1 Title: Targeted antifungal liposomes

2 Running Title: Targeted antifungal liposomes

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15 Abstract

16 Aspergillus species cause pulmonary invasive aspergillosis resulting in nearly a hundred 17 thousand deaths each year. Patients at the greatest risk of developing life-threatening 18 aspergillosis have weakened immune systems and/or various lung disorders. Patients are 19 treated with antifungals such as amphotericin B (AmB), casofungin acetate, or triazoles 20 (itraconazole, voriconazole etc.), but these antifungal agents have serious limitations due to lack 21 of sufficient fungicidal effect and human toxicity. Liposomes with AmB intercalated into the lipid 22 membrane (AmBisomes, AmB-LLs), have several-fold reduced toxicity compared to detergent 23 solubilized drug. However, even with the current antifungal therapies, one-year survival among 24 patients is only 25 to 60%. Hence, there is a critical need for improved antifungal therapeutics. 25 Dectin-1 is a mammalian innate immune receptor in the membrane of some leukocytes 26 that binds as a dimer to beta-glucans found in fungal cell walls, signaling fungal infection. Using 27 a novel protocol, we coated AmB-LLs with Dectin-1's beta-glucan binding domain to make DEC-28 AmB-LLs. DEC-AmB-LLs bound rapidly, efficiently, and with great strength Aspergillus 29 fumigatus and to Candida albicans and Cryptococcus neoformans, highly divergent fungal 30 pathogens of global importance. By contrast, un-targeted AmB-LLs and BSA-coated BSA-AmB-31 LLs showed 200-fold lower affinity for fungal cells. DEC-AmB-LLs reduced the growth and 32 viability of A. fumigatus an order of magnitude more efficiently than untargeted control 33 liposomes delivering the same concentrations of AmB, in essence increasing the effective dose 34 of AmB. Future efforts will focus on examining pan-antifungal targeted liposomal drugs in animal 35 models of disease.

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We coated anti-fungal drug loaded liposomes to fungal cell walls with a beta-glucan
binding protein and thereby increased drug effectiveness by an order of magnitude.

41 Importance

The fungus Aspergillus fumigatus causes pulmonary invasive aspergillosis resulting in 42 43 nearly a hundred thousand deaths each year. Patients are often treated with antifungal drugs 44 such as amphotericin B loaded into liposomes, AmB-LLs, but all antifungal drugs including 45 AmB-LLs have serious limitations due to human toxicity and insufficient fungal cell killing. Even 46 with the best current therapies, one-year survival among patients with invasive aspergillosis is 47 only 25 to 60%. Hence, there is a critical need for improved antifungal therapeutics. 48 Dectin-1 is a mammalian protein that binds to beta-glucan polysaccharides found in 49 nearly all fungal cell walls. We coated AmB-LLs with Dectin-1 to make DEC-AmB-LLs. DEC-50 AmB-LLs bond strongly to fungal cells, while AmB-LLs had little affinity. DEC-AmB-LLs killed or 51 inhibited A. fumigatus ten times more efficiently than untargeted lipsomes, increasing the 52 effective dose of AmB. Dectin-1 coated liposomes targeting fungal pathogens have the potential 53 to greatly enhance antifungal therapeutics. 54 147/150 words

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57 Introduction

Invasive fungal infections. Hundreds of species of indigenous fungi cause a wide variety
 of diseases including aspergillosis, blastomycosis, candidiasis, cryptococcosis,

60 coccidioidomycosis (valley fever), and Pneumocystis pneumonia (PCP). Collectively pathogenic

61 fungi infect many different organs, but lungs are the most common site for deep mycoses.

62 Globally aspergillosis, candidiasis, and cryptococcosis kill about one million or more people

63 each year (1, 2).

64 Aspergillus fumigatus and related Aspergillus species cause aspergillosis (2). Patients at 65 the greatest risk of developing life-threatening aspergillosis have weakened immune systems, 66 for example, from stem cell transplants or organ transplants or have various lung diseases, 67 including tuberculosis, chronic obstructive pulmonary disease, cystic fibrosis, or asthma. Among 68 immunocompromised patients, aspergillosis is the second most common fungal infection, after 69 candidiasis (3, 4). Additional costs associated with treating invasive aspergillosis are estimated 70 at \$40,000 per child and \$10,000 per adult. Patients with aspergillosis are treated with 71 antifungals such as amphotericin B, caspofungin or triazoles. Even with antifungal therapy, 72 however, one-year survival among immunocompromised patients with aspergillosis is only 25 to 73 60%. Furthermore, all known antifungal agents that treat aspergillosis are quite toxic to human 74 cells(5, 6). The goal of our research has been to develop a targeted liposomal strategy that 75 improves antifungal drug delivery and enhances therapeutic efficacy.

Liposomal AmB. Amphotericin B (AmB) is the most commonly used agent for many kinds
 of fungal infections, including aspergillosis. Because AmB binds the fungal plasma membrane
 sterol ergosterol more efficiently than the mammalian sterol cholesterol, AmB is more toxic to
 fungal cells. The side effects of Amphotericin B include neurotoxicity and/or nephrotoxicity
 and/or hepatoxicity (5, 6) and can result in death of the patient (1).

81 Amphotericin B loaded liposomes, AmB-LLs, penetrate more efficiently to various organs 82 (7, 8), penetrate the cell wall (9) and show reduced toxicity at higher, more effective doses of 83 AmB than the second most commonly used AmB product, deoxycholate detergent-solubilized 84 AmB (5, 6, 10, 11). AmB is an amphipathic molecule. Its long lipophilic polyene end intercalates 85 into the lipid bilayer of liposomes, while its hydrophilic end is positioned on the liposomal surface 86 as modeled in Fig. 1. Commercial untargeted spherical AmB-LLs are called AmBisomes (12, 87 13). However, AmB-LLs still produce AmB human toxicity, such as renal toxicity in 50% of 88 patients (5, 6, 11). When infected mice are treated with AmB-LLs, viable numbers of A. 89 fumigatus cells in homogenized lung tissue were only reduced by 70% (14, 15), leaving large 90 fungal cell populations behind. This large residual fungal population may result in recurrence 91 and subsequent mortality after treatment. We explored the targeting of AmB-LLs to Aspergillus 92 fumigatus cells to meet the pressing need to improve the quality of antifungal drug formulations 93 (1).

94 Targeted liposomes. Liposomes biochemically resemble endogenous exosomes (16-18). 95 They efficiently penetrate the endothelial barrier and reach target cells deep in most major 96 organs for the "passive delivery" of variously loaded therapeutic drugs (19-23). Targeted-97 liposomes have binding specificity for a plasma membrane antigen to enable the "active 98 delivery" of a packaged therapeutic to diseased cells. Targeting is most commonly achieved 99 with a monoclonal antibody such that immunoliposomes bind a specific cell type or types. Over 100 100 publications, most focused on particular types of cancer cells, show that targeted 101 immunoliposomes improve the cell-type specificity of drug delivery and reduce toxicity. 102 Therapeutic drug loaded immunoliposomes include those targeting cells expressing the VEGF-103 Receptors-2 and -3 (24), the oxytocin receptor(25), the epidermal growth factor receptor, EGFR 104 (26), CD4 (27), and HER2 (28, 29). The active delivery of immunoliposomes generally improves 105 cell-type specificity and drug effectiveness by 3- to 10-fold (25, 30, 31) over passive delivery. A 106 wide variety of drugs have been delivered via targeted liposomes including toxins such as 107 doxorubicin, paclitaxel and rapamycin (32, 33), growth hormones such as Transforming Growth 108 Factor-beta (34), and analgesics such as the indomethacin (25). We are unaware of any reports of immunoliposomes specifically targeting antifungals to invasive fungal cells, however, the
immuno-targeting of AmB loaded liposomes to the vessel wall of pulmonary capillary cells in *A*. *fumigatus* infected mouse lungs results in increased mouse survival rates (15). Our
experimental hypothesis is that AmB-LLs targeted directly to the cell wall of *A*. *fumigatus* will
have enhanced antifungal activity over current untargeted AmB-LLs.

