The axonal actin-spectrin lattice acts as shock absorbers to protect neurons from stretch-induced damage

Sushil Dubey[†], Nishita Bhembre[†], Aurnab Ghose[$],

Andrew Callan-Jones[#], and Pramod A Pullarkat[†]

[†] *Raman Research Institute, C. V. Raman Avenue, Bengaluru 560 080, India* [*]

[$] *Indian Institute of Science Education and Research, Pune 411 008, India*

[#] *Laboratoire Matière et Systèmes Complexes,*

Université Paris-Diderot, 75205 Paris Cedex, France [*]

Abstract

Axons in the peripheral and the central nervous systems can experience large and sudden deformations during normal activity or as a result of injury. Yet, axon biomechanics, and its relation to the internal structure that allows axons to withstand such deformations, is poorly understood. Up to now, it has been generally accepted that microtubules and their associated proteins are the load-bearing elements in axons. We challenge this viewpoint using a custom developed force apparatus that stretches live axons at controlled strain. We demonstrate that axons exhibit a reversible strain-softening response, where its elastic modulus decreases with increasing strain. By combining mechanical measurements with genetic and biochemical alterations to the axon cytoskeleton, we show that the stretch response originates primarily from the membrane-associated skeleton comprising of actin filaments interconnected by spectrin proteins, often arranged as a one-dimensional, periodic structure. Using a theoretical model we conclude that this skeleton acts as an axonal "shock-absorber" by unfolding repeat domains of spectrin tetramers to buffer excess tension, thus allowing axons to withstand large stretch deformations while retaining the memory of its initial state.

---

[*] Corresponding authors: andrew.callan-jones@univ-paris-diderot.fr; pramod@rri.res.in

INTRODUCTION

Axons are micron-thin tubular extensions generated by neuronal cells to transmit signals across large distances — up to the order of centimeters in the brain and up to a meter in the peripheral nervous system of a human body [1]. In order to achieve such extreme aspect ratios, axons have evolved a unique organisation of its cytoskeleton. It has an axi-symmetric structure with a central core of microtubules arranged in a polar fashion and cross-linked by associated proteins. This core is surrounded by neurofilaments and a membrane-associated cortex of cross-linked actin filaments [1]. Recent experiments using super-resolution light microscopy have revealed that the actin cortex also involves a periodic array of F-actin rings which are interconnected by spectrin cross-bridges [2, 3]. A myriad of proteins, including motor proteins, interconnect these structural elements to form a dynamic composite gel.

Axons can be subjected to large stretch deformations under a variety of normal as well as abnormal conditions. Mammalian sciatic nerves, for example, can experience localized strains up to 30% during regular limb movements [4]. A much more extreme case of stretching occurs in the nerves running along the mouth-floor of certain species of baleen whales where it can reach up to 160% during feeding, and these axons have evolved special strategies to cope with such situations [5]. Recent *in-vivo* studies in humans have shown significant changes in nerve stiffness due to limb flexion [6]. This suggest that nerve tissue may possess unique mechanical behavior, perhaps to offer some protection against stretch injuries, which are common. It is also known that the brain, being one of the softest tissue, undergoes significant shear deformations (2–5% strain) even under normal activities such as jumping [7]. At higher accelerations, transient to traumatic brain concussion occur and is a leading cause of injury in contact sports, with an estimated 1.6 to 3.6 million case annually in the USA alone, of which a significant number are children [8]. Hence, how and under what conditions brain deformation compromises axonal integrity is considered essential to understand, manage, and treat concussions [9, 10].

At the single cell level, several studies have explored axonal responses to stretch deformations, primarily using glass micro-needles to pull on live axons and video microscopy to record the evolution of force and strain. Pioneering experiments by Heidemann and co-workers show that axons respond viscoelastically to stretch deformations [11]. Besides, they have shown that axons can also develop excess tension when stretched, presumably due to

the action of molecular motors [11]. Such contractile stress generation has since been studied experimentally and theoretically in some detail and is found to arise due to the action of myosin-II molecular motors [12–15]. Despite the observation of such a rich variety of mechanical responses, the structure–function relationships between the different cytoskeletal elements and these mechanical responses are poorly understood. This is partly due to the extreme fragility of neuronal cells when subjected to mechanical stretch, especially after genetic or biochemical manipulation of key cytoskeletal components.

In this article, using a strain-controlled, optical fiber based force apparatus we have developed, we show that axons exhibit a hitherto unknown strain-softening behaviour where the elastic modulus diminishes with increasing strain. This is in sharp contrast with the strain-stiffening response measured in other cell types [16–18]. By combining our extension rheology technique with biochemical and genetic interventions that alter specific cytoskeletal components, we demonstrate that the periodic actin-spectrin lattice contributes most significantly to the axonal mechanical response to stretch. Then, with the help of a theoretical model, we argue that strain-softening can arise from the force-induced unfolding of spectrin subunits, a process known to occur when single spectrin molecules are stretched [19]. Based on these findings we show that the actin-spectrin skeleton in axons act as a tension buffer or "shock-absorber" allowing axons to undergo significant deformations without excess build up of tension, as seen in our experiments. Moreover, we show that this mechanism renders axons with a viscoelastic solid-like response, with memory of the initial state, which allow axons to undergo reversible stretch deformations.

MATERIALS AND METHODS

Primary Neuronal culture

Fertilised Giriraja-2 chicken eggs were obtained from Karnataka Veterinary, Animal and Fisheries Sciences University, Bangalore, India. The eggs were incubated for 8-9 days and Dorsal Root Ganglia (DRG) were isolated and dissociated using a standard protocol. Cells were then plated on well cleaned glass coverslips with an attached cylindrical glass ring (1 cm high, 12 mm dia.) to contain the culture medium. We used L-15 medium (21083-027, Thermo Fisher Scientific) thickened with 0.006 g/ml methyl cellulose (34516, ColorconID)

and supplemented with 10% Fetal Bovine Serum (10100-147, Thermo Fisher Scientific), 2% D-glucose (G6152, Sigma-Aldrich), 20 ng/ml Nerve Growth Factor NGF-7S (13290-010, Thermo Fisher Scientific), and 0.5 mg/ml Penicillin-Streptomycin-Glutamine ($100\times$) (10378-016, Thermo Fisher Scientific). Cells were incubated at 37 °C for 48 or 96 h as per experiment. Prior to force measurement experiments, neurons were incubated for 30 min in supplemented L-15 medium lacking methyl cellulose.

