLR

signaling has a crucial role in the regulation of the immune system by evoking an inflammatory

response [37]. Interestingly, TLR signaling may activate Notch signaling [38], and Notch

signaling may feedback modulate TLR signaling pathway to modulate inflammatory response

through extracellular signal-regulated kinase 1/2-mediated nuclear factor κB activation [39]. Both

of these processes regulate macrophage functions and are likely to have a major role in cancer

progression by modulating the inflammatory tumor microenvironment [37–39]. TLR and Notch

signaling pathways are notable targets for anti-cancer and anti-metastasis therapies [40,41].

Furthermore, while both sexes exhibited an enrichment of genes involved in cell cycle, apoptosis

and p53 signaling, only males showed an activation of pathways regulating natural killer cell

cytotoxicity, and females showed an activation of pathways involved in NF-kB (nuclear factor

kappa-light-chain-enhancer of activated B cells) activation (Fig 2).

**Sex-specific regulatory effects of germline variants**

We detected 24 genes under germline regulatory control in male HCC only (Fig 3B). Functional

annotations of these male HCC specific eGenes provide insight into possible regulatory

mechanisms contributing to the observed male-bias in HCC. Protein O-glucosyltransferase 1

(*POGLUT1*) was found to be under germline regulation in male HCC, but not in female HCC or

in joint analysis of both sexes (Fig 3D). The eVariant associated with *POGLUT1* is located on a

promoter region of its target (Table S14). *POGLUT1* is an enzyme that is responsible for O-

linked glycosylation of proteins. Glycosylation is a major type of post-translational modification,

during which proteins are decorated with oxygen (O) or nitrogen (N) atoms of amino acids. These

modifications may critically alter the proteins' folding, localization, and function [42]. Altered

glycosylation of proteins has been observed in many cancers [43,44], including liver cancer

[45,46]. Cell surface receptor-mediated growth and apoptosis have been proposed as mechanisms

explaining the role of O-glycosylation in cancer [47]. Interestingly, altered glycosylation of tumor

proteins may also allow the tumor cells to evade natural killer cell immunity [48].

*POGLUT1* is an essential regulator of Notch signaling and is likely involved in cell fate and

tissue formation during development. Genes involved in Notch signaling were also found to be

expressed in a sex-biased way in HCC tumors and highly enriched in DEGs detected in tumor vs.

tumor adjacent normal comparison of male samples, pointing to a possible role of *Notch* in the

development of HCC in males. The role of Notch signaling in various biological processes has

been thoroughly studied in a variety of organisms and tissues, particularly in *Drosophila* [49].

Notch signaling is of particular interest in the context of HCC, as it is involved in liver

development [50,51], the development of sexually dimorphic traits [52], and tumorigenesis [49].

The role of Notch signaling in HCC may differ between early and late-stage tumors and among

molecular subtypes, and further studies are necessary to understand the possible oncogenic

properties of Notch among HCC subtypes and between the sexes.

Chromatin states are a major determinant of sex-biased gene expression and sex-specific

regulatory functions in mouse liver [53] and in human peripheral blood mononuclear cells [19].

Other plausible mechanisms underlying sex-specific regulatory effects are differential

transcription factor activity and hormonal regulation. Further studies are needed to explore the

role of these mechanisms in male and female HCC.

**Regulatory mechanisms underlying HCC risk variants**

Genetic variants in the Human Leukocyte Antigen (HLA) region have been found to be

associated with hepatitis B related HCC in Asian populations [22,25]. While some of these risk

variants were found to be associated with HLA expression in normal liver tissue [25] or

differentially expressed between HCC tumors and tumor adjacent tissue [22], direct evidence of

regulatory mechanisms connecting the risk variants to HCC was lacking. We discovered

regulatory variants tightly linked with known HCC risk loci associated with HLA expression in

HCC tumors (Table 1). Polymorphisms in the HLA region may influence immune responses and

oncogenesis by altering the epitope binding properties and/or expression levels of HLA

molecules. Loss of HLA expression, associated with an immune escape by avoiding T-cell

recognition, is commonly found in malignant cells [54]. Tumor immune escape is one of the

hallmarks of cancer, and it has been shown to have negative effects on the clinical outcome of

cancer immunotherapies [55]. HLA expression is critical in tumor rejection, and HLA re-

expression has been recognized as a major potential target in the development of

immunotherapies [54]. HLA allele frequencies and LD relationships among these variants vary

between population, and HLA polymorphisms are likely partially responsible for the ethnic

disparities in HBV persistence among ethnicities [56], and thus likely contribute to the observed

biases in HCC occurrence between populations. Interestingly, sex modulates the degree to which

HLA molecules propagate the selection and expansion of T cells [57]. Sex-specific regulatory

functions discovered in this study may partially underlie the sex-biases in diseases with immune

system involvement. HLA associated shaping of the immune system points to a promising target

for future studies to develop more targeted therapies accounting for patients' germline genetic

makeup.