114 Dectin-1 binds beta-glucans on the surface of pathogenic fungi. Dectin-1 is 115 transmembrane receptor expressed in natural killer lymphocytes encoded by the CLEC7A (C-116 Type Lectin Domain Containing 7A, beta-Glucan Receptor) gene in mice and humans. Dectin-1 117 binds various beta-glucans in fungal cell walls and is the primary receptor for transmembrane 118 signaling of the presence of cell wall components from the surface of fungal cells, stimulating an 119 innate immune response (35-38). Human and mouse Dectin-1 are 244 and 247 amino acid-long 120 plasma membrane proteins, respectively, although there are mRNA splice variants producing 121 shorter human isoforms. Dectin-1 floats in the membrane as a monomer, but binds to beta-122 glucans as a dimer as modeled in our design of Dectin-1 targeted liposomes shown in Fig. 1 123 (39). The 176 amino acid long (20 kDa) extracellular C-terminal, beta-glucan binding domain is 124 often manipulated alone as sDectin-1. The beta $1 \rightarrow 3$ glucans are a structurally diverse class of 125 polysaccharides, and as such, sDectin-1 binds various beta-glucans differentially with IC50s 126 ranging from 2.6 mM to 2.2 pM (38). sDectin-1 is reported to recognize A. fumigatus cell wall 127 components much more efficiently on germinating conidia and germ tubes than on dormant 128 conidia or mature hyphae (40, 41). Having pan-fungal binding activity, Dectin-1 may provide 129 broader antifungal targeting abilities for liposomes than a monoclonal antibody (42).

130

131 Results

Preparation of amphotericin B loaded sDectin-1 coated liposomes. Pegylated liposomes were remotely loaded with 11 moles percent AmB relative to moles of liposomal lipids

134 to make control AmB-LLs, which are similar in structure and AmB concentration to commercial un-pegylated AmBisomes (Materials and Methods, Supplemental Table S1). sDectin-1 (DEC, 135 136 Supplemental Fig. S1, Fig. S2) and Bovine serum albumin (BSA) were coupled to a pegylated 137 lipid carrier, DSPE-PEG. One mole percent DSPE-PEG-DEC was incorporated into AmB-LLs to 138 make sDectin-1 coated DEC-AmB-LLs (Fig. 1) and 0.33 mole percent DSPE-PEG-BSA was 139 incorporated into AmB-LLs to make BSA-AmB-LLs. This mole ratio of 22 kDa sDectin-1 and 65 140 kDa BSA results an equivalent ug amounts of protein coating each set of liposomes. Because 141 these protein coated liposomes were made from the same AmB-LLs, all three liposomal 142 preparations contain 11 moles percent AmB relative to moles of lipid. Two moles percent of 143 DHPE-Rhodamine were loaded into all three classes of liposome (Fig. 1).

144 sDectin-1 coated liposomes DEC-AmB-LLs bind strongly to fungal cells. In assays 145 performed on A. fumigatus germlings, rhodamine red fluorescent DEC-AmB-LLs bound strongly 146 to germinating conidia and to germ tubes as shown in Fig. 2. The sDectin-1-targeted liposomes 147 often bound in large numbers and in aggregates to particular regions. While 100 nm liposomes 148 are too small to be resolved by light microscopy, individual liposomes are visible as somewhat 149 uniformly sized small red fluorescent dots (orange arrows, Fig. 2A), which are easily detected 150 due to their each containing an estimated 3,000 rhodamine molecules (Fig. 1). From 151 examinations of larger fields of germlings it appears that essentially all bind DEC-AmB-LLs (Fig. 152 2C and 2D). AmBisome-like AmB-LLs (Fig. 2B) and bovine serum albumin coated liposomes, 153 BSA-AmB-LLs (Fig. 2E & 2F) did not bind detectably to germinating conidia or germtubes, 154 when tested at the same concentration. Maximum labeling by DEC-AmB-LLs was achieved 155 within 15 to 30 min and the strong red fluorescent signals of DEC-AmB-LLs bound to cells were 156 maintained for weeks, when fixed cells were stored in the dark in PBS at 4°C. 157 DEC-AmB-LLs also bound to germinating conidia and most hyphae from more mature

158 cultures as shown in Fig. 3. Again, the sDectin-1-targeted liposomes often bound in aggregates,
159 but some fairly uniformly sized individual small red dots are visible (orange arrows, Fig. 3A),

which appear to be individual fluorescent liposomes. AmB-LLs did not bind significantly to older
conidia or mature hyphae (Fig. 3E & 3F) nor did BSA-AmB-LLs.

162 On plates covered with dense layers of mature hyphae, the number of bound liposomes 163 and liposome aggregates were counted in multiple fluorescent images. DEC-AmB-LLs bound to 164 both formalin fixed (Fig. 4A-C) and live (Fig. 4D-F) A. fumigatus cells 100- to 200-fold more 165 efficiently than AmB-LLs or BSA-AmB-LLs. Labeling by DEC-AmB-LLs was inhibited 50-fold by 166 the inclusion of soluble beta-glucan, laminarin, but not sucrose, confirming that binding was 167 beta-glucan specific (Fig. 4G-4GI). Finally, DEC-AmB-LLs labeled Cryptococcus neoformans 168 cells and Candida albicans pseudohyphae (Supplemental Fig. S3), while control liposomes did 169 not. In short, Dectin-coated Amphotericin B loaded liposomes bound efficiently to a variety of 170 fungal cells, while control liposomes did not.

171 Killing and growth inhibition of fungi by DEC-AmB-LLs. We performed various 172 fungal cell growth and viability assays, after treating A. fumigatus with liposomes delivering AmB 173 concentrations near its estimated ED50 of 2 to 3 uM AmB (43) or below its estimated MIC of 0.5 174 uM for various strains of A. fumigatus (44). In most of these experiments, 4,500 conidia were 175 germinated and incubated for 12 to 72 hr in 96 well microtiter plates along with drug loaded 176 liposomes. Longer incubation times were often needed to resolve differences among the 177 liposome preparation delivering higher concentrations of AmB. Fig. 5 shows that targeted DEC-178 AmB-LLs killed or inhibited the growth of A. fumigatus cells far more efficiently than BSA-AmB-179 LLs or uncoated AmB-LLs delivering the same concentrations of AmB. Assays with CellTiter-180 Blue reagent, which assesses cytoplasmic reductase activity as a proxy for cell integrity and 181 viability, showed that treating cells with DEC-AmB-LLs delivering 3 uM AmB killed A. fumigatus 182 more than an order of magnitude more effectively than AmBisome-like AmB-LLs or BSA coated 183 liposomes BSA-AmB-LL (Fig. 5A). As a second method to score liposomal AmB activity, we 184 measured hyphal length. Hyphal length assays gave a similar result, showing that DEC-AmB-185 LLs delivering 3 uM AmB were far more effective at inhibiting hyphal growth than AmB-LLs or

BSA-AmB-LLs (Fig 5B). In a complete biological replicate experiment with an independient
slightly different method of preparaing liposomes we obtained a similar although less dramatic
result, when delivering 3 uM AmB (Fig. 5C and 5D).