Cytoskeleton treatments

All cytoskeleton perturbing agents such as Nocodazole (M1404, Sigma-Aldrich), Latrunculin-A (L5163, Sigma-Aldrich), Jasplakinolide (J7473, Thermo Fisher Scientific), and Paclitaxel (Taxol) (T7402, Sigma-Aldrich) were dissolved in DMSO. The final DMSO concentration was kept well below 1% during experiments. The concentrations of these agents are indicated in the text describing these experiments. For force measurement on fixed cells, neurons were incubated for 20 min with a fixative solution of 0.05% (v/v) glutaraldehyde (16200, Electron Microscopy Sciences) and 3.2% (w/v) paraformaldehyde (15710, Electron Microscopy Sciences) in 1X PHEM buffer (60 mM PIPES, 25 mM HEPES, 10 mM EGTA, 2 mM $MgCl_2.6H_2O$, pH 6.9).

Functional knockdown of β-II spectrin

Primary chick DRG neurons were cultured in 400 µl of supplemented L-15 medium as described above for 2-4 h at 37 °C. Neurons were transfected by adding a pre-mixed solution of 20 µM Morpholino oligomers (MO) and 2 µM Endoporter (EP) (GeneTools, LLC) to the culture medium and incubated at 37 °C for 48 h in well humidified chambers. The transfection conditions were optimized by microscopic observation using a carboxyorescein tagged control MO (5'-CCTCTTACCTCAGTTACAATTTATA-3'). All neurons (n = 46) showed diffuse fluorescence in the soma and all along the axon indicating entry of tagged MO into the cells. An unlabeled translation blocking MO targeted against β-II spectrin (5'-GTCGCCACTGTTGTCGTCATC-3') was used for functional knock-down studies. An unlabeled non-specific morpholino (5'-CCTCTTACCTCAGTTACAATTTATA-3') was used as a control. To quantify the extent of β-II spectrin knockdown, neurons transfected with

either anti-β-II spectrin or control MOs for 48 h were rinsed in HBSS (with Ca^{++} & Mg^{++}), fixed and immunostained as described below. For force measurements on MO transfected cells, the culture medium was replaced by supplemented L-15 lacking methyl cellulose 30 min prior to the experiments.

Immunofluorescence

Neurons were fixed using 4% (w/v) paraformaldehyde (PFA) and 0.5% (v/v) glutaraldehyde in phosphate buffered saline (PBS: 5.33 mM KCl, 0.44 mM KH_2PO_4, 4.16 mM $NaHCO_3$, 137.93 mM $NaCl$, 0.33 mM Na_2HPO_4, 5.55 mM D-Glucose) for 10 min at room temperature (RT). Neurons were permeabilized with 0.2% (v/v) Triton X-100 in PBS for 5 min at RT and incubated in a blocking buffer of 3% (w/v) Bovine Serum Albumin in PBS at RT for 1 h. The fixed neurons were rinsed three times with PBS and incubated with anti-β-II spectrin antibody (BD Biosciences, 612563; 1:1500 dilution in blocking buffer) overnight at 4 °C. After rinsing again, the neurons were incubated with anti-mouse IgG conjugated to Alexa Fluor 568 (A-11004, Thermo Fisher Scientific) or Alexa Fluor 488 (A-11001, Thermo Fisher Scientific) used at 1:1000 dilution in the blocking buffer for 1 h at RT. Prior to mounting for microscopic examination, the cells were rinsed again and post-fixed with 4% PFA and 0.5% glutaraldehyde in PBS for 10 min at RT. For super-resolution microscopy, the neurons cultured for various days *in vitro* (DIV) were mounted in Mowiol (2.4% Mowiol 4-88 in poly(vinyl alcohol), 81381, Sigma-Aldrich) and DABCO (2.5% w/v, 1,4-diazobicyclo[2.2.2]octane, D27802, Sigma-Aldrich) and kept overnight in the dark at 4 °C prior to imaging.

Microscopy

β-II spectrin immunofluorescence of morpholino transfected neurons were evaluated using a confocal laser scanning microscope (Leica TCS SP8, Leica Microsystems) with a 63×, 1.4NA oil immersion objective. All imaging parameters were held constant for control and anti-β-II spectrin MO transfected neurons. Stimulated Emission Depletion (STED) nanoscopy was carried out using a Leica TCS SP8 STED system (Leica Microsystems). The fixed and mounted samples were imaged with the STED WHITE oil objective lens (HC

PL APO 100×/1.40 OIL). CMLE JM deconvolution algorithm from Huygens Professional software (version 17.04) was used for deconvolution and processing of images.

Image Analysis and statistics

The average fluorescence intensity was measured using the segmented line tool of ImageJ software (NIH, USA) with a specified width to trace the axon of interest and to calculate the intensity per unit area of the axon. When doing this, the background intensity per unit area was measured and subtracted from the axon intensity. Origin software (OriginLab, version 9) was used for statistical analysis and graphical representations. The periodicity of β-II spectrin distribution was evaluated by plotting the intensity trace along the axon using Fiji/Image J. The intensity values were analyzed using the autocorrelation (autocorr) function of Matlab (MathWorks, R2018b).

All data are represented as mean SEM from independent experiments, unless otherwise specified. Data shown represent the number of neurons (n) analysed. For the quantification of spectrin knock-down, statistical significance of difference in mean fluorescence intensity across pooled data sets was tested using using non parametric Mann Whitney U (MW - U) test with $p < 0.05$ set as the minimum level of significance. Origin software (OriginLab,version 9) and Matlab 2018b was used for all the statistical analyses and graphical representations.

