

1 **Domain-specific working memory, but not dopamine-related**
2 **genetic variability, shapes reward-based motor learning**

3

4 Running title: Mechanisms of reward-based motor learning

5

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25 **Abstract**

26 The addition of rewarding feedback to motor learning tasks has been shown to increase the
27 retention of learning, spurring interest in the possible utility for rehabilitation. However,
28 laboratory-based motor tasks employing rewarding feedback have repeatedly been shown to
29 lead to great inter-individual variability in performance. Understanding the causes of such
30 variability is vital for maximising the potential benefits of reward-based motor learning. Thus,
31 using a large cohort (n=241) we examined whether spatial (SWM), verbal (VWM) and
32 mental rotation (RWM) working memory capacity and dopamine-related genetic profiles
33 were associated with performance in two reward-based motor tasks. The first task assessed
34 participant's ability to follow a hidden and slowly shifting reward region based on hit/miss
35 (binary) feedback. The second task investigated participant's capacity to preserve
36 performance with binary feedback after adapting to the rotation with full visual feedback. Our
37 results demonstrate that higher SWM is associated with greater success and a greater capacity
38 to reproduce a successful motor action, measured as change in reach angle following reward.
39 Whereas higher RWM was predictive of an increased propensity to express an explicit
40 strategy when required to make large adjustments in reach angle. Therefore, both SWM and
41 RWM were reliable predictors of success during reward-based motor learning. Change in
42 reach direction following failure was also a strong predictor of success rate, although we
43 observed no consistent relationship with any type of working memory. Surprisingly, no
44 dopamine-related genotypes predicted performance. Therefore, working memory capacity
45 plays a pivotal role in determining individual ability in reward-based motor learning.

46

47 **Significance statement**

48 Reward-based motor learning tasks have repeatedly been shown to lead to idiosyncratic
49 behaviours that cause varying degrees of task success. Yet, the factors determining an

50 individual's capacity to use reward-based feedback are unclear. Here, we assessed a wide
51 range of possible candidate predictors, and demonstrate that domain-specific working
52 memory plays an essential role in determining individual capacity to use reward-based
53 feedback. Surprisingly, genetic variations in dopamine availability were not found to play a
54 role. This is in stark contrast with seminal work in the reinforcement and decision-making
55 literature, which show strong and replicated effects of the same dopaminergic genes in
56 decision-making. Therefore, our results provide novel insights into reward-based motor
57 learning, highlighting a key role for domain-specific working memory capacity.

58

59 **Introduction**

60 When performing motor tasks under altered environmental conditions, adaptation to the new
61 constraints occurs through the recruitment of a variety of systems (Taylor and Ivry, 2014).
62 Arguably the most studied of those systems is cerebellum-dependent adaptation, which
63 consists of the implicit and automatic recalibration of mappings between actual and expected
64 outcomes, through sensory prediction errors (Morehead et al., 2017; Tseng et al., 2007).
65 Besides cerebellar adaptation, other work has demonstrated the involvement of a more
66 cognitive, deliberative process whereby motor plans are adjusted based on an individual's
67 structural understanding of the task (Bond and Taylor, 2015; Taylor and Ivry, 2011). We
68 label this process 'explicit control' (Codol et al., 2018; Holland et al., 2018) but it has also
69 been referred to as strategy (Taylor and Ivry, 2011) or explicit re-aiming (Morehead et al.,
70 2015). Recently it has been proposed that reinforcement learning, whereby the memory of
71 successful or unsuccessful actions are strengthened or weakened, respectively, may also play
72 a role (Huang et al., 2011; Izawa and Shadmehr, 2011; Shmuelof et al., 2012). Such reward-
73 based reinforcement has been assumed to be an implicit and automatic process (Haith and
74 Krakauer, 2013). However, recent evidence suggests that phenomena attributed to

75 reinforcement-based learning during visuomotor rotation tasks can largely be explained
76 through explicit processes (Codol et al., 2018; Holland et al., 2018).

77

78 One outstanding feature of reinforcement-based motor learning is the great variability
79 expressed across individuals (Codol et al., 2018; Holland et al., 2018; Therrien et al., 2016,
80 2018). What factors underlie such variability is unclear. If reinforcement is indeed explicitly
81 grounded, it could be argued that individual working memory capacity (WMC), which
82 reliably predicts propensity to employ explicit control in classical motor adaptation tasks
83 (Anguera et al., 2010; Christou et al., 2016; Sidarta et al., 2018), would also predict
84 performance in a reinforcement-based motor learning task. If so, this would strengthen the
85 proposal that reward based motor learning bears a strong explicit component. Anguera et al.,
86 (2010) demonstrated that mental rotation WM (RWM), unlike other forms of working
87 memory such as verbal working memory (VWM), correlates with explicit control. More
88 recently, Christou et al. (2016) reported a similar correlation with spatial WM (SWM).

89

90 Another potential contributor to this variability is genetic profile. In previous work (Codol et
91 al., 2018; Holland et al., 2018), we argue that reinforcement-based motor learning
92 performance relies on a balance between exploration and exploitation of the task space, a
93 feature reminiscent of structural learning and reinforcement-based decision-making (Daw et
94 al., 2005; Frank et al., 2009; Sutton and Barto, 1998). A series of studies from Frank and
95 colleagues suggests that individual tendencies to express explorative/exploitative behaviour
96 can be predicted based on dopamine-related genetic profile (Doll et al., 2016; Frank et al.,
97 2007, 2009). Reinforcement has consistently been linked to dopaminergic function in a
98 variety of paradigms, and thus, such a relationship could also be expected in reward-based
99 motor learning (Pekny et al., 2015). Specifically, Frank and colleagues focused on

100 Catecholamine-O-Methyl-Transferase (COMT), Dopamine- and cAMP-Regulated neuronal
101 Phosphoprotein (DARPP32) and Dopamine Receptor D2 (DRD2), and suggest a distinction
102 between COMT-modulated exploration and DARPP32- and DRD2-modulated exploitation
103 (Frank et al., 2009).

104

105 Consequently, we investigated the influence of WM capacity (RWM, SWM, and VWM) and
106 genetic variations in dopamine metabolism (DRD2, DARPP32, and COMT) on an individual's
107 ability to perform reward-based motor learning. We examined this using two established
108 reward-based motor learning tasks. First, a task analogous to a gradually introduced rotation
109 (Holland et al., 2018) required participants to learn to adjust the angle at which they reached
110 to a slowly and secretly shifting reward region (Acquire); second, a task with an abruptly
111 introduced rotation (Codol et al., 2018; Shmuelof et al., 2012) required participants to
112 preserve performance with reward-based feedback after adapting to a visuomotor rotation
113 (Preserve). The use of these two tasks enabled us to examine whether similar predictors of
114 performance explained participant's capacity to acquire and preserve behaviour with reward-
115 based feedback.

116

117 **Methods**

118 Prior to the start of data collection, the sample size, variables of interest and analysis method
119 were pre-registered. The pre-registered information, data and analysis code can be found
120 online at <https://osf.io/j5v2s/> and <https://osf.io/rmwc2/> for the Preserve and Acquire tasks,
121 respectively.

122 **Participants**

123 121 (30 male, mean age: 21.06, range: 18-32) and 120 (16 male, mean age: 19.24, range: 18-
124 32) participants were recruited for the Acquire and Preserve tasks, respectively. All

125 participants provided informed consent and were remunerated with either course credit or
126 money (£7.50/hour). All participants were free of psychological, cognitive, motor or
127 uncorrected visual impairment. The study was approved by and performed in accordance
128 with the local research ethics committee of the University of Birmingham, UK.

129 **Experimental design**

130 Participants were seated before a horizontally fixed mirror reflecting a screen placed above,
131 on which visual stimuli were presented. This arrangement resulted in the stimuli appearing at
132 the level on which participants performed their reaching movements. The Acquire (gradual)
133 and Preserve (abrupt) tasks were performed on two different stations, with a KINARM
134 (BKIN Technology, London, Ontario; sampling rate: 1000Hz) and a Polhemus 3SPACE
135 Fastrak tracking device (Colchester, Vermont U.S.A.; sampling rate: 120Hz), employed
136 respectively. The Acquire task was run using Simulink (The Mathworks, Natwick, MA) and
137 Dexterit-E (BKIN Technology), while the Preserve task was run using Matlab (The
138 Mathworks, Natwick, MA) and Psychophysics toolbox (Brainard, 1997). The Acquire task
139 employed the same paradigm and equipment as Holland et al. (2018), with the exception of
140 the maximum reaction time (RT) which was increased from 0.6s to 1s, and the maximum
141 movement time (MT) which was reduced from 1s to 0.6s. The Preserve task used the same
142 setup and display as in Codol et al. (2018); however, the number of ‘refresher’ trials during
143 the binary feedback (BF) blocks was increased from one to two in every 10 trials. The
144 designs were kept as close as possible to their respective original publications to promote
145 replication and comparability across studies. In both tasks reaching movements were made
146 with the dominant arm.

147 **Reaching tasks**

148 *Acquire task.* Participants performed 670 trials, each of which followed a stereotyped
149 timeline. The starting position for each trial was in a consistent position roughly 30cm in

150 front of the midline and was indicated by a red circle (1cm radius). After holding the position
151 of the handle within the starting position, a target (red circle, 1cm radius) appeared directly in
152 front of the starting position at a distance of 10cm. Participants were instructed to make a
153 rapid ‘shooting’ movement that passed through the target. If the cursor position at a radial
154 distance of 10cm was within a reward region ($\pm 5.67^\circ$, initially centred on the visible target;
155 grey region in Figure 1a) the target changed colour from red to green and a green tick was
156 displayed just above the target position, informing participants of the success of their
157 movement. If, however, the cursor did not pass through the reward region, the target
158 disappeared from view and no tick was displayed, signalling failure (binary feedback). After
159 each movement, the robot returned to the starting position and participants were instructed to
160 passively allow this.

