Adaptive evolution among cytoplasmic piRNA proteins leads to decreased genomic auto-immunity

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Abstract

In metazoan germlines, the piRNA pathway acts as a genomic immune system, employing

small RNA-mediated silencing to defend host DNA from the harmful effects of transposable

elements (TEs). In response to dynamic changes in TE content, host genomes are proposed to

alter the piRNAs that they produce in order to silence the most active TE families. Surprisingly,

however, piRNA pathway proteins, which execute piRNA biogenesis and enforce silencing of

targeted sequences, also evolve rapidly and adaptively in animals. If TE silencing evolves

through changes in piRNAs, what necessitates changes in piRNA pathway proteins? Here we

used interspecific complementation to test for functional differences between *Drosophila*

melanogaster and *D. simulans* alleles of three adaptively evolving piRNA pathway proteins:

Armitage, Aubergine and Spindle-E. Surprisingly, we observed interspecific divergence in the

regulation of only a handful of TE families, which were more robustly silenced by the

heterospecific piRNA pathway protein. This suggests that positive selection does not act on

piRNA effector proteins to enhance their function in TE repression, but rather that TEs may

evolve to "escape" silencing by homospecific alleles. We also discovered that *D. simulans*

alleles of *aub* and *armi* exhibit enhanced off-target effects on host transcripts in a *D.*

melanogaster background, suggesting the avoidance of genomic auto-immunity as a critical

target of selection. Our observations suggest that piRNA effector proteins are subject to an

evolutionary trade-off between defending the host genome from the harmful effect of TEs while

also minimizing friendly fire against host genes.

Author Summary

Transposable elements are mobile fragments of selfish DNA that burden host genomes with

deleterious mutations and incite genome instability. Host cells employ a specialized small-RNA

mediated silencing pathway, the piRNA pathway, to act as a genomic immune system

suppressing the mobilization of TEs. Changes in genomic TE content are met with rapid

changes in the piRNA pool, thereby maintain host control over transposition. However, piRNA

pathway proteins—which enact piRNA biogenesis and silence target TEs—also evolve

adaptively. To isolate forces that underlie this adaptive evolution, we examined functional

divergence between two *Drosophila* species for three adaptively evolving piRNA pathway

proteins. To our surprise, we found very few differences in TE regulation, suggesting that

evolution has not generally acted to enhance control of TE parasites. Rather, we discovered

interspecific differences in the regulation of host mRNAs for two proteins, which suggested that

proteins evolve to avoid off-target silencing of host transcripts. We propose that the avoidance

of such "genomic autoimmunity" is an important and underappreciated force driving the adaptive

evolution of piRNA proteins.

Introduction

Transposable elements (TEs) are ubiquitous mobile genetic entities, whose unrestricted

propagation can cause deleterious insertional mutations and chromosome rearrangements, and

is often associated with cancer and sterility [1–4]. TE regulation is therefore essential, especially

in germline cells, where TE insertions and associated mutations can be transmitted to the next

generation. In metazoan germlines, regulation of TE transcripts is enacted by a small RNA

silencing pathway, the PIWI-interacting RNA pathway (piRNA pathway), in which piRNAs

complexed with PIWI-clade Argonaute proteins target complementary TEs for post-

transcriptional and transcriptional silencing [5].

Host genomes are often parasitized by multiple TE families, which change rapidly in their

presence and abundance [6–9]. The control of TE transcripts by complementary piRNAs may

facilitate adaptation to genomic TEs through changes in piRNA species [10,11]. Surprisingly,

however, the protein components of the piRNA pathway that enact piRNA biogenesis and

enforce TE silencing also evolve adaptively in diverse metazoan lineages [12–16]. Evidence for

adaptive evolution of piRNA pathway proteins is particularly strong in *Drosophila* [12–15,17],

which has also emerged as a work horse for uncovering the mechanisms of piRNA-mediated

silencing [reviewed in 18]. For example, a recent meta analysis including both *D. melanogaster*

and *D. pseudoobscura* revealed that 22 of 26 piRNA pathway proteins exhibit significant

signatures of adaptive protein evolution in one or both species [14].

Adaptive evolution of piRNA effector proteins is proposed to arise from an evolutionary

arms race between TEs and host silencing machinery [reviewed in 19]. In the simplest scenario,

effector proteins evolve adaptively in order to restore silencing of newly invading or escaper TE

families. Alternatively, if TEs "fight back" by encoding RNA or protein antagonists of host

silencing machinery, piRNA pathway proteins could evolve adaptively to escape TE antagonism

[20]. Finally, piRNA proteins may evolve adaptively to avoid "genomic auto-immunity" in the

form of off-target silencing of host genes [19,21]. Uncovering which of these selective forces

drives the adaptive evolution of piRNA effector proteins requires elucidating the resulting functional consequences of piRNA-effector-protein divergence. For example, adaptive evolution among transcriptional silencers has led to incompatibilities between alleles of interacting proteins from different species, with dramatic consequences for piRNA production and TE control [20,22,23]. In particular, functional changes in Rhino are proposed to reflect evolutionary escape from a TE-encoded antagonist [20].

Here, we broaden our understanding of the functional consequences of adaptive evolution among *Drosophila* piRNA effector proteins by examining three additional essential piRNA pathway components that play critical roles in piRNA maturation and post-transcriptional silencing [24–30]: Armitage (Armi), Aubergine (Aub) and Spindle-E (spn-E). This work significantly extends a preliminary analysis of Aub divergence [31]. Aub is a Piwi-clade Argonaute protein which, guided by piRNAs, enacts post-transcriptional silencing of sense TE-derived mRNAs [24]. Aub cleavage also feeds forward the ping-pong amplification cycle, a core mechanism for the maturation of both sense and antisense piRNAs that also requires Spn-E [25,26,28,32]. Distinct from both Aub and Spn-E, Armi binds to antisense piRNA precursors to facilitate their sequential cleavage by the nuclease Zucchini in an alternate biogenesis mechanism referred to as "phasing" [29,33–37]. The loci encoding Aub, Spn-E and Armi all exhibit adaptive evolution along the lineage leading to *D. melanogaster, D. simulans* or both, yet the underlying evolutionary force(s) remain unknown [13,15].

