1	An allele-specific functional SNP associated with two autoimmune diseases
2	modulates <i>IRF5</i> expression by long-range chromatin loop formation
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# 19 Abstract

20 Both Systemic Lupus Erythematosus (SLE) and Systemic Sclerosis (SSc) are autoimmune 21 diseases sharing similar genetic backgrounds. Genome-wide association studies (GWASs) 22 have constantly disclosed numerous genetic variants conferring to both disease risks at 23 7q32.1, but the functional mechanisms underlying them are still largely unknown. Through 24 combining fine-mapping and functional epigenomic analyses, we prioritized a potential 25 independent functional SNP (rs13239597) within TNPO3 promoter region, residing in a 26 putative enhancer element. Functional analysis integrating expression quantitative trait locus 27 (eQTL) and high-throughput chromatin interaction (Hi-C) demonstrated that *IRF5* is the 28 distal target gene (~118kb) of rs13239597, which is a key regulator of pathogenic 29 autoantibody dysregulation increased risk of both SLE and SSc. We experimentally validated 30 the long-range chromatin interactions between rs13239597 and IRF5 using chromosome 31 conformation capture (3C) assay. We further demonstrated that rs13239597-A acted as an 32 allele-specific enhancer regulating IRF5 expression, independently of TNPO3 by using dual-33 luciferase reporter assays and CRISPR-Cas9. Particularly, the transcription factor EVI1 could 34 preferentially bind to rs13239597-A allele and increase the enhancer activity to regulate *IRF5* 35 expression. Taken together, our results uncovered the mechanistic insight connecting between 36 a noncoding functional variant with a distal immunologically pathogenic gene IRF5, which 37 might obligate in understanding the complex genetic architectures of SLE and SSc 38 pathogenesis.

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Key words: Systemic lupus erythematous, systemic sclerosis, rs13239597, *IRF5*, *TNPO3*,
long-range, chromatin loop, EVI1.

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#### 45 Introduction

46 Systemic Lupus Erythematosus (SLE [MIM: 152700]) and Systemic Sclerosis (SSc [MIM: 47 181750]) are two typical systemic autoimmune diseases, which share pathogenic features 48 such as interferon signature, loss of tolerance against self-nuclear antigens, multi-tissue 49 damage and platelet system activation [1]. The worldwide prevalence is about 32 for SLE and 50 24 for SSc per 100,000 [2]. Although the etiology of SLE and SSc remains unclear, genetic 51 factors are considered as the key query point. Genome-wide association studies (GWASs) 52 have identified a large number of risk genes associated with SLE and SSc, some of which are 53 pleiotropic genes for both diseases such as STAT4 (MIM: 600558) [3, 4], indicating that these 54 two diseases share a portion of the genetic backgrounds.

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56 Chromosome 7q32.1 locus harboring IRF5-TNPO3 (IRF5 [MIM: 607218], TNPO3 [MIM: 57 610032]) has been reported repeatedly as a strong association signal with SSc or SLE outside 58 the human leukocyte antigen (HLA) region [5, 6]. This IRF5-TNPO3 region also contains 59 some susceptibility variants associated with other auto immune disorders, like rheumatoid 60 arthritis, Sjogren's syndrome and multiple sclerosis [7]. A pan-meta GWAS study combing 61 both SLE and SSc samples found the highest association signal for the SNP rs13239597 (P = $1.17 \times 10^{-29}$ ) [8]. This SNP is located in the promoter region of *TNPO3* (Transportin 3) and 62 63 ~118 kb downstream of IRF5 (Interferon regulatory factor 5). IRF5 is a well-known 64 immunologic gene with crucial regulatory roles in modulating immune responses across 65 numerous immune-related cell types in Toll-like receptor (TLR) signaling pathway [9]. 66 However, the functional roles of TNPO3 in autoimmune etiology are largely unknown. 67 Recent studies have found that SNPs within the potential regulatory elements could regulate 68 expression of distal genes by long-range interactions [10, 11]. It is important and interesting

to decipher the functional roles of these autoimmune disease-associated SNPs and find out
whether *TNPO3* or *IRF5* is the true target gene regulated by these variants, which might help
fulfill the gap between GWAS findings and autoimmune disease etiology.

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73 Therefore, in this study, we firstly prioritized a potential functional independent variant 74 (rs13239597) located in an enhancer element at 7q32.1 through combining genomic fine-75 mapping and functional epigenomic analyses. Then, we optimized *IRF5* as the distal target 76 gene for rs13239597 by integrating expression quantitative trait locus (eQTL) and high-77 throughput chromatin interaction (Hi-C) analysis. Such long-range chromatin interactions 78 was also validated by using chromosome conformation capture (3C) assay. We further 79 demonstrated that rs13239597 could act as an allele-specific strong enhancer to regulate *IRF5* 80 expression through a series of functional assays including dual-luciferase reporter assays and 81 CRISPR-Cas9. Finally, we found that transcription factor EVI1 (MIM: 165215) could 82 preferentially bind to rs13239597-A allele to increase the enhancer activity on IRF5 83 expression. Our findings uncovered a novel functional mechanisms connecting SNPs at 84 TNPO3 locus with IRF5 in a long-range chromatin regulatory manner, which would provide 85 promising routes towards the improved multidisciplinary therapy of complex autoimmune 86 diseases.