161 For the first 10 trials, the position of the robotic handle was displayed as a white cursor (0.5
162 cm radius) on screen, following this practice block the cursor was extinguished for the
163 remainder of the experiment and participants only received binary feedback. The baseline
164 block consisted of the first 40 trials under binary feedback, during this period the reward
165 region remained centred on the visible target. Subsequently, unbeknownst to the participant
166 the reward region rotated in steps of 1° every 20 trials; the direction of rotation was
167 counterbalanced across participants. After reaching a rotation of 25° the reward region was
168 held constant for an additional 20 trials. Performance during these last 20 trials was used to
169 determine overall task success. Subsequently, binary feedback was removed, and participants
170 were instructed to continue reaching as they were (maintain block) for the following 50 trials.
171 Following this, participants were then informed that the reward region shifted during the
172 experiment but not of the magnitude or the direction of the shift. They were then instructed to
173 return to reaching in the same manner as they were at the start of the experiment (remove

174 block, 50 trials). During the learning phase of the task participants were given a 1-minute rest
175 after trials 190 and 340.

176 *Preserve task.* Participants performed 515 trials in total. On each trial participants were
177 instructed to make a rapid ‘shooting’ movement that passed through a target (white circle,
178 radius: 0.125cm) visible on the screen. The starting position for each trial was indicated by a
179 white square (width: 1cm) roughly 30cm in front of the midline and the target was located at
180 angle of 45° from the perpendicular in a counter clockwise direction at a distance of 8cm. The
181 position of the tracking device attached to the fingertip was displayed as a cursor (green
182 circle, radius: 0.125cm). When the radial distance of the cursor from the starting position
183 exceeded 8cm, the cursor feedback disappeared, and the end position was displayed instead.

184 First, participants performed a baseline period of 40 trials, during which the position of the
185 cursor was visible and the cursor accurately reflected the position of the fingertip. In the
186 adaptation block (75 trials), participants were exposed to an abruptly introduced 20°
187 clockwise visuomotor rotation of the cursor feedback (Figure 1b). Subsequently, all visual
188 feedback of the cursor was removed, and participants received only binary feedback. If the
189 end position of the movement fell within a reward region, the trial was considered successful
190 and a tick was displayed; otherwise a cross was displayed. The reward region was centred at
191 a clockwise rotation of 20° with respect to the visual target with a width of 10° i.e. it was
192 centred on the direction that successfully accounted for the previously experienced
193 visuomotor rotation. Binary feedback was provided for 200 trials divided into 2 blocks of 100
194 trials (asymptote blocks). Furthermore, participants experienced 2 “refresher” trials for every
195 10 trials, where rotated visual feedback of the cursor position was again accessible (Codol et
196 al., 2018; Shmuelof et al., 2012). This represents an increase compared to Codol et al. (2018)
197 because participants in this study tended to have poorer performance under binary feedback,
198 possibly due to a fatigue effect following the WM tasks (Anguera et al., 2012; see discussion).

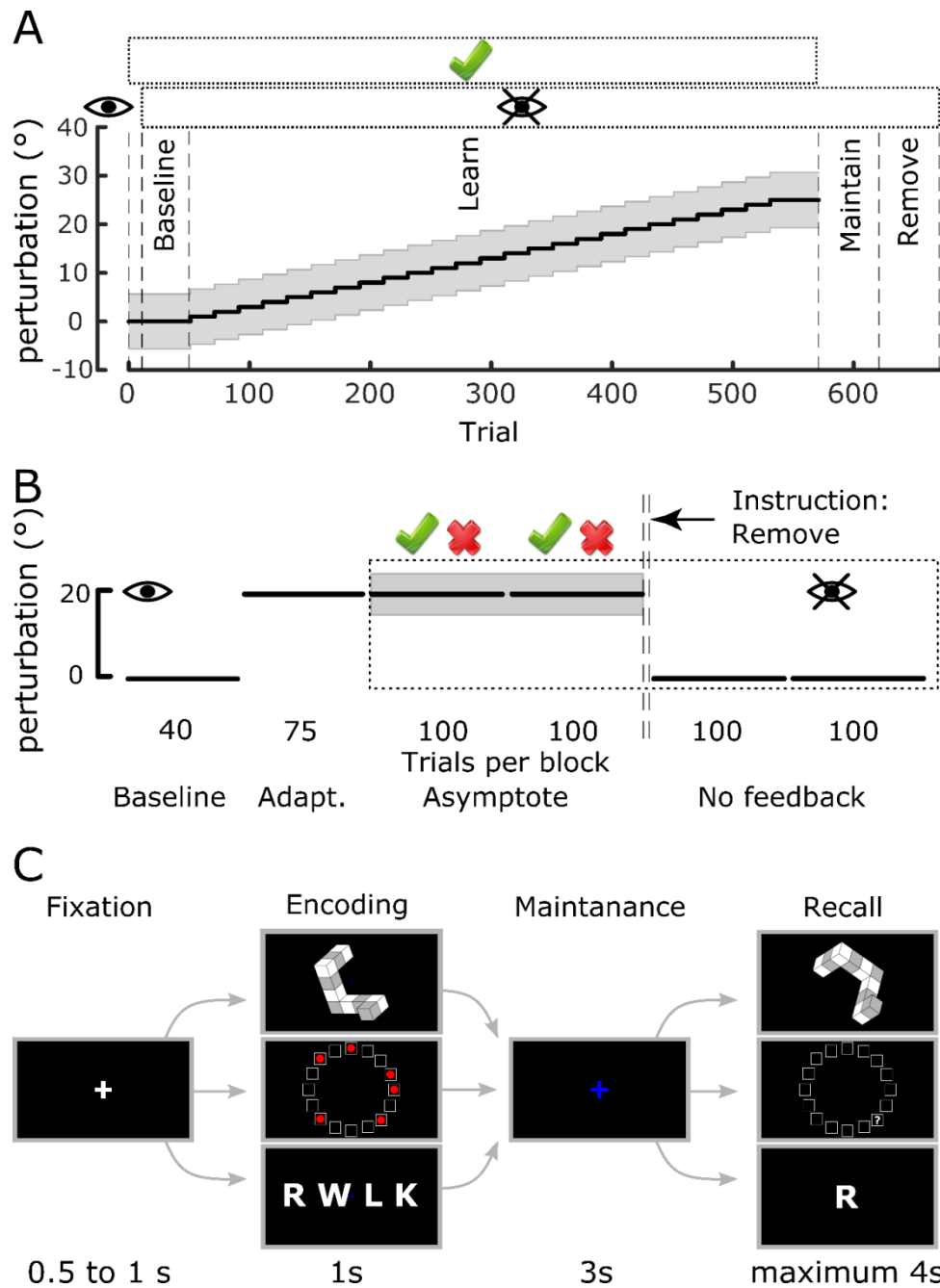
199 Finally, two blocks (100 trials each) with no performance feedback were employed in order
200 to assess retention of the perturbation (no-feedback blocks). Before the first of those two
201 blocks, participants were informed of the visuomotor rotation, asked to stop accounting for it
202 through aiming off target and to aim straight at the target.

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208 **Figure 1: Experimental design.** **A:** Time course of the Acquire task with the different
 209 experimental periods labelled. The grey region represents the reward region, which gradually
 210 rotated away from the visual target after the initial baseline period. The rectangle enclosing
 211 the green tick above the axes represents trials in which reward was available, and the
 212 rectangle with the ‘eye’ symbol indicates when vision was not available. **B:** Time course of
 213 the Preserve task. After adapting to an initial rotation with vision available, vision was
 214 removed (eye symbol) and reward-based feedback was introduced (tick and cross above the
 215 axes). Prior to the no-feedback blocks participants were instructed to remove any strategy
 216 they had been using. **C:** WM capacity tasks, the three tasks followed a stereotyped timeline
 217 with only the items to be remembered differing. Each trial consisted of 4 phases (Fixation,
 218 Encoding, Maintenance, and Recall) with the time allocated to each displayed below.
 219

220 **Working memory tasks**

221 Participants performed three WM tasks, all of which followed the same design with the
222 exception of the nature of the items to be remembered (Figure 1c). All WM tasks were run
223 using Matlab (The Mathworks, Natwick, MA) and Psychophysics toolbox (Brainard, 1997).
224 At the start of each trial, a white fixation cross was displayed in the centre of the screen for a
225 period of 0.5 to 1s randomly generated from a uniform distribution (fixation period Figure
226 1c). In the encoding period, the stimuli to be remembered was displayed for 1s and then
227 subsequently replaced with a blue fixation cross for the maintenance period which persisted
228 for 3s. Finally, during the recall period, participants were given a maximum of 4s to respond
229 by pressing one of three keys on a keyboard with their dominant hand. The '1' key indicated
230 that the stimuli presented in the recall period was a 'match' to that presented in the encoding
231 period, the '2' key indicated a 'non-match' and pressing '3' indicated that the participant was
232 unsure as to the correct answer. Each WM task contained 5 levels of difficulty with the 12
233 trials presented for each; 6 of which were trials in which 'match' was the correct answer and
234 6 in which 'non-match' was the correct answer. Consequently, each task consisted of 60 trials
235 and the order in which the tasks were performed was pseudorandomised across participants.
236 Prior to the start of each task participants performed 10 practice trials to familiarise
237 themselves with the task and instructions. For both the Acquire and Preserve tasks, the WM
238 tasks were performed in the same experimental session as the reaching. However, in the case
239 of the Acquire task the WM tasks were performed after the reaching task whereas for the
240 Preserve task the WM tasks were performed first.