Force measurement

Measurements were made using a modified version of an optical fiber based force apparatus we had developed earlier [20], and is shown schematically in the main text (Fig. 1A). It consists of a cylindrical glass cantilever, 10–20 μm in dia. and 5–10 mm in length, fabricated by uniformly etching the end portion of a 126 μm thick single mode optical fiber. The exact length and diameter of each cantilever is measured and its force constant determined as described in [20]. We use the Young's modulus value for the optical fiber obtained by loading test cantilevers by known weights and measuring the tip deflection using a horizontal microscope. The base of the cantilever was attached to a closed-loop linear piezoelectric drive (P-841.60, Physik Instruments) which has an accuracy of 1 nm and a travel range of 90 μm. The piezo was mounted on a Zeiss AxioObserver D1 (Carl Zeiss GmbH) microscope using a

joystick operated XYZ-stage (XenoWorks, Sutter Instruments). The position of the tip of the cantilever is measured with a resolution of 35 nm by focussing the laser light exiting the fiber on to a Position Sensitive Detector (PSD) (S2044, Hamamatsu). The green microscope illumination light and the red laser light were separated using appropriate filters to enable simultaneous force measurements and imaging using a CCD camera (Andor Luca R604, Andor Technology) and a $40\times$, 0.5NA LD-A-Plan objective. Once the cantilever is placed in the container with cells, a drop of mineral oil is added on top of the culture medium to minimize convection currents due to evaporative cooling. Axons were then pulled laterally at their mid-points by extending the piezo by a distance D as shown in Fig. 1A of the main article. The resulting cantilever deflection was calculated as $D - d$ (see Fig. 1C of the main article). The axonal strain γ is calculated from the initial length of the axon L_0. A feedback algorithm implemented using LabView (National Instruments, v14.0) calculates the strain steps such that there is no overshoot, and maintains the strain constant for a prescribed wait time after each step. Axons with initial length in the range of about 100–200 μm were chosen for experiments. The axonal diameter was measured in each case using phase-contrast microscopy.

There are practical difficulties in performing stretching experiments on primary neurons. The cell body is poorly adherent and can easily move or detach during mechanical perturbations, especially after treating with cytoskeleton modifying drugs. This limits both the range of strain values and the duration of measurements at each strain. For these reasons, we used successive strain steps with a strain-dependent wait time when probing the non-linear response of the axon and small amplitude cyclic strains for cytoskeleton perturbation experiments. Moreover, active contractile responses to mechanical perturbations and growth cone dynamics can get superposed with the passive force relaxation process. In order to suppress active dynamics as much as possible, we performed all experiments at room temperature (25–26 °C). Axons were chosen such that their entire length was within the field of view and the data was discarded if either the soma or the growth cone moved during measurement.

RESULTS

Axons exhibit strain-softening, viscoelastic-solid-like response

In order to study the axonal response as a function of imposed strain, we applied succes-
sively increasing strain steps with a wait time between steps using the home-developed force
apparatus shown in Fig. 1A (image of setup: Fig. S1, stretching of axon: Video-1). After
each step, the strain is held constant using a feedback algorithm. The resulting force relax-
ation data is shown in Fig. 1B. Unless specified otherwise, all data are for cells grown for two
days *in-vitro* (2-DIV). The force relaxation after each step is indicative of the viscoelastic
nature of the axon. From the data, we can calculate the axonal tension $\mathcal{T} = F/(2\sin\theta)$
(see Fig. 1C), where $\sin\theta = d/\sqrt{d^2 + (L_0/2)^2}$, with $\theta(t)$ as the angle with respect to the
initial position, d is the displacement of the tip of the cantilever which is in contact with the
axon mid point, and L_0 is the initial length of the axon. The calculated tension plotted in
Fig. 1B shows that it tends to relax to the same steady state value $\mathcal{T}_{ss}$ as the strain on the
axon increases. The inset of Fig. 1D shows this trend for $\mathcal{T}_{ss}$ seen for multiple axons. The
occurrence of a steady state tension (or a steady state force) is indicative of a solid like long
time behavior of the axons. To check this further we performed a few experiments with wait
time ~ 10 min $\gg$ the typical relaxation time (quantified later) after a strain step and these
show that the force indeed decays to a non-zero steady state value (Fig. S2).

We then calculate the Young's modulus $E = (\mathcal{T}_{ss} - \mathcal{T}_0)/(A.\gamma)$, where $\mathcal{T}_0$ is the tension of
the axon at zero strain which is calculated by extrapolating the tension vs. strain data (Fig.
S3), A is the cross-sectional area of the axon, and γ is the imposed strain. This data for
multiple axons is shown in Fig. 1D, with more examples in Fig. S4. Remarkably, the elastic
modulus shows a strain-softening which actually reflect the tendency for tension to saturate
with increasing strain (tension homeostasis). The strain-softening observed here for axons
is in sharp contrast to the stiffening response observed for other cell types like fibroblasts
[16–18] or for *in-vitro* cytoskeletal networks [21]. This strain-softening response is not due to
any permanent damage or plastic flow as the response remains qualitatively the same when
repeated for the same axon. Moreover, when the axons are released from the cantilever from
the maximal strain state they recover their initial length within 5–10 s (Video-2).

FIG. 1. **Feedback-controlled axon stretch apparatus reveals strain-softening behaviour.**
(A) The schematic of the home-developed force apparatus that uses an etched optical fiber as a cantilever to stretch axons and to sense force. Laser light exiting the cantilever tip is imaged on to a Position Sensitive Detector (PSD), which in turn is read by a computer. The computer controls a piezo drive via a feedback algorithm to apply strain steps and then to maintain the strain constant after each step. **(B)** Typical force response (dark blue) of a 2-DIV axon to increasing strain explored using successive strain steps (red). The calculated tension in the axons (sky blue) is also shown. The inset shows the images of the axon before and after stretch (scale bar: 20 μm). The light exiting the etched optical fiber cantilever can be seen as a bright spot (reduced in intensity for clarity), and this is imaged on to the PSD to detect cantilever deflection. **(C)** Illustration of the parameters used in the calculations. The strain is calculated using L_0 and d, and force on the cantilever as $F(t) = -k(D - d)$, where k is the cantilever force constant. The axonal tension is $\mathcal{T} = F/(2\sin\theta)$, where $\sin\theta = d/\sqrt{d^2 + (L_0/2)^2}$. **(D)** Young's moduli calculated for different 2-DIV axons using the steady state tension after each step show a strain-softening behavior. Only a few representative plots are shown for clarity, and more examples are shown in Fig. S4. The inset shows the tension vs. strain plots for different axons. Tension tends to saturate with increasing strain (tension homeostasis), which leads to the observed softening.