**Genes under germline regulatory control alter patient survival in HCC**

In addition to the discovery of HCC risk variants, characterizations of patterns and levels of gene

expression in HCC tumors have led to the discovery of biomarkers associated with HCC

etiologies, disease progression and outcome [58–60]. We discovered genes under germline

regulatory control altering overall survival in the TCGA LIHC cohort, 15 of which showed

differential survival effects between the sexes (Tables 2 and 3). Some of the 15 male-specific

survival associated eGenes have been found to affect disease progression and outcome in other

cancer types, indicating a pan-cancer role of these genes. In breast cancer, lower Coagulation

Factor XII (*F12*) expression is a favorable prognostic marker [61]. Interestingly, low *F12*

expression was associated with poor survival among males in the TCGA LIHC cohort (Fig 4). In

some population studies, variants in Cytochrome P450 2D6 (*CYP2D6*) have been found to be

associated with recurrence in breast cancer patients treated with Tamoxifen ([62] but see [63] and

[64]), and it is highly expressed in the liver, where its expression may affect drug clearance,

efficacy, and safety [65]. G protein-coupled receptor kinase 6 (*GRK6*) deficiency promotes

angiogenesis, tumor progression, and metastasis in murine models of human lung cancer [66].

Variations in the miRNA binding sites of the Zymogen Granule Protein 16B (*ZG16B*) gene are

associated with prognosis in patients with colorectal cancer [67]. Variants at the Dynactin Subunit

5 (*DCTN5*) gene are associated with ovarian cancer mortality [68].

Furthermore, several of the male-specific survival associated eGenes have been shown to be

involved in HCC and other liver disorders. Family With Sequence Similarity 99 Member A

(*FAM99A*) has previously been shown to be downregulated in HCC [69]. In our study, low

*FAM99A* expression indicated poor survival in males (Table 3). Carboxypeptidase X, M14

Family Member 2 (*CPXM2*) has been identified as a driver gene in HCC based on patterns of

somatic alterations [70]. Alkylglycerol Monooxygenase (*AGMO*) has been shown to be

hypermethylated and transcriptionally repressed in HCC in comparison to cancer-free liver tissue

[71]. Mutations in the Leucyl-TRNA Synthetase (*LARS*) gene have been identified as a cause of

infantile hepatopathy [72].

In summary, we discovered differential genetic and regulatory functions in HCC tumors between

the sexes. By integrating genotype and gene expression data, we identified putative regulatory

mechanisms underlying known HCC risk loci and discovered genes under germline regulatory

control modulating the overall survival of patients with HCC. Our results highlight the role of

differential immune functions in cancer sex-bias and germline regulatory variants associated with

these functions. This work provides a framework for future studies on sex-biased cancers.

## Materials and Methods

### Data

GTEx (release V6p) whole transcriptome (RNAseq) data (dbGaP accession #8834) were

downloaded from dbGaP. TCGA LIHC Affymetrix Human Omni 6 array genotype data, whole

exome sequence (WES) and RNAseq data (dbGaP accession #11368) were downloaded from

NCI Genomic Data Commons [73]. FASTQ read files were extracted from the TCGA LIHC

WES BAM files using *XYAlign* [74]. We used *FastQC* [75] to assess the WES and RNAseq

FASTQ quality. Reads were trimmed using *TRIMMOMATIC IlluminaClip* [76], with the

following parameters: seed mismatches 2, palindrome clip threshold 30, simple clip threshold 10,

leading quality value 3, trailing quality value 3, sliding window size 4, minimum window quality

30 and minimum read length of 50.