241 In the rotation WM task (RWM, Figure 1c top row), the stimuli consisted of six 2D
242 representations of 3D shapes drawn from an electronic library of the Shepard and Metzler
243 type stimuli (Peters and Battista, 2008). The shape presented in the recall period was always
244 the same 3D shape presented in the encoding period after undergoing a screen-plane rotation

245 of 60°, 120°, 180°, 240° or 300°. In ‘match’ trials, the only transform applied was the
246 rotation; however, in ‘non-match’ trials an additional vertical-axis mirroring was also applied.
247 The difficulty of mental rotation has been demonstrated to increase with larger angles of
248 rotation (Shepard and Metzler, 1971) and therefore the different degrees of rotation
249 corresponded to the 5 levels of difficulty. However, given the symmetry of two pairs of
250 rotations (60 and 300, 120 and 240), these 5 levels were collapsed to 3 for analysis.

251 In the spatial WM task (SWM, Figure 1c middle row), stimuli in the encoding period
252 consisted of a variable number of red circles placed within 16 squares arranged in a circular
253 array (McNab and Klingberg, 2008). In the recall period, the array of squares was presented
254 without the red circles and instead a question mark appeared in one of the squares.
255 Participants then answered to the question “*Was there a red dot in the square marked by a*
256 *question mark?*” by pressing a corresponding key. In ‘match’ trials the question mark
257 appeared in one of the squares previously containing a red circle and in ‘non-match’ trials it
258 appeared in a square that was previously empty. Difficulty was scaled by varying the number
259 of red circles (i.e. the number of locations to remember) from 3 to 7.

260 In the verbal WM task (VWM, Figure 1c bottom row), participants were presented with a list
261 of a variable number of consonants during the encoding period. In the recall period a single
262 consonant was presented, and participants answered to the question “*Was this letter included*
263 *in the previous array?*”. Thus, the letter could either be drawn from the previous list (‘match’
264 trials) or have been absent from the previous list (‘non-match’ trials). Difficulty in this task
265 was determined by the length of the list to be remembered, ranging from 5 to 9.

266 **Genetic sample collection and profiling**

267 COMT is thought to affect DA function mainly in the prefrontal cortex (Egan et al., 2001;
268 Goldberg et al., 2003), a region known for its involvement in WM and strategic planning
269 (Anguera et al., 2010; Doll et al., 2015), whereas DARPP32 and DRD2 act mainly in the

270 basal ganglia to promote exploitative behaviour, possibly by promoting selection of the
271 action to be performed (Frank et al., 2009). Consequently, we focused here on SNPs related
272 to those genes: RS4680 (COMT) and RS907094 (DARPP32). Regarding DRD2, there are
273 two potential SNPs available, RS6277 and RS1800497. Although previous studies focusing
274 on exploration and exploitation have assessed RS6277 expression (Doll et al., 2016; Frank et
275 al., 2007, 2009), it should be noted that this SNP varies greatly across ethnic groups, with
276 some allelic variations being nearly completely absent in non-Caucasian-European groups
277 (e.g. see RS6277 in 1000 Genomes Project (The 1000 Genomes Project Consortium et al.,
278 2015)). This has likely been inconsequential in previous work, as Caucasian-European
279 individual represented the majority of sampled groups; here however, this represents a critical
280 shortcoming, as we aim at investigating a larger and more representative population including
281 other ethnic groups. Consequently, we based our analysis on the RS1800497 allele of the
282 DRD2 gene (Pearson-Fuhrhop et al., 2013).

283 At the end of the task, participants were asked to produce a saliva sample which was
284 collected, stabilized and transported using Oragene.DNA saliva collection kits (OG-500,
285 DNAGENOTEK, Ontario, Canada). Participants were requested not to eat or drink anything
286 except water for at least two hours before sample collection. Once data collection was
287 completed across all participants, the saliva samples were sent to LGC (Hoddeson,
288 Hertfordshire; <https://www.lgcgroup.com/>) for DNA extraction (per Oragene protocols:
289 <https://www.dnagenotek.com/>) and genotyping. SNP genotyping was performed using the
290 KASP SNP genotyping system. KASP is a competitive allele-specific PCR incorporating a
291 FRET quencher cassette. Specifically, the SNP-specific KASP assay mix (containing two
292 different, allele specific, competing forward primers) and the universal KASP master mix
293 (containing FRET cassette plus Taq polymerase in an optimised buffer solution) were added
294 to DNA samples and a thermal cycling reaction performed, followed by an end-point

295 fluorescent read according to the manufacturer’s protocol. All assays were tested on in-house
296 validation DNA prior to being run on project samples. No-template controls were used, and
297 5% of the samples had duplicates included on each plate to enable the detection of
298 contamination or non-specific amplification. All assays had over 90% call rates. Following
299 completion of the PCR, all genotyping reaction plates were read on a BMG PHERAStar plate
300 reader. The plates were recycled until a laboratory operator was satisfied that the PCR
301 reaction had reached its endpoint. In-house Kraken software then automatically called the
302 genotypes for each sample, with these results being confirmed independently by two
303 laboratory operators. Furthermore, the duplicate saliva samples collected from 5% of
304 participants were checked for consistency with the primary sample. No discrepancies
305 between primary samples and duplicates were discovered.

306 **Data analysis**

307 *Acquire task:* Reach trials containing MTs over 0.6s or less than 0.2s were removed from
308 analysis (6.9% of trials). The end point angle of each movement was defined at the time when
309 the radial distance of the cursor exceeded 10cm. This angle was defined in relation to the
310 visible target with positive angles indicating clockwise rotations, end point angles and target
311 angles for participants who experienced the counter clockwise rotations were sign-
312 transformed. The explicit component of retention was defined as the difference between the
313 mean reach angle of the maintain block and the remove block, while the implicit component
314 was the difference between the mean reach angle of the remove block and baseline. If during
315 the final 20 trials before the maintain block a participant achieved a mean reach angle within
316 the reward region, participants were considered “*successful*” in learning the rotation; they
317 were considered “*unsuccessful*” otherwise. For regression analysis a binary variable “*task*
318 *success*” was defined as 1 and 0 for successful and unsuccessful participants, respectively. As
319 in Holland et al (2018), for unsuccessful participants, the largest angle of rotation at which

320 the mean reach angle fell within the reward region was taken as the end of successful
321 performance, and only trials prior to this point were included for further analysis. Success
322 rate was defined as the percentage of trials during the learning blocks in which the end point
323 angle was within the reward region. In order to examine the effect of reward on the change in
324 end point angle on the subsequent trial, we calculated the absolute change in end point angle
325 between consecutive trials (Holland et al., 2018; Sidarta et al., 2018; Therrien et al., 2016,
326 2018). Subsequently we calculated the median absolute change in angle following rewarded
327 trials (ΔR) and the median absolute deviation of these values (MAD [ΔR]). This analysis was
328 repeated for the changes in angle following unsuccessful trials (ΔP and MAD [ΔP]).

329 *Preserve task:* Reach trials containing MTs over 1s were removed from analysis (2.38% of
330 trials). The end point angle for each movement was defined at the time that the radial distance
331 of the cursor from the start position exceeded 8cm. Trials in which the error was greater than
332 80° were excluded from further analysis (0.94% of trials). For each participant we plotted the
333 reach error in one trial against the change in reach angle in the following trial for all trials in
334 the adaptation block. The angle of the line of best fit was then used as the learning rate
335 (Hutter and Taylor, 2018). Using this approach, a perfect adaptation leads to a value of -1,
336 indicating that the error on a given trial is fully accounted for on the next trial. Overall this
337 approach fitted the data well (mean $R^2=0.5038$, $SD=0.12$). As in Codol et al (2018), success
338 rate, corresponding to percentage of rewarded trials, was measured separately in the first 30
339 and last 170 trials of the asymptote blocks and labelled early and late success rate,
340 respectively. This reflects a dichotomy between a dominantly exploration-driven early phase
341 and a later exploitation-driven phase. Implicit retention was defined as the difference between
342 the average baseline reach direction and the mean reach direction of the last 20 trials of the
343 last no-feedback block (Codol et al., 2018). Analysis of changes in reach angle following
344 rewarded trials were not pre-registered, but were included post-hoc.

345 *Exploratory analysis of reaching data:* In order to understand which outcome variables in the
346 reaching tasks were predictive of overall task success, we split the learning period into two
347 sections for every participant. We assessed trial-by-trial changes in end point angle in the first
348 section, and compared them to success rate in the second section. For the Acquire task, we
349 assessed trial-by-trial adjustments during the learning block, excluding the final 20 trials, and
350 compared them to success rate in the last 20 trials of the learning block. In the Preserve task,
351 we measured adjustments in the first 100 trials of the asymptote blocks and compared them to
352 success rate in the last 100 trials of the asymptote blocks.

353 Two additional post-hoc stepwise regressions were performed on data from the Preserve task
354 with early and late success rate the outcome variables and the same set of seven predictors
355 (see statistical analysis section), however, in this case only data from participants with a
356 success rate of greater than 40% was included (N=70).

357 *WM tasks:* WM performance was defined as the average percentage of correct responses
358 across the 3 highest levels of difficulty for each task. In the case of the RWM task, the
359 symmetrical arrangement of the angles of rotation in effect produced three levels of difficulty
360 and therefore all trials were analysed.