189 A third assay of liposomal AmB activity was employed, which measured the percent of 190 conidia that germinated in the presence of the various liposomal preparations (Fig. 5E and 5F). 191 DEC-AmB-LLs delivering as little as 0.09 uM and 0.187 uM AmB inhibited the germination of A. 192 fumigatus conidia significantly better than AmB-LLs or BSA-AmB-LL. The dose response to 193 DEC-AmB-LL was based on a germination assay performed after a fixed period of growth using 194 AmB concentrations from 0.09 to 3 uM is shown in Fig. 5G. DEC-AmB-LLs outperform AmB-195 LLs and BSA-AmB-LLs over a wide range of concentrations. 196 Reduced animal cell toxicity of DEC-AmB-LLs. AmB-LLs and AmB deoxycholate 197 micelles were slightly more toxic to HEK293 human embryonic kidney cells than DEC-AmB-LLs

198 or BSA-AmB-LLs based on CellTiter-Blue assays of cell viability (**Supplemental Fig. S4**).

199

200 Discussion

201 We demonstrated that sDectin-1 targeted DEC-AmB-LLs are significantly more effective at 202 binding to and inhibiting the growth of fungal cells and are slightly less toxic to human cells than 203 uncoated AmB-LLs. The biochemical manipulation of mouse or human sDectin-1 has been 204 complicated, because the proteins easily aggregate and become insoluble and inactive in 205 aqueous buffers. This problem is perhaps in part, because they are composed of 11 to 13% 206 hydrophobic amino acids and contain three disulfide crosslinks in their carbohydrate recognition 207 domains. A wide variety of protein chemical manipulations have been applied to improve 208 sDectin-1 solubility with mixed success. For example, the solubility and utility of sDectin-1 was 209 increased by tethering it to the 56 amino acid long B1 domain of Streptococcal Protein G (45) or 210 more commonly to the 232 a.a. long Fc constant region of IgG1 antibody (41). Bacterially 211 produced non-glycosylated sDectin-1 renatured from inclusion bodies and de-glycosylated and

212 native mammalian cell produced sDectin-1 all retain indistinguishable beta-glucan binding 213 activity (39, 46, 47). Therefore, we proceeded with sDectin-1 production in E. coli, with the 214 potential for highest yield and lowest cost. We overcame sDectin-1's solubility problems by 215 combining a variety of old and new approaches including, (1) the use of a very short charged 216 peptide tag, (2) the inclusion of 6 M guanidine hydrochloride (GuHCI) during protein extraction, 217 purification, and chemical modification. (3) the inclusion of the protein solubilizing agent. 218 arginine, during renaturation, liposomal loading, and storage, and (4) the inclusion of a 219 sulfhydryl reducing agent BME in all steps.

220 sDectin-1 is reported to bind efficiently to A. fumigatus germinating conidia and germ 221 tubes, but inefficiently if at all to mature hyphae and not at all to un-germinated conidia (40, 41, 222 48). Our data with sDectin-1 coated AmB loaded liposomes are partially consistent with 223 previous observations, except that we observed reasonable efficient staining of mature hyphae 224 (Fig. 3 & 4). Poor cell or hyphal binding may be explained by polymorphic expression of beta-225 glucans in different stages of fungal cell growth (48, 49). During infection, however, interaction 226 with host immune cells reportedly expose otherwise masked β -glucans (50), enhancing the 227 potency of the targeted DEC-AmB-LLs. Herein, sDectin-1 coated fluorescent DEC-AmB-LLs 228 bound efficiently to germinating conidia, germ tubes, and hyphae, suggesting our modified 229 sDectin-1 presented on the surface of liposomes retained its ability to form complexes with 230 affinity for fungal beta-glucans expressed at various stages of growth. Our data showed for the 231 first time that in vitro chemically modified sDectin-1 (DSPE-PEG-DEC) retained its fungal cell 232 binding specificity. Furthermore, DEC-AmB-LLs binding was rapid and remained stably bound to 233 cells for weeks. Perhaps the greater avidity of liposome coated with ~1,500 sDectin-1 molecules 234 insured the rapid efficient binding and very slow release of bound liposomes, parallel to the 235 avidity of pentameric IgM antibody. The presence of thousands rhodamine molecules on each 236 liposome (Fig. 1) should have greatly increased the chance of detecting unambiguous

fluorescent signals relative to detecting the binding of sDectin-1 dimers as reported in previousstudies.

239 In a large number of experiments using different binding buffers including BSA blocker and 240 various incubation periods we never detected any significant affinity of uncoated AmB-LLs or 241 BSA-AmB-LLs for fungal cells, with one exception. In preliminary experiments in which BSA 242 blocker was omitted during the incubation, we observed BSA-AmB-LLs bound modestly well to 243 A. fumigatus germinated conidia, while we still did not observe AmB-LLs binding. By contrast, 244 Chavan et al (51) detected efficient binding of fluorescent pegylated liposomes to primary tips 245 and septa on A. fumigatus hyphae even in the presence of serum (51). We cannot account for 246 this disparity between their data and ours, except that their liposomes had a different lipid 247 composition and lacked both sDectin-1 and amphotericin B (Supplemental Table S1).

Aspergillus, Candida and Cryptococcus species belong to three evolutionarily disparate groups of fungi, the Hemiascomycetes, Euascomycetes, and Hymenomycetes, respectively, which are separated from common ancestry by hundreds of millions of years (52). DEC-AmB-LLs bound specifically to all three. This suggests the beta-glucans found in the outer cell wall of many pathogenic fungi will be conserved enough in structure and accessible enough bind sDectin-1 targeted liposomes.

254 In various biological and experimental replicate experiments using different assay methods 255 we showed that DEC-AmB-LLs killed or inhibited A. fumigatus cells far more efficiently than 256 AmBisome-like AmB-LLs delivering the same level of AmB. In all of our experiments, DEC-257 AmB-LLs were from several fold to more than an order of magnitude more fungicidal than 258 control liposomes over a wide variety of AmB concentrations tested that were near or below the 259 estimated ED50 of 3 uM. We detected significantly stronger activity of DEC-AmB-LLs over 260 AmB-LLs even at AmB concentrations as low as 0.094 uM AmB, well below AmB's MIC. DEC-261 AmB-LLs significantly decreased the amount of AmB required for an ED50 or a MIC for A. 262 fumigatus. The time of incubation with drug loaded liposomes strongly influences the ability to

resolve differences among the three liposome preparations. For example, when all three
liposome preparations delivered high AmB concentrations (e.g., 0.75, 1.5 and 3 uM) they
caused a lag in the germination of conidia. Hence, longer incubation periods were needed to
allow sufficient fungal growth to resolve the improved performance of DEC-AmB-LLs. Short
incubation periods were needed to resolve differences at low AmB concentrations (e.g., 0.37,
0.18, 0.94 uM). Thus we were unable to obtain a dose response curve that reflected the optimal
performance of DEC-AmB-LLs over a wide range of AmB concentrations.

270 Looking forward, there are a number of important variables we have not yet explored. For 271 example, we coated liposomes with a single concentration of sDectin-1, approximately 1,500 272 molecules per liposome and do not know if this is the optimal concentration for cell binding and 273 drug delivery. Also, although DEC-AmB-LL were superior in all aspects to AmB-LLs we do not 274 know the ratio of growth inhibition to killing at different AmB concentrations. Efficient killing can 275 be followed by rapid outgrowth of the remaining cells obscuring the results. This is particularly 276 relevant to the treatment of aspergillosis, because current drug formulations only partially 277 reduce the fungal cell load in mice and humans. Finally, an important next step in our research 278 needs be an examination of the performance sDectin-1 coated antifungal drug loaded 279 liposomes in animal models of fungal diseases.