F-actin plays a more significant role than microtubules in axonal response to stretch

Since turnover of cytoskeletal elements, such as actin and microtubules, can be strain-dependent, for example, via opening of stretch-activated Ca^{++} channels, it is conceivable that the strain-softening response arises due to cytoskeletal remodelling. To test this, and the relative contributions of different structural elements, we performed experiments aimed at either stabilizing or destabilizing microtubules and F-actin using 2-DIV axons. We first tested the response of axons treated with the microtubule stabilizer Taxol at 10 μM for 30 min and the resulting data are shown in Fig. 2A. The elastic moduli show a strain-softening response similar to normal axons, albeit with higher values of the moduli. Next, in order to check whether F-actin dynamics could lead to strain-softening, we treated neurons with 5 μM of the F-actin stabilizing agent Jasplakinolide for 30 min; see Fig. 2B. As shown in Fig. 2C, F-actin-stabilized axons exhibit a more substantial increase in the elastic moduli compared to Taxol treatment, while both display pronounced strain-softening.

Since stabilization of filament dynamics did not change the strain-softening behavior, we tried a broad, non-specific, and permanent cross-linking of protein-protein bonds using the fixative Glutaraldehyde (see Materials & Methods), which makes the axon a purely passive material. Treated cells showed a much larger increase of the elastic moduli, yet this treatment, too, preserved the softening response of axons (Figs. S7, S8). When glutaraldehyde treated axons are released from the cantilever from the maximally strained state they recovered their initial lengths within a second (Video-3), which is in agreement with the increase in elasticity compared to control axons where the recovery was much slower ($\sim$10 s) (Video-2).

To further investigate the possible role of the microtubule and F-actin cytoskeletons, we performed axon stretch experiments after specifically disrupting each of these elements. To avoid irreversible damage to drug-treated, cytoskeleton-weakened axons, instead of the previous increasing step-strain experiments, we subjected them to a cyclic strain protocol where repeated up and down steps of equal magnitude are applied (Fig. S9). Moreover, to reduce scatter in data due to natural axon to axon variation, the same axon was probed before and after treatment. We first applied cyclic strain on control axons for extended periods to ensure that axons are not damaged under such conditions (Fig. S9). We then performed measurements after depolymerizing microtubules using Nocodazole (Noco) at 10 μM for up

FIG. 2. **Pharmacological perturbations of the cytoskeleton reveals a key role for F-actin in the axonal stretch response. (A,B)** Stabilizing microtubules or F-actin by treating 2-DIV axons with either 10 µM Taxol or 5 µM Jasplakinolide leads to significant increases in axonal stiffness, but the strain-softening response persists (more data in Figs. 5, 6). **(C)** Log-linear plots of the averaged elastic moduli as a function of strain for different treatments as well as control. It can be seen that stabilization of F-actin causes a larger increase in moduli compared to stabilizing microtubules (error bars are SE). **(D)** Change in steady state tension $\mathcal{T}_{ss}$ obtained after treating 2-DIV neurons with either the microtubule disrupting drug Nocodazole (10 µM) or the F-actin disrupting drug Latrunculin-A (1 µM) (n = 10 each). These treatments leave the axons very fragile and they detach easily when pulled. Hence a cyclic step-strain protocol with $\gamma = 0.01$ was employed as detailed in the text. As $\mathcal{T}_0$ cannot be determined from single steps, we compare the net tension for the same axon before (Ctl) and after 5 min and 30 min of treatment. The data show a significant decrease in axonal tension after F-actin disruption and a relatively smaller decrease after disrupting microtubules. **(E, F)** Bar plots showing the significance of the two treatments shown in D (m stands for min, error bars are SE).

to 30 min. After this treatment, some axons exhibited pronounced beading as is expected when microtubules are lost. As can be seen from Fig. 2D, on the average, treated axons showed a reduction in steady state tension: $\mathcal{T}_{ss}(\gamma = 0.01) = 9.3$ nN ± 1.6(control), 6.4 nN ± 2.1(Noco: 5 min), 5.8 nN ± 2.0(Noco: 30 min), where the values are mean $\pm$ SE (Fig. 2E). Next, we disrupted F-actin using 1 μM Latrunculin-A (Lat-A) for up to 30 min and observed that this treatment produced a much more dramatic reduction in the steady state tension than did Nocodazole, as shown in Fig. 2D. In this case, we obtained $\mathcal{T}_{ss}(\gamma = 0.01) = 13.0$ nN ± 2.5(control), 3.5 nN ± 1.0(Lat-A: 5 min), 1.8 nN ± 0.4(Lat-A: 30 min), where the values are mean $\pm$ SE (Fig. 2F). This correlates well with the tendency of Jasplakinolide to cause a larger increase in axon elastic modulus as compared with Taxol (see Fig. 2C). In addition, the tension relaxation is much faster for Latrunculin-A treatment as compared with either Nocodazole-treated or control axons (Figs. S10, S11), suggesting that F-actin plays a leading role in strain softening in axons.

The emergence of F-actin as more relevant than microtubules to the axon response under stretching is surprising given that microtubules form an aligned and tightly cross-linked bundle at the core of the axons. This finding prompted us to explore in more detail how F-actin regulates the axon stretch response, in particular via the periodic lattice of rings it forms together with α and β spectrin tetramers [2, 3].

The actin-spectrin lattice contributes prominantly to axonal stretch response.

To check the spectrin distribution along axons we first imaged spectrin content in chick DRG axons using antibody labelling and confocal microscopy (Fig. S12). Every axon imaged (n = 180) showed significant spectrin fluorescence distributed along the axon even at 2-DIV. The ultra-structure of the actin-spectrin organisation is revealed in the STED nanoscopy images shown in Fig. 3A. It can be seen that the periodic lattice becomes more prominent and more prevalent with the number of days the neurons are in culture while maintaining a periodicity in the range of 190 to 200 nm (see Fig. 3B(inset)). A detailed analysis of the distribution of the lattice in axons of different age is provided in Table-S1, and Fig. S13. Remarkably, the strengthening of the actin-spectrin lattice with age is well correlated with the mechanical response, with axons of older neurons showing much higher values of Young's moduli for all strain values as can be seen from Fig. 3B. The axonal