361 *Genetics:* Genes were linearly encoded, with heterozygote alleles being 0, homozygote
362 alleles bearing the highest dopaminergic state being 1, and homozygote alleles bearing the
363 lowest dopaminergic state being -1 (Table 1). All groups were assessed for violations of the
364 Hardy-Weinberg equilibrium. The participant pool in the Preserve task was in Hardy-
365 Weinberg equilibrium for all three genes considered, even when restricted to the Caucasian-
366 only subpopulation. In the Acquire task population, COMT and DRD2 were in Hardy-
367 Weinberg equilibrium, but DARPP32 was not ($p=0.002$), with too few heterozygotes.
368 Therefore, the DARPP32 alleles were recoded, with the heterozygotes (0) and the smallest
369 homozygote group (C:C, -1) combined and recoded as 0. In the analysis including only the

370 Caucasian subset, all three alleles were in the Hardy-Weinberg equilibrium, although
371 combining the heterozygote and smallest homozygote group did not change the results.

372

373 **[Table 1]**

374

375 **Statistical analysis**

376 Regressions were performed using stepwise linear regressions (*stepwiselm* function in
377 MatLab's *Statistics and Machine Learning Toolbox*), so as to select the most parsimonious
378 model. In order to understand what genetic and WM factors are predictive of performance in
379 the Acquire task, we performed a stepwise regression of the seven predictors (three allelic
380 variations, three WM and ethnicity) onto each of several outcome measures representative of
381 performance: success rate, implicit and explicit retention, ΔR , $MAD[\Delta R]$, ΔP , $MAD[\Delta P]$. A
382 stepwise logistic regression was employed for overall task success in the Acquire task. For
383 the Preserve task, we performed separate stepwise regressions using the same seven
384 predictors for the following outcome variables: baseline reach direction as a control variable,
385 learning rate in the adaptation block, early and late success rate in the asymptote blocks (first
386 30 and last 170 trials; Codol et al., 2018), retention in the no-feedback blocks, and ΔR and ΔP
387 during the asymptote blocks.

388 Prior to the regression analysis, all predictors and predicted variables were standardised (z-
389 scored). For all non-ordinal variables, individual data were considered outliers if further than
390 3 standard deviations from the mean and were removed prior to standardisation.
391 Multicollinearity of predictors was also assessed before regression with Belsley Collinearity
392 Diagnostics (*collintest* function in MatLab's *Econometrics Toolbox*) and no predictors were
393 found to exhibit condition indexes over 30, indicating acceptable levels of collinearity. When

394 considering retention for both tasks, unsuccessful participants were removed from the
395 regression analysis.

396 In order to quantify the predictive ability of the regression models 10-fold cross validation
397 was performed on the model selected by the stepwise regression. Briefly, this consists of
398 dividing the data samples into 10 evenly sized ‘folds’, the data from nine of the folds are used
399 to create a regression model using ordinary least squares regression and this model is used to
400 predict the values of data in the remaining fold given the values of the predictor variables.
401 We measured the quality of the model fit in the 9 folds (In-sample) and the remaining fold of
402 data (out-of-sample) by calculating the mean absolute error (MAE) of the predicted values
403 from the real values. This process was repeated 1000 times for each model with the data
404 assigned to each fold randomised on every iteration, we present the mean MAE \pm SD across
405 the 1000 iterations.

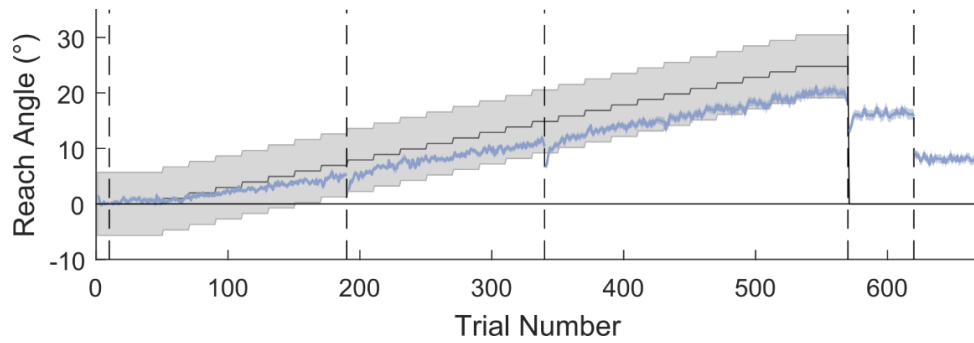
406 *Exploratory mediation analysis:* We performed a mediation analysis to test if the relationship
407 between SWM and SR was mediated by ΔR . Our hypothesis was that higher SWM enables
408 smaller changes after correct trials (ΔR) and this then explains the relationship between SWM
409 and SR. To ensure that separate trials were used in the calculation of ΔR and SR, we split the
410 trials into two equally sized folds. The SR was then calculated for one fold as a percentage of
411 correct trials, and ΔR was calculated as the median change of reach angle after correct trials
412 in the other fold. For the Acquire task only successful subjects were included in the mediation
413 analysis. We employed Baron & Kenny’s three step mediation analysis (Baron and Kenny,
414 1986): first regress SR on SWM, then regress ΔR on SWM, and finally regress SR on both
415 SWM and ΔR . Subsequently, we performed a Sobel test to determine if there was a
416 significant reduction in the relationship between SWM and SR when including ΔR . The
417 Sobel test examines if the amount of variance in SR explained by SWM is significantly
418 reduced by including the mediator (Sobel, 1986). For a significant effect to be found, SWM

419 must be a significant predictor of ΔR and ΔR must also be a significant predictor of SR after
420 controlling for the effect of SWM on SR. We repeated this procedure 1000 times with the
421 allocation of trials to each fold randomised on each repetition. We present results in terms of
422 the 95% confidence intervals for the R^2 values for each of the regressions and the median p-
423 value of the Sobel test, along with the associated 95% confidence intervals.

424 **Results**

425 **Acquire task**

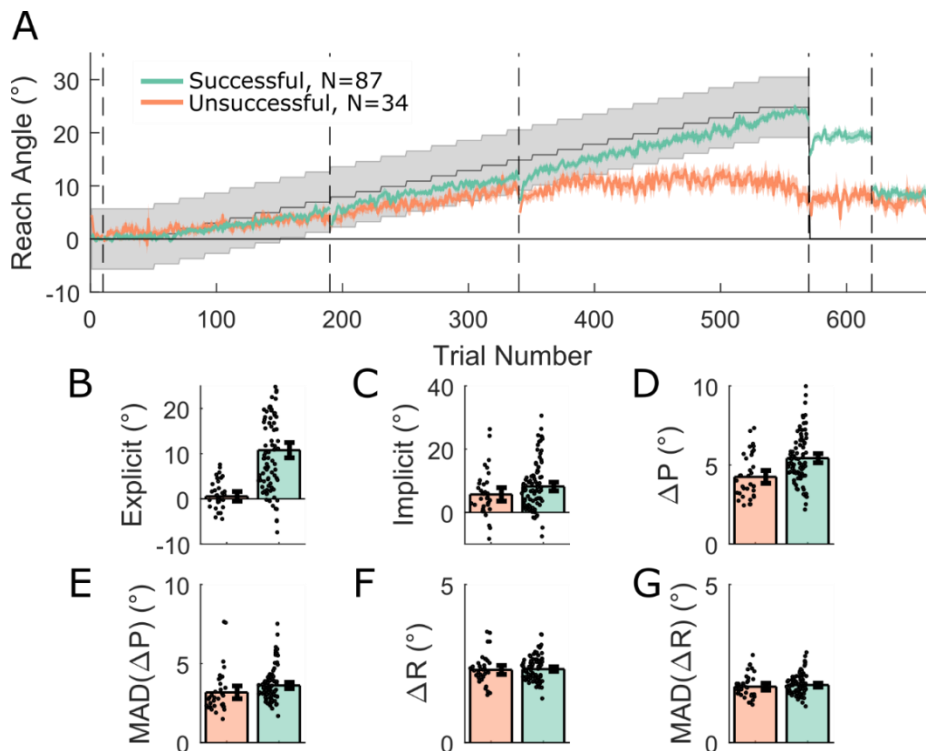
426 In the Acquire task, participants had to learn to compensate and secretly shifting reward
427 region in order to obtain successful feedback (Figure 2,3). As in Holland et al. (2018), about a
428 quarter (28.1%) of participants failed to learn to compensate for the full extent of the rotation
429 (Figure 3a). Successful participants retained most of the learnt rotation (mean $80.7\% \pm 28.0\%$
430 SD) in the maintain block. However, upon being asked to remove any strategy they had been
431 employing, their performance returned to near-baseline levels. Consequently, a large explicit
432 component to retention was found for successful participants (Figure 3b), whereas both
433 successful and unsuccessful participants manifest a small but non-zero implicit component
434 ($t(86)=9.90$, $p=7.43 \times 10^{-16}$, $d=1.061$ and $t(33)=4.53$, $p=7.39 \times 10^{-5}$, $d=0.776$, respectively;
435 Figure 3c). Furthermore, in accordance with Holland et al (2018), we found that participants
436 made larger ($t(120)=15.80$, $p=4.32 \times 10^{-31}$, $d=1.900$) and more variable changes in reach angle
437 following unrewarded trials ($t(120)=13.36$, $p=1.68 \times 10^{-25}$, $d=1.485$; Figure 3d-h), whereas in
438 participants who would go on to fail, the post-error adjustments were smaller than in
439 successful participants ($t(119)=3.33$, $p=0.001$, $d=0.672$; Figure 3d). Changes following
440 rewarded trials were similar between the groups ($t(119)=0.71$, $p=0.48$, $d=0.143$; Figure 3f,g).
441 The results obtained in this sample ($N=121$) therefore replicate results from a previous study
442 ($N=30$) and provides further confirmation that performance in this task is fundamentally
443 explicitly driven (Holland et al., 2018).



