# Deep learning enables accurate clustering and batch effect removal in single-cell RNA-seq analysis

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Xiangjie Li<sup>1,2</sup>, Yafei Lyu<sup>1</sup>, Jihwan Park<sup>3</sup>, Jingxiao Zhang<sup>2</sup>, Dwight Stambolian<sup>4</sup>, Katalin Susztak<sup>3</sup>,
 Gang Hu<sup>1,5\*</sup>, Mingyao Li<sup>1\*</sup>

Department of Biostatistics, Epidemiology and Informatics, University of Pennsylvania
 Perelman School of Medicine, Philadelphia, PA 19104, USA.

- 8 2) Center for Applied Statistics, School of Statistics, Renmin University, Beijing, China.
- 9 3) Departments of Medicine and Genetics, University of Pennsylvania Perelman School of 10 Medicine, Philadelphia, PA 19104, USA.
- 4) Department of Ophthalmology, University of Pennsylvania Perelman School of Medicine,Philadelphia, PA 19104, USA.
- 5) Department of Information Theory and Data Science, School of Mathematical Sciences and
   LPMC, Nankai University, Tianjin 300071, China.
- 15
- 16 \*Correspondence: 17

18 Gang Hu, Ph.D.

- 19 huggs@nankai.edu.cn
- 20
- 21 Mingyao Li, Ph.D.
- 22 mingyao@pennmedicine.upenn.edu
- 23 24

Single-cell RNA sequencing (scRNA-seq) can characterize cell types and states through unsupervised clustering, but the ever increasing number of cells imposes computational challenges. We present an unsupervised deep embedding algorithm for single-cell clustering (DESC) that iteratively learns cluster-specific gene expression signatures and cluster assignment. DESC significantly improves clustering accuracy across various datasets and is capable of removing complex batch effects while maintaining true biological variations.

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A primary challenge in scRNA-seq analysis is analyzing the ever increasing number of cells, which can be thousands to millions in large projects such as the Human Cell Atlas<sup>1</sup>. Identifying cell populations is a challenge in large datasets because many existing scRNA-seq clustering methods cannot be scaled up to handle them. It is desirable to first learn cluster-specific gene expression features from cells that are easy to cluster because they provide valuable information on cluster-specific gene expression signatures. These cells can help improve clustering of cells that are hard-to-cluster.

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Another challenge in scRNA-seq analysis is batch effect, which is systematic gene expression difference from one batch to another<sup>2</sup>. Batch effect is inevitable in studies involving human tissues because the data are often generated at different times and the batches can confound biological variations. Failure to remove batch effect will complicate downstream analysis and leads to a false interpretation of results.

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47 ScRNA-seq clustering and batch effect removal are typically addressed through separate 48 analyses. Commonly used approaches to remove batch effect include Seurat's Canonical bioRxiv preprint doi: https://doi.org/10.1101/530378; this version posted January 25, 2019. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. It is made available under aCC-BY-NC-ND 4.0 International license.

Correlation Analysis<sup>3</sup> (CCA) or Mutual Nearest Neighbors (MNN) approach<sup>4</sup>. After removing batch effect, clustering analysis is performed to identify cell clusters using methods such as Louvain's method<sup>5</sup>, Infomap<sup>6</sup>, graph-based clustering<sup>7</sup>, shared nearest neighbor<sup>8</sup>, or consensus clustering with SC3<sup>9</sup>. Since some cell types are more vulnerable to batch effect than others, batch effect removal should be performed jointly with clustering to achieve optimal performance. However, none of the existing methods are capable of simultaneously clustering cells and removing batch effect.

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57 We developed DESC, an unsupervised deep learning algorithm that iteratively learns cluster-58 specific gene expression representation and cluster assignments for scRNA-seg data clustering 59 (Fig. 1a). Using a deep neural network, DESC initializes clustering obtained from an autoencoder 60 and learns a non-linear mapping function from the original scRNA-seq data space to a low-61 dimensional feature space by iteratively optimizing a clustering objective function. This iterative 62 procedure moves each cell to its nearest cluster, balances biological and technical differences 63 between clusters, and reduces the influence of batch effect. DESC also enables soft clustering 64 by assigning cluster-specific probabilities to each cell, facilitating the clustering of cells with high-65 confidence.