87

#### 88 Materials and Methods

## 89 Conditional association and Bayesian fine-mapping analysis

Genome-wide association analysis results on SLE at 7q32.1 locus were downloaded from a
recent large-scale meta-analysis comprised 23,210 European samples [12]. Linkage
disequilibrium (LD) analyses were conducted using Haploview Version 4.2 [13] in European
samples from the 1000 genome V3 genotype data [14]. To identify potential independent

94 SLE-associated SNP(s), we performed a stepwise conditional association analysis using 95 GCTA-COJO (--cojo-slct) [15, 16] analysis with LD correlations between SNPs estimated 96 from 8748 unrelated samples from the Atherosclerosis Risk in Communities (ARIC) data 97 (dbGap: phs000280.v3.p1.c1) [17]. To clarify the independent SLE association signal 98 surrounding TNPO3 locus, we further performed association analysis of TNPO3 locus SNPs 99 by conditioning on identified independent SNPs in *IRF5* locus. To prioritize potential causal 100 SNPs surrounding TNPO3 locus, we performed Bayesian fine-mapping analysis to identify 101 95% credible SNP set, which was originally described by Maller *et al.* [18]

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# 103 Functional epigenetic annotation

To prioritize potential functional variants, we annotated several enhancer related epigenetic markers for the regions surrounding our interest SNPs using ChIP-seq data from ENCODE [19], including the DNase I hypersensitivity (DHS), the histone markers (H3K27ac, H3K4me3), RNA polymerase II (Pol 2) and histone acetyltransferase p300 binding sites in immune related blood cell lines such as GM12878 lymphoblastoid cell line, primary T cells and primary B cells respectively. All annotated data were visualized by using WashU Epigenome Browser (v46.1).

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# 112 Cis-eQTL analysis

Matched SNP genotype and RNA-seq data for 373 unrelated human lymphoblastoid cell lines (LCLs) samples of European population were retrieved from 1000 genome V3 genotype data [14] and ArrayExpress (E-GEUV-1) [20] respectively. We transformed genotype data into plink format using vcftools. By using the linear regression model implemented in PLINK, cis-eQTL analysis was conducted between selected SNPs and expression of nearby genes within 1 Mb region. For further validation, cis-eQTL association from publicly available

Genotype-Tissue Expression (GTEx) database, encompassing over 25,000 samples from 714 donors across 53 tissues was also checked [21] with corresponding genotype data obtained from dbGaP (phs000424.v7.p2). We next queried for cis-eQTL in another peripheral blood samples from 5,311 individuals [22].

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# 124 Hi-C and TAD analysis

125 To validate the long-range regulation between focused SNP and its eQTL target gene, we 126 checked their chromatin interactions using Hi-C or capture Hi-C data on GM12878 and 127 CD34 cells downloaded from the previous studies [23, 24]. Hi-C data in IMR90 cells were 128 obtained from 4D Genome databases [25, 26]. The original ChIA-PET data and newly 129 improved ChIA-PET data on six cell lines (K562, NB4, HCT-116, Hela-S3, GM12878, and 130 MCF7) were acquired from the UCSC ENCODE download portal [27]. BEDTools [28] was 131 used to extract the chromatic interacted regions. We further checked whether the focused 132 SNP and its target gene are within the same topologically associating domain (TAD) region 133 using TAD data in IMR90 cells collected from GEO database (GSE35156) [29].

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### 135 Motif analysis

136 We conducted motif analysis surrounding rs13239597 (50-bp) using MEME Suite toolkit [30]

137 with three publicly available TF motif databases, namely JASPAR [31], HOCOMOCO [32],

and SwissRegulon [33]. The motif with allele-specific binding at rs13239597 was retained.

139

#### 140 Comparison of *IRF5* expression between SLE patients and healthy samples

We retrieved three SLE genome-wide gene expression datasets in whole blood samples from
the Gene Expression Omnibus (GEO) repository, including 30 healthy controls and 99 SLE

patients for GSE61635, 46 healthy controls and 96 patients for GSE39088 and 72 healthy

144	controls and 924 patients for GSE65391[34]. We compared the average expression level for
145	all microarray probes on IRF5 between SLE patients and healthy samples in each dataset
146	using two-tailed student's T test, respectively.

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### 148 Culture of cell lines

The EBV-transformed B lymphocyte cells (Raji), the human embryonic kidney 293T cells (HEK293T) and the human bone osteosarcoma epithelial cells (U2OS) were purchased from the American Type Culture Collection (ATCC, USA) and cultured in RPMI-1640 (Roswell Park Memorial Institute Medium) medium for Raji and U2OS cells and DMEM (Dulbecco's Modified Eagle's Medium) medium for HEK293T cells supplemented with 10% fetal bovine serum (FBS) (Invitrogen, USA), 100U/mL penicillin and 0.1 mg/mL streptomycin in 5% CO<sub>2</sub> at 37°C incubator.

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### 157 Genotyping of rs13239597

To measure genotypes of rs13239597 over Raji, HEK293T and U2OS cell lines, we extracted the human genomic DNAs from each cell line and amplified the fragment surrounding rs13239597 using the same primers used in the Luciferase expression plasmid constructs (Table S3). The amplified DNAs were digested with the restriction enzyme EcoRV, followed by the 1% gel electrophoresis analysis and further confirmed by sequencing.

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## 164 Luciferase expression plasmid constructs

A 1000-bp putative enhancer fragment containing the reference or alternate allele of rs13239597, a 1323-bp promoter fragment surrounding *IRF5* transcription start site, as well as a 1137-bp promoter fragment surrounding *TNPO3* transcription start site were amplified using PCR from healthy human genomic DNA (Table S3). The amplified enhancer and *IRF5*  169 promoter fragments were ligated and cloned into the enzyme cut sites MluI and HindIII of 170 pGL3-basic vector. The individual IRF5 or TNPO3 promoter fragment was cloned into the 171 enzyme cut sites SmaI and HindIII or XhoI and HindIII of pGL3-basic vector as the baseline 172 control, respectively. We also amplified a 1698-bp fragment including both rs13239597 173 enhancer and TNPO3 promoter and cloned it into the enzyme cut sites MluI and HindIII of 174 pGL3-basic vector (Table S3). The site-directed mutagenesis was used to generate the 175 constructs containing the other allele not amplified from initial cloning with the Quick 176 Change II Site-Directed Mutagenesis Kit (Agilent Technology, USA). All the constructed 177 plasmids were validated by sequencing and did not contain any other sequence variations. 178 The primers used in these constructs were listed in Table S3.

