The origin of the central dogma through 1 conflicting multi-level selection 2 Nobuto Takeuchi^{*1,2} and Kunihiko Kaneko^{1,3} 3 ¹Research Center for Complex Systems Biology, Graduate School of 4 Arts and Sciences, University of Tokyo, Komaba 3-8-1, Meguro-ku, 5 Tokyo 153-8902, Japan 6 ²School of Biological Sciences, Faculty of Science, University of 7 Auckland, Private Bag 92019, Auckland 1142, New Zealand 8 ³Department of Basic Science, Graduate School of Arts and Sciences, 9

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The central dogma of molecular biology rests on two kinds of asymmetry 11 between genomes and enzymes¹. Information flows from genomes to en-12 zymes, but not from enzymes to genomes: informatic asymmetry. En-13 zymes provide catalysis, whereas genomes do not: catalytic asymmetry. 14 How did these asymmetries originate? Here we demonstrate that these 15 asymmetries can spontaneously arise from conflict between selection at 16 the molecular level and selection at the cellular level. Our model consists 17 of a population of protocells, each containing a population of replicat-18 ing catalytic molecules. The molecules are assumed to face a trade-off 19 between serving as catalysts and serving as templates. This trade-off 20 causes conflicting multi-level selection: serving as catalysts is favoured 21 by cellular-level selection, whereas serving as templates is favoured by 22 molecular-level selection. This conflict induces informatic and catalytic 23 symmetry breaking, whereby the molecules differentiate into genomes 24 and enzymes, hence establishing the central dogma. We show mathemat-25 ically that the symmetry breaking is caused by positive feedback between 26

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Fisher's reproductive values and the relative impact of selection at different levels. Our work proposes that the central dogma is a logical consequence of conflicting multi-level selection, hence making it no longer a 'dogma.'

At the heart of living systems lies the distinction between genomes and enzymes— 31 the division of labour between the transmission of genetic information and the provi-32 sion of chemical catalysis. However, current hypotheses about the origin of life posit 33 that genomes and enzymes were initially undistinguished, both embodied in a single 34 type of molecule (RNA^2 or its analogues³). How then did this distinction originate? 35 To address this question, we explore the possibility that the genome-enzyme 36 distinction arose during the evolutionary transition from replicating molecules to 37 protocells⁴⁻⁷. During this transition, selection operated at both molecular and cel-38 lular levels, and selection at one level was potentially in conflict with selection at 39 the other. Previously, we demonstrated that such conflicting multi-level selection 40 can induce catalytic symmetry breaking in replicating molecules⁸. We thus hypo-41 thesised that conflicting multi-level selection could also induce the evolution of the 42 genome-enzyme distinction and, hence, the origin of the central dogma. 43

To examine this hypothesis, we consider a model with two types of replicators, 44 denoted by P and Q. The chemical identity of P and Q is unspecified for simplicity 45 and generality. For simplicity, we separate the origin of the genome-enzyme distinc-46 tion from the origin of protein translation. For generality, we formulate our model 47 to be independent of chemical specifics (see also Supplementary Discussion 1). To 48 examine the possibility of spontaneous symmetry breaking, we assume no a priori 49 difference between P and Q. We assume that both P and Q can serve as templates 50 for replication $(P \rightarrow 2P \text{ and } Q \rightarrow 2Q)$ and transcription $(P \rightarrow P + Q \text{ and } Q \rightarrow Q + P)$, 51 where complementarity is ignored (Fig. 1a). Moreover, both P and Q can serve as 52 catalysts for replication and transcription. Each replicator is individually assigned 53 eight catalytic values denoted by $k_{pt}^c \in [0,1]$, where c, p, and t are the replicator 54 types of catalyst, product, and template, respectively. Four of these k_{pt}^c values de-55 note the catalytic activities of the replicator itself, and the other four denote those 56 of its transcripts; e.g., if a replicator is of type P, its catalytic activities are given by 57 k_{pt}^{P} , and those of its transcripts, which are of type Q, by k_{pt}^{Q} . A replicator inherits k_{pt}^{c} values from its template with potential mutation. Mutation randomly changes 58 59 each k_{pt}^c value with probability m per replication or transcription (see Methods). For 60 simplicity, catalysts are assumed not to distinguish between different templates of 61 the same replicator type (either because catalysts are unspecific or because templates 62 are sufficiently similar to each other). 63

Replicators compete for a finite supply of substrate denoted by S (the abstraction

⁶⁵ of monomers). The substrate is recycled through the decay of P and Q to keep ⁶⁶ the total number of P, Q, and S (hereafter, collectively called particles) constant ⁶⁷ (Fig. 1b).

All particles are compartmentalised into protocells, across which P and Q do 68 not diffuse at all, but S diffuses rapidly (Fig. 1c; see Methods). This difference in 69 diffusion induces the passive transport of S from protocells in which S is converted 70 into replicators slowly, to protocells in which this conversion is rapid. Consequently, 71 the latter grow at the expense of the former⁹. If the number of particles in a protocell 72 exceeds threshold V, the protocell is divided with its particles randomly distributed 73 between the two daughter cells; conversely, if this number decreases to zero, the 74 protocell is discarded. 75

Crucial in our modelling is the incorporation of a trade-off between a replicator's 76 catalytic activities and templating opportunities. This trade-off is considered to 77 arise from a constraint that providing catalysis and serving as a template impose 78 structurally-incompatible requirements on replicators^{10,11}. Because replication or 79 transcription takes a finite amount of time, serving as a catalyst comes at the cost 80 of spending less time serving as a template, thereby inhibiting self-replication. To 81 incorporate this trade-off, the model assumes that replication and transcription entail 82 complex formation between a catalyst and template (Fig. 1b)¹². The rate constants 83 of complex formation are given by the k_{pt}^c values of a replicator serving as a catalyst. 84 Thus, the greater the values of k_{pt}^c , the greater the chance that a replicator, or its 85 transcript, is sequestered in a complex as a catalyst and thus unable to serve as a 86 template. 87

The above trade-off creates a dilemma: providing catalysis brings benefit at the cellular level because it accelerates a protocell's uptake of S, but brings cost at the molecular level because it inhibits a replicator's self-replication⁸. Therefore, selection between protocells tends to maximise the k_{pt}^c values of replicators (i.e., cellular-level selection), whereas selection within protocells tends to minimise the k_{pt}^c values of replicators (i.e., molecular-level selection).

To determine the outcome of this conflicting multi-level selection, we simulated 94 our model for various values of V (the threshold at which protocells divide) and 95 m (mutation rate). Our main result is that for sufficiently large values of V and 96 m, replicators undergo symmetry breaking in three aspects (Fig. 2a). First, one 97 type of replicator (either P or Q) evolves high catalytic activity, whereas the other 98 completely loses it (i.e., $k_{pt}^c \gg k_{pt}^{c'} \approx 0$ for $c \neq c'$): catalytic symmetry breaking 99 (Fig. 2bc). Second, templates are transcribed into catalysts, but catalysts are not 100 reverse-transcribed into templates (i.e., $k_{ct}^c \gg k_{tc}^c \approx 0$): informatic symmetry break-101 ing (Fig. 2bc). Finally, the copy number of templates becomes smaller than that of 102

catalysts: numerical symmetry breaking: (Fig. 2d). This three-fold symmetry breaking is robust to various changes in model details (see Supplementary Discussion 2
and 3; Extended Data Figs. 3, 4, and 5). Below, we focus on catalytic and informatic symmetry breaking because they are directly related to the central dogma (see
Supplementary Discussion 4 for numerical symmetry breaking).

The significant consequence of symmetry breaking is the resolution of the di-108 lemma between providing catalysis and getting replicated. Once symmetry is broken, 109 tracking lineages reveals that the common ancestors of all replicators are almost al-110 ways templates (Fig. 2ef; see Methods for ancestor tracking). That is, information 111 is transmitted almost exclusively through templates, whereas information in cata-112 lysts is eventually lost (i.e., catalysts have zero reproductive value). Consequently, 113 evolution operates almost exclusively through competition between templates, inde-114 pendent of competition between catalysts. How the catalytic activity of catalysts 115 evolves, therefore, depends solely on the cost and benefit to templates. On the 116 one hand, this catalytic activity brings benefit to templates for competition across 117 protocells. On the other hand, this activity brings no cost to templates for com-118 petition within a protocell (neither does it bring benefit because catalysis is equally 119 shared among templates). Therefore, the catalytic activity of catalysts is maxim-120 ised by cellular-level selection, but not minimised by molecular-level selection, hence 121 the resolution of the dilemma between catalysing and templating. Because of this 122 resolution, symmetry breaking leads to the maintenance of high catalytic activities 123 (Extended Data Figs. 6 and 7). 124

To understand the mechanism of the symmetry breaking, we simplified the model 125 into mathematical equations. These equations allow us to consider all the costs and 126 benefits involved in providing catalysis: for catalysis provided by $c \in \{P, Q\}$, its 127 molecular-level cost to c (denoted by γ_c^c), and its cellular-level benefits to $t \in \{P, Q\}$ 128 (denoted by β_c^t). The equations calculate the joint effects of all these costs and 129 benefits on the evolution of the average catalytic activities of c (denoted by k^c). The 130 equations are derived with the help of Price's theorem¹³⁻¹⁷ and displayed below (see 131 Methods for the derivation): 132

$$\Delta \bar{k}^{\mathrm{P}} \approx \bar{\omega}^{\mathrm{P}} \left(\beta_{\mathrm{P}}^{\mathrm{P}} \sigma_{\mathrm{cel}}^{2} - \gamma_{\mathrm{P}}^{\mathrm{P}} \sigma_{\mathrm{mol}}^{2} \right) + \bar{\omega}^{\mathrm{Q}} \beta_{\mathrm{P}}^{\mathrm{Q}} \sigma_{\mathrm{cel}}^{2}
\Delta \bar{k}^{\mathrm{Q}} \approx \bar{\omega}^{\mathrm{P}} \beta_{\mathrm{Q}}^{\mathrm{P}} \sigma_{\mathrm{cel}}^{2} + \bar{\omega}^{\mathrm{Q}} \left(\beta_{\mathrm{Q}}^{\mathrm{Q}} \sigma_{\mathrm{cel}}^{2} - \gamma_{\mathrm{Q}}^{\mathrm{Q}} \sigma_{\mathrm{mol}}^{2} \right),$$
(1)

where Δ denotes evolutionary change per generation, $\bar{\omega}^c$ is the average normalised reproductive value of c, σ_{cel}^2 is the variance of catalytic activities among protocells (cellular-level variance), and σ_{mol}^2 is the variance of catalytic activities within a protocell (molecular-level variance).