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67 We benchmarked DESC's performance by analyzing the multi-tissue gene expression data in 68 GTEx<sup>10</sup>. We treat this dataset as the gold-standard because the tissue origins are known. 69 Although not generated by scRNA-seq, GTEx data are similar to scRNA-seq in that it contains a 70 large number of samples (n=11,688) originated from many tissue types and is similar to the 71volume and complexity of scRNA-seq data (Supplementary Note 1). DESC's clustering yields 72 an adjusted rand index (ARI) of 0.790, whereas the ARIs for Louvain's method, SC3, and Infomap 73 are 0.755, 0.349, and 0.267, respectively. As shown in the Sankey diagrams (Supplementary 74 Fig. 3), samples that were misclassified by DESC and Louvain's method tend to be from closely 75 related tissues, whereas SC3 tends to misclassify samples from tissues distantly related.

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77 We analyzed a scRNA-seq dataset generated from the midbrain of Drosophila, which includes 78 10,286 cells using Drop-seq<sup>11</sup>(Supplementary Note 2). This dataset has minimal batch effect. 79 DESC identified three types of mushroom body Kenyons, with 1,038 out of the 1,053 Kenyon cells 80 correctly classified, a 98.6% classification accuracy (Fig. 1b). DESC also separated cholinergic, 81 glutamatergic, and GABAergic neurons, which were mixed together in the Louvain's clustering as 82 shown in the original paper (Fig. 1c). These results indicate that DESC can identify cell types that 83 are detectable by the Louvain's method, and is also able to separate more closely related cells, 84 indicating its increased accuracy in classifying closely related cell types. We further applied 85 Louvain's method to the low-dimensional representation learned from the autoencoder in DESC 86 for clustering, and separated the cholinergic, glutamatergic, and GABAergic neurons better than 87 the original Louvain's clustering with principal components (PC) based dimension reduction 88 (Supplementary Fig. 4). These results suggest the autoencoder is more effective than PC in 89 dimension reduction for single-cell clustering.

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91 Encouraged by these findings, we analyzed a scRNA-seq dataset with known batch effect 92 (Supplementary Note 3). Shekhar et al.<sup>12</sup> sequenced 23,494 retinal bipolar cells using Drop-seq, 93 where cells from six replicates were processed in two different batches. Fig. 2a and 2c show that 94 DESC removed the batch effect, and yields an ARI of 0.973 for clustering. The corresponding 95 ARIs for Louvain, SC3, Infomap, CCA and MNN are 0.965, 0.521, 0.560, 0.637, and 0.974, 96 respectively. Although DESC, Louvain, and MNN have similar ARIs, DESC has the smallest 97 Kullback-Leibler (KL) divergence, which measures the degree of random mixing of cells in 98 different batches, indicating that DESC is more effective in removing batch effect (Fig. 2b). 99 Further analysis revealed that the batch effect removal in DESC is due to its iterative clustering,

100 in which cells from the same cluster, separated by technical batch effect, are grouped closer and 101 closer to the cluster centroid over iterations (**Figs. 2d and 2e**).

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103 We also assessed the performance of DESC on data with complex batch effect generated from 104 multiple subjects using the same platform but in different labs. Such complex batch effect is 105 common in human studies because logistical constraints mandate that data from different 106 subjects be generated at different times and perhaps in different labs, which result in complex 107 batch effects that are challenging to address. To examine the robustness of DESC in the presence 108 of this complex batch effect, we analyzed scRNA-seq data obtained from seven human kidneys 109 (Supplementary Note 4). This dataset includes 8,544 cells, derived from four healthy kidneys, 110 generated by us using 10X, and 7,149 cells obtained from the normal part of kidneys in three 111 patients with kidney tumor<sup>13</sup>, also generated by 10X, but in a different lab. **Figs. 3a and b** show 112 that DESC removed batch effect, with the seven biological samples and the two different datasets 113 randomly mixed. The KL-divergence is lower for DESC than for CCA and MNN (Fig. 3d), 114 indicating that DESC is more effective in removing batch effect both at the subject level and 115 dataset level.