179

### 180 Transfection, electroporation and dual-luciferase reporter assays

181 The constructed expression plasmids were transfected into HEK293T and U2OS cells by using ViaFect<sup>TM</sup> transfection reagent (Promega, USA) according to the manufacturer's 182 183 instructions. Celetrix electroporation method was used to transfect the expression plasmids 184 into Raji cells according to the manufacturer's instructions using the electroporation machine 185 (Catalog# CTX-1500A LE), the pressured electroporation tubes (Catalog# 12-0107), and the 186 electroporation buffer (Catalog# 13-0104) (Celetrix LLC, USA). 5-8 million of Raji cells 187 were used to transfect 2 µg of expression plasmids by using 320 volt. An internal control 188 reporter vector, pRL-TK containing *Renilla* luciferase (Promega, USA), was simultaneously 189 transfected into the cells with expression plasmids as an internal control for assay-to-assay 190 variability. And then, the transfected cells were incubated in 5% CO<sub>2</sub> at 37°C incubator. After 191 48h of transfection, the cells were harvested and investigated for luciferase activity using the 192 Dual-Luciferase Reporter Assay System (Promega, USA). Luciferase activity was 193 normalized through division of major or minor allele construct luciferase signals by pRL-TK

luciferase signals. The mean and standard error of measurement were calculated on the basis
of the normalized luciferase activities. The results were obtained from three independent
experiments and each experiment was done in triplicate.

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### 198 shRNA expression plasmid constructs and shRNA knockdown

199 For shRNA knockdown of transcription factor EVI1 and TNPO3, the two independent miR-200 30-styled short hairpin RNAs (shRNA-1 and shRNA-2) expression plasmids were 201 constructed by using EVI1- or TNPO3-targeted sense and antisense oligonucleotides. Each 202 pair of sense and antisense oligonucleotides were connected with miR30 backbone according 203 to the previous protocol [35]. Each resulted miR-30 styled shRNA was amplified with the 204 primers shown in Table S3 and purified to be cloned into XhoI and EcoRI enzyme sites of 205 pcDNA3.1 vector (Invitrogen, Carlsbad, USA). For the negative control (NC), shRNA NC 206 expression plasmid was also constructed in the same way. 2 µg of each plasmid (shRNA 1, 207 shRNA 2 and shRNA NC) were independently transfected into 70-80% confluence of HEK293T cells or U2OS cells by using ViaFect<sup>TM</sup> transfection reagent (Promega, USA) 208 209 according to the manufacturer's instruction. After 48h of transfection, total RNA was isolated 210 to detect the mRNA expression by RT-qPCR. Moreover, EVI1 shRNA plasmids were 211 independently co-transfected with the expression plasmid including rs13239597-C allele or 212 rs13239597-A allele with IRF5 promoter used in the luciferase reporter assay. The 213 transfection and measuring of luciferase activity are the same as indicated in dual-luciferase 214 reporter assay section. The results were obtained from three independent experiments and 215 each experiment was done in triplicate.

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# 217 Enhancer deletion by CRISPR-Cas9

218 To efficiently eliminate the enhancer fragment (1000-bp) residing rs13239597, CRISPR-219 associated RNA-guided endonuclease Cas9 cleavage technology was used [36]. Briefly, we 220 first designed a set of single-guided RNAs (sgRNAs) targeting 8 sites around upstream and 221 downstream of the enhancer fragment by using the CRISPR design platform (V1 tool) 222 maintained by the Zhang Lab at the Board Institute. Oligonucleotides containing these 223 sgRNAs were cloned into the BsaI enzyme site of pUC19-hU6-sgRNA backbone vector and 224 then, the same amount of each sgRNA plasmid with pCas9 plasmid (Addgene plasmid # 42876) were co-transfected into HEK293T cells using ViaFect<sup>TM</sup> transfection reagent 225 226 (Promega, USA) according to the manufacturer's instruction. After 72h transfection, the 227 genomic DNA were extracted, followed by PCR amplification of target fragment and T7 228 endonuclease I assay to quantify the indel (insertion and deletion) percentage as previously 229 described. From these results, the best pair of sgRNAs including upstream sgRNA (491-bp 230 far from rs13239597) and downstream sgRNA (444-bp far from rs13239597) was chosen and 231 cloned together into KpnI and NheI enzyme cut sites of lentiCRISPR v2 plasmid (Addgene 232 plasmid # 52961). This resulted plasmid transfection into HEK293T and U2OS cells and 233 electroporation into Raji cells were performed the same as mentioned in Luciferase reporter 234 assay. After selection with puromycin (2 mg/ml), the cells were harvested for DNA and total 235 RNA extraction for further analysis. The results were obtained from three independent 236 experiments and each experiment was done in triplicate. sgRNAs primers and PCR primers 237 are listed in Table S3.

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### 239 Chromosome Conformation Capture (3C) Assay

The 3C assay was performed as described previously [37] in Raji and U2OS cell lines. Briefly, approximately  $1 \times 10^8$  cells were fixed with 1% formaldehyde for 10 min and stopped the fixation reaction by quenching with 2.5 M glycine. The cells were lysed using lysis buffer 243 (10mM Tris-HCL-pH8.0, 10mM NaCL, 0.2% Igepal and autoclaved water) and 200 µl of 10 244 x proteinase inhibitors and fractionated using a Dounce homogenizer for nuclear fraction. 245 After washing the pellet two times with 1ml of 1 x NEB buffer 2.1 (New England BioLabs 246 (NEB), USA), the nuclear lysates were digested with 800U KpnI (NEB) overnight at 37°C 247 and 950 rpm, followed by the inactivation with 86  $\mu$ l of 10% SDS for 30 min at 65°C. The 248 cross-linked digested DNA were re-ligated with each 800U T4 DNA ligase (NEB) in 7 ml of 249 ligation cocktail including 1.1 x ligation buffer (NEB) and 10% Triton X-100 for 2 hours at 250 16°C. The ligated samples were treated two times with fresh 10mg/ml proteinase K solution 251 per tube and incubated at 65°C for 4 hours and overnight respectively. DNA was extracted 252 with phenol-chloroform and precipitated with ethanol-acetate. Quantification of 3C 253 interaction products were undertaken by PCR followed by agarose gel electrophoresis and 254 qPCR amplifications for all possible ligation sites using candidate primer pairs listed in Table 255 S3.