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The derivation of equations (1) involves various simplifications, among which 138 the three most important are noted below (see Methods for details). First, equa-139 tions (1) assume that catalysts do not distinguish the replicator types of templates 140 and products (i.e., k_{pt}^c is independent of p and t, hence denoted by k^c). Such distinc-141 tion is required for numerical symmetry breaking, which is thus excluded under this 142 assumption. However, catalytic symmetry breaking can still occur (e.g., $k^{\rm P} > k^{\rm Q}$), as 143 can informatic symmetry breaking: the trade-off between catalysing and templating 144 causes information to flow preferentially from less catalytic to more catalytic replic-145 ator types. Second, equations (1) treat σ_{mol}^2 and σ_{cel}^2 as parameters although they are actually variables dependent on m and V in the simulation model. In addition, 146 147 these variances are assumed to be identical between $\bar{k}^{\rm P}$ and $\bar{k}^{\rm Q}$. Third, equations (1) 148 ignore the terms of order greater than $\sigma_{\rm cel}^2$ and $\sigma_{\rm mol}^{2-16}$. 149

The first and second terms on the right-hand side of equations (1) represent evolution arising through the replication of P and Q, respectively, weighted by the reproductive values, $\bar{\omega}^{\rm P}$ and $\bar{\omega}^{\rm Q}$. The terms multiplied by $\beta_c^t \sigma_{\rm cel}^2$ represent evolution driven by cellular-level selection; those by $-\gamma_c^c \sigma_{\rm mol}^2$, evolution driven by molecularlevel selection.

Using equations (1), we can now elucidate the mechanism of symmetry breaking. 155 Consider a symmetric situation where P and Q are equally catalytic: $\bar{k}^{P} = \bar{k}^{Q}$. Since P 156 and Q are identical, the catalytic activities of P and Q evolve identically: $\Delta \bar{k}^{P} = \Delta \bar{k}^{Q}$. 157 Next, suppose that P becomes slightly more catalytic than Q for whatever reason, 158 e.g., by genetic drift: $\bar{k}^{\rm P} > \bar{k}^{\rm Q}$ (catalytic asymmetry). The trade-off between cata-159 lysing and templating then causes P to be replicated less frequently than Q, so that 160 $\bar{\omega}^{\rm P} < \bar{\omega}^{\rm Q}$ (informatic asymmetry). Consequently, the second terms of equations (1) 161 increase relative to the first terms. That is, for catalysis provided by P (i.e., $k^{\rm P}$), 162 the impact of cellular-level selection through Q (i.e., $\bar{\omega}^{Q}\beta_{P}^{Q}\sigma_{cel}^{2}$) increases relative to 163 those of molecular-level and cellular-level selection through P (i.e., $-\bar{\omega}^{\rm P} \gamma_{\rm P}^{\rm P} \sigma_{\rm mol}^2$ and 164 $\bar{\omega}^{\rm P}\beta_{\rm P}^{\rm P}\sigma_{\rm cel}^2$, respectively), resulting in the relative strengthening of cellular-level selec-165 tion. By contrast, for catalysis provided by Q (i.e., \bar{k}^{Q}), the impacts of molecular-level 166 and cellular-level selection through Q (i.e., $-\bar{\omega}^Q \gamma_Q^Q \sigma_{mol}^2$ and $\bar{\omega}^Q \beta_Q^Q \sigma_{cel}^2$, respectively) 167 increase relative to cellular-level selection through P (i.e., $\bar{\omega}^{P}\beta_{O}^{P}\sigma_{cel}^{2}$), resulting in the 168 relative strengthening of molecular-level selection. Consequently, a small difference 169 between $\bar{k}^{\rm P}$ and $\bar{k}^{\rm Q}$ leads to $\Delta \bar{k}^{\rm P} > \Delta \bar{k}^{\rm Q}$, the amplification of the initial difference— 170 hence, symmetry breaking. The above mechanism can be summarised as positive 171 feedback between reproductive values and the relative impacts of selection at differ-172 ent levels. 173

To link the above analysis to the simulation model, we need to allow for the restriction on the range of \bar{k}^c (i.e., $\bar{k}^c \in [0,1]$). This restriction can be taken into

account through a phase-plane analysis of equations (1), which we have performed 176 numerically (see Methods). The results shown in Fig. 3 indicate that symmetry 177 breaking occurs only when $\sigma_{\rm mol}^2/\sigma_{\rm cel}^2$ is sufficiently large (i.e., when genetic relatedness R is sufficiently small, where $R = \sigma_{\rm cel}^2/(\sigma_{\rm mol}^2 + \sigma_{\rm cel}^2)^{17-19}$; see Methods). This 178 179 result is consistent with the simulation model (Fig. 2a) because by the law of large 180 numbers, cellular-level variance (σ_{cel}^2) decreases relative to molecular-level variance 181 $(\sigma_{\rm mol}^2)$ as V increases^{8,20} (see Supplementary Discussion 5 and Extended Data Fig. 8 182 for an additional confirmation in terms of V and m instead of $\sigma_{\rm mol}^2/\sigma_{\rm cel}^2$). This result 183 indicates that equations (1) correctly describe the mechanism of symmetry breaking 184 in the simulation model. 185

In summary, our results show that a positive feedback between conflicting multi-186 level selection and reproductive values causes symmetry breaking of replicators that 187 establishes a division of labour between the transmission of genetic information and 188 the provision of chemical catalysis. Such division of labour between information 189 transmission and the other functions is a recurrent pattern throughout biological 190 hierarchy; e.g., multicellular organisms display differentiation between germline and 191 soma; eusocial animal colonies, queens and workers (Extended Data Table $1)^{4-7}$. 192 Given that all these systems potentially involve conflicting multi-level selection and 193 tend to display the respective division of labour as their sizes increase, our theory 194 provides a basis on which to pursue a universal principle of life. 195

¹⁹⁶ Figures

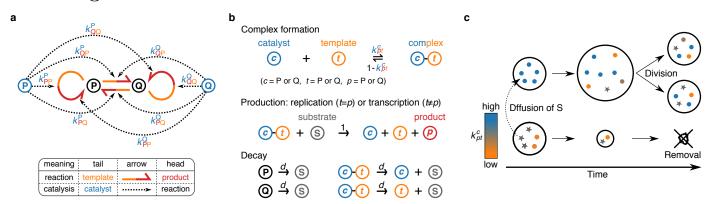


Figure 1: The model. a, Two types of replicators, P and Q, can serve as tem-197 plates and catalysts for producing either type. Circular harpoons indicate replic-198 ation; straight harpoons, transcription (heads indicate products; tails, templates). 199 Dotted arrows indicate catalysis (heads indicate reaction catalysed; tails, replicators 200 providing catalysis). b, Replicators undergo complex formation, replication, tran-201 scription, and decay. Rate constants of complex formation are given by the k_{pt}^{c} values 202 of a replicator serving as a catalyst (denoted by c). **c**, Protocells exchange substrate 203 S (represented by stars) through rapid diffusion. They divide when the number of 204 internal particles exceeds V. They are removed when they lose all particles. See 205 Methods for the details of the model. 206

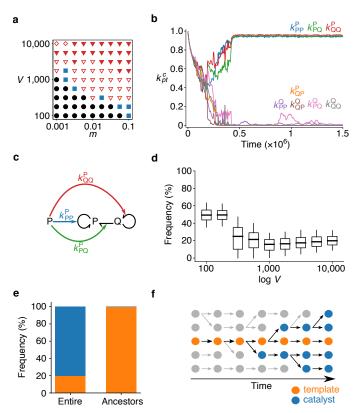


Figure 2: The evolution of the central dogma. a, Phase diagram: circles indic-207 ate no symmetry breaking (Extended Data Fig. 1ab); squares, uncategorised (Exten-208 ded Data Fig. 1cd); open triangles, incomplete symmetry breaking (Extended Data 209 Fig. 1e-h); filled triangles, three-fold symmetry breaking as depicted in b and c; dia-210 monds, catalytic and informatic symmetry breaking without numerical symmetry 211 breaking (Extended Data Fig. 2a). The initial condition was $k_{pt}^c = 1$ for all replic-212 ators. **b**, Dynamics of k_{pt}^c averaged over all replicators. V = 10000 and m = 0.01. 213 c, Replicator evolving in b. d, Per-cell frequency of minority replicator types (P 214 or Q) at equilibrium as a function of V: boxes, quartiles; whiskers, 5th and 95th 215 percentiles. Only protocells containing at least V/2 molecules were considered. e, 216 Frequencies of templates (orange) and catalysts (blue) in the entire population or in 217 the common ancestors. V = 3162 and m = 0.01. f, Illustration of e. Circles represent 218 replicators; arrows, genealogy. Extinct lineages are grey. Common ancestors are 219 always templates, whereas the majority are catalysts. 220

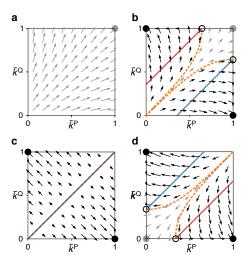


Figure 3: Phase-plane portrait. For this figure, equations (1) were adapted as 221 described in Methods, and Δ was replaced with time derivative $\left(\frac{d}{d\tau}\right)$. Solid lines indic-222 ate nullclines: $\frac{d}{d\tau}\bar{k}^{\rm P} = 0$ (red) and $\frac{\bar{d}}{d\tau}\bar{k}^{\rm Q} = 0$ (blue). Filled circles indicate symmetric 223 (grey) and asymmetric (black) stable equilibria; open circles, unstable equilibria; 224 arrows, short-duration flows ($\Delta \tau = 0.15$) leading to symmetric (grey) or asymmet-225 ric (black) equilibria. Dashed lines demarcate basins of attraction. To ensure that 226 $0 \leq \bar{k}^c \leq 1, \frac{d}{d\tau} \bar{k}^c$ is set to 0 if $\bar{k}^c = 0$ or $\bar{k}^c = 1$. The nullclines at $\bar{k}^c = 0$ and $\bar{k}^c = 1$ are not 227 depicted for visibility. Parameters: $\sigma_{cel}^2 = 1$, s = 1, $\rho_{cel} = 0$, $\rho_{mol} = 0$ (see Methods). **a**, $\sigma_{mol}^2 / \sigma_{cel}^2 = 1.3$. Cellular-level variance is so large relative to molecular-level variance 228 229 that \bar{k}^c is always maximised. **b**, $\sigma_{\text{mol}}^2/\sigma_{\text{cel}}^2 = 1.7$. Asymmetric equilibria emerge, but cellular-level variance is large enough to make $\bar{k}^{\text{P}} = \bar{k}^{\text{Q}} = 1$ stable. **c**, $\sigma_{\text{mol}}^2/\sigma_{\text{cel}}^2 = 2.0$. 230 231 The tipping point. **d**, $\sigma_{\text{mol}}^2/\sigma_{\text{cel}}^2 = 2.4$. Cellular-level variance is small enough to make $\bar{k}^{\text{P}} = \bar{k}^{\text{Q}} = 1$ unstable. The asymmetric equilibria can be reached if $\bar{k}^{\text{P}} \approx \bar{k}^{\text{Q}} \approx 1$. 232 233

234 Methods

²³⁵ The model.

The model treats each molecule as a distinct individual with uniquely-assigned k_{pt}^c variables. One time step of the model consists of three sub-steps: reaction, diffusion, and cell division.