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117 The kidneys and the immune system are closely linked. It has been shown that the accumulation 118 of natural killer (NK) cells promotes chronic kidney inflammation and contributes to kidney 119 fibrosis<sup>14</sup>. T cells, which have a well-described role in renal injury, are involved in renal fibrosis<sup>15</sup>. 120 Previous studies have shown that NK cells play a role in the regulation of the adaptive immune response and stimulate or inhibit T cell responses<sup>16</sup>. Better understanding of how different 121 122components of the immune system mediate kidney disease requires a clear separation of NK and 123 T cells. Fig. 3c and Supplementary Fig. 8 show that both DESC and MNN identified T cells and 124 NK cells as separate clusters; however, CCA mixed some of the NK cells with T cells, possibly 125due to overcorrection of true biological variations. These results indicate that DESC not only 126 removed technical batch effect more effectively than CCA and MNN, but also maintained true 127 biological variations among closely related immune cells.

128

129 To further demonstrate that DESC preserves true biological variations, we considered an even 130 more complex situation in which technical batches were completely confounded with biological 131variations. This is inevitable in disease studies where tissues are processed immediately to 132maintain cell viability resulting in the preparation of normal and diseased samples in different 133batches. For data generated in such complex settings, it is desirable to remove technical batch 134 effect while maintaining true biological variations between normal and diseased samples so that 135disease specific subpopulations can be identified. We analyzed a dataset generated by 10X that 136 includes 24,679 human PBMCs from eight patients with lupus<sup>17</sup> (Supplementary Note 5). The 137 cells were split into a control group and a matched group stimulated with INF-B, which leads to a 138 drastic but highly cell type-specific response. This dataset is extremely challenging because 139 removal of technical batch effect is complicated by the presence of biological differences, both 140 between cell types under the same condition and between different conditions.

141

142 Fig. 3c shows that DESC randomly mixed cells between the control and the stimulus conditions 143 for all cell types except CD14<sup>+</sup> monocytes. Differential expression (DE) analysis revealed a drastic 144 change in gene expression after INF- $\beta$  stimulation for CD14<sup>+</sup> monocytes (Fig. 3d); the number of 145 DE genes and the magnitude of DE, measured by p-value and fold-change, are several orders 146 more pronounced than the other cell types. This is consistent with previous studies showing 147 CD14<sup>+</sup> monocytes with a more drastic gene expression change than B cells, dendritic cells, and T cells after INF-β stimulation<sup>18, 19</sup>. These results suggest that DESC is able to remove technical 148 149 batch effect and maintain true biological variations induced by INF-β. MNN also preserved the 150 biological difference between the control and the INF-β stimulated CD14<sup>+</sup> monocytes, but the NK

- 151 cells are less well separated from CD8 T cells (**Supplementary Fig. 15a**). CCA masked the 152 biological difference between the control and the INF-β stimulated CD14<sup>+</sup> monocytes indicating
- 153 that it might have overcorrected batch effect (**Supplementary Fig. 15a**).
- 154

155In summary, we have developed a deep learning algorithm that clusters scRNA-seg data by 156iteratively optimizing a clustering objective function with a self-training target distribution. DESC's 157memory usage and running time increase linearly with the number of cells, thus making it scalable 158to large datasets (Fig. 3e). DESC can further speed up computation by GPUs. We analyzed a 159mouse brain dataset with 1.3 million cells generated by 10X, which only took about 3.5 hours with 160 one NVIDIA TITAN Xp GPU (Supplementary Note 6). Compared to existing scRNA-seq 161 clustering methods DESC improves clustering by iteratively learning cluster-specific gene 162 expression features from cells clustered with high confidence. This iterative clustering also 163 removes batch effect and maintains true biological differences between clusters. As the growth 164 of single-cell studies increases, DESC will be a more precise tool for clustering of large datasets. 165

166

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170

## 171 AUTHOR CONTRIBUTIONS

This study was conceived of and led by M.L. and H.G.. X.L., H.G., and M.L. designed the model and algorithm. X.L. implemented the DESC software and led the data analysis with input from M.L., H.G., and J.Z.. Y.L. helped with software development and testing. K.S. and J.P. generated the human kidney scRNA-seq data, and provided input on the kidney data analysis. D.S. provided input on the mouse retina scRNA-seq data analysis. M.L., X.L., and H.G. wrote the paper with feedback from Y.L., J.P., J.Z., D.S., and K.S..