**Read mapping and read count quantification**

Reads were mapped to custom sex-specific reference genomes using *HISAT2* [77]. To avoid miss mapping of reads along the sex chromosomes, female samples were mapped to the human reference genome GRCh38 with the Y-chromosome hard-masked. Male samples were mapped to the human reference genome with Y-chromosomal pseudoautosomal regions hard-masked. Gene-level counts from RNAseq were quantified using *Subread featureCounts* [78]. Reads overlapping multiple features (genes or RNA families with conserved secondary structures) were counted for each feature.

**Germline variant calling**

BAM files were processed according to Broad Institute *GATK* (*Genome Analysis Toolkit*) best practices [79–81]: Read groups were added with *Picard Toolkit*'s *AddOrReplaceReadGroups* and optical duplicates marked with *Picard Toolkit*'s *MarkDuplicates* (v.2.18.1, http://broadinstitute.github.io/picard/). Base quality scores were recalibrated with *GATK* (v.4.0.3.0) *BaseRecalibrator*. Germline genotypes were called from whole blood Whole Exome Sequence samples from 248 male and 119 female HCC cases using the scatter-gather method with *GATK HaplotypeCaller* and *GenotypeGVCFs* [79]. Affymetrix 6.0 array genotypes of matching samples were lifted to GRCh38 using the UCSC *LiftOver* tool [82] and converted to VCF. Filters were applied to retain variants with a minimum quality score >30, minor allele frequency >10%, minor allele count >10, and no call rate <10% across all samples.

**Cellular content of tumor samples**

To examine the cellular heterogeneity of tumor samples, we performed cell type enrichment analysis for 64 immune and stromal cell types using *xCell* [83].

## Filtering of gene expression data

FPKM (Fragments Per Kilobase of transcript per Million mapped reads) expression values for each gene were obtained using *EdgeR* [84]. Each expression dataset was filtered to retain genes with mean FPKM>0.5 and read count of >6 in at least 10 samples across all samples under investigation to avoid falsely inferring differential expression between two groups where both are functionally not transcribed.

## Differential expression analysis

For differential expression (DE) analysis, filtered, untransformed read count data were quantile normalized and logCPM transformed with *voom* [85]. From the TCGA LIHC dataset, paired tumor and tumor adjacent samples were available for 22 females and 28 males. From the GTEx liver dataset, 22 female and 28 male samples were randomly selected to be used in the DE analysis. A multi-factor design with sex and tissue type as predictor variables were used to fit the linear model. To make comparisons both between and within subjects in the paired tumor and tumor adjacent samples, *duplicateCorrelation* was used to treat the individual as a random effect.

Differentially expressed genes (DEGs) between comparisons were identified using the *limma*/*voom* pipeline [85]. Linear modeling was conducted using the *LmFit* and *contrast.fit* functions. DEGs between sexes and tissue types were identified using contrast designs (e.g., all males for tumor versus all females for tumor, or all tumor samples versus all tumor adjacent samples within the same sex). In all comparisons, DEGs were identified by computing empirical Bayes statistics with *eBayes*, with an FDR adjusted *p*-value threshold of 0.01 and an absolute $\log_2$ fold-change ($\log_2$FC) threshold of 2.

## Enrichment of biological functions and canonical pathways

We utilized the Gene Ontology Consortium's web tool [86] to analyze gene lists for enrichment

in regards to molecular function, biological processes, and cellular functions. Fisher's exact test

was used to calculate enrichment scores. We also used the *NetworkAnalyst [87]* for enrichment

and network-based analyses of gene lists in regards to pathway relationships (KEGG and

Reactome pathways). An FDR-adjusted *p*-value threshold of 0.01 was used to select significantly

enriched GO terms and canonical pathways.

**Accounting for technical confounders and population structure**

Gene expression values are affected by genetic, environmental, and technical factors, many of

which may be unknown or unmeasured. Technical confounding factors introduce sources of

variance that may greatly reduce the statistical power of association studies, and even cause false

signals [88]. Thus, it is necessary to account for known and unknown technical confounders. This

is often achieved by detecting a set of latent confounding factors with methods such as principal

component analysis (PCA), Probabilistic Estimation of Expression Residuals (PEER) [89] or

Surrogate Variable Analysis (SVA) [88]. These known and surrogate variables are then used as

covariates in downstream analyses, or expression data is adjusted using a regression model or

other approach e.g. *ComBat*, which utilizes an Empirical Bayes method [90]. In eQTL studies, the

number of latent factors to adjust for is often selected to maximize the number of significant

associations. However, such an approach may remove biologically relevant sources of variation

and increase the number of false positive associations. Introducing too many covariates to linear

models may also cause a problem of overfitting. Furthermore, confounding factor methods may

model the effects of trans-acting broad impact eQTL as confounding variation [91,92].