256

### 257 Chromatin Immunoprecipitation (ChIP) Assay

258 ChIP followed by allele-specific quantitative PCR (ChIP AS-qPCR) [38] were performed in U2OS cell line by using SimpleChIP<sup>®</sup> Enzymatic Chromatin IP Kit (Magnetic Beads) 259 260 (Catalog# 9003) (Cell Signaling Technology, USA) according to the manufacturer's 261 instruction. Briefly, approximately 30-50 million cells were cross-linked with 1% 262 formaldehyde for 10 min and stopped the fixation reaction by quenching with 10 x glycine. 263 DNA were digested with 0.4 µl of Micrococcal nuclease per tube for 20 min at 37°C with 264 frequent mixing every 3 min, followed by stopping the digestion with 0.5M EDTA. The 265 nuclear pellet was incubated in ChIP buffer with protease inhibitor cocktail for 10 min, 266 followed by the sonication with 3 sets of 5 sec pulses and 30 sec pauses using an ultrasonic 267 sonicator. The cross-linked chromatin were immunoprecipitated with ChIP grade Evil

antibody (Santa Cruz Biotechnology, Texas, USA) or Histone H3 rabbit antibody as a positive control or normal rabbit immunoglobulin (*IgG*) as a negative control overnight at 4°C with rotation. Protein-DNA cross-links were precipitated by using ChIP-Grade Protein G Magnetic Beads. After reversal of protein-DNA cross-links by 5M NaCl and proteinase K, the DNA is purified using DNA purification spin columns. Following quantification was undertaken by ChIP AS-qPCR analysis with allele-specific primers listed in Table S3.

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#### 275 DNA and RNA isolation and Quantitative Real-time PCR

276 The genomic DNA was isolated from the cells using Tiangen genomic DNA extraction Kit 277 (Catalog#DP304, TianGen Biotech, China) according to the manufacturer's instruction. The 278 total RNA from the cells was isolated with TRIzol reagent (Invitrogen, USA), and 5 µg of 279 total RNA per reaction were used to synthesize the complementary DNA (cDNA) with the 280 Super Scripts II First-Strand cDNA synthesis kit (Invitrogen, USA). RT-qPCR was 281 performed with QuantiTect SYBR Green PCR Kit (QIAGEN, Germany) according to the manufacturer's instruction by CFX Connect<sup>TM</sup> Real-Time PCR Detection System (Bio-Rad, 282 USA) with the primers listed in Table S3. Samples were tested in a 96-well format in 283 284 triplicate. The housekeeping gene Glyceraldehyde 3- phosphate dehydrogenase (GAPDH) 285 was used as an endogenous control to normalize the differences in treatment, amount of input 286 cDNA and the amplification signal between the samples of different cell lines.

287

## 288 Statistical Analysis

The mRNA expressions were calculated with  $2^{-\Delta\Delta Ct}$  method as previously described [39]. Data were displayed as  $\pm$  standard deviation ( $\pm$ SD) and unpaired, two-tailed student's T test was used to calculate *P* value. Every analytical data were obtained from three independent experiments and each experiment was done in triplicate. 293

294 **Results** 

# Prioritizing rs13239597 as a potential functional independent variant through combining genomic fine-mapping and functional epigenetic analyses

297 To refine the independent association signals at 7q32.1 locus, we conducted a stepwise 298 conditional association analysis using summarized GWAS data from 23,210 European 299 samples [12]. Consistent with the previous study [8], we identified two independently 300 associated signals within *IRF5* or *TNPO3* represented by 3 proxy SNPs (Figure 1). Adjusting 301 the residual effect of 2 independent SNPs tagging association signal within *IRF5* retained 60 302 conditionally significant associated SNPs within TNPO3 ( $P < 1 \times 10^{-5}$ , Figure 1). We further 303 performed Bayesian fine-mapping analysis, resulting in 33 SNPs composing 95% credible set 304 of this independent association signal (Figure 1). The majority (27/33) of credible SNPs are in strong LD ( $r^2 > 0.8$ ) with the most significant one rs12531054 (Figure 1). Particularly, we 305 306 found that rs13239597 was the most significant SNP across the whole genome associated 307 with both SLE and SSc from another large-scale pan-meta-analysis in 21,109 samples [8] 308 (Figure S1), indicating its high potential functionality.

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310 To evaluate the functionality of SNPs, we leveraged functional epigenetic annotation in 311 several immunologically related blood cell lines. We found that rs13239597 was located near 312 or within multiple extremely strong epigenetic enhancer markers in several immunologically 313 related cell lines (GM12878 lymphoblastoid cells, primary T cells and B cells), including the 314 DNase I hypersensitivity (DHS), the histone markers (H3K27ac, H3K4me3), RNA 315 polymerase II (Pol 2) and histone acetyltransferase p300 binding sites (Figure 1), which 316 strongly supported its potential functionality. By contrast, both the lead SNP rs12531054 as 317 well as other credible SNPs were depleted of these functional epigenetic markers (Figure 1). Taken together, these analysis prioritized rs13239597 as a functional independent variant for