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## 179 COMPETING FINANCIAL INTERESETS STATEMENT

- 180 The authors declare no competing interests.
- 181
- 182

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#### 226 FIGURE LEGENDS

227

228 Figure 1 (a) Overview of the DESC framework. DESC starts with parameter initialization in which 229 a stacked autoencoder is used for pretraining and learning a low-dimensional representation of 230 the input gene expression matrix. The resulting encoder is then added to the iterative clustering 231 neural network to cluster cells iteratively. The final output of DESC includes cluster assignment, 232 the corresponding probabilities for cluster assignment for each cell, and the low-dimensional 233 representation of the data. (b) Analysis of the single-cell data generated from midbrain in 234Drosophila. DESC not only identified the three types of Kenyon cells, which are detectable by the 235 Louvain's method, but also identified cholinergic, glutamatergic, and GABAergic neurons, which 236 are harder-to-separate by the Louvain's method reported in the original paper.

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Figure 2 (a) Clustering of the mouse retina bipolar cells by different methods. The cells are colored by replication IDs. Cells from six replicates were processed in two different batches (Bipolar1-Bipolar4 are replicates from batch1, and Bipolar5-6 are replicates from batch 2). (b) KLdivergence for measuring of batch mixing of different methods. (c) Batch effect mixing is improved over iterations in DESC. (d) KL-divergence decreases over iterations in DESC, indicating that batch effect removal is improved over iterations.

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245 Figure 3 (a) DESC clustering of the human kidney data. Cell types were determined based on 246 known marker genes. Endo AVR: Endothelial Ascending Vasa Recta; Endo DVR: Endothelial 247 Descending Vasa Recta; CD-IC: Collecting Duct Intercalated Cell; NK: Natural Killer; PT: 248 Proximal Tubule. (b) KL-divergence for measuring batch mixing of different methods for the 249 human kidney data. (c) DESC clustering of the PBMC data. Cell types were based on 250 assignment reported in the original paper. (d) Volcano plot of differential expression analysis 251between control and stimulus conditions for each cell type. Highlighted are genes with FDR 252adjusted p-value<10<sup>-50</sup>. CD14<sup>+</sup> monocytes has the most number of differentially expressed 253 genes compared to the other cell types. (e) Comparison of memory usage and running time of 254each method for datasets with various numbers of cells, where the cells were randomly sampled 255from the 1.3 million mouse brain dataset.

#### 256 **ONLINE METHODS**

257

258 The DESC algorithm. Analysis of scRNA-seq data often involves clustering of cells into different 259 clusters and selection of highly variable genes for cell clustering. As these are closely related, it 260 is desirable to use a data driven approach to cluster cells and select genes simultaneously. This 261 problem shares similarity with pattern recognition, in which clear gains have resulted from joint 262 consideration of the classification and feature selection problems by deep learning. However, for 263 scRNA-seq data, a challenge is that we cannot train deep neural network with labeled data as cell type labels are typically unknown. To solve this problem, we take inspiration from recent work 264 265 on unsupervised deep embedding for clustering analysis<sup>20</sup>, in which we iteratively refine clusters 266 with an auxiliary target distribution derived from the current soft cluster assignment. This process 267 gradually improves clustering as well as feature representation.

268

Overview of DESC. The DESC procedure starts with parameter initialization, in which a stacked autoencoder is used for pretraining and learning low-dimensional representation of the input gene expression matrix. The corresponding encoder is then added to the iterative clustering neural network. The cluster centers are initialized by the Louvain's clustering algorithm<sup>5</sup>, which aims to optimize modularity for community detection. This clustering returns data in a feature space that allows us to obtain centroids in the initial stage of the iterative clustering. Below, we describe each component of the DESC procedure in detail.

276

Parameter initialization by stacked autoencoder. Let  $X \in \mathbb{R}^{n \times p}$  be the gene expression matrix 277 278 obtained from a scRNA-seq experiment, in which rows correspond to cells and columns 279 correspond to genes. Due to sparsity and high-dimensionality of scRNA-seq data, to perform 280 clustering, it is necessary to transform the data from high dimensional space  $R^p$  to a lower 281 dimensional space  $R^d$  in which  $d \ll p$ . Traditional dimension-reduction techniques such as 282 principal component analysis, operate on a shallow linear embedded space, and thus have limited 283 ability to represent the data. To better represent the data, we perform feature transformation by a 284 stacked autoencoder, which have been shown to produce well-separated representations on real 285 datasets.