FIG. 3. **Actin bears axon tension through the actin-spectrin lattice. (A)** STED nanoscopy images of axons immunolabelled with β-II spectrin antibody for axons of different ages as indicated. Observation of a large number of axons shows that the spectrin periodicity become more prominent and more extensive with age of the axon. Scale bars are 1 µm. **(B)** Log-linear plots of averaged Young's modus vs strain for axons at 2-DIV (n = 5) and 4-DIV (n = 7) show a marked increase in moduli with age, and correlated with the enhancement in the actin-spectrin lattice. The inset shows the intensity-intensity autocorrelation functions obtained from the regions marked by the red-boxes in (A). The periodicity corresponds to the α/β spectrin tetramer length and the autocorrelation becomes smoother with age of the axons. **(C)** Plots of the averaged steady state tension $\mathcal{T}_{ss}$ as a function of strain for 2-DIV neurons treated with a non-specific, control morpholino (n = 10) and for those treated with an anti-β-II spectrin morpholino (n = 10). There is a substantial reduction in the tension measured after knock-down. The inset shows the extent of the knock-down obtained using antibody labelling of spectrin for control (n = 90) and knock-down neurons (n = 91). All error bars indicate the standard error (p < 0.05).

rest tension, too, increases slightly with days in culture from $\mathcal{T}_0$(2-DIV) = 3.7 nN $\pm$ 1.2 to $\mathcal{T}_0$(4-DIV) = 5.3 nN $\pm$ 2.2, where the values are mean $\pm$ SE (Fig. S14). The variation of the steady state tension $\mathcal{T}_{ss}$ with strain for 4-DIV cells shows that tension tends to saturate, and is shown in Fig. S15.

Next, we performed knock-down experiments using a specific morpholino (MO) against chick β-II spectrin. Depletion of β-II spectrin has been reported to abolish the development of the periodic organization of the actin-spectrin membrane-associated skeleton [2]. Anti-β-II spectrin morpholino-treated axons show a dramatic decrease in the steady state tension compared to axons treated with a non-specific morpholino as shown in Fig. 3C. In some cases force values were so low that determination of rest tension $\mathcal{T}_0$ was not possible. The extent of knock-down was quantified using antibody labelling and the result is shown in the inset of Fig. 3C, demonstrating a correlation between reduction in spectrin content and strain-dependent steady state tension shown in the main plot. Thus, these results clearly demonstrate the significance of the actin-spectrin periodic skeleton in axonal response to stretch deformations. We then turned to theoretical modelling to gain further insight into how the actin-spectrin periodic lattice may lead to the observed axonal response.

Folding-unfolding of spectrin buffers axon tension

To account for our observations of axon strain softening we then developed a minimal model of the axon mechanical response. Acknowledging that the axon cytoskeleton is complex [22], we focused on the actin-spectrin membrane skeleton for simplicity. This is justified by the predominant role played by the actin-spectrin skeleton in our experiments.

When an axon is suddenly stretched at constant strain, the tension is not constant, but instead relaxes over time (Fig. 1B), indicating that dissipative processes are occurring at the microscopic scale. Noting that axon viscoelastic solid-like behavior (Fig. 1B) precludes unbinding processes, such as between spectrin tetramers and actin rings, we model tension relaxation as arising from unfolding/re-folding of repeats along a spectrin tetramer, which is known to yield softening at the single tetramer level [23, 24]. These unfolding events lead to dissipation of stored elastic energy.

With these assumptions in mind, our model consists of M spectrin tetramers per axon cross-section, such that each tetramer is attached at its two ends to an actin ring, as shown

in Fig. 4A. A spectrin tetramer is modeled as a polymer chain with $N = 76$ repeats [24], and each repeat can be in an unfolded (u) or a folded (f) configuration. Then, we let $N_u(t)$ be the number of unfolded repeats on a tetramer at time t; therefore $N_f(t) = N - N_u(t)$ is the number of folded ones. Transitions from $u \leftrightarrow f$ depend on the typical tension per spectrin tetramer, $\mathcal{T}_s$, in an axonal cross-section, that is, $\mathcal{T}_s \approx \mathcal{T}/M$, and, in turn, $\mathcal{T}_s$ will depend on the state of folding along the tetramer.

In a mean-field approach, $N_u(t)$ evolves according to the kinetic equation

$$\frac{dN_u}{dt} = \nu_u(N - N_u) - \nu_f N_u \,, \tag{1}$$

where ν_u and ν_f are, respectively, the unfolding and folding transition rates, and are assumed to depend on the tension [24, 25]:

$$\nu_u(\mathcal{T}) = \tau_0^{-1} \exp\left[\frac{\mathcal{T}(x_t - x_f)}{M k_B T}\right]$$

$$\nu_f(\mathcal{T}) = \tau_0^{-1} \exp\left[\frac{\Delta E + \mathcal{T}(x_t - x_u)}{M k_B T}\right] \,. \tag{2}$$

Here, the inverse time constant τ_0^{-1} is the unfolding rate at zero tension. Furthermore, x_f, x_t, and x_u are position-related reaction coordinates in the folded, transition, and unfolded states, such that $x_u > x_t > x_f$ (Fig. 4A). Finally, k_B is Boltzmann's constant, T is temperature, and $\Delta E > 0$ is the energy difference between the u and f states.

In the next step, we model the force-extension relation of a spectrin tetramer using an interpolation formula from the wormlike chain (WLC) model for polymer elasticity [23, 26]:

$$\mathcal{T}(R) = \frac{M k_B T}{l_p} \left(\frac{1}{4(1 - R/L)^2} - \frac{1}{4} + \frac{R}{L}\right) \,, \tag{3}$$

where R is the end-to-end extension of the tetramer; l_p is its persistence length; and L is its contour length. A key ingredient in the model is that L is not constant, but rather depends on the folding state of the molecule. That is,

$$L = L_0 + N_u \Delta L \,, \tag{4}$$

where $L_0 \approx 200$ nm is the contour length with all repeats folded and $\Delta L \approx 30$ nm is the gain in length when a repeat unfolds [23].