In the reaction step, the reactions depicted in Fig. 1b are simulated with the 239 algorithm described previously⁸. The rate constants of complex formation are given 240 by the k_{pt}^c values of a replicator serving as a catalyst. For example, if two replicators, 241 denoted by X and Y, serve as a catalyst and template, respectively, the rate constant 242 of complex formation is the k_{py}^x value of X, where x, y, and p are the replicator types 243 of X, Y, and product, respectively. If X and Y switch the roles (i.e., X serves as a 244 template, and Y serves as a catalyst), the rate constant of complex formation is the 245 k_{px}^{y} value of Y. Therefore, X and Y can form four distinct complexes depending on 246 which replicator serves as a catalyst (X or Y) and which type of replicator is being 247 produced (p = P or p = Q). 248

The above rule about complex formation implies that whether a template is replicated (p = t) or transcribed $(p \neq t)$ depends entirely on the k_{pt}^c values of a catalyst. In other words, a template cannot control how its information is used by a catalyst. Thus, the rule excludes the possibility that a template maximises its fitness by biasing catalysts towards replication rather than transcription. Excluding this possibility is legitimate if the backbone of a template does not determine the backbone of a product as in nucleic acid polymerisation.

In addition, the above rule about complex formation implies that replicators 256 multiply fastest if their k_{pt}^c values are maximised for all combinations of c, p, and t257 (this is because X and Y form a complex at a rate proportional to $\sum_{p} k_{py}^{x} + k_{px}^{y}$ if all 258 possible complexes are considered). Therefore, all k_{pt}^c values of replicators tend to be 259 maximised by cellular-level selection. If all k_{pt}^c values are maximised, P and Q coexist. 260 Thus, coexistence between P and Q is favoured by cellular-level selection, a situation 261 that might not always be the case in reality. We ascertained that this specific aspect 262 of the model does not critically affect results by examining an alternative model in 263 which coexistence between P and Q is neutral with respect to cellular-level selection 264 (see Supplementary Discussion 2). 265

In the diffusion step, all substrate molecules are randomly re-distributed among protocells with probabilities proportional to the number of replicators in protocells. In other words, the model assumes that substrate diffuses extremely rapidly.

In the cell-division step, every protocell containing more than V particles (i.e. P,

270 Q, and S together) is divided as described in the main text.

The mutation of k_{pt}^c is modelled as unbiased random walks. With a probability mper replication or transcription, each k_{pt}^c value of a replicator is mutated by adding a number randomly drawn from a uniform distribution on the interval $(-\delta_{\text{mut}}, \delta_{\text{mut}})$ $(\delta_{\text{mut}} = 0.05$ unless otherwise stated). The values of k_{pt}^c are bounded above by k_{max} with a reflecting boundary ($k_{\text{max}} = 1$ unless otherwise stated), but are not bounded below to remove the boundary effect at $k_{pt}^c = 0$. However, if $k_{pt}^c < 0$, the respective rate constant of complex formation is regarded as zero.

We ascertained that the above specific model of mutation does not critically affect results by testing two alternative models of mutation. One model is nearly the same as the above, except that the boundary condition at $k_{pt}^c = 0$ was set to reflecting. The other model implements mutation as unbiased random walks on a logarithmic scale. The details are described in Supplementary Discussion 3.

Each simulation was run for at least 5×10^7 time steps (denoted by t_{\min}) unless 283 otherwise stated, where the unit of time is defined as that in which one replicator 284 decays with probability d (thus, the average lifetime of replicators is 1/d time steps). 285 The value of d was set to 0.02. The total number of particles in the model $N_{\rm tot}$ 286 was set to 50V so that the number of protocells was approximately 100 irrespective 287 of the value of V. At the beginning of each simulation, 50 protocells of equal size 288 were generated. The initial values of k_{pt}^c were set to k_{\max} for every replicator unless 289 otherwise stated. The initial frequencies of P and Q were equal, and that of S was 290 zero. 291

²⁹² Ancestor tracking.

²⁹³ Common ancestors of replicators were obtained in two steps. First, ancestor tracking ²⁹⁴ was done at the cellular level to obtain the common ancestors of all surviving pro-²⁹⁵ tocells. Second, ancestor tracking was done at the molecular level for the replicators ²⁹⁶ contained by the common ancestors of protocells obtained in the first step. The res-²⁹⁷ ults shown in Fig. 2e were obtained from the data between 2.1×10^7 and 2.17×10^7 time ²⁹⁸ steps, so that the ancestor distribution was from after the completion of symmetry ²⁹⁹ breaking.

$_{300}$ The derivation of equations (1).

To derive equations (1), we simplified the simulation model in two ways. First, we assumed that k_{pt}^c is independent of p and t. Under this assumption, a catalyst does not distinguish the replicator types of templates (i.e., $k_{pt}^c = k_{pt'}^c$ for $t \neq t'$) and products

(i.e., $k_{pt}^c = k_{p't}^c$ for $p \neq p'$). As described in the main text, this assumption excludes the possibility of numerical symmetry breaking, but still allows catalytic and informatic symmetry breaking.

Second, we abstracted away chemical reactions by defining ω_{ij}^t as the probability that replicator j of type t in protocell i is replicated or transcribed per unit time. Let $n_{ij}^t(\tau)$ be the population size of this replicator at time τ . Then, the dynamics of $n_{ij}^t(\tau)$ can be mathematically described as

$$\begin{bmatrix} n_{ij}^{\mathrm{P}}(\tau+1)\\ n_{ij}^{\mathrm{Q}}(\tau+1) \end{bmatrix} = \begin{bmatrix} \omega_{ij}^{\mathrm{P}} & \omega_{ij}^{\mathrm{Q}}\\ \omega_{ij}^{\mathrm{P}} & \omega_{ij}^{\mathrm{Q}} \end{bmatrix} \begin{bmatrix} n_{ij}^{\mathrm{P}}(\tau)\\ n_{ij}^{\mathrm{Q}}(\tau) \end{bmatrix}.$$
(2)

The fitness of the replicator can be defined as the dominant eigenvalue λ_{ij} of the 2×2 matrix on the right-hand side of equation (2). The equilibrium frequencies of P and Q are given by the right eigenvector v_{ij} associated with λ_{ij} . Fisher's reproductive values of P and Q are given by the corresponding left eigenvector u_{ij} . These eigenvalue and eigenvectors are calculated as follows:

$$\lambda_{ij} = \omega_{ij}^{\mathrm{P}} + \omega_{ij}^{\mathrm{Q}}, \qquad \boldsymbol{v}_{ij} = \begin{bmatrix} 1\\1 \end{bmatrix}, \qquad \boldsymbol{u}_{ij} = \begin{bmatrix} \omega_{ij}^{\mathrm{P}} & \omega_{ij}^{\mathrm{Q}} \end{bmatrix}.$$
(3)

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Based on the above simplification, we now derive equations (1). For concreteness, we focus on the evolution of the average catalytic activity of P (denoted by \bar{k}^{P} in the main text). However, the same method of derivation is applicable to that of Q if P and Q are swapped.

Let κ_{ij}^{P} be the catalytic activity of replicator j of type P in protocell i (we use κ instead of k to distinguish κ_{ij}^{P} from k_{pt}^{P}). Price's equation^{14,15} states that

$$\langle \lambda_{\tilde{i}\tilde{j}} \rangle \Delta \langle \kappa_{\tilde{i}\tilde{j}}^{\mathrm{P}} \rangle = \sigma_{\tilde{i}}^{2} [\langle \lambda_{i\tilde{j}} \rangle, \langle \kappa_{i\tilde{j}}^{\mathrm{P}} \rangle] + \mathbb{E}_{\tilde{i}} [\sigma_{i\tilde{j}}^{2} [\lambda_{ij}, \kappa_{ij}^{\mathrm{P}}]]$$
(4)

where $\langle x_{i\bar{j}} \rangle$, $\langle x_{i\bar{j}} \rangle$, and $\mathbb{E}_{\tilde{i}}[x]$ are x averaged over the indices marked with tildes, $\sigma_{\tilde{i}}^2[x,y]$ is the covariance between x and y over protocells, and $\sigma_{i\bar{j}}^2[x,y]$ is the covariance between x and y over the replicators in protocell i (one replicator is always counted as one sample in calculating all moments). Below, we show that equations (1) approximate equation (4) up to the second moments of κ^{P} , viz., $\sigma_{\tilde{i}}^2[\langle \kappa_{i\bar{j}}^{\mathrm{P}} \rangle, \langle \kappa_{i\bar{j}}^{\mathrm{P}} \rangle]$ and $\mathbb{E}_{\tilde{i}}[\sigma_{i\bar{j}}^2[\kappa_{ij}^{\mathrm{P}}, \kappa_{ij}^{\mathrm{P}}]].$

To approximate the first term on the right-hand side of equation (4), we assume that $\langle \lambda_{i\tilde{j}} \rangle$ is a function of $\langle \kappa_{i\tilde{j}}^{\mathrm{P}} \rangle$ and $\langle \kappa_{i\tilde{j}}^{\mathrm{Q}} \rangle$ that can be expanded as a Taylor series

around $\langle \kappa^{\mathrm{P}}_{\tilde{i}\tilde{j}} \rangle$ and $\langle \kappa^{\mathrm{Q}}_{\tilde{i}\tilde{j}} \rangle$. Substituting this series into $\sigma^2_{\tilde{i}}[\langle \lambda_{i\tilde{j}} \rangle, \langle \kappa^{\mathrm{P}}_{i\tilde{j}} \rangle]$, we obtain

$$\sigma_{\tilde{i}}^{2}[\langle\lambda_{i\tilde{j}}\rangle,\langle\kappa_{i\tilde{j}}^{\mathrm{P}}\rangle] = \sum_{c\in\{\mathrm{P},\mathrm{Q}\}} \frac{\partial\langle\lambda_{i\tilde{j}}\rangle}{\partial\langle\kappa_{i\tilde{j}}^{c}\rangle} \sigma_{\tilde{i}}^{2}[\langle\kappa_{i\tilde{j}}^{\mathrm{P}}\rangle,\langle\kappa_{i\tilde{j}}^{c}\rangle] + O(\sigma_{\tilde{i}}^{3}), \tag{5}$$

where $O(\sigma_{\tilde{i}}^3)$ consists of terms involving the third or higher (mixed) central moments of $\langle \kappa_{i\tilde{i}}^{\rm P} \rangle$ and $\langle \kappa_{i\tilde{i}}^{\rm Q} \rangle$ over protocells¹⁶.