280 In summary, sDectin-1 conjugated to a pegylated lipid carrier and inserted as monomers 281 into liposomes must float together to form functional dimers or multimers as they bind beta-282 glucans or we would not have observed the strong efficient binding of DEC-AmB-LLs to fungal 283 cells. Our DEC-AmB-LLs efficiently bind beta-glucans in the cell walls of diverse fungal species. 284 Multiple growth and viability assays on DEC-AmB-LLs delivering AmB concentrations from 285 0.094 to 3 uM suggest that sDectin-1 coated liposomes greatly improved the performance of 286 liposomal AmB. Taking these results altogether, it is reasonable to propose that sDectin-1 287 coated liposomes have significant potential as pan-fungal carriers for targeting antifungal 288 therapeutics.

289

290 Materials and Methods

291 Fungal growth. Aspergillus fumigatus strain A1163 was transformed with plasmid 292 pBV126 described in Kang et al. (53) carrying green fluorescent protein EGFP under the control 293 of Magnaporthe oryzae ribosomal protein 27 promoter to make strain AEK012. AEK012 was 294 used to monitor fungal cells in some experiments. A. fumigatus spores were inoculated on poly-295 L-lysine coated plates containing Vogel's Minimal Media (VMM, 1% glucose, 1.5% Agar) and 296 grown for 7 days, at which time conidia were collected in PBS + 0.1% Tween. For fluorescent 297 liposome localization and for growth inhibition and killing assays 20,000 and 4,500 AEK012 298 conidia were plated on 24 well and 96 well plates, respectively, in VMM, 1% glucose, 0.5% BSA 299 at 35°C for various time periods ranging from 8 hr to 56 hr (54, 55). Candida albicans Sc5314 300 and Cryptococcus neoformans H99 were pre-grown in YPD liquid media for overnight. The cells 301 were then washed 3 times with sterile water and resuspended in VMM media and grown on 302 poly-L-lysine coated plates at 35°C for 10 hours. All fungal cell growth was carried out in a BSL2 303 laboratory. Prior to liposomal staining most fungal preparations were washed 3 times with PBS, 304 fixed in 4% formaldehyde in PBS for 15 to 60 mins, washed twice and stored at 4°C in PBS.

305 Production of soluble Dectin-1. The sequence of the codon-optimized E. coli expression 306 construct with MmsDectin-1lyshis (NCBI BankIT #2173810) cloned into pET-45B (GenScript) is 307 shown in **Supplemental Fig. S1**. The sequence encodes a slightly modified 198 a.a. long 308 sDectin-1 protein containing a vector specified N-terminal (His)₆ affinity tag, a flexible GlySer 309 spacer, two lysine residues, another flexible spacer followed by the C-terminal 176 a.a. long 310 murine sDectin-1 domain. E. coli strain BL21 containing the MmsDectin-1-pET45B plasmid were 311 grown overnight in 1 L of Luria broth without IPTG induction (Supplemental Fig. S2). Modified 312 sDectin-1 was extracted from cell pellets in pH = 8.0, 6 M GuHCI (Fisher BioReagents BP178), 313 0.1 M Na2HPO4/NaH2PO4, 10 mM Triethanolamine, 100 mM NaCl, 5 mM BME, 0.1% Triton-314 X100, which was modified from a GuHCl buffer used an earlier study (56). sDectin-1 was bound

315 to a nickel affinity resin (QiaGen, #30210) in this same buffer, washed in same adjusted to pH 316 6.3, and eluted in this buffer adjusted to pH 4.5. The pH of the eluted protein was immediately 317 neutralized to pH 7.2 with 1 M pH 10.0 M triethanolamine for long term storage. Forty mg of 318 greater than 95% pure protein was recovered per liter of Luria broth (Supplemental Fig. S2). 319 Samples of sDectin-1 at 6 ug/uL in this same GuHCl buffer were adjusted to pH 8.3 with 1 M pH 320 =10 triethanolamine and reacted with a 4-molar excess of DSPE-PEG-3400-NHS (Nanosoft 321 polymers, 1544-3400) for 1 hr at 23°C to make DSPE-PEG-DEC. Gel exclusion chromatography 322 on Bio-Gel P-6 acrylamide resin (Bio-Rad #150-0740) in renaturation and storage buffer RN#5 323 (0.1 M NaH2PO4, 10 mM Triethanolamine, pH 8.0, 1 M L-Arginine, 100 mM NaCl, 5 mM EDTA, 324 5 mM BME) removed un-incorporated DSPE-PEG and GuHCI (45, 57). DSPE-PEG-BSA was 325 prepared from bovine serum albumin BSA (Sigma, A-8022) by the same protocol, minus the 326 GuHCl from DSPE-PEG labeling buffers and L-Arginine from RN#5 buffer.

327 Remote loading of Amphotericin B, sDectin-1, BSA, and Rhodamine into liposomes. 328 Sterile pegylated liposomes were obtained from FormuMax Sci. Inc. (DSPC:CHOL:mPEG2000-329 DSPE, 53:47:5 mole ratio, 100 nm diameter, 60 umole/mL lipid in a liposomal suspension, 330 FormuMax #F10203A). Small batches of liposomes were remotely loaded with 11 moles 331 percent Amphotericin B (AmB, Sigma A4888) relative to 100% liposomal lipid to make 332 AmBisome-like AmB-LLs used throughout this study. For example, AmB (2.8 mg, 3 umoles, 20 333 moles %) AmB was dissolved in 13 uL DMSO by heating 10 to 20 min and with occasional 334 mixing at 60°C to make an oil-like clear brown AmB solution. Two hundred and fifty uL of sterile 335 liposomal suspension (15 umoles of liposomal lipid in 50% liposome suspension) was added to 336 the AmB-oil and mixed on a rotating platform for 3 days at 37°C, followed by centrifugation for 337 10min at 100xg to pellet the AmB oil. Dissolving this oil phase in 0.5 mL DMSO and 338 spectrophotometry at A407 relative to AmB standards in DMSO showed that 1.3 umoles AmB 339 remained undissolved and 1.7 umoles of AmB (11 moles percent) were retained in liposomes. 340 Subsequent gel exclusion chromatography of loaded liposomes over a 10 mL BioGel A-0.5 M

341 agarose resin (BioRad 151-0140) revealed no detectable AmB in the salt volume and essentially all of the AmB was retained by liposomes. Longer incubations resulted in higher 342 343 percentages of AmB in liposomes. AmB Commercial AmBisomes® (Gilead) are not pegylated 344 and contain 10.6 moles percent AmB relative to lipid (Supplemental Table S1). 345 The DSPE-PEG-sDectin-1 and DSPE-PEG-BSA conjugates in RN#5 buffer and PBS, 346 respectively, were integrated via their DSPE moiety into the phospholipid bilayer membrane of 347 AmB-LLs at 1.0 and 0.33 moles percent of protein relative to moles of liposomal lipid by 60 min 348 incubation at 60°C to make DEC-AmB-LLs and BSA-AmB-LLs. During this same 60°C 349 incubation, the red fluorescent tag, Lissamine rhodamine B-DHPE (Invitrogen, #L1392) was 350 also incorporated at two moles percent relative to liposomal lipid (58-60). Gel exclusion 351 chromatography on BioGel A-0.5 M resin confirmed that Rhodamine and protein insertion into 352 liposomes were essentially quantitative. DEC-AmB-LLs stored at 4°C in RN#5 retained fungal 353 cell binding specificity for 2 months.