With this model in hand, we can readily understand the axon tension relaxation and strain softening seen experimentally. By solving Eqs. (1)-(4) for a sequence of equal strain

FIG. 4. **Theoretical model recapitulates strain-softening and tension relaxation. (A)**
Schematic illustration of stretched axon, showing unfolding and re-folding of spectrin repeats as
underlying tension relaxation mechanism. Zoom shows schematic illustration of energy landscape
for a unfolding/re-folding of a spectrin repeat. **(B)** Model calculation for a single spectrin tetramer
for multiple step-strain protocol. Tension versus time (purple) shows a jump after strain incre-
ment is applied, followed by relaxation to a steady-state value (red points, passed through by
the equilibrium force versus extension curve (gray)). This relaxation coincides with progressive
spectrin unfolding, as indicated by the number of unfolded repeats, N_u (burgundy). Note that
the tension scale used is $\bar{\mathcal{T}} \equiv k_B T/l_p$. **(C)** Tension relaxation after a small change in applied
strain is approximately exponential, with a relaxation time, τ, that depends non-monotonically on
strain. The inset cartoons reveal that τ is largely controlled by re-folding at low strain, and by
unfolding at high strain. **(D)** Fitting the tension relaxation data to a double-exponential function
(Figs. S17, S18) reveals a long relaxation time with a qualitatively similar dependence on strain
as with the model (Figs. S19, S20). Model calculations in B and D were done using the following
parameters: $k_B T = 4$ pN.nm, $l_p = 0.6$ nm, $L_0 = 200$ nm, $\Delta L = 31.7$ nm, $N = 76$, $x_t - x_f = 3.5$
nm, $x_u - x_t = 3.5$ nm, $\Delta E = 8$ pN.nm.

steps, each applied at constant time intervals, our model can qualitatively reproduce the axon stretch response (Fig. 1B). Following a jump in strain, the tension rises quickly and then relaxes, as spectrin repeats progressively unfold (Fig. 4B). At long times after the strain step, the tension tends to a steady-state $\mathcal{T}_{ss}$, such that the locus of values of $\mathcal{T}_{ss}$ follows the steady-state tension versus strain curve (red dots in Fig. 4B). This reflects the tension buffering behavior seen in Figs. 1B, 1D(inset), Fig. S15, and Ref. [24]. This curve reveals the characteristic strain-softening effect predicted by this model: for small extension, R, most repeats are folded, $N_u \approx 0$, and the tetramer behaves like a polymer with fixed contour length and with an elastic response $\mathcal{T} \propto R$. For larger R, the number of unfolded repeats increases, as does the contour length and, so to speak, the reference state of the polymer. This slackening of the tetramer gives rise to softening with increasing strain (Fig. S16).

Our model also predicts a surprising behaviour in the axon tension relaxation, specifically a non-monotonic dependence of the relaxation time on strain; see Fig. 4C. By subjecting each model tetramer to a sudden change in extension, from R to $R + \Delta R$, and linearizing Eqs. 1-4 for small ΔR around the steady-state at R, we find exponential relaxation with time constant

$$\tau \simeq \frac{1}{\nu_u + \nu_f} \, . \tag{5}$$

Here, ν_u and ν_f are the transition rates in the state R (see Suppl. Mat. Sec. 12 for details). Remarkably, this expression captures very well the experimental dependence of the tension relaxation time with strain (Fig. 4D), and the underlying physics can be readily understood. For small R, given that $\Delta E/k_B T > 1$ [24], $\nu_u \ll \nu_f$, and thus $\tau \approx 1/\nu_f$. That is, the *folding* rate initially dictates the rate of tension relaxation . Since, the folding rate decreases with tension, it then follows that τ *increases* with $\mathcal{T}$, or equivalently with R. For large R, the energy landscape for unfolding and re-folding becomes sufficiently tilted that $\nu_u \gg \nu_f$ (Fig. 4C). Then, $\tau \approx 1/\nu_u$, and since ν_u increases with $\mathcal{T}$, we find that the relaxation time decreases with strain.

In summary, our simple model is able to account for the main outcomes — strain-softening and non-monotonic tension relaxation — of axon stretch experiments that point to a leading role played by the periodic actin-spectrin ultrastructure.

DISCUSSION AND CONCLUSIONS

Axon mechanics play an important role in normal neuron functioning and dictates how neurons respond to injuries involving large stretch deformations. Although a number of studies have explored both active and passive axonal mechanics, the relation between the axonal cytoskeleton and its mechanical response remains poorly understood. The recent discovery of a periodic lattice of actin rings connected by longitudinally aligned spectrin tetramers [2, 3] makes an investigation of the structure–function relationship of the axonal cytoskeleton even more timely.

Using a custom-built, controlled-strain force apparatus, we demonstrate for the first time, to our knowledge, that (i) axons undergo a strain-softening behavior due to their ability to buffer mechanical tension when stretched; and (ii) that they behave as viscoelastic solid, with a relaxation time that depends non-monotonically on strain. We have, furthermore, unravelled hitherto unknown connections between the axon mechanical response and its cytoskeleton, by using experiments that either stabilize or de-stabilize specific elements, including the periodic actin-spectrin lattice. We show that (iii) F-actin and spectrin emerge as prominent contributors to the axon mechanics. As axons mature, the actin-spectrin periodic skeleton strengthens and this correlates with an increase in the Young's moduli of the axons with age.

Considering the periodic skeleton as the main element responding to stretch, an order of magnitude estimate of the axonal tension in the softening regime can be made as follows. If we assume the width of a spectrin molecule to be 10 nm, and take a typical axon diameter as 1 μm, the maximum number of spectrin molecules (for a mature axon, say) in a cylindrical cross-section can be estimated to be about $M \sim 300$. If we now take the force needed to unfold a spectrin subunit from AFM experiments as $f_s \simeq 30$ pN [19], we can estimate the axonal tension to be of the order of $\mathcal{T} \sim 10$ nN. This corresponds to the experimentally measured plateau tension for 4-DIV axons (Fig. S15), suggesting that the spectrin skeleton alone can account for the measured tension. This is corroborated by the fact that we often detect negligible tension in axons after spectrin knock-down.

Our experiments and theoretical analysis point to a molecular mechanism for strain softening involving force-dependent unfolding and re-folding of spectrin repeats. Remarkably, our minimal model predicts both the observed viscoelastic solid-like response and the non-

trivial dependence of the tension relaxation time, which first increases and then decreases at larger strain. We show that these two responses are clear signatures of the role of spectrin unfolding and re-folding in tension relaxation, and argues against other possible mechanisms. For example, a tension relaxation scenario involving actin-spectrin unbinding—or any other crosslink unbinding— would lead to a relaxation time that monotonically decreases with strain. Moreover, actin-spectrin unbinding would result in a long-time liquid behaviour, in which the axon tension relaxes to zero at steady-state, in disagreement with our observations. An additional feature of the force-assisted unfolding of spectrin domains is that the tension versus strain response at steady-state exhibit an extended region where the tension is only weakly dependent on the strain. This has important functional significance as the periodic actin-spectrin skeleton can protect axons against stretch deformations by acting as a tension buffer, or "shock absorber".