444

445 **Figure 2: Reaching performance in the Acquire task.** The grey region represents the
446 gradually rotating rewarded region, the blue line represents mean reach angle for each trial,
447 and the shaded blue region represent SEM. Vertical dashed lines represent different
448 experiment blocks or breaks. Average performance for the full cohort falls within the reward
449 region and demonstrates a clear reduction in reach angle when asked to remove strategy.
450 N=121.

451



452

453 **Figure 3: Acquire task split by success at final angle.** **A:** Average reach angle for the
454 successful (green) and unsuccessful (orange) groups, shaded regions represent SEM and grey
455 shaded region represents the rewarded region. Despite similar initial performance, a clear

456 divergence can be seen between the two groups and an explicit component to retention is
457 only visible in the successful group, whereas implicit retention is similar between groups. **B-**
458 **G:** subplots displaying derived measures, which acted as outcome variables for the regression
459 analysis, separated into successful and unsuccessful participants overlaid with individual data
460 points. Error bars represent 95% bootstrapped confidence intervals.

461

462 In order to understand what genetic and WM factors are predictive of performance in the
463 reaching task, we performed a stepwise regression of the seven predictors (three allelic
464 variations, three WM and ethnicity) onto each of several outcome measures representative of
465 performance: success rate, implicit and explicit retention, ΔR , $MAD[\Delta R]$, ΔP , $MAD[\Delta P]$.
466 Additionally, we performed a stepwise logistic regression of the predictors onto a binary
467 variable encoding if a participant successfully learnt the full rotation (1) or not (0). The
468 logistic regression showed no significant predictors of task success, that is, of being able to
469 follow the shifting reward region until the end of the learning block. However, higher SWM
470 was predictive of an increased success rate (percentage of correct trials; $\beta=0.416$, $p=2.95 \times 10^{-6}$).
471 ⁶). To ensure that the relationship between SWM and success rate was not due to failure at a
472 later point in the task, success rate was measured during the initial period in which subjects
473 who could not fully account for the displacement are still successful; for those who could, the
474 full learning block was included. Next, retention was assessed by splitting up the explicit and
475 implicit components such as in Holland et al. (2018). No predictor was related to the implicit
476 component, but the explicit component was strongly and positively associated with RWM
477 ($\beta=0.373$, $p=1.78 \times 10^{-4}$). These results suggest positive relationships for both RWM and SWM
478 with task performance: greater RWM predicts a greater contribution of explicit processes to
479 learning, whereas greater SWM predicts a greater percentage of correct trials.

480

481

[Table 2]

482

483 In Holland et al (2018), the amplitude of the changes in reach angle participants made
484 following unrewarded trials was found to be predictive of task success, that is, greater ΔP was
485 predictive of an increased chance of overall task success. Thus it could be that RWM and
486 SWM, that are shown to associate with performance in this study, are themselves predictors
487 of changes in reach angle. The regression results demonstrated that a large ΔR was inversely
488 related to SWM ($\beta=-0.251$, $p=0.006$), as was $MAD[\Delta R]$ ($\beta=-0.283$, $p=0.002$). The results
489 indicate that greater SWM was predictive of smaller and less variable changes in reach angle
490 after successful trials, suggesting high SWM enables the maintenance of rewarding reach
491 angles. It was also found that the variability of changes in reach angle after unrewarded trials
492 ($MAD[\Delta P]$) was negatively predicted by RWM ($\beta=-0.236$, $p=0.011$). This result was
493 unexpected as it suggests that greater WM capacity predicts smaller changes following
494 unrewarded trials, whereas previous results suggest a positive relationship between these
495 changes and overall task success. Finally, to ensure the robustness of the results, we tested
496 whether retaining only the largest ethnic group in our population (i.e. Caucasian, $N=82/121$)
497 produced the same results as was observed with the full participant pool. In accordance with
498 the full sample, all previously described relationships were also found in the Caucasian only
499 subset (Table 2).

500 Overall, WM (in particular RWM and SWM) successfully predicted various aspects of
501 performance in the Acquire task, while genetic predictors failed to do so. Specifically, greater
502 SWM predicted smaller and less variable changes after correct trials. This suggests that SWM
503 underlies one's capacity to preserve and consistently express an acquired reach direction to
504 obtain reward. Furthermore, SWM also directly predicted success rate. In addition, greater
505 RWM was a strong predictor of explicit control. The inverse relationship between RWM and

506 the variability of changes after unrewarded trials was unexpected. However, one possible
507 explanation is that participants with poorer WM capacity make larger errors which require
508 larger corrections. Restricting our group to Caucasians showed that these effects are robust to
509 ethnicity.

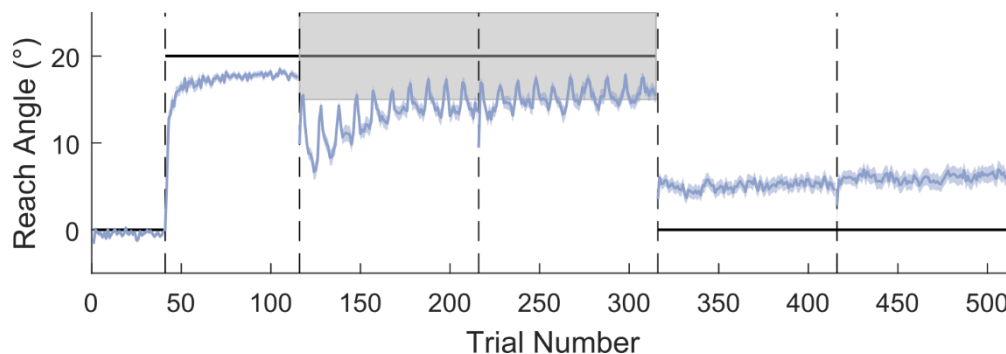
510

511 **Preserve task**

512 In this task, we addressed how well participants can maintain a previously learnt adaptation
513 after transitioning to binary feedback. As participants are unable to compensate for a large
514 abrupt displacement of a hidden reward region (van der Kooij and Overvliet, 2016; Manley et
515 al., 2014), participants first adapted to an abruptly introduced 20° clockwise rotation with full
516 vision of the cursor available. Subsequently, visual feedback of the cursor position was
517 replaced with binary feedback; participants were rewarded if they continued reaching towards
518 the same angle that resulted in the cursor hitting the target during the adaptation phase.
519 Overall, participants adapted to the visuomotor rotation successfully (Figure 4,5a-c) before
520 transitioning to the binary feedback-based asymptote blocks. However, from the start of the
521 asymptote blocks onward, participants exhibited very poor performance, expressing an
522 average 45.0 ± 24.2 SD% success rate when considering all 200 asymptote trials (Figure 4,5a,
523 d,e). Separating successful and unsuccessful participants (40% success rate cut-off; Figure
524 5a) revealed that successful participants expressed behaviour greatly similar to that observed
525 in Codol et al. (2018), in which unsuccessful participants were excluded, using the same cut-
526 off (40% success rate). The ‘spiking’ behaviour observed in reach angles during the
527 asymptote blocks (Figure 5a) is due to the presence of the ‘refresher’ trials, with the large
528 positive changes in reach angle corresponding to trials immediately following the refresher
529 trials. This pattern of behaviour is particularly pronounced in the unsuccessful participants.
530 Finally, participants demonstrated at least a residual level of retention even after being

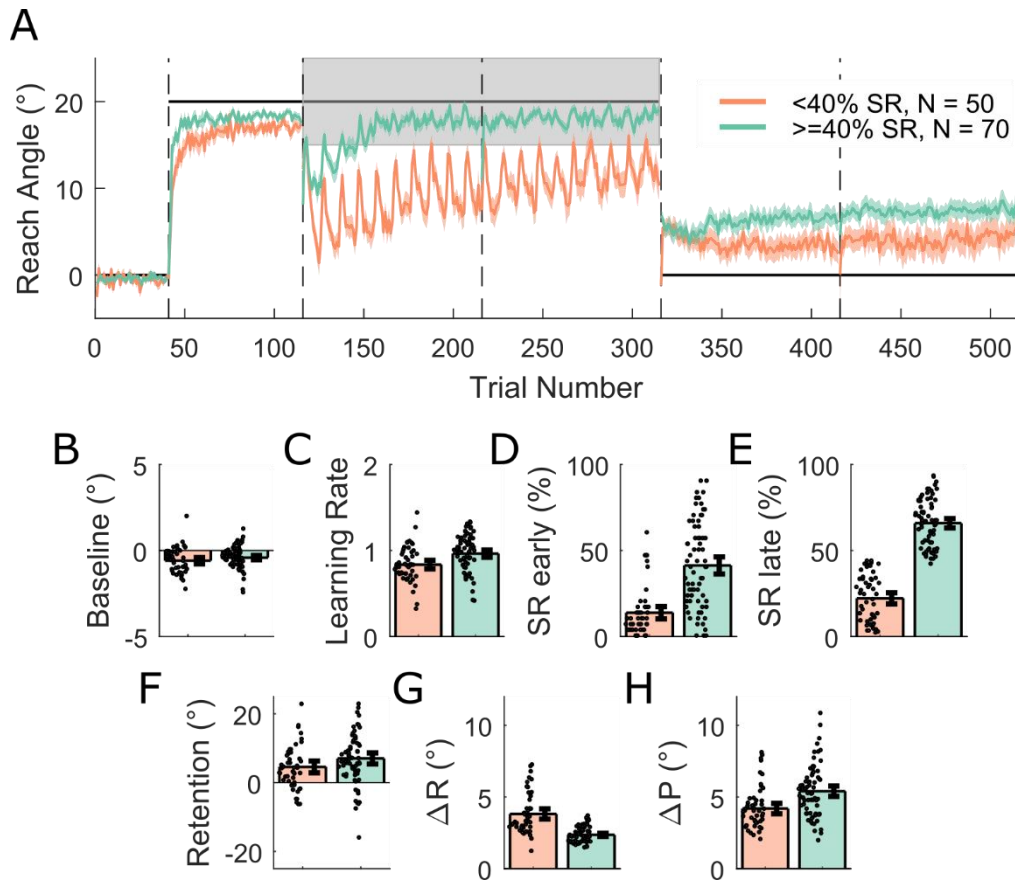
531 instructed to remove any strategy they had employed ($t(69)=7.268$, $p=3.345 \times 10^{-10}$, $d=0.869$;
532 Figure 5a,f). Therefore, the results obtained in this sample ($N=120$) replicate results from a
533 previous study (Codol et al., 2018; $N=20$, BF-Remove group) and provides further
534 confirmation that performance in this task is fundamentally explicitly driven. As with the
535 Acquire task successful participants displayed larger changes in angle after unrewarded trials
536 than their unsuccessful counterparts ($t(117)=3.847$, $p=1.952 \times 10^{-4}$, $d=0.717$; Figure 5h).
537 However, in contrast to the Acquire task, successful participants also displayed smaller
538 changes in angle after rewarded trials ($t(115)=-7.534$, $p=1.218 \times 10^{-11}$, $d=1.421$; Figure 5g).