- 319 further experimental validation.
- 320

# 321 Identifying the candidate regulatory target gene of rs13239597

322 Rs13239597 was located in the promoter region of TNPO3. We performed cis-eQTL analysis 323 using the data from 373 unrelated European samples on lymphoblastoid (LCLs) cell lines 324 [14]. Among 21 surrounding genes detected, we found that the minor allele of rs13239597 325 (rs13239597-A) was exclusively and significantly associated with increased expression of *IRF5* (Bonferroni adjusted  $P = 1.742 \times 10^{-7}$ , Beta = 1.9) (Figure 2A and 2B; and Table 1). 326 327 Consistent significantly reinforced effect of rs13239597-A allele on IRF5 expression was 328 observed on either LCLs from Genotype-Tissue Expression (GTEx) dataset [21] (P = 0.047, 329 Beta = 0.28, Figure 2C and Table 1) or 5,311 peripheral blood samples from an eQTL metaanalysis [22] ( $P = 6.97 \times 10^{-21}$ , Z-score < -9.37, Table 1). By contrast, no significant 330 331 association between rs13239597 and TNPO3 was detected in either 373 LCLs or GTEx LCLs 332 datasets (P > 0.05, Figure 2B and 2C; and Table 1). We further explored eQTL association 333 between rs13239597 genotype and *IRF5* or *TNPO3* expression in other GTEx tissues [21]. 334 and detected significantly increased effect of rs13239597-A allele on IRF5 expression in 26 335 different tissues (P < 0.05, Beta > 0), including some immunologically related tissues such as whole blood ( $P = 6.495 \times 10^{-4}$ , Beta = 0.191, Table S1) and thyroid ( $P = 9.283 \times 10^{-4}$ , Beta = 336 337 0.248, Table S1). We also detected significant eQTL association between rs13239597 338 genotype and TNPO3 expression in 6 different GTEx tissues with discordant effect direction 339 (P < 0.05, Beta > 0 for 3 tissues and Beta < 0 for another 3 tissues), including the whole 340 blood ( $P = 2.481 \times 10^{-4}$ , Beta = -0.153, Table S1). Collectively, these eQTL results intensely 341 highlighted *IRF5* as the candidate distal regulatory target gene of rs13239597.

### 343 Validating the long-range chromatin interactions between rs13239597 and *IRF5*

344 The SNP rs13239597 was located ~118 kb far away from its candidate target gene *IRF5*. We 345 therefore explored the potential long-range chromatin interactions between rs13239597 and 346 *IRF5* using capture Hi-C and Hi-C data across multiple blood cell lines [23-26]. We observed 347 that rs13239597 strongly interacted with the distal gene *IRF5* in five different cell lines 348 (GM12878, CD34, K562, IMR90 and MCF7) (Figure 3A and Table S2). We also analyzed 349 topologically associating domains (TADs) surrounding rs13239597 locus, and found that 350 rs1323957-IRF5 circuit was located within a conserved TAD with a size of 1.16 Mb in 351 IMR90 cells (Figure 3B), further supported the distal chromatin interactions between them.

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353 Genotyping assay revealed that B lymphocyte-derived Raji cell line is homozygous CC 354 genotype for rs13239597 and U2OS cell line is heterozygous AC genotype for rs13239597 355 (Figure 3C). To directly validate the chromatin interactions between rs13239597 and *IRF5*, 356 we performed 3C assay in Raji cell line. When anchored at IRF5 promoter, rs13239597 357 enhancer region showed the strongest interaction with *IRF5* promoter region, compared with 358 any of the other 10 neighboring KpnI sites tested (Figure 3D). Consistently, when anchored at 359 rs13239597 enhancer region, IRF5 promoter region showed the strongest interaction with 360 rs13239597 enhancer region in comparison with other sites tested (Figure 3D). We also 361 performed 3C assay in U2OS cell line, and detected relatively higher chromatin interactions 362 between rs13239597 and IRF5 compared with Raji cell line, which might indicate the 363 superior allele-specific long-range chromatin loop formation between rs13239597-A and 364 IRF5.

365

Rs13239597 acts as an allele-specific enhancer regulating *IRF5* expression independent
 of *TNPO3*

368 To experimentally validate the allelic regulation between rs13239597 and IRF5, we 369 compared the regulatory activity of genomic fragment containing different genotypes of 370 rs13239597 on IRF5 expression using dual-luciferase reporter assays in Raji cell line. We 371 found that both alleles of rs13239597 could reinforce *IRF5* expression (P < 0.01, Figure 4A). 372 Particularly, rs13239597-A allele had significantly enhanced effect on *IRF5* expression 373 compared with rs13239597-C allele (Fold change = 2.06, P < 0.008, Figure 4A), which is 374 consistent with our eQTL analysis results (Figure 2 and Table 1). Genotyping assay revealed 375 that HEK293T cell line is homozygous CC genotype for rs13239597 (Figure S2). We further 376 replicated luciferase assays in HEK293T cell lines. Consistent results were acquired in 377 HEK293T cells in which significantly higher *IRF5* expression was observed on rs13239597-378 A allele compared with rs13239597-C allele (Fold change = 1.5, P < 0.01, Figure 4B). 379 However, no significant enhanced luciferase activity was observed on rs13239597-C allele 380 compared with the *IRF5* promoter-only plasmid (P > 0.05, Figure 4B). We also compared the 381 luciferase activity of rs13239597-C or rs13239597-A allele on the nearby gene TNPO3 in 382 HEK293T cells, and detected no significant effect of rs13239597-A allele on TNPO3 383 expression although different regulatory activities between two alleles of rs13239597 were 384 detected (P < 0.02, Figure 4B). Collectively, these luciferase results suggested the allelic 385 strong enhancer activity of rs13239597-A allele on *IRF5* instead of *TNPO3*.

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To further validate the allelic enhancer activity of rs13239597 on *IRF5*, we deleted the genomic fragment harboring rs13239597 using CRISPR-Cas9 in three different cell lines (Raji, HEK293T and U2OS). We observed no significant alterations of *IRF5* expression in the rs13239597-CC deleted Raji and HEK293T cells as compared with wild type cells (Figure 4C and 4D). By contrast, we detected significantly decreased *IRF5* expression in the rs13239597-AC deleted U2OS cell lines (P < 0.001, Figure 4E), supporting the allele-specific enhancer activity of rs13239597-A on *IRF5*.