Our goal was to remove the technical sources of variation while protecting the biologically

relevant variation. Because of missing values in technical covariate data, we were unable to adjust

the expression data directly for known covariates. We identified 50 PEER factors in the tumor

data. Some of these latent factors were associated with known clinical phenotypes or technical

covariates (Fig S4). Weights of the PEER factors that did not strongly correlate with biologically

relevant phenotypes were used as covariates in the eQTL analysis. To select these PEER factors,

FDR adjusted *p*-value threshold of 0.01 based on linear regression for continuous covariates and

ANOVA for categorical covariates were used. To avoid overfitting, the number of PEER factors

to include was limited to 10.

We used the R package *SNPRelate* [93] to perform PCA on the germline genotype data. We

accounted for population structure by applying the first three genotype PCs as covariates in the

eQTL analysis.

**eQTL analysis**

Germline genotypes and tumor gene expression data from 248 male and 119 female donors were

available for use in the eQTL analysis. Filtered count data was normalized by fitting the FPKM

values of each gene and sample to the quantiles of the normal distribution. *cis*-acting eQTLs were

detected with *QTLtools* v.1.1 [94]. We used the permutation pass with 10,000 permutations to get

adjusted *p*-values of associations between the phenotypes and the top WES and array variants in

*cis*. FDR adjusted *p*-values were calculated to correct for multiple phenotypes tested. An FDR-

adjusted *p*-value threshold of 0.01 was used to select significant associations.

**Annotating genomic locations of putative regulatory variants**

We used the R package *Annotatr* to annotate the genomic locations of eVariants [95]. Variant

sites were annotated for promoters, 5'UTRs, exons, introns, 3'UTRs, CpGs (CpG islands, CpG

shores, CpG shelves), and putative regulatory regions based on ChromHMM [96] annotations.

**Overlap of eQTL loci and HCC GWAS loci**

Due to the lack of genome-wide GWAS summary statistics, we were unable to test for

colocalization of GWAS and eVariants. To overcome this, we used top HCC GWAS variants and

top eVariant to detect high confidence colocalization events based on linkage. Locations of 32

GWAS loci associated with HCC were obtained from the NHGRI-EBI GWAS catalog [97]. To

find instances where known GWAS loci and eQTL loci are overlapping or tightly linked, we

searched for loci with $r^2$>0.8 of the GWAS risk locus. Linked loci were obtained with the

*LDLink's LDProxy* tool [98].

**Survival analyses**

We used the R package *survival* to test for differences in survival between different eVariant

genotypes. To detect possible differences in early and late survival, we used the *surv_pvalue*

function with log-rank, Tarone-Ware and Fleming-Harrington (p=1, q=1) tests. For genotype

comparisons, the *pairwise_survdiff* function from the R package *survminer* was used to calculate

*p*-values between all pairwise comparisons. To test for the effect of eGene expression on patient

survival, we utilized Cox's proportional hazards regression model with the function *coxph*. FDR

adjusted *p*-values were calculated with the *p.adjust* function from the *stats* R package.

# Acknowledgments

439    This study was supported by ASU Center for Evolution and Medicine postdoctoral fellowship for

440    HMN, ASU School of Life Sciences startup funds for MAW and ASU Center for Evolution and

441    Medicine Venture funds.

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**Figure Captions**

**Fig 1. Sex-differences in gene expression in healthy liver, HCC tumor adjacent, and HCC**

**tumor tissue.** X-linked genes are indicated in red, Y-linked in blue, and autosomal genes in

black. An FDR-adjusted $p$-value threshold of 0.01 and absolute $\log_2$(FC) threshold of 2 were used

to select significant genes. Multiple transcripts of the long non-coding RNA *XIST* are

independently expressed.