286

The stacked autoencoder network is initialized layer by layer with each layer being an autoencoder trained to reconstruct the previous layer's output. After greedy layer-wise training, all encoder layers are concatenated, followed by all decoder layers, in reverse layer-wise training order. The resulting autoencoder is then fine-tuned to minimize reconstruction loss. The final result is a multilayer autoencoder with a bottleneck layer in the middle. After fine tuning, the decoder layers are discarded, and the encoder layers are used as the initial mapping between the original data space and the dimension-reduced feature space, as shown in **Fig. 1a**.

- Since the number of true clusters for a scRNA-seq dataset is typically unknown, we apply the Louvain's method, a graph-based method that has been shown to excel over other clustering methods, on the feature space *Z* obtained from the bottleneck layer. This analysis returns the number of clusters, denoted by *K*, and the corresponding cluster centroids { $\mu_j$ : j = 1, ..., K}, which will be used as the initial clustering for DESC.
- 300

301 *Iterative clustering.* After cluster initialization, we improve the clustering using an unsupervised 302 algorithm that alternates between two steps until convergence. In the first step, we compute a soft 303 assignment of each cell between the embedded points and the cluster centroids. Following van 304 der Maaten & Hinton<sup>21</sup>, we use the Student's *t*-distribution as a kernel to measure the similarity

between embedded point  $z_i$  for cell *i* and centroid  $\mu_j$  for cluster *j*,

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306

307 
$$q_{ij} = \frac{\left(1 + \|z_i - \mu_j\|^2 / \alpha\right)^{-1}}{\sum_{j'} \left(1 + \|z_i - \mu_{j'}\|^2 / \alpha\right)^{-1}}$$

308

309 where  $z_i = f_W(x_i) \in Z$  corresponds to  $x_i \in X$  after embedding,  $\alpha$  is the degree of freedom of the 310 Student's *t*-distribution.

311

In the second step, we refine the clusters by learning from cells with high confidence cluster assignments with the help of an auxiliary target distribution. Specifically, we define the objective function as a Kullback-Leibler (KL) divergence loss between the soft cell assignments  $q_i$  and the auxiliary distribution  $p_i$  for cell *i* as

316

317 
$$L = KL(P \parallel Q) = \sum_{i=1}^{n} \sum_{j=1}^{K} p_{ij} \log \frac{p_{ij}}{q_{ij}}$$

318

319 where the auxiliary distribution *P* is defined as

320 
$$p_{ij} = \frac{q_{ij}^2 / \sum_{i=1}^n q_{ij}}{\sum_{j=1}^K (q_{ij}^2 / \sum_{i=1}^n q_{ij})}$$

321

The encoder is fine-tuned by minimizing *L*. The above definition of the auxiliary distribution *P* can improve cluster purity by putting more emphasis on cells assigned with high confidence. Given that the target distribution *P* is defined by *Q*, minimizing *L* implies a form of self-training. Also,  $p_{ij}$ gives the probability of cell *i* that belongs to cluster *j*, and this probability can be used to measure the confidence of cluster assignment for each cell. Because  $\alpha$  is insensitive to the clustering result, we let  $\alpha = 1$  for all datasets by default.

329 *Optimization of the KL divergence loss.* We jointly optimize the cluster centers  $\{\mu_j: j = 1, ..., K\}$ 330 and the deep neural network parameters using stochastic gradient descent. The gradients of *L* 331 with respect to feature space embedding of each data point  $z_i$  and each cluster center  $\mu_i$  are

332 
$$\frac{\partial L}{\partial z_i} = \frac{\alpha + 1}{\alpha} \sum_{j=1}^{K} \left( 1 + \frac{\left\| z_i - \mu_j \right\|^2}{\alpha} \right)^{-1} \times \left( p_{ij} - q_{ij} \right) \left( z_i - \mu_j \right)$$

333 
$$\frac{\partial L}{\partial \mu_j} = \frac{-(\alpha+1)}{\alpha} \sum_{i=1}^n \left(1 + \frac{\left\|z_i - \mu_j\right\|^2}{\alpha}\right)^{-1} \times \left(p_{ij} - q_{ij}\right) \left(z_i - \mu_j\right)$$