To isolate diverged functions of these adaptively evolving proteins, we performed interspecific complementation, in which we compared the ability of *D. melanogaster* and *D. simulans* wild-type alleles to complement a *D. melanogaster* mutant background. While nuclear transcriptional silencers were previously demonstrated to exhibit dramatic interspecific divergence in TE regulation and piRNA production [20,23], we observed only minor allelic differences in both of these functions. Rather, we uncovered idiosyncratic differences in the regulation of a small handful of TEs suggesting potential element-specific adaptations. We also

observed that *D. simulans* alleles of *aub* and *armi* exhibit reduced efficiency of piRNA

maturation in association with increased off-target regulation of host mRNAs. We propose that

in contrast to nuclear transcriptional silencers, selection acts cytoplasmic piRNA proteins to

maximize their specificity to piRNA production and TE transcripts, while minimizing non-

functional or deleterious interactions with host mRNA.

Results

<u>**Identifying functional divergence through interspecific complementation.**</u>

Previous divergence-based analyses of Aub and Spn-E suggest that adaptive evolution is not

confined to a particular functional domain but is dispersed throughout the proteins [12,15].

Consistent with these findings, we identified abundant amino-acid differences between *D.*

melanogaster and *D. simulans* throughout Aub and Spn-E (Fig 1A). Armi does not exhibit strong

evidence of positive selection in divergence-based tests, however, an excess of amino acid

substitutions exists between *D. melanogaster* and *D. simulans*, which have likely arisen by

positive selection in one or both lineages [15]. Similar to Aub and Spn-E we observe that these

fixed differences are scattered throughout the protein, both inside and outside of functional

domains (Fig 1A).

To isolate phenotypic differences between *D. melanogaster* and *D. simulans* alleles that

result from adaptive evolution, we employed interspecific complementation, in which we

compared the ability of *D. melanogaster* and *D. simulans* wild-type alleles to complement a *D.*

melanogaster mutant background. For each selected piRNA protein, we generated and

compared three genotypes: 1) a trans-heterozygous loss-of-function mutant, 2) a mutant with a

D. melanogaster genomic transgene rescue, and 3) a mutant with a *D. simulans* genomic

transgene rescue (S1 Fig). The transgenes include the complete genomic region from either *D.*

melanogaster or *D. simulans* including upstream and downstream sequences containing

potential cis-regulatory elements. Furthermore, transgenes were inserted into matched *attP*

sites by ΦC31 integrase [38], in order to avoid position effects. Phenotypes for which the *D.*

simulans alleles fail to fully complement the mutant, or otherwise differ between the alleles of

the two species, point to diverged functions that are potential targets of adaptive evolution.

Fig 1. Functional and sequence divergence in piRNA pathway proteins. (A) Amino acid substitutions

between *D. melanogaster* [40] and *D. simulans* [41] reference alleles are indicated as grey tick marks.

Starting and ending amino acids for InterPro [42] annotated functional domains are indicated. (B)

Female fertility for *D. melanogaster* and *D. simulans* transgenic rescues are compared for three different

age classes. Females with the *D. simulans spn-E* transgene are significantly less fertile across the

experiment (Repeated measures ANOVA, $F_{1,172}$ = 4.043, $p < 0.05$) and at the third time point we

measured (11-15 days, t_{56} = 2.304, $p < 0.05$). Females with the *D. simulans armi* transgene are

significantly less fertile across the experiment (Repeated measures ANOVA, $F_{1,175}$ = 8.824, $p < 0.01$) and

at the second time (06-10 days, t_{57} = 3.0718, p < 0.01) and the third time point we measured (11-15

days, t_{57} = 2.5915, p < 0.05). Samples sizes are 25-35 females. * denotes $p \leq 0.05$. ** denotes $p \leq 0.01$.

We first considered the effect of transgenic rescue on female fertility. Homozygosity or

trans-heterozygosity for loss of function alleles in all three genes causes complete female

sterility (Fig 1B, S1 Table), while heterozygotes are fertile [39]. For all three proteins, fertility is

restored by transgenic rescues from the two species to different degrees, with *D. melanogaster*

transgenes conferring higher fertility than their *D. simulans* counterparts (Fig 1B, S1 Table) [31].

Because *D. simulans* transgenes do not exhibit significantly reduced expression when

compared to *D. melanogaster* (S2 Fig), these fertility effects most likely reflect amino acid

sequence divergence in the encoded proteins.

Idiosyncratic differences in TE regulation

To uncover molecular phenotypes that relate to fertility differences, we first examined whether

D. melanogaster and *D. simulans* alleles differed with respect to TE transcriptional control and

associated piRNA production using RNA-seq and small RNA-seq (S5 Fig, S2 Table). Enhanced

piRNA-mediated negative regulation of TEs is an obvious target of positive selection acting on

piRNA pathway proteins. Furthermore, mutants for all three proteins exhibit dramatic decreases

in piRNA abundance for the majority of TE families, and corresponding increases in target TE

transcripts, when compared to wild-type flies [26,32,43].

We compared TE transcript abundance between mutants and transgenic rescues using

mRNA-seq (*aub*) and stranded total RNA-seq (*spn-E* and *armi*) (S3 Table). For total RNA-seq

data, we focused on the regulation of sense TE transcripts that code for protein and act as

transposition intermediates for retrotransposons. For all three proteins, *D. melanogaster*

transgenic rescues greatly reduce transcript abundance for the majority of TE families when

compared to the corresponding mutant (Fig 2A). Surprisingly, however, in all three cases the majority of TEs are not differentially expressed between the transgenic rescues, implying that negative regulation of TEs is fairly conserved between species (Fig 2A). Importantly, we did not observe any systematic differences in expression for germline or soma-specific protein-coding genes between the transgenic rescues, strongly suggesting that the germline-to-soma ratio is consistent between transgenes (S2 Fig).

Despite an overall conservation of TE repression, we discovered idiosyncratic differences in regulation, in which individual TE families are more robustly silenced by the *D. melanogaster* or *D. simulans* allele. While we cannot rule out that some differences in TE regulation could reflect residual background effects, 5 out of 5 TE families whose transcript abundance differs between transgenic rescues for one of the three proteins are more robustly silenced by the *D. simulans* allele. This bias towards enhanced negative regulation by *D. simulans* is not consistent with the random segregation of TE copies during backcrossing. Furthermore, the *tirant* LTR retrotransposon is more robustly silenced by the *D. simulans* allele of both *aub* and *spn-E.* Differences in silencing of individual TE families suggest lineage-specific coevolution between host-regulatory machinery and individual TE families.