**Fig 2. Expression and regulatory networks underlying male and female HCC. A:** Volcano

plots of DEGs in tumor vs. tumor adjacent comparisons in the sex-specific analyses and in joint

analysis of both sexes. X-linked genes are indicated as red, Y-linked as blue, and autosomal as

black. Significant genes were selected based on an FDR-adjusted $p$-value threshold of 0.01 and

absolute $\log_2$(FC) threshold of 2. **B:** Venn-diagram of the overlap of DEGs in the sex-specific

analyses and in joint analysis of both sexes. **C:** Overlap of functional pathways and canonical

networks enriched in males, females, and in the joint analysis of both sexes. **C:** Examples of sex-

specific and sex-shared pathways.

**Fig 3. Sex-specific genetic effects on gene expression. A:** QQ-plot of eQTL associations in the

joint analysis of both sexes (grey), male HCC (blue) and female HCC (red). **B:** Overlap of

eGenes detected in joint and stratified analyses. **C:** Genomic annotations of eVariants detected in

joint and stratified analyses. CDS: coding sequences. **D:** An example of a male-specific eQTL.

*POGLUT1* expression is modulated by a germline variant in *cis* in male HCC, but not in female

HCC nor in joint analysis of both sexes.

**Fig 4. An example of a gene under germline regulatory control with differential effects on**

**survival between the sexes. A:** Expression of *F12* in female and male HCC. **B-D:** Effect of *F12*

expression on survival in joint analysis of both sexes (**B**), female (**C**), and male (**D**). Cox's

proportional hazard ratio, FDR-adjusted $p$-value threshold 0.01. For visualization, samples with

normalized expression value >1 were selected as high expression and <-1 as low expression

samples.

## Supporting Information

**S1 Fig. Expression of homologous X and Y linked genes in the different sexes and tissues.**

For females, expression of X-linked gene is plotted. For males, combined expression of X- and

Y-linked genes is shown.

**S2 Fig. Absolute log₂-fold changes of DEGs detected from tumor vs. tumor-adjacent**

**comparisons in the unstratified analysis of both sexes and in sex-specific analyses.** Absolute

log2-fold changes are given across all samples, female samples, and male samples.

**S3 Fig. Cell content of study samples based on cell-type enrichment analysis from bulk-**

**RNAseq data.**

**S4 Fig. PEER factors associated with continuous and categorical sample and**

**patient covariates. A-B:** $R^2$ and FDR-adjusted p-values between PEER factors and continuous

technical and biological covariates. **C-D:** ANOVA test statistic and FDR-adjusted *p*-values

between PEER factors and categorical technical and biological covariates.

**S1 Table. Sex-biased gene expression in GTEx liver tissue samples.** N males = 28, N females

= 22. Empirical *p*-value based on sex-label shuffling with 1000 permutations.

**Table S2. Sex-biased gene expression in TCGA LIHC tumor adjacent samples.** N males =

28, N females = 22. Empirical *p*-value based on sex-label shuffling with 1000 permutations.

**Table S3. Sex-biased gene expression in TCGA LIHC tumor samples.** N males = 28, N

females = 22. Empirical *p*-value based on sex-label shuffling with 1000 permutations.

**Table S4. Differentially expressed genes between matched tumor and tumor-adjacent**

**samples in joint analysis of male and female samples.** Each tissue N = 50, N female donors =

22, N male donors = 28.

**Table S5. Differentially expressed genes between matched male tumor and tumor-adjacent**

**samples.** N of sample pairs = 28.

**Table S6. Differentially expressed genes between matched female tumor and tumor-**

**adjacent samples.** N of sample pairs = 22.

**Table S7. Enriched GO terms and canonical networks in tumor vs. tumor-adjacent DEGs in**

**the joint analysis of both sexes.**

**Table S8. Enriched GO terms and canonical networks in male-specific tumor vs. tumor-**

**adjacent DEGs.**

**Table S9. Enriched GO terms and canonical networks in female-specific tumor vs. tumor-**

**adjacent DEGs.**

**Table S10.** *cis*-**eQTLs detected in joint analysis of male and female tumors.**

**Table S11.** *cis*-**eQTLs detected in male tumors.**

**Table S12.** *cis*-**eQTLs detected in female tumors.**

**Table S13. Genomic annotations of regulatory variants detected in joint analysis of both**

**sexes.**

**Table S14. Genomic annotations of regulatory variants detected in the male-specific**

**analysis.**

**Table S15. Genomic annotations of regulatory variants detected in the female-specific**

**analysis.**

Fig1

Fig2

Fig3

Fig4