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395 We also detected significantly decreased expression of TNPO3 in rs13239597-CC deleted 396 cell lines (Figure 4C-4E), raising the question whether the detected regulatory activity of 397 rs13239597 on IRF5 was fictitious due to the intermediary effect of TNPO3. To further 398 demonstrate the direct enhancer activity of rs13239597-A on IRF5 independently of TNPO3, 399 we firstly suppressed TNPO3 expression in rs13239597-AC U2OS cells, and found no 400 significant effect on *IRF5* expression (Figure 4F). We further deleted rs13239597-AC 401 enhancer fragment in TNPO3 suppressed U2OS cells, and detected significant decline of 402 *IRF5* expression (P < 0.001, Figure 4G). Taken together, these experimental results 403 prominently pinpointed that rs13239597 acted as allele-specific enhancer regulating IRF5 404 expression independently of TNPO3.

405

#### 406 EVI1 preferentially binds to rs13239597-A to augment *IRF5* expression

407 We next explored the functional mechanism underlying rs13239597 as the strong allele-408 specific enhancer on IRF5. The motif analysis revealed that EVI1 could specifically bind to 409 rs13239597-A allele (Figure 5A). To validate allelic binding affinity of EVI1 on rs13239597, 410 we performed chromatin immunoprecipitation allele-specific quantitative PCR (ChIP AS-411 qPCR) assay in rs13239597-AC U2OS cell line. We found that EVI1 could bind to 412 rs13239597 region in U2OS (Figure 5B). Particularly, EVI1 was preferentially recruited to 413 the rs13239597-A allele compared with rs13239597-C allele (Fold change = 1.8, P < 0.001, 414 Figure 5B). To further assess the transcriptional influence of rs13239597 on *IRF5* expression 415 mediated by EVI1, we suppressed EVI1 by shRNA in both U2OS cells and HEK293T cells. 416 Compared with shRNA NC transfected cell lines, we detected significant decline of IRF5 417 expression in U2OS cells (P < 0.05, Figure 5C) while no obvious disturbance of IRF5 418 expression in HEK293T cells (Figure 5D). We further co-transfected the EVI1 shRNA 419 plasmids with rs13239597-A allele or rs13239597-C allele luciferase plasmids in HEK293T 420 cells, and found that rs13239597-A allele significantly diminished *IRF5* expression (P < 0.01, 421 Figure 5E) in contrast to that rs13239597-C allele had no decreased *IRF5* expression (Figure 422 5E), providing additional evidence for the allele-specific binding affinity of EVI1 to 423 rs13239597-A. Taken together, we demonstrated that strong allele-specifically binding of 424 EVI1 at the sequence harboring rs13239597-A allele could enhance expression of *IRF5*.

425

# 426 Differential expression of *IRF5* between SLE patients and healthy individuals

To compare the *IRF5* expression between SLE patients and healthy individuals, we screened the *IRF5* expression in whole blood samples of three SLE genome-wide gene expression datasets (GSE61635, GSE39088 and GSE65391) [34]. Significantly higher *IRF5* expression was found in SLE patients compared with healthy individuals in all three datasets (P = 1.272 $\times 10^{-4}$  in GSE61635, P = 0.001 in GSE39088 and P = 0.019 in GSE65391, Figure 5F), which

432 was consistent with that the risk allele-A of rs13239597 could augment *IRF5* expression.

433

### 434 **Discussion**

GWASs have identified numerous SLE and SSc disease risk variants at *IRF5-TNPO3* locus of 7q32.1, however, the underlying functional mechanisms remain largely exclusive. Here, through the combination of a set of bioinformatics analyses and various experimental assays, we demonstrated that rs13239597 located in *TNPO3* promoter region functions as an allelespecific strong enhancer to directly modulate the distal gene *IRF5* by long-range chromatin loop formation. We corroborated that the enhancer activity of rs13239597 was elevated by the transcription factor EVI1 preferentially recruited to rs13239597-A allele, which

442	efficiently enhanced the IRF5 expression. Taken together, our study highlighted the allele-
443	specific functional role of rs13239597 leading to the IRF5 hyperactivation followed by the
444	production of pathogenic antibodies against self-tissues (Figure 6A).

445

446 Our analysis showed that a GWAS risk variant associated with both SLE and SSc could 447 regulate IRF5 expression via long-range loop formation. IRF5 is a member of interferon 448 regulatory factor (IRF) family which is the transcriptional regulators of Type I interferons 449 (IFNs) playing crucial role in modulating inflammatory immune responses in numerous cell 450 types, including dendritic cells, macrophages and B cells [40]. Studies on murine models also 451 indicated that  $Irf^{-/-}$  mice could result in poor lymphocyte activation, decreased autoantibody 452 levels [41] and significant lower production of IFN-I and key cytokines (IL-12 and IL-23) 453 that link innate immunity to SLE pathogenesis [42]. Consistently, our analysis demonstrated 454 that the risk allele of rs13239597 could augment *IRF5* expression, and *IRF5* was significantly 455 higher expressed in SLE patients compared with healthy individuals [34].

456

457 Regulation of mammalian gene transcription is accomplished by proximal promoter and 458 distal enhancer, which had noticeable functional similarities such as DNase I hypersensitivity, 459 histone modification patterns and transcription factor binding sites [43]. Dao et al. recently 460 verified the functional mechanisms of Epromoters referred to as the promoters with enhancer 461 functions, suggesting that regulatory elements with dual roles as transcriptional promoters 462 and enhancers were strongly involved in *cis* regulation of distal gene expression in natural 463 context [44]. Recently, the functional SNPs with both promoter and enhancer activities were 464 reported [45], in which different alleles of a SNP mechanistically perform different functions. 465 In our study, rs13239597 located in the promoter region of TNPO3 functions as an allele-466 specific functional enhancer, which highlights dual roles of its functionality.