Divergence in TE regulation between *D. melanogaster* and *D. simulans* alleles could arise from differential production of corresponding antisense piRNAs, or from altered interaction of protein alleles with target transcripts. To differentiate between these alternatives, we compared antisense TE-derived piRNAs between mutants and transgenic rescues for all three proteins (Fig 2B, S4 Table). Notably, when compared to mutants, *D. melanogaster* transgenic rescues increased piRNA abundance similarly to heterozygotes, which are generally considered wild-type with respect to piRNA production and silencing (S3A Fig). *Drosophila melanogaster* transgenic rescues also establish similar profiles of ping-pong and phasing piRNA biogenesis as compared to heterozygotes (S3B Fig). Transgenically expressed proteins are therefore fully functional with respect to piRNA production.

Of 5 TE families that are differentially regulated by the transgenic rescues of the two

species (Fig 2A), only *tirant* exhibited a corresponding change in antisense piRNA abundance

(S4 Fig). Furthermore, similar to their mRNA targets, *tirant* antisense piRNAs were increased in

the *D. melanogaster* transgene, which is not consistent with piRNA loss as a cause of TE

derepression. Differences in TE regulation between alleles therefore occur independently of

piRNA abundance, and may reflect direct interactions between proteins and TE transcripts.

Furthermore, *D. simulans* alleles have very similar effects on the overall pool of TE-derived

piRNAs as *D. melanogaster*, with only five repeat classes differentially abundant between any of

the three pairs of transgenic rescues, two of which are satellite repeats (SAR and SAR2, Fig 2B,

S4 Fig). Interspecific divergence in piRNA production is therefore modest between alleles, with

no detectable impact on the regulation of genomic TEs.

1 **Fig 2. Minimal differences in TE regulation and piRNA production between alleles.** TE transcript

2 abundance (A) and TE-derived antisense piRNA abundance (B) is compared between *D. melanogaster*

3 rescues and trans-heterozygous mutants ("mut", upper row) or *D. simulans* rescues (lower row) for *aub*,

4 *spn-E* and *armi*. Red dashed lines indicate the 2 fold-change threshold. TE families whose abundance

differs significantly between mutants and *D. melanogaster* rescues are indicated in red ($p > 0.05$ for TE

transcripts). *P*-values were not considered for small RNA analysis because the small number of TE

families in the analysis (<130 families, S4 Table) is unlikely to provide a sufficiently large sample size for

the statistical model implemented in DESeq2 [44]. TE families whose abundance is higher in *D.*

melanogaster rescues than in *D. simulans* rescues are in yellow, whereas the reciprocal is in blue. mRNA

abundance is based on one biological replicate (*aub*), sense total RNA abundance is based on three

biological replicates (*spn-E* and *armi*). TE-derived piRNA abundance is based on two biological replicates

for *aub* and three biological replicates for *spn-E* and *armi*, and was normalized to the total number of

sequenced miRNAs in the same library.

***Drosophila simulans* alleles exhibit reduced piRNA biogenesis.**

Despite the absence of large-scale interspecific differences in antisense piRNAs that regulate

TEs (Fig 2B), we interrogated piRNA pools associated with each of the transgenic rescues for

evidence of underlying differences in piRNA biogenesis. We examined molecular signatures of

the two major mechanisms of piRNA biogenesis: ping-pong and phasing. Ping-pong biogenesis

produces piRNAs through reciprocal cleavage of complementary precursors (Fig 3A) [24,25].

The frequency of ping-pong amplification is therefore estimated by the fraction of piRNAs

occuring on opposite strands of the TE consensus whose sequences overlap by 10 bp, a

reflection of the cleavage-site preference of the key ping-pong cycle factors Aub and Argonaute-

3 (Ago-3, Fig 3A-D, S5 Table) [24–26]. In contrast, phasing biogenesis occurs through

sequential cleavage of a single RNA strand, which is usually antisense [33,34]. Phasing is

detected from the fraction of piRNAs whose 3' ends are immediately followed by a uracil residue

(+1-U), as well as the frequency of piRNAs from the same strand that are separated by a

distance of a single nucleotide (d1), both of which are diagnostic of cleavage by the phasing

nuclease Zucchini (Fig 3E-G, S6 and S7 Table) [33,34]. In general, ping-pong and phasing are

inversely correlated in mutant piRNA pools, because reducing the frequency of one leads to a

proportional increase in the other [33,34].

Aub plays a direct role in ping-pong amplification by cleaving piRNA precursors (Fig 3A)

[24–26], and *spn-E* is required for the localization of Aub into the perinuclear nuage, where ping-

pong occurs [28]. Mutations in either gene therefore cause a complete collapse of ping-pong

amplification (Fig 3B, S6A and S6B Fig, S5 Table) [26,32]. Both *D. melanogaster* and *D.*

simulans aub and *spn-E* alleles exhibited a dramatic increase in the ping-pong fraction,

indicating a conserved role in ping-pong biogenesis (Fig 3B, S6A and S6B Fig). However in the

case of *aub,* ping-pong fractions associated with the *D. simulans* transgenic rescue were

modestly yet significantly lower than *D. melanogaster,* and there was a corresponding

proportional increase in phased piRNA biogenesis (Fig 3B, 3F and 3G, S7 Fig, S6 and S7

Table), suggesting reduced efficiency of ping-pong. By contrast, *D. simulans spn-E* allele did not

reduce ping-pong (Fig 3B, S6B Fig, S5 Table), yet there was a modest but significant increase

in the d1 proportion with the *D. simulans spn-E* rescue (Fig 3F, S6 Table), potentially suggesting

increased phasing.