334

These gradients are then passed down to the deep neural network and used in standard backpropagation to compute the deep neural network's parameter gradient. We use Keras to train our model. During each iteration i.e. when loss is not decreasing or the epoch number threshold is reached, we update the auxiliary distribution P, and optimize cluster centers and encoder parameters with the new P. This iterative procedure is stopped when less than tol% of cells change cluster assignment between two consecutive iterations. We let tol = 0.5 by default. 342 *Architecture of the deep neural network in DESC.* Depending on the number of cells in the dataset, 343 we suggest different numbers of hidden layers and different numbers of nodes in the encoder.

we suggest different numbers of hidden layers and different numbers of nodes in the encoder.
 Supplementary Table 2 gives the default numbers of hidden layers and nodes in DESC.

345

346 DESC allows users to specify their own numbers of hidden layers and nodes. We recommend 347 using more hidden layers and more nodes per layer for datasets with more cells so that the 348 complexity of the data can be captured by the deep neural network. We use ReLU as the 349 activation function except for the last hidden layer and last decoder layer, in which tanh is used 350 as the activation function. The reason why we use tanh is that we must guarantee the values in 351 feature representation and output of decoder range from negative to positive. The default 352 hyperparameters for the autoencoder are listed in **Supplementary Table 3**.

353

**Data normalization and gene selection.** The normalization involves two steps. In the first step, cell level normalization is performed, in which the UMI count for each gene in each cell is divided by the total number of UMIs in the cell, and then transformed to a natural log scale. In the second step, gene level normalization is performed in which the cell level normalized values for each gene are standardized by subtracting the mean across all cells and divided by the standard deviation across all cells for the given gene. Highly variable genes are selected using the filter\_genes\_dispersion function from the Scanpy package<sup>22</sup> (<u>https://github.com/theislab/scanpy</u>).

362 **Evaluation metric for clustering.** For published datasets in which the reference cell type labels 363 are known, we use ARI to compare the performance of different clustering algorithms. Larger 364 values of ARI indicate higher accuracy in clustering. The ARI can be used to calculate similarity 365 between the clustering labels obtained from a clustering algorithm and the reference cluster labels. 366 Given a set of n cells and two sets of clustering labels of these cells, the overlap between the two 367 sets of clustering labels can be summarized in a contingency table, in which each entry denotes 368 the number of cells in common between the two sets of clustering labels. Specifically, the ARI is 369 calculated as

370

371

$$ARI = \frac{\sum_{jj'} \binom{n_{jj'}}{2} - \left[\sum_{j} \binom{a_{j}}{2} \sum_{j'} \binom{b_{j'}}{2}\right] / \binom{n_{jj'}}{2}}{\frac{1}{2} \left[\sum_{j} \binom{a_{j}}{2} + \sum_{j'} \binom{b_{j'}}{2}\right] - \left[\sum_{j} \binom{a_{j}}{2} \sum_{j'} \binom{b_{j'}}{2}\right] / \binom{n_{jj'}}{2}}$$

372

where  $n_{jj}$ , is the number of cells assigned to cluster *j* based on the reference cluster labels, and cluster *j'* based on clustering labels obtained from a clustering algorithm,  $a_j$  is the number of cells assigned to cluster *j* in the reference set, and  $b_{j'}$  is the number of cells assigned to cluster *j'* by the clustering algorithm.

Evaluation metric for batch effect removal. We use KL-divergence to evaluate the performance
 of various single-cell clustering algorithms for batch effect removal i.e., how randomly are cells
 from different batches mixed together within each cluster. The KL-divergence of batch mixing for
 *B* different batches is calculated as

382

383 
$$KL = \sum_{b=1}^{B} p_b \log \frac{p_b}{q_b}$$

384

where  $q_b$  is the proportion of cells from batch b among all cells, and  $p_b$  is the proportion of cells 385 from batch b in a given region based on results from a clustering algorithm, with  $\sum_{b=1}^{B} q_b = 1$  and 386  $\sum_{b=1}^{B} p_b = 1$ . We calculate the KL divergence of batch mixing on the first two components of the 387 t-SNE coordinates, by using regional mixing KL divergence defined above at the location of 100 388 389 randomly chosen cells from all batches. The regional proportion of cells from each batch is 390 calculated based on the set of 120 nearest neighboring cells from each randomly chosen cell. 391 The final KL divergence is then calculated as the average of the regional KL divergence. We 392 repeated this procedure for 500 iterations with different randomly chosen cells to generate box 393 plots of the final KL divergence. Smaller final KL divergence indicates better batch mixing i.e., 394 more effective in batch effect removal.