467

468	Our study revealed that the transcription factor EVI1 could preferentially bind to rs13239597-
469	A allele to increase IRF5 expression. EVI1 is crucial for hematopoietic stem cells originating
470	in bone marrow [46], which give rise to production of human lymphoid cells including T
471	cells, B cells and natural killer cells [47]. Many IRF family members play important roles in
472	the differentiation of hematopoietic cells [48]. A recent SLE and SSc combined meta-analysis
473	revealed that the minor rs13239597-A allele could increase disease risk ( $OR = 1.848$ for SLE
474	and $OR = 1.567$ for SSc) [8]. Consistently, our study revealed that EVI1 strongly binds to the
475	risk allele (rs13239597-A) and subsequently promotes IRF5 expression, high expression of
476	which produces pathogenic antibodies leading to SLE and SSc.
477	
478	In summary, we provided a new mechanistic insight that rs13239597 acts as an allele-specific
479	strong enhancer to directly regulate IRF5 expression mediated by EVI1. We established the
480	feasible approach to investigate the role of a noncoding functional variant and its target distal
481	gene through a series of integrated bioinformatics data analyses and various functional assays.
482	We anticipate that the similar approach could be the further investigation of functional
483	mechanisms underlying disease risk variants associated with more human complex diseases
484	to fulfill the gap of GWASs. We believe that our findings might fulfill the current issues
485	towards the understanding of complex genetic architecture and the promising therapeutic
486	target for both SLE and SSc autoimmune diseases.
487	
488	Supplemental Data
489	Supplemental Data include two figures and three tables.

490

# 491 Web Resources

- 492 1000 Genomes V3 genotype data,
- 493 ftp://ftp.trace.ncbi.nih.gov/1000genomes/ftp/release/20130502/
- 494 4DGenome, <u>https://4dgenome.research.chop.edu/</u>
- 495 ArrayExpress (E-GEUV-1), <u>http://www.ebi.ac.uk/arrayexpress/experiments/E-GEUV-1/</u>
- 496 CRISPR design platform (V1 tool), <u>http://crispr.mit.edu/</u>
- 497 dbGaP, <u>https://www.ncbi.nlm.nih.gov/gap</u>
- 498 GEO, <u>https://www.ncbi.nlm.nih.gov/gds/</u>
- 499 GTEx Portal, <u>https://www.gtexportal.org/home/</u>
- 500 GWAS Catalog, <u>http://www.ebi.ac.uk/gwas/</u>
- 501 HaploReg, http://www.broadinstitute.org/mammals/haploreg/haploreg.php
- 502 LocusZoom, <u>http://locuszoom.sph.umich.edu/</u>
- 503 MEME Suite, <u>http://meme-suite.org/</u>
- 504 OMIM, <u>http://www.omim.org/</u>
- 505 PLINK, http://zzz.bwh.harvard.edu/plink/
- 506 R statistical software, <u>https://www.r-project.org/</u>
- 507 SLE GWAS data, http://insidegen.com/insidegen-LUPUS-data.html
- 508 UCSC ENCODE download portal, <u>https://genome.ucsc.edu/encode/downloads.html</u>
- 509 Vcftools, <u>http://vcftools.sourceforge.net/</u>
- 510 WashU Epigenome Browser (v46.1), <u>http://epigenomegateway.wustl.edu/browser/</u>
- 511

## 512 **Declaration of Interests**

- 513 The authors declare no competing interests.
- 514
- 515 Acknowledgements
- 516 Not Applicable.

517

### 518 Authors' contributions

- 519 TLY and YG designed and supervised the project. HNT designed and performed the
- 520 experiments and wrote the manuscript. XFC conducted the data analysis and revised the
- 521 manuscript. WXH, YYD, DLZ, HC, NNW and HHC contributed to design the experiments.
- 522 Other authors contributed to the manuscript preparation. All authors read and approved the
- 523 final manuscript.

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### 628 Figure Titles and Legends

# Figure 1. Prioritizing rs13239597 as a potential independent functional variant at 7q32.1 locus.

631 The upper regional plots show the multi-step fine-mapping analysis results at 7q32.1 locus. 632 The first inverted triangle shows haplotype block for all selected SNPs using 1000 genome-633 V3 European data [14]. The first regional plot shows stepwise conditional association analysis results, indicating 3 independently associated SNPs ( $p < 5 \times 10^{-8}$ ) located at 7q32.1 634 635 locus. The middle regional plot shows conditional association signals after adjusting 2 of 3 636 independently associated SNPs near *IRF5*. The last regional plot shows Bayesian analysis [18] 637 for the conditional association signals in the previous step. All regional plots are visualized 638 using LocusZoom. The bottom part shows several active epigenetic annotation in three 639 immunologically related blood cell lines for the independent associated region surrounding 640 TNPO3. Related epigenetic data were visualized using WashU Epigenome Browser (v46.1), 641 including DNase I hypersensitivity (DHS), the histone markers H3K27ac and H3K4me3, 642 RNA polymerase II (Pol 2) and histone acetyltransferase p300 binding sites. The lead SNP 643 rs12531054 region (pale blue) and our prioritized SNP rs13239597 region (pale yellow) are 644 highlighted.

645

# Figure 2. eQTL analysis demonstrates *IRF5* as a distal regulatory target gene for rs13239597.

648 (A) Cis-eQTL analysis between rs13239597 genotype and 21 nearby genes (within 1000 kb) 649 in lymphoblastoid (LCLs) cell lines from 373 unrelated samples [14]. The beta value 650 represents the effect size for minor allele of rs13239597, and the dashed line represents the 651 significant level after Bonferroni adjustment (Bonferroni adjusted P < 0.05).

652	(B and C) Box plots show the comparison of <i>IRF5</i> or <i>TNPO3</i> expression with different
653	genotypes (AA, AC and CC) of rs13239597 in lymphoblastoid (LCLs) cell lines from 373
654	unrelated European samples[14] (B) and in EBV-transformed lymphoblastoid cell lines (C)
655	from GTEx[21]. The eQTL <i>P</i> values and sample count numbers (n) are shown.