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Figure 4: Reaching performance in the Preserve task. The grey shaded area represents the rewarded region, and the thick black line represents the perturbation. The vertical dashed lines represent block limits. The blue line indicates mean reach angle for every trial and blue shaded areas represent SEM. After successfully adapting to the visuomotor rotation performance deteriorates at the onset of binary feedback, subsequently success rate increases towards the end of the asymptote blocks. Following the removal of all feedback, and the instruction to remove any strategy, a small amount of implicit retention remains. $N=120$.



552

553 **Figure 5: Preserve task split into two groups on the basis of success rate.** A: Shaded
554 regions represent SEM. B-H: Derived variables, which acted as outcome variables for the
555 regression analysis, for the two groups, error bars on the bars represent 95% bootstrapped
556 confidence intervals and individual data points are displayed. SR: success rate.

557

558 As in the Acquire task, we examined if performance in any of the WM tasks or genetic
559 profile could predict participant's behaviour in the reaching task. We performed separate
560 stepwise regressions for the following outcome variables: baseline reach direction as a
561 control variable, learning rate in the adaptation block, early and late success rate in the
562 asymptote blocks (first 30 and last 170 trials; Codol et al., 2018), retention in the no-feedback
563 blocks, and ΔR and ΔP during the asymptote blocks. The most striking result was that both
564 early and late success rate could be reliably predicted by RWM (early: $\beta=0.255$, $p=0.005$;
565 late: $\beta=0.287$, $p=0.002$; Table 3), with greater RWM associated with increased success rates.

566

567

[Table 3]

568

569 Genetic profile did not predict any aspect of performance, analogous to the Acquire task. In
570 contrast, greater SWM successfully predicted reduced ΔR ($\beta=-0.194$, $p=0.036$), similarly to
571 the Acquire task. Finally, retention values were surprisingly predicted by ethnicity ($\beta=-0.528$,
572 $p=0.037$). Due to the existence of a relationship between ethnicity and retention, we
573 performed the same analysis as in the Acquire task, that is, we tested if our observed results
574 hold if only our largest ethnic group (Caucasian, $N=85/120$) was considered. In accordance
575 with the result for the full population, the positive relationship between late success rate and
576 RWM was again observed ($\beta=0.232$, $p=0.031$). However, the SWM- ΔR and RWM-early
577 success rate relationships were no longer observed in this smaller subset of the population.
578 Interestingly, retention was now predicted by a genetic variable, DARPP32 ($\beta=-0.214$,
579 $p=0.040$), suggesting that less dopaminergic metabolism leads to better retention. This last
580 result again suggests a possible confound, that is, that DARPP32 allelic distribution was
581 different across ethnic groups. However, a χ^2 test analysis demonstrated that DARPP32
582 alleles were evenly distributed between the Caucasian and non-Caucasian group, ruling out
583 this possibility ($\chi^2=2.578$, $p=0.276$). As a post-hoc analysis we performed the same stepwise
584 regressions for the outcome variables success rate early and success rate late but restricted to
585 participants with an overall success rate of greater than 40%. Although we found no
586 predictors of early success rate, we did find that higher SWM was predictive of a higher late
587 success rate ($\beta=0.156$, $p=0.026$). This result is in contrast to the relationship of RWM to late
588 success rate when including all participants.

589 Overall the regression results fit a pattern similar to that found for the Acquire task with
590 greater RWM predicting improved performance on the reaching task and greater SWM

591 predicting smaller changes in reach angle after rewarded trials. However, in the Preserve task
592 in one specific instance we did observe a genetic predictor of performance.

593

594 **Cross validation analysis**

595 To test the predictive ability of the regression models we performed 10-fold cross validation
596 on the final model selected by the stepwise regression process. The quality of the in-sample
597 and out-of-sample fits was assessed by calculating the MAE. From Tables 2 and 3 it can be
598 seen that although the out-of-sample MAE is consistently higher than that of the
599 corresponding in-sample, all differences are less than 0.1 and all of out-of-sample MAEs are
600 below 1. As both the predictor and outcome variables are standardised this indicates that the
601 mean error of the prediction was less than 1 standard deviation of the outcome variable, and
602 the small increases observed between the in-sample and out-of-sample indicates that the
603 models make accurate predictions when confronted with data on which they were not trained.

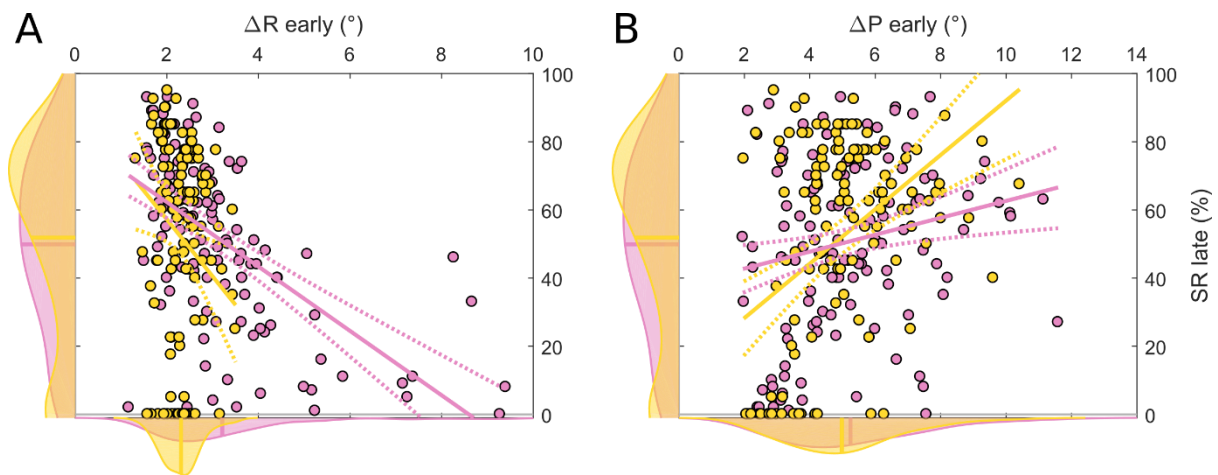
604

605 **Exploratory analysis**

606 As a relationship exists between SWM and ΔR in both the Acquire and Preserve paradigms,
607 we ran exploratory regressions to assess the relationship between ΔR and success rate across
608 both tasks. Since ΔR and success rate are conceptually strongly related variables, and
609 measuring on the same data set would render them non-independent, we split each
610 individual's reaching data into two sections and assessed whether ΔR or ΔP in the first
611 section could reliably predict success rate in the second (see methods for details). Although
612 we found no predictors of ΔP in our primary analysis, results here in combination with
613 previous work (Holland et al., 2018) has demonstrated a link between ΔP and task success,
614 with a greater ΔP indicative of greater success. Therefore, we also performed the same
615 analysis for ΔP .

616

617



618

619 **Figure 6: Slice plots showing regression results for prediction of late success rate (SR)**
620 **by changes in reach angle following rewarded (A) and unrewarded (B) trials during the**
621 **early learning period.** The central axis of each panel displays the individual data from the
622 Acquire (yellow) and Preserve (pink) task, the smoothed distribution of the data in each
623 dimension is displayed on the corresponding axis. Solid lines represent the prediction of the
624 regression model when the other predictor is held at its mean value.

625

[Table 4]

626

627 In the Acquire task, ΔR and ΔP in the first section of learning trials predicted success rate in
628 the final twenty trials, though ΔP appeared as the strongest predictor (ΔR : $\beta=-0.274$, $p=0.015$;
629 ΔP : $\beta=0.581$, $p=3.89 \times 10^{-6}$; Figure 6a,b, yellow; Table 4). Similarly, for the Preserve task ΔR
630 and ΔP in the first half of asymptote trials predicted success rate in the second half (ΔR : $\beta=-$
631 0.750 , $p=1.07 \times 10^{-12}$; ΔP : $\beta=0.229$, $p=0.007$; Figure 6a,b, pink; Table 4). In both tasks, the
632 directions of these relationships were opposite; greater success rate was predicted by smaller
633 changes following rewarded trials and greater changes following unrewarded trials. In
634 summary, we found that for both tasks the magnitude of changes in behaviour in response to

635 rewarded and unrewarded trials early in learning were strongly predictive of future task
636 success across both the Acquire and Preserve tasks.