396 Datasets. We analyzed multiple scRNA-seq datasets. Publicly available data were acquired from
 397 the access numbers provided by the original publications. The human kidney dataset generated
 398 by us is available in Supplementary Data.

399

395

Benchmarking dataset. The Genotype-Tissue Expression (GTEx) v7 dataset<sup>10</sup> was downloaded from the GTEx data portal (https://gtexportal.org/home/datasets). This dataset includes 11,688 human RNA-seq samples from 30 tissues. Because the tissue origin is known, we treat this dataset as the benchmarking dataset in which the tissue origin is used as the true cluster label.

- 404
   405 *Drosohpila dataset.* The data were generated by Croset et al.<sup>11</sup> in which 10,286 cells were
   406 generated using Drop-seq from the midbrain of drosophila.
- 407

Mouse retina dataset. The data were generated by Shekhar et al.<sup>12</sup> in which 23,494 bipolar cells
 were generated using Drop-seq from retinas of six mice processed in two experimental batches.
 This dataset allows us to examine batch effect at the subject level.

411

*Human kidney datasets.* The first set of data was generated by us using 10X. This dataset includes 8,544 cells from kidneys in four healthy human subjects. The second set of data was generated by Young et al.<sup>13</sup>, also using 10X. This dataset includes 7,149 cells from the normal part of the kidneys in three human subjects that have kidney tumors. These two datasets were combined in our analysis, which allow us to examine batch effect, both at the subject level and at the dataset level.

418

419 *Human PBMC dataset.* The data were generated by Kang et al.<sup>17</sup> in which 24,679 PBMC cells 420 were obtained and processed from eight patients with lupus using 10X. These cells were split into 421 two groups: one stimulated with interferon-beta (INF-β) and a culture-matched control. This 422 dataset allows us to examine whether technical batch effect can be removed in the presence of 423 true biological variations.

424

1.3 million brain cells from E18 mice. This dataset was downloaded from the 10X Genomics
 website (<u>https://support.10xgenomics.com/single-cell-gene-expression/datasets/1.3.0/1M</u>
 neurons). It includes 1,306,127 cells from cortex, hippocampus and subventricular zone of two
 E18 C57BL/6 mice.

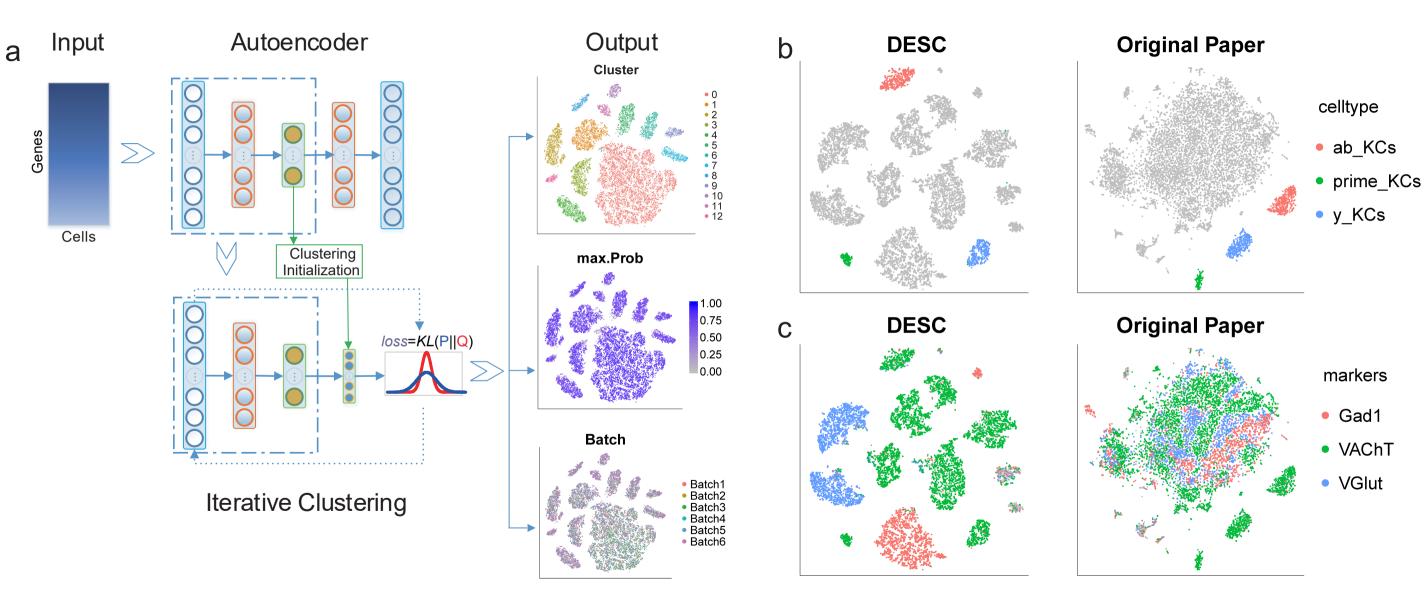
430 A complete list of the datasets analyzed in this paper is provided in **Supplementary Table 1**.