There is, in fact, *in-vivo* evidence that the integrity of the actin-spectrin skeleton is necessary for protecting neurons under stretch. Notably, in C. *elegans*, the axons of spectrin knock-out animals are known to snap during normal wiggling of the worm [27]. Moreover, the use of a FRET-based spectrin tension sensor has shown that β-spectrin in C. *elegans* axons are held under prestress; and this is further supported by axonal retraction observed after laser ablation [28]. Still, to date, there have been no studies that quantitatively relate the linearly periodic actin-spectrin axon architecture to its strain response. In contrast, spectrin-mediated elastic behavior leading to softening has been documented in experimental and theoretical studies on Red Blood Cells (RBCs), which have a membrane associated hexagonal actin-spectrin skeleton [24, 29–31]. The current view is that RBC softening is most likely due to the unfolding of spectrin domains under force [32, 33]. Thus, it is remarkable that while the structural architecture is quite different in RBCs and axons, Nature has employed a similar strategy in protecting these cells against large strains.

Another striking observation from our study is that microtubules do not play as prominent a role as the actin-spectrin lattice in axonal response to stretch. It has been shown that disruption of microtubules using Nocodazole causes a decay of the actin-spectrin skeleton too and stabilizing the filaments using Taxol increases the occurrence of this periodic scaffold [34]. Hence, the effects of microtubule perturbation may be more complex than a simple reduction in their numbers. Another possibility is that if the Microtubule Associated Proteins that cross-link microtubules can switch between bound and unbound states, micro-

tubules could slide longitudinally under axial strains giving a fluid-like response to stretch deformations at long times, thereby not contributing to the steady-state or zero-frequency moduli [35, 36]. In this regard, the significant contribution of axonal microtubule bundle to flexural rigidity or lateral compression as in AFM experiments should not be confused with its possible relevance in longitudinal stretching deformations. In fact, microtubules were shown to be weak under dynamic stretching of axons, as they undergo depolymerization at high strain or strain rates [37]. Such catastrophic decay of microtubules makes the mechanical support rendered by the actin-spectrin skeleton even more critical in ensuring axonal integrity.

In conclusion, we demonstrate the role of actin-spectrin periodic lattice in defining axonal strain-softening elastic response that allows axons to undergo significant reversible deformations by acting as molecular bellows which buffer tension. How this structure self-assembles and how it may dynamically reorganize under stretch on long timescales or during growth will be interesting to explore. From a medical point of view, spectrin mutations are associated with neurological disorders like spinocerebellar ataxia [38] and early infantile epileptic encephalopathies [39]. Hence, apart from direct mechanical effects, how axonal integrity may be affected by spectrin specific mutations will be an area of interest for future studies.

ACKNOWLEDGEMENTS

We acknowledge Seshagiri Rao for his help in improving the setup, Jagruti Pattadkal for performing the preliminary experiments, Sukh Veer for cantilever calibration, and Serene Rose David for her help with preparation and use of reagents. We thank the IISER-Pune imaging facility for STED nanoscopy; Deepak Nair and Siddharth Nanguneri for discussions and preliminary trials on super resolution imaging. We thank Andrea Parmeggiani for valuable discussions; N V Madhusudana, Girish Deshpande and Patricia Bassereau for their critical reading of the manuscript. AG and PP acknowledge the Dept. of Biotechnology, Govt. of India for partial support through Grant No. BT/PR13244/GBD/27/245/2009.

---

[1] Bruce Alberts, Alexander Johnson, Julian Lewis, Martin Raff, Keith Roberts, and Peter Walter. Molecular biology of the cell (garland, new york, 2002). *Google Scholar.*

[2] Ke Xu, Guisheng Zhong, and Xiaowei Zhuang. Actin, spectrin, and associated proteins form a periodic cytoskeletal structure in axons. *Science*, 339(6118):452–456, 2013.

[3] Elisa D'Este, Dirk Kamin, Fabian Göttfert, Ahmed El-Hady, and Stefan W Hell. Sted nanoscopy reveals the ubiquity of subcortical cytoskeleton periodicity in living neurons. *Cell reports*, 10(8):1246–1251, 2015.

[4] James B Phillips, Xander Smit, N De Zoysa, Andrew Afoke, and Robert A Brown. Peripheral nerves in the rat exhibit localized heterogeneity of tensile properties during limb movement. *The Journal of physiology*, 557(3):879–887, 2004.

[5] Margo A Lillie, A Wayne Vogl, Kelsey N Gil, John M Gosline, and Robert E Shadwick. Two levels of waviness are necessary to package the highly extensible nerves in rorqual whales. *Current Biology*, 27(5):673–679, 2017.

[6] Ricardo J Andrade, Antoine Nordez, François Hug, Filiz Ates, Michel W Coppieters, Pedro Pezarat-Correia, and Sandro R Freitas. Non-invasive assessment of sciatic nerve stiffness during human ankle motion using ultrasound shear wave elastography. *Journal of biomechanics*, 49(3):326–331, 2016.

[7] PV Bayly, TS Cohen, EP Leister, D Ajo, EC Leuthardt, and GM Genin. Deformation of the human brain induced by mild acceleration. *Journal of neurotrauma*, 22(8):845–856, 2005.

[8] Kimberly G Harmon, Jonathan A Drezner, Matthew Gammons, Kevin M Guskiewicz, Mark Halstead, Stanley A Herring, Jeffrey S Kutcher, Andrea Pana, Margot Putukian, and William O Roberts. American medical society for sports medicine position statement: concussion in sport. *Br J sports med*, 47(1):15–26, 2013.

[9] Victoria E Johnson, William Stewart, and Douglas H Smith. Axonal pathology in traumatic brain injury. *Experimental neurology*, 246:35–43, 2013.

[10] David F Meaney and Douglas H Smith. Biomechanics of concussion. *Clinics in sports medicine*, 30(1):19–31, 2011.

[11] Timothy J Dennerll, Phillip Lamoureux, Robert E Buxbaum, and Steven R Heidemann. The cytomechanics of axonal elongation and retraction. *The journal of cell biology*, 109(6):3073–3083, 1989.