637

638 **Mediation analysis**

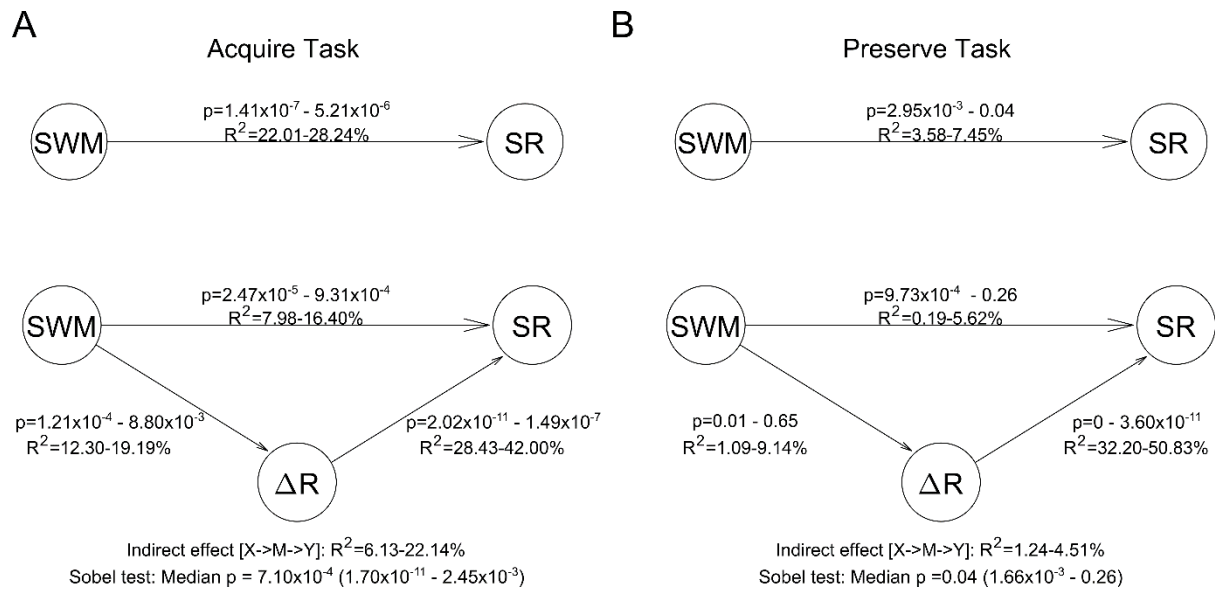
639 To test whether the effect observed between SWM and SR was explained by an indirect
640 effect through ΔR , we performed an exploratory mediation analysis on both tasks. For both
641 the Acquire and Preserve tasks, the results indicate a significant proportion (median
642 $p=7.10 \times 10^{-4}$ and $p=0.04$ respectively) of the relationship between SWM and SR can be
643 explained by a mediation from SWM via ΔR to SR. However, in the case of the Acquire task,
644 a significant relationship between SWM and SR still exists, indicating that not all of the
645 effect of SWM on SR could be explained by the indirect pathway. Whereas, in the Preserve
646 task the SWM- ΔR relationship is weaker and was not significant on every repetition,
647 occasionally leading to an insignificant mediation effect, despite the median p-value
648 indicating an effect when considering all repetitions.

649

650

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652



653

654 **Figure 7. Mediation Analysis for both the Acquire (A) and Preserve (B) tasks.** The

655 numbers associated with each arrow display the 95% confidence intervals for each of the

656 relationships (R^2 and p-values) across the 1000 repetitions. Below the figure, the results of

657 the Sobel test are displayed indicating the amount of variance explained by the indirect

658 pathway and the 95% confidence intervals and median p-value.

659

660 Discussion

661 In this study, we sought to identify if genetic background or specific domains of WMC could

662 explain the variability observed in performance levels during reward-based motor learning

663 tasks. We found that RWM and SWM predicted different aspects of the Acquire and Preserve

664 tasks, whereas VWM did not relate to any performance measure. Specifically, RWM

665 predicted the explicit component of retention in the Acquire task and success rate in the

666 Preserve task, whereas SWM predicted success rate in the Acquire task and ΔR in both tasks.

667 Conversely, allelic variations of the three dopamine-related genes (DRD2, COMT and

668 DARPP32) did not predict any behavioural variables in the full sample of participants. This

669 suggests that SWM predicts a participant's capacity to reproduce a rewarded motor action,

670 while RWM predicts a participant's ability to express an explicit strategy when making large
671 behavioural adjustments. Therefore, we conclude that WMC plays a pivotal role in
672 determining individual ability in reward-based motor learning.

673

674 Recently, Wong et al. (2019) describe a positive relationship between SWM and the
675 development of explicit strategies in visuomotor adaptation, complimenting previous reports
676 (Anguera et al., 2012; Christou et al., 2016). However, in contrast to the current findings the
677 previous experiments employed relatively small sample sizes, which may render correlations
678 unreliable. The large group sizes employed here and the confirmation of relationships across
679 two tasks provides strong evidence that these relationships are robust, replicable, and extend
680 from visuomotor adaptation to reward-based motor learning. An interesting dichotomy was
681 the reliance on SWM and RWM for the Acquire and Preserve task, respectively. The
682 Preserve task required the maintenance of a large, abrupt behavioural change, whereas the
683 Acquire task required the gradual adjustment of behaviour considering the outcomes of recent
684 trials. Therefore, RWM may underscore one's capacity to express a large correction
685 consistently over trials with binary feedback, whereas SWM reflects one's capacity to
686 maintain a memory of previously rewarded actions and adjust behaviour accordingly.
687 Conformingly, the magnitude of ΔR was negatively related to SWM but not RWM in both
688 tasks, suggesting high SWM enables the maintenance of rewarding actions. Supporting this,
689 Sidarta et al. (2018) report that higher SWM associated with reduced movement variability in
690 a reward-based motor learning task. Additionally, explicit retention, an element of the
691 Acquire task requiring a large, sudden change in reach direction, was predicted not by RWM
692 not SWM.

693

694 A notable feature of the Preserve task is the “spiking” behaviour observed immediately
695 following ‘refresher’ trials, suggesting a central role of ‘refresher’ trials in binary feedback-
696 based performance when included (Codol et al., 2018; Shmuelof et al., 2012). The transient
697 nature of this decrease in error demonstrates this is insufficient to promote generalisation to
698 binary feedback trials, at least in unsuccessful participants. It remains an open question
699 whether superior performance of successful participants was partly due to a capacity to
700 generalise information from ‘refresher’ trials. McDougle and Taylor (2019) provided
701 evidence that two separate strategies are employed in visuomotor adaptation; response-
702 caching and mental rotation. The balance between the two strategies is a function of task
703 demands. It could be that the relationships between RWM and SWM to success rate in the
704 Preserve and Acquire tasks respectively reflect a different balance of the use of these
705 strategies; visual feedback in ‘refresher’ trials in the Preserve task encourages the
706 engagement of mental rotation processes, whereas the slow updating of behaviour in the
707 Acquire task in the absence of visual feedback engages the response-caching memory system.
708 This would imply that response-caching is associated with SWM.

709

710 Surprisingly, although ΔP was a strong predictor of success in both tasks, it was not predicted
711 by any genetic variable. In the Acquire task $MAD(\Delta P)$ was inversely predicted by RWM.
712 This result is surprising given the positive relationship between ΔP and success rate in both
713 tasks. Whilst no predictor of ΔP was found in the Preserve task, ΔP is however likely
714 important for explicit control, as errors are a central element leading to the induction of
715 structural learning in reward-based tasks, reinforcement learning (Daw et al., 2011; Manley et
716 al., 2014; Sutton and Barto, 1998) and motor learning in general (Maxwell et al., 2001;
717 Sidarta et al., 2018).

718

719 If RWM is important for explicit control and the main element predicting success in the
720 Preserve task, this raises the question as to whether gradual designs (as in the Acquire task)
721 are more suitable to engage implicit reinforcement learning, at least initially. However, the
722 Acquire task still bears a strong explicit component (Holland et al., 2018). How can these two
723 views be reconciled? In reward-based motor learning tasks, it is generally agreed that
724 participants begin to reflect upon task structure and develop strategies upon encountering
725 negative outcomes (Leow et al., 2016; Loonis et al., 2017; Manley et al., 2014; Maxwell et al.,
726 2001), which occurs nearly immediately in the Preserve task after the introduction of binary
727 feedback, due to a lack of generalisation of cerebellar memory (Codol et al., 2018). In
728 contrast, in the Acquire task, participants experience an early learning phase with mainly
729 rewarding outcomes, possibly suppressing development of explicit control and allowing for
730 this early window of implicit reward-based learning. Other studies have demonstrated that
731 minor adjustments in reach direction under reward-based feedback can occur, though none
732 has assessed their explicitness directly in the very early stages, such as about 1-4° (Izawa and
733 Shadmehr, 2011; Pekny et al., 2015; Therrien et al., 2016). Notably, Izawa and Shadmehr,
734 (2011) observed that after 8° shifts of a similarly-sized reward region, participants indeed
735 noticed the perturbation, but awareness was not assessed for smaller shifts.