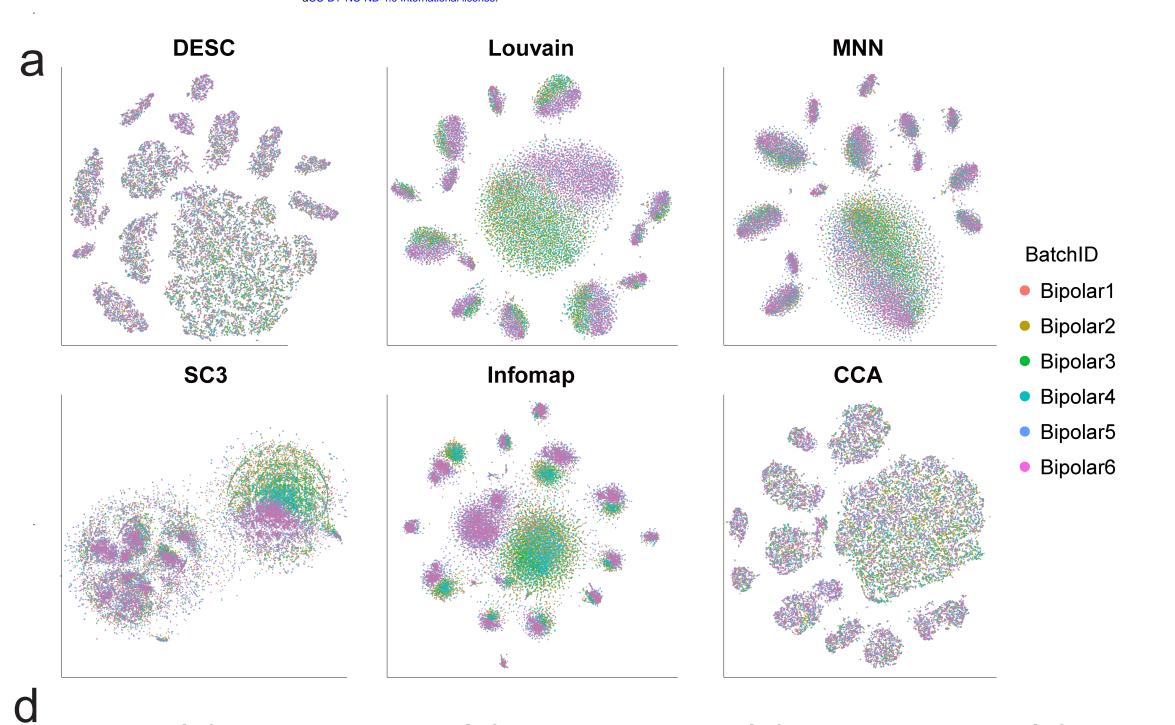
431
432 Software availability. An open-source implementation of the DESC algorithm can be
433 downloaded from <u>https://eleozzr.github.io/desc/</u>.

434

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epoch:9