To approximate the second term on the right-hand side of equation (4), we likewise assume that λ_{ij} is a function of $\kappa_{ij}^{\rm P}$ and $\kappa_{ij}^{\rm Q}$ that can be expanded as a Taylor series around $\langle \kappa_{ij}^{\rm P} \rangle$ and $\langle \kappa_{ij}^{\rm Q} \rangle$. Substituting this series into $\sigma_{ij}^2[\lambda_{ij}, \kappa_{ij}^{\rm P}]$, we obtain

$$\sigma_{i\tilde{j}}^{2}[\lambda_{ij},\kappa_{ij}^{\mathrm{P}}] = \sum_{c \in \{\mathrm{P},\mathrm{Q}\}} \frac{\partial \lambda_{ij}}{\partial \kappa_{ij}^{c}} \sigma_{i\tilde{j}}^{2}[\kappa_{ij}^{\mathrm{P}},\kappa_{ij}^{c}] + O(\sigma_{i\tilde{j}}^{3}),$$

where $O(\sigma_{ij}^3)$ consists of terms involving the third or higher (mixed) central moments of $\kappa_{ij}^{\rm P}$ and $\kappa_{ij}^{\rm Q}$ over the replicators in protocell i^{16} . Applying $\mathbb{E}_{\tilde{i}}$ to both sides of the above equation and assuming that $\partial \lambda_{ij} / \partial \kappa_{ij}^c$ is independent of $\sigma_{ij}^2[\kappa_{ij}^{\rm P}, \kappa_{ij}^c]$, we obtain

$$\mathbb{E}_{\tilde{i}}\left[\sigma_{i\tilde{j}}^{2}\left[\lambda_{ij},\kappa_{ij}^{\mathrm{P}}\right]\right] = \sum_{c \in \{\mathrm{P},\mathrm{Q}\}} \mathbb{E}_{\tilde{i}}\left[\frac{\partial \lambda_{ij}}{\partial \kappa_{ij}^{c}}\right] \mathbb{E}_{\tilde{i}}\left[\sigma_{i\tilde{j}}^{2}\left[\kappa_{ij}^{\mathrm{P}},\kappa_{ij}^{c}\right]\right] + \mathbb{E}_{\tilde{i}}\left[O(\sigma_{i\tilde{j}}^{3})\right].$$
(6)

Substituting equations (5) and (6) into equation (4), we obtain

$$\Delta \langle \kappa_{\tilde{i}\tilde{j}}^{\mathrm{P}} \rangle = \frac{1}{\langle \lambda_{\tilde{i}\tilde{j}} \rangle} \sum_{c \in \{\mathrm{P},\mathrm{Q}\}} \left(\frac{\partial \langle \lambda_{i\tilde{j}} \rangle}{\partial \langle \kappa_{i\tilde{j}}^{c} \rangle} \sigma_{\tilde{i}}^{2} [\langle \kappa_{i\tilde{j}}^{\mathrm{P}} \rangle, \langle \kappa_{i\tilde{j}}^{c} \rangle] + \mathbb{E}_{\tilde{i}} \left[\frac{\partial \lambda_{ij}}{\partial \kappa_{ij}^{c}} \right] \mathbb{E}_{\tilde{i}} \left[\sigma_{i\tilde{j}}^{2} [\kappa_{ij}^{\mathrm{P}}, \kappa_{ij}^{c}] \right] \right) + O', \quad (7)$$

where $O' = O(\sigma_{\tilde{i}}^3) + E_{\tilde{i}}[O(\sigma_{\tilde{i}\tilde{j}}^3)].$

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Next, we assume that covariances between $\kappa^{\rm P}$ and $\kappa^{\rm Q}$, namely, $\sigma_{\tilde{i}}^2[\langle \kappa_{i\tilde{j}}^{\rm P} \rangle, \langle \kappa_{i\tilde{j}}^{\rm Q} \rangle]$ and $\mathbb{E}_{\tilde{i}}[\sigma_{i\tilde{j}}^2[\kappa_{ij}^{\rm P}, \kappa_{ij}^{\rm Q}]]$, are negligible because the mutation of $\kappa_{ij}^{\rm P}$ and that of $\kappa_{ij}^{\rm Q}$ are uncorrelated in the simulation model (this assumption is alternatively justified in the next section). Under this assumption, equation (7) is transformed into

$$\Delta \langle \kappa_{\tilde{i}\tilde{j}}^{\mathrm{P}} \rangle = \frac{1}{\langle \lambda_{\tilde{i}\tilde{j}} \rangle} \left(\frac{\partial \langle \lambda_{i\tilde{j}} \rangle}{\partial \langle \kappa_{i\tilde{j}}^{\mathrm{P}} \rangle} \sigma_{\tilde{i}}^{2} [\langle \kappa_{i\tilde{j}}^{\mathrm{P}} \rangle, \langle \kappa_{i\tilde{j}}^{\mathrm{P}} \rangle] + \mathbb{E}_{\tilde{i}} \left[\frac{\partial \lambda_{ij}}{\partial \kappa_{ij}^{\mathrm{P}}} \right] \mathbb{E}_{\tilde{i}} \left[\sigma_{i\tilde{j}}^{2} [\kappa_{ij}^{\mathrm{P}}, \kappa_{ij}^{\mathrm{P}}] \right] \right) + O'.$$
(8)

Using equation (3) (i.e.,
$$\lambda_{ij} = \omega_{ij}^{\rm P} + \omega_{ij}^{\rm Q}$$
), we can transform equation (8) into

$$\Delta \langle \kappa_{\tilde{i}\tilde{j}}^{\mathrm{P}} \rangle = \frac{1}{\langle \lambda_{\tilde{i}\tilde{j}} \rangle} \sum_{t \in \{\mathrm{P},\mathrm{Q}\}} \left(\frac{\partial \langle \omega_{i\tilde{j}}^{t} \rangle}{\partial \langle \kappa_{i\tilde{j}}^{\mathrm{P}} \rangle} \sigma_{\tilde{i}}^{2} [\langle \kappa_{i\tilde{j}}^{\mathrm{P}} \rangle, \langle \kappa_{i\tilde{j}}^{\mathrm{P}} \rangle] + \mathbb{E}_{\tilde{i}} \left[\frac{\partial \omega_{ij}^{t}}{\partial \kappa_{ij}^{\mathrm{P}}} \right] \mathbb{E}_{\tilde{i}} \left[\sigma_{i\tilde{j}}^{2} [\kappa_{ij}^{\mathrm{P}}, \kappa_{ij}^{\mathrm{P}}] \right] \right) + O'.$$
(9)

³⁵⁶ Moreover, it can be shown that

$$\begin{split} \mathbb{E}_{\tilde{i}} \begin{bmatrix} \frac{\partial \omega_{ij}^{t}}{\partial \kappa_{ij}^{c}} \Big|_{\substack{\kappa_{ij}^{\mathrm{P}} \in \langle \kappa_{ij}^{\mathrm{P}} \\ \kappa_{ij}^{\mathrm{Q}} \in \langle \kappa_{ij}^{\mathrm{Q}} \rangle}} \end{bmatrix} &= \mathbb{E}_{\tilde{i}} \begin{bmatrix} \omega_{ij}^{t} (\langle \kappa_{ij}^{\mathrm{P}} \rangle, \langle \kappa_{ij}^{\mathrm{Q}} \rangle) \frac{\partial \ln \omega_{ij}^{t}}{\partial \kappa_{ij}^{c}} \Big|_{\substack{\kappa_{ij}^{\mathrm{Q}} \in \langle \kappa_{ij}^{\mathrm{Q}} \rangle \\ \kappa_{ij}^{\mathrm{Q}} \in \langle \kappa_{ij}^{\mathrm{Q}} \rangle}} \end{bmatrix} \\ &= \mathbb{E}_{\tilde{i}} \begin{bmatrix} \omega_{ij}^{t} (\langle \kappa_{ij}^{\mathrm{P}} \rangle, \langle \kappa_{ij}^{\mathrm{Q}} \rangle) \end{bmatrix} \mathbb{E}_{\tilde{i}} \begin{bmatrix} \frac{\partial \ln \omega_{ij}^{t}}{\partial \kappa_{ij}^{c}} \Big|_{\substack{\kappa_{ij}^{\mathrm{P}} \in \langle \kappa_{ij}^{\mathrm{P}} \rangle \\ \kappa_{ij}^{\mathrm{Q}} \in \langle \kappa_{ij}^{\mathrm{Q}} \rangle}} \end{bmatrix} + O(\sigma_{i}^{2}) \\ &= \langle \omega_{\tilde{i}j}^{t} \rangle \mathbb{E}_{\tilde{i}} \begin{bmatrix} \frac{\partial \ln \omega_{ij}^{t}}{\partial \kappa_{ij}^{c}} \Big|_{\substack{\kappa_{ij}^{\mathrm{P}} \in \langle \kappa_{ij}^{\mathrm{Q}} \rangle \\ \kappa_{ij}^{\mathrm{Q}} \in \langle \kappa_{ij}^{\mathrm{Q}} \rangle}} \end{bmatrix} + \mathbb{E}_{\tilde{i}} \begin{bmatrix} O(\sigma_{ij}^{2}) \end{bmatrix} + O(\sigma_{i}^{2}). \end{split}$$

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³⁵⁸ Using the above equation, we can transform equation (9) into

$$\Delta \langle \kappa_{\tilde{i}\tilde{j}}^{\mathrm{P}} \rangle = \sum_{t \in \{\mathrm{P},\mathrm{Q}\}} \frac{\langle \omega_{\tilde{i}\tilde{j}}^{t} \rangle}{\langle \lambda_{\tilde{i}\tilde{j}} \rangle} \left(\frac{\partial \ln \langle \omega_{\tilde{i}\tilde{j}}^{t} \rangle}{\partial \langle \kappa_{\tilde{i}\tilde{j}}^{\mathrm{P}} \rangle} \sigma_{\tilde{i}}^{2} [\langle \kappa_{\tilde{i}\tilde{j}}^{\mathrm{P}} \rangle, \langle \kappa_{\tilde{i}\tilde{j}}^{\mathrm{P}} \rangle] + \mathbb{E}_{\tilde{i}} \left[\frac{\partial \ln \omega_{ij}^{t}}{\partial \kappa_{ij}^{\mathrm{P}}} \right] \mathbb{E}_{\tilde{i}} \left[\sigma_{\tilde{i}\tilde{j}}^{2} [\kappa_{ij}^{\mathrm{P}}, \kappa_{ij}^{\mathrm{P}}] \right] \right) + O'',$$

$$(10)$$

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where $O'' = O' + O(\sigma_{\tilde{i}}^2) \mathbb{E}_{\tilde{i}}[O(\sigma_{i\tilde{j}}^2)] + \mathbb{E}_{\tilde{i}}[O(\sigma_{i\tilde{j}}^2)]\mathbb{E}_{\tilde{i}}[O(\sigma_{i\tilde{j}}^2)].$ We adopt the following notation:

$$\bar{\omega}^{t} = \frac{\langle \omega_{\tilde{i}\tilde{j}}^{t} \rangle}{\langle \lambda_{\tilde{i}\tilde{j}} \rangle}, \qquad \sigma_{cel}^{2} = \sigma_{\tilde{i}}^{2} [\langle \kappa_{i\tilde{j}}^{P} \rangle, \langle \kappa_{i\tilde{j}}^{P} \rangle], \qquad \sigma_{mol}^{2} = \mathbb{E}_{\tilde{i}} [\sigma_{i\tilde{j}}^{2} [\kappa_{ij}^{P}, \kappa_{ij}^{P}]]$$

$$\bar{k}_{4}^{\mathrm{P}} = \langle \kappa_{\tilde{i}\tilde{j}}^{\mathrm{P}} \rangle, \qquad \gamma_{\mathrm{P}}^{\mathrm{P}} = -\mathbb{E}_{\tilde{i}} \left[\frac{\partial \ln \omega_{ij}^{\mathrm{P}}}{\partial \kappa_{ij}^{\mathrm{P}}} \right], \qquad \beta_{\mathrm{P}}^{t} = \frac{\partial \ln \langle \omega_{i\tilde{j}}^{t} \rangle}{\partial \langle \kappa_{i\tilde{j}}^{\mathrm{P}} \rangle},$$

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where $\bar{\omega}^t$ is the normalised average reproductive value of type-*t* replicators, σ_{cel}^2 , σ_{mol}^2 , and \bar{k}^P are the simplification of the notation, γ_P^P is an average decrease in the replication rate of a type-P replicator due to an increase in its own catalytic activity, and β_P^t is an increase in the average replication rate of type-*t* replicators in a protocell due to an increase in the average catalytic activity of type-P replicators in that protocell.