[12] Roberto Bernal, Pramod A Pullarkat, and Francisco Melo. Mechanical properties of axons. *Physical review letters*, 99(1):018301, 2007.

[13] Matthew O'Toole, Phillip Lamoureux, and Kyle E Miller. Measurement of subcellular force generation in neurons. *Biophysical journal*, 108(5):1027–1037, 2015.

[14] Alireza Tofangchi, Anthony Fan, and M Taher A Saif. Mechanism of axonal contractility in embryonic drosophila motor neurons in vivo. *Biophysical journal*, 111(7):1519–1527, 2016.

[15] Sampada P Mutalik, Joby Joseph, Pramod A Pullarkat, and Aurnab Ghose. Cytoskeletal mechanisms of axonal contractility. *Biophysical journal*, 115(4):713–724, 2018.

[16] Pablo Fernández, Pramod A Pullarkat, and Albrecht Ott. A master relation defines the nonlinear viscoelasticity of single fibroblasts. *Biophysical journal*, 90(10):3796–3805, 2006.

[17] Philip Kollmannsberger and Ben Fabry. Linear and nonlinear rheology of living cells. *Annual review of materials research*, 41:75–97, 2011.

[18] Lars Wolff, Pablo Fernández, and Klaus Kroy. Resolving the stiffening-softening paradox in cell mechanics. *PloS one*, 7(7):e40063, 2012.

[19] Matthias Rief, Jaime Pascual, Matti Saraste, and Hermann E Gaub. Single molecule force spectroscopy of spectrin repeats: low unfolding forces in helix bundles1. *Journal of molecular biology*, 286(2):553–561, 1999.

[20] Seshagiri Rao R. V., Chirag Kalelkar, and Pramod A. Pullarkat. Optical fiber-based force transducer for microscale samples. *Review of Scientific Instruments*, 84(10):105107, 2013. doi:10.1063/1.4824198. URL http://dx.doi.org/10.1063/1.4824198.

[21] Cornelis Storm, Jennifer J Pastore, Fred C MacKintosh, Tom C Lubensky, and Paul A Janmey. Nonlinear elasticity in biological gels. *Nature*, 435(7039):191, 2005.

[22] Christophe Leterrier, Pankaj Dubey, and Subhojit Roy. The nano-architecture of the axonal cytoskeleton. *Nat Rev Neurosci*, 18(12):713–726, Dec 2017. doi:10.1038/nrn.2017.129.

[23] M Rief, J Pascual, M Saraste, and H E Gaub. Single molecule force spectroscopy of spectrin repeats: low unfolding forces in helix bundles. *J Mol Biol*, 286(2):553–61, Feb 1999. doi: 10.1006/jmbi.1998.2466.

[24] Qiang Zhu and Robert J Asaro. Spectrin folding versus unfolding reactions and rbc membrane stiffness. *Biophysical journal*, 94(7):2529–2545, 2008.

[25] GI Bell. Models for the specific adhesion of cells to cells. *Science*, 200(4342):618–627, 1978. ISSN 0036-8075. doi:10.1126/science.347575. URL http://science.sciencemag.org/content/200/4342/618.

[26] John F Marko and Eric D Siggia. Stretching DNA. *Macromolecules*, 28(26):8759–8770, 1995.

[27] Marc Hammarlund, Erik M Jorgensen, and Michael J Bastiani. Axons break in animals lacking β-spectrin. *J Cell Biol*, 176(3):269–275, 2007.

[28] Michael Krieg, Alexander R Dunn, and Miriam B Goodman. Mechanical control of the sense of touch by β-spectrin. *Nature cell biology*, 16(3):224, 2014.

[29] James CM Lee and Dennis E Discher. Deformation-enhanced fluctuations in the red cell skeleton with theoretical relations to elasticity, connectivity, and spectrin unfolding. *Biophysical Journal*, 81(6):3178–3192, 2001.

[30] Nir S Gov. Active elastic network: cytoskeleton of the red blood cell. *Physical Review E*, 75 (1):011921, 2007.

[31] Ju Li, George Lykotrafitis, Ming Dao, and Subra Suresh. Cytoskeletal dynamics of human erythrocyte. *Proceedings of the National Academy of Sciences*, 104(12):4937–4942, 2007.

[32] Colin P Johnson, Hsin-Yao Tang, Christine Carag, David W Speicher, and Dennis E Discher. Forced unfolding of proteins within cells. *Science*, 317(5838):663–666, 2007.

[33] Christine C Krieger, Xiuli An, Hsin-Yao Tang, Narla Mohandas, David W Speicher, and Dennis E Discher. Cysteine shotgun–mass spectrometry (cs-ms) reveals dynamic sequence of protein structure changes within mutant and stressed cells. *Proceedings of the National Academy of Sciences*, 2011.

[34] Guisheng Zhong, Jiang He, Ruobo Zhou, Damaris Lorenzo, Hazen P Babcock, Vann Bennett, and Xiaowei Zhuang. Developmental mechanism of the periodic membrane skeleton in axons. *Elife*, 3:e04581, 2014.

[35] Hossein Ahmadzadeh, Douglas H Smith, and Vivek B Shenoy. Mechanical effects of dynamic binding between tau proteins on microtubules during axonal injury. *Biophysical journal*, 109 (11):2328–2337, 2015.

[36] Rijk de Rooij and Ellen Kuhl. Physical biology of axonal damage. *Frontiers in cellular neuroscience*, 12, 2018.

[37] Min D Tang-Schomer, Ankur R Patel, Peter W Baas, and Douglas H Smith. Mechanical breaking of microtubules in axons during dynamic stretch injury underlies delayed elasticity, microtubule disassembly, and axon degeneration. *The FASEB Journal*, 24(5):1401–1410, 2010.

[38] Yoshio Ikeda, Katherine A Dick, Marcy R Weatherspoon, Dan Gincel, Karen R Armbrust, Joline C Dalton, Giovanni Stevanin, Alexandra Dürr, Christine Zühlke, Katrin Bürk, et al. Spectrin mutations cause spinocerebellar ataxia type 5. *Nature genetics*, 38(2):184, 2006.

[39] Yu Wang, Tuo Ji, Andrew D Nelson, Katarzyna Glanowska, Geoffrey G Murphy, Paul M Jenkins, and Jack M Parent. Critical roles of αii spectrin in brain development and epileptic encephalopathy. *The Journal of clinical investigation*, 128(2), 2018.