354 *Microscopy of liposome binding.* Formalin fixed or live fungal cells were incubated with 355 liposomes in liposome dilution buffer LDB (PBS pH 7.2, 5% BSA, 1 mM BME, 5 mM EDTA) and 356 unbound liposomes washed out after 15 min to 2 hr incubation. Images of rhodamine red 357 fluorescent liposomes, green EGFP A. fumigatus and differential interference contrast (DIC) 358 illuminated cells were taken on microscope slides under oil immersion at 63x on a Leica 359 DM6000B automated microscope. Five to six Z-stack images were recorded at one micron 360 intervals and merged in Adobe Photoshop CC2018 using the Linear Dodge method. Bright field 361 and red and green fluorescent images were taken directly of cells on microtiter plates at 20X 362 and 40X on an Olympus IX70 Inverted microscope and an Olympus PEN E-PL7 digital camera 363 and the bight field and/or colored layers merged in Photoshop.

364 Cell growth and viability assays. Liposomal stocks were stored at 900 uM AmB and
 365 diluted first 5 to 10-fold into liposome dilution buffer LDB with 0.5% BSA and then into VMM or
 366 LDB with 0.5% BSA for use at the indicated final AmB concentrations. CellTiter-Blue cell viability

assays were conducted as per the manufacturer's instructions (Promega, document #G8080)
using 20 uL of resazurin reagent to treat 100 uL of fungal or animal cells in growth media and
incubating for 2 to 4 hours at 37°C. Red fluorescence of electrochemically reduced resorufin
product (Ex485/Em590) was measured in a Biotek Synergy HT microtiter plate reader. Data
from six wells was averaged for each data point and standard errors calculated. Data for
germination and hyphal length assays were collected manually from multiple photographic
images taken at 10X and/or 20X.

374

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386 Figures

387 Fig. 1. Model of DEC-AmB-LLs, liposomes loaded with sDectin-1, amphotericin B, and 388 rhodamine. Amphotericin B (AmB, blue oval structure) was intercalated into the lipid bilayer of 389 100 nm diameter liposomes. sDectin-1 (DEC, green globular structure) was coupled to the lipid 390 carrier DSPE-PEG. Both DSPE-PEG-DEC and red fluorescent DHPE-Rhodamine (red star) 391 were also inserted into the liposomal membrane. sDectin-1, Rhodamine, AmB and liposomal 392 lipids were in a 1:2:11:100 mole ratio, respectively (Supplemental Table S1). Two sDectin-1 393 monomers (two DSEP-PEG-DEC molecules) must float together in the membrane to bind 394 strongly to cell wall beta-glucans (red sugar moieties). The two liposomal controls examined 395 were BSA-AmB-LLs that containing an equal ug amount of 65 kDa BSA in place of 22 kDa 396 sDectin-1 (i.e., 0.33:2:11:100 mole ratio) and AmB-LLs lacking any protein coating (0:2:11:100 397 mole ratio). From these mole ratios, the surface area of an 100 nm diameter liposome, and the published estimate of 5 x 10^6 lipid molecules per 10^6 nm² of lipid bilayer(61), we estimated that 398 399 there were approximately 3,000 Rhodamine molecules in each liposome preparation and 1,500 400 sDectin-1 monomers in each DEC-AmB-LL. Note that for simplicity the proper ratios of these 401 molecules are not shown in the figure.

402

403 Fig. 2. sDectin-1 coated DEC-AmB-LLs bound strongly to germinating conidia and germ 404 tubes of A. fumigatus, while AmB-LLs and BSA-AmB-LLs did not. A. fumigatus conidia 405 were germinated and grown for 8 to 10 hr in VMM + 1% glucose at 35°C in 24 well microtiter 406 plates before being fixed and stained with fluorescent liposomes. A. Rhodamine red fluorescent 407 DEC-AmB-LLs bound swollen conidia (white arrows) and germ tubes of A. fumigatus. B. 408 Rhodamine red fluorescent AmB-LLs did not bind at detectable frequencies. No AmB-LLs were 409 detected even when the red channel was enhanced as in this image. The smallest red dots in 410 plate A represent individual 100 nm diameter liposomes viewed based on their fluorescence 411 (orange arrows). Large clusters of liposomes form the more brightly red stained areas. C and D

412 were stained with DEC-AmB-LLs. E and F stained with BSA-AmB-LLs. A through F. Cells were 413 grown for 8 to 10 hr in VMM + 1% glucose at 37°C. Labeling was performed in LDB for 60 min. 414 All three liposomes preparations were diluted 1:100 such that liposomal sDectin-1 and BSA 415 proteins were at final concentrations of 1 ug/100 uL. Germlings were viewed in the green 416 channel alone for cytoplasmic fluorescent EGFP expression and red channel for rhodamine 417 fluorescent liposomes. A and B were photographed at 63X under oil immersion in a compound 418 fluorescence microscope and red florescence was further enhanced in B to detect potentially 419 individual liposomes. C through F were photographed at 20x on an inverted fluorescence 420 microscope.

421

422 Fig. 3. sDectin-1 coated DEC-AmB-LLs bound germinating conidia and hyphae of mature 423 A. fumigatus cells, while untargeted AmB-LLs and BSA-AmB-LLs did not. A. fumigatus 424 conidia were germinated and grown for 16 hr in VMM + 1% glucose at 35°C in 24 well microtiter 425 plates before being fixed and stained with fluorescent liposomes. A. through D. Cells were 426 stained with rhodamine red fluorescent DEC-AmB-LL diluted 1:100 such that sDectin-1 was at 1 427 ug/100 uL, and E. and F. with the equivalent amount of red fluorescent AmB-LLs for 60 min. A. 428 DIC image alone. B. Combined DIC and red fluorescence image. A and B. show that 429 Rhodamine fluorescent DEC-AmB-LLs bound to germinating conidia (white arrows) and 430 hyphae. In B the smallest red dots represent individual 100 nm liposomes (orange arrows). C 431 through F examined cytoplasmic green fluorescent EGFP and the red fluorescence of 432 liposomes. C and D show that nearly all conidia and most hyphae stained with DEC-AmB-LLs. 433 E & F show that AmB-LLs did not bind. A and B were photographed at 63X under oil immersion 434 and C through F were photographed at 20X on an inverted fluorescent microscope. 435

Fig. 4. sDectin-1-coated DEC-AmB-LLs bound two orders of magnitude more frequently
to *A. fumigatus* than control AmB-LLs and binding was inhibited by a soluble beta-

438 glucan. Samples of 4,500 A. fumigatus conidia were germinated & grown at 35°C for 36 hours 439 VMM+1% glucose, fixed in formalin or examined live, and incubated for 1 hr with 1:50 dilutions 440 of liposomes in liposome dilution buffer. Unbound liposomes were washed out. Multiple fields of 441 red fluorescent images were photographed at 20X and red fluorescence enhanced equivalently 442 for all images. Each photographic field contained approximately 25 swollen conidia and an 443 extensive network of hyphae (not shown). A, B, C. Labeling formalin fixed cells. D, E, F. 444 Labeling live cells. G, H, I. Inhibition of DEC-AmB-LL labeling of fixed cells by 1 mg/mL 445 laminarin, a soluble beta-glucan vs 1 mg/mL sucrose as a control. A, D, & G. The number of red 446 fluorescent liposomes and clusters of liposomes were counted, averaged per field and plotted 447 on a log_{10} scale. The numerical average is indicated above each bar and on the vertical axis. 448 Standard errors are shown. Examples of photographic fields of liposomes used to construct the 449 adjacent bar graphs are shown in B, C, E, F, H, and I.