We assume that V is so large that $\langle \kappa_{ij}^{\rm P} \rangle$ and $\kappa_{ij}^{\rm P}$ can be regarded as mathematically independent of each other, provided *i* and *j* are fixed (if *i* and *j* are varied, $\langle \kappa_{ij}^{\rm P} \rangle$ and $\kappa_{ij}^{\rm P}$ may be statistically dependent). Under this assumption, increasing $\kappa_{ij}^{\rm P}$ does not increase $\langle \kappa_{ij}^{\rm P} \rangle$, so that $\gamma_{\rm P}^{\rm P}$ reflects only the cost of providing catalysis at the molecular level. Likewise, increasing $\langle \kappa_{ij}^{\rm P} \rangle$ does not increase $\kappa_{ij}^{\rm P}$, so that $\beta_{\rm P}^{\rm t}$ reflects only the benefit of receiving catalysis at the cellular level. Moreover, the independence of

 $\langle \kappa_{i\tilde{i}}^{\rm P} \rangle$ from $\kappa_{ij}^{\rm P}$ implies that $\partial \omega_{ij}^{\rm Q} / \partial \kappa_{ij}^{\rm P} = 0$, which permits the following interpretation: 377 if a replicator of type P provides more catalysis, its transcripts, which is of type Q, 378 pay no extra cost (i.e., $\gamma_{\rm P}^{\rm Q} = 0$). 379

Using the above notation and the fact that $\partial \omega_{ij}^{\rm Q} / \partial \kappa_{ij}^{\rm P} = 0$, we can transform 380 equation (10) into 381 382

$$\Delta \bar{k}^{\mathrm{P}} \approx \bar{\omega}^{\mathrm{P}} (b_{\mathrm{P}}^{\mathrm{P}} \sigma_{\mathrm{cel}}^{2} - \gamma_{\mathrm{P}}^{\mathrm{P}} \sigma_{\mathrm{mol}}^{2}) + \bar{\omega}^{\mathrm{Q}} b_{\mathrm{P}}^{\mathrm{Q}} \sigma_{\mathrm{cel}}^{2},$$

where O'' is omitted. 383

To derive the equation for $\Delta \bar{k}^{Q}$ (i.e., $\Delta \langle \kappa^{Q}_{\tilde{i}\tilde{j}} \rangle$), we swap P and Q in the above deriv-384 ation. Moreover, we assume that $\sigma_{\tilde{i}}^2[\langle \kappa_{i\tilde{j}}^{\mathrm{Q}} \rangle, \langle \kappa_{i\tilde{j}}^{\tilde{\mathrm{Q}}} \rangle] = \sigma_{\tilde{i}}^2[\langle \kappa_{i\tilde{j}}^{\mathrm{P}} \rangle, \langle \kappa_{i\tilde{j}}^{\mathrm{P}} \rangle]$ and $\mathbb{E}_{\tilde{i}}[\sigma_{i\tilde{j}}^2[\kappa_{ij}^{\mathrm{Q}}, \kappa_{ij}^{\mathrm{Q}}]] =$ 385 $\mathbb{E}_{\tilde{i}}[\sigma_{\tilde{i}\tilde{j}}^2[\kappa_{ij}^{\mathrm{P}},\kappa_{ij}^{\mathrm{P}}]]$ because no difference is a priori assumed between P and Q. 386

The phase-plane analysis. 387

To perform the phase-plane analysis depicted in Fig. 3, we adapted equations (1) by 388 defining ω_{ij}^t as a specific function of κ_{ij}^t (see the previous section for the meaning of 389 ω_{ij}^t and κ_{ij}^t). The following definition was employed: 390

$$\omega_{ij}^{t} = e^{\langle \kappa_{i\tilde{j}}^{\mathrm{P}} \rangle + \langle \kappa_{i\tilde{j}}^{\mathrm{Q}} \rangle} \frac{e^{-s\kappa_{ij}^{t}}}{\langle e^{-s\kappa_{i\tilde{j}}^{\mathrm{P}}} \rangle + \langle e^{-s\kappa_{i\tilde{j}}^{\mathrm{Q}}} \rangle}.$$
(11)

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where the factor $e^{\langle \kappa_{ij}^{\rm P} \rangle + \langle \kappa_{ij}^{\rm Q} \rangle}$ represents the cellular-level benefit of catalysis provided by 392 the replicators in protocell *i*, the numerator $e^{-s\kappa_{ij}^t}$ represents the molecular-level cost 393 of catalysis provided by the focal replicator, the denominator $1/(\langle e^{-s\kappa_{ij}^{\rm P}} \rangle + \langle e^{-s\kappa_{ij}^{\rm Q}} \rangle)$ 394 normalises the cost, and s is the cost-benefit ratio. The above definition of ω_{ii}^t 395 was chosen to satisfy the requirement that a replicator faces the trade-off between 396 providing catalysis and serving as a template, so that γ_t^t and β_c^t are positive (e.g., 397 if the cost γ_t^t were negative, it would actually be a benefit, so that there would be 398 no trade-off). This requirement is satisfied if $\partial \omega_{ij}^t / \partial \kappa_{ij}^t < 0$ and $\partial \langle \omega_{ij}^t \rangle / \partial \langle \kappa_{ij}^c \rangle > 0$ for 399 c = t and $c \neq t$. Apart from this requirement, the definition was arbitrarily chosen for 400 simplicity. 401

Under the definition of ω_{ii}^t in equation (11), we obtain equations describing the 402 evolution of $\langle \kappa_{\tilde{i}\tilde{i}}^c \rangle$ (denoted as \bar{k}^c in the main text) as follows. Since the evolution 403 of $\langle \kappa_{zz}^c \rangle$ is described by equation (7), we substitute equation (11) into equation (7). 404 For this substitution, we need to calculate the derivatives of fitness. According to 405

406 equation (3), the fitness of a replicator is $\lambda_{ij} = \omega_{ij}^{P} + \omega_{ij}^{Q}$. Therefore,

$$\mathbb{E}_{\tilde{i}}\left[\frac{\partial\lambda_{ij}}{\partial\kappa_{ij}^{c}}\Big|_{\substack{\kappa_{ij}^{\mathrm{P}}=\langle\kappa_{ij}^{\mathrm{P}}\rangle\\\kappa_{ij}^{\mathrm{Q}}=\langle\kappa_{ij}^{\mathrm{Q}}\rangle}}\right] = \mathbb{E}_{\tilde{i}}\left[-ce^{\langle\kappa_{ij}^{\mathrm{P}}\rangle+\langle\kappa_{ij}^{\mathrm{Q}}\rangle}\frac{e^{-s\langle\kappa_{ij}^{\mathrm{P}}\rangle}}{\langle e^{-s\kappa_{ij}^{\mathrm{P}}}\rangle+\langle e^{-s\kappa_{ij}^{\mathrm{Q}}}\rangle}\right]$$
$$= -ce^{\langle\kappa_{ij}^{\mathrm{P}}\rangle+\langle\kappa_{ij}^{\mathrm{Q}}\rangle}\frac{e^{-s\langle\kappa_{ij}^{\mathrm{Q}}\rangle}}{e^{-s\langle\kappa_{ij}^{\mathrm{Q}}\rangle}} + \mathbb{E}_{\tilde{i}}\left[O(\sigma_{ij}^{2})\right] + O(\sigma_{\tilde{i}}^{2}).$$

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⁴⁰⁸ Moreover, the average fitness of replicators in a protocell is $\langle \lambda_{i\tilde{j}} \rangle = e^{\langle \kappa_{i\tilde{j}}^{\mathrm{P}} \rangle + \langle \kappa_{i\tilde{j}}^{\mathrm{Q}} \rangle}$, so

$$\frac{\partial \langle \lambda_{i\tilde{j}} \rangle}{\partial \langle \kappa_{i\tilde{j}}^c \rangle} \bigg|_{\substack{\langle \kappa_{i\tilde{j}}^{\rm P} \rangle = \langle \kappa_{i\tilde{j}}^{\rm P} \rangle \\ \langle \kappa_{i\tilde{j}}^{\rm Q} \rangle = \langle \kappa_{i\tilde{j}}^{\rm Q} \rangle = \langle \kappa_{i\tilde{j}}^{\rm Q} \rangle + \langle \kappa_{i\tilde{j}}^{\rm Q} \rangle}$$

410 We substitute these derivatives into equation (7) and use the fact that

$$\langle \lambda_{\tilde{i}\tilde{j}} \rangle = e^{\langle \kappa_{\tilde{i}\tilde{j}}^{\mathrm{P}} \rangle + \langle \kappa_{\tilde{i}\tilde{j}}^{\mathrm{Q}} \rangle} + O(\sigma_{\tilde{i}}^{2})$$

412 to obtain

$$\Delta \langle \kappa_{\tilde{i}\tilde{j}}^c \rangle = (1 + \rho_{\rm cel}) \sigma_{\rm cel}^2 - s \frac{e^{-s \langle \kappa_{\tilde{i}\tilde{j}}^c \rangle} + \rho_{\rm mol} e^{-s \langle \kappa_{\tilde{i}\tilde{j}}^c \rangle}}{e^{-s \langle \kappa_{\tilde{i}\tilde{j}}^c \rangle} + e^{-s \langle \kappa_{\tilde{i}\tilde{j}}^c \rangle}} \sigma_{\rm mol}^2 + O'', \tag{12}$$

where $c' \neq c$, ρ_{cel} is the correlation coefficient between $\langle \kappa_{ij}^{P} \rangle$ and $\langle \kappa_{ij}^{Q} \rangle$ (i.e., $\rho_{cel} = \sigma_{i}^{2} [\langle \kappa_{ij}^{P} \rangle, \langle \kappa_{ij}^{Q} \rangle] / \sigma_{cel}^{2})$, and ρ_{mol} is the average correlation coefficient between κ_{ij}^{P} and κ_{ij}^{Q} (i.e., $\rho_{mol} = \mathbb{E}_{i} [\sigma_{ij}^{2} [\kappa_{ij}^{P}, \kappa_{ij}^{Q}]] / \sigma_{mol}^{2})$. To derive equation (12), we have assumed that the variances of $\langle \kappa_{ij}^{c} \rangle$ and κ_{ij}^{c} are independent of c; i.e., $\sigma_{cel}^{2} = \sigma_{i}^{2} [\langle \kappa_{ij}^{c} \rangle, \langle \kappa_{ij}^{c} \rangle]$ and $\sigma_{mol}^{2} = \mathbb{E}_{i} [\sigma_{ij}^{2} [\kappa_{ij}^{c}, \kappa_{ij}^{c}]]$ for c = P and c = Q.