656

# Figure 3. Validation of direct long-range chromatin interaction between rs13239597 and *IRF5*.

(A) Hi-C interaction between rs13239597 and its distal target gene IRF5. Different colors of

660 interacted lines between rs13239597 and IRF5 indicate different five cell lines including

- 661 K562, MCF7, IMR90, CD34 and GM12878 cell lines.
- 662 (B) The looping interaction between rs13239597 and *IRF5* is located within the same 663 topologically associated domain (TAD) with a size of 1.16 Mb in IMR90 cells.
- (C) Genotyping results of rs13239597 in Raji and U2OS cell lines.
- (D) Chromatin conformation capture (3C) assay in Raji cells (dark blue color line) and U2OS
- cells (orange color line). The interaction frequencies are shown between the region including
- *IRF5* promoter as the anchor point (upper) or the region harboring rs13239597 as the anchor
- point (lower) with other 10 neighboring KpnI sites (~100 kb upstream of IRF5 and ~100 kb
- 669 downstream of rs13239597) as the negative controls. Error bars are standard deviation (SD).
- 670 Data are obtained from at least three replicates ( $n \ge 3$ ).
- 671

# Figure 4. Validation of allele-specific enhancer activity of rs13239597 on *IRF5*expression.

(A) Dual-luciferase reporter assays in Raji. The pGL3-basic vectors were constructed with *IRF5* promoter region and the region surrounding rs13239597-C or rs13239597-A,
respectively. Luciferase signals are normalized to *Renilla* signals.

677	(B) Dual-luciferase reporter assays in HEK293T cell lines. The pGL3-basic vectors were				
678	constructed with IRF5 promoter region or TNPO3 promoter region and the region				
679	surrounding rs13239597-C or rs13239597-A, respectively. Luciferase signals are normalized				
680	to Renilla signals.				
681	(C-E) Effect of deletion of the region residing rs13239597 by CRISPR-cas9 on IRF5 and				
682	TNPO3 expression in Raji (C), HEK293T (D) and U2OS (E) cell lines, respectively. Non-				
683	treated Raji, HEK293T and U2OS wild type (WT) cell lines are used as controls.				
684	(F) Effect of TNPO3 knockdown on IRF5 expression. Two independent shRNAs (shRNA-1				
685	and shRNA-2) are used. shRNA-NC is used as the negative control.				
686	(G) Effect of deletion of the region residing rs13239597 by CRISPR-cas9 on IRF5 on the				
687	basis of TNPO3 knockdown in U2OS cell lines. Two independent shRNAs (shRNA-1 and				
688	shRNA-2) are used.				
689	Error bars, SD. n $\ge$ 3. * <i>P</i> $\le$ 0.05, ** <i>P</i> $\le$ 0.01, *** <i>P</i> $\le$ 0.001 are determined by unpaired, two-				
690	tailed student's T test. The samples are normalized by housekeeping gene GAPDH.				
691					
692	Figure 5. Preferential binding of EVI1 to rs13239597-A allele and increased IRF5				
693	expression in SLE patients.				
694	(A) EVI1 motif analysis for the sequences surrounding rs13239597.				
695	(B) Allele-specific ChIP assay for the comparison of EVI1 binding between rs13239597-C				
696	allele and rs13239597-A allele in U2OS cell line. Primers specifically targeting to				
697	rs13239597-C or rs13239597-A or RPL30 exon (NC) region are used. The binding efficiency				
698	of EVI1 is shown as fold enrichment over $IgG$ .				

- 699 (C and D) Effect of EVI1 knockdown on IRF5 expression in U2OS (C) or HEK293T (D),
- respectively. Two independent shRNAs (shRNA-1 and shRNA-2) are used. The samples are
- normalized by housekeeping gene *GAPDH*.

702	(E) Dual-luciferase reporter assay containing rs13239597-C allele or rs13239597-A allele						
703	plasmids co-transfected with two independent shRNA knockdown of EVI1 (shRNA-1 of						
704	shRNA-2). The shRNA-NC is used as the negative control. Luciferase signals are normalized						
705	to <i>Renilla</i> signals $(n = 3)$ .						
706	(F) Comparison of IRF5 expression between healthy individuals and SLE patients in whole						
707	blood samples from three SLE genome-wide gene expression datasets (GSE61635,						
708	GSE39088 and GSE65391) [34] are shown.						
709	Error bars, SD. n $\ge$ 3. * <i>P</i> $\le$ 0.05, ** <i>P</i> $\le$ 0.01, *** <i>P</i> $\le$ 0.001 are determined by unpaired, two-						
710	tailed student's T test.						
711							

**Figure 6. A schematic proposed model between rs13239597 and** *IRF5***. The schematic model shows how a noncoding variant (rs13239597) influences the autoantibody production. The left panel shows rs13239597-A allele vigorously binds to EVI, which leads to** *IRF5* **hyperactivation via long-range chromatin loop formation, resulting in pathogenic autoantibody production against self-tissues. In contrast, the right panel shows rs13239597-C allele has a weaker activity of binding to EVI, which leads to** *IRF5* **normal activation, resulting in the protective autoantibody production against pathogens.** 

# 720 Table

### 721 Table 1. Comparison of Cis-eQTL analysis results of rs13239597 from three datasets.

Gene	373 LCLs <sup>a</sup>		GTEx LCLs <sup>b</sup>		Meta-analysis <sup>c</sup>	
Uelle	P value	Beta	<i>P</i> value	Beta	P value	Z-score
IRF5	$1.742  imes 10^{-7}$	1.900	0.047	0.276	$6.97\times 10^{\text{-}21}$	-9.37
TNPO3	0.714	-0.111	0.589	-0.076	NA	NA

Note: Cis-eQTL analysis results of rs13239597 for only *IRF5* and *TNPO3* expression were demonstrated in the table. <sup>a</sup> The data were obtained from 373 unrelated European samples on lymphoblastoid cell lines [14]. <sup>b</sup> The data were obtained from cells EBV-transformed lymphocytes of GTEx dataset [21]. <sup>c</sup> The data were obtained from peripheral blood samples of 5,311 individuals [22].
 eQTL results for *TNPO3* expression in meta-analysis were not available (NA).