450

451 Fig. 5. DEC-AmB-LLs inhibited the growth A. fumigatus far more efficiently than AmB-452 LLs. Samples of 4,500 A. fumigatus conidia were germinated & grown in 96 well microtiter 453 plates in Vogel's Minimal Media (VMM+1% glucose) for 8 to 56 hr at 35°C and treated at the 454 same time with liposome preparations delivering the indicated concentrations of AmB to the 455 growth media (A-D 3 uM AmB, a 1:300 fold dilution of all three liposome preparations), E. 0.09 456 uM, F. 0.18 uM, G. 0.9 to 3 uM) or an equivalent amount of liposome dilution buffer. Viability 457 and growth were estimated using CellTiter-Blue reagent (A and C) or by measuring hyphal 458 length (B & D) or by scoring percent germination (E, F, and G). Background fluorescence from 459 wells with CellTiter-Blue reagent in the media, but lacking cells and liposomes was subtracted. 460 Std. Errors are indicated. Inset photos in B and D show examples of the length of hyphae 461 assayed for AmB-LLs and DEC-AmB-LL treated sample. One unit of hyphal length in B and D 462 equals 5 microns. Cells were grown for 8 to 56 hrs. A and B and C and D compare the results

463 from two biological replicate experiments with independently conjugated sDectin-1 and

464 assembled liposomes.

465 **Supplemental Tables and Figures**

466 Supplemental Table S1. Liposome compositions. Comparison of the chemical composition467 of liposomes discussed in the manuscript.

468

469 Supplemental Fig. S1. The modified mouse sDectin-1 DNA *MmsDectin1lyshis* and protein 470 **MmsDectin-1. A.** The codon optimized DNA sequence of *MmsDECTIN1lyshis* was cloned into 471 in pET-45B. NCBI BankIT submission #2173810. Length: 577 bp, Vector pET-45b sequence 472 highlighted in red with start codon underlined, Cloning sites in green, Codons for Gly Ser (G,S) 473 flexible linker residues in yellow, reactive lys (K) residues in purple, Mouse sDecetin-1 in light 474 blue, terminal Ala codon in yellow to put stop codons in frame, stop codons in bold. B. The 475 modified mouse sDectin-1 protein being synthesized. N terminus and His tag from pET-45B 476 vector in red, Gly Ser (GS) flexible linker residues in yellow, reactive lys (K) residues in purple, 477 Mouse sDecetin-1 in light blue. Final Ala residue/codon to put stop codons and Pacl site in 478 frame. 199 amino acids, MW 22,389.66 g/mole. Theoretical pl 7.74. 479 480 Supplemental Fig. S2. SDS PAGE analysis of sDectin-1 in cell extracts and after affinity 481 purification. sDectin-1 protein was produced in the BL21 strain of *E. coli* grown in Luria Broth 482 over night from the pET-45B plasmid without IPTG induction. The protein was solubilized in 483 GuHCl buffers, purified by Ni-NTA resin and examined by SDS PAGE after GuHCl was 484 removed by dialysis. Extraction of protein into buffers that also contained reducing agent beta 485 mercaptoethanol and Triton-X100 detergent greatly increased recovery from insoluble inclusion

486 bodies (center lanes) relative to buffers without them (right lanes). Protein was examined on an

487 12% acrylamide gel stained with Coomassie Blue. The approximate molecular weight of

488 modified sDectin-1 22 kDa is indicated. Extraction of these cells with urea buffers even at 60oC
489 yielded very little protein (not shown).

490

491 Supplemental Fig. S3. sDectin-coated liposomes, DEC-AmB-LLs, bound strongly to Candida albicans and Cryptococcus neoformans cells. A., C. and E. are bright field images 492 493 of C. albicans strain Sc5314 and C. neoformans strain H99 labeled with DEC-AmB-LLs diluted 494 1:100 in LDB. B., D. and F. are the combined bright field and red fluorescence images showing 495 that rhodamine red fluorescent DEC-AmB-LLs bound strongly to these cells. Plain uncoated 496 AmB-LLs and BSA-AmB-LLs did not bind detectably to these cells (not shown). A & B were 497 photographed at 63X under oil immersion, C through F at 20X on an inverted fluorescent 498 microscope.

499

500 Supplemental Fig. S4. sDectin-1 coated DEC-AmB-LLs and BSA coated BSA-AmB-LLs

501 were less toxic to HEK293 cells than uncoated AmB-LLs. Human Embryonic Kidney

502 HEK293 cells grown to 30 to 40 percent cell density in RPMI lacking red indicator dye in 96 well

503 microtiter plates. Cells were treated for 2 hours with the AmB loaded liposomes indicated or a

504 deoxycholate micelle suspension of AmB (DOC), washed twice and then incubated for

additional 16 hrs. All treatments delivered a final concentration of 30 or 15 uM of AmB into the

506 media. The 0 uM control wells received an amount of liposome dilution buffer equivalent to the

507 30 uM treatment. CellTiter-Blue esterase assays estimated cell viability and survival.

Background fluorescence from wells with CellTiter-Blue reagent in the media, but lacking cellsand liposomes was subtracted. Standard errors are indicated.

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Fig. 1. Model of DEC-AmB-LLs, liposomes loaded with sDectin-1, amphotericin B, and rhodamine. Amphotericin B (AmB, blue oval structure) was intercalated into the lipid bilayer of 100 nm diameter liposomes. sDectin-1 (DEC, green globular structure) was coupled to the lipid carrier DSPE-PEG. Both DSPE-PEG-DEC and red fluorescent DHPE-Rhodamine (red star) were also inserted into the liposomal membrane. sDectin-1, Rhodamine, AmB and liposomal lipids were in a 1:2:11:100 mole ratio, respectively (Supplemental Table S1). Two sDectin-1 monomers (two DSEP-PEG-DEC molecules) must float together in the membrane to bind strongly to cell wall beta-glucans (red sugar moieties). The two liposomal controls examined were BSA-AmB-LLs that containing an equal ug amount of 65 kDa BSA in place of 22 kDa sDectin-1 (i.e., 0.33:2:11:100 mole ratio) and AmB-LLs lacking any protein coating (0:2:11:100 mole ratio). From these mole ratios, the surface area of an 100 nm diameter liposome, and the published estimate of 5 x 106 lipid molecules per 106 nm2 of lipid bilayer(62), we estimated that there were approximately 3,000 Rhodamine molecules in each liposome preparation and 1,500 sDectin-1 monomers in each DEC-AmB-LL. Note that for simplicity the proper ratios of these molecules are not shown in the figure.