Equation (12) can be expressed in a compact form as follows:

$$\begin{bmatrix} \Delta \langle \kappa_{\tilde{i}\tilde{j}}^{\mathrm{P}} \rangle \\ \Delta \langle \kappa_{\tilde{i}\tilde{j}}^{\mathrm{Q}} \rangle \end{bmatrix} = \sigma_{\mathrm{tot}}^{2} \nabla \left[RB - (1-R)C \right] + O''$$

where ∇ is a nabla operator (i.e., $\nabla = [\partial/\partial \langle \kappa_{\tilde{i}\tilde{j}}^{\mathrm{P}} \rangle, \partial/\partial \langle \kappa_{\tilde{i}\tilde{j}}^{\mathrm{Q}} \rangle]^{\mathrm{T}}$, where ^T denotes transpose), $\sigma_{\mathrm{tot}}^{2} = \sigma_{\mathrm{mol}}^{2} + \sigma_{\mathrm{cel}}^{2}$, $R = \sigma_{\mathrm{cel}}^{2}/(\sigma_{\mathrm{cel}}^{2} + \sigma_{\mathrm{mol}}^{2})$, $B = (1 + \rho_{\mathrm{cel}})(\kappa_{\tilde{i}\tilde{j}}^{\mathrm{P}} + \kappa_{\tilde{i}\tilde{j}}^{\mathrm{Q}})$, and $C = (\rho_{\mathrm{mol}} - 1)\ln(e^{-s\kappa_{\tilde{i}\tilde{j}}^{\mathrm{P}}} + e^{-s\kappa_{\tilde{i}\tilde{j}}^{\mathrm{Q}}}) + \rho_{\mathrm{mol}}s(\kappa_{\tilde{i}\tilde{j}}^{\mathrm{P}} + \kappa_{\tilde{i}\tilde{j}}^{\mathrm{Q}})$. R can be interpreted as the regression coefficient of $\langle \kappa_{\tilde{i}\tilde{j}}^{c} \rangle$ on $\kappa_{\tilde{i}\tilde{j}}^{c,17}$ and, therefore, the coefficient of genetic relatedness^{18,19}. The potential function RB - (1 - R)C can then be interpreted as inclusive fitness.

⁴²⁶ Next, we omit O'' from equations (12), replace Δ with time derivative $d/d\tau$, and ⁴²⁷ let $\langle \kappa_{\tilde{i}\tilde{i}}^c \rangle$ be denoted by \bar{k}^c , obtaining

$$\frac{d}{d\tau}\bar{k}^{c} = (1+\rho_{\rm cel})\sigma_{\rm cel}^{2} - s\frac{e^{-s\bar{k}^{c}} + \rho_{\rm mol}e^{-s\bar{k}^{c'}}}{e^{-s\bar{k}^{\rm P}} + e^{-s\bar{k}^{\rm Q}}}\sigma_{\rm mol}^{2}.$$
(13)

Finally, to allow for the restriction on the range of \bar{k}^c (i.e., $\bar{k}^c \in [0, k_{\max}]$), we multiply the right-hand side of equation (13) with a function, denoted by $\Theta(\bar{k}^c)$, that is 1 if $0 < \bar{k}^c < k_{\max}$ and 0 if $\bar{k}^c = 0$ or $\bar{k}^c = k_{\max}$. Multiplying $\Theta(\bar{k}^c)$ with the right-hand side of equation (13), we obtain

433
$$\frac{d}{d\tau}\bar{k}^{c} = \Theta(\bar{k}^{c}) \left[(1+\rho_{cel})\sigma_{cel}^{2} - s\frac{e^{-s\bar{k}^{c}} + \rho_{mol}e^{-s\bar{k}^{c'}}}{e^{-s\bar{k}^{P}} + e^{-s\bar{k}^{Q}}}\sigma_{mol}^{2} \right]$$

The above equation was numerically integrated to obtain the phase-plane portrait depicted in Fig. 3.

Equation (13) allows for statistical correlations between $\kappa_{ij}^{\rm P}$ and $\kappa_{ij}^{\rm Q}$ at the molecular and cellular levels, i.e., $\rho_{\rm mol}$ and $\rho_{\rm cel}$. Therefore, it can be used to examine the consequence of ignoring these correlations, which is one of the simplifications made in the derivation of equations (1). For this sake, we calculate the nullcline of $\frac{d}{d\tau}\bar{k}^c$. From equation (13), we obtain

441
$$\bar{k}^{c'} = \bar{k}^{c} + s^{-1} \ln \frac{\rho_{\rm mol} s \sigma_{\rm mol}^2 - (1 + \rho_{\rm cel}) \sigma_{\rm cel}^2}{(1 + \rho_{\rm cel}) \sigma_{\rm cel}^2 - s \sigma_{\rm mol}^2}.$$

This equation shows that all parameters only appear in the intercept of the nullcline 442 with the $\bar{k}^{c'}$ -axis. Let us denote this intercept as $s^{-1} \ln I$. The way I qualitatively 443 depends on σ_{cel}^2 and $s\sigma_{mol}^2$ is independent of ρ_{cel} because $-1 < \rho_{cel} < 1$. Therefore, we 444 can assume that $\rho_{cel} = 0$ without loss of generality. Next, to see how ρ_{mol} influences 445 I, we focus on the singularity of I by setting $(1 + \rho_{cel})\sigma_{cel}^2 = s\sigma_{mol}^2 + \epsilon$, where $\epsilon > 0$. 446 Then, $I = (1 - \rho_{\rm mol}) s \sigma_{\rm mol}^2 / \epsilon - \rho_{\rm mol}$. The way I qualitatively depends on $s \sigma_{\rm mol}^2 / \epsilon$ is 447 independent of $\rho_{\rm mol}$ because $-1 < \rho_{\rm mol} < 1$. Therefore, we can assume that $\rho_{\rm mol} = 0$ 448 without loss of generality. Taken together, these calculations show that ignoring 449 correlations between $\kappa_{ij}^{\rm P}$ and $\kappa_{ij}^{\rm Q}$ does not qualitatively affect the results, supporting 450 the validity of equations (1). 451

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⁴⁹⁶ Supplementary Information

497 Extended Data Figures and Supplementary Discussion are included in this manu-498 script.

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⁵⁰³ Author Contributions

N.T. conceived the study, designed, implemented and analysed the models, and wrote the paper. K.K. discussed the design, results and implications of the study, and commented on the manuscript at all stages.

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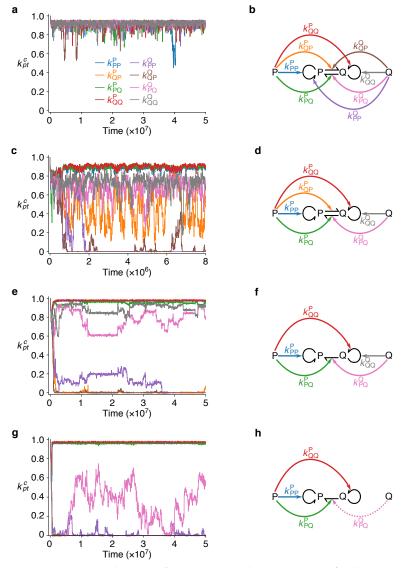
510 Code availability

The C++ source codes implementing the models are available from the corresponding author upon request.

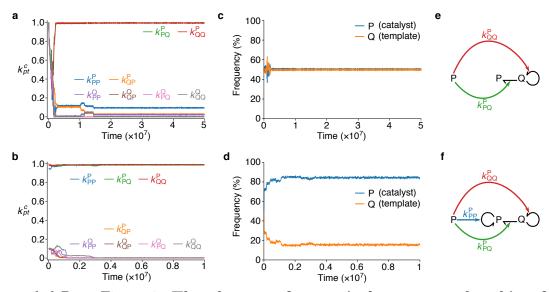
513 Data availability

- ⁵¹⁴ The authors declare that the data supporting the findings of this study are available
- ⁵¹⁵ within the paper and Extended Data Figures.

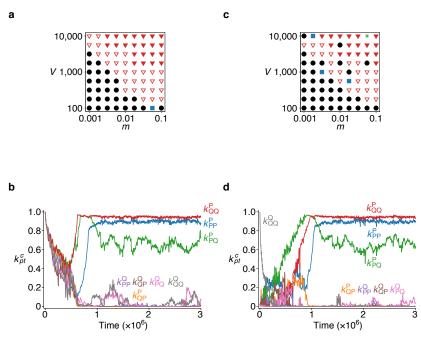
516 Extended Data



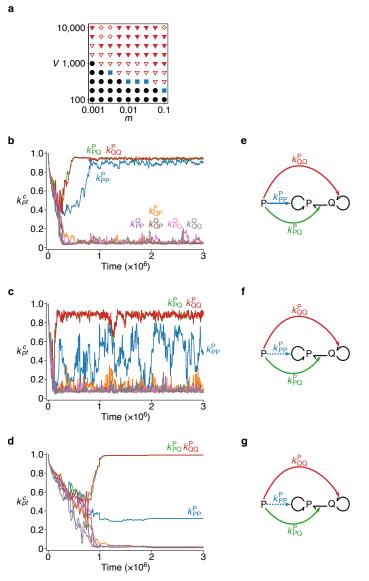
Extended Data Figure 1: The evolutionary dynamics of the model. **a**, The dynamics of k_{pt}^c averaged over all replicators for parameters corresponding to 'no symmetry breaking' in Fig. 2a: V = 178 and m = 0.01. **b**, Replicators evolving in a. **c**, **d**, Parameters corresponding to 'uncategorised' in Fig. 2a: V = 178 and m = 0.1. **e**, **f**, Parameters corresponding to 'incomplete symmetry breaking' in Fig. 2a: V = 562and m = 0.01 **g**, **h**, Parameters corresponding to 'incomplete symmetry breaking' in Fig. 2a: V = 562Fig. 2a: V = 1778 and m = 0.01.