736

737 In Holland et al., (2018), the addition of a RWM-like dual-task was very effective in
738 preventing explicit control, leading to participants invariably failing at the reaching task. It
739 may therefore appear as surprising that RWM does not predict success rate in the Acquire
740 task. A possible explanation is that RWM and SWM share the same memory buffer (Anguera
741 et al., 2010; Beschin et al., 2005; Cohen et al., 1996; Jordan et al., 2001; Suchan et al., 2006).
742 Similarly, in force-field adaptation the early component of adaptation – considered as bearing
743 a strong explicit element – is selectively disrupted with a VWM dual-task (Keisler and

744 Shadmehr, 2010). However, we found no relationships with VWM in our reward-based
745 motor tasks. It may be possible that reward-based motor performance relies more on spatial
746 instances of WM as opposed to tasks such as force-field adaptation.

747

748 The absence of DA-related genetic relationships with behaviour is a surprising result as a
749 substantial body of literature points to a relationship between dopamine and performance in
750 reward-based tasks, including those with motor components (Deserno et al., 2015; Doll et al.,
751 2016; Frank et al., 2007, 2009; Gershman and Schoenbaum, 2017; Izawa and Shadmehr,
752 2011; Nakahara and Hikosaka, 2012; Pekny et al., 2015; Therrien et al., 2016). There exists a
753 growing appreciation of the links between decision-making and motor learning (Chen et al.,
754 2018; Haith and Krakauer, 2013). Chen et al., (2017) demonstrated that exploratory motor
755 learning can be modelled as a sequential decision-making process, with individual's
756 explorative drive shared between motor and decision-making tasks. However, the results
757 presented here suggest that genetic predictors of exploration and exploitation in decision-
758 making tasks are not also predictive of similar behaviours in reward-based motor learning.

759

760 Our sample sizes were defined *a priori* for 90% power based on previous work (Doll et al.,
761 2016; Frank et al., 2009; see pre-registrations), therefore they are unlikely to be
762 underpowered. Another possibility is that we employed the wrong variables to assess
763 behaviour. However, given the informative and coherent relationships between WM and
764 motor learning and the ability to predict overall performance on that basis, could it be that the
765 genes we selected do not relate in any meaningful way to performance in these reward-based
766 tasks? In line with this, a recent study showed that DA pharmacological manipulation did not
767 alter reward effects in a visuomotor adaptation task (Quattrocchi et al., 2018). However,
768 previous work has shown that Parkinson's disease patients show impaired reward-based

769 motor performance (Pekny et al., 2015). It is possible that genetic variations may not impact
770 reward-based motor learning significantly, especially compared to the wide depletion of
771 dopaminergic neurons in Parkinson's disease. Finally, future work should also address the
772 possible involvement of other neuromodulators, such as acetylcholine, norepinephrine and
773 serotonin (for a review, see Dash et al., 2007), in reward-based motor learning.

774

775 In summary, despite employing two distinct tasks and an independent participant pool on
776 different devices, we find strikingly similar results in reward-based motor learning. While
777 SWM strongly predicted a participant's capacity to reproduce successful motor actions,
778 RWM predicted a participant's ability to express an explicit strategy when required to make
779 large behavioural adjustments. Therefore, both SWM and RWM are reliable predictors of
780 success during reward-based motor learning. Surprisingly, no dopamine-related genotypes
781 predicted performance. Therefore, WMC plays a pivotal in determining individual ability in
782 reward-based motor learning. This could have important implications when using reward-
783 based feedback in applied settings as only a subset of the population may benefit.

784

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SNP	location	Allele code -1	Allele code 0	Allele code 1
rs4680	COMT	G:G (val:val)	A:G (met:val)	A:A (met:met)
		31, 33	68, 61	17, 21
rs1800497	DRD2	T:T (lys:lys)	T:C (lys:glu)	C:C (glu:glu)
		8, 7	48, 51	64, 62
rs907094	DARPP32	C:C	C:T	T:T
		10, 21	54, 38	56, 62

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954 **Table 1: Coding for SNPs.** The name of the SNP is provided along with the code assigned to each allele. The numbers represent the counts for
955 the specific allele in the two tasks (Preserve, Acquire).

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Population	Outcome	Predictor	Beta ± SE	p	Model	In-sample MAE	Out-of-sample MAE
All	SR	SWM	0.416 ± 0.085	2.954x10 ⁻⁶	F(113,2)=12.280, p=1.493x10 ⁻⁵	0.712 ± 3.627x10 ⁻⁴	0.734 ± 0.007
		COMT	0.087 ± 0.084	0.303			
	Explicit	RWM	0.373 ± 0.095	1.784x10 ⁻⁴	F(85,2)=15.370, p=1.78x10 ⁻⁴	0.789 ± 6.553x10 ⁻⁴	0.810 ± 0.012
	MAD(ΔP)	RWM	-0.236 ± 0.091	0.011	F(116,2)=6.767, p=0.011	0.713 ± 3.202x10 ⁻⁴	
		ΔR	SWM	-0.251 ± 0.090	0.006	F(116,2)=4.420, p=0.014	
MAD(ΔR)	SWM	-0.283 ± 0.090	0.002	F(116,2)=5.292, p=0.006	0.749 ± 2.986x10 ⁻⁴	0.763 ± 0.006	
Caucasian	SR	SWM	0.283 ± 0.101	0.006	F(80,2)=7.882, p=0.006	0.761 ± 5.629x10 ⁻⁴	0.782 ± 0.008
	Explicit	RWM	0.300 ± 0.105	0.006	F(53,2)=8.207, p=0.006	0.741 ± 9.733x10 ⁻⁴	
	MAD(ΔP)	RWM	-0.237 ± 0.109	0.033	F(78,2)=4.730, p = 0.033	0.759 ± 4.767x10 ⁻⁴	0.779 ± 0.009
		ΔR	SWM	-0.207 ± 0.101	0.044	F(78,2)=4.188, p = 0.044	
	MAD(ΔR)	SWM	-0.215 ± 0.105	0.044	F(78,2)=4.176, p = 0.044	0.757 ± 4.098x10 ⁻⁴	0.777 ± 0.009

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964 **Table 2: Regressions with significant models for the Acquire task. The predictors selected by the stepwise regression procedure to have**
965 **a model significantly better than the intercept only model are reported. For each model the selected predictors are reported alongside**
966 **the coefficient and standard error and associated p value for that predictor, as well as the significance of the model overall. The results**
967 **of the 10-fold cross-validation analysis are reported in terms of the mean ± SD of the absolute error (MAE) of the model prediction for**

968 **the 1000 repetitions. Results are reported when including all participants (N=121) or the Caucasian only subset (N=82), demonstrating**
 969 **that the reported results are consistent in both.**

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Population	Outcome	Predictor	Beta ± SE	p	Model	In-sample MAE	Out-of-sample MAE
All	early SR	RWM	0.255 ± 0.089	0.005	F(2,118)=8.207, p=0.005	0.814 ± 3.416x10 ⁻⁴	0.830 ± 0.005
		RWM	0.287 ± 0.088	0.002	F(2,118)=10.583, p=0.002	0.800 ± 3.984x10 ⁻⁴	
	Retention	Ethnicity	-0.528 ± 0.248	0.037	F(2,68)=4.525, p = 0.037	0.715 ± 7.025x10 ⁻⁴	0.741 ± 0.022
		ΔR	SWM	-0.194 ± 0.091	0.036	F(2,118)=4.502, p=0.036	
Caucasian	late SR	RWM	0.232 ± 0.106	0.031	F(2,83)=6.766, p= 0.011	0.804 ± 3.884x10 ⁻⁴	0.827 ± 0.008
	Retention	DARPP32	-0.214 ± 0.101	0.040	F(2,45)=4.451, p = 0.040	0.529 ± 6.248x10 ⁻⁴	
SR>40%	late SR	SWM	0.156 ± 0.069	0.026	F(2,68)=5.173, p = 0.026	0.434 ± 4.720x10 ⁻⁴	0.449 ± 0.005

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975 **Table 3. Regression with significant models for Preserve task. The predictors selected by the stepwise regression procedure to have a**
 976 **model significantly better than the intercept only model are reported. For each model the selected predictors are reported alongside the**
 977 **coefficient and standard error and associated p value for that predictor, as well as the significance of the model overall. The results of**
 978 **the 10-fold cross-validation analysis are reported in terms of the mean \pm SD of the absolute error (MAE) of the model prediction for the**
 979 **1000 repetitions. Results are reported when including all participants (N=120) or the Caucasian only subset (N=85), demonstrating that**
 980 **the relationship between RWM and late success rate are consistent in both and revealing a genetic predictor of retention.**

		ΔR	ΔP	Model
Acquire	β	-0.274	0.581	F(115,2)=11.9 p=2.09 \times 10 ⁻⁵
	SE	0.111	0.120	
	p	0.015	3.89 \times 10 ⁻⁶	
Preserve	β	-0.750	0.229	F(112,2)=35.3 p=1.28 \times 10 ⁻¹²
	SE	0.093	0.084	
	p	1.07 \times 10 ⁻¹²	0.007	

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984 **Table 4: Regression results for split data for both the Acquire and Preserve tasks. Ordinary least squares linear regressions were**
985 **performed with both ΔR and ΔP included as predictors. The regression coefficient, standard error and p value for each predictor are**
986 **reported along with the significance of the comparison between the model and an intercept only model. In both tasks there is an**
987 **opposing relationship between ΔR and ΔP and success rate, with smaller changes after rewarded trials and larger changes after**
988 **unrewarded trials predictive of success.**

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