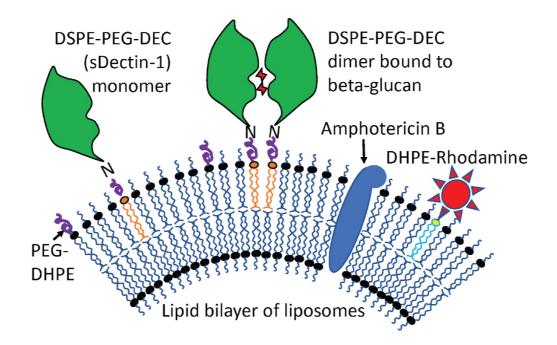


Fig. 2. sDectin-1 coated DEC-AmB-LLs bound strongly to germinating conidia and germ tubes of *A. fumigatus***, while AmB-LLs did not.** *A. fumigatus* conidia were germinated and grown for 8 to 10 hr in VMM + 1% glucose at 35°C in 24 well microtiter plates before being fixed and stained with fluorescent liposomes. A. Rhodamine red fluorescent DEC-AmB-LLs bound swollen conidia (white arrows) and germ tubes of *A.* fumigatus. B. Rhodamine red fluorescent AmB-LLs did not bind at detectable frequencies. No AmB-LLs were detected even when the red channel was enhanced as in this image. The smallest red dots in plate A represent individual 100 nm diameter liposomes viewed based on their fluorescence (orange arrows). Large clusters of liposomes form the more brightly red stained areas. C and D were stained with DEC-AmB-LLs. E and F stained with BSA-AmB-LLs. A through F. Cells were grown for 8 to 10 hr in VMM + 1% glucose at 37oC. Labeling was performed in LDB for 60 min. All three liposomes preparations were diluted 1:100 such that liposomal sDectin-1 and BSA proteins were at final concentrations of 1 ug/100 uL. Germlings were viewed in the green channel alone for cytoplasmic fluorescent EGFP expression and red channel for rhodamine fluorescent liposomes. A and B were photographed at 63X under oil immersion in a compound fluorescence microscope and red florescence was further enhanced in B to detect potentially individual liposomes. C through F were photographed at 20x on an inverted fluorescence microscope.

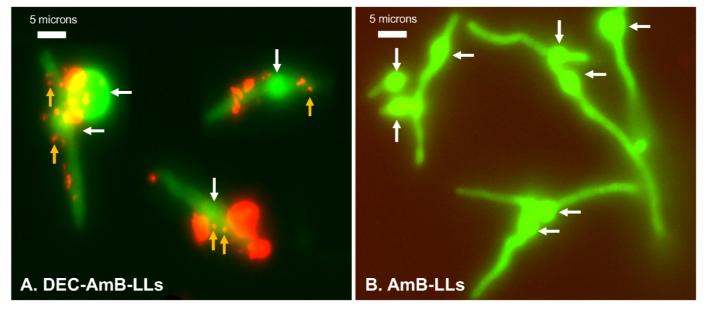


Fig. 2. continued

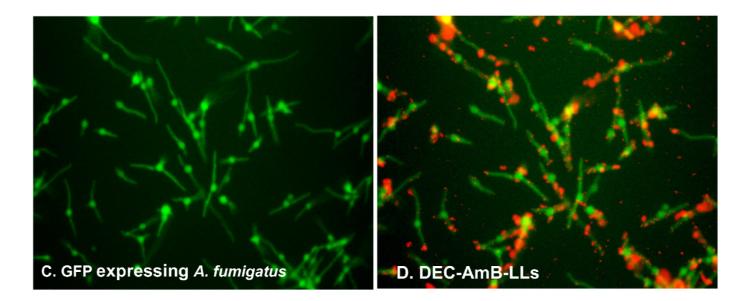


Fig. 2. continued

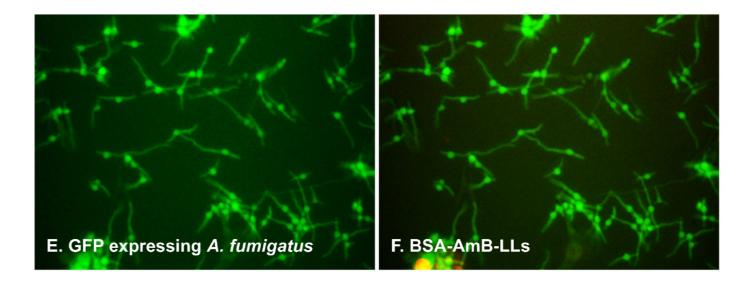


Figure 3. sDectin-1 coated DEC-AmB-LLs bound swollen conidia and hyphae of mature *A. fumigatus* cells, while untargeted AmBisome-like AmB-LLs did not. *A. fumigatus* conidia were germinated and grown for 16 hr in VMM + 1% glucose at 35°C in 24 well microtiter plates before staining with fluorescent liposomes. A. through D. Cells were stained with rhodamine red fluorescent DEC-AmB-LL diluted 1:100 such that sDectin-1 was at 1 ug/100 uL, and E. and F. with the equivalent amount of red fluorescent AmB-LLs for 60 min. A. DIC image alone. B. Combined DIC and red fluorescence image. A and B. show that Rhodamine fluorescent DEC-AmB-LLs bound to germinating conidia (white arrows) and hyphae. In B the smallest red dots represent individual 100 nm liposomes (orange arrows). C through F examined cytoplasmic green fluorescent EGFP and the red fluorescence of liposomes. C and D show that nearly all conidia and most hyphae stained with DEC-AmB-LLs. E & F show that AmB-LLs did not bind. A and B were photographed at 63X under oil immersion and C through F were photographed at 20X on an inverted fluorescent microscope.

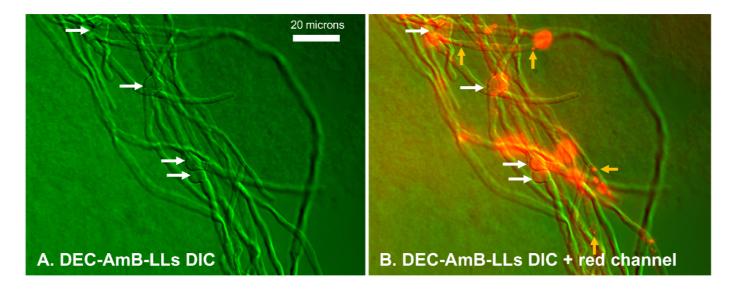


Fig. 3. continued

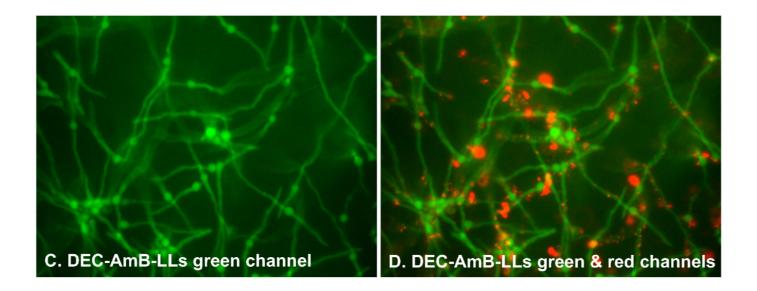


Fig. 3. continued.