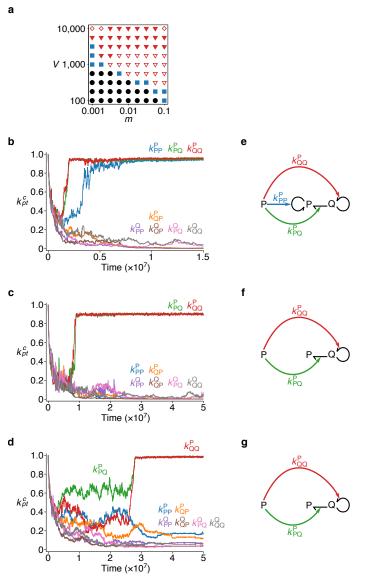
Extended Data Figure 2: The absence of numerical symmetry breaking for 524 small m and large V. a, b, The dynamics of k_{pt}^c averaged over all replicators is 525 shown for V = 10000 and m = 0.001 with two different initial conditions: a symmetric 526 initial condition, where $k_{pt}^c = 1$ (a); an asymmetric initial condition, where $k_{PP}^P = 0.95$, $k_{PQ}^P = 0.1$, $k_{QP}^P = 1$, $k_{QQ}^P = 1$, and $k_{pt}^Q = 0.1$ (b). The self-replication of catalysts does not evolve for the symmetric initial condition, whereas it is maintained for the 527 528 529 asymmetric initial condition $(t_{\min} > 1.2 \times 10^7)$. The dependence of the results on 530 the initial conditions suggests the presence of bistability for V = 10000 and m =531 0.001. c, d, The frequencies of P (catalysts) and Q (templates) are plotted as the 532 functions of time. Numerical symmetry breaking does not occur for the symmetric 533 initial condition, whereas it occurs for the asymmetric initial condition. The results 534 indicate that numerical asymmetry depends on the self-replication of catalysts. e, f, 535 Replicators evolving for the symmetric initial condition (e) and for the asymmetric 536 initial condition (f). 537



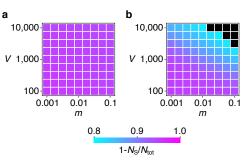
Extended Data Figure 3: Symmetry breaking with an alternative definition of 538 complex formation rates. The rate constants of complex formation were defined 539 in such a way that coexistence between P and Q is neither favoured nor disfavoured 540 by cellular-level selection (see Supplementary Discussion 2). a, Phase diagram with 541 a symmetric initial condition: $k_{pt}^c = 1$ for all combinations of c, p, and t, with both 542 P and Q present at the beginning of each simulation. The symbols are the same 543 as in Fig. 2a, except that the circles include cases in which one replicator type goes 544 extinct. **b**, Dynamics of k_{pt}^c averaged over all replicators for m = 0.01 and V = 10000545 in a. **c**, Phase diagram with an asymmetric initial condition: $k_{QQ}^Q = 1$ and $k_{pt}^c = 0$ for all the other combinations of c, p, and t, with only Q present at the beginning 546 547 of each simulation. The symbols are the same as in a, except that stars indicate the 548 extinction of replicators. **d** Dynamics of k_{pt}^c averaged over all replicators for m = 0.01549 and V = 10000 in b. 550



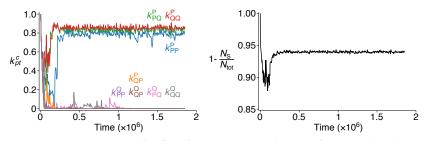
Extended Data Figure 4: Symmetry breaking with reflecting mutation. The 551 mutation of k_{pt}^c is modelled as unbiased random walk with reflecting boundaries at 552 0 and 1 (see Supplementary Discussion 3). a, Phase diagram. The symbols are the 553 same as in Fig. 2a ($t_{\rm min} > 3.9 \times 10^7$ for m = 0.1 and V = 10000). b Dynamics of 554 k_{pt}^c averaged over all replicators. m = 0.01 and V = 10000. Three-fold symmetry 555 breaking occurs. \mathbf{c} , m = 0.0562 and V = 10000. Numerical symmetry breaking is 556 slight. d, m = 0.00178 and V = 10000. Numerical symmetry breaking is slight. e, f, 557 g, Replicators evolving in b, c, d, respectively. 558



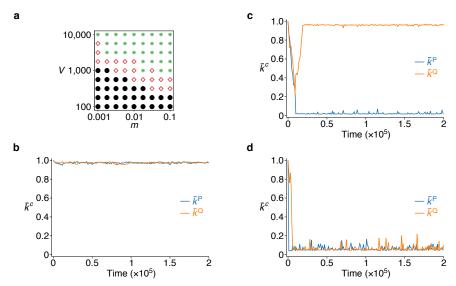
Extended Data Figure 5: Symmetry breaking with log-space mutation. The 559 mutation of k_{pt}^{c} is modelled as unbiased random walks on a logarithmic scale (see 560 Supplementary Discussion 3). a, Phase diagram. The symbols are the same as in 561 Fig. 2a ($t_{\min} > 3.9 \times 10^7$ only for m = 0.1 and V = 10000). b, Dynamics of k_{pt}^c averaged 562 over all replicators. m = 0.01 and V = 10000. Three-fold symmetry breaking occurs. 563 **c**, m = 0.1 and V = 10000. No numerical symmetry breaking occurs. **d**, m = 0.00178564 and V = 10000. No numerical symmetry breaking occurs. **e**, **f**, **g**, Replicators evolving 565 in b, c, d, respectively. 566



Extended Data Figure 6: The effect of symmetry breaking on catalytic activities. The fraction of replicators $1-N_{\rm S}/N_{\rm tot}$, which is a proxy for the overall catalytic activity of replicators, is shown as a function of m and V, where $N_{\rm S}$ is the total number of S molecules in the system, and $N_{\rm tot} = N_{\rm P} + N_{\rm Q} + N_{\rm S}$. **a**, The original model, which allows symmetry breaking (Fig. 1). **b**, The model which excludes the possibility of symmetry breaking; specifically, it allows only one type of replicator (either P or Q). Black squares indicate extinction (i.e. $N_{\rm tot} = N_{\rm S}$). $t_{\rm min} > 1.5 \times 10^7$.



Extended Data Figure 7: Result for large m and V values. The dynamics of the simulation model is shown for m = 0.1 and $V = 10^5$, parameters outside the range examined in Fig. 2a and Extended Data Fig. 6. **a**, The dynamics of k_{pt}^c averaged over all replicators. **b**, The dynamics of the fraction of replicators $1 - N_S/N_{tot}$, where N_{tot} and N_S are the total numbers of particles and S molecules in the system, respectively. $t_{min} > 1.8 \times 10^6$.



Extended Data Figure 8: Symmetry breaking in a hierarchical Wright-Fisher 580 model. The model stochastically simulates the population dynamics described by 581 equations (1), treating σ_{mol}^2 and σ_{cel}^2 as variables dependent on m and V (see Supplementary Discussion 5). **a**, Phase diagram. Circles indicate no symmetry breaking 582 583 (i.e., $\bar{k}^{P} \approx \bar{k}^{Q} \approx 1$); diamonds, symmetry breaking (i.e., $\bar{k}^{c} \approx 0$ and $\bar{k}^{c'} \approx 1$ for $c \neq c'$); 584 stars, extinction (i.e., $\bar{k}^{\rm P} \approx \bar{k}^{\rm Q} \approx 0$). s = 1 (cost-benefit ratio). The total number 585 of replicators was 50V (approximately 130 protocells throughout simulations). The 586 initial condition was $k^{P} = k^{Q} = 1$ for all replicators. Each simulation was run for 587 4×10^5 generations **b**, The dynamics of \bar{k}^c for m = 0.001 and V = 1000 (no symmetry 588 breaking). c, m = 0.01 and V = 1000 (symmetry breaking). d, m = 0.1 and V = 1000589 (extinction). 590

hier	archy	differentiation	
whole	parts	reproductive	non-reproductive
cell	molecules	genome	enzyme
symbiont population*	prokaryotic cells	transmitted	non-transmitted
ciliate	organelles	micronucleus	macronucleus
multicellular organism	eukaryotic cells	germ	soma
eusocial colony	multicellular organisms	queen	worker

- 591 Extended Data Table 1: Differentiation between reproductive and non-reproductive
- ⁵⁹² elements is a universal property of life. *Bacterial symbionts of ungulate lice
- (Haematopinus) and planthoppers $(Fulgoroidea)^{21}$.

⁵⁹⁴ Supplementary Discussion

⁵⁹⁵ 1. On the the chemical identity of P and Q

The present study formulates the central dogma in functional (as opposed to chem-596 ical) terms as the one-way flow of information from non-catalytic molecules to cata-597 lytic molecules. This formulation is advantageous for simplicity and generally as 598 mentioned in the main text. In particular, it makes our theory independent of the 599 chemical details of replicating molecules. For example, our theory assumes that a 600 molecule faces a trade-off between catalysing and templating, but it does not re-601 strict catalysis to being replicase activity (although our simulation model explicitly 602 assumes that catalysts are replicases, our mathematical theory based on equation (1)603 does not make this assumption). Therefore, our theory offers a great degree of free-604 dom for experimental testing. One possibility for such experiments might be to use 605 RNA and DNA to embody P and Q of our theory, given the availability of various 606 catalytic RNA and DNA molecules^{22–24}. In addition, using RNA and DNA is poten-607 tially relevant to the historical origin of the central dogma, given the possibility that 608 DNA might have emerged before the advent of proteins^{25–28}. 609

⁶¹⁰ 2. Model in which coexistence between P and Q is selectively ⁶¹¹ neutral

In the simulation model described in the main text, the reaction rate constants of 612 complex formation are defined as the k_{pt}^c values of a replicator serving as a catalyst. 613 Under this definition, coexistence between P and Q is favoured by cellular-level 614 selection because replicators multiply fastest if their k_{nt}^c values are maximised for 615 all combinations of c, p, and t, as described in Methods. To ascertain that this 616 specific aspect of the model does not critically affect results, we additionally examined 617 an alternative model in which cellular-level selection neither favours nor disfavours 618 coexistence between P and Q. 619

In this alternative model, the reaction rate constants of complex formation are defined as a function of the k_{pt}^c values of a replicator serving as a catalyst as follows:

622
$$\max(k_{Pt}^{c}, k_{Qt}^{c}) \frac{k_{pt}^{c}}{k_{Pt}^{c} + k_{Qt}^{c}}.$$

⁶²³ Under this definition, two replicators, denoted by X and Y, form a complex at a rate ⁶²⁴ proportional to $\max(k_{Py}^x, k_{Qy}^x) + \max(k_{Px}^y, k_{Qx}^y) \le 2k_{\max}$ if all possible complexes are ⁶²⁵ considered, where x and y are the replicator types of X and Y, respectively (note that in the original simulation model, this rate is proportional to $\sum_{p} k_{py}^{x} + k_{px}^{y} \leq 4k_{\max}$). Accordingly, replicators multiply fastest not only if $k_{pt}^{c} = k_{\max}$ for all combinations of c, p, and t, but also if $k_{cc}^{c} = k_{\max}$ for either c = P or c = Q and $k_{pt}^{c} = 0$ for all the other combinations. Therefore, coexistence between P and Q is not necessarily favoured by cellular-level selection.