Armi promotes the production of phased piRNAs by binding to antisense piRNA

intermediates and facilitating their cleavage by the nuclease Zucchini (Fig 3E) [29,33,34]. Both

d1 and +1-U are therefore significantly reduced in *armi* mutants (Fig 3F and 3G, S7 Fig, S6 and

S7 Table). While Armi is not involved in ping-pong, phasing produces Aub-bound antisense

piRNAs, which are required for ping-pong biogenesis for some TE families [26,45]. Ping-pong

fractions are therefore decreased in *armi* mutants for some TE families (Fig 3C and 3D, S6C

Fig, S5 Table). By contrast, for TE families that do not rely on phased piRNA production for

ping-pong, ping-pong-derived piRNAs proportionally increase in *armi* mutants, owing to the loss

of phased piRNAs (Fig 3C and 3D, S6C Fig, S5 Table). Although exhibiting piRNA production

similar to the *D. melanogaster* allele (Fig 2B), the *D. simulans armi* rescue exhibited modestly

but significantly reduced +1-U proportion, indicating reduced phasing (Fig 3G, S7B Fig, S7

Table). However, the more dramatic and statistically significant allelic effect is on ping-pong

biogenesis, which is reduced for most TE families by the *D. simulans armi* rescue when

compared to *D. melanogaster* (Fig 3C and 3D, S6C Fig, S5 Table)*.* Importantly, this reduction

occurs regardless of whether *armi* function enhances or represses ping-pong biogenesis,

revealing a global inhibitory effect imposed by *D. simulans armi*. Indeed, although the differential

abundance of TE and repeat-derived piRNAs between transgenic rescues rarely exceeded two-

fold, significantly more TE families were more abundant in the presence of the *D. melanogaster*

armi rescue compared to the *D. simulans armi* rescue (118 out of 131 TE families, Sign-test, *P*-

value $< 10^{-15}$). Therefore, the modest reductions in ping-pong and phasing biogenesis exhibited

by the *D. simulans armi* allele lead to a similarly modest reduction in the abundance of TE and

repeat-derived piRNAs.

2 **Fig 3. *Drosophila simulans* alleles reduce ping-pong biogenesis and phasing biogenesis.** (A) Simplified

3 diagram of ping-pong amplification loop. (B) Ping-pong fractions of TE-derived piRNAs from 142 TE

4 families are compared between trans-heterozygous mutants and transgenic rescues for *aub* and *spn-E*.

(C) Ping-pong fractions of TE-derived piRNAs are compared between trans-heterozygous mutants and transgenic rescues for *armi*. Comparison for 92 and 43 TE families whose ping-pong fractions are decreased (left) or increased (right), respectively, in *armi* mutants as compared to the *D. melanogaster* transgenic rescue. (D) Left: ping-pong fraction heat map for the 20 most piRNA-abundant TE families from panel C left. Right: ping-pong fraction heat map for 20 most piRNA-abundant TE families from panel C right. (E) Zuc-dependent phased piRNA biogenesis. (F) Proportions of 1 nt distance between adjacent piRNAs (d1) mapped to the TE consensus sequences are compared between each genotype of each gene. (G) Proportions of uridine residue immediately after the 3' ends of piRNAs (+1-U) mapped to the TE consensus sequences are compared between each genotype of each gene. Statistical significance was assessed by the Wilcoxon signed-rank test. For *aub*, two biological replicates of each genotype generated at different times are shown separately. For *spn-E* and *armi*, average of three biological replicates of each genotype generated at the same time are shown. NS denotes $p > 0.05$. *, **, and *** denote $p \leq 0.05$, $p \leq 0.01$, $p \leq 0.001$, respectively.

Increased off-target effects of *D. simulans* alleles suggest genomic auto-immunity.

While effective negative regulation of TE transcripts is a critical function of piRNA pathway proteins, it is equally important that they avoid off-target effects that interfere with the function of host genes. [19,21]. Aub, Spn-E and Armi are all RNA binding proteins that must specifically interact with piRNAs, piRNA precursors, and target transcripts, while avoiding interactions with cytoplasmic mRNAs. We therefore considered whether off-target effects differ between *D. melanogaster* and *D. simulans* alleles, predicting that *D. simulans* alleles may produce more off-target effects as they are not adapted to avoid interactions with *D. melanogaster* transcripts.

To test this prediction, we first identified protein-coding genes that are negatively regulated by piRNA pathway proteins by comparing their expression levels between mutants and transgenic rescues (S8 Table). Protein-coding genes whose expression is significantly

reduced in transgenic rescues (>1.5 fold) are candidates for off-target effects of piRNA-

mediated silencing. We observed that for all three proteins, significantly more genes decreased

than increased in expression in transgenic rescues as compared to mutants (Fig 4A),

suggesting that piRNA pathway proteins tend to reduce the expression of protein-coding genes.

Furthermore, the majority of protein-coding genes that are negatively regulated by *D.*

melanogaster rescues are also repressed by *D. simulans* rescues, suggesting a shared impact

on the expression of many protein-coding genes (Fig 4B and 4C). However, for all three

proteins, we observed more protein-coding genes whose expression significantly is reduced by

the *D. simulans* rescue than the *D. melanogaster* rescue, with the difference being significant for

both *aub* and *armi* (Fig 4B). Together, these observations suggest that *D. simulans* alleles

exhibit expanded off-target regulation of protein-coding genes.

Increased off-target effects of *D. simulans* alleles could be explained by increased

production of antisense genic piRNAs that target host transcripts, or by piRNA-independent

interactions between proteins and mRNAs. Recent analyses of off-target interactions between

host mRNAs and Piwi (closely related to Aub) or Armi support the latter scenario, suggesting

that while the binding of host mRNAs by piRNA proteins reduces mRNA abundance, it does not

result from enhanced antisense genic piRNA production [30,46,47]. We therefore compared the

abundance of antisense genic piRNAs, which target the silencing of sense transcripts, between

transgenic rescues (S9 Table). Of 48 transcripts that are negatively regulated by *D. simulans*

aub, but not *D. melanogaster aub*, only 5 are targeted by antisense piRNAs. Furthermore,

no significant bias towards increased antisense piRNA production in the *D. simulans* allele

was observed for these genes (Fig 4D). Similarly of 170 transcripts that are negatively

regulated by *D. simulans armi*, but not *D. melanogaster armi*, only 15 are targeted by

antisense piRNAs. Furthermore, antisense piRNAs corresponding to these genes are more

abundant in the presence of the *D. melanogaster* allele, which is the opposite of what is

expected if enhanced negative regulation by *D. simulans* results from accumulating antisense piRNAs (Fig 4D). Finally, *D. simulans* transgenic rescues do not exhibit expanded production of genic piRNAs for any of the three proteins(S8 Fig). Therefore, enhanced negative regulation of host mRNAs by *D. simulans* Aub and Armi appears to be independent of antisense piRNA production, and may arise through promiscuous binding of *D. simulans* proteins to *D. melanogaster* transcripts.