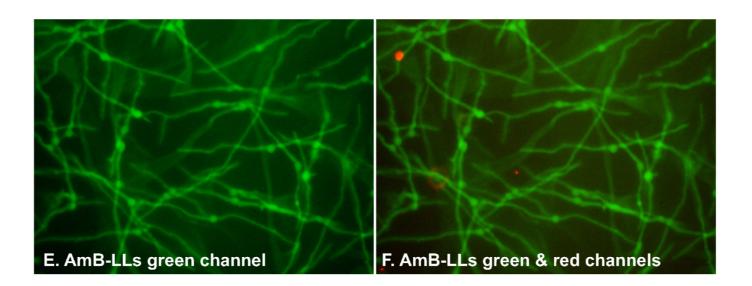


Fig. 4. sDectin-1-coated DEC-AmB-LLs bound two orders of magnitude more frequently to *A. fumigatus* than **control AmB-LLs and binding was inhibited by a soluble beta-glucan.** Samples of 4,500 *A. fumigatus* conidia were germinated & grown at 35°C for 36 hours VMM+1% glucose, fixed in formalin or examined live, and incubated for 1 hr with 1:50 dilutions of liposomes in liposome dilution buffer. Unbound liposomes were washed out. Multiple fields of red fluorescent images were photographed at 20X and red fluorescence enhanced equivalently for all images. Each photographic field contained approximately 25 swollen conidia and an extensive network of hyphae (not shown). A, B, C. Labeling formalin fixed cells. D, E, F. Labeling live cells. G, H, I. Inhibition of DEC-AmB-LL labeling of fixed cells by 1 mg/mL laminarin, a soluble beta-glucan vs 1 mg/mL sucrose as a control. A, D, & G. The number of red fluorescent liposomes and clusters of liposomes were counted, averaged per field and plotted on a log10 scale. The numerical average is indicated above each bar and on the vertical axis. Standard errors are shown. Examples of photographic fields of liposomes used to construct the adjacent bar graphs are shown in B, C, E, F, H, and I.

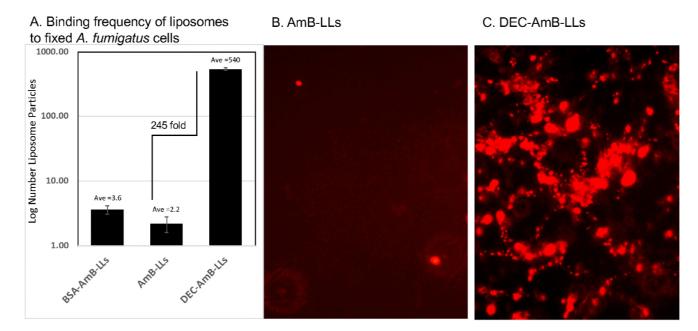


Fig. 4. Continued

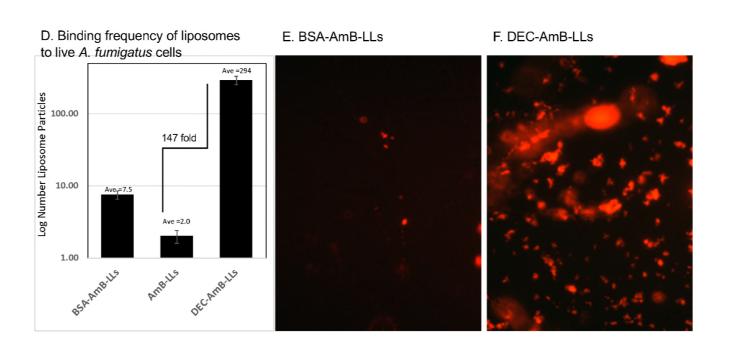
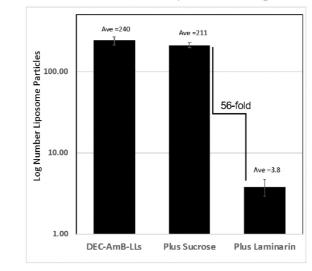
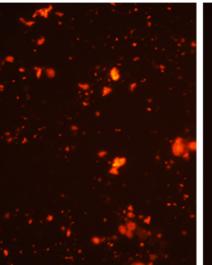


Fig. 4. Continued

G. Laminarin inhibition of liposome binding



H. DEC-AmB-LLs



I. DEC-AmB-LLs + Laminarin

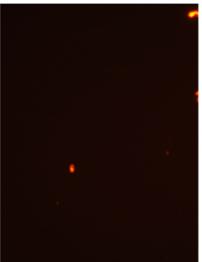


Fig. 5. DEC-AmB-LLs inhibited the growth *A. fumigatus* far more efficiently than AmB-LLs. Samples of 4,500 *A. fumigatus* conidia were germinated & grown in 96 well microtiter plates in Vogel's Minimal Media (VMM+1% glucose) for 8 to 56 hr at 35°C and treated at the same time with liposome preparations delivering the indicated concentrations of AmB to the growth media (A-D 3 uM AmB, a 1:300 fold dilution of all three liposome preparations), E. 0.09 uM, F. 0.18 uM, G. 0.9 to 3 uM) or an equivalent amount of liposome dilution buffer. Viability and growth were estimated using CellTiter-Blue reagent (A and C) or by measuring hyphal length (B & D) or by scoring percent germination (E, F, and G). Background fluorescence from wells with CellTiter-Blue reagent in the media, but lacking cells and liposomes was subtracted. Std. Errors are indicated. Inset photos in B and D show examples of the length of hyphae assayed for AmB-LLs and DEC-AmB-LL treated sample. One unit of hyphal length in B and D equals 5 microns. A and B and C and D compare the results from two biological replicate experiments with independently conjugated sDectin-1 and assembled liposomes.

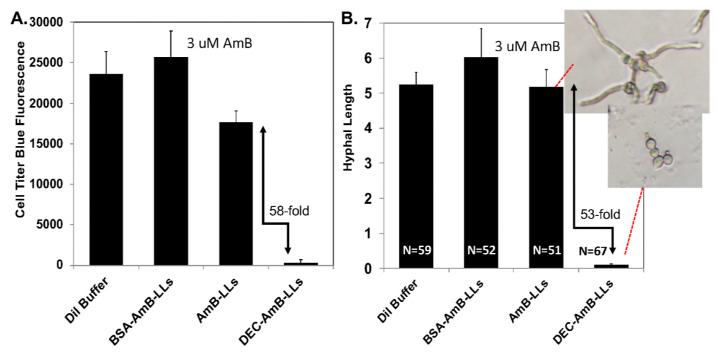


Fig. 5. Continued

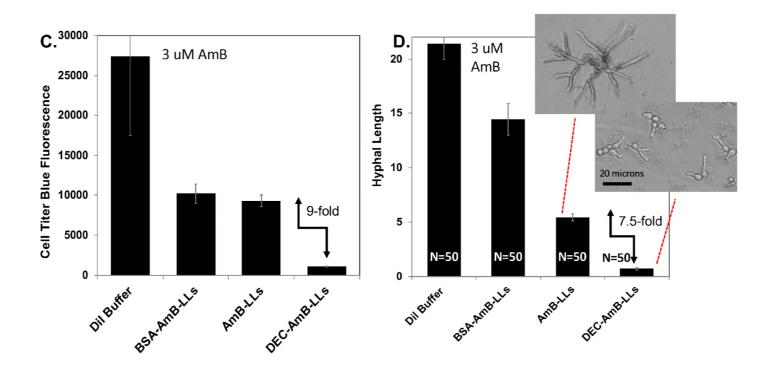


Fig. 5. Continued

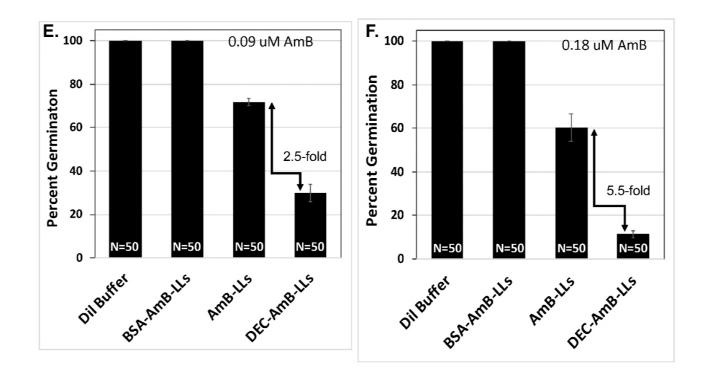


Fig. 5. Continued