To examine the effect of coexistence between P and Q on symmetry breaking, we simulated the alternative model described above with two initial conditions, symmetric and asymmetric. In the symmetric initial condition, both P and Q were present. In the asymmetric initial condition, only Q was present. For both initial conditions, the model displays the same symmetry breaking as displayed by the original model (Extended Data Fig. 3).

⁶³⁷ 3. Alternative models for the mutation of k_{pt}^c

In the simulation model described in the main text, the mutation of k_{pt}^c is modelled as 638 unbiased random walks in a half-open interval $(-\infty, k_{\text{max}})$ with a reflecting boundary 639 at $k_{pt}^c = k_{max}$. To ascertain that this specific model of mutation does not critically 640 affect results, we additionally examined two alternative models of mutation. The 641 first alternative model is nearly the same as the model described in the main text, 642 except that the boundary condition at $k_{pt}^c = 0$ is set to reflecting. In the second 643 alternative model, each k_{pt}^c value is mutated by multiplying $\exp(\epsilon)$, where ϵ is a 644 number randomly drawn from a uniform distribution on the interval $(-\delta_{\text{mut}}, \delta_{\text{mut}})$, 645 with a reflecting boundary at $k_{pt}^c = k_{\text{max}}$. Both models of mutation produce essentially 646 the same result as described in the main text (Extended Data Figs. 4 and 5) 647

⁶⁴⁸ 4. Numerical symmetry breaking

In this section, we show that numerical symmetry breaking occurs because it is favoured by cellular-level selection in the presence of catalytic and informatic asymmetry and neither favoured nor disfavoured by molecular-level selection. To this end, we will use a similar mathematical framework as used to derive equations (1) (see Methods).

The proximate—as opposed to ultimate—cause of numerical symmetry breaking is the self-replication of catalysts (i.e., $k_{cc}^c > 0$). This fact can be inferred from the following two results. First, when catalytic, informatic, and numerical symmetry breaking occurs, the replication and transcription of templates are catalysed at about the same rate, i.e., $k_{tt}^c \approx k_{ct}^c$ (Fig. 2b). Therefore, the replication and transcription of templates cannot cause numerical asymmetry. Second, when catalytic and informatic symmetry breaking occurs without numerical symmetry breaking, the self-replication of catalysts is absent (Extended Data Fig. 2). Taken together, these results indicate that the proximate cause of numerical symmetry breaking is the self-replication of catalysts. Therefore, to understand why numerical symmetry breaking occurs, we need to understand why the self-replication of catalysts evolves.

To address this question, we assume that replicators have already undergone catalytic and informatic symmetry breaking and consider how the fitness of those replicators depends on the self-replication of catalysts. The population dynamics of replicators with catalytic and informatic asymmetry can be described as follows. Let $n_{ij}^t(\tau)$ be the population size of replicator j of type t in protocell i at time τ . Let catalysts and templates be P and Q, respectively. Then, the dynamics of $n_{ij}^t(\tau)$ is mathematically described as follows:

$$\begin{bmatrix} n_{ij}^{\mathrm{P}}(\tau+1)\\ n_{ij}^{\mathrm{Q}}(\tau+1) \end{bmatrix} = \begin{bmatrix} w_{ij}^{\mathrm{PP}} & \omega_{ij}^{\mathrm{Q}}\\ 0 & \omega_{ij}^{\mathrm{Q}} \end{bmatrix} \begin{bmatrix} n_{ij}^{\mathrm{P}}(\tau)\\ n_{ij}^{\mathrm{Q}}(\tau) \end{bmatrix},$$
(14)

where w_{ij}^{PP} is the self-replication probability of catalysts, and ω_{ij}^{Q} is the replication and transcription probabilities of templates, which are assumed to be identical to each other. The fitness of replicators can be defined as the dominant eigenvalue (denoted by λ_{ij}) of the 2 × 2 matrix on the right-hand side of equation (14):

$$\lambda_{ij} = \begin{cases} \omega_{ij}^{\mathbf{Q}} & \text{if } \omega_{ij}^{\mathbf{Q}} > w_{ij}^{\mathbf{PP}} \\ w_{ij}^{\mathbf{PP}} & \text{otherwise.} \end{cases}$$
(15)

The associated right eigenvector, which determines the stationary frequencies of P and Q, is

$$\boldsymbol{v}_{ij} = \begin{cases} \frac{1}{2 - w_{ij}^{\text{PP}} / \omega_{ij}^{\text{Q}}} \begin{bmatrix} 1\\ 1 - w_{ij}^{\text{PP}} / \omega_{ij}^{\text{Q}} \end{bmatrix} & \text{if } \omega_{ij}^{\text{Q}} > w_{ij}^{\text{PP}} \\ \begin{bmatrix} 1\\ 0 \end{bmatrix} & \text{otherwise.} \end{cases}$$
(16)

Equation (16) shows that we must assume $\omega_{ij}^{Q} > w_{ij}^{PP}$ in order for P and Q to coexist. Equation (16) also shows that the frequency of catalysts (i.e., $(2 - w_{ij}^{PP}/\omega_{ij}^{Q})^{-1})$ increases with the self-replication of catalysts (i.e., w_{ij}^{PP}), as stated in the beginning of this section.

We first examine whether the self-replication of catalysts is favoured by molecularlevel selection. To this end, we consider how the fitness of replicators (i.e., λ_{ij})

depends on the self-replication of catalysts (i.e., w_{ij}^{PP}). According to equation (15), λ_{ij} 684 is not directly dependent on w_{ij}^{PP} . However, λ_{ij} can indirectly depend on w_{ij}^{PP} because 685 λ_{ij} increases with the frequency of catalysts in a protocell (i.e., $\mathbb{E}_{i\tilde{j}}[(2-w_{i\tilde{j}}^{\mathrm{PP}}/\omega_{i\tilde{j}}^{\mathrm{Q}})^{-1}]).$ 686 This frequency can increase with $w_{ij}^{\rm PP}$ if V is so small that a particular replicator 687 can influence the frequency of catalysts in the protocell. However, if λ_{ij} increases 688 with w_{ij}^{PP} , the average fitness of replicators in the protocell (i.e., $\langle \lambda_{i\tilde{i}} \rangle$) must also 689 increase. Therefore, we need to consider the relative fitness (i.e., $\lambda_{ij}/\langle \lambda_{ij} \rangle$). The 690 relative fitness is independent of $w_{ij}^{\rm PP}$ because catalysis is equally shared among 691 templates within a protocell. Therefore, the self-replication of catalysts is neither 692 favoured not disfavoured by molecular-level selection. 693

⁶⁹⁴ We next examine whether the self-replication of catalysts is favoured by cellular-⁶⁹⁵ level selection. To this end, we consider how the fitness of a protocell depends on ⁶⁹⁶ the average self-replication of catalysts in that protocell (i.e., $\langle w_{i\tilde{j}}^{\rm PP} \rangle$). The fitness of ⁶⁹⁷ a protocell can be defined as the average fitness of the replicators in that protocell ⁶⁹⁸ (i.e., $\langle \lambda_{i\tilde{j}} \rangle$). Thus, the fitness of a protocell increases with the frequency of catalysts ⁶⁹⁹ in that protocell (i.e., $\mathbb{E}_{i\tilde{j}}[(2 - w_{i\tilde{j}}^{\rm PP}/\omega_{i\tilde{j}}^{\rm Q})^{-1}])$, which in turn increases with $\langle w_{i\tilde{j}}^{\rm PP} \rangle$. ⁷⁰⁰ Therefore, the self-replication of catalysts is favoured by cellular-level selection.

Taken together, the above considerations indicate that the self-replication of catalysts is neutral with respect to molecular-level selection, but advantageous with respect to cellular-level selection. Therefore, numerical symmetry breaking results from the maximisation of fitness at the cellular level in the presence of genome-enzyme differentiation.

Finally, we add two general remarks about numerical symmetry breaking. First, 706 numerical symmetry breaking is always observed in the systems displaying the divi-707 sion of labour between the transmission of genetic information and the other func-708 tions (Extended Data Table 1); e.g., the number of germ-line cells is smaller than 709 that of somatic cells per organism, and the number of queens is smaller than that of 710 workers per colony^{4–7}. Numerical symmetry breaking can therefore be considered as 711 an integral aspect of the reproductive division of labour although it is not considered 712 as such in the central dogma. 713

Second, the important consequence of numerical symmetry breaking is that it causes a bottleneck effect on the population of replicators within a protocell. This bottleneck effect increases among-cell variance relative to within-cell variance (i.e., $\sigma_{cel}^2/\sigma_{mol}^2$); therefore, it has a stabilising effect on protocells^{8,29}. In this regard, numerical symmetry breaking can be compared to life-cycle bottlenecks displayed by multicellular organisms and eusocial colonies (i.e., an organism or colony develops from only one or a few propagules), which are considered to reduce within-group r_{21} conflict⁵⁻⁷.

⁷²² 5. The hierarchical Wright-Fisher model

Although the simplifications involved in the derivation of equations (1) allow us 723 to elucidate the mechanism of symmetry breaking, they also make the comparison 724 between equations (1) and the simulation model indirect. Specifically, equations (1)725 cannot be compared with the simulation model in terms of the same parameters, 726 because the former treat $\sigma_{\rm mol}^2$ and $\sigma_{\rm cel}^2$ as parameters, which are actually variables 727 dependent on m and V in the latter. To fill this gap, we constructed a model that 728 stochastically simulates the population dynamics described by equations (1), but 729 nevertheless treats $\sigma_{\rm mol}^2$ and $\sigma_{\rm cel}^2$ as variables dependent on m and V. 730

This model is formulated as a hierarchical Wright-Fisher process. Replicators 731 are partitioned into a number of groups (hereafter, protocells). Each replicator is 732 individually assigned replicator type $c \in \{P, Q\}$ and two k^c values. The fitness of a 733 replicator is calculated according to equation (11). In each generation, replicators are 734 replicated or transcribed with probabilities proportional to ω_{ii}^c , so that the population 735 dynamics matches equation (2) on average. After the replication-transcription step, 736 the protocells containing greater than V replicators are divided with their replicators 737 randomly distributed between the two daughter cells. The protocells containing no 738 replicators are discarded. 739

The mutation of k^c is modelled as unbiased random walks with reflecting boundaries. With a probability m per replication or transcription, each κ^c value of a replicator is mutated by adding a number randomly drawn from a uniform distribution on the interval $(-\delta_{\text{mut}}, \delta_{\text{mut}})$ ($\delta_{\text{mut}} = 0.1$). The values of κ^c are bounded in [0, 1] with reflecting boundaries at both bounds.

To determine the condition for symmetry breaking, we simulated the above Wright-Fisher model for various values of V and m. The simulations show that symmetry breaking occurs only if V and m are sufficiently large (Extended Data Fig. 8), a result that is consistent with the outcomes of the original simulation model (Fig. 2). Given that the Wright-Fisher model involves many of the simplifications involved in equations (1), the above consistency supports the validity of the symmetry breaking mechanism described by equations (1).

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