If *D. simulans* Aub and Armi proteins bind promiscuously to *D. melanogaster* mRNAs, they could introduce them into the piRNA pool by treating them as substrates for piRNA maturation. Consistent with this model, we observed that sense piRNAs derived from genic transcripts that are uniquely negative regulated by *D. simulans* are significantly more likely to be more abundant in the presence of *D. simulans* alleles of *aub* and *armi* when compared to *D. melanogaster* (Fig 4E). Therefore, while off-target regulation occurs independently of antisense piRNAs, it leads to a consequential increase in sense piRNA production.

**Fig 4. Negative regulation of protein-coding genes suggests increased genomic auto-immunity of *D.*

simulans alleles.** (A) The number of genes whose expression levels are decreased/increased (>1.5 fold,

blue/red) in the presence of each transgene as compared to the corresponding mutant. Statistical

significance was assessed by the binomial test evaluating the probability of the observed proportion of

down-regulated genes as compared to upregulated genes under the null hypothesis that the two

probabilities are equal. (B) The number of genes whose expression levels are decreased (>1.5 fold) in the

presence of each transgene as compared to the corresponding mutant. Contingency tables are shown

below. Statistical significance was assessed by the Pearson's Chi-squared Test of Independence.

Expected counts were calculated based on the assumption of statistical independence between

transgenic rescue allele and effect of corresponding regulation (i.e. genes are equally likely to down-

regulated by either allele). (C) Overlap of genes whose expression levels are decreased (>1.5 fold) in the

presence of each transgene as compared to the corresponding mutant for *aub* (left Venn diagram), *spn-*

E (middle Venn diagram) and *armi* (right Venn diagram). (D, E) Probability density plots for log2 fold-

change of antisense (D) / sense (E) piRNA abundance between rescues by *D. melanogaster* and *D.*

simulans alleles, for genes whose expression levels are down-regulated by *D. simulans* alleles only. Log2

fold-change values were based on one biological replicate for *aub* and three biological replicates for *spn-*

E and *armi*, and were obtained from a DESeq analysis for *aub* and a DESeq2 analysis for *spn-E* and *armi*

(adjusted $p < 0.05$). NS denotes $p > 0.05$. * denotes $p \leq 0.05$. *** denotes $p \leq 0.001$.

Discussion

Despite pervasive adaptive evolution and gene duplication among piRNA pathway proteins in

both insect and vertebrate lineages [12–15,48,49], the underlying forces that drive these

evolutionary dynamics remain unclear. By performing interspecific complementation on three

adaptively evolving piRNA pathway genes, we revealed diverged functions that may have arisen

through positive selection. For all three proteins we observed idiosyncratic differences in TE

regulation between *D. melanogaster* and *D. simulans* alleles, which is consistent with genetic

conflict between host and parasite. However, we also revealed more extensive off-target effects

and reduced efficiency of piRNA maturation associated with *D. simulans* alleles of both *aub* and

armi, suggesting that selection acts to maximize biogenesis function while minimizing friendly

fire on host transcripts. Taken together, our results suggest that positive selection acts at

multiple molecular and functional interfaces within the piRNA pathway.

The simplest explanation for the adaptive evolution of piRNA proteins is that selection

acts to maximize host control of TE transposition. At face value, TEs that are differentially

expressed between transgenes from the two species seem to support this model (Fig 2A).

However, all 5 of these TE families were more robustly silenced by the heterospecific *D.*

simulans proteins. This suggests that rather than conspecific piRNA proteins being well-adapted

to silence their genomic TEs, active genomic TEs may be well-adapted to evade silencing by

their host regulators. Indeed the *tirant* element, which is more robustly regulated by *D. simulans*

alleles of both *armi* and *spn-E*, is unusually active in *D. melanogaster* but is actively lost from *D.*

simulans [50–52]. We propose that the differential evolutionary dynamics of *tirant* in these two

lineages may in part reflect the differences in host-control that we have uncovered.

Genomic auto-immunity was recently proposed as an additional target of positive

selection among piRNA proteins [19]. The deliberate non-specificity of piRNA pathway proteins

that allows them to target any sequence represented among piRNAs for silencing presents a

huge liability for host-gene regulation: how can piRNA proteins avoid deleterious interactions

with host transcripts? Furthermore, RNA-immunoprecipitation (RIP) and cross-linking

immunoprecipitation (CLIP) of Piwi suggest that piRNA proteins may also negatively regulate

host mRNAs by binding them directly in a piRNA-independent manner [46,47]. Similar

observations have been made with Armi protein, with the ATP-ase domain being required to

disassociate Armi from host mRNAs in the cytoplasm [30]. We observed that *D. simulans armi*

and *aub* alleles are characterized by expanded negative regulation of host genes (Fig 4A-C),

which is accompanied by reduced efficiency of TE-derived piRNA production (Fig 3).

Importantly, this observation is not consistent with a subtle difference in protein abundance

between transgenic rescues, since this would reduce both piRNA biogenesis and off-target

effects. Rather our observations are fully consistent with *D. simulans* alleles binding

promiscuously to host mRNAs, thereby reducing host-gene expression and depleting the pool of

protein available to enact piRNA maturation. Nevertheless, we cannot discount an equally

intriguing alternative explanation: that *D. simulans* alleles have decreased affinity for interacting

protein partners that mediate piRNA biogenesis, which liberates them to bind more frequently to

host mRNAs. Future comparisons of molecular interactions involved *D. melanogaster* and *D.*

simulans alleles could differentiate between these alternatives.

Our observations considerably expand our understanding of the enigmatic forces that

drive adaptive evolution across the piRNA pathway. The three proteins we studied here, which

are cytoplasmic factors involved in piRNA maturation and post-transcriptional silencing, provide

an informative contrast to similar studies of three adaptively evolving nuclear transcriptional

silencing factors: Rhino, Deadlock and Cutoff (Parhad et al. 2017; Yu et al. 2018; Parhad et al.

2019). In comparison to the modest functional differences we observed between *D.*

melanogaster and *D. simulans* alleles, nuclear factors are characterized by dramatic

interspecific divergence, with *D. simulans* alleles behaving similarly to loss of function or

dominant negative mutations (Parhad et al. 2017; Yu et al. 2018; Parhad et al. 2019).

Furthermore, adaptive evolution among transcriptional silencers has resulted in interspecific

incompatibilities between interacting proteins, as opposed to the divergence in protein-RNA

interactions that our data suggest. These observations logically reflect differences in the

molecular functions of the two classes of proteins, with transcriptional regulation relying on

suites of proteins that modify chromatin or regulate RNA-polymerase, while piRNA maturation

and post-transcriptional silencing relies more on interactions between proteins and RNA.

Interestingly, a recent meta-analysis of piRNA protein evolution in insects revealed that

while positive selection is pervasive throughout the piRNA pathway, signatures of adaptive

evolution are significantly stronger among nuclear transcriptional regulators, as compared to the

cytoplasmic factors we studied here (Palmer et al. 2018). Thus, our functional analysis

recapitulates an evolutionary signature in sequence data. Why might nuclear transcriptional

regulators diverge more rapidly or dramatically than their cytoplasmic counterparts? Enhanced

positive selection on nuclear factors may reflect their greater potential to fully suppress the

expression of host genes through disrupted chromatin state, as opposed to merely depleting

host transcripts through non-productive binding (Blumenstiel et al. 2016). We therefore propose

that off-target effects may play an under-appreciated role in the evolution of both nuclear and

cytoplasmic piRNA proteins, with the strength and consequences of positive selection

depending on the mechanisms of—and costs to—host gene regulation.

Materials and methods

Fly strains and crosses

All *Drosophila* strains were reared at room temperature on standard cornmeal media.

For the studies of *aubergine* (*aub*), the following *D. melanogaster* strains were used: *w;*

aub^{N11} bw^1/CyO, yw; aub^{HN} bw^1/CyO, yw; aub^{HN} bw^1/CyO; ΦP{D. melanogaster aub}, yw; aub^{HN}

bw^1/CyO; ΦP{D. simulans aub}. w; aub^{N11} bw^1/CyO, was a gift from Paul MacDonald. *yw; aub^{HN}*

bw^1/CyO was obtained by extracting *yw* into *aub^{HN} bw^1/CyO* (Bloomington *Drosophila* Stock

Center #8517). *yw; aub^HN^ bw^1^/CyO; ΦP{D. melanogaster aub}* and *yw; aub^HN^ bw^1^/CyO; ΦP{D.*

simulans aub}, originally generated in Kelleher et al [31], were backcrossed for 6 generations in

yw; aub^HN^ bw^1^/CyO to minimize background effects that could lead to differences between

transgenic stocks that were unrelated to the transgenes.

For the studies of *spindle-E* (*spn-E*), the following *D. melanogaster* strains were used:

yw; spn-E^1^/TM6, yw; spn-E^hls-03987^/TM6, yw; spn-E^hls-03987^/TM6; ΦP{D. melanogaster spn-E}, yw;

spn-E^hls-03987^/TM6; ΦP{D. simulans spn-E}. *yw; spn-E^1^/TM6* and *yw; spn-E^hls-03987^/TM6* were

obtained by crossing *spn-E^1^/TM3* and *spn-E^hls-03987^/TM3* (gifts from Celeste Berg) to *yw;*

TM3/TM6. To generate *yw; spn-E^hls-03987^/TM6; ΦP{D. melanogaster spn-E}* and *yw; spn-E^hls-*

^03987^/TM6; ΦP{D. simulans spn-E}, w^1118^; ΦP{D. melanogaster spn-E} and *w^1118^; ΦP{D. simulans*

spn-E} were first crossed to *yw: TM3/TM6. +/TM6; ΦP{D. melanogaster spn-E}/+* and *+/TM6;*

ΦP{D. simulans spn-E}/+ offspring were then crossed to *yw; spn-E^hls-03987^/TM3*. Finally, *yw; spn-*

E^hls-03987^/TM6; ΦP{D. melanogaster spn-E}/+ and *yw; spn-E^hls-03987^/TM6; ΦP{D. simulans spn-*

E}/+ offspring were backcrossed into *yw; spn-E^hls-03987^/TM6* for 6 generations, and subsequently

homozygosed for the transgene, to minimize background effects.

For the studies of *armitage* (*armi*), the following *D. melanogaster* strains were used: *yw;*

armi^1^/TM6, w; armi^72.1^/TM6, w; armi^72.1^/TM6; ΦP{D. melanogaster armi}, w; armi^72.1^/TM6; ΦP{D.

simulans armi}. *yw; armi^1^/TM6* was obtained by crossing *yw; armi^1^/TM3* (Bloomington

Drosophila Stock Center #8513) to *yw; TM3/TM6*. *w; armi^72.1^/TM6* was obtained from

Bloomington *Drosophila* Stock Center (#8544). To generate *w; armi^72.1^/TM6; ΦP{D.*

melanogaster armi} and *w; armi^72.1^/TM6; ΦP{D. simulans armi}*, *w^1118^; ΦP{D. melanogaster*

armi} and *w^1118^; ΦP{D. simulans armi}* were first crossed to *yw; TM3/TM6. +/TM3; ΦP{D.*

melanogaster armi}/+ and *+/TM3; ΦP{D. simulans armi}/+* offspring were then crossed to *w;*

armi^72.1^/TM6. Finally, *w; armi^72.1^/TM3; ΦP{D. melanogaster armi}/+* and *w; armi^72.1^/TM3; ΦP{D.*

simulans armi}/+ were backcrossed into *w; armi^72.1^/TM6* for 6 generations, and subsequently

homozygosed for the transgene, to minimize background effects.

Experimental genotypes were obtained from the following crosses. For studies of *aub*,

virgin females *w; aub^{N11} bw^{1}/CyO* were crossed to (1) *yw; aub^{HN} bw^{1}/CyO*, (2) *yw; aub^{HN}*

bw^{1}/CyO; ΦP{D. melanogaster aub} or (3) *yw; aub^{HN} bw^{1}/CyO; ΦP{D. simulans aub}* males. For

studies of *spn-E,* virgin females *yw; spn-E^{1}/TM6* were crossed to (1) *yw; spn-E$^{hls-03987}$/TM6*, (2)

yw; spn-E$^{hls-03987}$/TM6; ΦP{D. melanogaster spn-E} or (3) *yw; spn-E$^{hls-03987}$/TM6; ΦP{D. simulans*

spn-E} males. For studies of *armi,* virgin females *yw; armi^{1}/TM6* were crossed to (1) *w;*

armi$^{72.1}$/TM6, (2) *w; armi$^{72.1}$/TM6; ΦP{D. melanogaster armi}* or (3) *w; armi$^{72.1}$/TM6; ΦP{D.*

simulans armi} males. Crosses were maintained at 25°C on standard cornmeal media.

Generation of transgenic lines

To introduce *D. melanogaster* and *D. simulans* alleles into *D. melanogaster*, we used *Φ*C31

integrase-mediated transgenesis system [38], which allows for site-specific integration. To

generate transgenes for site specific integration, the gene and flanking regulatory regions of

spn-E (~9.7Kb, *D. melanogaster* Release 6, 3R:15835349..15845065; *D. simulans* Release 2,

3R:9575537..9585081) [53,54] and *armi* (~6Kb, *D. melanogaster* Release 6,

3L:3460305..3466368; *D. simulans* Release 2, 3L:3357002..3363099) [53,54] were PCR-

amplified by using corresponding primers (below) and iProof high-fidelity taq DNA polymerase

(Bio-Rad).

D.mel/D.sim-spn-E forward primer: ATTGAACGCCGTCTATGCCAAGC

D.mel/D.sim-spn-E reverse primer: ACTGTTCGCCATTGCCACAGATTG

D.mel/D.sim-armi forward primer: CACCGCTGAAAGATACGCACACG

D.mel-armi reverse primer: GCTAGCCTGCGCTTGGGAGTGTTACCATTCG

D.sim-armi reverse primer: GCTAGCCTGACCTCGGGAGTGTTACCACTTC

The PCR products were cloned into pCR-Blunt-II-Topo according to manufacturer

instructions (Invitrogen). Mutation-free clones were verified by sequencing.

attB containing constructs used for site-specific integration were generated by

subcloning the NotI/BamHI fragment of each *spn-E* TOPO plasmid, and the NotI/NheI fragment

of each *armi* TOPO plasmid into NotI/BamHI and NotI/XbaI-linearized pCasper4/attB,

respectively. *spn-E* and *armi* transgenic constructs were introduced into *D. melanogaster* at the

P{CaryP}attP40 site, and site-specific integration of transgenes was confirmed by PCR [55]. The

resulting transgenes were made homozygous in *D. melanogaster w*^{1118}.

Female fertility

25-35 individual virgin females of each experimental genotype were crossed to two *ywF10*

males on standard cornmeal media at 25°C. Fresh media and new males were provided every 5

days. The number of progeny from each 5-day period was quantified.

Small RNA-seq

3-6-day old female ovaries were dissected from each experimental genotype and placed directly

in Trizol reagent (Invitrogen), and homogenized. For *aub* genotypes, Illumina small RNA

libraries were prepared by Fasteris according to a proprietary protocol that depletes for 2S-RNA.

Because two biological replicates prepared at different time points (5/13 and 7/13), they are

analyzed separately. Small RNA libraries for *spn-E* and *armi* genotypes were prepared as

described in [56]. In brief, total RNAs were extracted according to the manufacturer's

instructions, and size fractionated on a 12% polyacrylamide/urea gel to select for 18-30 nt small

RNAs. Small RNAs were treated with 2S Block oligo (5'-TAC AAC CCT CAA CCA TAT GTA

GTC CAA GCA/3SpC3/-3'), and were subsequently ligated to 3' and 5' adaptors, reverse

transcribed and PCR amplified using NEBNext Multiplex Small RNA Library Prep Set for

Illumina. Small RNA libraries were further purified from a 2% agarose gel and sequenced on a

Illumina NextSeq 500 at the University of Houston Seq-N-Edit Core.

RNA-seq

RNA-seq libraries for the studies of *aub* were generated by Weill Cornell Epigenomics Core according to the protocol of [57]. Briefly, total RNA was extracted from the same ovaries as above, and mRNAs were isolated using poly-T Dynabeads (Invitrogen) according to the manufacturer's instructions. Isolated mRNAs were further fragmented using fragmentation buffer (Ambion), ethanol precipitated, and reverse transcribed using Superscript II (Invitrogen) and random hexamer primers. Second-strand synthesis was performed using DNA polymerase I (Promega). cDNA was purified on a MinElute column (Qiagen), repaired with End-IT DNA repair kit (Epicentre), A-tailed with Klenow enzyme (New England Biolabs), and ligated to Illumina adaptors. Ligated cDNA was gel purified with the MinElute gel purification kit (Qiagen), PCR amplified, and gel purified again to make libraries.

RNA-seq libraries for the studies of *spn-E* and *armi* were prepared by using TruSeq Stranded Total RNA Library Preparation Kit for Illumina. 50 bp reads from each library were sequenced on a HiSeq 2000 (Aub and spn-E) and a HiSeq 2500 (Armi) by the Weill-Cornell Epigenomics Core. RNA-seq and small RNA-seq data sets are deposited under PRJNA494103.

Bioinformatic analysis of small RNA-seq libraries

3' Illumina adaptors were removed from sequencing reads by Cutadapt [58]. Sequence alignments were made by Bowtie [59]. Contaminating ribosomal RNAs were identified and removed by mapping sequencing reads to annotated ribosomal RNAs from flybase [60]. TE-derived piRNAs and genic piRNAs were identified by aligning sequencing reads ranging from 23-30 nucleotides (nt) to Repbase [61]Flybase [60], respectively, allowing for up to 2 mismatches. The number of reads mapped to each TE family or gene were counted using a Linux shell script. Redundant TE families in Repbase were identified by checking sequence identity (those consensus sequences that were >90% identical across >90% of their length were categorized as the same TE family), and reads mapped to multiple redundant TE families were

counted only once. Reads mapped to multiple non-redundant TE families were discarded. To

identify miRNAs sequencing reads ranging from 18-22 nt were aligned to a miRNA reference

sequence from Flybase [60]. TE families or genes with low read count (< 50 on average) in

every genotype libraries were discarded. piRNA counts for each TE family or gene were

normalized to the total number of sequenced miRNAs from each library. Normalized values

were used for comparisons of the abundance of piRNAs between libraries.

Bioinformatic analysis of RNA-seq libraries

Removal of ribosomal RNAs, and identification of TE-derived reads was performed as for small

RNA libraries (above) except that 3 mismatches were permitted between sequencing reads and

TE consensus sequences. Non TE-derived reads were aligned to flybase annotated transcripts

in the *D. melanogaster* reference genome (*D. melanogaster* Release 6) [53,60] by TopHat [62],

requiring unique mapping. The number of reads from each protein-coding gene were counted

using HTseq-count [63]. TE families or genes with low read count (< 50 on average) in every

genotype were discarded. Differential expression was estimated concurrently for TEs and

protein-coding genes by DESeq for *aub* [64] and DESeq2 for *spn-E* and *armi* [44]. TEs or

protein-coding genes were considered differentially expressed if they exhibited an adjusted *P*-

value < 0.05 and a fold-change > 2 for TEs and > 1.5 for protein-coding genes.

Ping-pong fraction

Ping-pong fraction was calculated as described in [65]. In brief, small RNA sequencing reads

ranging from 23-30 nt were aligned to TE consensus sequences from Repbase [61], and

redundant TE families in Repbase were identified as described above. For each piRNA, the

proportion of overlapping antisense binding partners whose 5' end occur on the 10th nucleotide

was determined. This fraction was subsequently summed across all piRNAs from a given TE

family, while incorporating the difference in sampling frequency between individual piRNAs.

Finally, this sum was divided by the total number of piRNAs aligned to the TE family of interest. For multi-mappers, reads were apportioned by the number of times they can be aligned to the reference.

Phasing analysis

Small RNA sequencing reads ranging from 23-30 nt were aligned to the Repbase [61], and redundant TE families in Repbase were identified as described above. To calculate the d1 proportion [34], the number of piRNAs whose 5' end was 1-22 nt downstream piRNA was determined for every TE-derived piRNA. The fraction of distances corresponding to 1 nt was then calculated. To calculate the +1-U proportion [34], the nucleotide after the 3' end of each piRNA was determined based on alignment to the Repbase [61]. The frequency of each nucleotide at the +1 position was subsequently summed across all piRNAs from a given TE family, and the proportion of uridine was calculated. For both analyses, multiply-mapping reads were apportioned by the number of times they aligned to the reference.

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Author Contributions

1   E.S.K. and D.A.B. designed research. E.S.K. and L.W. performed experiments and analyzed

2   data. E.S.K., L.W. and D.A.B. wrote paper.

1   **Supporting information**

3   **S1 Fig.** *Drosophila melanogaster* **genotypes and cross scheme.**

S2 Fig. Similar expression level of *aub*, *spn-E* and *armi* transgenes, as well as germline and

soma specific genes between *D. melanogaster* transgenic rescue and *D. simulans* transgenic

rescue. Fold-change of expression level of *aub*, *spn-E*, *armi*, germline-specific genes and soma-

specific genes between *D. melanogaster* transgenic rescue and *D. simulans* transgenic rescue

are shown. Fold-change values are based on one biological replicate for *aub* and three biological

replicates for *spn-E* and *armi*, and were obtained from a DESeq analysis for *aub* and a DESeq2 analysis

1   for *spn-E* and *armi*. ** denotes $p ≤ 0.01$. NS if not labeled.

S3 Fig. *D. melanogaster* transgenes exhibit similar profiles of piRNA biogenesis to

heterozygotes. *D. melanogaster* transgenes and heterozygotes are compared to

transheterozygous mutants with respect to piRNA abundance (A), ping-pong and phasing

biogenesis (B). Statistical significance was assessed by the Wilcoxon signed-rank test. For *aub*,

two biological replicates of each genotype generated at different times are shown separately.

For *spn-E* and *armi*, average of three biological replicates of each genotype generated at the

same time are shown. NS denotes $p > 0.05$. *, **, and *** denote $p \leq 0.05$, $p \leq 0.01$, $p \leq 0.001$,

respectively.

S4 Fig. Decoupling between changes in TE transcript abundance and changes in TE-derived

piRNA abundance. Log2 fold-change TE transcript abundance and TE-derived antisense piRNA

abundance between two transgenic rescues for the TE families whose TE transcript abundance

1   is substantively different (> 2 fold) between two rescues from Fig 2A. Red dashed lines indicate

2   the 2 fold-change threshold.

4   S5 Fig. **Composition of the small RNA pool for each protein studied.**

S6 Fig. Ping-pong fraction heat map for each protein studied. (A) *aub*, (B) *spn-E* and (C) *armi*.

Among (C), 92 and 43 TE families whose ping-pong fractions are decreased (below red line) or

increased (above red line), respectively, in *armi* mutant as compared to those in *D.*

melanogaster transgenic rescue are shown.

2 **S7 Fig. Observed peaks of 1nt distance (A) and +1-U bias (B) among each genotype for each**

1	**protein studied.**

S8 Fig. Auto-immunity analysis for the genic piRNA profile. The number of genes whose corresponding total (A) / anti-sense (B) / sense (C) piRNA abundance is increased (>1.5 fold) in the presence of each transgene as compared to the mutant. Contingency tables are shown below. Log2 fold-change values were based on two biological replicates for *aub* and three biological replicates for *spn-E* and *armi*, and were obtained from a DESeq2 analysis (adjusted p < 0.05). Statistical significance was assessed by the Pearson's Chi-squared test. NS denotes p > 0.05. * denotes $p \leq 0.05$.

S1 Table. Offspring count from the female fertility test.

S2 Table. RNA-seq and small RNA-seq library statistics.

S3 Table. Normalized abundance and differential expression of TE transcripts.

S4 Table. Normalized abundance and differential expression of TE-derived piRNAs.

S5 Table. piRNA ping-pong biogenesis signature for TE-derived piRNAs.

S6 Table. piRNA phasing biogenesis signature (d1 proportion) for TE-derived piRNAs.

S7 Table. piRNA phasing biogenesis signature (+1-U proportion) for TE-derived piRNAs.

S8 Table. Protein-coding genes that are differentially regulated by transgenes as compared to the mutant.

S9 Table. Log2 fold-change of piRNA abundance between D. mel rescue vs D. sim rescue, for genes whose expression levels are down-regulated by D. simulans alleles only.

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